



Draft General Budget of the European Commission for the financial year 2014

Working Document Part III Bodies set up by the EU and having legal personality and Public-Private Partnership

COM(2013) 450 – June 2013

DB 2014 — Working Document Part III — Bodies set up by the EU and having legal personality
and Public-Private Partnership

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**Draft General Budget
of the European Commission
for the Financial Year 2014**

Working Document Part III

**Bodies set up by the EU and having legal personality
and Public-Private Partnership**

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Draft Budget Working Documents

The 2014 Draft Budget is accompanied by ten ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

Each Statement has the same structure and includes numerical data related to the Programme, EU added value and contribution to the Europe 2020 Strategy (Headline targets, Flagship initiatives) as well as mainstreaming of climate change, general objective(s) accompanied by impact indicators and targets, specific objectives supported by result indicators and targets, and expenditure related outputs, all this classified according to the MFF Headings.

Part II: Commission Human Resources

Working Document II presents information on human resources, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework.

Part III: Bodies set up by the European Union and having legal personality and Public-private partnership

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies which actually receive a contribution charged to the EU budget, pursuant to Articles 208 and 209 of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2014 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2013, information on assigned revenue implementation in 2012, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2012.

Part VI: Administrative expenditure under Heading 5

This document encompasses administrative expenditure under all budgets to be implemented by the Commission in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

Part VII: Commission buildings (Section III)

Working Document VII presents information on buildings under Section III - Commission, both for the Commission and for the bodies set up by the EU and having legal personality, pursuant to Article 203(3) of the Financial Regulation.

Part VIII: Expenditure related to the external actions of the European Union

Working Document VIII presents information on human resources and expenditure related to the external actions of the European Union.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

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1. General context

The sixth edition of this Draft Budget (DB) Working Document aims at presenting key budgetary information for all types of European Union bodies, so as to respond in a coherent manner to repeated requests of the Budgetary Authority for more information and transparency with regard to revenue, expenditure and staff levels of various EU bodies which actually receive a contribution charged to the EU budget.

As explained in more detail below, this 'agency' document should mainly be seen in the context of:

- The budgetary Trilogues of April 2007, July 2007, July 2008 and November 2009, which resulted in (joint) statements of the European Parliament and the Council on various agency issues;
- The inter-institutional working group on decentralised agency governance, which concluded its work in July 2012;
- The Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020, as adopted in July 2013;
- The upcoming revision of the Framework Financial Regulation for bodies under Article 208/209 of the General Financial Regulation, which is expected to enter into force on 1 January 2014;
- The Commission proposals to establish public-private partnerships and public-public partnerships with Member States under Horizon 2020, as adopted in July 2013, and
- The upcoming Commission proposals to extend the mandate of executive agencies to cover the management of the new generation of spending programmes.

These various occasions illustrate the importance the Budgetary Authority attaches to issues regarding agency governance, budgeting and staffing. This Working Document therefore provides detailed information on the various types of EU bodies and their (budgetary) evolution.

Section 2 of this Introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on bodies set up by the European Union and having legal personality ('agencies'), whereas Part II provides similarly detailed information on Joint Technology Initiatives ('PPP bodies'). The Joint Technology Initiatives are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation. Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2. Types of EU bodies

This section presents the main types of EU bodies¹:

- Decentralised agencies (32 in DB 2014);
- European institute of innovation and technology (EIT);
- Executive agencies (6 in DB 2014);
- ITER joint undertaking (F4E); and
- Joint Technology Initiatives (6 in DB 2014).

As mentioned above, the Joint Technology Initiatives are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation.

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 5).

2.1. Decentralised agencies

2.1.1. Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 29 decentralised agencies have been established on this basis, over a period of 35 years.

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Finally, three decentralised agencies have been set up to assist the Member States in police and judicial cooperation in criminal matters. Contrary to the 'CFSP' agencies, these three 'former third pillar'³ agencies have been included in this Working Document, as they are financed through an EU contribution.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as OHIM, *Office for the Harmonisation in the Internal Market*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Network and Information Security Agency*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and October 2003.

The European Council also decides on the location ('seat') of the decentralised agencies, which are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles:

- Defining the agency's operating guidelines within the legal framework established by the legislator;
- In particular, the Administrative Board is responsible for the adoption of the agency work programme and rules of procedure.
- Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

³ European Police Office (EUROPOL), European Police College (CEPOL) and European Union's Judicial Cooperation Unit (EUROJUST).

2.1.2. Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

In addition to the information contained in the agency budgets, the EU budget also includes detailed information on decentralised agencies, such as the authorised EU contribution to the agencies and the agency establishment plans.

The EU contribution appears in the general budget, under the policy area concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, as from the 2014 Draft Budget, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget, as described above. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

- Partially self-financed agencies: *European Chemicals Agency (ECHA)*⁴, *European Aviation Safety Agency (EASA)* and *European Medicines Agency (EMA)*;
- Fully self-financed agencies: *Office for the Harmonisation in the Internal Market (OHIM)*, *Community Plant Variety Office (CPVO)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards two of the fully self-financed agencies: in the case of OHIM and CPVO, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, rather than by Parliament and Council. As a consequence, this Working Document mostly presents some general information on OHIM and CPVO in the overview tables for decentralised agencies; no detailed budgetary financial statement is included for these self-financed bodies.

Framework Financial Regulation

Article 208(1) of the General Financial Regulation⁵ lays down that the 'Commission shall be empowered to adopt a Framework Financial Regulation by means of a delegated act in accordance with Article 210 for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The financial rules of those bodies shall not depart from the Framework Financial Regulation except where their specific operating needs so require and with the Commission's prior consent'.

The Commission is currently in the process of revising the Framework Financial Regulation⁶, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation is expected to enter into force on 1 January 2014.

4 As from 2011, ECHA is fully self-financed for its chemicals activities under heading 1a, on a temporary basis only. In addition, as from 2012 ECHA receives an EU contribution to its biocides and PIC activities under heading 2, as set out in more detail in Part I section 1.1.4.

5 Regulation (EU, Euratom) No. 966/2012 of 25 October 2012 on the Financial Regulation applicable to the general budget of the Union.

6 Commission Regulation (EC, Euratom) No. 2343/2002 of 23 December 2002 on the Framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No. 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities, as last amended by Commission Regulation (EC, Euratom) No. 652/2008 of 9 July 2008.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 208(2) of the General Financial Regulation: with the exception of two fully self-financed agencies⁷, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

Staff Policy Plans

At the end of 2005, the Commission established guidelines on the staffing structure of decentralised agencies⁸. Amongst others, these guidelines required agencies to draw up a multi-annual Staff Policy Plan, covering three years (as a 'rolling document').

Article 27 of the Framework Financial Regulation as amended in July 2008 formalised the agencies' requirement to provide the Budgetary Authority by 31 March each year with an updated multi-annual Staff Policy Plan, 'established in line with the guidelines set by the Commission'.

As requested in the joint Parliament / Council declaration of 13 July 2007⁹, the Commission each year transmits a full set of updated Staff Policy Plans and the corresponding Commission opinions to the Budgetary Authority, together with the agency work programmes.

The current Staff Policy Plans cover the years 2014 to 2016, and present for instance information on a draft establishment plan for the corresponding years (possibly including requests for additional posts), the number of posts actually filled as at end-2012, recruitment grades of posts and promotion rates of staff.

The Commission opinion looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary Trilogue at the latest.

The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Decentralised agency governance: the inter-institutional working group

The wider issue of decentralised agency governance has attracted much attention in recent years. Further to a Commission Communication of March 2008¹⁰, the Parliament and the Council agreed to set up an inter-institutional working group, which had its first meeting at political level on 10 March 2009 in Strasbourg.

The inter-institutional working group concluded its work in July 2012, which resulted in endorsement of a Common Approach on decentralised agencies, notably with a view to addressing a series of governance issues surrounding the growing number of agencies, at a time when streamlining of activities and improved performance are expected to contribute to efficiency gains. The Common Approach has been translated into a dedicated Roadmap, on which the Commission will regularly inform the European Parliament and the Council.

7 Office for the Harmonisation in the Internal Market (OHIM) and Community Plant Variety Office (CPVO) are subject to discharge of their governing boards only.

8 'Commission guidelines on staff policy in decentralised agencies', doc. C (2005) 5304 of 9 December 2005.

9 Joint statements, ECOFIN (Budget) Council of 13 July 2007, doc. DS 605/1/07 REV 1.

10 'European agencies – the way forward', COM(2008) 135 final, 11 March 2008.

Communication programming of human and financial resources for decentralised agencies 2014-2020

In order to complete the comprehensive approach towards decentralised agencies agreed between the Institutions in July 2012, in July 2013 the Commission adopted a Communication¹¹ setting out a programming of the staffing and subsidy levels of each decentralised agency under the new multiannual financial framework (MFF) 2014-2020. On this basis, the Commission intends to arrive at a common understanding with the European Parliament and the Council on the medium-term resources needs of decentralised agencies, which are compatible with the expenditure ceilings of the MFF as well as with the 5 % staff reduction over five years applicable to all institutions, bodies and agencies included in the draft Interinstitutional Agreement on budgetary discipline, cooperation in budgetary matters and on sound financial management, on which the European Parliament, the Council and the Commission have reached a political agreement.

Application of IIA point 47

Point 47 of the Interinstitutional Agreement on budgetary discipline and sound financial management¹² foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission.

The three Institutions have clarified the practical application of point 47 in a joint declaration in November 2009, by applying three procedural steps to arrive at agreement. The draft Interinstitutional Agreement on budgetary discipline, cooperation in budgetary matters and on sound financial management, on which the European Parliament, the Council and the Commission have reached a political agreement, includes a slightly modified version of the text agreed in November 2009, as follows (point 25):

- Firstly, the Commission will systematically present any proposal for setting up a new agency to the first trilogue following the adoption of its proposal, and will present the financial statement accompanying the legal act proposing the creation of the agency and illustrate its consequences for the remaining period of the financial programming;
- Secondly, during the legislative process, the Commission shall assist the two branches of the legislative authority in assessing the financial consequences of the amendments proposed. Such financial impact should be placed on the agenda of legislative trilogues;
- Thirdly, before the conclusion of the legislative process, the Commission shall present an updated financial statement taking into account potential modifications by the legislative authority; this final financial statement shall be placed on the agenda of the final legislative trilogue and formally endorsed by the two arms of the budgetary authority. It shall also be placed on the agenda of a subsequent budgetary trilogue (in urgent cases, in simplified form), in view of reaching an agreement on the financing;
- Fourthly, the agreement reached during a trilogue, taking into account the Commission's budgetary assessment with regard to the content of the legislative process, shall be confirmed in a joint declaration, subject to the approval by each arm of the budgetary authority in accordance with its own rules of procedure.

The same procedure would be applied to any modification of the legal act setting up an agency which impacts on the resources of the agency in question.

2.2. European institute of innovation and technology (EIT)

2.2.1. EIT: set-up

Legal base of EIT

The legal base (Regulation 294/2008) of EIT has been adopted in March 2008, on the basis of Article 173 TFEU (ordinary legislative procedure). The proposal to amend the founding Regulation of EIT (COM(2011)817), notably in view of integrating the 'knowledge triangle' (higher education, research and innovation) within Horizon 2020, is currently subject to the legislative procedure.

¹¹ COM(2013) 519, 10 July 2013.

¹² OJ C 139 of 14.6.2006.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

2.2.2. EIT: budgetary and financial arrangements

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;
- The budget structure (one article, plus one article for completion);
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The multi-annual Staff Policy Plan;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

The individual budgetary financial statement for EIT is presented in Part I section 2.2.2.

2.3. Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. This section then looks at practical experience with the current six executive agencies, in terms of actual set-up of the agency, recruitment of staff, impact on the human resources of the Commission, cost savings generated, buildings policy and evaluations. The section ends with a brief overview of preparatory work regarding the use of executive agencies under the next multiannual financial framework (2014 - 2020). Individual budgetary financial statements for all executive agencies are presented in Part I section 2.2.3.

2.3.1. Executive agencies: context of creation

Commission reform – guidelines on externalisation policy

In December 1999, the Commission undertook a major review of its externalisation policy¹³, in order to correct the shortcomings detected previously due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs).

Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

Main features of executive agencies: autonomy and dependence

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the

¹³ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;

- With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
- Under the control and responsibility of the Commission.

Desirability of establishing an executive agency

Whether an agency should be set up will depend on a number of factors, such as:

- The need for a high level of technical and financial expertise throughout the project's cycle;
- Clear separation between programming stages (the Commission's core business), for which the Commission remains fully responsible, and back-up for implementation of technical projects, where no political decision-making implying discretionary powers is involved;
- The possibilities of economies of scale through a high level of specialisation or the regrouping of similar programmes or activities within one agency; achieving economies will depend to a large degree on the ability of agencies to recruit contract staff, on renewable contract;
- The need for the Commission to focus on legislative and strategic tasks in policy making and monitoring, including those connected to EU programmes;
- The need to carry out certain activities with increased visibility without any intervention by third parties as intermediaries.

These factors must appear in detail in the required cost-benefit analysis, which guides the choice of the appropriate organisational solution (see also section 2.3.3 below on cost savings and evaluations).

Screening of Commission human resources

The 'screening' of Commission human resources¹⁴ as carried out in 2007 confirmed the Commission's policy to further concentrate on operational activities in priority policy areas, to strive for efficiency gains and to rely, subject to cost-benefit analysis, on executive agencies to manage some of the operational programmes with rapidly growing budgets. This was one of the conditions under which the Commission committed itself to meet new staffing needs in key policy areas – other than those related to enlargements – exclusively through redeployment within and between departments during the period 2007 – 2013.

Legal framework of executive agencies

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002).

Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') 'lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Community programmes' (Article 1 of the Framework Regulation).

Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

¹⁴ 'Planning & optimising Commission human resources to serve EU priorities', Report from the Commission, SEC (2007) 530, 24 April 2007.

2.3.2. Executive agencies: set-up

State of play

All six executive agencies are currently fully operational, as shown in the table below:

<i>Acronym</i>	<i>Name</i>	<i>Fully operational as from</i>
EACI	Executive Agency for Competitiveness and Innovation ¹⁵	01/01/2006
EACEA	Education, Audiovisual and Culture Executive Agency	01/01/2006
EAHC	Executive Agency for Health and Consumers ¹⁶	01/01/2007
TEN-T EA	Trans-European Networks-Transport Executive Agency	15/04/2008
ERCEA	European Research Council Executive Agency	15/07/2009
REA	Research Executive Agency	15/06/2009

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the agency. However, agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals.

The table shows that two agencies have relatively recently been created (ERCEA and REA), whereas the other four agencies have seen an extension of their mandate to the new generation of programmes under the 2007 – 2013 Financial Framework (TEN-T EA), as well as to other programmes (EACI, EACEA and EAHC). This means that the experience with fully functioning executive agencies is gradually growing.

The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

Staff recruitment

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency.

2.3.3. Executive agencies: impact on posts and administrative resources

Impact on human resources of the Commission: freed and frozen posts

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority of its intentions as regards the redeployment of those freed administrative resources to other tasks in the Commission.

¹⁵ This agency was initially set up as the Intelligent Energy Executive Agency (IEEA). Its new name, as from 2007, reflects the extension of its mandate to new programmes.

¹⁶ This agency was initially set up as the Public Health Executive Agency (PHEA). Its new name, as from 2008, reflects the extension of its mandate to new programmes.

- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission Budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on:

- whether the executive agency takes over the responsibility for an existing programme from the Commission services and/or from former technical and administrative support service providers (from the former 'BAT', for instance for the programming in the field of education and competitiveness and innovation); and
- whether the budget to be managed for a given programme grows significantly, as compared to the past.

Freed posts have been redeployed progressively since 2005, to serve the priorities identified by the Commission and by the Budgetary Authority during the various budget procedures. Thanks to these freed and redeployed posts, the Commission has been able to meet new priorities without any new request for additional posts. Accordingly, the redeployment of freed posts is a decisive element for managing human resources efficiently:

- the Commission re-focuses its attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, whereas
- implementation of programmes or programme strands, where no political decision-making implying discretionary powers is involved, is delegated to dedicated executive agencies.

In accordance with Article 38.c of the Staff Regulations, at the end of every six months, the official concerned may request that his secondment be terminated. Upon return of the previously seconded official, the 'frozen' post in the establishment plan of the Commission is 'unfrozen', and added to the pool of freed posts available for redeployment. A decrease of the total number of Commission officials seconded to the executive agencies in this regard means that the official has not been and will not be replaced by another Commission official, and therefore the post has been or will be freed from the perspective of the Commission.

More details on freed and frozen posts by executive agency in 2014 are given at section 1.3.7, and in the individual budgetary financial statements (section 2.2.3).

Cost savings generated by the establishment of executive agencies

As mentioned above, the primary reason for the creation of executive agencies was to re-focus the Commission's attention on its core tasks as well as to improve the management and implementation of certain operational programmes, through dedicated bodies with a high level of technical and financial expertise.

Nonetheless, the establishment of executive agencies should also lead to some cost savings as compared to the alternative costs that would have been required for 'in-house' Commission management, as indicated in the cost-benefit analyses made when setting up individual agencies.

The cost-benefit analysis (CBA) to be carried out as part of the obligatory three-yearly evaluation of agencies (see below) should, inter alia, take into account savings within the EU budget, as was done in the *ex ante* cost-benefit analysis.

Buildings policy

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), agencies are located at the place where the Commission and its departments are located. In practice, this means that the agencies are located in Brussels, with the exception of EAHC (Luxembourg).

All agencies have concluded rental contracts covering their current lifetimes. More details on buildings for individual agencies can be found in the budgetary financial statements (section 2.2.3).

External evaluations of executive agencies

The Framework Regulation 58/2003 (Art. 25) requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions.

As explained in more detail in the individual budgetary financial statements, the timetable for the evaluation of the executive agencies is currently as follows:

<i>Agency</i>	<i>Most recent evaluation available / foreseen finalisation of evaluation</i>
EACI	January 2012
EACEA	July 2013
EAHC	January 2011
TEN-T EA	July 2012
ERCEA	2013
REA	2013

Court of Auditors Special Report on executive agencies

In November 2009, the Court of Auditors published a Special Report on executive agencies¹⁷. Overall, the report presented positive findings, especially as regards the quality of the work carried out by the agencies, as well as from the point of view of cost savings generated. However, the Court was more critical with regard to governance and supervision issues, notably concerning the objectives and (performance) indicators which the agencies were given and the timing of the adoption of the work programmes. The Commission is currently ensuring the follow-up to be given to the Court's report, in particular in order to integrate the Court's recommendations on desirable improvements to the CBA and workload indicators.

2.3.4. Executive agencies: preparing the next multiannual financial framework

As part of its proposals for the 2014-2020 multiannual financial framework¹⁸, the Commission expressed its intention to use the option of more extensive recourse to existing executive agencies, in view of their service delivery and visibility in the management of EU programmes. Subsequently, and as foreseen in the Framework Regulation 58/2003, in its proposals for the new legal bases for the operational programmes of the post-2013 programming period, the Commission has indicated for each programme whether it intended to delegate budget implementation tasks to executive agencies.

Currently, the Commission is finalising the cost-benefit analysis for the possible externalisation of post-2013 spending programmes to the current six executive agencies, with a view to presenting the file to the Regulatory Committee for Executive Agencies (CREA) and the European Parliament ('working arrangements') in time before the start of the new programming period.

¹⁷ European Court of Auditors, Special Report 13/2009, "Delegating implementing tasks to executive agencies: a successful option?", Luxembourg, 20 November 2009.

¹⁸ COM(2011)500, 29.6.2011, point 6.1.3.

2.4. Joint undertakings / Public-Private Partnership (PPP)

2.4.1. Joint undertakings / PPP bodies: set-up

Legal bases of joint undertakings / PPP

The legal bases of the currently seven joint undertakings are either created on the basis of the Euratom Treaty or the Treaty on the Functioning of the European Union (Art. 187, 188), as follows:

- Euratom: ITER – Fusion for Energy (F4E) joint undertaking;
- TFEU – Council Decision / Regulation: IMI, FCH, Clean Sky, ARTEMIS, ENIAC, and SESAR joint technology initiatives under the 'Cooperation' Specific Programme implementing the Seventh Framework Programme for Research and Development.

Tasks

In short, the tasks of the joint undertakings are:

- ITER – F4E: implementation of an international agreement on the development of Fusion Energy;
- IMI: Innovative Medicines Initiative on pre-competitive pharmaceutical R&D;
- FCH: Fuel Cells & Hydrogen technology research, to overcome market failure and to focus on developing market applications;
- Clean Sky: promoting clean Aeronautics and Air Transport technology development;
- ARTEMIS: development of key Embedded Computing Systems technologies;
- ENIAC: public-private partnership in the sector of nanoelectronics technologies;
- SESAR: development of the new generation European air traffic management system.

Creation

Essentially, the joint undertakings have been set up to attract private knowledge and capital, as public-private partnerships in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

All legal bases have been adopted in 2007 and 2008. Consequently, the joint undertakings have reached 'cruising speed', e.g. in terms of recruitment activities and financial autonomy, as shown in the table below. The Commission proposals to establish public-private partnerships and public-public partnerships with Member States under Horizon 2020, as adopted in July 2013¹⁹, have not yet been reflected in this Working Document which accompanies the 2014 Draft Budget, as adopted in June 2013. The Commission intends to adopt, in time for the start of the Conciliation, an amending letter to reflect the budgetary consequences of the new generation of JTIs.

<i>Acronym</i>	<i>Financially autonomous as from</i>
<i>ITER – F4E</i>	<i>16/03/2008</i>
<i>IMI</i>	<i>16/11/2009</i>
<i>FCH</i>	<i>15/11/2010</i>
<i>Clean Sky</i>	<i>17/11/2009</i>
<i>ARTEMIS</i>	<i>26/10/2009</i>
<i>ENIAC</i>	<i>03/05/2010</i>
<i>SESAR</i>	<i>01/01/2009</i>

¹⁹ COM(2013) 494, 10 July 2013.

