

## **ANNEX 1: Statement of the Resources Director**

I declare that in accordance with the Commission's communication on clarification of the responsibilities of the key actors in the domain of internal audit and internal control in the Commission<sup>1</sup>, I have reported my advice and recommendations to the Director-General on the overall state of internal control in the DG.

I hereby certify that the information provided in Section 2 of the present AAR and in its annexes is, to the best of my knowledge, accurate and exhaustive."

Brussels, 31/03/2016

*"e-signed"*

Walter SCHWARZENBRUNNER

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<sup>1</sup> Communication to the Commission: Clarification of the responsibilities of the key actors in the domain of internal audit and internal control in the Commission; SEC(2003)59 of 21.01.2003.

## ANNEX 2: Human and financial resources

Human Resources by ABB activity				
Code ABB Activity	ABB Activity	Establishment Plan posts	External Personnel	Total
23 02	Humanitarian Aid, food assistance and disaster preparedness	107	58	165
23 AWBL 01	Management of DG HA and CP	50	14	64
23 AWBL 02	Policy strategy and coordination	40	16	56
23 03	The Union Civil Protection Mechanism	32	26	58
23 04	Union Aid Volunteers	1	-	1
<b>Total</b>		<b>230</b>	<b>114</b>	<b>344</b>

Implementation of decentralised administrative authorised operations of Global envelope as of 31 December 2015:



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**Annex 3 Financial Reports - DG ECHO - Financial Year 2015**

**Table 1 : Commitments**

**Table 2 : Payments**

**Table 3 : Commitments to be settled**

**Table 4 : Balance Sheet**

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**Table 12 : Summary of Procedures (excluding Building Contracts)**

**Table 13 : Building Contracts**

**Table 14 : Contracts declared Secret**

## Additional comments

*Comments in respect of tables 1 to 14:*

*Tables 1 to 14 have been prepared by the Budget Directorate General based on the data obtained from module SAP R/3 (database for the management of appropriations, expenditure and revenue at central level), a system to which DG ECHO only has limited access. Where possible, the figures have been cross-checked.*

*To be noted that the figures of the commitment and payment appropriations on administrative management (Chapter 23 01) only include the decentralised administrative expenditure managed exclusively by DG ECHO. The tables provided by the Budget Directorate General do not include the administrative expenditures related to the policy area Humanitarian Aid which are managed by the central Commission services (mainly expenditures for staff in active employment and buildings).*

*Finally, it is important to note that DG ECHO draws funds from three different financial sources: the general EU budget, the European Development Fund (EDF) and the External assigned revenues received from Member States.*

*The DG BUDG tables 1 and 2 on the following pages include only appropriations financed through the EU budget and the External Assigned revenues (so without EDF funds). In the AAR the figures mentioned in page 24 provide a view with EDF funds but without External assigned revenues.*

*Therefore, the table on the next page provides reconciled figures, providing totals for administrative, support and operational expenditures, with and without EDF resources, and clearly identifying the amount of External Assigned revenues.*

**2015 COMMITMENT APPROPRIATIONS: Reconciliation between various figures**

<i>Description</i>	<i>Budget source</i>	<i>Commitments Authorised</i>	<i>Commitments Made</i>
<b>A Administrative expenditure managed by the DG</b> - <i>Humanitarian aid ,Civil Protection and EU Aid Volunteers -</i>		<b>13.912.506</b>	<b>13.730.610</b>
A.1. Administrative expenditure	23 01 02 11	1.793.003	1.793.002
A.2. Support expenditure - Humanitarian aid and Civil Protection	23 01 04 01	9.119.000	9.109.924
A.3. Support expenditures - External assigned revenues	23 01 04 01	2.231.625	1.949.684
A.4. Support expenditure - Union Aid Volunteers	23 01 06 01	878.000	878.000
A.5. RAL reporté Recettes affectées	23 01 04 01	-109.122	
<b>B. Operational expenditure</b> - <i>Humanitarian aid :Commission Budget -</i>		<b>1.454.307.104</b>	<b>1.385.741.411</b>
B.1. Humanitarian aid and food assistance	23 02 01	1.294.312.368	1.294.005.509
B.2. Humanitarian aid and food assistance - External assigned revenues	23 02 01	129.646.774	53.972.418
B.3. Disaster preparedness	23 02 02	37.763.497	37.763.484
B.4. RAL reporté Recettes affectées - <i>Humanitarian aid : European Development Fund -</i>	23 02 01	<b>182.000.000</b>	<b>182.000.000</b>
B.5. Humanitarian aid	EDF	182.000.000	182.000.000
- <i>Civil Protection : Commission Budget -</i>		<b>56.728.630</b>	<b>54.372.331</b>
B.6. Civil Protection within the EU and in third countries	23 03 01 to 77	53.728.630	51.372.331
B.7. IPA program (NEAR/ECHO)	22 02 04 01	3.000.000	3.000.000
- <i>Union Aid Volunteers : Commission budget -</i>		<b>6.576.299</b>	<b>6.568.000</b>
B.8. Union Aid Volunteers - programmes managed by DG ECHO	23 04 01 to 77	425.624	418.000
B.9. Union Aid Volunteers - programmes managed by EACEA	23 04 01	6.150.675	6.150.000
<b>Total DG ECHO (Budget, EDF, IPA, EACEA, admin exp &amp; operational exp)</b>	<b>A+B</b>	<b>1.713.524.539</b>	<b>1.642.412.352</b>
<b>Total DG Managed (EACEA excluded)</b>	<b>A+B-B9</b>	<b>1.707.373.864</b>	<b>1.636.262.352</b>
<b>RECONCILIATION WITH TABLE 1 of ANNEX 3 - TOTAL DG ECHO :</b>			
<b>Total DG Managed (EDF, EACEA, IPA (NEAR) excluded)</b>	<b>A+B-B5-B7-B9</b>	<b>1.522.373.864</b>	<b>1.451.262.352</b>

