

# **2016**

# **Annual Activity Report**

## **Annexes**

**Education,  
Audiovisual and  
Culture Executive  
Agency**

## **ANNEX 1: Statement of the internal control coordinator (ICC)<sup>1</sup>**

*I declare that in accordance with the Commission's communication on clarification of the responsibilities of the key actors in the domain of internal audit and internal control in the Commission<sup>2</sup>, I have reported my advice and recommendations to the Executive Director on the overall state of internal control in the Executive Agency.*

*I hereby certify that the information provided in Section 2 of the present AAR and in its annexes is, to the best of my knowledge, accurate and complete.*

*Date ...31/03/2017*

*Armin Bosch*

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<sup>1</sup> In EACEA, the Head of Unit in charge of Finances, Accounting and Programming is entrusted with the function of Internal Control Coordinator.

<sup>2</sup> Communication to the Commission: Clarification of the responsibilities of the key actors in the domain of internal audit and internal control in the Commission; SEC(2003)59 of 21.01.2003.

## ANNEX 2: Reporting – Human Resources, Information Management and External Communication

### Human Resources

**Objective: to ensure an adequate workforce to support the processes and initiatives of the Agency as well as an optimal use of available human resources, and to offer staff a motivating professional perspective by establishing equal opportunities at the level of the Agency and by precisely defining objectives and tasks.**

|  |                         |                              |
|--|-------------------------|------------------------------|
| <b>Indicator</b> Percentage of female representation in middle management                  |                         |                              |
| <b>Source of data:</b> Sysper 2  |                         |                              |
| Baseline 2015<br>27%   | Target 2016<br>33% min. | Latest known results<br>36%  |
| <b>Indicator</b> Percentage of staff who feel that the Agency cares about their well-being |                         |                              |
| <b>Source of data:</b> Commission staff survey   |                         |                              |
| Baseline 2015<br>61%   | Target 2016<br>65% min. | Latest known results<br>65%  |
| <b>Indicator</b> Staff engagement index  |                         |                              |
| <b>Source of data:</b> Commission staff survey   |                         |                              |
| Baseline 2015<br>60  | Target 2016<br>65 min.  | Latest known results<br>65   |
| <b>Indicator</b> Average vacancy rate of available posts                                   |                         |                              |
| <b>Source of data:</b> HR monthly report   |                         |                              |
| Baseline 2015<br>4%  | Target 2016<br>5% min.  | Latest known results<br>5%   |
| <b>Indicator</b> % of staff with valid objectives  |                         |                              |
| <b>Source of data:</b> Sysper 2  |                         |                              |
| Baseline 2015<br>95%   | Target 2016<br>95% min. | Latest known results<br>98%  |
| <b>Indicator</b> % of CDR timely completed   |                         |                              |
| <b>Source of data:</b> Sysper 2  |                         |                              |
| Baseline 2015<br>95%   | Target 2016<br>95% min. | Latest known results<br>100% |
| <b>Indicator</b> Average number of training days per staff member                          |                         |                              |
| <b>Source of data:</b> Syslog  |                         |                              |
| Baseline 2015<br>5 days  | Target 2016<br>3 min.   | Latest known results<br>3.4  |

### Information management

**Objective: Put in place and maintain an effective document management system compliant with e-Domec so that any document formally received or drawn up concerning the Agency's official functions is electronically registered, filed and stored and can be retrieved at any moment.**

|  |   |   |
|--|---|---|
| <b>Indicator</b> Organisation of training sessions/information meetings for newcomers/document management correspondents<br><b>Source of data:</b> DMO Sector  |   |   |
| Baseline 2015<br>21  | Target 2016<br>9 min.                         | Latest known results<br>15  |
| <b>Indicator</b> Percentage of registered documents filed<br><b>Source of data:</b> HAN statistics   |   |   |
| Baseline 2015<br>100%  | Target 2016<br>100% min.                      | Latest known results<br>100%  |
| <b>Indicator</b> Management of archival space agency:<br>- number of files prepared and sent to the Historical Archives Service<br>- number of files destroyed in compliance with e-domec rules<br><b>Source of data:</b> HAN statistics |   |   |
| Baseline 2015<br>-1626<br>-NA  | Target 2016<br>No remaining outstanding files | Latest known results<br>No remaining outstanding files.<br>4.682 files transferred to HAS and destroyed with e-domec rules. |
| <b>Indicator</b> Treatment of all requests for access to documents within the set deadlines (15 working days)<br><b>Source of data:</b> HAN statistics   |   |   |
| Baseline 2015<br>100%  | Target 2016<br>100% min.                      | Latest known results<br>100%<br>11 requests treated   |
| <b>Indicator</b> Number of HAN files readable/accessible by all units in the Agency<br><b>Source of data:</b> HAN statistics   |   |   |
| Baseline 2015  | Target 2016                                   | Latest known results<br>99.9% corresponding to 98.567 files   |
| <b>Indicator</b> Percentage of units using collaborative tools to manage their activities<br><b>Source of data:</b> EACEA intranet   |   |   |
| Baseline 2015<br>100%  | Target 2016<br>100% min.                      | Latest known results<br>100%  |

## External Communication

**Objective: Implement targeted and tailored information and communication activities to raise awareness about funding opportunities among potential beneficiaries and intermediaries. Ensure easy access to all relevant information, notably for applicants and beneficiaries**

|   |   |   |
|---|---|---|
| <b>Indicator</b> Number of visits   |   |   |
| <b>Source of data:</b> EACEA Web site statistics extract Europa analytics / Piwik                             |   |   |
| Baseline 2015<br>3.5 Mio<br>21  | Target 2016<br>3.5 Mio min.   | Latest known results<br>2.9 Mio   |
| <b>Indicator</b> Number of unique visitors  |   |   |
| <b>Source of data:</b> EACEA Web site statistics extract Europa analytics / Piwik                             |   |   |
| Baseline 2015<br>2 Mio  | Target 2016<br>2 Mio min.   | Latest known results<br>1.95 Mio  |
| <b>Indicator</b> Number of page views   |   |   |
| <b>Source of data:</b> EACEA Web site statistics extract Europa analytics / Piwik                             |   |   |
| Baseline 2015<br>-13 Mio<br><br>average number of page views per visit:<br>3,7 pages                          | Target 2016<br>14 Mio min.<br><br>average number of page views per visit: 4 pages | Latest known results<br>10 Mio  |
| <b>Indicator</b> Bounce rate (determine if visitors find what they are looking for)                           |   |   |
| <b>Source of data:</b> EACEA Web site statistics extract Europa analytics / Piwik                             |   |   |
| Baseline 2015<br>22.5%  | Target 2016<br>30% min.   | Latest known results<br>21%   |
| <b>Indicator</b> Organisation of Infodays and other targeted events (kick off meetings; cluster meetings....) |   |   |
| <b>Source of data:</b> Communication sector   |   |   |
| Baseline 2015<br>39   | Target 2016<br>25 min.  | Latest known results<br>5 Infodays organised in Brussels + 35 other external events |

### Annual communication spending (based on estimated commitments):

| Baseline (2015): | Target (Year n): | Total amount spent | Total of FTEs working on external communication |
|------------------|------------------|--------------------|---|
| 680,000          | NA               | 306,500            | 5 FTEs  |

The significant difference in the budget spent in 2016 compared to 2015 is linked largely to the organisation of Infodays. All of the Infodays organised in 2016, were paid from the 2015 budget (excluding some catering elements). The 2016 budget also includes the cost of our webmasters, allowing for the proportion of their time spent on external communication. We estimate that 5 FTE work on external communication.

## **ANNEX 3: Draft annual accounts and financial reports**

AAR 2016 Version 1

### **Annex 3 Financial Reports - DG EACEA - Financial Year 2016**

**Table 1 : Commitments**

**Table 2 : Payments**

**Table 3 : Commitments to be settled**

**Table 4 : Balance Sheet**

**Table 5 : Statement of Financial Performance**

**Table 5 Bis: Off Balance Sheet**

**Table 6 : Average Payment Times**

**Table 7 : Income**

**Table 8 : Recovery of undue Payments**

**Table 9 : Ageing Balance of Recovery Orders**

**Table 10 : Waivers of Recovery Orders**

**Table 11 : Negotiated Procedures (excluding Building Contracts)**

**Table 12 : Summary of Procedures (excluding Building Contracts)**

**Table 13 : Building Contracts**

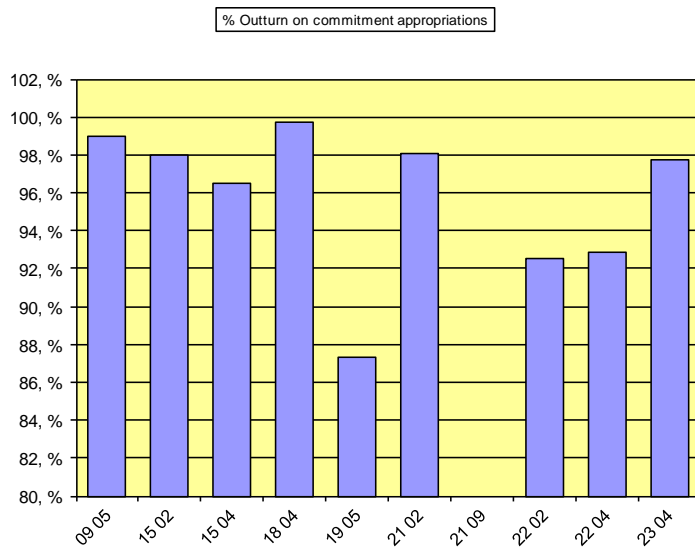
**Table 14 : Contracts declared Secret**

**Additional comments**



| TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2016 (in Mio €) |       |  |                                      |                  |         |
|--|-------|--|--------------------------------------|------------------|---------|
|  |       |  | Commitment appropriations authorised | Commitments made | %       |
|  |       |  | 1                                    | 2                | 3=2/1   |
| <b>Title 09 Communications networks, content and technology</b>  |       |  |                                      |                  |         |
| 09   | 09 05 | Creative Europe  | 106,56                               | 105,44           | 98,95 % |
| <b>Total Title 09</b>  |       |  | 106,56                               | 105,44           | 98,95%  |
| <b>Title 15 Education and culture</b>                            |       |  |                                      |                  |         |
| 15   | 15 02 | Erasmus+   | 323,48                               | 316,92           | 97,97 % |
|  | 15 04 | Creative Europe  | 58,36                                | 56,32            | 96,50 % |
| <b>Total Title 15</b>  |       |  | 381,83                               | 373,24           | 97,75%  |
| <b>Title 18 Migration and home affairs</b>                       |       |  |                                      |                  |         |
| 18   | 18 04 | Fostering European citizenship   | 22,79                                | 22,73            | 99,73 % |
| <b>Total Title 18</b>  |       |  | 22,79                                | 22,73            | 99,73%  |
| <b>Title 19 Foreign policy instruments</b>                       |       |  |                                      |                  |         |
| 19   | 19 05 | Cooperation with third countries under the Partnership Instrument (PI) | 5,81                                 | 5,07             | 87,28 % |
| <b>Total Title 19</b>  |       |  | 5,81                                 | 5,07             | 87,28%  |
| <b>Title 21 International cooperation and development</b>        |       |  |                                      |                  |         |
| 21   | 21 02 | Development Cooperation Instrument (DCI)                               | 98,52                                | 96,61            | 98,06 % |
| <b>Total Title 21</b>  |       |  | 98,52                                | 96,61            | 98,06%  |
| <b>Title 22 Neighbourhood and enlargement negotiations</b>       |       |  |                                      |                  |         |
| 22   | 22 02 | Enlargement process and strategy                                       | 14,72                                | 13,63            | 92,56 % |
|  | 22 04 | European Neighbourhood Instrument (ENI)                                | 54,36                                | 50,49            | 92,87 % |
| <b>Total Title 22</b>  |       |  | 69,08                                | 64,11            | 92,81%  |
| <b>Title 23 Humanitarian aid and civil protection</b>            |       |  |                                      |                  |         |
| 23   | 23 04 | EU Aid Volunteers initiative   | 7,87                                 | 7,69             | 97,77 % |
| <b>Total Title 23</b>  |       |  | 7,87                                 | 7,69             | 97,77%  |
| <b>Total DG EACEA</b>  |       |  | 692,46                               | 674,90           | 97,46 % |

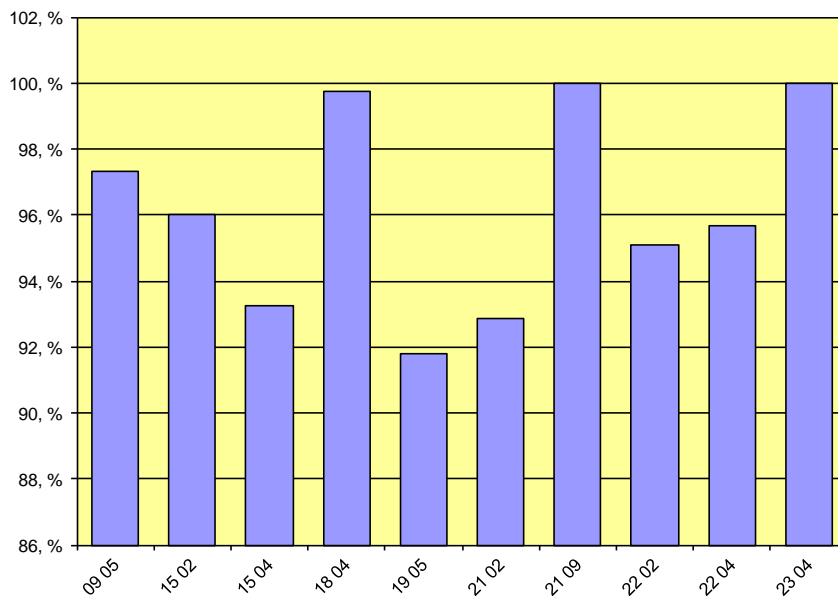
\* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g.



| TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2016 (in Mio €)   |       |   |                                   |               |          |
|---|-------|---|-----------------------------------|---------------|----------|
| Chapter   |       |   | Payment appropriations authorised | Payments made | %        |
|   |       |   | 1                                 | 2             | 3=2/1    |
| <b>Title 09 Communications networks, content and technology</b> |       |   |                                   |               |          |
| 09  | 09 05 | Creative Europe   | 100,71                            | 98,01         | 97,32 %  |
| <b>Total Title 09</b>   |       |   | 100,71                            | 98,01         | 97,32%   |
| <b>Title 15 Education and culture</b>                           |       |   |                                   |               |          |
| 15  | 15 02 | Erasmus+  | 249,17                            | 239,26        | 96,02 %  |
|   | 15 04 | Creative Europe   | 61,73                             | 57,55         | 93,23 %  |
| <b>Total Title 15</b>   |       |   | 310,90                            | 296,81        | 95,47%   |
| <b>Title 18 Migration and home affairs</b>                      |       |   |                                   |               |          |
| 18  | 18 04 | Fostering European citizenship  | 23,40                             | 23,34         | 99,75 %  |
| <b>Total Title 18</b>   |       |   | 23,40                             | 23,34         | 99,75%   |
| <b>Title 19 Foreign policy instruments</b>                      |       |   |                                   |               |          |
| 19  | 19 05 | Cooperation with third countries under the Partnership Instrument (PI)                      | 6,60                              | 6,06          | 91,79 %  |
| <b>Total Title 19</b>   |       |   | 6,60                              | 6,06          | 91,79%   |
| <b>Title 21 International cooperation and development</b>       |       |   |                                   |               |          |
| 21  | 21 02 | Development Cooperation Instrument (DCI)  | 111,52                            | 103,57        | 92,87 %  |
|   | 21 09 | Completion of actions implemented under Industrialised Countries Instrument (IC+) programme | 8,61                              | 8,61          | 100,00 % |
| <b>Total Title 21</b>   |       |   | 120,13                            | 112,18        | 93,38%   |
| <b>Title 22 Neighbourhood and enlargement negotiations</b>      |       |   |                                   |               |          |
| 22  | 22 02 | Enlargement process and strategy  | 25,43                             | 24,18         | 95,09 %  |
|   | 22 04 | European Neighbourhood Instrument (ENI)   | 84,69                             | 81,04         | 95,68 %  |
| <b>Total Title 22</b>   |       |   | 110,12                            | 105,22        | 95,55%   |
| <b>Title 23 Humanitarian aid and civil protection</b>           |       |   |                                   |               |          |
| 23  | 23 04 | EU Aid Volunteers initiative  | 5,70                              | 5,70          | 100,00 % |
| <b>Total Title 23</b>   |       |   | 5,70                              | 5,70          | 100,00%  |
| <b>Total DG EACEA</b>   |       |   | 677,57                            | 647,32        | 95,54 %  |