2.4.2. Joint undertakings / PPP bodies: budgetary and financial arrangements

Joint undertakings / PPP bodies in the EU budget

In addition to the information contained in the joint undertakings budgets, the EU budget also includes detailed information on the joint undertakings, such as the authorised EU contribution to the joint undertakings and the authorised establishment plans.

For the joint technology initiatives, the EU contribution is typically broken down in two budget articles, in order to separate the fresh appropriations from completion projects.

For ITER-F4E, the split between operational and support expenditure is made in a similar way as for research expenditure under Horizon 2020 (support expenditure lines 08 01 05).

Financing structure

The joint undertakings are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies.

Framework Financial Regulation

As for the decentralised agencies (see section 2.1.2 above), the Framework Financial Regulation applies to the joint undertakings. This means that 'the financial rules of these bodies may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'.

Discharge procedure

In accordance with Article 208(2) of the General Financial Regulation, the joint undertakings are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

Staff Policy Plans

As for the decentralised agencies (see section 2.1.2 of the Introduction above), Article 27 of the Framework Financial Regulation requires the joint undertakings to provide the Budgetary Authority by 31 March each year with an updated multi-annual Staff Policy Plan, 'established in line with the guidelines set by the Commission'.

Accordingly, the Commission each year transmits a full set of updated Staff Policy Plans (currently covering the years 2014 to 2016) and the corresponding Commission opinions to the Budgetary Authority, along with the Staff Policy Plans of the decentralised agencies.

Evaluations

The evaluation of the joint undertakings depends on the legal act applicable, bearing in mind also that the Joint Undertakings have been set up for a limited period (typically until 2017, with the exception of ITER – F4E). Information on joint undertaking evaluations is included in the individual budgetary financial statements (Part II section 2.2).

2.5. Types of EU bodies: comparison table

	Decentralised agencies	Joint undertakings, PPP bodies and EIT	Executive agencies
Number of EU bodies included in DB 2014	– 32 established decentralised agencies	1 joint undertaking, 6 joint technology initiatives (PPP bodies) + EIT	6 executive agencies
Legal base	Dedicated basic acts – currently, 29 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure); – 'Police and judicial cooperation in criminal matters': 3 decentralised agencies	Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMI, FCH, Clean Sky, ARTEMIS, ENIAC and SESAR – Ordinary legislative procedure (Art. 173 TFEU): EIT	Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s)
Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208 of the General Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208/209 of the General Financial Regulation	Standard Financial Regulation for Executive Agencies
Tasks	– Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices	– Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States	Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices'
Transfer of Commission tasks	In general, no	Partially yes (but pooling with other actors)	Yes (certain implementation tasks), often combined with growing programmes
Procedure for creation			Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed
Preparatory actions	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the

	Decentralised agencies	Joint undertakings, PPP bodies and EIT	Executive agencies
Involvement of the Budgetary Authority	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 47	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 47	implementation of tasks outsourced, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission Needs approval from the Regulatory Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007)
Budget – financing structure	<u>Most decentralised agencies</u> : EU funded Exception: <u>EMA, EASA and ECHA</u> : combination of EU contributions and self-financing (fees) <u>OHIM, CPVO and CdT</u> : fully self-financed (fees) <u>EBA, EIOPA and ESMA</u> : partially co-financed by national public authorities	<u>Joint undertakings / PPP bodies</u> : funding from research and TEN budgets together with other external sources (partially in kind) <u>EIT</u> : combination of EU and other contributions	Fully subsidised as a part of the financial envelope of the operational programme concerned
Discharge procedure	Subject to discharge of the Parliament on recommendation of the Council Exception: OHIM and CPVO (subject to governing discharge only)	Subject to discharge of the Parliament on recommendation of the Council	Subject to discharge of the Parliament on recommendation of the Council (executive agency operating budget only – operational programmes remain part of the Commission discharge)
Evaluation	Depending on legal act applicable	Depending on legal act applicable	First evaluation (including retro-active cost-benefit analysis) after three years of operation; then to be repeated every three years.
Key budgetary information	Budgets published individually in OJ C Each year in DB detailed information on EU contribution, overall budgets and establishment plans	OJ C – EU budget More justification in working documents accompanying the DB	OJ C – EU budget Each year in DB documents detailed information on budget and staff aspects.

BODIES SET UP BY THE EUROPEAN UNION
AND HAVING LEGAL PERSONALITY

1. MAIN BUDGETARY TRENDS 2014

1.1. Decentralised agencies

This section on 'main budgetary trends' starts with a historical table (section 1.1.1) showing the evolution, over the period 2000 – 2013, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by an updated classification of agencies ('start-up phase', 'new tasks' or 'cruising speed', section 1.1.2) and a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.1.3). This leads to the budgetary and staffing highlights for 2014, in text (section 1.1.4), tables (section 1.1.5) and graphs (section 1.1.6). Finally, this section presents overview tables on Commission budget implementation for decentralised agencies in 2012 (section 1.1.7) and on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2012, section 1.1.8).

1.1.1. Historical table 2000 - 2013

Table 1.1.1 below shows, by financial framework heading, the historical evolution of the decentralised agencies over the period 2000 – 2013, in terms of EU budget contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview table 1.1.5 below, which shows the EU contribution and staff levels for the years 2013 and 2014.

1.1.1.1. Historical table 2000-2013: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan														
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	
European Chemicals Agency (ECHA) – Chemicals legislation <i>Authorised establishment plan</i>	02 03 03	Helsinki	2006							15,294	62,619	64,529	35,150	p.m.	p.m.	p.m.		
European GNSS Agency (GSA) <i>Authorised establishment plan</i>	02 05 11 (02 05 02)	Prague (Brussels)	2004						1,657	8,050	9,425	10,560	5,135	8,200	11,840	13,450		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND) <i>Authorised establishment plan</i>	04 03 11 (04 04 03)	Dublin	1975	14,700	15,000	16,500	16,500	18,000	18,600	19,000	19,600	20,000	19,067	20,210	20,289	20,115		
European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i>	04 03 12 (04 04 04)	Bilbao	1994	6,700	6,800	9,000	13,375	9,375	13,200	13,200	14,000	14,400	13,743	14,316	14,570	14,035		
European Aviation Safety Agency (EASA) <i>Authorised establishment plan</i>	06 02 02 (06 02 01)	Köln	2002	26	26	31	33	38	40	40	42	44	44	44	44	44		
European Maritime Safety Agency (EMSA) <i>Of which anti-pollution measures</i> <i>Authorised establishment plan</i>	06 02 03 06 02 03 02 (06 02 02)	Lisbon	2002			1,700	4,500	12,600	35,300	44,630	48,100	44,300	52,449	50,696	53,229	53,872		
European Railway Agency (ERA) <i>Authorised establishment plan</i>	06 02 04 (06 02 08)	Lille Valenciennes	2004			40	40	55	95	132	153	181	200	208	213	213		
European Network and Information Security Agency (ENISA) <i>Authorised establishment plan</i>	09 02 03	Heraklion	2004					4,900	13,670	14,455	16,645	18,000	23,260	24,375	24,487	24,871		
Body of European Regulators for Electronic Communications – Office (BEREC – Office) <i>Authorised establishment plan</i>	09 02 04	Riga	2009					3,500	6,800	6,800	8,000	8,160	7,288	7,188	8,244	8,206		
European Banking Authority (EBA) <i>Authorised establishment plan</i>	12 03 02 (12 04 02)	London	2010					15	38	44	44	44	44	44	47	47		
														p.m.	3,470	3,579	4,293	3,769
															10	12	16	16
																5,073	8,299	8,955
																46	68	93

1.1.1.3. Historical table 2000-2013: Decentralised agencies of heading 3 – Security and citizenship

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan													
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
European Centre for Disease Prevention and Control (ECDC) <i>Authorised establishment plan</i>	17 03 10 (17 03 03)	Stockholm	2004							16,800	26,500	39,100	48,100	56,255	52,770	56,727	56,727
European Food Safety Authority (EFSA) <i>Authorised establishment plan</i>	17 03 11 (17 03 07)	Parma	2002			7,500	16,493	26,885	36,700	46,600	57,000	63,500	70,700	69,041	72,333	75,080	74,334
European Medicines Agency (EMA) <i>Of which special contribution for orphan medicinal products</i> <i>Authorised establishment plan</i>	17 03 12 17 03 12 02 (17 03 10)	London	1993	13,200	14,000	14,000	22,500	25,000	25,400	30,000	41,000	38,000	36,390	32,780	32,943	28,966	39,230
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX) <i>Authorised establishment plan</i>	18 02 03	Warsaw	2004						6,157	15,540	33,980	68,000	78,000	83,000	108,000	88,500	78,959
European Police Office (EUROPOL) <i>Authorised establishment plan</i>	18 02 04 (18 05 02)	The Hague	1995						17	28	49	94	117	143	143	143	153
European Police College (CEPOL) <i>Authorised establishment plan</i>	18 02 05 (18 05 05)	Bramshill	2005						3,000	4,500	7,439	8,700	7,800	7,800	8,000	8,451	8,450
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i>	18 02 06 (18 05 11)	Lisbon	1993	8,250	8,750	9,000	9,300	11,730	12,000	12,100	13,000	13,400	14,150	14,800	15,170	14,565	15,447
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA) <i>Authorised establishment plan</i>	18 02 07 (18 02 11)	Tallinn-Strasbourg	2011												5,450	19,800	41,000
European Asylum Support Office (EASO) <i>Authorised establishment plan</i>	18 03 02 (18 03 14)	Valetta	2010											5,250	8,000	10,000	12,000
European Monitoring Centre on Racism and Xenophobia (EUMC) <i>Authorised establishment plan</i>	(18 04 05)	Vienna	1997	4,750	5,300	6,100	6,500	7,800	8,189	8,800	9,881			24	38	38	45
European Union Agency for Fundamental Rights (FRA) ⁽¹⁾ <i>Authorised establishment plan</i>	33 02 06 (33 02 03)	Vienna	2007								4,619	15,000	17,000	19,100	20,000	20,196	21,024
European Institute for Gender Equality	33 02 07	Vilnius	2006								4,500	6,430	6,860	6,940	7,530	5,901	6,322

1.1.1.1. Historical table 2000-2013: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																		
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013					
Translation Centre for the bodies of the European Union <i>Authorised establishment plan</i>	31 01 10 (31 01 09)	Luxembourg	1994	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	
Total decentralised agencies of heading 5 <i>Authorised establishment plan</i>				144	158	158	158	181	181	181	189	200	233	233	233	233	225	225	215	215	206	206

(in million EUR)

1.1.1.2. Historical table 2000-2013: Fully self-financed decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																		
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013					
Office for Harmonisation in the Internal Market (OHIM) <i>Authorised establishment plan</i>		Alicante	1993	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i>		Angers	1994	30	30	33	33	37	38	41	42	43	46	46	45	46	45	45	46	46	47	47
Total self-financed decentralised agencies <i>Authorised establishment plan</i>				610	660	880	748	712	713	716	689	685	689	690	673	689	673	673	821	821	908	908

(in million EUR)

1.1.1.3. Historical table 2000-2013: Total decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																		
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013					
Total decentralised agencies <i>Authorised establishment plan</i>				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	710,306	710,306	727,529	727,529	751,004	751,004
Total decentralised agencies (excl. fully self-financed agencies) <i>Authorised establishment plan</i>				1 486	1 643	2 048	2 077	2 277	2 710	3 154	3 603	3 974	4 211	5 011	5 373	5 679	5 373	5 373	5 679	5 679	6 050	6 050
				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	710,306	710,306	727,529	727,529	751,004	751,004
				876	983	1 168	1 329	1 565	1 997	2 438	2 914	3 288	3 522	4 337	4 641	4 858	4 641	4 641	4 858	4 858	5 141	5 141

(in million EUR)

1.1.2. Classification of decentralised agencies

The proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development. The classification of agencies as '*cruising speed*', '*new tasks*' and '*start-up phase*' agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable structures and budgets. The consequences of the updated classification of individual agencies on human and financial resources as proposed in the 2014 Draft Budget are set out in more detail below.

The table below presents, by financial framework heading, a revised grouping of the decentralised agencies in the 2014 DB, as compared to their respective positions in DB 2013. As mentioned above, the classification as '*cruising speed*' agency in principle implies stable EU contributions (typically constant in nominal terms) and reduced staffing levels. The classification as '*new tasks*' agency required, as a general rule, a modification of the legal basis extending the scope of the agency's responsibilities. The period during which an agency can be classified as having '*new*' tasks depends essentially on what has been announced in a legislative financial statement when presenting its extension. In this regard, '*new tasks*' should be distinguished from growing workload at constant responsibilities.

Heading	Decentralised agency	Location	Year of creation	Classification used in DB 2013	Suggested classification in DB 2014	Agency funding structure in DB 2014
1A	ECHA	Helsinki	2006	Cruising speed	Cruising speed	Self-financed
1A	EUROFOUND	Dublin	1975	Cruising speed	Cruising speed	EU funded
1A	EU-OSHA	Bilbao	1994	Cruising speed	Cruising speed	EU funded
1A	EASA	Köln	2002	Cruising speed	Cruising speed	Partially by fees
1A	ERA	Lille-Valenciennes	2002	Cruising speed	Cruising speed	EU funded
1A	BEREC	Riga	2009	Start-up phase	Cruising speed	EU funded
1A	CEDEFOP	Thessaloniki	1975	Cruising speed	Cruising speed	EU funded
2	EEA	Copenhagen	1990	Cruising speed	Cruising speed	EU funded
2	EFCA	Vigo	2005	Cruising speed	Cruising speed	EU funded
3	EUROPOL	The Hague	1995	Cruising speed	Cruising speed	EU funded
3	CEPOL	Bramshill	2005	Cruising speed	Cruising speed	EU funded
3	EMCDDA	Lisbon	1993	Cruising speed	Cruising speed	EU funded
3	ECDC	Stockholm	2004	Cruising speed	Cruising speed	EU funded
3	EFSA	Parma	2002	Cruising speed	Cruising speed	EU funded
3	FRA	Vienna	2007	Start-up phase	Cruising speed	EU funded
3	EUROJUST	The Hague	2002	New tasks	Cruising speed	EU funded
3	EIGE	Vilnius	2006	Cruising speed	Cruising speed	EU funded
4	ETF	Turin	1990	Cruising speed	Cruising speed	EU funded
5	CdT	Luxembourg	1994	Cruising speed	Cruising speed	Self-financed
1A	GSA	Brussels	2005	New tasks	New tasks	EU funded
1A	EMSA	Lisbon	2002	New tasks	New tasks	EU funded
1A	ENISA	Heraklion	2004	New tasks	New tasks	EU funded
1A	ACER	Ljubljana	2009	New tasks	New tasks	EU funded

Heading	Decentralised agency	Location	Year of creation	Classification used in DB 2013	Suggested classification in DB 2014	Agency funding structure in DB 2014
3	EMA	London	1993	New tasks	New tasks	Partially by fees
3	FRONTEX	Warsaw	2005	New tasks	New tasks	EU funded
1A	EBA	London	2010	Start-up phase	Start-up phase	National co-financing
1A	EIOPA	Frankfurt	2010	Start-up phase	Start-up phase	National co-financing
1A	ESMA	Paris	2010	Start-up phase	Start-up phase	National co-financing
2	ECHA	Helsinki	2012	Start-up phase	Start-up phase	Partially by fees
3	EASO	Valletta	2010	Start-up phase	Start-up phase	EU funded
3	eu.LISA	Tallinn – Strasbourg	2011	Start-up phase	Start-up phase	EU funded
	OHIM	Alicante	1993	Cruising speed	Cruising speed	Self-financed
	CPVO	Angers	1994	Cruising speed	Cruising speed	Self-financed

1.1.3. Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2014 Draft Budget the Commission continues its policy to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2012 from the 2014 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2014 DB accordingly.

Moreover, when assessing the decentralised agency’s needs for the financial year 2014, the Commission has taken into account the cancellation of commitment and payment appropriations (including on payment appropriations carried over from 2011) in the agency budgets in 2012. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, Regulation (EC, Euratom) No 2343/2002²⁰, as revised in July 2008²¹.

The question whether the cancellation of agency appropriations for the year 2012 was relatively large, has been assessed as follows:

- For cancellation of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2012 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2011) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2012 surplus).
- For cancellation of both commitment appropriations and payment appropriations (including payment appropriations carried over from 2011) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2012 surplus).

Based on this assessment, penalties have been applied as follows:

- 2 % reduction for cancellation of payment appropriations of more than 5 %: EASA, ACER, EIGE, FRONTEX and CEPOL.
- 4 % reduction for cancellation of both commitment appropriations and payment appropriations of more than 5 %: EU-OSHA, EMSA, BEREC – Office, EBA, EIOPA, ESMA and EASO.

²⁰ OJ L 357, 31.12.2002, p. 72.

²¹ OJ L 181, 10.7.2008, p. 23.

1.1.4. Budgetary and staffing highlights 2014: decentralised agencies

1.1.4.1. EU contribution and staffing levels – Overall

The DB 2014 request for decentralised agency staffing and appropriations is guided by two overall constraints: on the one hand, the new MFF lays down indicative envelopes for agency expenditure by heading, and on the other hand, the objective of reducing staffing levels in agencies by 5 % over five years.

With this in mind, the 2014 DB has been prepared in parallel with a broader Commission exercise to establish a programming of human and financial resources for decentralised agencies for the years 2014-2020, with the aim to arrive with Parliament and Council at a common understanding of agency resources needs for the next financial period. In so doing, new tasks are to be matched with corresponding resources, within the two constraints set by the MFF. The Commission has presented the results of this exercise in July 2013²², as a starting point for the procedure foreseen under point 47 of the Interinstitutional Agreement on budgetary discipline and on sound financial management (point 25 of the draft IIA for 2014-2020)²³.

Moreover, the draft budget takes account of the Commission proposal to revise the mechanisms for funding the so-called ‘Type II’²⁴ European schools, by charging to agencies’ budgets the schooling cost of children of agency staff in ‘Type II’ schools, so as to treat all agencies in a consistent manner. At present, if there is no Type II school, the school allowances for children of agency staff are charged on the agency’s budget. However, whenever a Type II school is created the expenditure is charged on heading 5, which leads to inconsistent budgetary treatment among agencies. The Commission proposes to offset the additional expenditure for agencies’ budgets which results from the revised funding of ‘Type II’ schools (estimated at some EUR 3,2 million in 2014) by increasing the EU contribution to the 10 agencies concerned²⁵ accordingly.

As part of the preparation of the 2014 DB, the Commission has made a thorough assessment of the needs for each of the decentralised agencies. Overall, this exercise has allowed the Commission to arrive at a 3,8 % increase of expenditure for all decentralised agencies as compared to the 2013 budget. This result is to be seen in the context of the extension to new tasks or the ongoing start-up phase for a number of agencies, such as the new eu.LISA agency for the management of large-scale IT systems in the area of freedom, security and justice, which has become operational only in late 2012.

The proposed level of the EU contribution to and the staffing level of individual agencies reflect their stage of development. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or recently extended mandates, whereas cruising speed agencies have stable structures and budgets, and are therefore able to pursue rationalisation efforts. The consequences of the updated classification of individual agencies on human and financial resources as proposed in the 2014 draft budget are set out in more detail below.

As shown in the decentralised agency overview table (section 1.1.5), the total requested EU contribution to decentralised agencies in 2014 amounts to EUR 804,8 million. This overall amount is composed of the amounts entered in the 2014 DB (EUR 790,1 million) and the assigned revenues stemming from the recovery of the 2012 surplus (EUR 14,7 million), which will be carried over to 2014.

This represents an increase of the total EU contribution as compared to the 2013 budget (including draft amending budget 4/2013) of EUR 29,4 million, or + 3,8 %. This increase results to a large extent from the additional needs related to the financing of the ‘*start-up phase*’²⁶ agencies (+ EUR 20,9 million), of which EUR 18,4 million is due to the new eu.LISA agency for the management of large-scale IT systems in the area of freedom, security and justice, which has become operational in late 2012.

22 COM(2013) 519, 10.7.2013.

23 OJ C 139, 14.6.2006.

24 ‘Type II’ European schools are national schools which offer a curriculum equivalent to European schools.

25 ECHA, EASA, ENISA, EEA, ECDC, EFSA, CEPOL, EUROPOL, EUROJUST and eu.LISA.

26 ‘European Banking Authority’ (EBA), ‘European Insurance and Occupational Pensions Authority’ (EIOPA), ‘European Securities and Markets Authority’ (ESMA), ‘European Chemicals Agency’ (ECHA) – Biocides activities, ‘European Chemicals Agency’ (ECHA) – ‘Prior Informed Consent’ (PIC) activities, ‘European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice’ (eu.LISA) and ‘European Asylum Support Office’ (EASO).

The EU contribution to the ‘*new tasks*’²⁷ agencies, on the other hand, is foreseen to increase by EUR 8,9 million compared to the 2013 budget. This is due to the new tasks to be assigned as from 2014 to the European GNSS Agency (GSA) in the exploitation of the EGNOS and Galileo systems, as well as to the new extension of the tasks of FRONTEX with regard to the European Borders Surveillance System (EUROSUR).

As a rule, the EU contribution to the ‘*cruising speed*’ agencies is at most frozen at the level of the 2013 budget. Taking into account a thorough assessment of 2014 needs and budget execution in 2012, the proposed EU contribution to the ‘*cruising speed*’ agencies is foreseen to decrease by EUR 0,5 million compared to the 2013 budget.

The allocations above include the proposed funding in 2014 of ‘Type 2’ European schools for all agencies concerned through the budget of these agencies.

As regards staffing of the decentralised agencies, the Commission has applied an overall 1 % staff reduction to the number of posts across the establishment plans of agencies in the 2013 budget (including draft amending budget No 4/2013)²⁸, including for the two fully self-financed agencies OHIM and CPVO²⁹.