**2015 PAYMENT APPROPRIATIONS: Reconciliation between various figures**

<i>Description</i>	<i>Budget source</i>	<i>Payments Authorised</i>	<i>Payments Made</i>
<b>A Administrative expenditure managed by the DG</b> - <i>Humanitarian aid ,Civil Protection and EU Aid Volunteers -</i>		<b>19.598.977</b>	<b>12.942.696</b>
A.1. Administrative expenditure	23 01 02 11	1.793.003 <i>New</i>	1.452.509 <i>New</i>
		481.648 <i>Carried over</i>	471.645 <i>Carried over</i>
A.2. Support expenditure - Humanitarian aid and Civil Protection	23 01 04 01	9.119.000 <i>New</i>	4.462.270 <i>New</i>
		4.889.872 <i>Carried over</i>	4.848.067 <i>Carried over</i>
		2.231.625 <i>Ext. assigned revenues</i>	830.205 <i>Ext. assigned revenues</i>
A.3. Support expenditure - EU Aid Volunteers	23 01 06 01	878.000 <i>New</i>	878.000 <i>New</i>
A.4. Dégagement C8 CND	23 01	205.829 <i>carried over</i>	
<b>B. Operational expenditure</b> - <i>Humanitarian aid :Commission Budget -</i>		<b>1.332.854.009</b>	<b>1.242.108.743</b>
B.1. Humanitarian aid and Food Assistance	23 02 01	1.168.480.613	1.168.460.036
B.2. Humanitarian aid and Food Assistance - External assigned revenues	23 02 01	129.646.774	38.922.828
B.3. Disaster preparedness	23 02 02	34.726.622	34.725.879
B.4. Humanitarian aid - <i>Humanitarian aid :European Development Fund -</i>	EDF	<b>85.183.355</b>	<b>85.183.355</b>
- <i>Civil Protection : Commission Budget -</i>		<b>43.068.827</b>	<b>42.010.246</b>
B.5. Civil Protection within the EU and in third countries	23 03 01 to 77	41.574.320	40.515.739
B.6. IPA program (NEAR/ECHO)	22 02 51	1.494.507	1.494.507
- <i>Union Aid Volunteers : Commission budget -</i>		<b>3.068.600</b>	<b>3.058.647</b>
B.7. Union Aid Volunteers - programmes managed by DG ECHO	23 04 01 to 77	599.548	591.923
B.8. Union Aid Volunteers - programmes managed by EACEA	23 04 01	2.469.052	2.466.724
<b>Total DG ECHO (Budget, EDF, IPA, admin exp &amp; operational exp)</b>	<b>A+B</b>	<b>1.483.773.768</b>	<b>1.385.303.687</b>
<b>Total DG Managed (EACEA excluded)</b>	<b>A+B-B8</b>	<b>1.481.304.716</b>	<b>1.382.836.963</b>
<b>RECONCILIATION WITH TABLE 2 of ANNEX 3 - TOTAL DG ECHO :</b>			
<b>Total DG Managed (EDF, EACEA and IPA (NEAR) excluded)</b>	<b>A+B-B4-B6-B8</b>	<b>1.394.626.854</b>	<b>1.296.159.102</b>

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2015 (in Mio €)					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
Title 23 Humanitarian aid and civil protection					
23	23 01	Administrative expenditure of the 'Humanitarian aid and civil protection' policy area	13,91250606	13,73061029	98,69 %
	23 02	Humanitarian aid, food aid and disaster preparedness	1454,307104	1385,741411	95,29 %
	23 03	The Union Civil Protection Mechanism	53,72862965	51,37233061	95,61 %
	23 04	EU Aid Volunteers initiative	0,425624	0,418	98,21 %
<b>Total Title 23</b>			<b>1522,373864</b>	<b>1451,262352</b>	<b>95,33%</b>
<b>Total DG ECHO</b>			<b>1522,373864</b>	<b>1451,262352</b>	<b>95,33 %</b>

\* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the period (e.g. internal

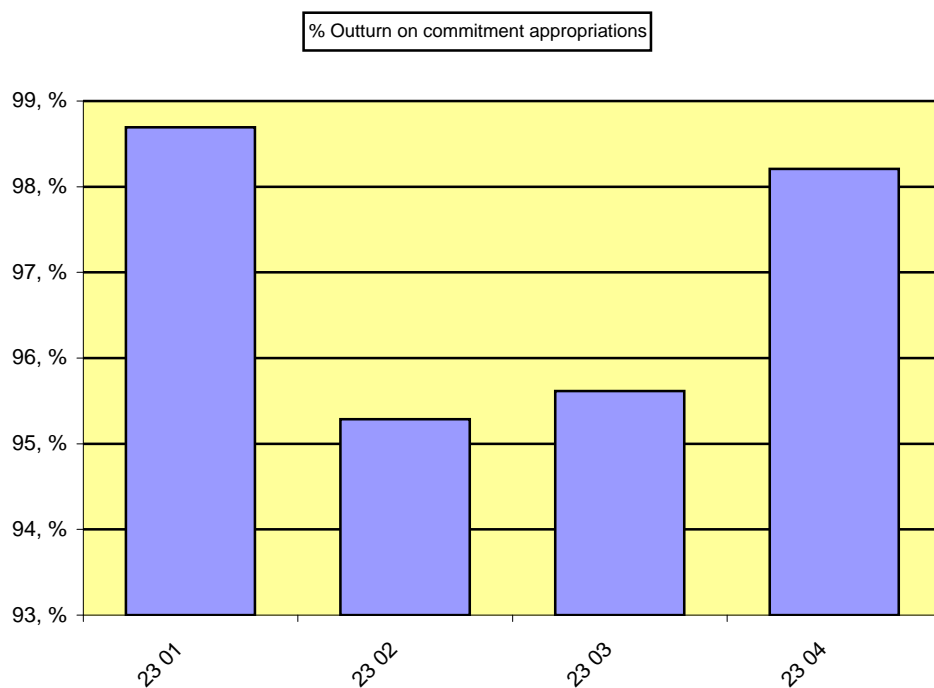


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2015 (in Mio €)					
Chapter			Payment appropriations authorised *	Payments made	%
			1	2	3=2/1
<b>Title 23 Humanitarian aid and civil protection</b>					
23	23 01	Administrative expenditure of the 'Humanitarian aid and civil protection' policy area	19,59897709	12,94269621	66,04 %
	23 02	Humanitarian aid, food aid and disaster preparedness	1332,854009	1242,108743	93,19 %
	23 03	The Union Civil Protection Mechanism	41,57432031	40,51573907	97,45 %
	23 04	EU Aid Volunteers initiative	0,599548	0,59192334	98,73 %
<b>Total Title 23</b>			1394,626854	1296,159102	92,94%
<b>Total DG ECHO</b>			1394,626854	1296,159102	92,94 %

\* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

= "% Outturn on payment appropriations"