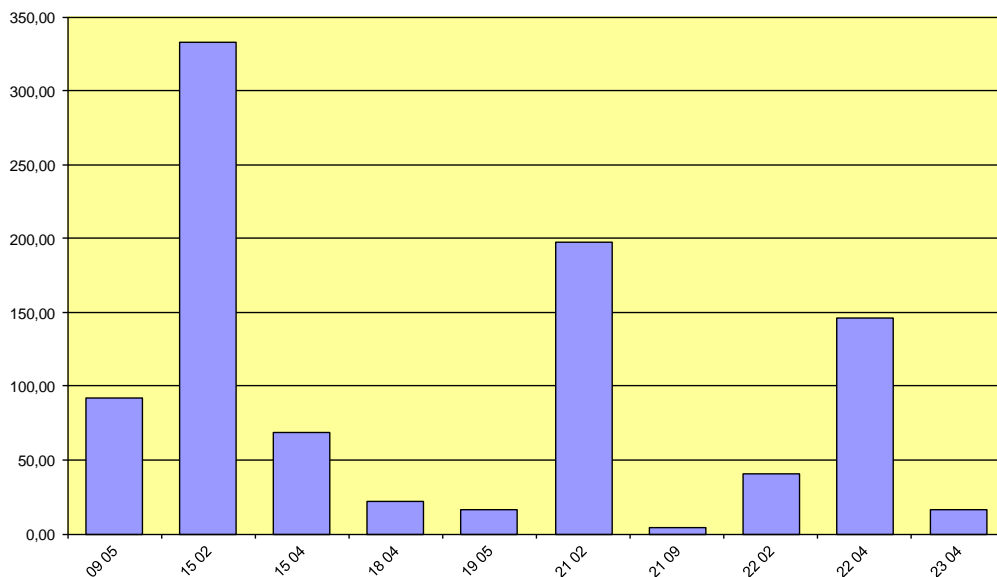
\* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

= "% Outturn on payment appropriations"



| TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2016 (in Mio €) |       |  |                                |               |          |                 |   |   |  |
|---|-------|--|--------------------------------|---------------|----------|-----------------|---|---|--|
| Chapter   |       |  | 2016 Commitments to be settled |               |          |                 | Commitments to be settled from financial years previous to 2016 | Total of commitments to be settled at end of financial year 2016 (incl corrections) | Total of commitments to be settled at end of financial year 2015 (incl. corrections) |
|   |       |  | Commitments 2016               | Payments 2016 | RAL 2016 | % to be settled |   |   |  |
|   |       |  | 1                              | 2             | 3=1-2    | 4=1-2/1         | 5   | 6=3+5   | 7  |
| <b>Title 09 : Communications networks, content and technology</b>         |       |  |                                |               |          |                 |   |   |  |
| 09  | 09 05 | Creative Europe  | 105,44                         | 53,77         | 51,67    | 49,00 %         | 40,18   | 91,85   | 87,78  |
| <b>Total Title 09</b>   |       |  | 105,44                         | 53,77         | 51,67    | 49,00%          | 40,18   | 91,85   | 87,78  |
| <b>Title 15 : Education and culture</b>                                   |       |  |                                |               |          |                 |   |   |  |
| 15  | 15 02 | Erasmus+   | 316,92                         | 148,13        | 168,80   | 53,26 %         | 163,92  | 332,71  | 273,37   |
|   | 15 04 | Creative Europe  | 56,32                          | 29,52         | 26,80    | 47,58 %         | 41,41   | 68,21   | 73,41  |
| <b>Total Title 15</b>   |       |  | 373,24                         | 177,65        | 195,59   | 52,40%          | 205,33  | 400,92  | 346,78   |
| <b>Title 18 : Migration and home affairs</b>                              |       |  |                                |               |          |                 |   |   |  |
| 18  | 18 04 | Fostering European citizenship   | 22,73                          | 9,96          | 12,77    | 56,18 %         | 9,37  | 22,13   | 23,74  |
| <b>Total Title 18</b>   |       |  | 22,73                          | 9,96          | 12,77    | 56,18%          | 9,37  | 22,13   | 23,74  |
| <b>Title 19 : Foreign policy instruments</b>                              |       |  |                                |               |          |                 |   |   |  |
| 19  | 19 05 | Cooperation with third countries under the Partnership Instrument (PI)                       | 5,07                           | 0,64          | 4,43     | 87,39 %         | 12,13   | 16,56   | 17,59  |
| <b>Total Title 19</b>   |       |  | 5,07                           | 0,64          | 4,43     | 87,39%          | 12,13   | 16,56   | 17,59  |
| <b>Title 21 : International cooperation and development</b>               |       |  |                                |               |          |                 |   |   |  |
| 21  | 21 02 | Development Cooperation Instrument (DCI)   | 96,61                          | 4,52          | 92,09    | 95,32 %         | 105,14  | 197,22  | 207,78   |
|   | 21 09 | Completion of actions implemented under Industrialised Countries Instrument (ICI+) programme | 0,00                           | 0,00          | 0,00     | 0,00 %          | 4,02  | 4,02  | 12,63  |
| <b>Total Title 21</b>   |       |  | 96,61                          | 4,52          | 92,09    | 95,32%          | 109,15  | 201,24  | 220,41   |
| <b>Title 22 : Neighbourhood and enlargement negotiations</b>              |       |  |                                |               |          |                 |   |   |  |
| 22  | 22 02 | Enlargement process and strategy   | 13,63                          | 0,35          | 13,28    | 97,41 %         | 27,37   | 40,65   | 51,20  |
|   | 22 04 | European Neighbourhood Instrument (ENI)  | 50,49                          | 0,48          | 50,01    | 99,05 %         | 96,04   | 146,05  | 176,74   |
| <b>Total Title 22</b>   |       |  | 64,11                          | 0,83          | 63,28    | 98,70%          | 123,41  | 186,70  | 227,94   |
| <b>Title 23 : Humanitarian aid and civil protection</b>                   |       |  |                                |               |          |                 |   |   |  |
| 23  | 23 04 | EU Aid Volunteers initiative   | 7,69                           | 1,68          | 6,01     | 78,15 %         | 10,65   | 16,67   | 14,70  |
| <b>Total Title 23</b>   |       |  | 7,69                           | 1,68          | 6,01     | 78,15%          | 10,65   | 16,67   | 14,70  |
| <b>Total DG EACEA</b>   |       |  | 674,90                         | 249,05        | 425,85   | 63,10 %         | 510,23  | 936,07  | 938,93   |

"Breakdown of Commitments remaining to be settled (in Mio EUR)"



**TABLE 4 : BALANCE SHEET EU/EXECAGY/EACEA**

| <b>BALANCE SHEET</b>                           | <b>2016</b>         | <b>2015</b>         |
|--|---------------------|---------------------|
| <b>A.I. NON CURRENT ASSETS</b>                 | 36.819.068          | 33.783.287          |
| A.I.5. Non-Current Pre-Financing               | 36.819.068          | 33.783.287          |
| <b>A.II. CURRENT ASSETS</b>                    | 284.241.084         | 269.283.849         |
| A.II.2. Current Pre-Financing                  | 270.770.976         | 255.708.977         |
| A.II.3. Curr Exch Receiv & Non-Ex Recoverables | 13.470.109          | 13.574.871          |
| A.II.6. Cash and Cash Equivalents              | 0                   | 0                   |
| <b>ASSETS</b>                                  | <b>321.060.152</b>  | <b>303.067.136</b>  |
| <b>P.II. CURRENT LIABILITIES</b>               | -109.728.124        | -109.807.567        |
| P.II.4. Current Payables                       | -1.888.832          | -4.013.021          |
| P.II.5. Current Accrued Charges & Defrd Income | -107.839.292        | -105.794.546        |
| <b>LIABILITIES</b>                             | <b>-109.728.124</b> | <b>-109.807.567</b> |
|  |                     |                     |
| <b>NET ASSETS (ASSETS less LIABILITIES)</b>    | <b>211.332.028</b>  | <b>193.259.569</b>  |
|  |                     |                     |
| P.III.2. Accumulated Surplus / Deficit         | 1.942.380.273       | 1.319.101.221       |
|  |                     |                     |
| Non-allocated central (surplus)/deficit*       | -2.153.712.301      | -1.512.360.790      |
|  |                     |                     |
| <b>TOTAL</b>                                   | <b>0</b>            | <b>0</b>            |

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE EU/EXECAGY/EACEA**

| <b>STATEMENT OF FINANCIAL PERFORMANCE</b>   | <b>2016</b>           | <b>2015</b>           |
|---|-----------------------|-----------------------|
| II.1 REVENUES                               | -2.576.130,13         | -2.996.470,61         |
| II.1.1. NON-EXCHANGE REVENUES               | -2.221.516,94         | -2.514.652,98         |
| II.1.1.5. RECOVERY OF EXPENSES              | -2.221.516,94         | -2.197.365,23         |
| II.1.1.6. OTHER NON-EXCHANGE REVENUES       |                       | -317.287,75           |
| II.1.2. EXCHANGE REVENUES                   | -354.613,19           | -481.817,63           |
| II.1.2.1. FINANCIAL INCOME                  | -317.443,19           | -284.532,98           |
| II.1.2.2. OTHER EXCHANGE REVENUE            | -37.170,00            | -197.284,65           |
| II.2. EXPENSES                              | 617.143.151,96        | 626.275.522,87        |
| II.2. EXPENSES                              | 617.143.151,96        | 626.275.522,87        |
| II.2.10. OTHER EXPENSES                     | 1.057.341,47          | 740.742,58            |
| II.2.2. EXP IMPLM BY COMMISS&EX.AGENC. (DM) | 616.059.495,36        | 625.528.157,72        |
| II.2.8. FINANCE COSTS                       | 26.315,13             | 6.622,57              |
| <b>STATEMENT OF FINANCIAL PERFORMANCE</b>   | <b>614.567.021,83</b> | <b>623.279.052,26</b> |

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**TABLE 5bis : OFF BALANCE SHEET EU/EXECAGY/EACEA**

| <b>OFF BALANCE</b>                         | <b>2016</b>     | <b>2015</b>     |
|--|-----------------|-----------------|
| OB.1. Contingent Assets                    | 12.643.018,15   | 17.844.013,81   |
| GR for pre-financing                       | 12.643.018,15   | 17.844.013,81   |
| OB.3. Other Significant Disclosures        | -826.666.944,87 | -817.264.225,30 |
| OB.3.2. Comm against app. not yet consumed | -826.666.944,87 | -817.264.225,30 |
| OB.4. Balancing Accounts                   | 814.023.926,72  | 799.420.211,49  |
| OB.4. Balancing Accounts                   | 814.023.926,72  | 799.420.211,49  |
| <b>OFF BALANCE</b>                         | <b>0,00</b>     | <b>0,00</b>     |

|  |
|--|
|  |
|--|

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 6: AVERAGE PAYMENT TIMES FOR 2016 - DG EACEA**

| Legal Times                 |                          |                                   |            |                              |                      |            |                              |
|-----------------------------|--------------------------|-----------------------------------|------------|------------------------------|----------------------|------------|------------------------------|
| Maximum Payment Time (Days) | Total Number of Payments | Nbr of Payments within Time Limit | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
| 30                          | 4.522                    | 4.280                             | 94,65 %    | 10,3                         | 242                  | 5,35 %     | 45,6                         |
| 45                          | 38                       | 24                                | 63,16 %    | 23,0                         | 14                   | 36,84 %    | 84,0                         |
| 50                          | 3                        | 3                                 | 100,00 %   | 24,7                         |                      |            |                              |
| 60                          | 3.647                    | 3.294                             | 90,32 %    | 29,0                         | 353                  | 9,68 %     | 88,3                         |
| 90                          | 296                      | 269                               | 90,88 %    | 48,1                         | 27                   | 9,12 %     | 222,3                        |

|                                   |              |              |                |             |            |               |             |
|-----------------------------------|--------------|--------------|----------------|-------------|------------|---------------|-------------|
| <b>Total Number of Payments</b>   | <b>8.506</b> | <b>7.870</b> | <b>92,52 %</b> |             | <b>636</b> | <b>7,48 %</b> |             |
| <b>Average Net Payment Time</b>   | <b>23,8</b>  |              |                | <b>19,5</b> |            |               | <b>77,7</b> |
| <b>Average Gross Payment Time</b> | <b>30,5</b>  |              |                | <b>25,5</b> |            |               | <b>92,5</b> |

| Target Times               |                          |                                    |            |                              |                      |            |                              |
|----------------------------|--------------------------|------------------------------------|------------|------------------------------|----------------------|------------|------------------------------|
| Target Payment Time (Days) | Total Number of Payments | Nbr of Payments within Target Time | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
| 20                         | 3.269                    | 3.075                              | 94,07 %    | 8,7                          | 194                  | 5,93 %     | 34,1                         |
| 30                         | 39                       | 18                                 | 46,15 %    | 16,1                         | 21                   | 53,85 %    | 68,9                         |
| 60                         | 1                        |                                    |            |                              | 1                    | 100,00 %   | 286,0                        |
| 75                         | 263                      | 192                                | 73,00 %    | 41,6                         | 71                   | 27,00 %    | 132,1                        |

|                                   |              |              |                |             |            |               |             |
|-----------------------------------|--------------|--------------|----------------|-------------|------------|---------------|-------------|
| <b>Total Number of Payments</b>   | <b>3.572</b> | <b>3.285</b> | <b>91,97 %</b> |             | <b>287</b> | <b>8,03 %</b> |             |
| <b>Average Net Payment Time</b>   | <b>14,7</b>  |              |                | <b>10,6</b> |            |               | <b>61,8</b> |
| <b>Average Gross Payment Time</b> | <b>19,0</b>  |              |                | <b>13,3</b> |            |               | <b>84,3</b> |

| Suspensions                        |                                 |                              |                   |                          |                              |                   |                   |
|------------------------------------|---------------------------------|------------------------------|-------------------|--------------------------|------------------------------|-------------------|-------------------|
| Average Report Approval Suspension | Average Payment Suspension Days | Number of Suspended Payments | % of Total Number | Total Number of Payments | Amount of Suspended Payments | % of Total Amount | Total Paid Amount |
| 1                                  | 33                              | 1.710                        | 20,10 %           | 8.506                    | 156.936.002,53               | 24,36 %           | 644.220.458,48    |

| Late Interest paid in 2016 |            |   |                  |
|----------------------------|------------|---|------------------|
| DG                         | GL Account | Description                                 | Amount (Eur)     |
| EACEA                      | 65010000   | Interest expense on late payment of charges | 4 290,69         |
| EACEA                      | 65010100   | Interest on late payment of charges New FR  | 22 024,44        |
|                            |            |   | <b>26 315,13</b> |

| TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2016 |  |                               |                      |                      |                                |                     |                      |                      |
|---|--|-------------------------------|----------------------|----------------------|--------------------------------|---------------------|----------------------|----------------------|
| Chapter   |  | Revenue and income recognized |                      |                      | Revenue and income cashed from |                     |                      | Outstanding balance  |
|   |  | Current year RO               | Carried over RO      | Total                | Current Year RO                | Carried over RO     | Total                |                      |
|   |  | 1                             | 2                    | 3=1+2                | 4                              | 5                   | 6=4+5                |                      |
| 52  | REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST | 305.929,04                    | 10.084,90            | 316.013,94           | 274.982,32                     | 7.399,53            | 282.381,85           | 33.632,09            |
| 60  | CONTRIBUTIONS TO UNION PROGRAMMES                                  | -48.937,09                    | 89.509,52            | 40.572,43            | -48.937,09                     | 73.230,12           | 24.293,03            | 16.279,40            |
| 61  | REPAYMENT OF MISCELLANEOUS EXPENDITURE                             | 760.997,04                    | 4.598.876,45         | 5.359.873,49         | 7.751,22                       | 599.698,84          | 607.450,06           | 4.752.423,43         |
| 66  | OTHER CONTRIBUTIONS AND REFUNDS                                    | 14.151.089,47                 | 9.304.517,40         | 23.455.606,87        | 11.959.745,93                  | 2.525.751,47        | 14.485.497,40        | 8.970.109,47         |
| <b>Total DG EACEA</b>                             |  | <b>15.169.078,46</b>          | <b>14.002.988,27</b> | <b>29.172.066,73</b> | <b>12.193.542,38</b>           | <b>3.206.079,96</b> | <b>15.399.622,34</b> | <b>13.772.444,39</b> |