To arrive at this overall 1 % reduction in agency posts and to respond, at the same time, to the needs in the establishment plans for the ‘*start-up phase*’ and the ‘*new tasks*’ agencies, the Commission has created a ‘redeployment pool’ by applying a 1 % levy on the posts of all agencies. The posts resulting from this exercise are proposed to be allocated in particular to the ‘*start-up phase*’ agencies, notably in the field of financial supervision (EBA, EIOPA and ESMA), as well as to the ‘*new tasks*’ agencies, which concerns in particular the GSA. The allocation of posts for each individual ‘*start-up phase*’ or ‘*new tasks*’ agency, as compared to their number of posts authorised in the 2013 budget, is shown in the tables in section 1.1.5.

As presented in more detail in the individual budgetary financial statements (section 2.2.1), the total staffing of the decentralised agencies foreseen for 2014 would be as follows³⁰:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i> ³¹		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2013	2014	2013	2014	2013	2014	2013	2014
6 050	5 996	1 110	1 161	395,5	403,5	7 555,5	7 560,5

1.1.4.2. EU contribution and staffing levels – Individual agencies

As explained above (sections 1.1.2 and 1.1.3), the proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development, and taking into account surpluses and assigned revenues. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable budgets and staffing levels.

The sections below summarise the main new developments in 2014, as regards ‘*new tasks*’ agencies (1.1.4.3) and ‘*start-up phase*’ agencies’ (1.1.4.4).

²⁷ ‘European GNSS Agency’ (GSA), ‘European Maritime Safety Agency’ (EMSA), ‘European Network and Information Security Agency’ (ENISA), ‘Agency for the Cooperation of Energy Regulators’ (ACER), ‘European Medicines Agency’ (EMA) and ‘European Agency for the Management of Operational Cooperation at the External Borders’ (FRONTEX).

²⁸ The 1 % staff reduction target for the overall number of establishment plan posts in 2014 for the decentralised agencies included in the EU budget takes account of the transfer of 5 posts from the Commission establishment plan to the ‘European GNSS Agency (GSA), in line with Commission proposal COM(2013) 40 of 6.2.2013.

²⁹ ‘Office for Harmonisation in the Internal Market’ (OHIM) and ‘Community Plant Variety Office’ (CPVO).

³⁰ This total covers the staffing numbers of the decentralised agencies that actually receive a contribution charged to the EU budget only (i.e. excluding the two self-financed agencies OHIM and CPVO).

³¹ The estimated overall number of contract agents is based on the information received from the decentralised agencies, as presented in more detail in the individual budgetary financial statements.

1.1.4.3. EU contribution and staffing levels – 'New tasks' agencies

- European GNSS Agency – GSA

The Commission proposal for a new GNSS Regulation (COM(2011)814) putting forward a new governance structure for the EGNOS and Galileo programmes and their financing for the period 2014-2020, assigns 'new tasks' to the GSA over the period 2014-2018. With a view to providing the European Parliament and the Council with a full picture of the conditions under which the GSA will carry out the tasks entrusted to it under the new governance model, on 6 February 2013 the Commission adopted a proposal to modify GSA Regulation (EU) No 912/2010 (COM(2013)40).

In view of its new tasks, the Commission proposes to increase the staffing level of the agency by 19 establishment plan posts to 96 posts in 2014, as well as to increase the corresponding appropriations for staff. Furthermore, IT infrastructure costs for the Galileo Security Monitoring Centres (GSMC) in the United Kingdom and France are foreseen, amounting to EUR 1,2 million for the finalisation of IT systems in 2014. The increased contribution to the GSA will be charged on the financial envelope of the Galileo programme.

- European Maritime Safety Agency – EMSA

Regulation (EU) No 100/2013 extends EMSA's tasks, notably with a view to facilitating the establishment of a European maritime transport space without barriers. For this reason, EMSA has the status of a "new tasks" agency in 2014. In view of its new tasks, the Commission proposes to increase the staffing level of the agency in 2014, by one post. However, combined with the staff reduction and the annual contribution to the redeployment pool, this results in a total number of posts which decreases from 213 in 2013 to 210 in 2014.

The EU contribution to EMSA shows a decrease compared to 2013. This is due to the funding of the Anti-Pollution Measures programme carried out by EMSA, which shows a steadily increasing profile up to 2020, in line with the draft Regulation COM(2013)174. For 2014, however, a decrease by 13,2 % as compared to 2013 is foreseen for the anti-pollution measures.

- European Network and Information Security Agency – ENISA

Regulation (EU) No 526/2013 adopted on 21 May 2013 extends the agency's mandate and expands its tasks. This is why ENISA is grouped as a "new tasks" agency in 2014. In view of its new tasks, the Commission proposes to increase the staffing level of the agency by 1 establishment plan post to 48 posts in 2014, as well as to increase the corresponding appropriations for staff.

- European Medicines Agency – EMA

EMA is a 'new tasks agency' in view of the phasing in of additional activities assigned to the agency under the major revision of the pharmacovigilance legal framework in 2010, which is applicable as of July 2012 (Regulation (EU) No 1235/2010 and Directive 2010/84/EU). The European Parliament and the Council added tasks that require additional resources as compared to the initial financial statement, notably in terms of competences for nationally authorised medicines and reinforced competences for centrally authorised medicines. In view of its new tasks, the Commission proposes to increase the staffing level of the agency as from 2015. Due to the staff reduction and the annual contribution to the redeployment pool, however, this results in a total number of posts which decreases from 611 in 2013 to 599 in 2014.

Currently, around 80 % of EMA's budget is covered by fees and this share is expected to increase throughout the new MFF period. As all the new pharmacovigilance activities will be fully financed by additional fees, the EU contribution will remain broadly stable in real terms during this period, taking into account the impact of the forecasts for fee revenue to be received from industry on the need for a balancing contribution from the EU budget. For 2014, the Commission proposes to keep the EU contribution to EMA stable in real terms at the level of 2013.

- Agency for the Cooperation of Energy Regulators – ACER

ACER is considered as a 'new tasks' agency in 2014, due to the phasing in of new tasks assigned to it under Regulation (EU) No 1227/2011 (REMIT) and Regulation (EU) No 347/2013 (TEN-E Guidelines). The EU contribution to the agency increases in 2014 to cover the recurring maintenance costs of IT infrastructure required under the REMIT Regulation (amounting to EUR 1,5 million per year), as well as for the evolution

in its staffing level. In view of its new tasks deriving from the TEN-E Guidelines (comprising mainly the identification and monitoring of projects of common interest in the field of gas and electricity infrastructure), the Commission proposes to increase the staffing level of the agency by 5 establishment plan posts to 54 posts in 2014.

- European Agency for the Management of Operational Cooperation at the External Borders – FRONTEX

FRONTEX is grouped as a ‘new tasks agency’ in 2014, with a view to the expected extension of its tasks with regard to the European Borders Surveillance System (EUROSUR, (COM(2011)873). In view of these new tasks, the Commission proposes to increase the staffing level of the agency by 2 establishment plan posts in 2014. However, combined with the staff reduction and the annual contribution to the redeployment pool, this results in a total number of posts which decreases from 153 in 2013 to 152 in 2014.

With a view to the operational expenditure requirements for EUROSUR, the EU contribution to FRONTEX will increase by EUR 3 million in 2014, over and above the amount of the EU contribution that was foreseen without the mandate extension.

1.1.4.4. EU contribution and staffing levels – 'Start-up phase' agencies

- European Banking Authority – EBA

EBA’s start-up phase will end in 2014, after which the agency will require additional resources to carry out new tasks in the field of Bank Recovery and Resolution (COM(2012)280), the Single Supervisory Mechanism (COM(2012)511) and the Regulation on prudential rules for banks (CRDIV/CCR, COM(2011) 452). Whereas the additional staff required for bank recovery tasks is fully covered by the financial statement attached to the initial Commission proposal, the staff needs related to the Single Supervisory Mechanism and CRDIV/CCR tasks have been reassessed to take account of its reinforced role given to the agency by the European Parliament and the Council.

In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 10 establishment plan posts to 103 posts in 2014. The EU contribution will increase in 2014 due to the additional staff needed to carry out new tasks and the corresponding increase in related expenditure such as buildings and IT systems. The share of this type of expenditure is foreseen to remain at 40% of the overall EU contribution.

- European Insurance and Occupational Pensions Authority – EIOPA

EIOPA’s start-up phase will end in 2014, after which the agency will require additional resources to carry out new tasks, notably related to the proposal for a new Insurance mediation directive (COM(2012)360), the development of main supervisory tasks (including joint on-site inspections), consumer protection and financial stability (i.e. reporting under the Solvency II Directive).

In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 4 establishment plan posts to 84 posts in 2014. The increase in the EU contribution mainly reflects the staff increase linked to the additional tasks.

- European Securities and Markets Authority – ESMA

ESMA’s start-up phase will end in 2014, after which the agency will require additional resources to carry out new tasks which are related to several new Regulations entrusting the agency with additional responsibilities, in particular in the field of supervision, registration, certification and coordination of national supervisory actions (including those foreseen by the Credit Rating Agencies Regulation, the Short Selling Regulation, the European Long-Term Investment Funds Regulation and the European Market Infrastructure Regulation).

In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 7 establishment plan posts to 128 posts in 2014. The increase in the EU contribution mainly reflects the staff increase linked to the additional tasks.

- European Chemicals Agency – Biocides activities

Regulation (EU) No 528/2012 extended ECHA's mandate, as from 1 September 2013, to the making available on the market and use of biocidal products. For this reason, for its biocides activities ECHA is grouped as 'start-up phase' in the years 2014 and 2015, during which it becomes gradually operational and also partially self-financed based on fees to be collected from industry. The agency receives a balancing contribution which decreases in line with the forecast of increasing revenue from fees. In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 1 establishment plan post to 48 posts in 2014.

- European Chemicals Agency – PIC activities

Regulation (EU) No 649/2012 extended ECHA's mandate, as from 1 March 2014, to the export and import of hazardous chemicals (PIC). For this reason, for its PIC activities ECHA is grouped as 'start-up phase' in 2014, during which it becomes gradually operational. In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 1 establishment plan post to 6 posts in 2014. The EU contribution shows a decreasing profile, since the increase in staff expenditure is offset by a decrease in operational expenditure linked to the initial set-up of the databases and software tools.

- Agency for the operational management of large-scale IT systems in the area of freedom, security and justice – eu.LISA

eu.LISA is a newly created agency, which has become operational on 1 December 2012, with a gradual take-over of existing systems (Eurodac, VIS and SIS II). In view of the start of operations, the commitment appropriations increase substantially in 2014 (+ EUR 18,4 million). In view of its start-up phase and new tasks, the Commission proposes to increase the staffing level of the agency by 2 establishment plan posts in 2014. However, combined with the staff reduction and the annual contribution to the redeployment pool, this results in a total number of posts which remains stable at the level of 2013 (120 posts).

- European Asylum Support Office – EASO

EASO will still be in start-up phase in 2014. In view of its start-up phase and the transition to 'new tasks' status, the Commission proposes to increase the staffing level of the agency by 4 establishment plan posts to 49 posts in 2014. The increase in the EU contribution mainly reflects the staff increase linked to the completion of EASO's start-up phase.

1.1.5. Decentralised agency overview table 2014 / 2013

1.1.5.1. Overview table 2014 / 2013: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014					
				Total revenues of the Agency	Total EU contribution	Of which budget	Revenues estimated by the Agency	Of which Agency contribution request	Total EU contribution	Of which DB	Of which assigned revenues	EU contribution		DB / Budget				
European Chemicals Agency (ECHA) — Chemicals legislation <i>Authorised establishment plan</i>	02 03 03	Helsinki	2006	206,569			116,992											
				451	451		442	442	441									
European GNSS Agency (GSA) <i>Authorised establishment plan</i>	02 05 11	Prague	2004	14,484	14,159	13,450	25,182	25,182	24,651	24,587	0,064				74,1%	82,8%		
				77	77		97	97	96							19		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND) <i>Authorised establishment plan</i>	04 03 11	Dublin	1975	20,731	20,371	20,115	20,750	20,371	20,371	19,854	0,517				0,0%	-1,3%		
				101	101		99	99	99								-2	
European Agency for Safety and Health at Work (EU-OSHA) <i>Authorised establishment plan</i>	04 03 12	Bilbao	1994	15,232	14,678	14,035	15,248	14,646	14,095	14,014	0,081				-4,0%	-0,2%		
				44	44		43	43	43								-1	
European Aviation Safety Agency (EASA) <i>Authorised establishment plan</i>	06 02 02	Köln	2002	163,218	34,862	34,556	160,763	36,469	34,174	34,174	0,000				-2,0%	-1,1%		
				692	692		735	735	678								-14	
European Maritime Safety Agency (EMSA) <i>Of which anti-pollution measures</i> <i>Authorised establishment plan</i>	06 02 03 01	Lisbon	2002	57,600	55,892	53,872	53,610	51,781	50,453	50,379	0,074				-9,7%	-6,5%		
				22,663	22,663	22,663			19,675	19,675							-13,2%	-13,2%
European Railway Agency (ERA) <i>Authorised establishment plan</i>	06 02 04	Lille Valenciennes	2004	25,859	25,007	24,871	26,100	25,407	25,007	23,573	1,434				0,0%	-5,2%		
				143	143		143	143	140								-3	

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014	
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution				Of which DB
European Network and Information Security Agency (ENISA) <i>Authorised establishment plan</i>	09 02 03	Heraklion	2004	8,565	8,335	8,206	0,129	11,179	8,822	8,739	0,083	5,8%	6,5%	New tasks
				47	47			49	48		1			
Body of European Regulators for Electronic Communications (BEREC) — Office <i>Authorised establishment plan</i>	09 02 04	Riga	2009	4,496	4,191	3,769	0,422	4,314	4,163	3,618	0,545	-0,7%	-4,0%	Cruising speed
				16	16			18	16		0			
European Banking Authority (EBA) <i>Authorised establishment plan</i>	12 03 02	London	2011	25,967	10,387	8,955	1,432	12,999	11,304	10,864	0,440	8,8%	21,3%	Start-up phase
				93	93			120	103		10			
European Insurance and Occupational Pensions Authority (EIOPA) <i>Authorised establishment plan</i>	12 03 03	Frankfurt	2011	18,767	7,507	6,385	1,122	8,589	7,514	7,403	0,111	0,1%	15,9%	Start-up phase
				80	80			105	84		4			
European Securities and Markets Authority (ESMA) <i>Authorised establishment plan</i>	12 03 04	Paris	2011	28,235	8,697	6,914	1,783	10,570	9,077	8,373	0,704	4,4%	21,1%	Start-up phase
				121	121			148	128		7			
European Centre for the Development of Vocational Training (CEDEFOP) <i>Authorised establishment plan</i>	15 02 11	Thessaloniki	1975	17,909	17,434	17,385	0,049	17,434	17,434	17,429	0,005	0,0%	0,3%	Cruising speed
				100	100			98	98		-2			
Agency for the Cooperation of Energy Regulators (ACER) <i>Authorised establishment plan</i>	32 02 10	Ljubljana	2009	8,941	8,941	7,370	1,571	15,525	10,880	10,188	0,692	21,7%	38,2%	New tasks
				49	49			98	54		5			
Total decentralised agencies - heading 1a <i>Of which anti-pollution measures</i> <i>Authorised establishment plan</i>				616,558	230,462	219,883	10,579	255,117	237,944	233,194	4,750	3,2%	6,1%	
				22,663	22,663	22,663		2406	19,675		0,000	-13,2%	-13,2%	
				2 227	2 227			2 406	2 238			11		

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.5.2. Overview table 2014 / 2013: Decentralised agencies of heading 2 – Sustainable growth: Natural Resources

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014	
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution				Of which DB
European Chemicals Agency (ECHA) — Biocides activities <i>Authorised establishment plan</i>	07 02 05 01	Helsinki	2012	6,221	6,071	6,071	9,365	5,064	5,064	5,064	0,041	-16,6%	-17,3%	Start-up phase
				47	47	48	48	48	48	48	1			
European Chemicals Agency (ECHA) — PIC activities <i>Authorised establishment plan</i>	07 02 05 02	Helsinki	2012	1,562	1,562	1,562	1,330	1,297	1,297	1,286	0,011	-16,9%	-17,7%	Start-up phase
				5	5	6	6	6	6	6	1			
European Environment Agency (EEA) <i>Authorised establishment plan</i>	07 02 06	Copenhagen	1990	41,669	36,309	35,797	42,007	36,634	36,309	35,366	0,943	0,0%	-1,2%	Cruising speed
				138	138	138	138	138	135	135	-3			
European Fisheries Control Agency (EFCA) <i>Authorised establishment plan</i>	11 06 64	Vigo	2005	9,217	9,217	8,934	9,217	9,217	9,217	8,717	0,500	0,0%	-2,4%	Cruising speed
				54	54	54	54	54	53	53	-1			
Total decentralised agencies - heading 2				58,668	53,159	52,364	61,919	52,213	51,888	50,392	1,496	-2,4%	-3,8%	
<i>Authorised establishment plan</i>				244	244	244	246	246	242	242	-2			

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.5.3. Overview table 2014 / 2013: Decentralised agencies of heading 3 – Security and citizenship

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014		
				Total revenues of the Agency	Total EU contribution	Of which budget	Of which assigned revenues	Revenues estimated by the Agency	Of which Agency contribution request	Total EU contribution	Of which DB	Of which assigned revenues		EU contribution	DB / Budget
European Centre for Disease Prevention and Control (ECDC) <i>Authorised establishment plan</i>	17 03 10	Stockholm	2004	58,090	56,727	56,727		58,354	56,766	56,766	0,000	0,1%	0,1%	Cruising speed	
				198	198			194	194	194				-4	
				78,131	76,000	74,334	1,666	79,128	77,048	77,333	76,545	0,788	1,8%	3,0%	Cruising speed
European Food Safety Authority (EFSA) <i>Authorised establishment plan</i>	17 03 11	Parma	2002	351	351			344	344						
				239,065	39,230	39,230		238,883	39,230	39,230	37,333	1,897	0,0%	-4,8%	
				6,000	6,000	6,000		6,000	6,000	6,000	6,000	0,000	0,0%	0,0%	New tasks
European Medicines Agency (EMA) <i>Of which special contribution for orphan medicinal products</i> <i>Authorised establishment plan</i>	17 03 12 01	London	1993	611	611			611	611						
				87,389	79,500	78,959	0,541	85,690	79,500	80,910	80,910	0,000	1,8%	2,5%	New tasks
				153	153			153	153	152				-1	
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX) <i>Authorised establishment plan</i>	18 02 03	Warsaw	2004	85,833	82,121	75,183	6,938	90,183	90,183						
				457	457			464	464	448					
				8,924	8,450	8,450		8,736	8,736	8,305	7,436	0,869	0,5%	6,3%	Cruising speed
European Police College (CEPOL) <i>Authorised establishment plan</i>	18 02 04	The Hague	1995	28	28			28	28						
				16,540	15,550	15,447	0,103	16,868	16,062	14,794	0,043	-4,9%	-12,0%	Cruising speed	
				84	84			84	84	82				-2	
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA) <i>Authorised establishment plan</i>	18 02 06	Lisbon	1993	54,400	41,000	41,000		83,188	83,188						
				120	120			130	130	120				0	
				54,400	41,000	41,000		83,188	83,188	59,380	59,380	0,000	44,8%	44,8%	Start-up phase
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA) <i>Authorised establishment plan</i>	18 02 07	Tallinn – Strasbourg	2011	15,000	12,000	12,000		15,000	14,862						
				45	45			62	62	49				4	
				15,000	12,000	12,000		15,000	14,862	14,526	0,138	0,138	21,1%	19,9%	Start-up phase
European Asylum Support Office (EASO) <i>Authorised establishment plan</i>	18 03 02	Valletta	2010	45	45			62	62						
				15,000	12,000	12,000		15,000	14,862	14,526	0,138	21,1%	19,9%	Start-up phase	
				45	45			62	62	49				4	

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014					Variation 2014 / 2013		Classification DB 2014
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution			Of which DB	
European Union Agency for Fundamental Rights (FRA) <i>Authorised establishment plan</i>	33 02 06	Vienna	2007	21,349 78	21,024 78	21,246 78	22,000 78	22,000 78	21,109 75	21,229 75	0,120	-0,1%	0,4%	Cruising speed
European Institute for Gender Equality (EIGE) <i>Authorised establishment plan</i>	33 02 07	Vilnius	2006	7,578 30	6,322 30	7,478 30	7,478 30	7,478 30	7,340 29	7,340 29	0,564	-1,8%	7,2%	Cruising speed
European Union's Judicial Cooperation Unit (EUROJUST) <i>Authorised establishment plan</i>	33 03 04	The Hague	2002	32,359 213	30,054 213	32,359 213	34,065 213	34,065 213	32,450 209	32,450 209	1,243	0,3%	3,8%	Cruising speed
Total decentralised agencies - heading 3 <i>Of which special contribution for orphan medicinal products</i> <i>Authorised establishment plan</i>				704,658 6,000 2 368	458,730 6,000	471,661 6,000	740,664 6,000	528,403 6,000	494,825 6,000	494,825 6,000	8,294 0,000	4,9%	6,1%	

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.5.4. Overview table 2014 / 2013: Decentralised agency of heading 4 – Global Europe

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014					Variation 2014 / 2013		Classification DB 2014
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget		
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution			Of which DB	
European Training Foundation (ETF) <i>Authorised establishment plan</i>	15 02 12	Turin	1990	20,145 96	20,027 96	20,144 96	20,144 94	20,144 94	20,144 94	20,144 94	0,117	0,0%	0,0%	Cruising speed
Total decentralised agencies - heading 4 <i>Authorised establishment plan</i>				20,145 96	20,027 96	20,144 96	20,144 94	20,144 94	20,144 94	20,144 94	0,125	0,0%	0,0%	

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.5.5. Overview table 2014 / 2013: Decentralised agency of heading 5 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget	
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution			
Translation Centre for the Bodies of the European Union <i>Authorised establishment plan</i>	31 01 10	Luxembourg	1994	46,763			48,346						
				206	206		206	203			-3		
Total decentralised agencies - heading 5 <i>Authorised establishment plan</i>				46,763			48,346						
				206	206		206	203			-3		

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.5.6. Overview table 2014 / 2013: Fully self-financed decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution		EU contribution	DB / Budget	
					Total EU contribution	Of which budget			Of which assigned revenues	Total EU contribution ⁽²⁾			
Office for Harmonisation in the Internal Market (OHIM) <i>Authorised establishment plan</i>		Alicante	1993	418,364			399,558						
				861	861		861	844			-17		
Community Plant Variety Office (CPVO) <i>Authorised establishment plan</i>		Angers	1994	13,634			14,172						
				48	48		47	47			-1		
Total self-financed decentralised agencies <i>Authorised establishment plan</i>				431,998			413,730						
				909	909		908	891			-18		

(1) Budget 2013 includes draft amending budgets 1 to 5.

