



Day 2, September 23rd 2020

Brussels

**Horizon 2020 Work Programme for
Research & Innovation 2018-2020**

Implementation aspects of the European Green Deal call

Executive Agency for SMEs (EASME)

Innovation and Networks Executive Agency (INEA)

Research Executive Agency (REA)



EUROPEAN RESEARCH & INNOVATION DAYS

VIRTUAL
EVENT

Evaluation procedure

Silvia ABAD

**UNIT B2- Sustainable Resources for Food Security
and Growth**

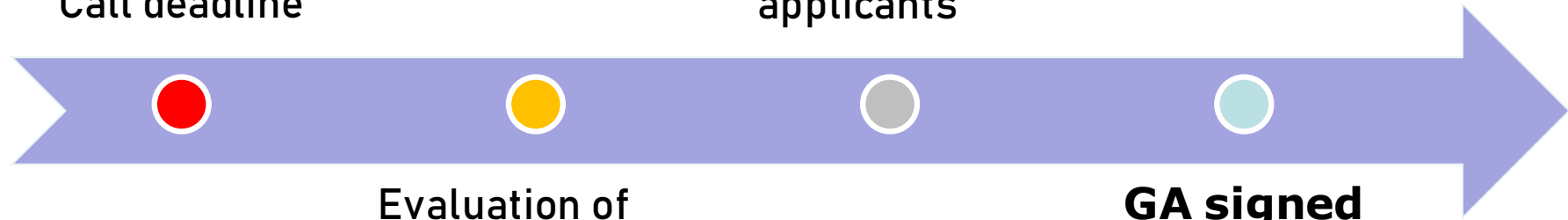
Research Executive Agency - REA

Evaluation timeline 2021



26th Jan
Call deadline

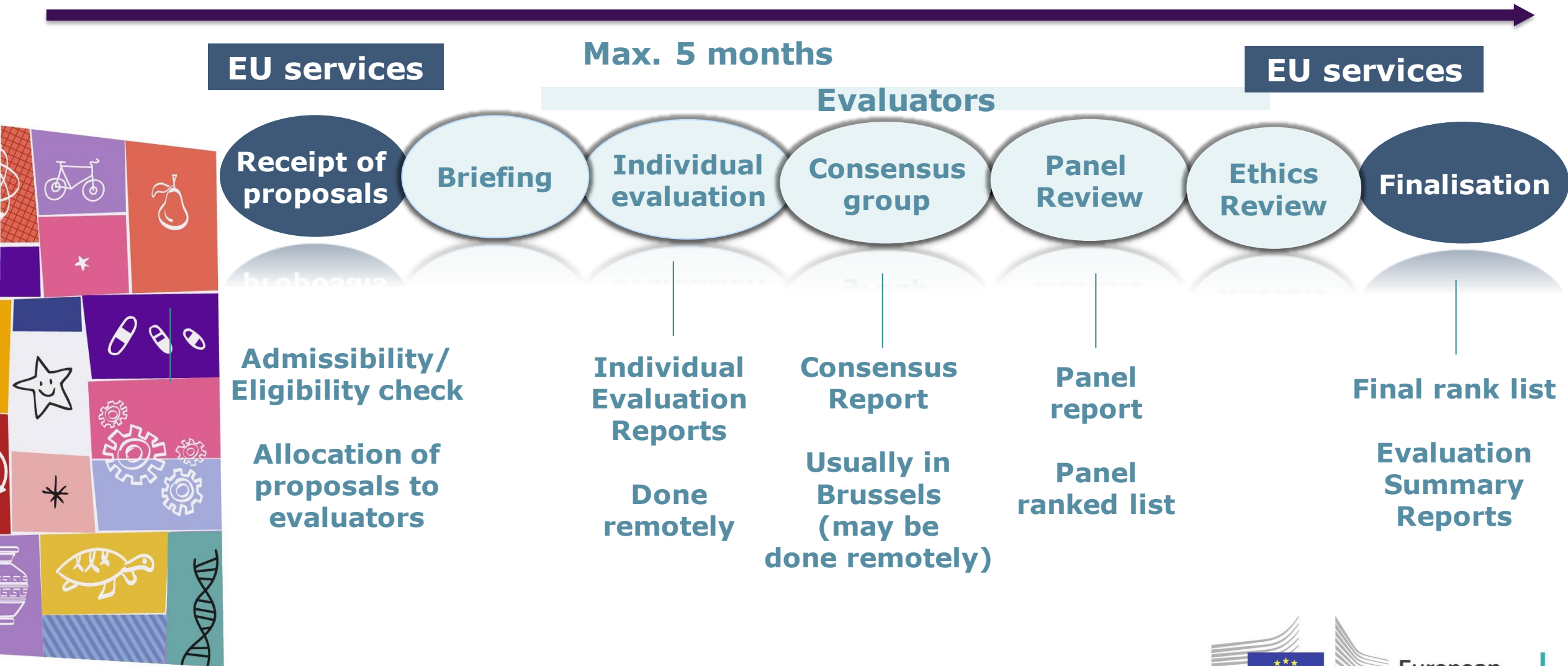
Information to
applicants



Evaluation of
proposals
(5 months
max)