**TABLE 8 : RECOVERY OF PAYMENTS  
(Number of Recovery Contexts and corresponding Transaction Amount)**

| INCOME BUDGET<br>RECOVERY ORDERS<br>ISSUED IN 2016<br><br>Year of Origin<br>(commitment) | Error     |                   | Irregularity |                     | Total undue payments recovered |                      | Total transactions in recovery context (incl. non-qualified) |                      | % Qualified/Total RC |               |
|--|-----------|-------------------|--------------|---------------------|--------------------------------|----------------------|--|----------------------|----------------------|---------------|
|  | Nbr       | RO Amount         | Nbr          | RO Amount           | Nbr                            | RO Amount            | Nbr  | RO Amount            | Nbr                  | RO Amount     |
|  | 2006      |                   |              | 2                   | 42.318,20                      | 2                    | 42.318,20  | 2                    | 42.318,20            | 100,00%       |
| 2007   |           |                   | 10           | 57.755,31           | 10                             | 57.755,31            | 10   | 57.755,31            | 100,00%              | 100,00%       |
| 2008   | 1         | 27.536,49         | 22           | 564.673,33          | 23                             | 592.209,82           | 23   | 592.209,82           | 100,00%              | 100,00%       |
| 2009   |           |                   | 60           | 1.123.301,84        | 60                             | 1.123.301,84         | 60   | 1.123.301,84         | 100,00%              | 100,00%       |
| 2010   | 1         | 14.219,54         | 43           | 1.388.379,89        | 44                             | 1.402.599,43         | 50   | 1.514.872,97         | 88,00%               | 92,59%        |
| 2011   | 5         | 359.336,23        | 54           | 2.977.537,62        | 59                             | 3.336.873,85         | 106  | 5.701.890,65         | 55,66%               | 58,52%        |
| 2012   | 9         | 109.779,97        | 29           | 531.128,63          | 38                             | 640.908,60           | 57   | 1.268.286,67         | 66,67%               | 50,53%        |
| 2013   | 5         | 43.279,97         | 73           | 970.011,87          | 78                             | 1.013.291,84         | 157  | 2.362.139,38         | 49,68%               | 42,90%        |
| 2014   | 7         | 81.767,20         | 85           | 1.334.680,18        | 92                             | 1.416.447,38         | 164  | 2.035.767,23         | 56,10%               | 69,58%        |
| 2015   | 8         | 60.779,55         | 70           | 941.821,18          | 78                             | 1.002.600,73         | 104  | 1.280.120,28         | 75,00%               | 78,32%        |
| 2016   |           |                   | 2            | 11.864,34           | 2                              | 11.864,34            | 2  | 11.864,34            | 100,00%              | 100,00%       |
| <b>Sub-Total</b>   | <b>36</b> | <b>696.698,95</b> | <b>450</b>   | <b>9.943.472,39</b> | <b>486</b>                     | <b>10.640.171,34</b> | <b>735</b>   | <b>15.990.526,69</b> | <b>66,12%</b>        | <b>66,54%</b> |

| EXPENSES BUDGET             | Error      |                     | Irregularity |                      | OLAF Notified |        | Total undue payments recovered |                      | Total transactions in recovery context (incl. non-qualified) |                      | % Qualified/Total RC |               |
|-----------------------------|------------|---------------------|--------------|----------------------|---------------|--------|--------------------------------|----------------------|--|----------------------|----------------------|---------------|
|                             | Nbr        | Amount              | Nbr          | Amount               | Nbr           | Amount | Nbr                            | Amount               | Nbr  | Amount               | Nbr                  | Amount        |
| INCOME LINES IN INVOICES    |            |                     |              |                      |               |        |                                |                      |  |                      |                      |               |
| NON ELIGIBLE IN COST CLAIMS | 196        | 1.402.790,53        | 866          | 7.825.012,85         |               |        | 1.062                          | 9.227.803,38         | 1.064  | 9.291.378,38         | 99,81%               | 99,32%        |
| CREDIT NOTES                |            |                     |              |                      |               |        |                                |                      |  |                      |                      |               |
| <b>Sub-Total</b>            | <b>196</b> | <b>1.402.790,53</b> | <b>866</b>   | <b>7.825.012,85</b>  |               |        | <b>1.062</b>                   | <b>9.227.803,38</b>  | <b>1.064</b>   | <b>9.291.378,38</b>  | <b>99,81%</b>        | <b>99,32%</b> |
| <b>GRAND TOTAL</b>          | <b>232</b> | <b>2.113.210,09</b> | <b>1.316</b> | <b>18.215.677,36</b> |               |        | <b>1.548</b>                   | <b>20.328.887,45</b> | <b>1.799</b>   | <b>25.281.905,07</b> | <b>86,05%</b>        | <b>35,85%</b> |



**TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2016 FOR EACEA**

|      | Number at<br>1/01/2016 | Number at<br>31/12/2016 | Evolution | Open Amount<br>(Eur) at 1/01/2016 | Open Amount<br>(Eur) at 31/12/2016 | Evolution |
|------|------------------------|-------------------------|-----------|-----------------------------------|------------------------------------|-----------|
| 2001 | 2                      | 2                       | 0,00 %    | 20.833,32                         | 20.833,32                          | 0,00 %    |
| 2002 | 4                      | 1                       | -75,00 %  | 143.846,40                        | 10.000,00                          | -93,05 %  |
| 2003 | 8                      | 8                       | 0,00 %    | 196.250,00                        | 196.250,00                         | 0,00 %    |
| 2004 | 6                      | 4                       | -33,33 %  | 197.418,29                        | 98.357,50                          | -50,18 %  |
| 2005 | 2                      | 2                       | 0,00 %    | 58.001,98                         | 58.001,98                          | 0,00 %    |
| 2006 | 4                      | 4                       | 0,00 %    | 457.398,36                        | 457.398,36                         | 0,00 %    |
| 2007 | 6                      | 6                       | 0,00 %    | 216.492,50                        | 216.492,50                         | 0,00 %    |
| 2008 | 18                     | 15                      | -16,67 %  | 679.749,62                        | 660.084,00                         | -2,89 %   |
| 2009 | 8                      | 8                       | 0,00 %    | 404.502,78                        | 404.055,01                         | -0,11 %   |
| 2010 | 14                     | 9                       | -35,71 %  | 1.603.600,50                      | 1.440.937,45                       | -10,14 %  |
| 2011 | 6                      | 6                       | 0,00 %    | 209.154,27                        | 209.154,27                         | 0,00 %    |
| 2012 | 17                     | 11                      | -35,29 %  | 619.415,82                        | 340.240,84                         | -45,07 %  |
| 2013 | 48                     | 44                      | -8,33 %   | 870.571,02                        | 793.293,65                         | -8,88 %   |
| 2014 | 57                     | 49                      | -14,04 %  | 3.919.578,21                      | 3.721.810,52                       | -5,05 %   |
| 2015 | 128                    | 53                      | -58,59 %  | 4.629.969,05                      | 2.393.792,76                       | -48,30 %  |
| 2016 |                        | 127                     |           |                                   | 2.979.165,52                       |           |
|      | 328                    | 349                     | 6,40 %    | 14.226.782,12                     | 13.999.867,68                      | -1,59 %   |

**TABLE 10 : RECOVERY ORDER WAIVERS IN 2016 >= EUR 100.000**

|   | Waiver Central Key | Linked RO Central Key | RO Accepted Amount (Eur) | LE Account Group  | Commission Decision       | Comments |
|---|--------------------|-----------------------|--------------------------|-------------------|---------------------------|----------|
| 1 | 3233160057         | 3241201070            | -120.455,30              | Private Companies | C(2015) 8623 of 7/12/2015 |          |

|                 |                    |
|-----------------|--------------------|
| <b>Total DG</b> | <b>-120.455,30</b> |
|-----------------|--------------------|

|                             |          |
|-----------------------------|----------|
| <b>Number of RO waivers</b> | <b>1</b> |
|-----------------------------|----------|

*Justifications:*

*Please enter the text directly (no copy/paste of formatted text which would then disappear when saving the document in pdf), use "ctrl+enter" to go to the next line and "enter" to validate your typing.*

**TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG EACEA - 2016**

**Procurement > EUR 60,000**

| Negotiated Procedure Legal base | Number of Procedures | Amount (€)          |
|---------------------------------|----------------------|---------------------|
| Art. 134.1(b)                   | 1                    | 4.180.000,00        |
| <b>Total</b>                    | <b>1,</b>            | <b>4.180.000,00</b> |

**TABLE 12 : SUMMARY OF PROCEDURES OF DG EACEA EXCLUDING BUILDING CONTRACTS**

| <b>Internal Procedures &gt; € 60,000</b>   |              |                      |
|--|--------------|----------------------|
| <b>Procedure Type</b>  | <b>Count</b> | <b>Amount (€)</b>    |
| Exceptional Negotiated Procedure without publication of a contract notice (Art. 134 RAP) | 1            | 4.180.000,00         |
| Open Procedure (Art. 104(1) (a) FR)  | 5            | 28.207.936,00        |
| <b>TOTAL</b>   | <b>6</b>     | <b>32.387.936,00</b> |

**Additional comments**

This annex refers to the operational budget of the Commission managed by the Agency. The same Annex has also been prepared for the administrative budget. The figures refer to the number of procurement contracts awarded in 2016, taking into account the value of the award decisions and not the amount of the yearly contracts.

**TABLE 13 : BUILDING CONTRACTS**

|                                    |  |
|------------------------------------|--|
| <b>Total number of contracts :</b> |  |
| <b>Total amount :</b>              |  |

| <b>Legal base</b> | <b>Contract Number</b> | <b>Contractor Name</b> | <b>Description</b> | <b>Amount (€)</b> |
|-------------------|------------------------|------------------------|--------------------|-------------------|
|                   |                        |                        |                    |                   |

**No data to be reported**

**TABLE 14 : CONTRACTS DECLARED SECRET**

**Total Number of Contracts :**

**Total amount :**

|  |
|--|
|  |
|--|

| <b>Legal base</b> | <b>Contract Number</b> | <b>Contractor Name</b> | <b>Type of contract</b> | <b>Description</b> | <b>Amount (€)</b> |
|-------------------|------------------------|------------------------|-------------------------|--------------------|-------------------|
|                   |                        |                        |                         |                    |                   |

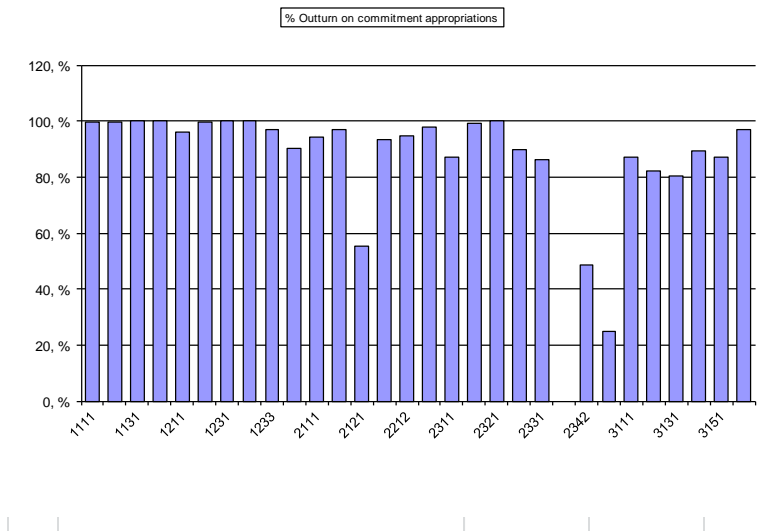
**No data to be reported**

**Annex 3 Financial Reports - EACEA - Financial Year 2016****Administrative Budget****Table 1 : Commitments****Table 2 : Payments****Table 3 : Commitments to be settled****Table 4 : Balance Sheet****Table 5 : Statement of Financial Performance****Table 5 Bis : Off Balance Sheet****Table 6 : Average Payment Times****Table 7 : Income****Table 8 : Recovery of undue Payments****Table 9 : Ageing Balance of Recovery Orders****Table 10 : Waivers of Recovery Orders****Table 11 : Negotiated Procedures****Table 12 : Summary of Procedures**

**Additional comments**

| TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2016 (in Mio €) |  |  |                  |               |
|--|--|--|------------------|---------------|
| Chapter  |  | Commitment appropriations authorised * | Commitments made | %             |
|  |  | 1                                      | 2                | 3=2/1         |
| <b>Title 1 DEP DE PERSONNEL</b>                                  |  |  |                  |               |
| 1111   | Agents temporaires                                 | 11,93                                  | 11,87            | 99,50%        |
| 1121   | Agents contractuels                                | 16,57                                  | 16,53            | 99,74%        |
| 1131   | Intérimaires                                       | 3,00                                   | 3,00             | 100,00%       |
| 1132   | Stagiaires   | 0,28                                   | 0,28             | 99,95%        |
| 1211   | Gestion administrative du personnel                | 0,41                                   | 0,39             | 95,98%        |
| 1221   | Cours de langues, recyclage et perfect professionn | 0,29                                   | 0,29             | 99,83%        |
| 1231   | Service médical                                    | 0,10                                   | 0,10             | 100,00%       |
| 1232   | Mobilité   | 0,05                                   | 0,05             | 100,00%       |
| 1233   | Services sociaux et autres interventions           | 0,74                                   | 0,71             | 97,06%        |
| 1241   | Frais de représentation, réunions int et évènement | 0,05                                   | 0,05             | 90,34%        |
| <b>Total Title 1</b>   |  | <b>33,41</b>                           | <b>33,27</b>     | <b>99,56%</b> |
| <b>Title 2 Infrastructure et dépenses de fonctionnement</b>      |  |  |                  |               |
| 2111   | Loyers, usufruits et frais accessoires             | 2,65                                   | 2,50             | 94,33%        |
| 2112   | Charges relatives aux immeubles                    | 3,53                                   | 3,42             | 96,92%        |
| 2121   | Aménagements des locaux et autres dépenses         | 0,09                                   | 0,05             | 55,43%        |
| 2211   | Équipement de traitement de données et télécomm    | 0,56                                   | 0,52             | 93,47%        |
| 2212   | Applications informatiques                         | 0,70                                   | 0,66             | 94,92%        |
| 2221   | Autres services ext pour le traitement des données | 2,23                                   | 2,18             | 97,75%        |
| 2311   | Achat, location, entretien et rép mob, mat et inst | 0,02                                   | 0,02             | 86,87%        |
| 2312   | Frais de manutention et déménagements              | 0,30                                   | 0,30             | 99,29%        |
| 2321   | Papeterie et fournitures de bureau                 | 0,08                                   | 0,08             | 100,00%       |
| 2322   | Affranchissement et frais de port                  | 0,05                                   | 0,05             | 90,00%        |
| 2331   | Biblio, abon publications, acq et archi de documen | 0,05                                   | 0,04             | 86,41%        |
| 2341   | Frais bancaires et autres frais financiers         | 0,00                                   | 0,00             | 0,00%         |
| 2342   | Frais de contentieux, dommages et intérêts         | 0,05                                   | 0,02             | 48,50%        |
| 2351   | Assurances et autres dép diverses de fonct courant | 0,01                                   | 0,00             | 25,00%        |
| <b>Total Title 2</b>   |  | <b>10,32</b>                           | <b>9,84</b>      | <b>95,43%</b> |
| <b>Title 3 Dépenses de support aux programmes</b>                |  |  |                  |               |
| 3111   | Réunions   | 0,29                                   | 0,25             | 87,12%        |
| 3121   | Information, communication et publication          | 0,51                                   | 0,42             | 82,27%        |
| 3131   | Traduction et interprétation                       | 0,64                                   | 0,52             | 80,47%        |
| 3141   | Audits   | 1,00                                   | 0,89             | 89,41%        |
| 3151   | Frais de missions, déplacements et autres dép acce | 0,59                                   | 0,52             | 87,29%        |
| 3161   | Informatique spécifique                            | 2,33                                   | 2,25             | 96,75%        |
| <b>Total Title 3</b>   |  | <b>5,36</b>                            | <b>4,85</b>      | <b>90,49%</b> |
| <b>TOTAL EACEA</b>   |  | <b>49,08</b>                           | <b>47,96</b>     | <b>97,71%</b> |

\* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal and external assigned revenue).



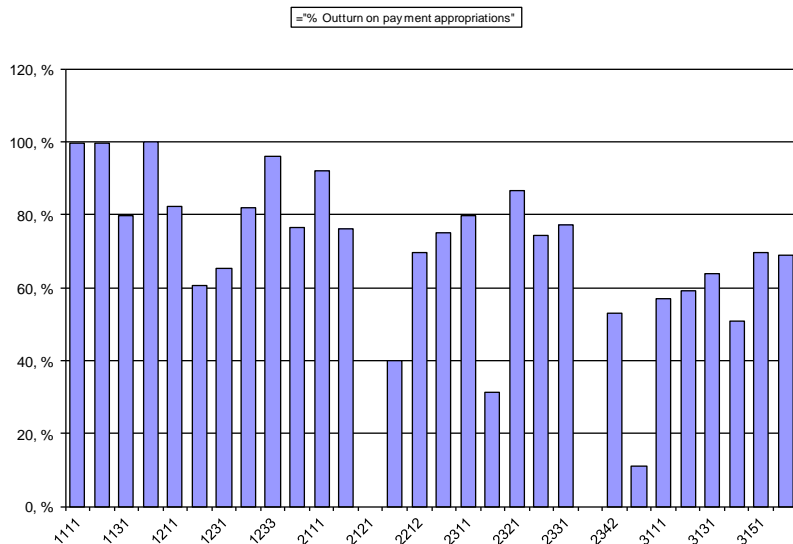
| TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2016 (in Mio €) |  |                                     |               |               |
|---|--|-------------------------------------|---------------|---------------|
| Chapter   |  | Payment appropriations authorised * | Payments made | %             |
|   |  | 1                                   | 2             | 3=2/1         |
| <b>Title 1 DEP DE PERSONNEL</b>                               |  |                                     |               |               |
| 1111  | Agents temporaires                                 | 11,93                               | 11,86         | 99,47%        |
| 1121  | Agents contractuels                                | 16,57                               | 16,53         | 99,74%        |
| 1131  | Intérimaires                                       | 3,28                                | 2,62          | 79,77%        |
| 1132  | Stagiaires   | 0,28                                | 0,28          | 99,95%        |
| 1211  | Gestion administrative du personnel                | 0,46                                | 0,38          | 82,26%        |
| 1221  | Cours de langues, recyclage et perfect professionn | 0,45                                | 0,28          | 60,66%        |
| 1231  | Service médical                                    | 0,13                                | 0,09          | 65,08%        |
| 1232  | Mobilité   | 0,06                                | 0,05          | 81,94%        |
| 1233  | Services sociaux et autres interventions           | 0,74                                | 0,71          | 96,14%        |
| 1241  | Frais de représentation, réunions int et évènement | 0,06                                | 0,04          | 76,29%        |
| <b>Total 1</b>  |  | <b>33,96</b>                        | <b>32,83</b>  | <b>96,68%</b> |

| <b>Title 2 Infrastructure et dépenses de fonctionnement</b> |  |              |             |               |
|---|--|--------------|-------------|---------------|
| 2111  | Loyers, usufruits et frais accessoires             | 2,65         | 2,44        | 92,13%        |
| 2112  | Charges relatives aux immeubles                    | 3,83         | 2,92        | 76,25%        |
| 2121  | Aménagements des locaux et autres dépenses         | 0,09         | 0,00        | 0,00 %        |
| 2211  | Équipement de traitement de données et télécomm    | 0,74         | 0,29        | 39,88%        |
| 2212  | Applications informatiques                         | 0,83         | 0,58        | 69,60%        |
| 2221  | Autres services ext pour le traitement des données | 2,81         | 2,11        | 74,99%        |
| 2311  | Achat, location, entretien et rép mob, mat et inst | 0,03         | 0,02        | 79,72%        |
| 2312  | Frais de manutention et déménagements              | 0,32         | 0,10        | 31,09%        |
| 2321  | Papeterie et fournitures de bureau                 | 0,09         | 0,08        | 86,43%        |
| 2322  | Affranchissement et frais de port                  | 0,06         | 0,04        | 74,45%        |
| 2331  | Biblio, abon publications, acq et archi de documen | 0,05         | 0,04        | 76,99%        |
| 2341  | Frais bancaires et autres frais financiers         | 0,00         | 0,00        | 0,00 %        |
| 2342  | Frais de contentieux, dommages et intérêts         | 0,09         | 0,05        | 53,06%        |
| 2351  | Assurances et autres dép diverses de fonct courant | 0,01         | 0,00        | 10,87%        |
| <b>Total 2</b>  |  | <b>11,61</b> | <b>8,68</b> | <b>74,76%</b> |

| <b>Title 3 Dépenses de support aux programmes</b> |  |             |             |               |
|---|--|-------------|-------------|---------------|
| 3111  | Réunions   | 0,44        | 0,25        | 56,82%        |
| 3121  | Information, communication et publication          | 1,06        | 0,63        | 58,98%        |
| 3131  | Traduction et interprétation                       | 0,83        | 0,53        | 63,83%        |
| 3141  | Audits   | 2,01        | 1,02        | 50,73%        |
| 3151  | Frais de missions, déplacements et autres dép acce | 0,68        | 0,47        | 69,73%        |
| 3161  | Informatique spécifique                            | 3,15        | 2,17        | 68,85%        |
| <b>Total 3</b>                                    |  | <b>8,17</b> | <b>5,07</b> | <b>62,03%</b> |

| <b>TOTAL EACEA</b> |  | <b>53,74</b> | <b>46,58</b> | <b>86,67%</b> |
|--------------------|--|--------------|--------------|---------------|
|--------------------|--|--------------|--------------|---------------|