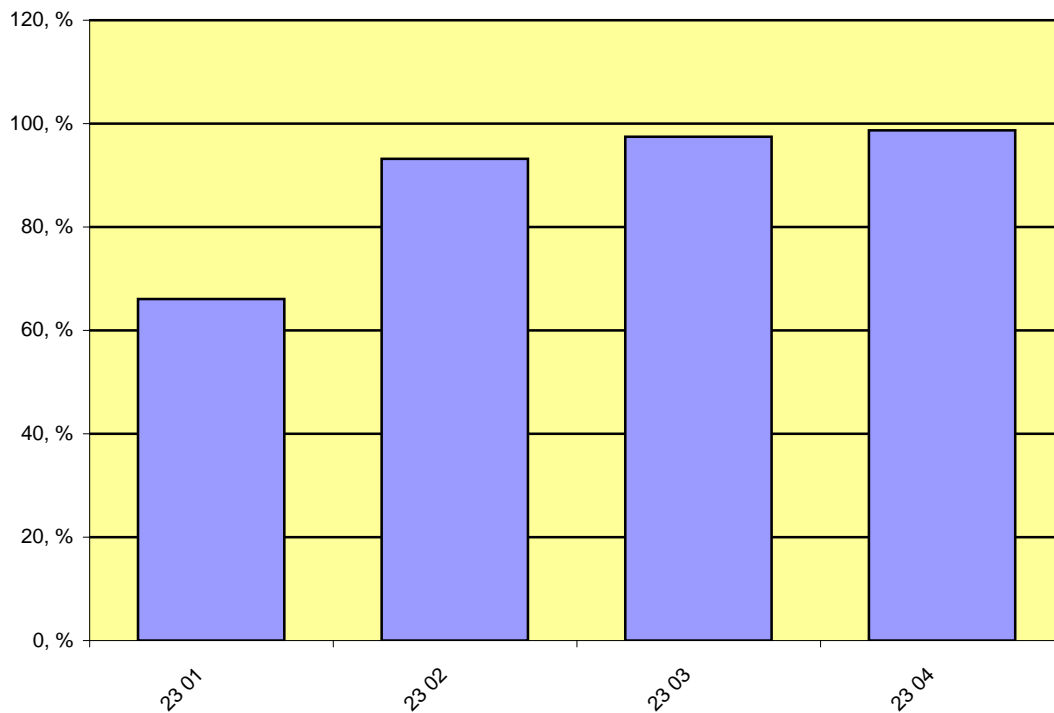
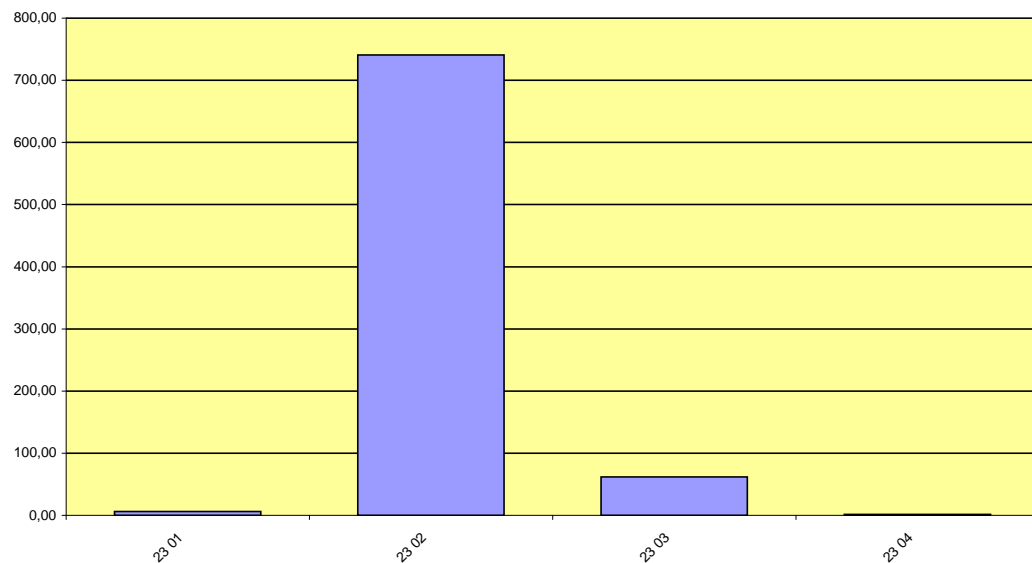


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2015 (in Mio €)									
Chapter			2015 Commitments to be settled				Commitments to be settled from financial years previous to 2015	Total of commitments to be settled at end of financial year 2015 (incl. corrections)	Total of commitments to be settled at end of financial year 2014 (incl. corrections)
			Commitments 2015	Payments 2015	RAL 2015	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
<b>Title 23 : Humanitarian aid and civil protection</b>									
23	23 01	Administrative expenditure of the 'Humanitarian aid and civil protection' policy area	13,73061029	7,52	6,21262655	45,25 %	0,00	6,22	5,69
	23 02	Humanitarian aid, food aid and disaster preparedness	1385,741411	870,57	515,1716651	37,18 %	225,78	740,95	597,32
	23 03	The Union Civil Protection Mechanism	51,37233061	22,04	29,33645822	57,11 %	32,35	61,69	53,53
	23 04	EU Aid Volunteers initiative	0,418	0,00	0,418	100,00 %	1,19	1,61	1,78
<b>Total Title 23</b>			1451,262352	900,12	551,1387499	37,98%	259,3218113	810,4605611	658,3174315
<b>Total DG ECHO</b>			1451,262352	900,12	551,1387499	37,98 %	259,3218113	810,4605611	658,3174315

= "Breakdown of Commitments remaining to be settled (in Mio EUR)"





**TABLE 4 : BALANCE SHEET**

<b>BALANCE SHEET</b>	<b>2015</b>	<b>2014</b>
<b>A.I. NON CURRENT ASSETS</b>	<b>6.037.082</b>	<b>4.042.634</b>
A.I.5. LT Receivables	236.405	100.791
A.I.6. Non-Current Pre-Financing	5.800.677	3.941.843
A.I.7. OLD LT Pre-Financing		0
<b>A.II. CURRENT ASSETS</b>	<b>106.445.493</b>	<b>127.541.457</b>
A.II.2. Current Pre-Financing	99.753.798	119.892.366
A.II.4. Exchange Receivables	1.388.786	2.996.020
A.II.5. Non-Exchange Receivables	1.502.152	1.339.903
A.II.7. Cash and Cash Equivalents	3.800.758	3.313.167
<b>ASSETS</b>	<b>112.482.575</b>	<b>131.584.090</b>
<b>P.III. CURRENT LIABILITIES</b>	<b>-316.057.374</b>	<b>-223.096.978</b>
P.III.4. Accounts Payable	-45.087.871	-46.987.543
P.III.5. Accrued charges and deferred income	-270.969.503	-176.109.435
<b>LIABILITIES</b>	<b>-316.057.374</b>	<b>-223.096.978</b>
<b>NET ASSETS (ASSETS less LIABILITIES)</b>	<b>-203.574.799</b>	<b>-91.512.887</b>
<b>P.I.2. Accumulated Surplus / Deficit</b>	<b>2.440.611.164</b>	<b>1.298.061.383</b>
<b>Non-allocated central (surplus)/deficit*</b>	<b>-2.237.036.365</b>	<b>-1.206.548.496</b>
<b>TOTAL</b>	<b>0,00</b>	<b>0,00</b>

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still

**TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE**

<b>STATEMENT OF FINANCIAL PERFORMANCE</b>	<b>2015</b>	<b>2014</b>
II.1 REVENUES	-2.549.097	-47.931.994
II.1.1. NON-EXCHANGE REVENUES	-2.005.757	-50.729.833
II.1.1.5. RECOVERY OF EXPENSES	-1.753.050	-1.329.260
II.1.1.6. OTHER NON-EXCHANGE REVENUES	-252.707	-49.400.573
II.1.2. EXCHANGE REVENUES	-543.340	2.797.840
II.1.2.1. FINANCIAL INCOME		-23.617
II.1.2.2. OTHER EXCHANGE REVENUE	-543.340	2.821.457
II.2. EXPENSES	1.328.558.014	1.190.481.774
II.2. EXPENSES	1.328.558.014	1.190.481.774
II.2.10. OTHER EXPENSES	12.698.321	9.088.475
II.2.2. EXP IMPLM BY COMMISS&EX.AGENC. (DM)	672.481.950	657.831.147
II.2.4. EXP IMPL BY 3RD CNTR & INT ORG (IM)	643.280.311	523.085.058
II.2.8. FINANCE COSTS	97.433	477.094
<b>STATEMENT OF FINANCIAL PERFORMANCE</b>	<b>1.326.008.917,19</b>	<b>1.142.549.780,80</b>

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium.

Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

**TABLE 6: AVERAGE PAYMENT TIMES FOR 2015 - DG ECHO**

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	2581	2359	91,40 %	13,52310301	222	8,60 %	67,08108108
45	26	10	38,46 %	30,8	16	61,54 %	203,5
60	960	617	64,27 %	38,64505673	343	35,73 %	77,02332362
90	8	8	100,00 %	27,5			

<b>Total Number of Payments</b>	<b>3575</b>	<b>2994</b>	<b>83,75 %</b>		<b>581</b>	<b>16,25 %</b>	
<b>Average Payment Time</b>	<b>28,20699301</b>			<b>18,79525718</b>			<b>76,70740103</b>

Target Times							
Target Payment Time (Days)	Total Number of Payments	Nbr of Payments within Target Time	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
20	995	815	81,91 %	10,65889571	180	18,09 %	29,21111111
30	77	47	61,04 %	14,53191489	30	38,96 %	128,3666667

<b>Total Number of Payments</b>	<b>1072</b>	<b>862</b>	<b>80,41 %</b>		<b>210</b>	<b>19,59 %</b>	
<b>Average Payment Time</b>	<b>17,23787313</b>			<b>10,87006961</b>			<b>43,37619048</b>

Suspensions							
Average Report Approval Suspension	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
2	44	596	16,67 %	3575	170.235.237,35	13,42 %	1.268.378.282,46

Late Interest paid in 2015			
DG	GL Account	Description	Amount (Eur)
ECHO	65010000	Interest expense on late payment of charges	595,91
ECHO	65010100	Interest on late payment of charges New FR	69 962,04
			<b>70 557,95</b>

**TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2015**

Chapter		Revenue and income recognized			Revenue and income cashed from			Outstanding balance
		Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total	
		1	2	3=1+2	4	5	6=4+5	
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	9.914,37	0,00	9.914,37	9.914,37	0,00	9.914,37	0,00
57	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION	13.087,43	0,00	13.087,43	13.087,43	0,00	13.087,43	0,00
60	CONTRIBUTIONS TO UNION PROGRAMMES	75.063.799,30	0,00	75.063.799,30	74.999.957,76	0,00	74.999.957,76	63.841,54
66	OTHER CONTRIBUTIONS AND REFUNDS	3.791.097,84	2.170.915,66	5.962.013,50	3.293.922,38	1.974.412,20	5.268.334,58	693.678,92
<b>Total DG ECHO</b>		<b>78.877.898,94</b>	<b>2.170.915,66</b>	<b>81.048.814,60</b>	<b>78.316.881,94</b>	<b>1.974.412,20</b>	<b>80.291.294,14</b>	<b>757.520,46</b>

**TABLE 8 : RECOVERY OF PAYMENTS**  
**(Number of Recovery Contexts and corresponding Transaction Amount)**

INCOME BUDGET RECOVERY ORDERS ISSUED IN 2015  Year of Origin (commitment)	Error		Irregularity		Total undue payments recovered		Total transactions in recovery context(incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
2007			1	4630,85	1	4.630,85	2	5.275,85	50,00%	87,77%
2008			5	40015,05	5	40.015,05	5	40.015,05	100,00%	100,00%
2009			20	207182,63	20	207.182,63	21	251.085,03	95,24%	82,51%
2010			37	484386,12	37	484.386,12	37	484.386,12	100,00%	100,00%
2011	2	1359931,46	36	606392,16	38	1.966.323,62	38	1.966.323,62	100,00%	100,00%
2012	3	56164,06	30	358937,25	33	415.101,31	35	439.992,01	94,29%	94,34%
2013	4	343439,09	10	199632,59	14	543.071,68	20	769.706,83	70,00%	70,56%
2014	1	3598,11	6	365065,04	7	368.663,15	11	640.084,88	63,64%	57,60%
2015	1	45322			1	45.322,00	6	322.570,55	16,67%	14,05%
No Link							6	74.978.225,02		
<b>Sub-Total</b>	<b>11</b>	<b>1808454,72</b>	<b>145</b>	<b>2266241,69</b>	<b>156</b>	<b>4.074.696,41</b>	<b>181</b>	<b>79.897.664,96</b>	<b>86,19%</b>	<b>5,10%</b>

EXPENSES BUDGET	Error		Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context(incl. non- qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES												
NON ELIGIBLE IN COST CLAIMS	26	335414,95	73	1279707,03	3	659935,00	99	1615121,98	224	7.145.735,49	45,54%	31,84%
CREDIT NOTES	7	4976,27	1	6			8	4982,27	27	350.676,02	29,63%	1,42%
<b>Sub-Total</b>	<b>33</b>	<b>340391,22</b>	<b>74</b>	<b>1279713,03</b>	<b>3</b>	<b>659935,00</b>	<b>107</b>	<b>1620104,25</b>	<b>251</b>	<b>7496411,51</b>	<b>43,82%</b>	<b>30,42%</b>
<b>GRAND TOTAL</b>	<b>44</b>	<b>2148845,94</b>	<b>219</b>	<b>3545954,72</b>	<b>3</b>	<b>659935,00</b>	<b>263</b>	<b>5694800,66</b>	<b>432</b>	<b>87394076,47</b>	<b>61,57%</b>	<b>7,27%</b>

**TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2015 FOR ECHO**

	<b>Number at 01/01/2015</b>	<b>Number at 31/12/2015</b>	<b>Evolution</b>	<b>Open Amount (Eur) at 01/01/2015</b>	<b>Open Amount (Eur) at 31/12/2015</b>	<b>Evolution</b>
2008	1	1	0,00 %	7.034,80	7.034,80	0,00 %
2009	3	2	-33,33 %	195.185,93	189.140,33	-3,10 %
2010	4		-100,00 %	1.207.446,50		-100,00 %
2012	1		-100,00 %	25.269,84		-100,00 %
2014	14	1	-92,86 %	735.978,59	328,33	-99,96 %
2015		11			561.017,00	
	23	15	-34,78 %	2.170.915,66	757.520,46	-65,11 %

**TABLE 10 : RECOVERY ORDER WAIVERS IN 2015 >= EUR 100.000**

	Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments
1	3233150039	3241001440	-540.924,80	Private Companies	C (2015) 1531 -11.03.15	ECHO/SDN/BUD/2008/01039 - PSF
2	3233150040	3241001443	-478.824,00	Private Companies	C (2015) 1531 -11.03.15	ECHO/HTI/BUD/2008/01003 - PSF
3	3233150042	3241001436	-117.000,00	Private Companies	C (2015) 1531 -11.03.15	ECHO/SDN/BUD/2009/01034 - PSF

<b>Total DG</b>	<b>-1.136.748,80</b>
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<b>Number of RO waivers</b>	<b>3</b>
-----------------------------	----------