(2) The Commission does not include the establishment plans of the fully self-financed agencies OHIM and CPVO in the official volumes of the draft budget. However, the Commission is of the opinion that the 5 % staff reduction applicable to EU institutions and bodies should be applied to all decentralised agencies, irrespective of their funding structure. This is why a reduction in the number of posts for OHIM and CPVO is shown in the column 'Foreseen EU contribution' for 2014. For CPVO, the number of posts shown for 2014 (47) corresponds to the agency's own draft estimates.

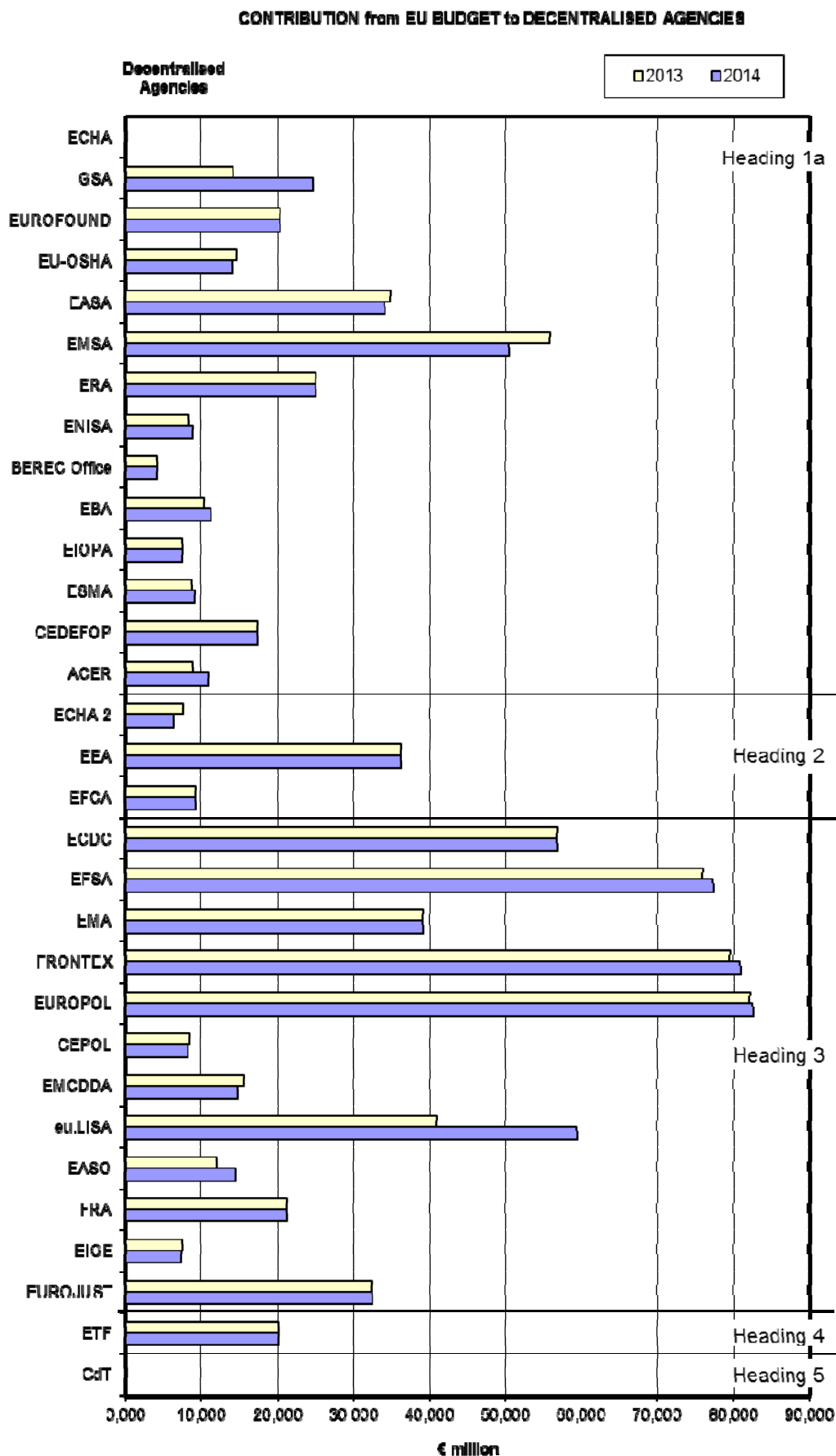
1.1.5.7. Overview table 2014 / 2013: Total of decentralised agencies

(in million EUR)

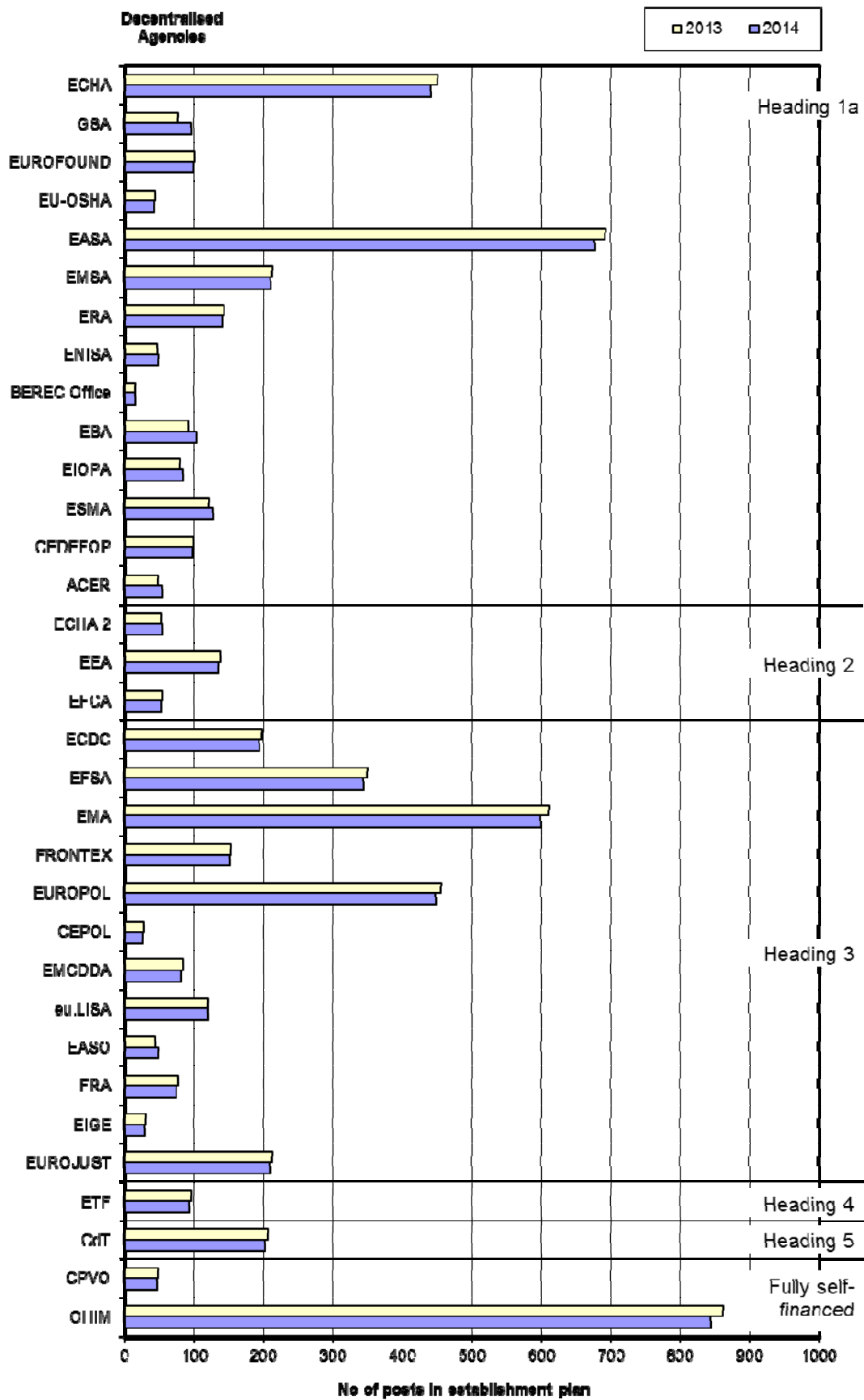
Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾			Draft budget (DB) 2014				Variation 2014 / 2013		Classification DB 2014		
				Total revenues of the Agency	Total EU contribution	Of which budget	Of which assigned revenues	Revenues estimated by the Agency	Of which Agency contribution request	Total EU contribution	Of which DB	Of which assigned revenues		EU contribution	DB / Budget
Total decentralised agencies				1878,790	775,426	751,004	24,422	1841,104	855,877	804,800	790,136	14,664	3,8%	5,2%	
<i>Authorised establishment plan</i>				6 050	6 050			6 251	6 251	5 996			-54		
Total decentralised agencies (excl. fully self-financed agencies)				1446,792	775,426	751,004	24,422	1427,374	855,877	804,800	790,136	14,664	3,8%	5,2%	
<i>Authorised establishment plan</i>				5 141	5 141			5 343	5 343	5 105			-36		
- Of which 'cruising speed' decentralised agencies				880,612	482,144	467,030	15,114	800,534	496,710	481,693	471,283	10,409	-0,1%	0,9%	
<i>Authorised establishment plan</i>				3 480	3 480			3 505	3 505	3 408			-72		
- Of which 'new tasks' decentralised agencies				416,029	206,058	201,087	4,971	430,580	222,597	214,946	212,136	2,810	4,3%	5,5%	
<i>Authorised establishment plan</i>				1 150	1 150			1 219	1 219	1 159			9		
- Of which 'start-up phase' decentralised agencies				150,151	87,224	82,887	4,337	196,260	136,569	108,162	106,717	1,445	24,0%	28,7%	
<i>Authorised establishment plan</i>				511	511			619	619	538			27		
Total decentralised agencies (excl. fully self-financed agencies and eu.LISA)				1392,392	734,426	710,004	24,422	1344,186	772,689	745,420	730,756	14,664	1,5%	2,9%	
<i>Authorised establishment plan</i>				5 021	5 021			5 213	5 213	4 985			-36		

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.1.6. Decentralised agency graphic overview 2014 / 2013



NUMBER of POSTS in ESTABLISHMENT PLANS of DECENTRALISED AGENCIES



1.1.7. Table Commission budget implementation for decentralised agencies 2012

1.1.7.1. Budget implementation 2012: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Chemicals Agency (ECHA) – Chemicals legislation	02 03 03												
European GNSS Agency (GSA)	02 05 02	13,449	13,635	12,739	11,840	0,899	12,925	12,027	0,899	0,710	0,710		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 04 03	20,385	20,385	20,385	20,289	0,096	20,384	20,288	0,096			0,001	
European Agency for Safety and Health at Work (EU-OSHA)	04 04 04	15,705	14,160	15,061	14,570	0,491	14,047	12,912	1,135	0,644		0,112	
European Aviation Safety Agency (EASA)	06 02 01	37,089	37,089	35,728	33,297	2,431	35,728	33,297	2,431	1,361	1,361		
European Maritime Safety Agency (EMSA)	06 02 02	56,634	53,586	54,613	53,229	1,384	51,563	50,119	1,444	2,021	2,021	0,003	
European Railway Agency (ERA)	06 02 08	25,781	25,781	25,644	24,487	1,157	25,644	24,487	1,157	0,137	0,137		
European Network and Information Security Agency (ENISA)	09 02 03	8,158	8,158	8,158	7,852	0,306	8,158	7,852	0,306				
Body of European Regulators for Electronic Communications – Office (BEREC – Office)	09 02 04	3,190	3,190	3,190	3,190		3,190	3,190					
European Banking Authority (EBA)	12 04 02	11,879	11,141	8,299	8,299		7,562	7,562		3,580	3,580		
European Insurance and Occupational Pensions Authority (EIOPA)	12 04 03	6,262	5,761	6,262	6,262		5,761	5,761					
European Securities and Markets Authority (ESMA)	12 04 04	7,120	6,408	7,120	7,120		6,408	6,408					
European Centre for Development of Vocational Training (CEDEFOP)	15 02 25	21,163	18,003	21,094	20,168	0,926	17,434	16,725	0,709	0,069	0,069		
Agency for the Cooperation of Energy Regulators (ACER)	17 03 10	39,594	39,594	39,594	28,966	10,628	39,585	28,957	10,628			0,009	
European Institute for Gender Equality (EIGE)	32 04 10	7,242	7,242	7,242	7,242		7,242	7,242					
European Medicines Agency (EMA)	33 06 03	8,898	8,898	7,742	5,901	1,841	7,742	5,901	1,841	1,156	1,156		
Total decentralised agencies – heading 1a		282,548	273,032	272,870	252,712	20,158	263,372	242,727	20,645	9,678	9,534	0,001	0,126

1.1.7.2. Budget implementation 2012: Decentralised agencies of heading 2 – Natural Resources

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Environment Agency (EEA)	07 03 09	42,201	42,201	41,690	35,727	5,963	41,690	35,727	5,963	0,512	0,512		
European Chemicals Agency (ECHA) - Biocides activities	07 03 60	2,728	2,728	2,728	2,728		2,728	2,728					
European Chemicals Agency (ECHA) - PIC activities	07 03 70	1,456	1,456	1,456	1,456		1,456	1,456					
European Fisheries Control Agency (EFCA)	11 08 05	9,500	10,500	9,217	9,031	0,186	10,217	10,031	0,186	0,283	0,283	0,001	0,001
Total decentralised agencies – heading 2		55,885	56,885	55,091	49,128	6,149	56,091	49,942	6,149	0,795	0,795	0,001	0,001

1.1.7.3. Budget implementation 2012: Decentralised agencies of heading 3a – Freedom, Security and Justice

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	84,541	70,041	84,000	84,000		69,500	69,500		0,541	0,541		
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 11	21,275	2,620	19,105	17,647	1,458	2,284	2,284				2,170	0,336
European Asylum Support Office (EASO)	18 03 14	10,000	8,894	10,000	10,000		8,851	8,851					0,042
Europol	18 05 02	83,723	82,655	83,655	82,505	1,150	82,655	81,437	1,218			0,068	
European Police College (CEPOL)	18 05 05	8,451	8,451	8,451	8,451		8,451	8,451					
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 05 11	15,692	15,655	15,551	14,565	0,986	15,551	14,527	1,024	0,104	0,104	0,038	
European Union Agency for Fundamental Rights (FRA)	33 02 03	20,598	20,598	20,376	20,196	0,180	20,376	20,196	0,180	0,222	0,222		
European Union's Judicial Cooperation Unit (EUROJUST)	33 03 02	35,464	35,464	32,967	31,670	1,297	32,967	31,670	1,297	2,497	2,497		
Total decentralised agencies – heading 3a		279,745	244,377	274,105	269,033	5,071	240,635	236,916	3,719	3,364	3,364	2,276	0,378

1.1.7.4. Budget implementation 2012: Decentralised agencies of heading 3b – Citizenship

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Centre for Disease Control (ECDC)	17 03 03	58,202	58,202	58,202	56,727	1,475	58,200	56,725	1,475				0,002
European Food Safety Authority (EFSA)	17 03 07	79,947	78,154	78,280	75,080	3,201	76,486	73,358	3,128	1,667	1,667		0,001
Total decentralised agencies – heading 3b		138,149	136,356	136,482	131,807	4,676	134,686	130,083	4,603	1,667	1,667		0,003

(in million EUR)

1.1.7.5. Budget implementation 2012: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Training Foundation (ETF)	15 02 27	20,263	20,262	20,145	20,044	0,101	20,145	20,044	0,101	0,118	0,118		0,001
Total decentralised agencies – heading 4		20,263	20,262	20,145	20,044	0,101	20,145	20,044	0,101	0,118	0,118		0,001

(in million EUR)

1.1.7.6. Budget implementation 2012: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Translation Centre for the Bodies of the European Union (CdT)	31 01 09												
Total decentralised agencies – heading 5													

(in million EUR)

1.1.7.7. Budget implementation 2012: Decentralised agencies – Total

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Total decentralised agencies		776,591	730,913	758,692	722,537	36,154	714,928	679,712	35,217	15,621	15,477	2,279	0,507

The budget implementation tables for decentralised agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);
- Authorised appropriations 2012 minus commitments (or payments) made 2012 minus carry-over of assigned revenue to 2013 leads to appropriations cancelled (on the Commission side);
- The carry-over of assigned revenue to 2013 (stemming from the recovery of agency surpluses for the financial year 2011) has been taken into account when calculating the EU contribution for 2013 (see also overview table 1.1.5 and DB 2013 Working Document III).

All budget implementation 2012 data in this document are based on provisional accounting closure (April 2013).

1.1.8. Table decentralised agency staffing overview 2012

1.1.8.1. Staffing overview 2012: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
European Chemicals Agency (ECHA) – Chemicals legislation	2006	456	438	83	79	13	7	552	524
European GNSS Agency (GSA)	2004	44	44	13	33	4	3	61	80
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	1975	101	98	14	12	1	0	116	110
European Agency for Safety and Health at Work (EU-OSHA)	1994	44	42	26	24	1	0	71	66
European Aviation Safety Agency (EASA)	2002	634	612	57	63	11	11	702	686
European Maritime Safety Agency (EMSA)	2002	213	204	29	25	15	12	257	241
European Railway Agency (ERA)	2004	144	139	14	10	7	5	165	154
European Network and Information security Agency (ENISA)	2004	47	44	14	12	5	4	66	60
Body of European Regulators for Electronic Communications (BEREC) — Office	2009	16	16	2	1	10	7	28	24
European Banking Authority (EBA)	2010	68	68	12	12	15	14	95	94
European Insurance and Occupational Pensions Authority (EIOPA)	2010	69	69	12	14	8	8	89	91
European Securities and Markets Authority (ESMA)	2010	75	75	10	12	16	12	101	99
European Centre for Development of Vocational Training (CEDEFOP)	1975	101	98	24	23	5	3	130	124
Agency for the Cooperation of the Energy Regulators (ACER)	2009	43	43	2	2	12	11	57	56
European Institute for Gender Equality (EIGE)	2006	30	30	9	9	6	5	45	44
Total decentralised agencies – heading 1a		2 085	2 020	321	331	129	102	2 535	2 453

1.1.8.2. Staffing overview 2012: Decentralised agencies of heading 2 – Natural Resources

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
European Environment Agency (EEA)	1990	136	132	71	68	27	23	234	223
European Chemicals Agency (ECHA) - Biocides activities	2012	11	11	8	6	0	1	19	18
European Chemicals Agency (ECHA) - PIC activities	2012	3	2	0	0	0	0	3	2
European Fisheries Control Agency (EFCA)	2005	54	50	5	5	4	4	63	59
Total decentralised agencies – heading 2		204	195	84	79	31	28	319	302

1.1.8.3. Staffing overview 2012: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
European Centre for Disease Prevention and Control (ECDC)	2004	200	189	100	99	5	5	305	293
European Food Safety Authority (EFSA)	2002	355	342	110	106	29	18	494	466
European Medicines Agency (EMA)	1993	590	575	132	116	15	15	737	706
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	2004	143	137	87	84	83	82	313	303
Agency for the operational management of large scale IT systems in the area of freedom, security and justice	2011	75	74	6	0	0	0	81	74
European Asylum Support Office (EASO)	2010	38	38	11	10	12	10	61	58
European Police Office (EUROPOL)	1995	457	446	98	96	40	37	595	579
European Police College (CEPOL)	2005	28	26	10	6	5,5	4	43,5	36
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	1993	84	79	27	24	1	1	112	104
European Union Agency for Fundamental Rights (FRA)	2007	75	71	28	25	9	7	112	103
European Union's Judicial Cooperation Unit (EUROJUST)	2002	213	188	15	29	30	18	258	235
Total decentralised agencies – heading 3		2 258	2 165	624	595	229,5	197	3 111,5	2 957

1.1.8.4. Staffing overview 2012: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
European Training Foundation (ETF)	1990	96	93	36	35	2	0,5	134	128,5
Total decentralised agencies – heading 4		96	93	36	35	2	0,5	134	128,5

1.1.8.5. Staffing overview 2012: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
Translation Centre for the Bodies of the European Union (CdT)	1994	215	199	22	17	0	0	237	217
Total decentralised agencies – heading 5		215	199	22	17	0	0	237	217

1.1.8.6. Staffing overview 2012: Decentralised agencies – Total

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
Total decentralised agencies		4 858	4 671	1 087	1 052	391,5	327,5	6 336,5	6 051,5

1.2. European institute of innovation and technology (EIT)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2014 for EIT, in text (section 1.2.1) and tables (section 1.2.2). Furthermore, this section presents a table on Commission budget implementation for EIT in 2012 (section 1.2.3), which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2012, section 1.2.4).

1.2.1. Budgetary and staffing highlights 2014: EIT

Section 1.2.2 below presents an overview table for EIT, both for appropriations and staff. Reflecting its start-up phase, the foreseen EU contribution to EIT increases from EUR 123,1 million to EUR 235,1 million. Furthermore, five additional posts are requested, over and above the 34 posts authorised under the 2013 budget.

The increase of the EU contribution to the EIT relates by and large to the planned reinforcement of operational expenditure (Title 3), principally through the Knowledge and Innovation Communities (KICs), which are meant to promote and integrate higher education, research and innovation of the highest standards. Under Horizon 2020, the three existing KICs, focused on sustainable energy (KIC InnoEnergy), climate change (Climate KIC) and information and communication society (EIT ICT Labs), will be expanded with new KICs in 2014-2020.