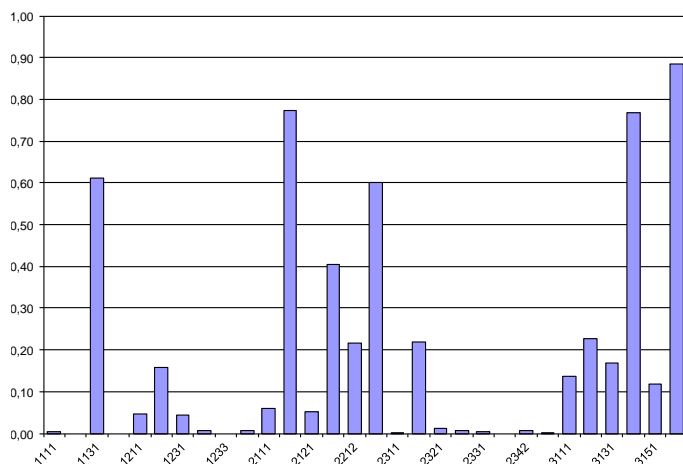
\* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).





| TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2016 (in Mio €) |  |                    |                   |                            |               |
|---|--|--------------------|-------------------|----------------------------|---------------|
| Chapter   | 2016 Commitments to be settled                     |                    |                   |                            |               |
|   | Commitments 2016<br>1                              | Payments 2016<br>2 | RAL 2016<br>3=1-2 | % to be settled<br>4=1-2/1 |               |
| <b>Title 1 DEP DE PERSONNEL</b>   |  |                    |                   |                            |               |
| 1111  | Agents temporaires                                 | 11,87              | -11,86            | 0,00                       | 0,03%         |
| 1121  | Agents contractuels                                | 16,53              | -16,53            | 0,00                       | 0,00%         |
| 1131  | Intérimaires                                       | 3,00               | -2,39             | 0,61                       | 20,37%        |
| 1132  | Stagiaires   | 0,28               | -0,28             | 0,00                       | 0,00%         |
| 1211  | Gestion administrative du personnel                | 0,39               | -0,35             | 0,05                       | 11,47%        |
| 1221  | Cours de langues, recyclage et perfect professionn | 0,29               | -0,13             | 0,16                       | 55,09%        |
| 1231  | Service médical                                    | 0,10               | -0,06             | 0,04                       | 42,46%        |
| 1232  | Mobilité   | 0,05               | -0,04             | 0,01                       | 15,42%        |
| 1233  | Services sociaux et autres interventions           | 0,71               | -0,71             | 0,00                       | 0,00%         |
| 1241  | Frais de représentation, réunions int et évènement | 0,05               | -0,04             | 0,01                       | 15,98%        |
| <b>Total 1</b>  |  | <b>33,27</b>       | <b>-32,39</b>     | <b>0,88</b>                | <b>2,63%</b>  |
| <b>Title 2 Infrastructure et dépenses de fonctionnement</b>               |  |                    |                   |                            |               |
| 2111  | Loyers, usufruits et frais accessoires             | 2,50               | -2,44             | 0,06                       | 2,34%         |
| 2112  | Charges relatives aux immeubles                    | 3,42               | -2,65             | 0,77                       | 22,58%        |
| 2121  | Aménagements des locaux et autres dépenses         | 0,05               | 0,00              | 0,05                       | 100,00%       |
| 2211  | Equipement de traitement de données et télécomm    | 0,52               | -0,12             | 0,41                       | 77,39%        |
| 2212  | Applications informatiques                         | 0,66               | -0,45             | 0,22                       | 32,73%        |
| 2221  | Autres services ext pour le traitement des données | 2,18               | -1,58             | 0,60                       | 27,57%        |
| 2311  | Achat, location, entretien et rép mob, mat et inst | 0,02               | -0,02             | 0,00                       | 2,52%         |
| 2312  | Frais de manutention et déménagements              | 0,30               | -0,08             | 0,22                       | 73,20%        |
| 2321  | Papeterie et fournitures de bureau                 | 0,08               | -0,06             | 0,01                       | 14,34%        |
| 2322  | Affranchissement et frais de port                  | 0,05               | -0,04             | 0,01                       | 13,33%        |
| 2331  | Biblio, abon publications, acq et archi de documen | 0,04               | -0,03             | 0,01                       | 12,96%        |
| 2341  | Frais bancaires et autres frais financiers         | 0,00               | 0,00              | 0,00                       | #DIV/0        |
| 2342  | Frais de contentieux, dommages et intérêts         | 0,02               | -0,02             | 0,01                       | 26,47%        |
| 2351  | Assurances et autres dép diverses de fonct courant | 0,00               | 0,00              | 0,00                       | 52,20%        |
| <b>Total 2</b>  |  | <b>9,84</b>        | <b>-7,49</b>      | <b>2,35</b>                | <b>23,92%</b> |
| <b>Title 3 Dépenses de support aux programmes</b>                         |  |                    |                   |                            |               |
| 3111  | Réunions   | 0,25               | -0,12             | 0,14                       | 53,63%        |
| 3121  | Information, communication et publication          | 0,42               | -0,19             | 0,23                       | 53,82%        |
| 3131  | Traduction et interprétation                       | 0,52               | -0,35             | 0,17                       | 32,53%        |
| 3141  | Audits   | 0,89               | -0,13             | 0,77                       | 85,91%        |
| 3151  | Frais de missions, déplacements et autres dép acce | 0,52               | -0,40             | 0,12                       | 22,67%        |
| 3161  | Informatique spécifique                            | 2,25               | -1,37             | 0,88                       | 39,29%        |
| <b>Total 3</b>  |  | <b>4,85</b>        | <b>-2,55</b>      | <b>2,30</b>                | <b>47,41%</b> |
| <b>TOTAL EACEA</b>  |  | <b>47,96</b>       | <b>-42,43</b>     | <b>5,53</b>                | <b>11,53%</b> |

"Breakdown of Commitments remaining to be settled (in Mio EUR)"



**TABLE 4 : BALANCE SHEET EACEA**

| <b>BALANCE SHEET</b>                           | <b>2016</b>       | <b>2015</b>       |
|--|-------------------|-------------------|
| <b>A.I. NON CURRENT ASSETS</b>                 | <b>2.742.076</b>  | <b>2.591.237</b>  |
| A.I.1. Intangible Assets                       | 2.500.308         | 2.173.849         |
| A.I.2. Property, Plant and Equipment           | 241.769           | 417.388           |
| <b>A.II. CURRENT ASSETS</b>                    | <b>7.927.106</b>  | <b>6.318.930</b>  |
| A.II.2. Current Pre-Financing                  | 0                 | 0                 |
| A.II.3. Curr Exch Receiv & Non-Ex Recoverables | 667.607           | 484.610           |
| A.II.6. Cash and Cash Equivalents              | 7.259.499         | 5.834.320         |
| <b>ASSETS</b>                                  | <b>10.669.182</b> | <b>8.910.166</b>  |
| <b>P.II. CURRENT LIABILITIES</b>               | <b>-5.478.441</b> | <b>-4.269.352</b> |
| P.II.2. Current Provisions                     | -68.000           | -250.000          |
| P.II.4. Current Payables                       | -2.237.702        | -1.443.482        |
| P.II.5. Current Accrued Charges & Defrd Income | -3.172.740        | -2.575.870        |
| <b>LIABILITIES</b>                             | <b>-5.478.441</b> | <b>-4.269.352</b> |
| <b>NET ASSETS (ASSETS less LIABILITIES)</b>    | <b>5.190.741</b>  | <b>4.640.814</b>  |
| P.III.2. Accumulated Surplus / Deficit         | -4.640.814        | -4.980.532        |
| Non-allocated central (surplus)/deficit*       | -549.927          | 339.718           |
| <b>TOTAL</b>                                   | <b>0</b>          | <b>0</b>          |

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE EACEA**

| <b>STATEMENT OF FINANCIAL PERFORMANCE</b> | <b>2016</b>        | <b>2015</b>        |
|---|--------------------|--------------------|
| <b>II.1 REVENUES</b>                      | <b>-47.440.944</b> | <b>-45.550.884</b> |
| II.1.1. NON-EXCHANGE REVENUES             | -46.882.547        | -45.238.502        |
| II.1.1.6. OTHER NON-EXCHANGE REVENUES     | -46.882.547        | -45.238.502        |
| II.1.2. EXCHANGE REVENUES                 | -558.397           | -312.382           |
| II.1.2.1. FINANCIAL INCOME                | -6.215             | -21.007            |
| II.1.2.2. OTHER EXCHANGE REVENUE          | -552.183           | -291.375           |
| <b>II.2. EXPENSES</b>                     | <b>46.891.017</b>  | <b>45.890.602</b>  |
| II.2. EXPENSES                            | 46.891.017         | 45.890.602         |
| II.2.10. OTHER EXPENSES                   | 18.005.635         | 18.627.696         |
| II.2.6. STAFF AND PENSION COSTS           | 28.885.375         | 27.261.655         |
| II.2.8. FINANCE COSTS                     | 7                  | 1.250              |
| <b>STATEMENT OF FINANCIAL PERFORMANCE</b> | <b>-549.927</b>    | <b>339.718</b>     |

*Explanatory Notes (facultative):*

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 5bis : OFF BALANCE SHEET EACEA**

| <b>OFF BALANCE</b>                              | <b>2016</b>       | <b>2015</b>       |
|---|-------------------|-------------------|
| RAL-Comm against appropriation not yet consumed | -2.420.461        | -2.432.846        |
| Operating lease commitments                     | -359.557          | -2.338.907        |
| <b>TOTAL - OFF BALANCE SHEET ACCOUNTS</b>       | <b>-2.780.018</b> | <b>-4.771.753</b> |

*Explanatory Notes (facultative):*

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 6: AVERAGE PAYMENT TIMES FOR 2016 - DG EACEA**

| Legal Times                 |                          |                             |            |                              |                      |            |                              |
|-----------------------------|--------------------------|-----------------------------|------------|------------------------------|----------------------|------------|------------------------------|
| Maximum Payment Time (Days) | Total Number of Payments | Nbr of Payments within Time | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
| 30                          | 1.055                    | 1.036                       | 98,2%      | 17,8                         | 19                   | 1,8%       | 34,5                         |
| 45                          | 30                       | 30                          | 100,0%     | 20,4                         |                      |            |                              |
| 50                          | 1                        | 1                           | 100,0%     | 19,0                         |                      |            |                              |
| 60                          | 1                        | 1                           | 100,0%     | 52,0                         |                      |            |                              |

|                            |       |       |       |      |    |      |      |
|----------------------------|-------|-------|-------|------|----|------|------|
| Total Number of Payments   | 1.087 | 1.068 | 98,3% |      | 19 | 1,7% |      |
| Average Net Payment Time   | 18,2  |       |       | 17,9 |    |      | 34,5 |
| Average Gross Payment Time | 18,8  |       |       | 18,5 |    |      | 35,3 |

**Target Times**

| Target Payment Time (Days) | Total Number of Payments | Nbr of Payments within Target Time | Percentage | Average Payment Times (Days) | Nbr of Late Payments | Percentage | Average Payment Times (Days) |
|----------------------------|--------------------------|------------------------------------|------------|------------------------------|----------------------|------------|------------------------------|
|                            |                          |                                    |            |                              |                      |            |                              |

|                            |  |  |  |  |  |  |  |
|----------------------------|--|--|--|--|--|--|--|
| Total Number of Payments   |  |  |  |  |  |  |  |
| Average Net Payment Time   |  |  |  |  |  |  |  |
| Average Gross Payment Time |  |  |  |  |  |  |  |

**Suspensions**

| Average Report Approval Suspension Days | Average Payment Suspension Days | Number of Suspended Payments | % of Total Number | Total Number of Payments | Amount of Suspended Payments | % of Total Amount | Total Paid Amount |
|---|---------------------------------|------------------------------|-------------------|--------------------------|------------------------------|-------------------|-------------------|
| 0,0                                     | 15,6                            | 45                           | 4,1%              | 1.087                    | 570.619,71                   | 2,3%              | 25.244.123,70     |

**Late Interest paid in 2016**

| Agency | GL Account | Description                                 | Amount (Eur) |
|--------|------------|---|--------------|
| EACA   | 65010000   | Interest expense on late payment of charges | 7,0          |
|        |            |   | 7,0          |

| TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2016 |   |                |                               |                           |                     |
|---|---|----------------|-------------------------------|---------------------------|---------------------|
| Title   | Description                             | Year of Origin | Revenue and Income recognized | Revenue and Income cashed | Outstanding Balance |
| 1111  | Subv.DG EAC(Erasmus+)                   | 2016           | 26.922.790,00                 | 26.922.790,00             | 0,00                |
| 1112  | Subv.DG EAC + DG CNET(Europe Creative)  | 2016           | 12.790.760,00                 | 12.790.760,00             | 0,00                |
| 1113  | Subv.DG HOME (Europe pour les Citoyens) | 2016           | 2.163.000,00                  | 2.163.000,00              | 0,00                |
| 1114  | Subv.DG DEVCO + DG EAC (DCI/ENI)        | 2016           | 4.760.160,00                  | 4.760.160,00              | 0,00                |
| 1115  | Subvention: DG FPI (PI)                 | 2016           | 221.000,00                    | 221.000,00                | 0,00                |
| 1116  | Subvention: DG NEAR + DG EAC (IPA)      | 2016           | 798.460,00                    | 798.460,00                | 0,00                |
| 1117  | Subvention: DG ECHO (EU AID)            | 2016           | 989.000,00                    | 989.000,00                | 0,00                |
| 1121  | Subvention: FED                         | 2016           | 437.000,00                    | 437.000,00                | 0,00                |
| 2311  | Remboursement de dépenses diverses      | 2016           | 119.027,55                    | 119.027,55                | 0,00                |
| <b>TOTAL EACEA</b>                                |   |                | <b>49.201.197,55</b>          | <b>49.201.197,55</b>      | <b>0,00</b>         |

**TABLE 8 : RECOVERY OF PAYMENTS  
(Number of Recovery Contexts and corresponding Transaction Amount)**

| INCOME<br>BUDGET RECOVERY<br>ORDERS ISSUED IN<br>2016<br>Year of Origin<br>(commitment) | Total undue payments recovered |           | Total transactions in recovery context (incl. non-qualified) |           | % Qualified/Total RC |           |
|---|--------------------------------|-----------|--|-----------|----------------------|-----------|
|   | Nbr                            | RO Amount | Nbr  | RO Amount | Nbr                  | RO Amount |
|   | Sub-Total                      |           |  |           |                      |           |

| EXPENSES BUDGET             | Error |        | Irregularity |        | OLAF Notified |        | Total undue payments recovered |        | Total transactions in recovery context (incl. non-qualified) |           | % Qualified/Total RC |        |
|-----------------------------|-------|--------|--------------|--------|---------------|--------|--------------------------------|--------|--|-----------|----------------------|--------|
|                             | Nbr   | Amount | Nbr          | Amount | Nbr           | Amount | Nbr                            | Amount | Nbr  | Amount    | Nbr                  | Amount |
| INCOME LINES IN INVOICES    |       |        |              |        |               |        |                                |        |  |           |                      |        |
| NON ELIGIBLE IN COST CLAIMS |       |        |              |        |               |        |                                |        |  |           |                      |        |
| CREDIT NOTES                |       |        |              |        |               |        |                                |        | 1  | 10.632,60 |                      |        |
| Sub-Total                   |       |        |              |        |               |        |                                |        | 1  | 10.632,60 |                      |        |
| <b>GRAND TOTAL</b>          |       |        |              |        |               |        |                                |        | 1  | 10.632,60 |                      |        |

**TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2016 FOR EACEA**

| Year of Origin | Number at 01/01/2016 | Number at 31/12/2016 | Evolution | Open Amount (Eur) at 01/01/2016 | Open Amount (Eur) at 31/12/2016 | Evolution |
|----------------|----------------------|----------------------|-----------|---------------------------------|---------------------------------|-----------|
| 2015           | 2                    | 1                    | -50,00 %  | 1.657,40                        | 62,80                           | -96,21 %  |
| Totals         | 2                    | 1                    | -50,00 %  | 1.657,40                        | 62,80                           | -96,21 %  |

**TABLE 10 : RECOVERY ORDER WAIVERS IN 2016 >= EUR 100.000**

|    | Waiver Central Key | Linked RO Central Key | RO Accepted amount (Eur) | LE Account Group | Commission Decision | Comments |
|----|--------------------|-----------------------|--------------------------|------------------|---------------------|----------|
| 1, |                    |                       |                          |                  |                     |          |

Total EACEA

Number of RO waivers

**TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - EACEA - 2016**

**Procurement > EUR 60,000**

| Negotiated Procedure Legal base | Number of Procedures | Amount (€) |
|---------------------------------|----------------------|------------|
|                                 |                      |            |
| <b>Total</b>                    |                      |            |

**TABLE 12 : SUMMARY OF PROCEDURES OF EACEA EXCLUDING BUILDING CONTRACTS**

| <b>Internal Procedures &gt; € 60,000</b> |              |                   |
|--|--------------|-------------------|
| <b>Procedure Type</b>                    | <b>Count</b> | <b>Amount (€)</b> |
|  |              |                   |
| <b>TOTAL</b>                             |              |                   |

**Additional comments**

This annex refers to the administrative budget of the Commission managed by the Agency.  
The same Annex has also been prepared for the operational budget.  
In 2016 no contract for an amount of more than € 60,000 was awarded.