GA signed
(8 months
max)







Type of action	Funding	Aim of action	Evaluation
Research and Innovation Action (RIA)	100%	To establish new knowledge or explore the feasibility of a new technology, product, process, service or solution	Single stage
Innovation Actions (IA)	70% (100% for non-profit entities)	To produce plans and arrangements or designs for new, altered or improved products, processes or services	
Coordination and Support Actions (CSA)	100%	Accompanying measures	

Evaluation principles



**Fair and equal
treatment**

**Based on the WP
and defined criteria**

**Independent
experts**

**Confidentiality and
absence of CoI**

Award criteria



1. Excellence

2. Impact

3. Quality and efficiency of the implementation



5

Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

4

Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.

3

Good. The proposal addresses the criterion well, but a number of shortcomings are present.

2

Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.

1

Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.

0

The proposal **fails** to address the criterion or cannot be assessed due to missing or incomplete information.

Half scores given



Scoring thresholds

Criteria	Threshold	IA factor (ranking)
Excellence	3/5	n/a
Impact	3/5	x 1,5
Implementation	3/5	n/a
TOTAL	10/15	n/a

For each group of proposals with identical total scores, the experts' panel will then order them according to:

- First, their score for Excellence,
- And second, their score for Impact, in case of RIA and CSA

While for IA,

- First, their score for Impact,
- And second, their score for Excellence



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Key points for successful proposals

Peter PETROV
Department H- Horizon 2020
Innovation and Networks Executive Agency- INEA

1



2

Understand Call Conditions and Text

TIPS

- Build on other projects results, explain synergies with sister projects, avoid “reinventing the wheel”
- Sections have to be consistent and coherent



- Eligibility conditions – see previous presentation
- Consult / Ask a FAQ

3

Leave no doubt on TRLs or State of the Art

Start: situation now



End: end of project



4

Impact is essential

Substantiate the impacts: credibility is key

Dissemination & exploitation plan

1.5x Weighting for Innovation Actions (IA)



5

Sound Implementation

Reasonable duration

Justified budget

Risks mitigated

Consortium



6

Simple to Digest

Use graphics

Easy to follow

No tiny fonts
or tight line spacing

Respect page limits

Write for non-native English speakers...