As presented in more detail in the individual budgetary financial statement (section 2.2.2), the total staffing of EIT foreseen for 2014 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2013	2014	2013	2014	2013	2014	2013	2014
34	39	20	20	4	4	58	63

1.2.2. EIT overview table 2014 / 2013

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾		Draft budget 2014			Variation				
				Total revenues of the EIT	Of which EU contribution	Revenues estimated by the EIT	Of which EIT contribution request	EU contribution (DB 2014)	EU contribution 2014 / 2013	EU contribution 2014 / 2013			
											34	140,023	123,065
	15 03 05	Budapest	2008										
	15 03 53			34	123,065	273,652	264,655	46	39				5
<i>Authorised establishment plan</i>													

(1) Budget 2013 includes draft amending budgets 1 to 5.

1.2.3. Table Commission budget implementation for EIT 2012

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over of assigned revenue to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
	15 02 11	81,386	68,698	81,386	79,324	2,062	68,698	66,912	1,786				
Total EIT		81,386	68,698	81,386	79,324	2,062	68,698	66,912	1,786				

1.2.4. Table EIT staffing overview 2012

European Institute of Innovation and Technology	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
	2008	28	28	20	18	4	1	52	47
Total EIT		28	28	20	18	4	1	52	47

1.3. Executive agencies

This section on 'main budgetary trends' starts with a historical table (section 1.3.1) showing the evolution, over the period 2004 – 2013, of executive agency contributions and staff. The historical table is followed by the budgetary and staffing highlights for 2014 for the current six executive agencies, in text (section 1.3.2), tables (section 1.3.3) and graphs (section 1.3.4). Furthermore, this section presents an overview table on Commission budget implementation for executive agencies in 2012 (section 1.3.5), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2012, section 1.3.6) and tables on 'freed' and 'frozen' posts (section 1.3.7).

1.3.1. Historical table 2004 - 2013

Table 1.3.1 below shows the historical evolution of the executive agencies over the period 2004 – 2013, in terms of EU contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview table 1.3.3 below, which shows the EU contribution and authorised / requested staff levels for the years 2013 and 2014.

Name of the executive agency	Operating Budget line	Location	Year of creation	EU contribution / Staffing									
				2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Executive Agency for Competitiveness and Innovation (EACI)		Brussels	2004										
Contribution from the Competitiveness and Innovation Framework Programme - "Entrepreneurship and Innovation" Programme	02 01 04 30						3,082	6,936	7,319	7,342	7,544	7,583	7,583
Contribution from the Marco Polo II Programme	06 01 04 32						0,870	1,353	1,405	1,425	1,555	1,555	1,555
Contribution from the Competitiveness and Innovation Framework Programme - "Intelligent Energy - Europe" Programme	32 01 04 30			2,770	5,133	5,064	5,737	6,684	6,676	6,633	6,601	6,542	6,542
Total EU contribution EACI				2,770	5,133	5,064	9,689	14,973	15,400	15,400	15,700	15,680	15,680
<i>Total staffing EACI</i>				<i>33</i>	<i>46</i>	<i>46</i>	<i>112</i>	<i>144</i>	<i>147</i>	<i>152</i>	<i>156</i>	<i>158</i>	<i>159</i>
Education, Audiovisual and Culture Executive Agency (EACEA)		Brussels	2005										
Contribution from programmes of Heading 1a	15 01 04 30				18,540	17,880	17,925	19,982	19,766	21,199	21,444	21,444	21,395
Contribution from programmes of Heading 3b	15 01 04 31					8,200	10,126	9,327	9,891	15,839	15,644	15,572	16,030
Contribution from programmes of Heading 4	15 01 04 32				0,650	0,650	0,400	0,520	0,640	0,597	0,600	0,600	0,263
Contribution from programmes of Heading 3b	16 01 04 30				5,330	7,250	9,073	8,250	8,860	3,370	3,370	3,370	3,370
Contribution from External Relations programmes	19 01 04 30				0,140	0,184	0,240	2,885	3,794	4,320	4,621	4,579	5,825
Contribution from programmes of Heading 4 in the Enlargement policy area	22 01 04 30							0,966	1,410	1,343	1,219	1,133	1,129
Total EU contribution EACEA					24,660	34,164	37,764	41,930	44,361	46,668	46,898	46,698	48,012
<i>Total staffing EACEA</i>					<i>296</i>	<i>296</i>	<i>334</i>	<i>406</i>	<i>407</i>	<i>412</i>	<i>416</i>	<i>416</i>	<i>431</i>
Executive Agency for Health and Consumers (EAHC)		Luxembourg	2005										
Contribution from programmes of Heading 3b	17 01 04 30				4,756	5,800	5,800	4,100	5,620	6,000	5,800	5,900	5,900
Contribution from programmes of Heading 2	17 01 04 31								1,100	1,100	1,100	1,170	1,170
Total EU contribution EAHC					4,756	5,800	5,800	4,100	6,720	7,100	6,900	7,070	7,070
<i>Total staffing EAHC</i>					<i>24</i>	<i>37</i>	<i>37</i>	<i>37</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>

Name of the executive agency	Operating Budget line	Location	Year of creation	EU contribution / Staffing									
				2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Trans-European Transport Network Executive Agency (TEN-T EA)	06 01 04 31	Brussels	2006			6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805
Total EU contribution TEN-T EA						6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805
<i>Total staffing TEN-T EA</i>						<i>42</i>	<i>44</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>99</i>	<i>100</i>
European Research Council Executive Agency (ERCEA)	08 01 04 30	Brussels	2007					19,994	32,410	32,110	35,115	39,000	39,000
Total EU contribution ERCEA								19,994	32,410	32,110	35,115	39,000	39,000
<i>Total staffing ERCEA</i>								<i>220</i>	<i>300</i>	<i>330</i>	<i>360</i>	<i>389</i>	<i>389</i>
Research Executive Agency (REA)	08 01 04 31	Brussels	2007					14,601	31,032	31,993	37,602	47,339	49,300
Total EU contribution REA								14,601	31,032	31,993	37,602	47,339	49,300
<i>Total staffing REA</i>								<i>274</i>	<i>349</i>	<i>424</i>	<i>468</i>	<i>513</i>	<i>558</i>
Total EU contribution Executive Agencies				2,770	34,549	51,743	61,870	105,811	139,717	143,065	152,115	165,592	168,867
<i>Total staffing Executive Agencies</i>				<i>33</i>	<i>366</i>	<i>421</i>	<i>527</i>	<i>1 180</i>	<i>1 352</i>	<i>1 467</i>	<i>1 549</i>	<i>1 625</i>	<i>1 687</i>

1.3.2. Budgetary and staffing highlights 2014: executive agencies

In order to focus on its core tasks, such as policy-making and strategic management, the Commission has delegated responsibility for the implementation of certain programmes to executive agencies. As confirmed by the Court of Auditors, agencies have proved to be successful in terms of cost savings, the provision of better service delivery and simplification of processes. They have also ensured effective external communication and dissemination of results, which has contributed to enhancing the visibility of the EU.

In its proposal for the 2014-2020 multiannual financial framework (MFF), the Commission announced its intention to use the option of more extensive recourse to existing executive agencies. In this regard, the Commission intended to propose an extension of the mandate of the current six executive agencies, subject to a cost-benefit analysis based on the 2014-2020 programmes to be adopted by the Council and the European Parliament.

The cost-benefit analysis is currently underway. As soon as this preparatory work is completed, taking into account the agreement reached on the MFF, the Commission will present the file to the Committee for Executive Agencies and the European Parliament. Pending decisions to be taken on the agencies' future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing of each of the six executive agencies at its 2013 level (including draft amending budget No 4/2013), and to freeze the EU contribution to the running costs of the agencies. As a consequence, the proposed number of staff in the executive agencies remains at 1 687 FTE, and the total EU contribution amounts to EUR 170,0 million (+ 0,6 %).

In light of the delegation process, and in time for the start of the Conciliation, the Commission intends to present an amending letter to take account of the impact of the delegation of operational programmes on the staffing and subsidy levels of each executive agency individually. The adjustment of the staffing levels in the Commission and the executive agencies to be proposed to the budgetary authority will aim at ensuring budget neutrality over the period.

Section 1.3.3 presents an overview for the executive agencies, both for the EU contribution to the agencies from operational programmes managed, and for the establishment plans and external personnel.

As presented in more detail in the individual budgetary financial statements (section 2.2.3), the total staffing of the executive agencies foreseen for 2014 would be kept stable at the level of 2013, as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2013	2014	2013	2014	2013	2014	2013	2014
427	427	1 251	1 250	8	9	1 687	1 687

Of the 427 posts included in the executive agency establishment plans for 2014, a total of 94 will be seconded from the Commission, as shown in more detail in section 1.3.7 below.

1.3.3. Executive agency overview table 2014 / 2013

(in million EUR)

Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in %		Authorised establishment plan		Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾	
				Budget 2013 ⁽¹⁾	DB 2014	DB 2014 / 2013	Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014	
				2013 ⁽¹⁾	2014	2014 / 2013	Budget 2013 ⁽¹⁾	2014	Budget 2013 ⁽¹⁾	2014	Budget 2013 ⁽¹⁾	2014	Budget 2013 ⁽¹⁾	2014	
Executive Agency for Competitiveness and Innovation (EACI)		Brussels	2004												
Executive Agency for Competitiveness and Innovation – Contribution from Competitiveness of Enterprises and small and medium enterprises (COSME)	02 01 06 01 (32 01 04 30 in part)			5,000	5,000	0,0%									
Executive Agency for Competitiveness and Innovation – Contribution from Connecting Europe Facility (CEF)	06 01 06 02 (06 01 04 32)			1,555	1,558	0,2%									
Executive Agency for Competitiveness and Innovation – Contribution from Horizon 2020	08 01 06 03 (32 01 04 30 in part)			9,125	9,269	1,6%									
Total EACI				15,680	15,827	0,9%	37	37	122	122	159	159	159	159	159
Executive Agency for Education, Audiovisual and Culture (EACEA)		Brussels	2005												
Education, Audiovisual and Culture Executive Agency – Contribution from Erasmus for All	15 01 06 01 (15 01 04 30, 15 01 04 32)			21,658	25,311	16,9%									
Education, Audiovisual and Culture Executive Agency – Contribution from Creative Europe	15 01 06 02 (15 01 04 31)			16,030	12,980	-19,0%									
Education, Audiovisual and Culture Executive Agency – Contribution from Europe for Citizens	16 01 06 01 (16 01 04 30)			3,370	2,190	-35,0%									
Education, Audiovisual and Culture Executive Agency – Contribution from the Partnership Instrument (PI)	19 01 06 01 (19 01 04 30)			0,514	0,522	1,6%									
Education, Audiovisual and Culture Executive Agency – Contribution from Development Cooperation Instruments (DCI)	21 01 06 01 (21 01 04 30)			1,544	1,264	-18,1%									
Education, Audiovisual and Culture Executive Agency – Contribution from European Neighbourhood Instrument (ENI)	21 01 06 02 (19 01 04 30)			3,767	4,132	9,7%									
Education, Audiovisual and Culture Executive Agency – Contribution from Pre-accession Assistance programme	22 01 06 01 (22 01 04 30)			1,129	1,147	1,6%									
Total EACEA				48,012	47,546	-1,0%	105	105	326	326	431	431	431	431	431

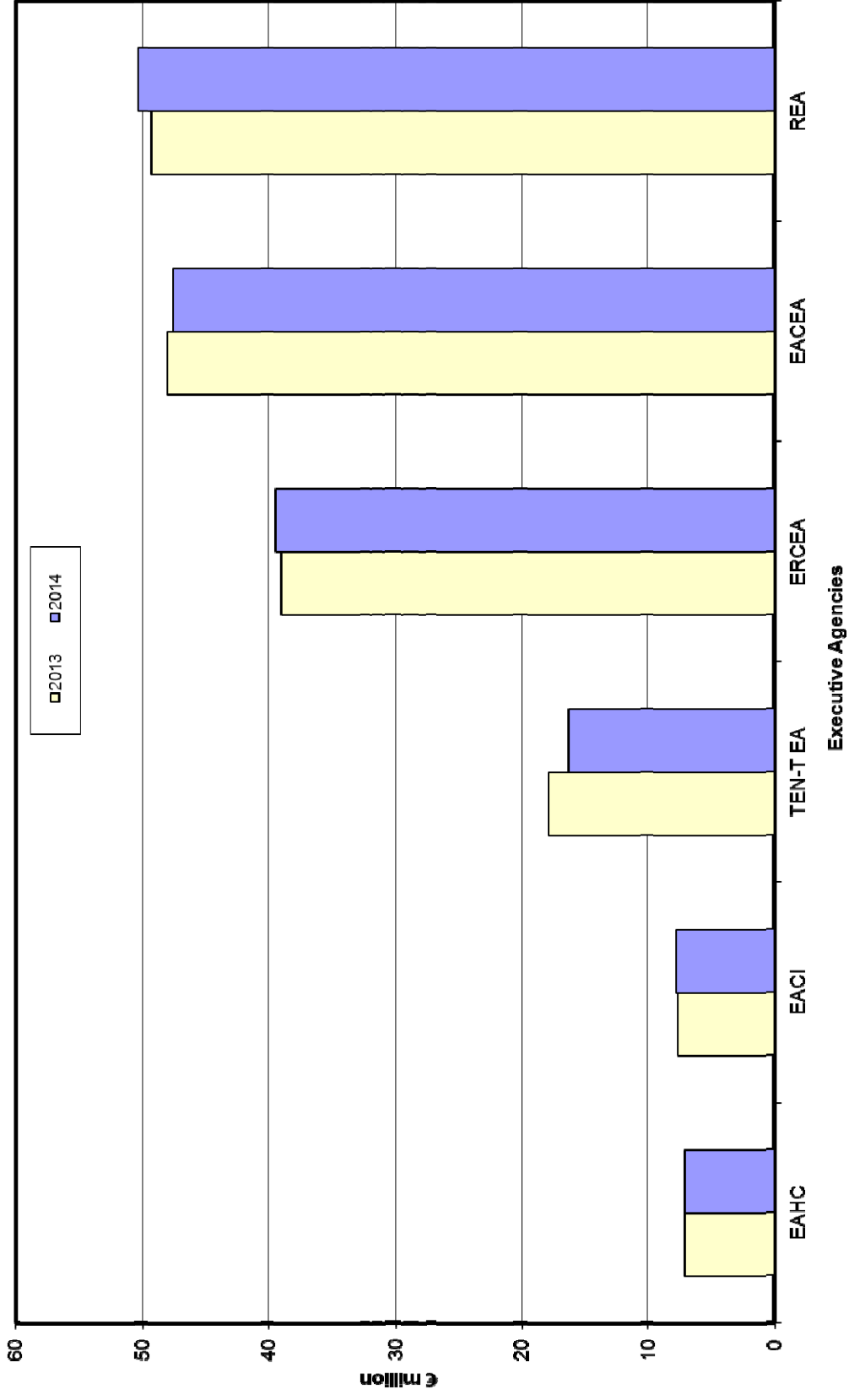
Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in %		Authorised establishment plan		Staffing of the executive agency								
				Budget 2013 ⁽¹⁾	DB 2014	DB 2014 / 2013	Budget 2013 ⁽¹⁾	DB 2014	Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾					
									Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014	Budget 2013 ⁽¹⁾	DB 2014		
																	Budget 2013 ⁽¹⁾	DB 2014
Executive Agency for Health and Consumers (EAHC)		Luxembourg	2005															
Executive Agency for Health and Consumers – Contribution from Consumer programme	17 01 06 01 (17 01 04 30)			1,691	1,691	0,0%												
Executive Agency for Health and Consumers – Contribution from Health for Growth programme	17 01 06 02 (17 01 04 30)			4,209	4,209	0,0%												
Executive Agency for Health and Consumers – Contribution in the field of Food and feed safety, animal health, animal welfare and Plant health	17 01 06 03 (17 01 04 30, 17 01 04 31)			1,170	1,170	0,0%												
Total EAHC				7,070	7,070	0,0%	12	12	38	38	0	0	0	0	50	50		
Trans-European Transport Network Executive Agency (TEN-TEA)		Brussels	2006															
Trans-European Transport Network Executive Agency – Contribution from Connecting Europe Facility (CEF)	06 01 06 01 (06 01 04 31)			9,805	9,805	0,0%												
Total TEN-TEA				9,805	9,805	0,0%	33	33	67	67	0	0	0	100	100			
European Research Council Executive Agency (ERCEA)		Brussels	2007															
European Research Council Executive Agency – Contribution from Horizon 2020	08 01 06 01 (08 01 04 30)			39,000	39,415	1,1%												
Total ERCEA				39,000	39,415	1,1%	100	100	281	280	8	9	389	389				
Research Executive Agency (REA)		Brussels	2007															
Research Executive Agency (REA) – Contribution from Horizon 2020	08 01 06 02 (08 01 04 31)			49,300	50,298	2,0%												
Total REA				49,300	50,298	2,0%	140	140	418	418			558	558				
Total executive agencies				168,867	169,961	0,6%	427	427	1252	1251	8	9	1687	1687				

(1) Budget 2013 includes draft amending budgets 1 to 5.

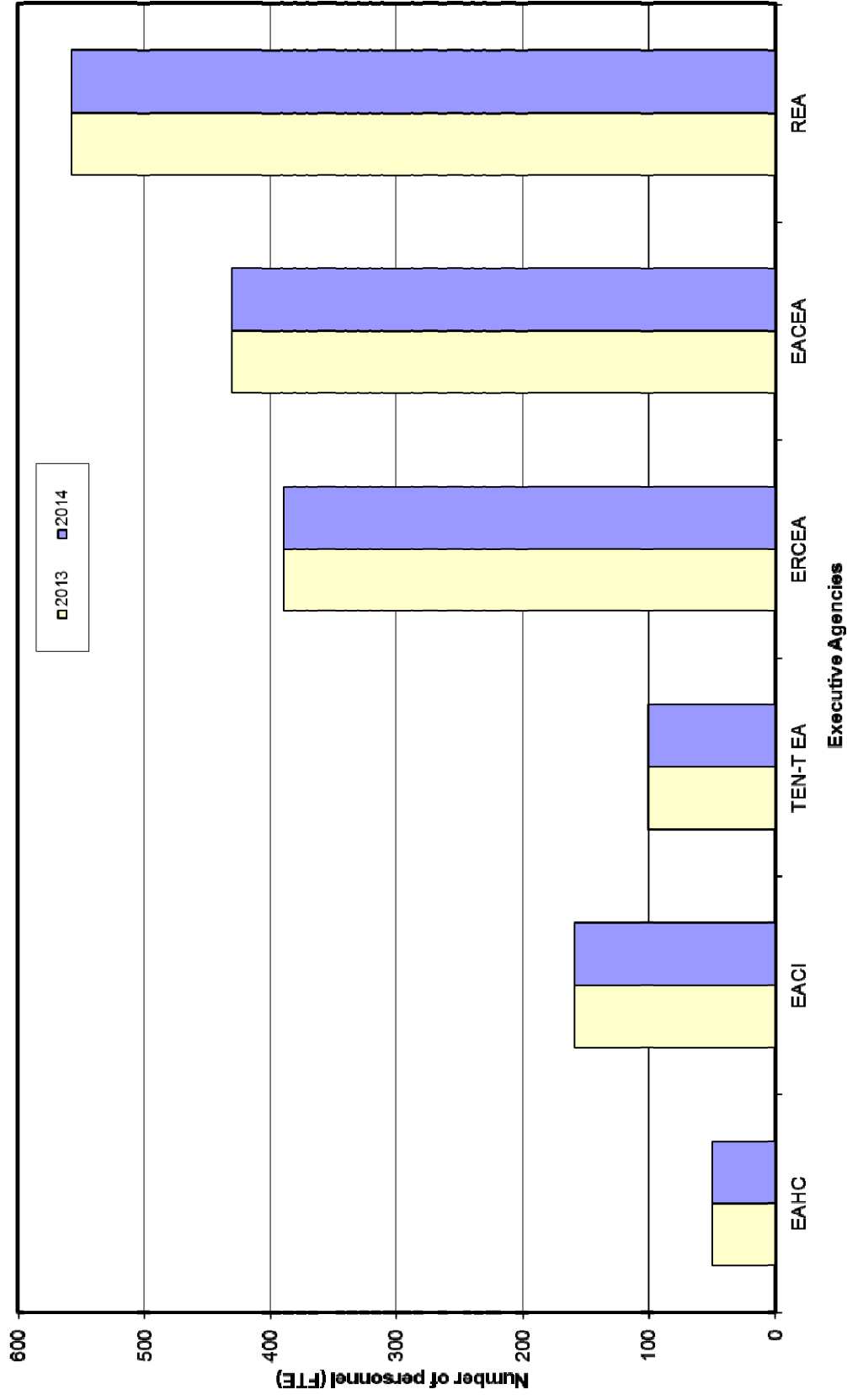
(2) Estimate (full-time equivalents), on the basis of average costs.