## ANNEX 4: Materiality criteria

The materiality criteria are judged in both qualitative and quantitative terms. In qualitative terms, when assessing the significance of any weaknesses, the following factors are taken into account:

- The nature and scope of the weakness;
- The duration of the weakness;
- The existence of compensatory measures (mitigating controls which reduce the impact of the weakness);
- The existence of effective corrective actions to correct the weaknesses (action plans and financial corrections) which have had a measurable impact.

In quantitative terms, in order to make a judgement on the significance of a weakness, it is essential to quantify the potential impact in monetary terms or the amount considered at risk. As regards the legality and regularity of the underlying transactions, the Agency uses the standard quantitative materiality threshold of 2% of the payments made of the relevant ABB (sub-)activity.

However, the Commission's 'standard' breakdown per ABB activities at 4-digit level being too broad (especially in the education area) and covering programmes/activities for which there are significant differences in the size and types of projects, the Agency assesses the materiality per programme managed by the Agency (AAB at 6-digit level). It concerns the following programmes: Lifelong Learning (LLP), Erasmus Mundus, Culture, Youth, Citizenship, MEDIA and Tempus for the programming period 2007-2013 and for the Erasmus+, Creative Europe, Europe for Citizens programmes for the 2014-2020 programme.

The Agency relies on the "best estimate" of the error rate because in view of the volumes and sizes of transactions (= grants) by programmes managed by the Agency, it would not be cost-effective to have a representative error rate from a fully statistically representative sample for each individual programme.

In 2012, the Agency calculated for the first time multi-annual, cumulative error rates in order to increase the representativeness of the figures and to comply with the new guidance issued by DG BUDG in November 2011. While for the Annual Activity Report (AAR) 2012 the calculation covered two consecutive years, the Agency calculated the error rates over a three year period for reporting in the 2013 AAR, over a four year period for reporting in the 2014 AAR and over a 5 five year period for the reporting in the 2015 AAR (concerning the 2007-2013 programming period) and over a six year period for the reporting in the 2016 AAR (concerning the 2007-2013 programming period).

In determining the need to make a potential reservation, the Agency follows the so called "3+1 steps" approach; i.e. the four stages of analysis needed to come to a sound conclusion on whether to qualify the AOD's declaration with a reservation and, if so, to estimate its impact in monetary terms:

- Step 1: calculating the multi-annual representative detected error rate in a random<sup>3</sup> sample of transactions (i.e. values of closed grant projects) and taking account of any corrections made for the calculation of the cumulative residual error rate in the population over the reference years;
- Step 2: estimating the actual exposure for each programme as 'multi-annual / annual amount at risk' to the value of the closed grant projects within the same period used for the calculation of error rate / during the reporting year, based on those error rates calculated for a population of projects mostly closed through final payment or recovery order;
- Step 3: assessing the materiality, by relating the multi-annual / annual amount at risk'

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<sup>3</sup> Random selection is done by means of Monetary Unit Sampling (MUS)

for the activity considered to the payments made, within the same period used for calculation of the error rate / during the reporting year, for the relevant programme managed by the Agency, for determining whether a reservation would be due;

– Step 4: if a reservation is entered, then assessing its relative impact on the AOD's overall assurance and declaration.

1. A multi-annual, cumulative 'detected' and 'residual' error rate is calculated for each of the programmes managed by the Agency over the reference years.

In order to check the legality and regularity and sound financial management for EACEA's underlying transactions, EACEA requests an external audit firm to conduct ex-post controls (i.e. financial audits on-the-spot) on a 'random' selection of closed projects<sup>4</sup>. The project can be closed by either final payment and/or recovery order and in some cases a zero payment to clear the pre-financing.

The multi-annual error rate is calculated as follows for all audits finalised in the reference years.

Detected error (amount) = A-B

Detected error rate (%) = (A-B)/A

Where

A = the Agency-share of the eligible costs initially accepted after ex-ante controls, on the basis of which the final payment or recovery order was calculated and paid (and on the basis of which the pre-financing was cleared)

B = the Agency-share of eligible costs finally accepted after ex-post controls (audits)

To arrive at the 'residual' error rates, one takes into account the fact the 'detected' error rates remain for the non-audited part but these error rates are cleaned from the audited part through 'corrections', meaning the issuance of recovery orders by the Agency. In terms of timing, the correction is deemed to have been effected at the time when the recovery order has been authorised or when the amount due has been recorded in the local accounting system for offsetting from a future payment to the same beneficiary.

2. The financial impact of the cumulative errors in terms of 'multi-annual / annual amount at risk' is calculated.

Even if the detected and residual error rates are considered to be representative of the population of closed projects under the programme, it is necessary to establish a link between these control results and the actual payments made within the same period used for the calculation of the error rate / during the reporting year. Indeed, the audits have examined costs declared in support of projects closed in previous years.

The multi-annual / annual amount at risk is the amount that may have been paid in excess to beneficiaries assuming that the projects closed within the same period used for the calculation of the error rate / during the reporting year are affected by the same error rates as found above.

The multi-annual / annual amount at risk is calculated by multiplying the 'detected' error rate by the value of the closed projects<sup>5</sup> for which a final payment and/or recovery order was done within the same period used for the calculation of the error rate / during the reporting year, and taking into account the corrections made on the audited population.

As far as projects managed by the Agency are concerned, the "value of the closed project" paid in year x is equal to the amount of the final payment and/or recovery order done in year x plus the amount of the related pre-financing(s) paid during the same or previous year(s) and 'cleared'

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<sup>4</sup> Value of the closed projects includes pre-financing, interim/final payment and any recovery orders.

<sup>5</sup> Although pre-financing payments can be considered not yet "at risk" (e.g. because they are still accounts receivable 'owned' by the EACEA and any errors can still be rectified at the time of the final payment or recovery order), they become "value at risk" at the time of the transfer of ownership when the pre-financing is cleared together with the related final payment or recovery order.

when the final payment or recovery order is done.

3. The multi-annual / annual materiality of the amount at risk is calculated by programme.

The multi-annual / annual materiality of the amount at risk is calculated by comparing the amount at risk with the total amounts of payments made within the same period used for the calculation of the error rate / during the reporting year each programmes. For determining whether a reservation is due, the multi-annual materiality<sup>6</sup> is the criterion.

4. The impact of a reservation on the overall declaration is calculated (if applicable) by assessing its 'weight' on the overall operational budget in terms of payments done managed by the Agency in the reporting year.

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<sup>6</sup> E.g. at the end of a programme's life-cycle, the amount at risk from the value of the closed grants may be quite substantial, while the payments still made during the past reporting year may already be quite low (phasing-out). This would lead to an atypically low denominator which would turn almost any (even small) amount at risk to an artificially high materiality in % terms.

## ANNEX 5: Internal Control Template(s) for budget implementation (ICTs)

### Grants – direct management

#### Stage 1 – Programming, evaluation and selection of proposals

##### A - Preparation and publication of the calls for proposals<sup>7</sup>

**Main control objectives:** Ensuring that the Agency selects the proposals that contribute the most towards the achievement of the policy or programme objectives (effectiveness); compliance (legality & regularity); prevention of fraud (anti-fraud strategy).

| <b>Main risks<br/><i>It may happen (again)<br/>that...</i></b>  | <b>Mitigating controls</b>  | <b>How to determine<br/>coverage, frequency and<br/>depth</b>   | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>  | <b>Control indicators</b>   |
|---|---|---|--|---|
| <p>The calls for proposals do not adequately reflect the policy objectives, priorities, are incoherent and/or the essential eligibility, selection and award criteria are not adequate to ensure the evaluation of the proposals.</p> | <p>Coordination with mirror units in parent DGs<br/>Hierarchical validation within the authorising department (i.e. at Director's level)<br/>(1) Explicit allocation of responsibility to 2<sup>nd</sup> level ex-ante verification in central financial unit (reflected in task assignment and/or function descriptions)<br/>(2) Centralised checklist-based verification<br/>(3) Ex-post monitoring</p> | <p>If risk materialises, all grants awarded during the year under this call would be irregular. Possible impact 100% of budget involved and significant reputational consequences.<br/><b>Coverage / Frequency:</b> 100%<br/><b>Depth:</b> Checklist includes a list of the requirements of the regulatory provisions identified.</p> | <p><b>Costs:</b> estimation of cost of staff involved in the preparation and validation of the calls. Cost of contracted services, if any.<br/><b>Benefits:</b> The (average annual) total budgetary amount of the calls with significant errors detected and corrected.</p> | <p><b>Effectiveness:</b> Number of control failures; budget amount of the calls concerned. Success ratios; % of number/value proposals received over number expected/budget available.<br/><b>Efficiency:</b> Adoption and publishing a call for proposals, compared with benchmarks and evolution over time.</p> |

<sup>7</sup> The parent DGs adopts and publishes the Annual Work Programme.

**B - Selecting and awarding: Evaluation, ranking and selection of proposals**

**Main control objectives:** Ensuring that the most promising projects for meeting the policy objectives are among (a good balance of) the proposals selected (effectiveness); compliance (legality & regularity); prevention of fraud (anti-fraud strategy).

| Main risks<br><i>It may happen (again) that...</i>  | Mitigating controls  | How to determine coverage frequency and depth  | How to estimate the costs and benefits of controls   | Control indicators   |
|---|--|--|--|--|
| <p>The evaluation, ranking and selection of proposals is not carried out in accordance with the established procedures, the policy objectives, priorities and/or the essential eligibility, or with the selection and award criteria defined in the annual work programme and subsequent calls for proposals.</p> | <p>Assignment of staff (e.g. project officers) and selection and appointment of expert evaluators (if foreseen as deviation from FR)</p>                     | <p>100% vetting for technical expertise and independence of experts (e.g. conflicts of interests, nationality bias, ex-employer bias, collusion, rotation)</p>   | <p><b>Costs:</b> estimation of cost of staff involved in the evaluation and selection of proposals. Cost of the appointment of experts and of the logistics of the evaluation.</p>   | <p><b>Effectiveness:</b> % of proposals (successfully) challenged. No litigation cases.</p> <p>Amount of budget of calls concerned.</p>  |
|   | <p>Assessment by staff (e.g. project officers) and by independent experts (contractors)</p>  | <p>100% of proposals are evaluated. Depth may be determined by screening of outline proposals (two-step evaluation).</p>   | <p><b>Benefits:</b> Compare selected list with a random allocation of the available budget. Benefit equals to % of the value of deserving projects otherwise not selected plus % of the value of non-deserving projects that would have been selected (=amount redirected to better projects).</p> | <p><b>Efficiency Indicators:</b> total (average) annual cost of expert evaluation. Average cost per call and/or per (selected) proposal.</p> <p>(*) % cost over annual amount disbursed in grants. Time-to-publication of selection results.</p> |
|   | <p>Review (e.g. by a evaluation committee) and hierarchical validation by the AO at unit level of ranked list of proposals<br/>In addition: publication.</p> | <p><b>Coverage:</b> 100% of ranked list of proposals. Supervision of work of evaluators.<br/><b>Depth</b> depends of risk factors: e.g. conflicts of interests, nationality bias, ex-employer bias, collusion, rotation)</p> |  |  |
|   | <p>Internal/external legal remedies</p>  | <p>100% of contested decisions are analysed</p>  |  |  |
|   | <p>Guidelines for evaluation Committees</p>  | <p>100% of the Evaluation Committee should respect the new guidelines.</p>   |  |  |

**Stage 2 - Contracting:** Transformation of selected proposals into legally binding grant agreements

**Main control objectives:** Ensuring that the actions and funds allocation is optimal (best value for public money; effectiveness, economy, efficiency); compliance (legality & regularity); prevention of fraud (anti-fraud strategy).

| <b>Main risks</b><br><i>It may happen (again) that...</i>  | <b>Mitigating controls</b>   | <b>How to determine coverage, frequency and depth</b>  | <b>How to estimate the costs and benefits of controls</b>   | <b>Control indicators</b>   |
|--|--|--|---|---|
| <p>The description of the action in the grant agreement includes tasks which do not contribute to the achievement of the programme objectives and/or that the budget foreseen overestimates the costs necessary to carry out the action.</p> <p>The beneficiary lacks operational and/or financial capacity to carry out the actions.</p> <p>Procedures do not comply with regulatory framework.</p> | <p>Verification of the tasks by external experts during the evaluation process.</p> <p>Validation of beneficiaries (operational and financial viability).</p> <p>Signature of the grant agreement by the AO at unit level.</p> <p>In-depth financial verification and taking appropriate measures for high risk beneficiaries.</p> | <p>100% of the selected proposals and beneficiaries are scrutinised.</p> <p><b>Coverage:</b> 100% of draft grant agreements.</p> <p><b>Depth</b> may be determined after considering the type or nature of the beneficiary and/or of the modalities and/or the total value of the grant.</p> | <p><b>Costs:</b> estimation of cost of staff involved in the contracting process.</p> <p><b>Benefits:</b> Difference between the budget value of the selected proposals and that of the corresponding grant agreements.</p> | <p><b>Effectiveness:</b> Amount of proposed costs rejected.</p> <p><b>Efficiency Indicators:</b> Value of grant agreements completed over budget requested in the corresponding proposals (%).<br/>Time-to-grant.</p> |

**Stage 3 - Monitoring the execution.** This stage covers the monitoring the operational, financial and reporting aspects related to the project and grant agreement

**Main control objectives:** ensuring that the operational results (deliverables) from the projects are of good value and meet the objectives and conditions (effectiveness & efficiency); ensuring that the related financial operations comply with regulatory and contractual provisions (legality & regularity); prevention of fraud (anti-fraud strategy); ensuring appropriate accounting of the operations (reliability of reporting, safeguarding of assets and information).

| <b>Main risks<br/>It may happen (again)<br/>that...</b>   | <b>Mitigating controls</b>  | <b>How to determine<br/>coverage, frequency<br/>and depth</b>   | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>   | <b>Control indicators</b>  |
|---|---|---|---|--|
| <p>The actions foreseen are not, totally or partially, carried out in accordance with the technical description and requirements foreseen in the grant agreement and/or the amounts paid exceed that due in accordance with the applicable contractual and regulatory provisions.</p> | <p>Operational and financial checks in accordance with the financial circuits.<br/>Operation authorisation by the AO at unit level.<br/>For riskier operations, ex-ante in-depth and/or on-site verification.</p> | <p>100% of the projects are controlled, including only value-adding checks.<br/>Riskier operations subject to in-depth and/or on-site controls.<br/>The depth depends on risk criteria.</p> | <p><b>Costs:</b> estimation of cost of staff involved in the actual management of running projects.<br/><b>Benefits:</b> budget value of the costs claimed by the beneficiary, but rejected by the project officers. Budget value of the part of the grant not paid out as pre-financing for projects that have been stopped by the Commission. Budget value of penalties and liquidated damages.</p> | <p><b>Effectiveness:</b> Number of control failures; budget amount of the errors concerned. Number of projects with cost claim errors; budget amount of the cost items rejected. Number of penalties damages; amount of the penalties damages. Success ratios; % of value of cost claims items adjusted over cost claims value.<br/><b>Efficiency Indicators:</b> Cost/benefit ratio<br/>Average cost per open project.<br/>Time-to-payment.</p> |
|   | <p>For high risk operations, reinforced monitoring.<br/>Recommended: consider an ex-ante verification on-the-spot – e.g. monitoring visit.<br/>Earmark projects for risk-based ex-post audit.</p>                 | <p>High risk operations identified by risk criteria.<br/>Red flags: delayed interim deliverables, suspicion of plagiarism, requesting many amendments, EWS or anti-fraud flagging, etc.</p> |   |  |
|   | <p>If needed: application of suspension/interruption of payments, penalties or liquidated damages.<br/>Referring grant to OLAF.</p>   | <p><b>Depth:</b> depends from results of ex-ante controls.</p>  |   |  |