*Justifications:*

*As per the Commission Decision referenced above (C (2015) 1531 -11.03.15), on 1 August 2011, the Court-appointed liquidator informed the Commission that the claims of the Commission were not recoverable as there were not sufficient assets. Under these circumstances a total amount of EUR 2 480 263.40 (including DG DEVCO contracts) was deemed irrecoverable. In accordance with the provisions of Article 80(2) of the Financial Regulation and Article 91 of the Rules of Application of the Financial Regulation, it was deemed appropriate to waive, inter alia the recovery orders in question numbered: 3241001440, 3241001443, 3241001436.*

**TABLE 11 : CENSUS OF NEGOTIATED PROCEDURES - DG ECHO - 2015**

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
135.1(a)	1	199.800,00
134.1(e)	1	297.141,00
<b>Total</b>	<b>2</b>	<b>496.941,00</b>

#####



**TABLE 12 : SUMMARY OF PROCEDURES OF DG ECHO EXCLUDING BUILDING CONTRACTS**

<b>Internal Procedures &gt; €60,000</b>		
<b>Procedure Type</b>	<b>Count</b>	<b>Amount (€)</b>
Open Procedure (Art. 127.2 RAP)	6	38.831.819,10
<b>TOTAL</b>	<b>6</b>	<b>38.831.819,10</b>

**Additional comments**

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**TABLE 13 : BUILDING CONTRACTS**

Total number of contracts :	
Total amount :	

Legal base	Contract Number	Contractor Name	Description	Amount (€)

**No data to be reported**

**TABLE 14 : CONTRACTS DECLARED SECRET**

Total Number of Contracts :

Total amount :

Legal base	Contract Number	Contractor Name	Type of contract	Description	Amount (€)

**No data to be reported**

## **ANNEX 4:      Materiality criteria**

In order to identify material deficiencies that need to be disclosed in the declaration of the Authorising Officer by Delegation (AOD), ECHO has taken into account the following qualitative and quantitative criteria, assessing whether the deficiency is significant and should lead to reporting as a reservation.

### **Qualitative criteria for defining significant weaknesses**

In order to identify significant weaknesses that could have an impact on the statement of assurance on the use of resources and the legality and regularity of operations provided by its AOD, ECHO considers if (i) significant errors, taking into account their frequency of occurrence, or (ii) significant weaknesses in the Internal Control have been identified. Furthermore, all elements that would damage its reputation are also considered.

The identification of significant weaknesses in the Internal Control system is derived from various sources, such as the annual IC self-assessment performed by ECHO's management, the conclusions from reports issued by the various control bodies (ECA, IAS, etc.) and direct reporting to the Director General by ECHO's staff.

ECHO also took into account all major issues that have been outlined by the various controlling bodies or situations where a significant reputational risk may occur.

### **Quantitative criteria for defining reservations**

In order to define the quantitative criteria, ECHO follows the proposed Commission's standard, which is consistent with the European Court of Auditor's threshold for materiality, i.e. considers that a weakness is significant when the value of the transactions affected by this weakness represents more than 2% of the annual budget of the ABB activity.

### **General conditions for making a reservation**

Reference is made to SG/BUDG Standing Instructions.

## ANNEX 5: Internal Control Template(s) for budget implementation (ICTs)

### Direct and Indirect Management

#### Stage 1 – Programming, evaluation and selection of proposals

#### **A - Preparation, adoption and publication of the Worldwide decision, ad-hoc decisions and Humanitarian Implementation Plans (HIP)**

**Main control objectives:** Ensuring that the Commission selects the proposals that contribute the most towards the achievement of the policy or programme objectives (effectiveness); Compliance (legality & regularity); Prevention of fraud (anti-fraud strategy)

<b>Main risks</b> <i>It may happen (again) that...</i>	<b>Mitigating controls</b>	<b>How to determine coverage frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Control indicators</b>
<p>The Worldwide decision, the emergency decisions and the HIPs do not adequately reflect the policy objectives, priorities and/or the essential eligibility, selection and award criteria are not adequate to ensure the evaluation of the proposals.</p>	<p>Hierarchical validation within the authorising department                      Inter-service consultation, including all relevant DGs                      Adoption by the Commission                      Recommended:                      (1) Explicit allocation of responsibility to individual officials (reflected in task assignment or function descriptions)                      (2) Centralised checklist-based verification                      (3) Ex-post monitoring: lessons-learned survey/discussion with evaluators</p>	<p>If risk materialises, all grants awarded during the year under the decisions and/or HIPs would be irregular. Possible impact 100% of budget involved and significant reputational consequences.  <b>Coverage / Frequency:</b> 100% / always  <b>Depth:</b> Checklist includes a list of the requirements of the regulatory provisions identified.</p>	<p><b>Costs:</b> estimation of cost of staff involved in the preparation and validation of the annual work programme and calls.  <b>Benefits:</b> The (average annual) total budgetary amount of the decisions and HIPs with significant errors detected and corrected.</p>	<p><b>Effectiveness:</b> N/A  <b>Efficiency:</b> Consumption rate of payment appropriations.</p>

**B - Selecting and awarding: Evaluation and selection of proposals**

**Main control objectives:** Ensuring that the most efficient proposals for meeting the policy objectives are among the proposals selected (effectiveness); Compliance (legality & regularity); Prevention of fraud (anti-fraud strategy)

Main risks <i>It may happen (again) that...</i>	Mitigating controls	How to determine coverage frequency and depth	How to estimate the costs and benefits of controls	Control indicators
<p>The evaluation, ranking and selection of proposals is not carried out in accordance with the policy objectives, priorities and/or the essential eligibility, or with the selection and award criteria defined in the decision and HIPs and subsequent calls for proposals.</p>	<p>Assignment of staff (e.g. desk officers)</p>	<p><b>Coverage / Frequency:</b> 100% / always</p>	<p><b>Costs:</b> estimation of cost of staff involved in the evaluation and selection of proposals. <b>Benefits: N/A</b></p>	<p><b>Effectiveness:</b> N/A <b>Efficiency Indicators:</b> N/A</p>
	<p>Assessment by staff (desk officers)</p>	<p><b>Coverage / Frequency:</b> 100% / all proposals are assessed by desk officers and humanitarian experts (technical assistants)</p>		
	<p>Review and hierarchical validation by the AO of selected proposals</p>	<p><b>Coverage / Frequency:</b> 100% / all selected proposals are validated by the Authorising Officer</p>		
	<p>Redress procedure</p>	<p><b>Coverage / Frequency:</b> 100% / all contested decisions are analysed by redress committee or equivalent</p>		

**Stage 2 - Contracting:** Transformation of selected proposals into legally binding grant agreements

**Main control objectives:** Ensuring that the actions and funds allocation is optimal (best value for public money; effectiveness, economy, efficiency); Compliance (legality & regularity); Prevention of fraud (anti-fraud strategy)