1.3.4. Executive agency graphic overview 2014 / 2013

EU BUDGET CONTRIBUTION to EXECUTIVE AGENCIES



NUMBER of PERSONNEL (FTE) in EXECUTIVE AGENCIES



1.3.5. Table Commission budget implementation for executive agencies 2012

(in million EUR)

Name of the executive agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Executive agency for competitiveness and innovation (EACI)													
Contribution from the CIP programme	02 01 04 30	8,316	8,316	8,067	7,583	0,484	8,067	7,583	0,484			0,250	0,250
Contribution from the Marco Polo II Programme	06 01 04 32	1,595	1,775	1,595	1,555	0,040	1,595	1,555	0,040				0,180
Contribution from the Competitiveness and Innovation Framework programme – 'Intelligent Energy – Europe' Programme	32 01 04 30	6,712	7,110	6,712	6,542	0,170	6,712	6,542	0,170				0,398
Total EACI		16,624	17,201	16,374	15,680	0,694	16,374	15,680	0,694			0,250	0,827
Education, Audiovisual and Culture Executive Agency (EACEA)													
Contribution from programmes of Heading 1a	15 01 04 30	23,264	23,363	23,264	21,444	1,820	23,331	21,444	1,887				0,032
Contribution from programmes of Heading 3b	15 01 04 31	16,707	16,773	16,532	15,572	0,960	16,577	15,572	1,005			0,175	0,196
Contribution from programmes of Heading 4	15 01 04 32	0,467	0,467	0,424	0,424		0,424	0,424				0,043	0,043
Contribution from External Relations programmes	19 01 04 30	4,579	4,579	4,579	4,579		4,579	4,579					
Contribution from programmes of Heading 4 in the enlargement policy area	22 01 04 30	1,168	1,168	1,133	1,133		1,133	1,133		0,035	0,035		
Total EACEA		46,185	46,350	45,932	43,152	2,780	46,044	43,152	2,892	0,035	0,035	0,218	0,271
Executive agency for Health and Consumers (EAHC)													
Contribution from programmes of Heading 3b	17 01 04 30	6,053	6,053	6,053	5,900	0,153	6,053	5,900	0,153				
Contribution from programmes of Heading 2	17 01 04 31	1,170	1,170	1,170	1,170		1,170	1,170					
Total EAHC		7,223	7,223	7,223	7,070	0,153	7,223	7,070	0,153				
Trans-European Transport Network Executive Agency (TEN-T EA)													
Contribution from programmes of Heading 2	06 01 04 31	9,805	9,805	9,805	9,805		9,805	9,805					
Total TEN-T EA		9,805	9,805	9,805	9,805		9,805	9,805					

Name of the executive agency	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Research Council Executive Agency (ERCEA)	08 01 04 30	38,700	38,700	38,700	37,686	1,014	38,700	37,686	1,014				
Total ERCEA		38,700	38,700	38,700	37,686	1,014	38,700	37,686	1,014				
Research Executive Agency (REA)	08 01 04 31	46,349	46,349	46,349	45,118	1,231	46,349	45,118	1,231				
Total REA		46,349	46,349	46,349	45,118	1,231	46,349	45,118	1,231				
Total executive agencies		164,886	165,629	164,383	158,511	5,872	164,496	158,511	5,985	0,035	0,035	0,467	1,098

The budget implementation tables for executive agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (EFTA, candidate country and third country appropriations);
- Authorised appropriations 2012 minus commitments (or payments) made 2012 minus carry-over of assigned revenue (stemming from candidate countries and third countries) and automatic carry-over to 2013 leads to appropriations cancelled (on the Commission side).

All budget implementation 2012 data in this document are based on provisional accounting closure (April 2013).

1.3.6. Table executive agency staffing overview 2012

Name of the executive agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
EACI	2004	37	37	121	119			158	156
EACEA	2005	103	99	313	307			416	406
EAHC	2005	12	11	38	39			50	50
TEN-T EA	2006	33	33	67	66			99	99
ERCEA	2007	100	96	281	275	8	9	389	380
REA	2007	128	122	385	374			513	496
Total executive agencies		413	398	1 205	1 180	8	9	1 625	1 587

1.3.7. Table executive agency freed and frozen posts

As explained in the section 2.3.3 of the Introduction, the creation of executive agencies leads to so-called 'freed' and 'frozen' posts.

For the period 2005 – 2013, the overall number of actually freed posts amounts to 199. Three further posts are to be freed in 2014. The total number of posts actually freed and to be freed (199 + 3 = 202) is higher than the initial assumptions (159 posts to be freed and redeployed), due to the decrease of the total number of Commission officials seconded to the executive agencies at the end of the phasing-in period ('unfrozen' posts, as explained in section 2.3.3 of the Introduction).

In addition to these freed posts, the 2014 DB contains 94 posts frozen in the Commission establishment plan (Budget 2013: 97 frozen posts), due to the secondment of Commission officials to executive agencies. Three external temporary agents have been recruited on posts initially foreseen for seconded officials (EACI 2, EAHC 1) following their return to the Commission. Six posts, formerly frozen for secondments to EACI in the operating budget, will be frozen in the research establishment plan since the operational programmes to which these secondments relate will be included in the framework programme for research Horizon 2020 as from 2014.

More details on freed and frozen posts by executive agency are presented in the tables below, and in the individual budgetary financial statements (Part I section 2.2.3).

Table of posts frozen in the Commission establishment plan

	Executive Agency	Budget 2013	DB 2014
Operating budget	EACI	11	3
	EACEA	34	34
	EAHC	7	6
	TEN-T EA	7	7
	Total - Operating Budget	59 ⁽²⁾	50 ⁽¹⁾
Research budget	ERCEA	14	14
	REA	24	24
	EACI		6
	Total - Research Budget	38	44
Total for all Executive Agencies		97	94

⁽¹⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2014 DB – Budget financial statement XX 01 01 01 01).

⁽²⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2013 DB – Budget financial statement XX 01 01 01 01).

Table of freed posts

		Executive Agency	Initial assumption on posts to be freed up to 2013	Establishment plan posts actually freed & redeployed in 2005 – 2013	Establishment plan posts to be freed & redeployed in 2014
Operating budget	EACI	Creation of the agency	8	8	-
		Extension of the agency ⁽¹⁾	20	25	2 ⁽⁵⁾
	EACEA	Creation of the agency	12	43 ^(2bis)	-
		Extension of the agency ⁽²⁾	3	3	-
	EAHC	Creation of the agency	10	10	-
		Extension of the agency ⁽³⁾	12	14 ^(3bis)	1 ⁽⁶⁾
	TEN-T EA	Creation of the agency	32	32	-
		Extension of the agency ⁽⁴⁾	24	24 ^(4bis)	-
Research budget	ERCEA	Creation of the agency	-	-	-
	REA	Creation of the agency	38	40 ⁽⁵⁾	
		Total	159 posts	199 posts	3 posts

⁽¹⁾ In 2007, the mandate of the former IEEA agency (Intelligent Energy Executive Agency) was extended to the management of the 2nd 'Intelligent Energy Europe' (IEE) programme, the 'Entrepreneurship and Innovation Programme' (EIP) and the Marco Polo II programme; consequently, the IEEA agency was transformed into 'EACI' (Executive Agency for Competitiveness and Innovation).

⁽²⁾ In 2008, the mandate of EACEA was extended with a view to the delegation of the management of Tempus and ICI programmes.

^(2bis) This total corresponds to 12 posts freed as foreseen at creation of the agency as well as the return of 31 officials seconded to EACEA freed for redeployment by the end of 2011, i.e. some months earlier than initially foreseen in the legislative financial statement.

⁽³⁾ In 2008, the mandate of the former PHEA agency (Public Health Executive Agency) was extended to the management of the 2008-2013 Public Health programme, the 2007-2013 Consumer policy programme and Food Safety training programmes; consequently, the PHEA agency was transformed into 'EAHC' (Executive Agency for Health and Consumers).

^(3bis) This total corresponds to 12 posts freed as foreseen at extension of EAHC as well as the return of two officials seconded to the agency, freed for redeployment.

⁽⁴⁾ In 2008, the mandate of TEN-T EA was extended with a view to the delegation of the management of 2007-2013 Trans-European Network Transport programmes.

^(4bis) This total corresponds to 24 posts freed as foreseen at extension of TEN-T EA.

⁽⁵⁾ Including two posts freed and redeployed following an adjustment in the composition of temporary agents (increase by one of the number of externally recruited temporary agents and corresponding reduction in the number of seconded Commission officials).

⁽⁶⁾ One post freed and redeployed following an adjustment in the composition of temporary agents (increase by one of the number of externally recruited temporary agents and corresponding reduction in the number of seconded Commission officials).

2. INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1. Content of the budgetary financial statements

2.1.1. Decentralised agencies

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2012, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.2. European Institute of Innovation and Technology (EIT)

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statement for EIT includes information on the set-up of EIT, the EU contribution to and the budget of EIT (revenue and expenditure), the estimated surplus of 2012, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.3. Executive agencies

The individual budgetary financial statements for executive agencies include information on the set-up of the agency (including possible extensions of the mandate), the operational programmes managed by the agency, the EU contribution to and the operating budget of the agency (revenue and expenditure), the estimated surplus of 2012, the establishment plan, contr agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

All budget implementation 2012 data in this document are based on provisional accounting closure (April 2013).

2.2. Individual budgetary financial statements

2.2.1. Decentralised agencies

2.2.1.1. Decentralised agencies of heading 1a – Competitiveness for growth and jobs

2.2.1.1.1. European Chemicals Agency (ECHA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p>	<p>18/12/2006</p> <p>16/12/2008</p>	<p>Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations</p> <p>These REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation</p> <ul style="list-style-type: none"> - Provide the Member States and the institutions of the Community with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP - Manage IT based guidance documents, tools and data bases - Support national helpdesk and run a helpdesk for registrants - Make information on chemicals publicly accessible
<p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p> <p>Regulation of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals</p> <p>Remark</p>	<p>22/05/2012</p> <p>04/07/2012</p>	<ul style="list-style-type: none"> - Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation - The purpose of Biocides legislation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment. - Coordinate and manage the evaluation of biocidal active substances (new applications and review programme), and of applications for Union authorisation - Carry out technical equivalence assessments, alternative suppliers applications management and assessment and data sharing provisions management - Manage guidance documents and IT tools - Make information on biocides publicly accessible <p>Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation</p> <p>The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm. Through its provisions it contributes to the environmentally sound use of hazardous chemicals.</p> <p>Manage the tasks related to and the cooperation with Member States on export notifications and explicit import consents</p> <p>Manage guidance documents and IT tools</p> <p>Make information publicly available</p> <p>The Agency, established on 1 June 2007, will manage the registration, evaluation, authorisation and restriction processes for chemical substances as well the classification and labelling of substances and mixtures to ensure consistency across the European Union. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness of the European industry.</p> <p>In its decision-making the Agency will take the best available scientific and technical data and socio-economic information into account. It will also provide information on chemicals and technical and scientific advice.</p> <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for the scientific, technical, and administrative matters related to evaluation of biocidal</p>

Decision	Date	Mission / Tasks / Functions
		active substances, and to authorisation of biocidal products. The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.

Seat Helsinki, Finland

Budget line 02 03 03 — European Chemicals Agency — REACH & CLP activities

07 02 05 01 — European Chemicals Agency — Biocides activities

07 02 05 02 — European Chemicals Agency — PIC activities

• Human Resources REACH & CLP

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget request
Establishment plan posts: AD	311	298	311	310
Establishment plan posts: AST	145	140	140	131
Total Establishment plan posts	456	438	451	441
Contract Agents	83	79	94	96
Seconded National Experts	13	7	15	13
Total staff	552	524	560	550

4TAs and 2CAs under recruitment

• Human Resources Biocides

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget request
Establishment plan posts: AD	11	11	38	39
Establishment plan posts: AST	0	0	9	9
Total Establishment plan posts	11	11	47	48
Contract Agents	8	6	10	11
Seconded National Experts	0	1	2	2
Total staff	19	18	59	61

1 CA under recruitment

• Human Resources PIC

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget request
Establishment plan posts: AD	1	1	1	1
Establishment plan posts: AST	2	1	4	5
Total Establishment plan posts	3	2	5	6
Contract Agents	0	0	1	1
Seconded National Experts	0	0	0	0
Total staff	3	2	6	7

• Financial Resources REACH & CPL

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	p.m.	p.m.
Other Revenue	206 569 101	116 991 847
Total revenues	206 569 101*	116 991 847**

* The revenue intended to finance the Agency's needs in 2013 amounts to 109,222,254 and the difference is the balancing reserve resulting from the yearly outturn

**The revenue intended to finance the Agency's needs in 2014 amounts to 102,883,500 and the difference is the balancing reserve resulting from the yearly outturn

Expenditure	2013		2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1	70 392 900	70 392 900	65 005 500	65 005 500
Title 2	14 874 200	14 874 200	13 898 000	13 898 000
Title 3	23 604 324	23 955 154	23 900 000	23 980 000
Title 9	97 346 847	97 346 847	14 108 347	14 108 347
Total expenditure	206 218 271*	206 569 101*	116 911 847**	116 991 847**

*The actual expenditure is CA 108,871,424 and PA 109,222,254. Title 9 contains the balancing reserve resulting from the yearly outturn

**The actual expenditure is CA 102,803,500 and PA 102,883,500. Title 9 contains the balancing reserve resulting from the yearly outturn

- Financial Resources Biocides

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	6 070 500	5 064 194
Other Revenue	1 150 000	4 328 000
Total revenues	7 220 500	9 392 194

Expenditure	2013		2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1	4 116 700	4 116 700	6 207 394	6 207 394
Title 2	862 600	862 600	1 440 000	1 440 000
Title 4	2 241 200	2 241 200	1 744 800	1 744 800
Total expenditure	7 220 500	7 220 500	9 392 194	9 392 194

- Financial Resources PIC

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	1 561 500	1 297 224
Other Revenue	p.m.	p.m.
Total revenues	1 561 500	1 297 224

Expenditure	2013		2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1	505 400	505 400	533 424	533 424
Title 2	121 000	121 000	171 800	171 800
Title 3	935 100	935 100	592 000	592 000
Total expenditure	1 561 500	1 561 500	1 297 224	1 297 224

2. Human resources

2.1. Establishment plan posts

2.1.1. Establishment plan posts REACH & CLP

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		2		1		1		3		3
AD 13		11		6		11		13		13
AD 12		23		15		22		23		23
AD 11		25		18		27		28		28
AD 10		30		24		30		28		28
AD 9		42		34		47		45		45
AD 8		39		32		51		49		49
AD 7		47		58		46		42		42
AD 6		63		45		68		68		67
AD 5		28		64		7		11		11
AD total		311		298		311		311		310
AST 11										
AST 10		1				1		1		1
AST 9		5		2		6		7		7
AST 8		7		3		7		10		10
AST 7		14		6		15		13		13
AST 6		20		9		23		19		18
AST 5		27		18		31		26		25
AST 4		28		28		33		24		22
AST 3		21		38		9		17		17
AST 2		17		14		14		13		13
AST 1		5		22		1		5		5
AST total		145		140		140		135		131
TOTAL		456		438		451		446		441
GRAND TOTAL		456		438		451		446		441

- 4 TAs under recruitment

2.1.2. Establishment plan posts BIOCIDES

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14										
AD 13						1		1		1
AD 12		1		1		2		2		2
AD 11		1		1		3		3		3
AD 10		1				4		4		4
AD 9		1		1		7		7		7
AD 8		3		4		12		12		12
AD 7		2		2		5		6		6
AD 6		2		1		4		4		4
AD 5				1						
AD total		11		11		38		39		39
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						1		1		1
AST 6										
AST 5						2		2		2
AST 4						3		3		3
AST 3						3		3		3
AST 2										
AST 1										
AST total		0		0		9		9		9
TOTAL		11		11		47		48		48
GRAND TOTAL	11		11		47		48		48	

2.1.3. Establishment plan posts PIC

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9										
AD 8		1				1		1		1
AD 7				1						
AD 6										
AD 5										
AD total		1		1		1		1		1
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1				2		2		2
AST 6										
AST 5										
AST 4										
AST 3		1		1		2		3		3
AST 2										
AST 1										
AST total		2		1		4		5		5
TOTAL		3		2		5		6		6
GRAND TOTAL		3		2		5		6		6

2.2. External personnel (REACH / CLP / BIOCIDES / PIC)

2.2.1. External personnel REACH & CLP

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	18	15	23	24
Function Group III	43	42	48	49
Function Group II	19	18	20	20
Function Group I	3	4	3	3
Total	83	79	94	96

2 CAs under recruitment

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	13	7	15	13

(*) Estimate on the basis of average costs.

2.2.2. External personnel BIOCIDES

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	5	1	7	8
Function Group III		3		
Function Group II	3	2	3	3
Function Group I		0		
Total	8	6	10	11

1 CA under recruitment

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total		1	2	2

(*) Estimate on the basis of average costs.

2.2.3. External personnel PIC

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV			1	1
Function Group III				
Function Group II				
Function Group I				
Total			1	1

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total				

(*) Estimate on the basis of average costs.

3. Financial Resources

3.1. Revenues

Revenues - REACH /CLP

REVENUES	2012	2013	2014		VAR 2014/ 2013
	Executed Budget	Revenues estimated by the agency	Agency request	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	26 611 825	38 371 640	18 595 000	18 595 000	-51,54%
balancing reserve from previous years' surplus	230 198 367	164 658 137	97 346 847	97 346 847	-87,21%
2. EU CONTRIBUTION					
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	185 676	114 324			
5 ADMINISTRATIVE OPERATIONS	3 912 675	3 425 000	1 050 000	1 050 000	-69,34%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	260 908 542	206 569 101	116 991 847	116 991 847	-43,46%

Revenues - Biocides

REVENUES	2012	2013	2014		VAR 2014/ 2013
	Executed Budget	Revenues estimated by the agency	Agency request	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES		150 000	4 200 000	4 200 000	2 700,00%
2. EU CONTRIBUTION	2 728 440	6 070 500	5 064 194	5 064 194	-16,58%
of which fresh appropriations			5 023 252	5 023 252	
of which assigned revenues deriving from previous years' surpluses			40 942	40 942	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)			128 000	128 000	
Of which EFTA			128 000	128 000	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	500 000				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT		1 000 000			
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	3 228 440	7 220 500	9 392 194	9 392 194	30,08%

Revenues - PIC

REVENUES	2012	2013	2014		VAR 2014/ 2013
	Executed Budget	Revenues estimated by the agency	Agency request	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	1 455 600	1 561 500	1 297 224	1 297 224	-16,92%
of which fresh appropriations			1 285 735	1 285 735	
of which assigned revenues deriving from previous years' surpluses			11 489	11 489	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	1 455 600	1 561 500	1 297 224	1 297 224	-16,92%

3.2. Expenditure

Expenditure - REACH /CLP

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	58 350 188	70 392 900	65 330 000	65 005 500	-7,65%
11 Salaries & allowances	53 835 172	65 798 200	61 100 000	60 775 500	-7,63%
- of which establishment plan posts	49 896 414	60 934 400	55 239 000	54 933 000	-9,85%
- of which external personnel	3 938 758	4 863 800	5 861 000	5 842 500	+20,12%
12 Expenditure relating to Staff recruitment	1 086 464	1 052 600	1 000 000	1 000 000	-5,00%
13 Mission expenses	45 000	47 100	50 000	50 000	6,16%
14 Socio-medical infrastructure	583 595	816 200	600 000	600 000	-26,64%
15 Training	1 452 472	1 297 800	1 200 000	1 200 000	-7,54%
16 External Services	1 342 750	1 348 000	1 350 000	1 350 000	0,15%
17 Receptions and events	4 735	33 000	30 000	30 000	-9,09%
Title 2 - Infrastructure and operating expenditure	12 933 398	14 874 200	13 898 000	13 898 000	-6,56%
20 Rental of buildings and associated costs	7 843 763	8 969 800	8 400 000	8 400 000	-6,35%
21 Information and communication technology	4 290 962	5 061 700	4 715 000	4 715 000	-6,85%
22 Movable property and associated costs	210 854	372 700	347 000	347 000	-6,90%
23 Current administrative expenditure	582 720	463 000	430 000	430 000	-7,13%
24 Postage / Telecommunications					0%
25 Meeting expenses	5 100	7 000	6 000	6 000	-14,29%
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	19 997 674	23 604 324	23 900 000	23 900 000	1,25%
3003 Registration, datasharing and dissemination	795 987,53	1 247 000	800 000	800 000	-35,85%
3004 Evaluation	2 221 061	2 191 000	3 000 000	3 000 000	36,92%
3005 Authorisations and restrictions	460 316	834 800	900 000	900 000	7,81%
3006 Classification and labelling	155 429	178 000	180 000	180 000	1,12%
3007 Advice and assistance through guidance and helpdesk	144 740	277 800	300 000	300 000	7,99%
3008 Scientific IT tools	8 318 228	10 268 800	10 000 000	10 000 000	-2,62%
3009 Scientific and technical advice to EU institutions	327 456	230 800	300 000	300 000	29,98%
3011 Committees and Forum	1 097 168	1 563 400	1 600 000	1 600 000	2,34%
3012 Board of appeal	55 977	105 000	120 000	120 000	14,29%
3013 Communications including translations	4 940 867	4 053 800	4 000 000	4 000 000	-1,33%
3014 International Cooperation					
3022 Management Board and management of the Agency	782 305	1 516 600	1 500 000	1 500 000	-1,09%
3030 Missions	438 146	650 000	600 000	600 000	-7,69%
3031 External training					n/a
3801 Cooperation with international organisations	209 828	440 000	600 000	600 000	36,36%
3901 IPA programmes 2009/214-524	3 564	0	0	0	n/a
3902 IPA programmes 2012/291-934	46 600	47 324	0	0	-100,00%
Title 9 – Reserve	164 658 137	97 346 847	13 783 847	14 108,347	-85,51%
TOTAL EXPENDITURE before the reserve	91 281 260	108 871 424	103 128 000	102 803 500	-5,57%
TOTAL EXPENDITURE with the reserve	n/a	206 218 271	116 911 847	116 911 847	-43,36%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	58 350 188	70 392 900	65 330 000	65 005 500	-7,65%
11 Salaries & allowances	53 835 172	65 798 200	61 100 000	60 775 500	-7,63%
- of which establishment plan posts	49 897 712	60 934 400	55 239 000	54 933 000	-9,85%
- of which external personnel	3 937 460	4 863 800	5 861 000	5 842 500	20,12%
12 Expenditure relating to Staff recruitment	1 086 464	1 052 600	1 000 000	1 000 000	-5,00%
13 Mission expenses	45 000	47 100	50 000	50 000	6,16%
14 Socio-medical infrastructure	583 595	816 200	600 000	600 000	-26,64%
15 Training	1 452 472	1 297 800	1 200 000	1 200 000	-7,54%
16 External Services	1 342 750	1 348 000	1 350 000	1 350 000	0,15%
17 Receptions and events	4 735	33 000	30 000	30 000	-9,09%
Title 2 - Infrastructure and operating expenditure	12 933 398	14 874 200	13 898 000	13 898 000	-6,56%
20 Rental of buildings and associated costs	7 843 763	8 969 800	8 400 00	8 400 000	-6,35%
21 Information and communication technology	4 290 962	5 061 700	4 715 000	4 715 000	-6,85%
22 Movable property and associated costs	210 854	372 700	347 000	347 000	-6,90%
23 Current administrative expenditure	582 720	463 000	430 000	430 000	-7,13%
24 Postage / Telecommunications					0,00%
25 Meeting expenses	5 100	7 000	6 000	6 000	-14,29%
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	11 162 062	23 955 154	23 980 000	23 980 000	0,10%
3003 Registration, datasharing and dissemination	458 134	1 247 000	800 000	800 000	-35,85%
3004 Evaluation	369 876	2 191 000	3 000 000	3 000 000	36,92%
3005 Authorisations and restrictions	126737	834 800	900 000	900 000	7,81%
3006 Classification and labelling	88 207	178 000	180 000	180 000	1,12%
3007 Advice and assistance through guidance and helpdesk	144 740	277 800	300 000	300 000	7,99%
3008 Scientific IT tools	4 614 386	10 268 800	10 000 000	10 000 000	-2,62%
3009 Scientific and technical advice to EU institutions	93 283	230 800	300 000	300 000	29,98%
3011 Committees and Forum	801 771	1 563 400	1 600 000	1 600 000	2,34%
3012 Board of appeal	27 484	105 000	120 000	120 000	14,29%
3013 Communications including translations	3 319 816	4 053 800	4 000 000	4 000 000	-1,33%
3014 International Cooperation					
3022 Management Board and management of the Agency	464 317	1 516 600	1 500 000	1 500 000	-1,09%
3030 Missions	349 556	650 000	600 000	600 000	-7,69%
3031 External training		0	0	0	n/a
3801 Cooperation with international organisations	300 191	790 830	680 000	680 000	-14,01%
3901 IPA programme 2009/214-524	3 564	0	0	0	n/a
3902 IPA programme 2012/291-934	0	47 324	0	0	-100,00%
Title 9 – Reserve	164 658 137	97 346 847	13 783 847	14 108 347	-85,51%
TOTAL EXPENDITURE before the reserve	79 489 390	109 222 254	103 208 000	102 883 500	-5,80%
TOTAL EXPENDITURE with the reserve	n/a	206 569 101	116 991 847	116 991 847	-43,36%