**Stage 4 - Ex-Post controls**

**A - Reviews, audits and monitoring**

**Main control objectives:** Measuring the effectiveness of ex-ante controls by ex-post controls; detect and correct any error or fraud remaining undetected after the implementation of ex-ante controls (legality & regularity; anti-fraud strategy); addressing systemic weaknesses in the ex-ante controls, based on the analysis of the findings (sound financial management); ensuring appropriate accounting of the recoveries to be made (reliability of reporting, safeguarding of assets and information)

| <b>Main risks<br/>It may happen<br/>(again) that...</b>  | <b>Mitigating controls</b>   | <b>How to determine<br/>coverage, frequency and<br/>depth</b>   | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>   | <b>Control indicators</b>   |
|--|--|---|---|---|
| <p>The ex-ante controls (as such) fail to prevent, detect and correct erroneous payments or attempted fraud.</p> | <p>Ex-post control strategy: carry out audits of a representative sample of operations to determine effectiveness of ex-ante controls (+ consider ex-post findings for improving the ex-ante controls).</p> <p>Multi-annual basis (programme's lifecycle) and coordination with other AOs concerned (to detect systemic errors).</p> <p>Validate audit results with beneficiary.</p> <p>If needed: referring the beneficiary or grant to OLAF.</p> | <p>Representative sample: MUS random sample sufficiently representative to draw valid management conclusions</p> <p>Risk-based sample: determined in accordance with the selected risk criteria, aimed to maximise error correction (either higher amounts or expected error rate).</p> | <p><b>Costs:</b> estimation of cost of staff involved in the coordination and execution of the audit strategy. Cost of the appointment of an external audit firms for the outsourced audits.</p> <p><b>Benefits:</b> budget value of the errors detected by the auditors.</p> | <p><b>Effectiveness:</b> representative/best estimate error rate.<br/>Residual error rate below tolerable threshold.<br/>Amount of budget of errors concerned.<br/>Number of projects with errors; budget amount of the errors detected.<br/>% of satisfaction with the audit service provided.</p> <p><b>Efficiency:</b> total (average) annual cost of audits compared with benefits (ratio).</p> |



**B - Implementing results from ex-post audits/controls**

**Main control objectives:** Ensuring that the (audit) results from the ex-post controls lead to effective recoveries (legality & regularity; anti-fraud strategy); ensuring appropriate accounting of the recoveries made (reliability of reporting)

| <b>Main risks<br/>It may happen (again)<br/>that...</b>   | <b>Mitigating controls</b>  | <b>How to determine<br/>coverage, frequency and<br/>depth</b>  | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>  | <b>Control indicators</b>   |
|---|---|--|--|---|
| <p>The errors, irregularities and cases of fraud detected are not addressed or not addressed timely</p> | <p>Systematic registration of audit results to be implemented.</p> <p>Financial operational validation of recovery in accordance with financial circuits.</p> <p>Authorisation by AO at unit level.</p> | <p>Coverage: 100% of final audit results <i>with a financial impact</i>.</p> <p>Depth: consider 'extending' the findings of systemic errors into corrections of non-audited projects by the same beneficiary</p> | <p><b>Costs:</b> estimation of cost of staff involved in the implementation of the audit results.</p> <p><b>Benefits:</b> budget value of the errors, detected by ex-post controls, which have actually been corrected (offset or recovered).</p> <p><b>Loss:</b> budget value of such ROs which are 'waived' or have to be cancelled.</p> | <p><b>Effectiveness:</b><br/>Number of risk based ex-post audits with findings per AAP, over the total N° of risk based audits per AAP (*)</p> <p><b>Efficiency Indicators:</b> total annual cost of implementing the AAP/ total value of the recoveries.</p> |

**Procurement management**

**Stage 1 – PLANNING, EVALUATION AND AWARD OF CONTRACTS** This stage covers the preparatory phase preceding the call publication and the evaluation of received tenders following the publication, up until the award of the contract to the winning(s) bidder(s)

**Main control objectives** Clear definition of the precise procurement needs (effectiveness); sufficient time allocation and relevant planning for the preparation and selection phases; good quality of tender specifications; appropriateness and compliance of the procedure (legality & regularity); ensure that contracts are awarded to the tenders offering value for money (economy, efficiency and effectiveness); compliance with legal and regulatory requirements; absence of conflict of interests; equal treatment (legality & regularity); prevention of fraud (anti-fraud); compliance of the procedure and of the contract template used (legality & regularity);

| <b>Main risks<br/>It may happen (again)<br/>that...</b>   | <b>Mitigating controls</b>  | <b>How to determine<br/>coverage, frequency and<br/>depth</b>    | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>  | <b>Control indicators</b>  |
|---|---|--|--|--|
| <p>-Precise procurement needs not clearly defined;</p> <p>-Poor planning of the procurement process, including the poor planning of the monitoring of the contract's performance and implementation;</p> <p>-Poorly defined selection and award criteria, weightings and technical specifications may result in a mismatch between the needs and what the procurement will deliver;</p> <p>-Non-compliance with legal and regulatory formalities e.g. publication, transparency, time limits, opening of tenders, equal treatment</p> | <p>- In case of technical tenders, the Agency consult experts for drafting the tender specifications;</p> <p>Legal advice is available during the all length of the procedure</p> <p>The use of roadmaps is mandatory</p> <p>Hierarchical validation within the authorising department;.</p> <p>Proposals are selected according to the selection and award criteria published in the Call for tenders;</p> <p>All persons concerned by the evaluation sign a</p> | <p>100% of the calls are revised by the Legal team (R2 Unit)</p> | <p><b>Costs:</b> estimation of cost of staff involved in the call and external experts (if used).</p> <p><b>Benefits:</b> limit the risk of litigation after award and cancellation of a tender</p> <p>Better definition of the needs and choice of the needed service</p> | <p><b>Effectiveness:</b></p> <p>N° of open procedures where only one or no offer was received.</p> <p>N° of requests for clarifications regarding tender documents.</p> <p>N° of procurements with no appeals in the standstill period</p> <p><b>Efficiency Indicators:</b> estimated average cost of a procurement procedure</p> <p>.</p> |

| <b>Main risks</b><br><i>It may happen (again) that...</i>   | <b>Mitigating controls</b>   | <b>How to determine coverage, frequency and depth</b> | <b>How to estimate the costs and benefits of controls</b> | <b>Control indicators</b> |
|---|--|---|---|---------------------------|
| <p>in terms of access to additional information, evaluation, authorised signatures etc.;</p> <p>- Risk of delay and lengthy evaluation process;</p> | <p>declaration of absence of conflict of interest prior to the start of the evaluation exercise</p> <p>Use of model contracts recommended by the Commission, adapted to the programmes;</p> <p>Signature of the contract by the AOSD;</p> <p>Fraud awareness, trainings, information sessions are organized;</p> |   |   |                           |

**Stage 2 – EXECUTION and MONITORING**

**Main control objectives:** Ensure adequate arrangements for monitoring the contractor’s performance and results (effectiveness & efficiency); ensure that the operational results (reports and other deliverables) meet the objectives and conditions agreed in the tender and in the contract (effectiveness & efficiency); ensure compliance with the legal and regulatory requirements (e.g. time limits, authorised signatures) (legality & regularity); prevention of fraud (anti-fraud).

| <b>Main risks<br/>It may happen (again)<br/>that...</b>  | <b>Mitigating controls</b>   | <b>How to determine<br/>coverage, frequency<br/>and depth</b>   | <b>How to estimate the<br/>costs and benefits of<br/>controls</b>   | <b>Control indicators</b>   |
|--|--|---|---|---|
| <p>-Poorly adapted payment arrangements;</p> <p>-Insufficient contractual provisions regarding contractor's performance (no monitoring arrangements or liquidated damages foreseen in the contract, no use of standard contracts).</p> <p>Lack of necessary skills and experience or inadequate arrangements for monitoring the contractor's performance and for verifying the final - Unjustified, erroneous final payments or delayed payments causing late payment interests.</p> | <p>Kick-off meetings with the contractors in order to clarify objectives, deliverables, reporting requirements, etc. ;</p> <p>Operational and financial ex-ante desk checks by the Agency's staff in accordance with the financial circuits, Manual of Procedures, and internal guidelines;</p> <p>Monitoring visits and/or meetings to check technical progress and deliverables;</p> <p>Fraud awareness, trainings, information sessions are organized;</p> <p>Four-eyes principle is applied;</p> <p>Detailed checking of reports and deliverables against the contract requirements to detect deviations timely and redirect the project on track;</p> | <p>100% of the payment requests and invoices are revised by the ex-ante team (R2 Unit)</p> <p>100% of the invoices and supporting documents are revised by the desk officer in charge (cross check are put in place between the payment requested and the service provided)</p> | <p><b>Costs:</b> estimation of cost of staff involved in the monitoring and execution of contracts</p> <p><b>Benefits:</b> limit the risk of error in payments and delays and recoveries</p> <p>Amount detected associated with fraud and error</p> | <p><b>Effectiveness:</b><br/>Amounts associated with error detected over total amount checked</p> <p><b>Efficiency Indicators:</b><br/>- Time to payment<br/>-Late interest payment amounts/contract amount</p> |

| <b>Main risks</b><br><i>It may happen (again) that...</i> | <b>Mitigating controls</b>   | <b>How to determine coverage, frequency and depth</b> | <b>How to estimate the costs and benefits of controls</b> | <b>Control indicators</b> |
|---|--|---|---|---------------------------|
|   | <p>Application of suspension/interruption/deduction of payments on a case-by-case basis where there exists bad faith or gross negligence, respecting the principle of proportionality;</p> <p>Submitting cases to OLAF in case of suspicion of irregularities/fraud; flagging in Early Warning System;</p> <p>In case of ineligible costs, the recovery orders (establishment of the claim) should be established promptly</p> |   |   |                           |

**ANNEX 6: Implementation through national or international public-sector bodies and bodies governed by private law with a public sector mission**

Not applicable

**ANNEX 7: EAMR of the Union Delegations**

Not applicable

**ANNEX 8: Decentralised agencies**

Not applicable

**ANNEX 9: Evaluations and other studies finalised or cancelled during the year**

Not applicable

**ANNEX 10: Specific annexes related to "Financial Management"**

Not applicable

**ANNEX 11: Specific annexes related to "Assessment of the effectiveness of the internal control systems"**

Not applicable

# ANNEX 12: Performance tables

## Erasmus+

Erasmus+ State of play Selection and Contracting phase - 31st December 2016

Budget line : 15.02.01.01 ; 21.02.20 ; 21.03.20 ; 19.05.20 ; 22.02.04.02 ; 22.04.20

### Erasmus+ Education and Training

| Sub-Programme Code   | WPI  | Action  | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|---|-----------------------|---------------|---------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| EPPKA1   | 1.20 | Erasmus Mundus Joint Master Degrees   | 27                    | EAC A04/2015  | 18/02/2016    | 92                     | 92                                      | 4                                 | 2,2%             | 27                          | 29,7%               | 13/07/2016              | CFP-EA                    | 7,53        | 72.970.030          | 79.675.030            |
| EPPKA1   | 1.21 | Joint Erasmus Mundus Masters Programmes - existing Framework Partnership Agreements | 60                    | EACEA 41/2010 | 29/02/2016    | 30                     | 0                                       | 0                                 | 0,0%             | 30                          | 100,0%              | 02/05/2016              | CFP-EA                    | 6,07        | 19.091.090          | 19.091.090            |
|  |      |   |                       | EACEA 42/2011 |               | 30                     | 0                                       | 0                                 | 0,0%             | 30                          | 100,0%              | 02/05/2016              | CFP-EA                    | 6,17        | 21.077.700          | 21.077.700            |
| EPPKA1   | 1.22 | Joint Erasmus Mundus Doctoral Programmes  | 10                    | EACEA 41/2010 | 29/02/2016    | 10                     | 0                                       | 0                                 | 0,0%             | 10                          | 100,0%              | 02/05/2016              | CFP-EA                    | 5,2         | 8.113.400           | 8.113.400             |
|  |      |   |                       | EACEA 42/2011 | 29/02/2016    | 8                      | 0                                       | 0                                 | 0,0%             | 8                           | 100,0%              | 02/05/2016              | CFP-EA                    | 5,57        | 7.632.400           | 7.632.400             |
| EPPKA1   | 1.27 | Erasmus Charter for Higher Education  | 0                     | EACEA 08/2016 | 31/03/2016    | 332                    | 332                                     | 16                                | 4,8%             | 238                         | 75,3%               | 27/10/2016              | APEL-EA                   |             | 0                   | 0                     |
| EPPKA2   | 2.20 | Knowledge Alliances for Higher Education  | 18                    | EAC A04/2015  | 26/02/2016    | 188                    | 188                                     | 8                                 | 4,3%             | 16                          | 8,9%                | 20/07/2016              | CFP-EA                    | 8,9         | 17.883.350          | 17.883.350            |
| EPPKA2   | 2.21 | Sector Skills Alliances - Lot 1   | 18                    | EAC A04/2015  | 26/02/2016    | 14                     | 14                                      | 4                                 | 28,6%            | 4                           | 40,0%               | 27/07/2016              | CFP-EA                    | 9,37        | 1.999.092           | 1.999.143             |
|  |      | Sector Skills Alliances - Lot 2   |                       |               |               | 49                     | 49                                      | 12                                | 24,5%            | 11                          | 29,7%               | 27/07/2016              | CFP-EA                    | 8,9         | 12.149.077          | 12.149.078            |
|  | 2.32 | eTwinning National Support Services (NSS)   | 43                    | EACEA 30/2015 | 05/11/2015    | 38                     | 38                                      | 0                                 | 0,0%             | 38                          | 100,0%              | 22/01/2016              | D-EA                      | 3,83        | 9.478.077           | 9.478.077             |
| EPPKA2   |      | eTwinning Partner Support Agencies (PSA)  | 4                     | EACEA 31/2015 | 20/11/2015    | 6                      | 5                                       | 0                                 | 0,0%             | 5                           | 83,3%               | 22/01/2016              | D-EA                      | 4,7         | 587.395             | 587.395               |
| EPPKA2   | 2.34 | EPALE National Support Services (EPALE NSS)   | 38                    | EACEA 02/2016 | 25/05/2016    | 36                     | 36                                      | 0                                 | 0,0%             | 36                          | 100,0%              | 13/10/2016              | SPE-EA                    | 6,27        | 9.257.972           | 9.257.972             |
| EPPKA2   | 2.40 | International HE capacity building - Joint Projects                                 |                       |               |               | 643                    | 643                                     | 64                                | 10,0%            | 122                         | 21,1%               | 25/07/2016              | CFP-EA                    | 9,8         | 109.290.743         | 123.086.808           |
|  |      | International HE capacity building - Structural projects                            | 148                   | EAC A04/2015  | 10/02/2016    | 93                     | 93                                      | 19                                | 20,4%            | 25                          | 33,8%               | 25/07/2016              | CFP-EA                    | 9,77        | 21.895.498          | 23.444.561            |
| EPPKA3   | 3.01 | Eurydice network  | 41                    | EACEA 35/2015 | 01/12/2015    | 41                     | 41                                      | 0                                 | 0,0%             | 41                          | 100,0%              | 4/12/2015               | D-EA                      | 2,73        | 2.503.814           | 2.503.814             |
| EPPKA3   | 3.21 | Policy experimentation  | 8                     | EACEA-34-2015 | 14/04/2016    | 35                     | 35                                      | 4                                 | 2,9%             | 8                           | 22,9%               | 02/12/2016              | CFP-EA                    | 4,7         | 10.444.423          | 16.908.971            |
| EPPKA3   | 3.22 | Social Inclusion through Education, Training and Youth                              | 30                    | EACEA 05/2016 | 30/05/2016    | 273                    | 273                                     | 32                                | 11,7%            | 35                          | 14,5%               | 11/07/2016              | CFP-EA                    | 5,8         | 15.517.861          | 16.452.336            |
| EPPKA3   | 3.40 | Europass National Centres   | 38                    | EACEA 36/2015 | 15/12/2015    | 34                     | 34                                      | 0                                 | 0,0%             | 34                          | 100,0%              | 10/02/2016              | D-EA                      | 3,47        | 1.974.490           | 1.974.490             |
| EPPKA3   | 3.41 | Euroguidance network  | 38                    | EACEA 36/2015 | 15/12/2015    | 34                     | 33                                      | 0                                 | 0,0%             | 34                          | 100,0%              | 10/02/2016              | D-EA                      | 2,43        | 2.069.460           | 2.069.435             |
| EPPKA3   | 3.49 | European Qualification Framework (EQF) National Coordination Part A                 | 38                    | EACEA 36/2015 | 15/12/2015    | 30                     | 28                                      | 1                                 | 3,3%             | 29                          | 100,0%              | 10/02/2016              | D-EA                      | 3,0         | 1.105.806           | 1.105.806             |
| EPPKA3   | 3.52 | European Qualification Framework (EQF) Databases Part B                             | 33                    | EACEA 31/2016 | 30/09/2016    | 7                      | 7                                       | 0                                 | 0,0%             | 7                           | 100,0%              | 24/11/2016              | D-EA                      | 2,3         | 408.998             | 408.998               |
| EPPKA3   | 3.53 | European Quality Assurance in vocational Education and Training                     | 38                    | EACEA 46/2015 | 15/02/2016    | 20                     | 20                                      | 1                                 | 5,0%             | 19                          | 100,0%              | 10/02/2016              | D-EA                      | 1,93        | 766.782             | 766.784               |
| EPPKA3   | 3.55 | Initiative to support the implementation of EHEA reforms                            | 26                    | EACEA 49/2015 | 17/02/2016    | 18                     | 18                                      | 0                                 | 0,0%             | 18                          | 100,0%              | 30/05/2016              | SPE-EA                    | 5           | 2.295.867           | 2.295.867             |
| EPPKA3   | 3.56 | NARIC - National Academic Recognition Centres                                       | 11                    | EACEA 44/2015 | 01/12/2015    | 14                     | 14                                      | 0                                 | 0,0%             | 11                          | 78,6%               | 09/02/2016              | D-EA                      | 5,37        | 880.890             | 880.890               |
| EPPKA3   | 3.65 | Support for SMEs engaging in apprenticeships  | 15                    | EACEA 41/2015 | 15/01/2016    | 91                     | 91                                      | 4                                 | 4,4%             | 16                          | 18,4%               | 26/05/2016              | SPE-EA                    | 8,07        | 8.653.605           | 8.653.605             |
| EPPKA3   | 3.69 | Eurostudent VI  | 30                    | EACEA 40/2015 | 06/01/2016    | 26                     | 26                                      | 5                                 | 0,0%             | 26                          | 100,0%              | 08/03/2016              | MON-EA                    | 2,93        | 951.974             | 951.974               |
|  |      | PIAAC Cooperation on education and training   | 1                     | EACEA 03/2016 | 01/12/2015    | 1                      | 1                                       | 0                                 | 0,0%             | 1                           | 100,0%              | 20/07/2016              | MON-EA                    | 2,33        | 150.000             | 150.000               |
| EPPKA3   | 3.74 | Structured dialogue: support to National Working Groups                             | 30                    | EACEA 33/2015 | 04/02/2016    | 30                     | 29                                      | 0                                 | 0,0%             | 30                          | 100,0%              | 09/03/2016              | MON-EA                    | 2,67        | 1.026.224           | 1.026.225             |
| EPPKA3   | 3.75 | Civil Society Cooperation Education and Training - FPA                              | 20                    | EACEA 31/2014 | 26/11/2015    | 24                     | 24                                      | 1                                 | 16,7%            | 20                          | 100,0%              | 17/02/2016              | CFP-OP-EA                 | 4,63        | 2.430.040           | 2.430.040             |
| <b>Total Education and Training budget line</b>                        |      |   | <b>771</b>            |               |               | <b>2247</b>            | <b>2164</b>                             | <b>175</b>                        | <b>7,8%</b>      | <b>929</b>                  | <b>33,6%</b>        |                         |                           | <b>5,3</b>  | <b>362.606.058</b>  | <b>392.055.240</b>    |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |   | <b>438</b>            |               |               | <b>1645</b>            | <b>1626</b>                             | <b>157</b>                        | <b>9,5%</b>      | <b>424</b>                  | <b>16,2%</b>        |                         |                           | <b>7,4</b>  | <b>326.718.269</b>  | <b>356.167.472</b>    |