<b>Main risks</b> <i>It may happen (again) that...</i>	<b>Mitigating controls</b>	<b>How to determine coverage, frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Control indicators</b>
<p>The description of the action in the grant agreement includes tasks which do not contribute to the achievement of the operational objectives and/or that the budget foreseen overestimates the costs necessary to carry out the action.</p> <p>The beneficiary does not meet eligibility criteria or lack financial capacity to carry out the actions</p>	<p>Validation of beneficiaries (eligibility and financial viability)</p> <p>Signature of the grant agreement by the AO.</p> <p>In-depth financial verification for high risk beneficiaries</p>	<p>100% of the selected proposals and beneficiaries are scrutinised.</p> <p><b>Coverage:</b> 100% of draft grant agreements.</p> <p><b>Depth</b> may be determined after considering the type or nature of the beneficiary and/or of the modalities (e.g. substantial subcontracting) and/or the total value of the grant.</p>	<p><b>Costs:</b> estimation of cost of staff involved in the contracting process.</p> <p><b>Benefits:</b> N/A</p>	<p><b>Effectiveness:</b> N/A</p> <p><b>Efficiency indicators:</b> % coverage of periodic assessment of partners</p>

**Stage 3 - Monitoring the execution.** This stage covers the monitoring the operational, financial and reporting aspects related to the project and grant agreement

**Main control objectives:** ensuring that the operational results (deliverables) from the projects are of good value and meet the objectives and conditions (effectiveness & efficiency); ensuring that the related financial operations comply with regulatory and contractual provisions (legality & regularity); prevention of fraud (anti-fraud strategy); ensuring appropriate accounting of the operations (reliability of reporting, safeguarding of assets and information)

<b>Main risks It may happen (again) that...</b>	<b>Mitigating controls</b>	<b>How to determine coverage, frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Control indicators</b>
<p>The actions foreseen are not, totally or partially, carried out in accordance with the technical description and requirements foreseen in the grant agreement and/or the amounts paid exceed that due in accordance with the applicable contractual and regulatory provisions.</p>	<p>Operational and financial checks in accordance with the financial circuits. Operation authorisation by the AO For riskier operations, ex-ante in-depth and/or on-site verification.</p>	<p>100% of the projects are controlled, including only value-adding checks. Riskier operations subject to in-depth and/or on-site controls. The <b>depth</b> depends on risk criteria.</p>	<p><b>Costs:</b> estimation of cost of staff involved in the actual management of running projects. <b>Benefits:</b> budget value of the costs claimed by the beneficiary, but rejected by the desk and financial officers</p>	<p><b>Effectiveness:</b> Budget amount of the cost items rejected. <b>Efficiency Indicators:</b> % of projects subject to monitoring when access and security allow for it. Average time-to-pay.</p>
	<p>For high risk operations, reinforced monitoring</p>	<p>High risk operations identified by risk criteria.</p>		
	<p>If needed: application of Suspension/interruption of payments, Penalties or liquidated damages. Referring grant to OLAF</p>	<p>Depth: depends from results of ex-ante controls.</p>		



## Stage 4 - Ex-Post controls

### A - Reviews, audits and monitoring

**Main control objectives:** Measuring the effectiveness of ex-ante controls; detect and correct any error or fraud remaining undetected after the implementation ex-ante controls (legality & regularity; anti-fraud strategy); addressing systemic weaknesses in the ex-ante controls, based on the analysis of the findings (sound financial management); Ensuring appropriate accounting of the recoveries to be made (reliability of reporting, safeguarding of assets and information)

<b>Main risks It may happen (again) that...</b>	<b>Mitigating controls</b>	<b>How to determine coverage, frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Control indicators</b>
<p>The ex-ante controls fail to prevent, detect and correct erroneous payments or attempted fraud.</p>	<p>Audit strategy: Carry out audits or desk-reviews of a representative sample of operations to determine effectiveness of ex-ante controls. Validate audit results with beneficiary If needed: referring the beneficiary or grant to OLAF</p>	<p>Representative sample: sample sufficiently representative to draw valid management conclusions Risk-based sample, determined in accordance with the selected risk criteria</p>	<p><b>Costs:</b> estimation of cost of staff involved in the coordination and execution of the audit strategy. Cost of the appointment of audit firms for the outsourced audits. <b>Benefits:</b> detected ineligible expenditure by audits/verifications.</p>	<p><b>Effectiveness:</b> Detected error rate. Residual error rate. Average cost of ex-ante and ex-post audits <b>Efficiency:</b> N/A</p>

<b>Main risks</b> <i>It may happen (again) that...</i>	<b>Mitigating controls</b>	<b>How to determine coverage, frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Control indicators</b>
<p>The audit strategy focus on the detection of external errors (e.g. made by beneficiaries) and do not consider any internal errors made by staff or embedded systematically in the own organisation</p>	<p>Establish an audit strategy, performed by independent staff not involved in the operational and financial circuits</p>	<p>Coverage: the sample will be representative to enable drawing valid management conclusions about the entire population during the programme's lifecycle.</p>	<p><b>Costs:</b> estimation of cost of staff involved in the implementation of the audit strategy.  <b>Benefits:</b> detected ineligible expenditure by audits/verifications.</p>	<p><b>Effectiveness:</b> Detected error rate. Residual error rate.  <b>Efficiency:</b> N/A</p>

**B - Implementing results from ex-post audits/controls**

**Main control objectives:** Ensuring that the (audit) results from the ex-post controls lead to effective recoveries (legality & regularity; anti-fraud strategy); Ensuring appropriate accounting of the recoveries made (reliability of reporting)

<b>Main risks It may happen (again) that...</b>	<b>Mitigating controls</b>	<b>How to determine coverage, frequency and depth</b>	<b>How to estimate the costs and benefits of controls</b>	<b>Possible control indicators</b>
<p>The errors, irregularities and cases of fraud detected are not addressed or not addressed timely</p>	<p>Systematic registration of audit / control results to be implemented. Financial operational validation of recovery in accordance with financial circuits. Authorisation by AO</p>	<p><b>Coverage:</b> 100% of final audit results <i>with a financial impact</i>. <b>Depth:</b> consider 'extending' the findings of systemic errors into corrections of non-audited projects by the same beneficiary</p>	<p><b>Costs:</b> estimation of cost of staff involved in the implementation of the audit results. <b>Benefits:</b> budget value of the errors, detected by ex-post controls, which have actually been corrected (offset or recovered).</p>	<p><b>Effectiveness:</b> Detected error rate. Residual error rate. Recovery orders following audit/verifications and amount cashed in or offset. <b>Efficiency Indicators:</b> total (average) annual cost of implementing audit audits.</p>

## ANNEX 8: Decentralised agencies

Entity	Policy/ programme concerned	Administrative budget		Operating budget		Total budget entrusted	
		CA	PA	CA	PA	CA	PA
Education, Audio-visual and Culture Executive Agency (EACEA)	European Union Aid Volunteers initiative (EUAV)	852 073	852 073	6 568 000	3 058 648	7 420 073	3 910 721