Expenditure - Biocides

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	913 573	4 116 700	6 207 394	6 207 394	50,79%
11 Salaries & allowances	720 207	3 455 300	5 090 000	5 090 000	47,31%
- of which establishment plan posts	600 255	2 794 900	4 374 500	4 374 500	56,52%
- of which external personnel	119 952	660 400	715 500	715 500	8,34%
12 Expenditure relating to Staff recruitment	167 581	240 000	175 000	175 000	-27,08%
13 Mission expenses	0	2 500	5 000	5 000	100%
14 Socio-medical infrastructure	1 127	34 400	190 394	190 394	453,47%
15 Training	13 125	56 200	109 000	109 000	93,95%
16 External Services	11 534	326 500	635 000	635 000	94,49%
17 Receptions and events	0	1 800	3 000	3 000	66,67%
Title 2 - Infrastructure and operating expenditure	222 416	862 600	1 440 000	1 440 000	66,94%
20 Rental of buildings and associated costs	154 791	529 100	845 000	845 000	59,71%
21 Information and communication technology	44 271	295 500	527 000	527 000	78,34%
22 Movable property and associated costs	16 932	19 900	34 000	34 000	70,85%
23 Current administrative expenditure	6 023	18 100	33 000	33 000	82,32%
24 Postage / Telecommunications					
25 Meeting expenses	400	0	1 000	1 000	
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 4 - Operational expenditure	2 483 527	2 241 200	1 744 800	1 744 800	-22,15%
4000 Substances, products and technical equivalence	0	0	15 000	15 000	n/a
4003 Submissions, datasharing and dissemination	0	15 900	16 000	16 000	0,63%
4007 Advice and assistance through guidance and helpdesk	0	67 500	60 000	60 000	-11,11%
4008 Scientific IT tools	1 421 025	1 278 700	537 000	537 000	-58%
4009 Scientific and technical advice to EU institutions and bodies	10 000	17 900	15 000	15 000	-16,20%
4011 Biocidal products Committee and Rapporteurs	0	224 500	500 000	500 000	122,72%
4012 Board of Appeal	0	14 300	15 000	15 000	4,90%
4013 Communications including Translations	62 132	404 500	306 800	306 800	-24,15%
4022 Management Board and management of the Agency	24 224	92 500	150 000	150 000	62,16%
4030 Missions	28 950	85 500	60 000	60 000	-29,82%
4031 External training	0	0	20 000	20 000	n/a
4801 Cooperation with international organisations for IT programmes	9 183	39 900	50 000	50 000	25,31%
4900- Earmarked operations	928 013				
TOTAL EXPENDITURE	3 619 516	7 220 500	9 392 194	9 392 194	30,08%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	913 573	4 116 700	6 207 394	6 207 394	50,79%
11 Salaries & allowances	720 207	3 455 300	5 090 000	5 090 000	47,31%
- of which establishment plan posts	600 255	2 794 900	4 374 500	4 374 500	56,52%
- of which external personnel	119 952	660 400	715 500	715 500	8,34%
12 Expenditure relating to Staff recruitment	167 581	240 000	175 000	175 000	-27,08%
13 Mission expenses	0	2 500	5 000	5 000	100%
14 Socio-medical infrastructure	1 127	34 400	190 394	190 394	453,47%
15 Training	13 125	56 200	109 000	109 000	93,95%
16 External Services	11 534	326 500	635 000	635 000	94,49%
17 Receptions and events	0	1 800	3 000	3 000	66,67%
Title 2 - Infrastructure and operating expenditure	222 416	862 600	1 440 000	1 440 000	66,94%
20 Rental of buildings and associated costs	154 791	529 100	845 000	845 000	59,71%
21 Information and communication technology	44 271	295 500	527 000	527 000	78,34%
22 Movable property and associated costs	16 932	19 900	34 000	34 000	70,85%
23 Current administrative expenditure	6 023	18 100	33 000	33 000	82,32%
24 Postage / Telecommunications					
25 Meeting expenses	400	0	1 000	1 000	
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 4 - Operational expenditure	2 483 527	2 241 200	1 744 800	1 744 800	-22,15%
4000 Substances, products and technical equivalence	0	0	15 000	15 000	n/a
4003 Submissions, datasharing and dissemination	0	15 900	16 000	16 000	0,63%
4007 Advice and assistance through guidance and helpdesk	0	67 500	60 000	60 000	-11,11%
4008 Scientific IT tools	1 426 336	1 278 700	537 000	537 000	-58%
4009 Scientific and technical advice to EU institutions and bodies	10 000	17 900	15 000	15 000	-16,20%
4011 Biocidal products Committee and Rapporteurs	0	224 500	500 000	500 000	122,72%
4012 Board of Appeal	0	14 300	15 000	15 000	4,90%
4013 Communications including Translations	62 404	404 500	306 800	306 800	-24,15%
4022 Management Board and management of the Agency	24 953	92 500	150 000	150 000	62,16%
4030 Missions	28 950	85 500	60 000	60 000	-29,82%
4031 External training	0	0	20 000	20 000	n/a
4801 Cooperation with international organisations for IT programmes	10 900	39 900	50 000	50 000	25,31%
4900- Earmarked operations	877 085				
TOTAL EXPENDITURE	2 279 644	7 220 500	9 392 194	9 392 194	30,08%

Expenditure - PIC

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	61 699	505 400	533 424	533 424	5,54 %
11 Salaries & allowances	57 749	417 800	450 000	450 000	7,71%
- of which establishment plan posts	57 749	380 800	395 000	395 000	3,73%
- of which external personnel	0	37 000	55 000	55 000	48,65%
12 Expenditure relating to Staff recruitment	3 950	66 000	50 800	50 800	-23,03%
13 Mission expenses		400	400	400	0%
14 Socio-medical infrastructure		5 400	20 024	20 024	270,81%
15 Training		11 000	8 500	8 500	-22,73%
16 External Services		4 800	3 700	3 700	22,92%
17 Receptions and events		p.m.	p.m.	p.m.	n/a
Title 2 - Infrastructure and operating expenditure	7 958	121 000	171 800	171 800	41,98%
20 Rental of buildings and associated costs		71 300	101 200	101 200	41,94%
21 Information and communication technology	7958	46 500	66 000	66 000	41,94%
22 Movable property and associated costs		1 000	1 500	1 500	50%
23 Current administrative expenditure		2 200	3 100	3 100	40,91%
24 Postage / Telecommunications					
25 Meeting expenses		p.m.	p.m.	p.m.	n/a
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 5 - Operational expenditure	1 374 453	935 100	592 000	592 000	-36,69%
5000 Studies and consultants	0	100 000	100 000	100 000	0%
5007 Advice and assistance through guidance and helpdesk	0	20 000	20 000	20 000	0%
5008 Scientific IT tools	1 349 077	657 600	379 100	379 100	-42,35%
5011 Meetings with the DNAs and expert groups on PIC implementation	5 700	57 100	70 200	70 200	22,94%
5013 Communications including Translations	18 333	70 400	10 000	10 000	-85,80%
5030 Missions	1 343	30 000	10 000	10 000	-66,67%
5031 External training	0	p.m.	2 700	2 700	n/a
TOTAL EXPENDITURE	1 444 111	1 561 500	1 297 224	1 297 224	-16,92%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	57 749	505 400	533 424	533 424	5,54%
11 Salaries & allowances	57 749	417 800	450 000	450 000	7,71%
- of which establishment plan posts	57 749	380 800	395 000	395 000	3,73%
- of which external personnel	0	37 000	55 000	55 000	48,65%
12 Expenditure relating to Staff recruitment		66 000	50 800	50 800	-23,03%
13 Mission expenses		400	400	400	0%
14 Socio-medical infrastructure		5 400	20 024	20 024	270,81%
15 Training		11 000	8 500	8 500	-22,73%
16 External Services		4 800	3 700	3 700	-22,92%
17 Receptions and events		p.m.	p.m.	p.m.	n/a
Title 2 - Infrastructure and operating expenditure	7 686	121 000	171 800	171 800	41,98%
20 Rental of buildings and associated costs		71 300	101 200	101 200	41,94%
21 Information and communication technology	7 686	46 500	66 000	66 000	41,94%
22 Movable property and associated costs		1 000	1 500	1 500	50%
23 Current administrative expenditure		2 200	3 100	3 100	40,91%
24 Postage / Telecommunications					
25 Meeting expenses		p.m.	p.m.	p.m.	n/a
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 5 - Operational expenditure	118 374	935 100	592 000	592 000	-36,69%
5000 Studies and consultants	0	100 000	100 000	100 000	0%
5007 Advice and assistance through guidance and helpdesk	0	20 000	20 000	20 000	0%
5008 Scientific IT tools	111 330	657 600	379 100	379 100	-42,35%
5011 Meetings with the DNAs and expert groups on PIC implementation	5 700	57 100	70 200	70 200	22,94%
5013 Communications including Translations	0	70 400	10 000	10 000	-85,80%
5030 Missions	1 343	30 000	10 000	10 000	-66,67%
5031 External training		p.m.	2 700	2 700	n/a
TOTAL EXPENDITURE	183 809	1 561 500	1 297 224	1 297 224	-16,92%

3.3. Budget outturn

- Reach / CLP — First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A
- Biocides — First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 40 942
- PIC — First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 11,489.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

REACH & CLP

The Commission's assessment of the ECHA's needs of Human Resources in 2014 is in line with the estimates presented by the agency with at the exception of 5 temporary agents. The agency applies a reduction of 1% of establishment plan posts in its request for draft budget 2014. The Commission considers that on top of the 1% reduction applicable to all EU institutions and bodies, another 1% reduction in all agencies is necessary to create a pool for redeployment towards new tasks or start-up of new agencies. Consequently, the Commission proposes 441 posts for the establishment plan for REACH and CLP. ECHA is planning to engage 2 additional contract agents to support the tasks related to the accession of Croatia to the EU.

BIOCIDES

The Biocides activity continues to be in the start-up phase and the increase of 1 establishment plan post and a contract agent compared to 2013 is considered justified.

PIC

The PIC activity continues to be in the start-up phase and the increase of 1 establishment plan post compared to 2013 is considered justified.

4.1.2. Financial Resources

REACH & CLP

Thanks to the balancing reserve from previous years, no EU contribution is foreseen for 2014.

The estimated expenditure shows an overall reduction of 5,28% as compared to 2013 due to the synergies with the other activities of the agency and efforts to reduce costs. In the operational expenditure, the most significant increases are in the field of evaluation and scientific and technical advice to EU institutions. These increases are justified as ECHA is stepping up its efforts to finalise the evaluation dossiers from the 2010 registration deadline and to coordinate and follow-up the exercise of substance evaluation undertaken by Member States.

BIOCIDES

Due to the estimated increase in the revenues generated by fees, the balancing subsidy to be received by ECHA for the Biocides activity decreases in 2014. The estimates of ECHA are considered justified.

From 2014, ECHA (Biocides and PIC section) will pay a contribution for the use of European Schools. An increase of the Socio-medical infrastructure budget of title 1 is necessary to reflect this supplementary cost.

PIC

The estimated expenditure decreases compared to 2013, despite the increase in staff, due to the reduction of operational expenditure linked to the initial set-up of the IT tools. The estimates made by ECHA are considered correct.

From 2014, ECHA (Biocides and PIC section) will pay a contribution for the use of European Schools. An increase of the Socio-medical infrastructure budget of title 1 is necessary to reflect this supplementary cost.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

REACH & CLP

A detailed account on the Agency's REACH/CLP staffing needs is available in the Multi-annual Staff Policy Plan 2014-2016. While the Agency workload will remain high, and on certain areas still continues to increase, the Agency has to adapt to an overall reduction in staff resources in year 2014. The second registration deadline, completed in 2013, has multiple follow-up implications on various activities: a new wave of testing proposal examinations, continued implementation of ECHA's ambitious goals on compliance check supported by other specific activities to improve the quality of data submitted by industry, to reach a cruising speed in substance evaluations, continued increase in work on authorisations, the implementation of the new roadmap 2020 for identification of substances of

very high concern, with their impact on the high need for opinion-making by the committees, which all will generate competing needs for resources, in particular for scientific expertise.

The staffing policy of the Agency reflects the needs for a successful implementation of Agency's strategic objectives and management of the core activities. This implies an overall temporary agent count of 446, implying a staff reduction of 1 % from 2013.

It is estimated that 2 new contract agents will be engaged in 2014 as a consequence of Croatia's accession to the EU. This first and so far only enlargement of the EU since ECHA was established creates additional work particularly in handling translations, helpdesk queries, Croatian expert and Committee member/rapporteur attendances, Croatian dossier submissions, Croatian Member State Competent Authorities (MSCA) evaluation tasks as well as related missions and meetings.

The number of seconded national experts working in the different services of the Agency will stay unchanged or even reduced by 2.

BIOCIDES

A detailed account on the Agency's Biocides staffing needs is available in the Multi-annual Staff Policy Plan 2014-2016. Application of the new Biocidal Products Regulation starts in September 2013 and, during 2014, both the volume and range of biocides activities are further extended. This in particular includes taking over from the European Commission the coordination function of the review programme of biocidal active substances. In addition, the number of applications for alternative suppliers, for establishing technical equivalence, and for Union Authorisation is expected to increase. While main elements of ensuring that ECHA is operational to cope with its biocides responsibilities are put in place in 2013, the working methods, processes and workflows, IT and other tools, and guidance and advice to industry still need considerable further development. Based on careful analysis, and taking into account the time needed for recruitment and training of new staff, ECHA will need 1 new temporary agent post in 2014.

In addition, 1 new contract agent is needed to support the operation of the new activities.

PIC

A detailed account on the Agency's PIC staffing needs is available in the Multi-annual Staff Policy Plan 2014-2016. In March 2014 ECHA will need to become operational regarding the obligations under the PIC Regulation. ECHA will therefore need to finalise the development of IT tools and working procedures to process dossiers resulting from this legislation and then start to implement these processes. To ensure that ECHA can become operational in 2014 to carry out its new activities under the PIC Regulation ECHA would require one new temporary agent post in 2014.

4.2.2. Salary assumption for calculating salary lines (% applied)

REACH & CLP

It is estimated that there will be an overall increase of 2% in the salary costs. This assumption has been applied to all appropriations for Temporary and Contract agents' salaries. This increase also covers the expected retroactive salary adjustments, including an eventual positive change in the correction co-efficient for Finland.

BIOCIDES

Salary costs have been calculated on the basis of the average salary costs of REACH staff in 2013.

PIC

Salary costs have been calculated on the basis of the average salary costs of REACH staff in 2013.

4.2.3. Vacancy rate as of end-2012

REACH & CLP

ECHA achieved its recruitment target for REACH/CLP posts attaining a vacancy rate of 4%.

BIOCIDES

ECHA achieved its recruitment target for Biocides posts for 2012 in full, and thus the vacancy rate was 0% as of end-2012 for TAs.

PIC

For PIC, ECHA had three TA posts to be filled in 2012. Two of these were filled in at the beginning of September 2012. As there were no suitable candidates to fill in the third post, the vacancy rate is 33 %.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

n/a

4.3. *Financial Resources – Agency request*

REACH / CLP

Thanks to the balancing reserve from previous years, no EU contribution is foreseen for 2014.

BIOCIDES

The Agency estimates to collect Biocide fee revenue amounting to EUR 4,2 million in 2014. As the uncertainty related to this revenue amount, which is expected to fund 45% of the 2014 Biocides budget, is very high and based on the Commission's financial planning, the Agency requests the Commission to foresee a mechanism that secures at least EUR 1,5 million of the said amount, should the fee income not fully materialise.

PIC

The estimated expenditure decreases compared to 2013, despite the increase in staff, due to the reduction of operational expenditure linked to the initial set-up of the IT tools

4.3.1. *Title 1*

REACH / CLP

The needs for Chapter 11 amount to EUR 61,1 million representing a decrease of approximately 7%. It should be noted that the 2013 budget includes the employer's part of the pension contribution for 2013 and 5 months of 2012 (EUR 10,6 million) whereas the 2014 figure includes the pension contribution for one year only (EUR 7,6 million). A 5% staff turnover has been taken into account in calculations.

Overall, the proposed amount for Title 1 appropriations is EUR 65 330 000, representing a decrease of 7,19%. Of the total budget costs, salaries represent 94% while other staff related expenditure amount to 6%.

BIOCIDES

Total amount for staff related costs in managing Biocides is estimated at EUR 6,2 million. Out of this amount, direct salary costs represent EUR 5,1 million (82%) and other personnel costs EUR 1,1 million (18%).

PIC

Total amount for staff related costs in PIC is estimated at EUR 533 424. Out of this amount, direct salary costs represent EUR 450 000 (84%) and other personnel costs EUR 83 424 (16%).

4.3.2. *Title 2*

REACH / CLP

The Title 2 infrastructure and operating expenditure amounts to EUR 13,898,000 and represents a decrease of 6,56% compared to 2013. Despite the indexation applied to the rental expenditure, no significant infrastructure investments are foreseen for 2014. Additionally, as the staff under Biocides and PIC increases, the proportion of Title 2 overheads between the different sections of the agency changes in favour of REACH / CLP.

BIOCIDES

The administrative expenditure is calculated as a proportion of the general Title 2 overheads necessary to provide infrastructure and support to the staff employed for Biocides, using the expected average staff headcount numbers as a driver.

PIC

The administrative expenditure is calculated as a proportion of the general Title 2 overheads necessary to provide infrastructure and support to the staff employed for PIC, using the expected average staff headcount numbers as a driver.

4.3.3. *Title 3*

REACH / CLP

The majority of ECHAs operational expenditure continues to be used on the development and the maintenance of a wide range of IT systems supporting the REACH operations, corresponding to approximately 42% of the Title 3. For 2014, the following needs have been identified:

- Further maintenance and development of REACH-IT, RIPE, CASPER.
- Further development and maintenance of the Chemical Safety Assessment and Reporting tool - Chesar.
- Release of the core components of the new IT Tools generation: IUCLID 6, REACH-IT 3, Data Integration Platform and a Portal (Portal Dashboard) as single point of access to ECHA's systems.
- Enterprise Content management (ECM) programme covering additional business processes of the Agency and delivering the re-factoring of the document management system.
- Progress on the dissemination portal.

To help companies to comply with the REACH and CLP requirements, ECHA's Work programme 2013 commits the Agency to publish all material (whether online or offline) that is produced for SMEs or the general public in 23 EU languages (including Croatian). ECHA's language regime is also determined by Article 104 of REACH Regulation. Translations traditionally represent a second main part of the expenditure under Communications activity and in 2014 the needs continue to be high representing about 17% of Title 3. Apart from translation of documents targeted to SMEs and general public, ECHA will focus on the following activities:

- Communication campaigns, e.g. on the submission of registration dossiers, on the classification and labelling of mixtures, and on the authorisation process;
- Joint communication actions with EU partners and Accredited Stakeholder Organisations to support for industry, especially SMEs, in understanding their duties and the benefits from implementing the new EU chemicals legislation;
- Roll out of the new publishing tool to facilitate timely issuing and revision of ECHA's publications.

The considerable number of the dossiers that need to be processed in the fields of evaluation, authorisation and restrictions, involving increased workload in terms of number of compliance checks, testing proposals, substance evaluation draft decisions and opinions on authorisation applications and restriction proposals, and the consequent transfer of fees to the Member States lead also to a significant workload for the ECHA Committees and to related expenditure. This is reflected in the overall requested funds for these Activities that correspond to approximately 14% of the Title 3 total.