Budget line : 15.02.01.02 ; 22.02.04.01

**Erasmus + Youth**

| Programme / Sub-Programme Code   | WPI  | Action  | PLANNED INTERVENTIONS | Call Ref     | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|---|-----------------------|--------------|---------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| EPPKA1   | 1.12 | EVS Large-scale volunteering projects               | 15                    | EAC/A04/2015 | 01/04/2016    | 13                     | 13                                      | 1                                 | 7,69%            | 3                           | 25,00%              | 25/05/2016              | CFP-EA                    | 3,2         | 345.884             | 345.884               |
| EPPKA2   | 2.11 | Capacity building (Youth)                           | 110                   | EAC/A04/2015 | 02/02/2016    | 115                    | 115                                     | 2                                 | 1,74%            | 36                          | 31,86%              | 25/05/2016              | CFP-EA                    | 7           | 4.475.869           | 4.892.240             |
|  |      |   |                       | EAC/A04/2015 | 01/07/2016    | 196                    | 196                                     | 4                                 | 4,08%            | 36                          | 19,68%              | 04/11/2016              | CFP-EA                    | 5,7         | 4.626.247           | 4.675.453             |
| EPPKA2   | 2.11 | Capacity Building (Youth) - Western Balkans window  | 80                    | EAC/A04/2015 | 02/02/2016    | 176                    | 176                                     | 1                                 | 0,57%            | 37                          | 21,14%              | 25/05/2016              | CFP-EA                    | 6,7         | 1.803.767           | 1.881.865             |
|  |      |   |                       | EAC/A04/2015 | 01/07/2016    | 188                    | 188                                     | 1                                 | 0,53%            | 19                          | 10,16%              | 04/11/2016              | CFP-EA                    | 5,6         | 1.124.796           | 1.124.796             |
| EPPKA3   | 3.02 | Support to the better knowledge in the Youth policy | 28                    | EACEA04/2016 | 31/03/2016    | 30                     | 30                                      | 2                                 | 6,67%            | 28                          | 100,00%             | 31/05/2016              | SPE-EA                    | 2,93        | 696.800             | 696.800               |
| EPPKA3   | 3.45 | Eurodesk Brussels Link                              | 1                     | EACEA43/2015 | 30/11/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 29/01/2016              | D-EA                      | 3,63        | 586.500             | 586.500               |
| EPPKA3   | 3.72 | Structured Dialogue Youth                           | 30                    | EACEA30/2015 | 04/02/2016    | 30                     | 29                                      | 0                                 | 0,00%            | 30                          | 100,00%             | 9/03/2016               | MON-EA                    | 2,67        | 1.026.224           | 1.026.225             |
| EPPKA3   | 3.76 | Civil Society cooperation: Youth FPA                | 64                    | EACEA31/2014 | 26/11/2015    | 64                     | 61                                      | 6                                 | 9,38%            | 64                          | 100,00%             | 25/02/2016              | CFP-OP-EA                 | 4,57        | 3.052.959           | 3.052.959             |
| EPPKA3   | 3.77 | Civil Society cooperation: Youth (Annual Call)      | 23                    | EACEA32/2015 | 26/11/2015    | 72                     | 70                                      | 9                                 | 12,50%           | 23                          | 36,51%              | 25/02/2016              | CFP-OP-EA                 | 4,63        | 724.838             | 724.838               |
| <b>Total Youth budget line</b>   |      |   | <b>351</b>            |              |               | <b>885</b>             | <b>878</b>                              | <b>26</b>                         | <b>2,94%</b>     | <b>277</b>                  | <b>32,51%</b>       |                         |                           | <b>4,7</b>  | <b>18.463.886</b>   | <b>19.007.561</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |   | <b>320</b>            |              |               | <b>854</b>             | <b>849</b>                              | <b>18</b>                         | <b>2,11%</b>     | <b>246</b>                  | <b>29,60%</b>       |                         |                           | <b>5,5</b>  | <b>12.376.566</b>   | <b>12.920.239</b>     |

Budget line : 15.02.02 ; 19.05.01

**Erasmus+ Jean Monnet**

| Programme / Sub-Programme Code   | WPI  | Action   | PLANNED INTERVENTIONS | Call Ref     | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|--|-----------------------|--------------|---------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| EPPJMO   | 4.10 | Jean Monnet Centre of Excellence               | 136                   | EAC/A04/2015 | 25/02/2016    | 68                     | 68                                      | 2                                 | 2,94%            | 26                          | 39,39%              | 27/07/2016              | CFP-EA                    | 8,5         | 2.549.755           | 2.549.755             |
|  |      | Jean Monnet Chair                              |                       | EAC/A04/2015 | 25/02/2016    | 165                    | 165                                     | 2                                 | 1,21%            | 64                          | 39,26%              | 27/07/2016              | CFP-EA                    | 8,1         | 2.727.293           | 2.727.293             |
|  |      | Jean Monnet Module                             |                       | EAC/A04/2015 | 25/02/2016    | 505                    | 505                                     | 7                                 | 1,39%            | 100                         | 20,08%              | 27/07/2016              | CFP-EA                    | 7,8         | 2.432.146           | 2.456.560             |
| EPPJMO   | 4.11 | Policy debate with academic world              | 63                    | EAC/A04/2015 | 25/02/2016    | 213                    | 212                                     | 6                                 | 2,82%            | 57                          | 27,54%              | 27/07/2016              | CFP-EA                    | 7,83        | 3.027.027           | 3.030.370             |
|  |      |  |                       |              |               | 61                     | 61                                      | 0                                 | 0,00%            | 14                          | 22,95%              | 27/07/2016              | CFP-EA                    | 7,43        | 4.113.690           | 4.113.690             |
| EPPJMO   | 4.12 | Jean Monnet Support to Associations            | 15                    | EAC/A04/2015 | 25/02/2016    | 23                     | 23                                      | 3                                 | 13,04%           | 8                           | 40,00%              | 27/07/2016              | CFP-EA                    | 8,5         | 383.510             | 424.426               |
| EPPJMO   | 4.20 | College of Europe, Bruges                      | 4                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4           | 5.614.856           | 5.614.856             |
| EPPJMO   | 4.21 | European University Institute, Florence        | 5                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4,37        | 11.824.659          | 11.824.659            |
| EPPJMO   | 4.22 | Academy of European Law, Trier                 | 6                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4,37        | 2.724.114           | 2.724.114             |
| EPPJMO   | 4.23 | Institute of Public Administration, Maastricht | 7                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4,37        | 1.038.751           | 1.038.751             |
| EPPJMO   | 4.24 | Special Needs Agency, Odense                   | 8                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4,37        | 1.033.537           | 1.033.537             |
| EPPJMO   | 4.25 | CIFE Nice                                      | 9                     | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 4,13        | 2.522.830           | 2.522.830             |
| EPPJMO   | 4.26 | College of Europe, Natolin                     | 10                    | EAC/A04/2015 | 30/12/2015    | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 18/03/2016              | D-EA                      | 3,97        | 4.538.591           | 4.538.591             |
| <b>Total Jean Monnet budget line</b>                                   |      |  | <b>263</b>            |              |               | <b>1042</b>            | <b>1034</b>                             | <b>20</b>                         | <b>1,92%</b>     | <b>276</b>                  | <b>27,01%</b>       |                         |                           | <b>6,0</b>  | <b>44.530.760</b>   | <b>44.599.433</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>214</b>            |              |               | <b>1035</b>            | <b>1034</b>                             | <b>20</b>                         | <b>1,93%</b>     | <b>269</b>                  | <b>26,50%</b>       |                         |                           | <b>8,0</b>  | <b>15.233.422</b>   | <b>15.302.095</b>     |



Budget line : 15.02.03

Erasmus+ Sport

| Programme / Sub-Programme Code   | WPI  | Action   | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|--|-----------------------|---------------|---------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| SPO  | 5.07 | Small Collaborative Partnerships                       | 57                    | EAC/A04/2015  | 12/05/2016    | 113                    | 113                                     | 6                                 | 5,31%            | 59                          | 55,14%              | 09/09/2016              | CFP-EA                    | 6,57        | 3.200.891           | 3.244.891             |
| SPO  | 5.08 | Support for Collaborative Partnerships - EWOS          | 8                     | EAC/A04/2015  | 21/01/2016    | 15                     | 15                                      | 2                                 | 13,33%           | 8                           | 61,54%              | 1/04/2016               | CFP-EA                    | 4,57        | 1.029.726           | 1.029.726             |
| SPO  | 5.09 | Collaborative Partnerships                             | 72                    | EAC/A04/2015  | 12/05/2016    | 201                    | 201                                     | 7                                 | 3,48%            | 55                          | 28,35%              | 09/09/2016              | CFP-EA                    | 6,73        | 19.727.164          | 20.019.606            |
| SPO  | 5.10 | Support for not for profit European sport events - EWC | 13                    | EAC/A04/2015  | 21/01/2016    | 42                     | 42                                      | 5                                 | 11,90%           | 13                          | 35,14%              | 01/04/2016              | CFP-EA                    | 3,47        | 2.265.255           | 2.265.255             |
| SPO  | 5.11 | Support for not for profit European sport events       | 3                     | EAC/A04/2015  | 12/05/2016    | 55                     | 55                                      | 7                                 | 12,73%           | 5                           | 10,42%              | 09/09/2016              | CFP-EA                    | 5,4         | 1.886.034           | 1.886.034             |
| SPO  | 5.15 | Dialogue with stakeholders - National Events           | 33                    | EACEA 38/2015 | 28/01/2016    | 31                     | 30                                      | 0                                 | 0,00%            | 30                          | 96,77%              | 03/05/2016              | MON-EA                    | 4,27        | 1.862.044           | 1.928.711             |
| <b>Total Sport budget line</b>   |      |  | <b>129</b>            |               |               | <b>457</b>             | <b>456</b>                              | <b>21</b>                         | <b>4,60%</b>     | <b>170</b>                  | <b>38,99%</b>       |                         |                           | <b>5,17</b> | <b>29.971.113</b>   | <b>30.374.222</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>96</b>             |               |               | <b>426</b>             | <b>426</b>                              | <b>21</b>                         | <b>4,93%</b>     | <b>140</b>                  | <b>34,57%</b>       |                         |                           | <b>5,35</b> | <b>28.109.069</b>   | <b>28.445.511</b>     |

GRAND TOTAL ERASMUS+

|  |             |          |          |             |             |            |              |             |               |  |  |  |  |             |                    |                    |
|--|-------------|----------|----------|-------------|-------------|------------|--------------|-------------|---------------|--|--|--|--|-------------|--------------------|--------------------|
| <b>Total Erasmus+ programme</b>  | <b>1514</b> | <b>0</b> | <b>0</b> | <b>4631</b> | <b>4532</b> | <b>242</b> | <b>5,23%</b> | <b>1652</b> | <b>37,64%</b> |  |  |  |  | <b>5,35</b> | <b>455.571.818</b> | <b>486.036.456</b> |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> | <b>1068</b> | <b>0</b> | <b>0</b> | <b>3960</b> | <b>3935</b> | <b>216</b> | <b>5,45%</b> | <b>1079</b> | <b>28,82%</b> |  |  |  |  | <b>6,78</b> | <b>382.437.326</b> | <b>412.835.317</b> |

## Creative Europe

### Creative Europe State of play Selection and Contracting phase - 31st December 2016

Culture Sub-Programme and Cross Sectoral Strands Budget line : 15.04.01 ; 15.04.02

| Sub-Programme Code   | WPI  | Action                                       | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|--|-----------------------|---------------|---------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| CULT   | 2.14 | Support to Cooperation projects - smaller    | 70                    | EACEA29/2015  | 07/10/2015    | 404                    | 404                                     | 32                                | 10,1%            | 49                          | 13,5%               | 14/04/2016              | CFP-EA                    | 9,7         | 9.399.847           | 9.399.847             |
| CULT   | 2.14 | Support to Cooperation projects - larger     |                       | EACEA29/2015  | 07/10/2015    | 125                    | 125                                     | 6                                 | 10,4%            | 15                          | 13,4%               | 14/04/2016              | CFP-EA                    | 9,3         | 27.291.922          | 27.291.922            |
| CULT   | 2.15 | Support to Literary translation projects FPA | 10                    | NA            | 27/05/2016    | 10                     | 0                                       | 0                                 | 0,0%             | 10                          | 100,0%              | 14/09/2016              | CFP-EA                    | 6,2         | 849.045             | 849.045               |
| CULT   | 2.15 | Support to Literary translation projects     | 39                    | EACEA 13/2016 | 27/04/2016    | 242                    | 231                                     | 0                                 | 5,8%             | 38                          | 16,6%               | 28/09/2016              | CFP-EA                    | 7,4         | 1.999.670           | 2.409.291             |
| CULT   | 2.16 | Support to Networks - FPA (renewal)          | 23                    | EAC/S18/2013  | 29/02/2016    | 23                     | 0                                       | 0                                 | 0,0%             | 22                          | 95,7%               | 16/04/2016              | CFP-EA                    | 6,6         | 4.545.707           | 4.545.790             |
| CULT   | 2.17 | Support to Platforms                         | 3                     | EACEA47/2014  | 07/05/2016    | 3                      | 0                                       | 0                                 | 0,0%             | 3                           | 100,0%              | 22/11/2016              | CFP-EA                    | 5,23        | 1.500.000           | 1.500.000             |
| CULT   | 2.17 | Support to Platforms - FPA (renewal)         | 5                     | EAC/S17/2013  | 07/05/2016    | 5                      | 0                                       | 0                                 | 0,0%             | 5                           | 100,0%              | 22/11/2016              | CFP-EA                    | 5,1         | 2.495.614           | 2.495.614             |
| <b>Sub-total CULTURE</b>   |      |  | <b>150</b>            | <b>5</b>      | <b>5</b>      | <b>812</b>             | <b>760</b>                              | <b>38</b>                         | <b>4,7%</b>      | <b>142</b>                  | <b>62,7%</b>        | <b>5</b>                | <b>1</b>                  | <b>7,1</b>  | <b>48.081.806</b>   | <b>48.491.509</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>112</b>            | <b>3</b>      | <b>3</b>      | <b>774</b>             | <b>760</b>                              | <b>38</b>                         | <b>4,9%</b>      | <b>105</b>                  | <b>35,9%</b>        | <b>2</b>                | <b>1</b>                  | <b>7,9</b>  | <b>40.191.440</b>   | <b>40.601.060</b>     |
| Sub-Programme Code   | WPI  | Action                                       | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
| CROSS  | 2.21 | Support to Creative Europe Desks             | 40                    | EACEA34/2014  | 17/11/2015    | 39                     | 0                                       | 0                                 | 0,0%             | 39                          | 100,0%              | 10/02/2016              | D-EA                      | 5           | 5.267.373           | 5.358.001             |
| CROSS  | 2.24 | Support to refugees' integration             | 12                    | EACEA 12/2016 | 28/04/2016    | 273                    | 272                                     | 10                                | 13,2%            | 12                          | 5,1%                | 27/09/2016              | CFP-EA                    | 7,6         | 2.352.965           | 2.352.965             |
| <b>Sub-total CROSS -SECTORAL STRAND</b>                                |      |  | <b>52</b>             | <b>2</b>      | <b>2</b>      | <b>312</b>             | <b>272</b>                              | <b>10</b>                         | <b>3,2%</b>      | <b>51</b>                   | <b>52,5%</b>        | <b>2</b>                | <b>2</b>                  | <b>6,3</b>  | <b>7.620.338</b>    | <b>7.710.966</b>      |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>12</b>             | <b>1</b>      | <b>1</b>      | <b>273</b>             | <b>272</b>                              | <b>10</b>                         | <b>13,2%</b>     | <b>12</b>                   | <b>5,1%</b>         | <b>1</b>                | <b>1</b>                  | <b>7,6</b>  | <b>2.352.965</b>    | <b>2.352.965</b>      |