Reference No of Annex 4 MP2015	Title	Reason <sup>1</sup>	Scope <sup>2</sup>	Type of evaluation or other study			Associate d DGs	Costs (EUR)	Comments	Reference	Cancelled
				Focus <sup>3</sup>	Author <sup>4</sup>	Type <sup>5</sup>					
<b>I. Evaluations finalised or cancelled in 2015</b>											
<b>a. evaluations finalised in 2015</b>											
									General: 1) Another four projects were at their final stage at the end of 2015. However, they are not included in this table as they have not yet been published in EU Bookshop. 2) Another four projects were launched at the end of 2015 according to plan. These are now ongoing.		
2014/10	Ex post evaluation of the Civil Protection Financial Instrument and Community Civil Protection Mechanism (recast) 2007 - 2013	L	Effectiveness, efficiency, coherence, relevance and EU added value of the Civil Protection Financial Instrument and all EU Civil Protection Mechanism actions, for the period of 2007 – 2013.	R	E	E	N/A	199.800	This evaluation was at its very final stage at the end of 2014, and was therefore not included in the 2015 plan. It was published in EU Bookshop at the beginning of 2015	<a href="http://bookshop.europa.eu/en/ex-post-evaluation-of-civil-protection-financial-instrument-and-community-civil-protection-mechanism-recast-2007-2013-pbKR0215313/?CatalogCategoryID=noMKABstMNYAAAFijZY4e5L">http://bookshop.europa.eu/en/ex-post-evaluation-of-civil-protection-financial-instrument-and-community-civil-protection-mechanism-recast-2007-2013-pbKR0215313/?CatalogCategoryID=noMKABstMNYAAAFijZY4e5L</a>	
2014/09	Evaluation of ECHO Communication approach under the Humanitarian Implementation Plan	FR	Effectiveness and efficiency, including cost-effectiveness, of launching communication activities through grant agreements with partner organizations as compared to the use of service contracts, both financed under HIP. The relevance of the HIP approach to fund communication activities against ECHO's communication objectives.	P/R	E	C	N/A	75.112	This evaluation was at its very final stage at the end of 2014, and was therefore not included in the 2015 plan. It was published in EU Bookshop at the beginning of 2015	<a href="http://bookshop.europa.eu/en/final-report-on-the-evaluation-of-the-european-commission-approach-to-communication-under-the-humanitarian-implementation-plan-hip-for-the-period-of-2010-to-2013-pbKR0115248/">http://bookshop.europa.eu/en/final-report-on-the-evaluation-of-the-european-commission-approach-to-communication-under-the-humanitarian-implementation-plan-hip-for-the-period-of-2010-to-2013-pbKR0115248/</a>	
2015/02	ECHO actions in coastal West Africa 2008-2014	FR	Relevance, Coherence, Connectedness, EU Added Value, Effectiveness, Efficiency and Sustainability of ECHO actions in coastal West Africa for the period of 2008 – 2014.	P/R	E	E	DEVCO	249.368	This evaluation was finalised at the end of 2015 and published in EU bookshop.	<a href="http://bookshop.europa.eu/en/evaluation-of-the-dg-echo-actions-in-coastal-west-africa-2008-2014-pbKR0415526/">http://bookshop.europa.eu/en/evaluation-of-the-dg-echo-actions-in-coastal-west-africa-2008-2014-pbKR0415526/</a>	
<b>b. Evaluations cancelled in 2015</b>											
2015/4	Evaluation of ECHO's actions in Yemen	FR	Relevance, Coherence, Connectedness, EU Added Value, Effectiveness, Efficiency and Sustainability of ECHO actions in Yemen for the period of 2008 – 2014.	P/R	E	E	DEVCO	250.000	Evaluation cancelled/ postponed due to access and security problems	N/A	X
<b>II. Other studies finalised or cancelled in 2015</b>											
<b>a. other studies finalised in 2015</b>											
<b>b. other studies cancelled in 2015</b>											

<sup>1</sup> L - legal act, LMFF - legal base of MF instrument, FR - financial regulation, REFIT, CWP - 'evaluate first', O - other (please specify in Comments)

<sup>2</sup> specify what programme/regulatory measure/initiative/policy area etc. has been covered

<sup>3</sup> P - prospective, R - retrospective, P/R - prospective and retrospective

<sup>4</sup> E - external, I - internal, M - mixed (internal with external support)

<sup>5</sup> FC – fitness check, E – expenditure programme/measure, R – regulatory measure (not recognised as a FC), C – communication activity, I – internal Commission activity, O – other – please specify in the Comments

## ANNEX 12: Performance tables

### Performance Table 1

<b>GENERAL OBJECTIVE 1: HUMANITARIAN ASSISTANCE</b>		<input checked="" type="checkbox"/> Programme-based: Humanitarian Aid	
<b>For children and adults affected by or vulnerable to disasters or crises outside the EU to have improved chances of survival.</b>			
<b>Impact indicator 1:</b> Number of deaths due to natural disasters <sup>1</sup>			
Source: EM-DAT database <sup>2</sup>			
Baseline: Annual Average 2010-2012	Baseline: 2014	Current situation: 2015	Target: 2020
98 689	15 733	22 773	≤ 100 000
<b>Impact indicator 2:</b> number of countries ranked very high risk to disasters in the INFORM Index			
Source: INFORM <sup>3</sup>			
Baseline: 2013	Milestones: Annual average 2015 - 2019	Current situation: 2015	Target: 2020
12	≤ 11	10	≤ 9
<b>GENERAL OBJECTIVE 2: CIVIL PROTECTION</b>		<input checked="" type="checkbox"/> Programme-based: Union Civil Protection Mechanism	
<b>For public authorities to be able to prevent, prepare for and respond to natural and man-made disasters in a coordinated, effective and efficient way.</b>			
<b>Impact indicator 1:</b> Economic damage caused by natural disasters			
Source: EM-DAT database			
Baseline: Annual Average 2011-2013	Current situation: 2015		Target: 2020
171 064 341 000 €	59 963 000 000 €		≤ 106 000 000 000 €

### Performance Table 2

<b>GENERAL OBJECTIVE 1: HUMANITARIAN ASSISTANCE</b>					
<b>Specific objective 1: HUM. AID RESPONSE</b>				<input checked="" type="checkbox"/> Programme-based: Humanitarian Aid	
<b>Provide needs based delivery of EU assistance to people faced with natural and manmade disasters and protracted crises.</b>					
<b>Result indicator 1:</b> Percentage of HA funds spent in "very high risk to disaster" countries <sup>4</sup>					
Source: INFORM <sup>5</sup>					
Baseline: 2013	Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020
			2016	2018	
50%	51%	52.5%	≥ 53%	≥ 55%	≥ 56%

<sup>1</sup> Following the 2015 adoption of the Sendai Framework for Disaster Risk Reduction - including a target on reducing mortality from disasters expressed in terms of per 100 000 population – the milestones and target will be revised in 2016 to be in line with the Sendai methodology.

<sup>2</sup> Emergency Events Database, which is an external internationally recognised database managed by the Centre for Research on the Epidemiology of Disasters (CRED).

<sup>3</sup> For more information: [www.inform-index.org](http://www.inform-index.org)

<sup>4</sup> The INFORM index replaces the previously used Global Vulnerability and Crisis Assessment index.