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Cross-cutting issues

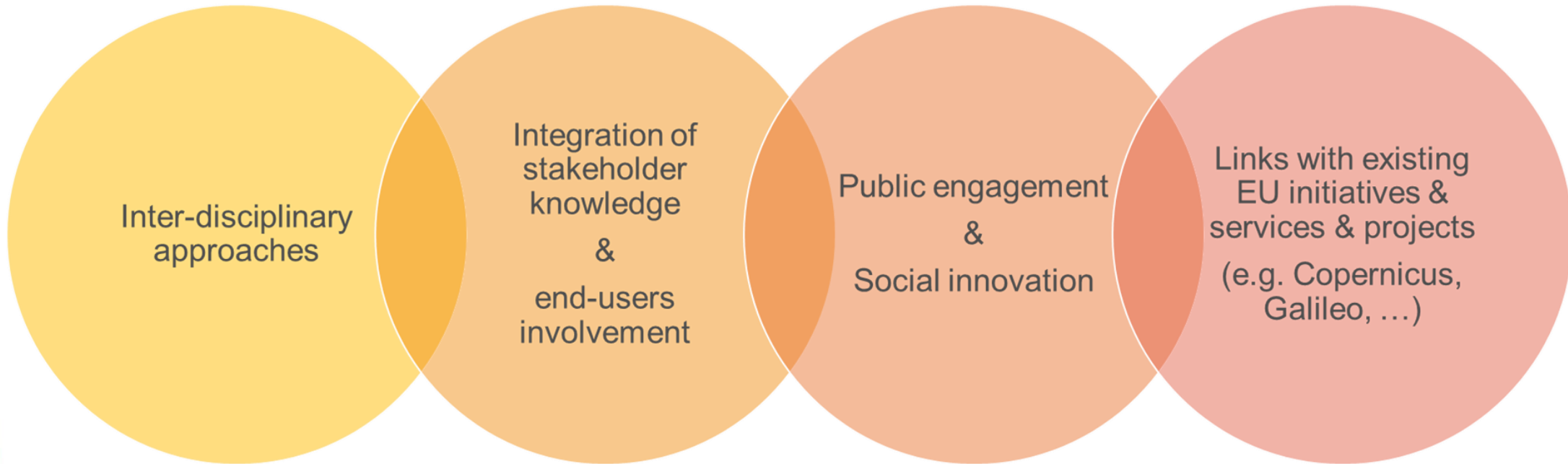
Victoria BEAZ HIDALGO

**Horizon 2020 Energy Unit & H2020 Environment and
Resources Unit**

**Executive Agency for Small and Medium-sized Enterprises
(EASME)**

1

Holistic approach



Cross-cutting issues

2

Pilot and demonstration activities

Provide details on the demonstration activities (e.g. scope, scale, timing, location)

Choose pilots based on their representativeness

Explain permit/authorisation procedures
Allocate time and resources
Assess risks and give contingency plans

Schedule sufficient time for building pilot and validating performance



3

Check the cross-cutting priorities



Topic description

Conditions and documents

Partner Search

Submission service

Get support

Call information

Call Updates

- reduced use of virgin raw materials;
- increased knowledge on how to design for reuse and recycling
- increased knowledge on the process environmental footprint, i materials.

Cross-cutting Priorities:

Gender

Socio-economic science and humanities

Social sciences and humanities

when necessary to address the challenge

Gender analysis

when findings affect women and men differently

4

International cooperation

- All topics open to international cooperation
- Targeted actions, e.g.
 - International partners mandatory
 - Topic LC-GD-2-3-2020: Accelerating the green transition and energy access Partnership with **Africa**
 - Project twinning
 - e.g. LC-GD-1-2-2020: Towards Climate-Neutral & Socially Innovative Cities
 - Co-funding matching fund provided by 3rd Countries
 - The Department of Biotechnology (DBT) - India, will co-fund successful Indian entities in a number of topics. For details, see the updated country page. (https://ec.europa.eu/research/participants/data/ref/h2020/other/hi/h2020_localsupp_india_en.pdf)
- International cooperation encouraged (sometimes with precisions)
 - e.g. LC-GD-1-1-2020: Preventing and fighting extreme wildfires
 - NOT an eligibility condition BUT taken into account in evaluations



4

International cooperation II

Automatically eligible for EU funding

- EU Member States + 16 AC to Horizon 2020*
- Developing countries - Participants from +120 countries are automatically eligible for funding (list in general [annex A of WP](#))
- International European interest organisations - promote scientific and technical cooperation in EU

Not automatically eligible for EU funding

- International Organisations **AND** some 3rd countries (industrialised countries & emerging economies)
- Except if: (exceptional funding)
 - ✓ Provision in the WP
 - ✓ their participation is deemed to be essential for carrying out the action

* *Albania, Armenia, Bosnia and Herzegovina, Faroe Islands, North Macedonia, Georgia, Iceland, Israel, Norway, Montenegro, Serbia, Turkey, Moldova, Switzerland, Tunisia, Ukraine*

If participation is NOT deemed essential, the participant will not receive EU funding. Be prepared for plan B!



5

Open access & data management

- **Open access (OA):** online access to research results
- Open Research Data Pilot in H2020
 - participation by default, opting out at proposal stage is possible
- Goal = to **boost the benefits** and **maximise the impact** of R&I funding

Better and more efficient science

Economic growth

Transparency

- **Proposal preparation:**
 - Costs for OA and data management are eligible for reimbursement
 - OA & data management strategy should be addressed under
- **Project proposal Part B : Technical annex**
 - **Section 2.2 Measures to maximise impact**
 - a) **Dissemination and exploitation of results**

6

Ethics

Aim: Compliance with fundamental ethical principles

Ethics Appraisal Procedure

[Complete your ethics self-assessment](#)

[Ethics in the H2020 online manual](#)

[FAQ on ethics](#)

Help desk: RTD-ETHICS-REVIEW-HELPDESK@ec.europa.eu

Explore other EU projects in the field

- [CORDIS](#)
- [Horizon dashboard - data discovery](#)
- [Horizon Results Platform](#)
- [Horizon Results Booster](#)
- [Innovation radar](#)
- [EASME data hub](#)
- [INEA data base](#)



The F&T Portal

- **H2020 online manual:**
 - Find partners
 - OA & data management
 - International cooperation
 - Ethics
 - IP & European IPR Helpdesk
 - Financial instruments
- Check the **FAQ**
- Contact the H2020 Research Enquiry Service

#GreenDeal

<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/home>

@EU_H2020

@EU_ecoinno

@inea_eu

@REA_research

National Contact Points

NCPs

THANK YOU !