MEDIA sub-programme Budget line : 09.05.01

| Sub-Programme Code   | WPI  | Action   | PLANNED INTERVENTIONS | Call Ref      | Call Deadline            | Number of Applications | Number of Applications received on-line | Number of Ineligible Applications | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date  | Grant award decision mode | Time to award (in months) | Time to contract (in months) | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|------|--|-----------------------|---------------|--------------------------|------------------------|---|-----------------------------------|------------------|-----------------------------|---------------------|--------------------------|---------------------------|---------------------------|------------------------------|-------------|---------------------|-----------------------|
| MED  | 2.01 | Support to Training  | 55                    | EACEA 06/2016 | 14/04/2016               | 87                     | 87                                      | 0                                 | 0,00%            | 54                          | 62,07%              | 25/08/2016               | CFP-EA                    | 4,7                       | 2,3                          | 7,0         | 7.539.768           | 7.539.768             |
| MED  | 2.02 | Support to the Development of Single Project   | 125                   | EACEA 18/2015 | 19/11/2015<br>21/04/2016 | 807                    | 807                                     | 2                                 | 5,82%            | 124                         | 15,62%              | 06/04/2016<br>30/09/2016 | CFP-EA                    | 5,4                       | 0,7                          | 6,0         | 4.995.000           | 4.995.000             |
|  |      | Support to the Development of Slate Funding  | 80                    | EACEA 19/2015 | 04/02/2016               | 124                    | 124                                     | 5                                 | 6,45%            | 74                          | 63,79%              | 29/06/2016               | CFP-EA                    | 5,1                       | 1,8                          | 6,9         | 12.491.675          | 12.491.675            |
| MED  | 2.03 | Support to the Development of European Video Games   | 25                    | EACEA 18/2015 | 03/03/2016               | 138                    | 138                                     | 67                                | 29,71%           | 25                          | 25,77%              | 26/07/2016               | CFP-EA                    | 5,5                       | 1,4                          | 7,0         | 2.699.048           | 2.699.048             |
| MED  | 2.04 | Support to TV programming of European Audiovisual works  | 50                    | EACEA 21/2015 | 3/12/2015<br>27/05/2016  | 143                    | 143                                     | 0                                 | 10,49%           | 57                          | 44,53%              | 13/1/2016<br>5/10/2016   | CFP-EA                    | 4,5                       | 1,8                          | 6,3         | 12.497.403          | 12.497.403            |
| MED  | 2.05 | Support to International Coproduction Funds  | 5                     | EACEA 11/2015 | 25/02/2016               | 7                      | 7                                       | 0                                 | 14,29%           | 6                           | 100,00%             | 09/06/2016               | CFP-EA                    | 3,8                       | 2,2                          | 6,0         | 1.713.000           | 1.713.000             |
| MED  | 2.06 | Support to Market access   | 55                    | EACEA 15/2015 | 28/01/2016               | 99                     | 99                                      | 4                                 | 4,04%            | 58                          | 61,05%              | 11/05/2016               | EACEA                     | 3,7                       | 3,6                          | 7,4         | 6.968.177           | 6.968.177             |
| MED  | 2.07 | Distribution Automatic Reinvestment Module 1   | 800                   | EACEA 27/2014 | 31/07/2016               | 2                      | 2                                       | 0                                 | 0,00%            | 2                           | 100,00%             | 24/11/2015               | CFP-EA                    | NA                        | NA                           | 0,0         | 175.142             | 175.142               |
|  |      | Distribution Automatic Reinvestment Module 2   |                       | EACEA 27/2014 | 31/07/2016               | 586                    | 409                                     | 15                                | 2,56%            | 550                         | 96,32%              | 24/11/2015               | CFP-EA                    | NA                        | NA                           | 0,0         | 8.725.878           | 8.725.878             |
|  |      | Distribution Automatic Reinvestment Module 3   |                       | EACEA 27/2014 | 31/07/2016               | 353                    | 237                                     | 0                                 | 0,00%            | 296                         | 83,85%              | 24/11/2015               | CFP-EA                    | NA                        | NA                           | 0,0         | 11.495.506          | 11.495.506            |
|  |      | Distribution of non-national films: Automatic generation                                       |                       | EACEA 09/2016 | 29/04/2016               | 322                    | 320                                     | 0                                 | 0,00%            | 291                         | 90,37%              | 15/12/2016               | CFP-EA                    | NA                        | NA                           | 0,0         | 0                   | 24.124.100            |
| MED  | 2.08 | Distribution: the Cinema Selective scheme  | 350                   | EACEA 13/2015 | 1/12/2015<br>14/06/2016  | 1117                   | 1112                                    | 72                                | 6,45%            | 476                         | 45,55%              | 20/04/2016<br>25/10/2016 | CFP-EA                    | NA                        | NA                           | 0,0         | 9.234.600           | 9.257.500             |
| MED  | 2.09 | Support to International Sales Agents of European Cinematographic films: Reinvestment Module 1 | 50                    | EACEA 07/2015 | 01/03/2017               | 48                     | 17                                      | 1                                 | 4,17%            | 46                          | 97,87%              | 18/02/2016               | CFP-EA                    | NA                        | NA                           | 0,0         | 1.945.476           | 1.945.476             |
|  |      | Support to International Sales Agents of European Cinematographic films: Reinvestment Module 2 |                       | EACEA 07/2015 | 01/03/2017               | 14                     | 2                                       | 2                                 | 7,14%            | 12                          | 100,00%             | 18/02/2016               | CFP-EA                    | NA                        | NA                           | 0,0         | 185.800             | 185.800               |
|  |      | Support to International Sales Agents of European Cinematographic films: Generation            |                       | EACEA 1/2016  | 16/06/2016               | 42                     | 42                                      | 1                                 | 4,76%            | 36                          | 87,80%              | NA                       | CFP-EA                    | NA                        | NA                           | 0,0         |                     | 3.000.000             |
| MED  | 2.10 | Support to Cinema Networks   | 1                     | EACEA 10/2016 | 08/06/2016               | 1                      | 0                                       | 0                                 | 0,00%            | 1                           | 100,00%             | 20/10/2016               | CFP-EA                    | 5,0                       | 0,8                          | 5,8         | 10.460.000          | 10.460.000            |
| MED  | 2.11 | Support to Festivals   | 66                    | EACEA 16/2015 | 17/12/2015<br>28/04/2016 | 286                    | 285                                     | 0                                 | 16,43%           | 66                          | 25,10%              | 19/04/2016<br>11/08/2016 | CFP-EA                    | 4,2                       | 1,5                          | 5,7         | 3.165.000           | 3.165.000             |
| MED  | 2.12 | Support to Audience Development  | 18                    | EACEA 22/2015 | 03/03/2016               | 48                     | 48                                      | 7                                 | 12,50%           | 16                          | 38,10%              | 02/06/16                 | CFP-EA                    | 3,3                       | 3,4                          | 6,7         | 2.268.530           | 2.268.530             |
| MED  | 2.13 | Online Distribution  | 20                    | EACEA 11/2016 | 21/06/2016               | 34                     | 34                                      | 0                                 | 17,65%           | 10                          | 32,26%              | 28/10/2016               | CFP-EA                    | 4,5                       | 1,2                          | 5,8         | 3.150.000           | 3.150.000             |
| MED  | 2.13 | Online Distribution - FPA  | 12                    | EACEA 16/2014 | 16/09/2016               | 12                     | 0                                       | 0                                 | 0,00%            | 12                          | 100,00%             | 11/11/2016               | CFP-EA                    | 2,2                       | 0,6                          | 2,8         | 2.499.713           | 2.499.713             |
| <b>Sub-total MEDIA</b>   |      |  | <b>1712</b>           |               |                          | <b>4270</b>            | <b>3913</b>                             | <b>176</b>                        | <b>4,12%</b>     | <b>2212</b>                 | <b>54,03%</b>       |                          |                           | <b>4,3</b>                | <b>1,8</b>                   | <b>6,1</b>  | <b>102.209.716</b>  | <b>129.356.716</b>    |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>1712</b>           |               |                          | <b>3824</b>            | <b>3525</b>                             | <b>172</b>                        | <b>4,50%</b>     | <b>1740</b>                 | <b>47,65%</b>       |                          |                           | <b>4,5</b>                | <b>1,9</b>                   | <b>6,4</b>  | <b>99.710.004</b>   | <b>126.857.004</b>    |
| <b>Total CREATIVE EUROPE PROGRAMME</b>                                 |      |  | <b>1914</b>           |               |                          | <b>5394</b>            | <b>4945</b>                             | <b>224</b>                        | <b>4,2%</b>      | <b>2405</b>                 | <b>46,5%</b>        |                          |                           | <b>4,0</b>                | <b>2,4</b>                   | <b>6,4</b>  | <b>157.911.860</b>  | <b>185.559.191</b>    |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |      |  | <b>1836</b>           |               |                          | <b>4871</b>            | <b>4557</b>                             | <b>220</b>                        | <b>4,5%</b>      | <b>1857</b>                 | <b>39,9%</b>        |                          |                           | <b>4,6</b>                | <b>2,3</b>                   | <b>6,9</b>  | <b>142.254.409</b>  | <b>169.811.029</b>    |

## Europe for Citizens

### Europe for Citizens

### State of play Selection and Contracting phase - 31st December 2016

Budget line : 18.04.01

| WPI  | Action  | PLANNED INTERVENTIONS | Call Ref        | Call Deadline          | Number of Applications | Number of Applications received on-line | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date  | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|---|-----------------------|-----------------|------------------------|------------------------|---|------------------|-----------------------------|---------------------|--------------------------|---------------------------|-------------|---------------------|-----------------------|
| 1.1  | Remembrance projects  | 43                    | EACEA/36/2014   | 01/03/2016             | 468                    | 466                                     | 0,0%             | 38                          | 8,12%               | 13/06/2016               | CFP-EA                    | 5,0         | 3.342.500           | 3.342.500             |
| 1.2  | Structural support for think tanks, organisations at European level (FPA) | 6                     | COMM C2/01 2012 | 16/11/2015             | 6                      | 6                                       | 0,0%             | 6                           | 100,00%             | 11/01/2016               | CFP-OP-EA                 | 4,0         | 1.213.466           | 1.213.466             |
| 2.1  | Town twinning citizens meetings   | 310                   | EACEA/36/2014   | 1/03/2016<br>1/09/2016 | 1.093                  | 1.093                                   | 0,1%             | 237                         | 21,70%              | 02/06/2016<br>30/11/2016 | CFP-EA                    | 4,5         | 3.720.500           | 3.720.500             |
| 2.2  | Networks of twinned towns   | 44                    | EACEA/36/2014   | 1/03/2016<br>1/09/2016 | 328                    | 328                                     | 0,0%             | 30                          | 9,15%               | 26/05/2016<br>25/11/2016 | CFP-EA                    | 3,9         | 4.107.500           | 4.120.000             |
| 2.3  | Civil Society Projects  | 34                    | EACEA/36/2014   | 01/03/2015             | 541                    | 537                                     | 0,2%             | 25                          | 4,63%               | 20/06/2016               | CFP-EA                    | 5,2         | 3.403.750           | 3.403.750             |
| 2.4  | Structural support for think tanks, organisations at European level (FPA) | 31                    | COMM C2/01 2013 | 16/11/2015             | 31                     | 31                                      | 3,2%             | 30                          | 100,00%             | 11/01/2016               | CFP-OP-EA                 | 4,0         | 5.549.678           | 5.549.678             |
| 3.2  | Information structures in Member States and participating countries       | 33                    | EACEA/22/2013   | 13/11/2015             | 29                     | 0                                       | 0,0%             | 29                          | 100,00%             | 18/01/2016               | SPEC-EA                   | 5,0         | 795.000             | 795.000               |
| <b>Total général par Programme</b>                                     |   | <b>501</b>            | <b>3</b>        | <b>3</b>               | <b>2.496</b>           | <b>2.461</b>                            | <b>0,1%</b>      | <b>395</b>                  | <b>15,83%</b>       | <b>4</b>                 | <b>3</b>                  | <b>4,5</b>  | <b>22.132.394</b>   | <b>22.144.894</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |   | <b>431</b>            | <b>1</b>        | <b>3</b>               | <b>2.430</b>           | <b>2.424</b>                            | <b>0,1%</b>      | <b>330</b>                  | <b>13,58%</b>       | <b>3</b>                 | <b>1</b>                  | <b>4,6</b>  | <b>14.574.250</b>   | <b>14.586.750</b>     |

## EU Aid Volunteers

### EU Aid Volunteers

### State of play Selection and Contracting phase - 31st December 2016

Budget line : 23.04.01

| WPI  | Action                          | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|---------------------------------|-----------------------|---------------|---------------|------------------------|---|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
|  | Deployment of EU Aid Volunteers | 8                     | EACEA 14/2016 | 01/09/2016    | 4                      | 4                                       | 0,00%            | 4                           | 100%                | 24/11/2016              | CFP-EA                    | 3,6         | 4.789.981           | 4.789.981             |
| Action 2.1   | Technical Assistance            | 5                     |               |               | 4                      | 4                                       | 25,00%           | 3                           | 100%                | 18/11/2016              | CFP-EA                    | 5,53        | 1.543.731           | 1.543.731             |
| Action 2.2   | Capacity Building               | 5                     | EACEA 27/2016 | 04/07/2016    | 4                      | 4                                       | 50,00%           | 2                           | 100%                | 18/11/2016              | CFP-EA                    | 5,43        | 1.259.295           | 1.259.295             |
| <b>Total général par Programme</b>                                     |                                 | <b>18</b>             | <b>2</b>      | <b>2</b>      | <b>12</b>              | <b>12</b>                               | <b>25,00%</b>    | <b>9</b>                    | <b>100%</b>         | <b>2</b>                | <b>1</b>                  | <b>4,86</b> | <b>7.593.006</b>    | <b>7.593.006</b>      |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |                                 | <b>18</b>             | <b>2</b>      | <b>2</b>      | <b>12</b>              | <b>12</b>                               | <b>25,00%</b>    | <b>9</b>                    | <b>100%</b>         | <b>2</b>                | <b>1</b>                  | <b>4,86</b> | <b>7.593.006</b>    | <b>7.593.006</b>      |

## Pan-Africa Mobility Scheme

### Intra-Africa Academic Mobility Scheme

### State of play Selection and Contracting phase - 31st December 2016

Budget line : 21.02.09

| WPI  | Action                                | PLANNED INTERVENTIONS | Call Ref      | Call Deadline | Number of Applications | Number of Applications received on-line | % Ineligible App | Number of selected Projects | % Selected Projects | 1st Award Decision Date | Grant award decision mode | Sum TTA TTC | Total Actual Grants | Maximum Grant Awarded |
|--|---------------------------------------|-----------------------|---------------|---------------|------------------------|---|------------------|-----------------------------|---------------------|-------------------------|---------------------------|-------------|---------------------|-----------------------|
| Action 2.1   | Intra-Africa Academic Mobility Scheme | 8                     | EACEA-07-2016 | 15/06/2016    | 53                     | 38                                      | 13,16%           | 7                           | 21,21%              | 19/09/2016              | EACEA                     | 4,8         | 9.947.350           | 12.946.875            |
| <b>Total général par Programme</b>                                     |                                       | <b>8</b>              | <b>1</b>      | <b>1</b>      | <b>53</b>              | <b>38</b>                               | <b>13,16%</b>    | <b>7</b>                    | <b>21,21%</b>       | <b>1</b>                | <b>EACEA</b>              | <b>4,80</b> | <b>9.947.350</b>    | <b>12.946.875</b>     |
| <b>Total hors partenariats, appels d'offres et organismes désignés</b> |                                       | <b>8</b>              | <b>1</b>      | <b>1</b>      | <b>53</b>              | <b>38</b>                               | <b>13,16%</b>    | <b>7</b>                    | <b>21,21%</b>       | <b>1</b>                | <b>EACEA</b>              | <b>4,80</b> | <b>9.947.350</b>    | <b>12.946.875</b>     |