<sup>5</sup> As explained and described in the yearly Operational Priorities document of DG ECHO

<b>Result indicator 2:</b> Percentage of HA initial budget spent in forgotten crises Source: Commission's Forgotten Crisis Assessment <sup>6</sup>					
Baseline: 2013	Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020
			2016	2018	
18.3%	15%	16,7%	≥ 18%	≥ 19%	≥ 20%
<b>Result indicator 3:</b> Percentage of projects meeting quality standards in food, nutrition, health, shelter and water / sanitation / hygiene intervention sectors Source: ECHO's key results indicators in e-SingleForms					
Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020	
		2017	2018		
66%	77%	92%	93%	95%	
<b>Result indicator 4:</b> Percentage of non-emergency proposals negotiated in maximum 30 working days <sup>7</sup> Source: ECHO benchmark report					
Current Situation: 2015		Milestone: 2017		Target: 2020	
39%		70%		95%	
<b>Result indicator 5:</b> Percentage of non-emergency agreements signed in maximum 11 working days Source: ECHO benchmark report					
Current Situation: 2015		Milestone: 2017		Target: 2020	
77%		85%		95%	
<b>Output indicator 1:</b> Number of beneficiaries of ECHO operations Source: Hope database - owned and managed by DG ECHO					
Baseline: 2012	Milestones: 2013-2019	Current Situation: 2015	Target: 2020		
122 million	> 122 million each year	134 million	≥ 125 Million		
<b>Specific objective 2: RESILIENCE</b> <b>Build the capacity and resilience of vulnerable or disaster affected communities.</b>				☒ Programme-based: Humanitarian Aid	
<b>Result indicator 1:</b> N° of vulnerable countries with country resilience priorities in place Source: EU Del, MIPs, CSPs					
Baseline: 2014	Current Situation: 2015	Milestone: 2016	Target: 2020		
3	9	10	20		
<b>Result indicator 2:</b> % of actions 'on track' of Resilience Action Plan Source: Transition Interservice Working Group on Resilience					
Baseline: 2014	Current Situation: 2015	Milestone: 2016	Target: 2020		
80%	85%	80%	90%		
<b>Output indicator 1:</b> Percentage of ECHO funded operations in which Disaster Risk Reduction has been mainstreamed Source: ECHO DRR metrics – E-single form					
Baseline: 2013	Milestones: 2014-2019	Current Situation 2015	Target: 2020		
40%	≥ 45%	43%	≥ 50%		

<sup>6</sup> As above

<sup>7</sup> Result Indicators 4 and 5 replace the previously used indicator: "percentage of contracts issued under a specific number of days from decision to contracting". In 2015, ECHO developed a benchmark report that evaluates the current procedures for non-emergency proposals, including time to negotiate a proposal and to sign an agreement. This benchmark report is communicated to ECHO management.



### Performance Table 3

<b>GENERAL OBJECTIVE 1: HUMANITARIAN ASSISTANCE</b>						
<b>Specific objective 3: EU AID VOLUNTEERS</b>						☒ Programme-based: EU Aid Volunteers
<b>Ensure deployment of EU volunteers and provide capacity building for volunteering</b>						
<b>Result Indicator 1</b> : Number of EU Aid Volunteers deployed or ready for deployment with the required qualifications Source: Consolidation of implementing partner's reporting by ECHO						
Baseline: 2014	Current situation: 2015	Milestones				Target 2020
		2016	2017	2018	2019	
70	0 <sup>8</sup>	400	≥ 580	≥ 690	≥ 820	≥ 980
<b>Result Indicator 2</b> : Number of third country staff and volunteers participating in capacity building actions <sup>9</sup> Source: Consolidation of implementing partner's reporting by ECHO						
Baseline 2014	Current situation: 2015	Milestones				Target 2020
		2016	2017	2018	2019	
1.450	2,253	≥ 3000	≥ 2500	≥ 2000	≥ 1000	≥ 550
<b>Output indicator 1</b> : Training of volunteers and apprenticeship placements Source: Consolidation of implementing partner's reporting by ECHO						
Baseline: 2014	Current situation: 2015	Milestone: 2016	Target:2020			
NA - New	0 <sup>10</sup>	840	1.596			

### Performance Table 4

<b>GENERAL OBJECTIVE 2: CIVIL PROTECTION</b>				
<b>Specific objective 4: PREVENTION</b>				☒ Programme-based: Union Civil Protection Mechanism
<b>Achieve a high level of protection against disasters by preventing or reducing their potential effects, by fostering a culture of prevention and by improving cooperation between the civil protection and other relevant services.</b>				
<b>Result Indicator 1</b> : Number of Member States that have made available to the Commission a summary of their risk assessments and an assessment of their risk management capability. Source: Communication and Information Resource Centre for Administrations, Businesses and Citizens (CIRCABC)				
Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020
		2016	2018	
20	27	28	33	≥ 33

<sup>8</sup> No volunteers were actually deployed and trained in 2015. This will only happen in 2016 when 44 volunteers will be deployed under 2015 call for deployment.

<sup>9</sup> Capacity building and technical assistance for the participating organisations are front-loaded, i.e. they take place mainly at the beginning of the programme in order to allow organisations to get up so speed and participated in it (they need to be certified and for those who do not yet have the capacity we provide these cap building activities). Later in the programme the focus is on growing numbers of volunteers. This explains the expected decrease from 2017 since preparatory work was mainly in 2013/2014 with a second batch in 2016/2017 due to slowly decrease afterwards. EUAV being a new activity for DG ECHO, milestones and targets have been changed compared to Management Plan 2015 in order to better reflect reality and increasing experience.

<sup>10</sup> The training of candidate volunteers has been moved to 2016. The call for tender was launched in 2015.

<b>Specific objective 5: PREPAREDNESS</b>				<input checked="" type="checkbox"/> Programme-based: Union Civil Protection Mechanism	
<b>Enhance preparedness at Member States and Union level to respond to disasters</b>					
<b>Result Indicator 1:</b> Percentage of response capacities included in the voluntary pool in relation to the capacity goals. Source: Common Emergency Communication and Information System (CECIS)					
Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020	
		2016	2018		
0%	39%	≥ 50%	≥ 75%	100%	
<b>Result Indicator 2:</b> Number of standard response units (modules) and other response capacities registered in the EU's Common Emergency Communication and Information System (CECIS). Source: Common Emergency Communication and Information System (CECIS)					
Baseline: 2013	Baseline: 2014	Current Situation: 2015	Milestones		Target: 2020
			2016	2018	
150	160	170	≥160	≥175	≥180
<b>Specific objective 6: RESPONSE</b>				<input checked="" type="checkbox"/> Programme-based: Union Civil Protection Mechanism	
<b>Facilitate a rapid and efficient response (deployment of EU MS in-kind assistance) in the event of disasters or imminent disasters.</b>					
<b>Result Indicator 1:</b> Average speed of interventions under the EU Civil Protection Mechanism (from the acceptance of the offer to deployment). Source: Common Emergency Communication and Information System (CECIS)					
Baseline: 2013	Baseline: 2014	Current Situation: 2015	Milestone: 2017	Target: 2020	
≤36 hours	≤24 hours	22 hours	≤18 hours	≤12 hours	