BIOCIDES

The major part of the Biocides operational budget is used for the operation of the new Biocidal Products Committee, as the workload of the Committee will start rapidly increasing from the beginning of 2014. Further development of new IT tools and upgrade of the existing IT tools that are necessary to support the Biocides operations will also take place to ensure increased efficiency of the implementation of the legislation - not only by ECHA but also by the applicants and national competent authorities. Other main parts are used for the communication activities that are needed to ensure awareness rising among industry concerning the new obligations and the role of ECHA and translations. Other costs relate for example to development of guidance, international activities, and to the management of the Agency.

PIC

The biggest part of the PIC budget for 2014 is foreseen for further development of the IT tools and more precisely for the re-design of the outdated database that supports the management of the export notifications and the import consents. Other main parts are used for meetings with national authorities, guidance to be provided as well as for related studies and consultancy services.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Helsinki	Annankatu 18, Bulevardi 7, Lnnrotinkatu 12	25 655,90	6 348 547	No	No		

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption via reimbursement	Diplomatic status to all non-Finnish Directors	European School started in autumn 2008 (EN,FR,FI).
	Protocol of Privileges and Immunities	Access to the Finnish day care system without paying social security contributions at a fee fixed at a level equivalent to that of the local population
	Exemption of vehicle surtax	

5.3. *Evaluation*

According to the article 75(2) of the REACH Regulation, “the Agency shall be subject to a review by June 2012”. An evaluation of ECHA was carried out in 2011 and a final report on the review of ECHA conducted by PriceWaterhouse was available in March 2012. The main findings of the report are as follows:

- ECHA had a good start-up as an organisation and implemented most of its REACH and CLP tasks efficiently
- ECHA has met most of its key objectives
- ECHA has responded with flexibility to changing external circumstances
- ECHA’s overall efficiency was reduced by complementary activities that often increased effectiveness
- ECHA has successfully involved all stakeholders, but it could have done more to ensure its perceived independence

The report is available in: http://ec.europa.eu/enterprise/sectors/chemicals/documents/reach/review2012/echa_en.htm]

2.2.1.1.2. European GNSS Agency (GSA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 683/2008 of the European Parliament and of the Council	09/07/2008	Regulation on the further implementation of the European satellite navigation programmes (EGNOS and Galileo).
Regulation (EC) No 912/2010 of the European Parliament and of the Council setting up the European GNSS Agency, repealing Council Regulation (EC) No 1321/2004 on the establishment of structures for the management of the European satellite radio navigation programmes and amending Regulation (EC) No 683/2008 of the European Parliament and of the Council	22/09/2010	To bring the provisions of Council Regulation No 1321/2004 in line with those of Regulation (EC) No 683/2008. New task: the operation of the Galileo security centre. To set up the European GNSS Agency.
New GNSS Regulation COM(2011) 814 – Adopted by the College of Commissioners in November 2011 and currently under examination by Council and European Parliament	November 2011	New governance for the exploitation phase of the GNSS programmes. GSA to become responsible for the exploitation of EGNOS and Galileo as from 01/01/2014. Preparatory actions are required in 2013
Commission proposal for a Regulation of the European Parliament and of the Council COM(2013) 40 amending Regulation (EU) No 912/2010 setting up the European GNSS Agency – Adopted by the College of Commissioners in February 2013 and currently under examination by Council and European Parliament.	February 2013	

Seat Prague as headquarters (relocation from Brussels in September 2012), St. Germain en Laye in France and Swanwick in the UK for the Galileo Security Monitoring Centres (GSMC) team.

Budget line 02 05 11 — European GNSS Agency (GSA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	39	39	52	91
Establishment plan posts: AST	5	5	5	5
Total Establishment plan posts	44	44	57*	96
Contract Agents	13	33	13	23
Seconded National Experts	4	3	4	2
Total staff	61	80	74	121

* Draft amending budget 4/2013 with 20 additional posts in 2013 has not been included, subject to the decision by the Budget Authority. Total number of statutory posts in 2013 will increase from 57 to 77 in 2013 in line with the Commission proposal and GSA new Regulation.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	12 409 000	24 651 117
Other revenue	314 518	690 231
Total revenues	12 723 518	25 341 348

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	7 532 795	7 532 795		
Title 2	2 130 000	2 130 000		
Title 3	3 060 723	3 060 723		
Total expenditure	12 723 518	12 723 518	25 341 348	25 341 348

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12				1		1		3		3
AD 11		3		3		3		3		3
AD 10		3		2		2		5		5
AD 9		4		4		6		10		10
AD 8		6		7		5		23		23
AD 7		17		15		23		38		37
AD 6		4		4		8		7		7
AD 5		1		2		3		2		2
AD total		39		39		52		92		91
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		2		1		2		2		2
AST 4		1		1		1		1		1
AST 3		2		2		1		1		1
AST 2				1		1		1		1
AST 1										
AST total		5		5		5		5		5
TOTAL		44		44		57		97		96
GRAND TOTAL	44		44		57		97		96	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	13	20	22	19
Function Group III		13	13	4
Function Group II				
Function Group I				
Total	13	33	35	23

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	4	3	3	2

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	Agency request	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	12 493 632	12 409 000	25 246 149	24 651 117	
of which fresh appropriations	12 493 632	11 700 000	25 182 032	24 587 000	
of which assigned revenues deriving from previous years' surpluses		709 000	64 117	64 117	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)		314 518	706 892	690 231	
Of which EFTA	245 016	314 518	706 892	690 231	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	12 738 648	12 723 518	25 953 041	25 341 348	99%

Draft Amending Budget 4/2013 for an amount of EUR 1,75 million has not been included in the 2013 estimated revenues which corresponds to 20 additional posts. The increased contribution to the GSA will be financed by internal redeployment of resources within the existing budgetary envelope of the Galileo programme.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 645 755	7 532 795	13 227 552		
11 Salaries & allowances	4 945 191	6 539 795	11 994 552		
- of which establishment plan posts					
- of which external personnel					
12 Expenditure relating to Staff recruitment	107 000	70 000	150 000		
13 Mission expenses	519 252	820 000	900 000		
14 Socio-medical infrastructure					
15 Training	72 206	100 000	180 000		
16 External Services					
17 Receptions and events	2 106	3 000	3 000		
Title 2 - Infrastructure and operating expenditure	4 587 530	2 130 000	5 804 480		
20 Rental of buildings and associated costs	831 505	940 000	2 277 000		
21 Information and communication technology	2 608 500	525 000	2 199 800		
22 Movable property and associated costs	258 929	150 000	385 000		
23 Current administrative expenditure	788 352	410 000	720 000		
24 Postage / Telecommunications	50 000	50 000	157 680		
25 Meeting expenses	50 244	55 000	65 000		
Title 3 - Operational expenditure	2 505 363	3 060 723	6 921 009		
31 Operations and studies	1 155 363	1 010 723	4 821 009		
33 Security Accreditation expenditure	1 350 000	1 350 000	1 400 000		
34 Galileo Security Monitoring Centres (GSMCs)		700 000	700 000		
TOTAL EXPENDITURE	12 738 648	12 723 518	25 953 041	25 341 348	+99%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 645 755	7 532 795	13 227 552		
11 Salaries & allowances	4 945 191	6 539 795	11 994 552		
- of which establishment plan posts					
- of which external personnel					
12 Expenditure relating to Staff recruitment	107 000	70 000	150 000		
13 Mission expenses	519 252	820 000	900 000		
14 Socio-medical infrastructure					
15 Training	72 206	100 000	180 000		
16 External Services					
17 Receptions and events	2 106	3 000	3 000		
Title 2 - Infrastructure and operating expenditure	4 587 530	2 130 000	5 804 480		
20 Rental of buildings and associated costs	831 505	940 000	2 277 000		
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22 Movable property and associated costs	258 929	150 000	385 000		
23 Current administrative expenditure	788 352	410 000	720 000		
24 Postage / Telecommunications	50 000	50 000	157 680		
25 Meeting expenses	50 244	55 000	65 000		
Title 3 - Operational expenditure	2 505 363	3 060 723	6 921 009		
31 Operations and studies	1 155 363	1 010 723	4 821 009		
33 Security Accreditation expenditure	1 350 000	1 350 000	1 400 000		
34 Galileo Security Monitoring Centres (GSMCs)		700 000	700 000		
TOTAL EXPENDITURE	12 738 648	12 723 518	25 953 041	25 341 348	+99%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 64 117.

4. Justification of needs

4.1. Human Resources – Agency request

4.1.1. Number of staff requested

The number of staff requested for 2014 follows the proposal for a Regulation COM(2013) 40. The higher numbers in terms of recruitment are required to manage the additional European Commission delegated tasks stipulated in the new GNSS Regulation COM(2011) 814 currently under discussion by the European Parliament and the Council (formal adoption expected in the second half of 2013).

Reasons for the increase in the number of total staff in 2012-2013:

- In addition to the own operational budget of the GSA, the Agency will manage, in 2013, approximately EUR 92 million of contracts and grants delegated by the Commission.
- Another reason was the relocation of the Agency's Headquarters to Prague, Czech Republic (1 September 2012). The increase in staff was dedicated to prepare and implement the actual move (especially in terms of IT, Logistic and Liaison staff) but also to provide continuation of service once the relocation had been finalised. From 2014, the delegated tasks will grow significantly as the Agency will be entrusted with the exploitation of the GNSS programmes (EGNOS and Galileo).

Negotiations between the GSA and the European Commission started in 2013 in order to agree on a new multi-annual delegation agreement. The amount delegated to the GSA in 2014 for the GNSS exploitation on the period 2014-2020 could reach an amount higher than EUR 1 billion. The staff requested in 2014 is needed to manage these new commitments.

4.1.2. Salary assumption for calculating salary lines (% applied)

Salary assumptions are based on existing real costs of the GSA per grade and staff. Coefficients for the place of living have also been applied for the staff located in France, United Kingdom and the Czech Republic (Eurostat).

A significant part of the salary costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of EU Officials.

4.1.3. Vacancy rate as of end-2012

All staff has been recruited by the end of 2012 as per the establishment plan.

4.1.4. Standard abatement ("abatement forfaitaire") applied

Already at the end of 2012 and in 2013 the past high level of rotation of staff has been minimised, and staff joining and staying at the Agency are seeking long term careers within the GSA.

For the calculation of costs of new recruitments, a detailed analysis per individual staff member has been carried out. As an average, the Agency estimates 1 June 2014 as the date of entry into service for all new staff.

4.2. Financial Resources – Agency request

4.2.1. Title 1

The proposal for a new GNSS Regulation (adopted by the Commission in November 2011 and to be adopted by the Legislative Authority in 2013) puts forward a new governance structure for EGNOS and Galileo, under which the GSA needs to be sufficiently staffed. Therefore the agency is expected to grow with 20 more temporary agents in 2014 for the GNSS exploitation and to implement the security measures of the programs. The main increase under title 1 concerns the related salaries increase.

The calculated amounts take into account an indexation to absorb any salary adjustment of previous years.

Security activities	GNSS exploitation (delegated activity from the European Commission)	Other GSA activities (promotion and commercialisation of Galileo, horizontal units)
+6 temporary agents	+15 temporary agents	-1 temporary agent
Growth foreseen in the revised GSA legislative financial statement and in line with the original project implementation plan	Growth foreseen in the revised GSA legislative financial statement and based on external consultancy advice of the Commission	Zero growth in terms of establishment plan

4.2.2. Title 2

Out of the EUR 5 804 480 requested on title 2 for 2014, approximately EUR 3 million corresponds to costs linked to the set-up and functioning of the Galileo Security Monitoring Centres (GSMCs) in St. Germain-en-Laye (France) and Swanwick (United Kingdom). The set-up of the GSMCs started in late 2012 and will be finalised in 2015. In terms of expenditure, 2014 will be the crucial year to set up most of the required IT/logistics and security systems. The GSMCs are a core part of the Galileo System, responsible for detecting and responding to events impacting on the security of Galileo. This investment in security and IT equipment, apart from the usual running costs of the premises for the full year of operations, is required to guarantee Galileo services to Member States, EU citizens and business.

The remaining EUR 2,8 million represents all central and overhead costs of the Agency for the Prague headquarters, Brussels and common administrative expenditure of all locations. Important savings have been made by internalising (via an increase in contractual agents) some tasks which were handled by external contractors (mostly IT management). New IT applications have been set up to limit at the minimum the rising costs of an Agency with different locations. The agency budget includes provisions for the continued renting of office space in Brussels into 2014. The request takes into consideration the hand-over of operational responsibility for Galileo and EGNOS from the Commission to the GSA. During an initial period, tight interaction will be required between the GSA exploitation team and the Commission's current teams. According to the GSA the most effective arrangement for this coordination is to locate a part of the GSA's team in Brussels for a transitional phase.

A 1% reduction of the regular administrative costs has been already applied for 2014 in the GSA revised financial statement attached to the proposed GSA Regulation.

4.2.3. Title 3

1. In relation to Galileo Security Accreditation activities, out of a total budget request of EUR 1 400 000, approximately EUR 300 000 is foreseen to support Member States via reimbursements for attendance at meetings and missions for Security Accreditation and Flight Key Cell actions. Furthermore, as in 2012 and 2013, a new accreditation support contract needs to be in place to perform the technical activities linked to security accreditation processes and, in particular, to the on-going preparation of the coming Galileo system accreditation milestones, e.g. Authorisations to Launch (ATL). This includes:
 - Review of technical security documentation
 - Analysis of system security risks and of risk treatment plans
 - Analysis of the compliance to the system security requirements
 - Contribution to drafting the accreditation documents and reports
 - Contribution to of independent security test plans and procedures
 - Support for site accreditation

Without this contract the GSA accreditation team will not be able to provide the expected support to the Security Accreditation Board, as stipulated by Regulation 912/2010. In comparison with 2013 (EUR 1 350 000) the operational costs required in 2014 for Security Accreditation remains stable, with a marginal increase of 3,7% due to higher reimbursements to Member States for Flight Key Cell activities.

2. For the Galileo Security Monitoring Centres (GSMCs), an operational support contract is required in 2014 (EUR 700 000, same amount as in 2013) to assist the GSA Team in the gradual deployment of the GSMCs, which is to be fully achieved only by 2015. This contract is crucial to ensure an appropriate configuration of the operational security of Galileo, and to set up a quality interface with Member States accessing the services provided by the GSMCs. Specifically this contract is needed for the following essential actions:
 - Operational Engineering of the Galileo Security Monitoring Centres (GSMC)
 - Technical, Security and Administrative Engineering of the GSMC
 - Validation and Test Campaigns of the GSMC
 - GSMC Training and Knowledge Management
3. For the Public Regulated Service (PRS), the operation expenditure budget, EUR 700 000, is needed to support all the activities entitled to the PRS team not covered by any Delegation Agreement. These activities include:
 - Support to the European Commission in the preparation, set-up, and management of PRS Pilot Projects;
 - Support to the Commission on GNSS Security Board and related Working Groups: according to the “Agreement in the form of Exchange of Letters on a Working Arrangement for the execution of security related tasks of the European GNSS programmes between the European Commission and the European GNSS Agency”, the PRS team is asked to support the European Commission in several Working Groups.
 - Support to the marketing and awareness activities related to PRS adoption within MS and user communities.

All other items of operational expenditure under which the Agency has a mandate, such as market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, are expected to increase significantly in line with the GNSS programme milestones for 2014 representing EUR 4,121 million. The main ones are:

- Ensure market readiness for the launch of Galileo early services, including support for Galileo Service Centre.
- Implement market development actions focused on receiver manufacturers and early adopters, to foster Galileo penetration in consumer and professional markets (Open service, Commercial service, Search & rescue). Continue implementation of adoption roadmaps for EGNOS in all priority markets with special focus on aviation, maritime and rail.
- Update market information system: market monitoring tool and technology knowledge base on key players in the value chain, publishing the main GNSS global market report in the field.

All these objectives and milestones for 2014, together with the gradual deployment of Galileo, will require a more intense communication and promotion activities towards industry and all GNSS stakeholders.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Paris (FR)	To be built by France	900 sq.m.	120 000	Rent	No		No rent to be paid. GSA to cover all related admin costs, IT and infrastructure equipment
Swanwick (UK)	NATS	300 sq.m.	320 000	Rent	No		No rent to be paid. GSA to cover all related admin costs, IT and infrastructure equipment
Prague headquarters (CZ)	Janoskevho 438/2	3 680 sq.m.	1 EUR	Yes	No		1 EUR rental fee for the first five years of the GSA in Prague. GSA to pay all related admin costs, IT and infrastructure equipment

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Prague: VAT exemption	Headquarters agreement granting VAT exemption but not diplomatic status for staff (except for the Executive Director)	Local infrastructures are used for medical care and multi-lingual tuition
St. Germain en-Laye and Swanwick Negotiations on-going		Local infrastructures are used for medical care and multi-lingual tuition

5.3. Evaluation

An evaluation of the agency is expected to be submitted to the Budgetary Authority by 2015, although this is subject to the final adoption of the revised GSA Regulation COM (2013) 40.

2.2.1.1.3. European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions	26/05/1975	Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time
Extension of activities	1977	Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board
Extension of activities	2001	Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board
Modification to Founding Regulation	08/06/2003	Amendments to various administrative procedures and adjustment to new Financial Regulation
Modification to Founding Regulation	08/06/2003	Modification to governance provisions; adjustment to new Staff Regulations

Seat Dublin, Ireland

Budget line 04 03 11 — European Foundation for the Improvement of Living and Working Conditions

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	50	48	50	51
Establishment plan posts: AST	51	50	51	48
Total Establishment plan posts	101	98	101	99
Contract Agents	14	12	14	14
Seconded National Experts	1	0	0	0
Total staff	116	110	115	113

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 371 000	20 371 000
Other revenue	360 000	379 000
Total revenues	20 731 000	20 750 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	11 912 000	11 912 000		
Title 2	1 510 000	1 510 000		
Title 3	7 309 000	7 309 000		
Total expenditure	20 731 000	20 731 000	20 750 000	20 750 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		2		1		1		2		2
AD 13		2		3		3		3		3
AD 12	1	5	1	1	1	3	2	3	2	3
AD 11	1	5	1	6	2	5	1	5	1	5
AD 10	2	4	1	2	1	4	1	4	1	4
AD 9		3	1	3		3	1	3	1	3
AD 8	1	5	1	2	1	4	1	6	1	6
AD 7	2	7	1	7	2	5	1	6	1	6
AD 6	1	4	1	5	1	6	1	6	1	6
AD 5		5	1	10		8		5		5
AD total	8	42	8	40	8	42	8	43	8	43
AST 11										
AST 10		2		2		2		2		2
AST 9		4		3		5		5		5
AST 8		4		3		5		6		6
AST 7		7		5		7		8		8
AST 6	1	8		10	2	6	2	4	2	4
AST 5	2	4	2	3	3	5	4	6	4	6
AST 4	4	6	3	3	4	5	2	3	2	3
AST 3	2	4	1	6		3	1	2	1	2
AST 2		1				1		1		1
AST 1	2		5	4	2	1	1	1	1	1
AST total	11	40	11	39	11	40	10	38	10	38
TOTAL	19	82	19	79	19	82	18	81	18	81
GRAND TOTAL	101		98		101		99		99	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3	3	3	3
Function Group III	3	2	3	3
Function Group II	6	5	6	6
Function Group I	2	2	2	2
Total	14	12	14	14

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	1			

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	20 384 000	20 371 000	20 371 000	20 371 000	
of which fresh appropriations	20 288 322	20 115 000	19 854 000	19 854 000	
of which assigned revenues deriving from previous years' surpluses	95 678	256 000	517 000	517 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	604 736	200 000	200 000	200 000	
5 ADMINISTRATIVE OPERATIONS	248 877				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	57 444	160 000	179 000	179 000	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	21 295 057	20 731 000	20 750 000	20 750 000	+0,1 %

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	11 224 325	11 912 000	12 000 000		
11 Salaries & allowances	9 865 080	10 441 000	10 586 000		
- of which establishment plan posts	9 595 212	10 155 377	10 296 410		
- of which external personnel	269 868	285 623	289 590		
12 Expenditure relating to Staff recruitment	214 301	212 000	216 000		
13 Mission expenses	411 854	475 000	435 000		
14 Socio-medical infrastructure	123 822	162 000	148 000		
15 Training	153 864	170 000	170 000		
16 External Services	455 404	452 000	445 000		
17 Receptions and events					
Title 2 - Infrastructure and operating expenditure	1 783 118	1 510 000	1 510 000		
20 Rental of buildings and associated costs	925 800	730 000	730 000		
21 Information and communication technology	656 687	550 000	550 000		
22 Movable property and associated costs	114 127	114 000	114 000		
23 Current administrative expenditure	17 297	31 000	31 000		
24 Postage / Telecommunications	69 207	85 000	85 000		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	7 781 540	7 309 000	7 240 000		
TOTAL EXPENDITURE	20 788 983	20 731 000	20 750 000	20 750 000	+0,1 %

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	11 224 325	11 912 000	12 000 000		
11 Salaries & allowances	9 865 080	10 441 000	10 586 000		
- of which establishment plan posts	9 595 212	10 155 377	10 296 410		
- of which external personnel	269 868	285 623	289 590		
12 Expenditure relating to Staff recruitment	214 301	202 000	216 000		
13 Mission expenses	411 854	475 000	435 000		
14 Socio-medical infrastructure	123 822	162 000	148 000		
15 Training	153 864	170 000	170 000		
16 External Services	455 404	452 000	445 000		
17 Receptions and events					
Title 2 - Infrastructure and operating expenditure	1 783 118	1 510 000	1 510 000		
20 Rental of buildings and associated costs	925 800	730 000	730 000		
21 Information and communication technology	656 687	550 000	550 000		
22 Movable property and associated costs	114 127	114 000	114 000		
23 Current administrative expenditure	17 297	31 000	31 000		
24 Postage / Telecommunications	69 207	85 000	85 000		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	7 784 540	7 309 000	7 240 000		
TOTAL EXPENDITURE	20 788 983	20 731 000	20 750 000	20 750 000	+0,1 %

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 517 000.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources:

The Commission's assessment of EUROFOUND's needs of Human Resources in 2014 is in line with the agency's request, i.e. 99 establishment plan posts (18 permanent posts and 81 Temporary Agents) and 14 Contract Agents, which represents a decrease of 2 establishment plan posts over 2013.

4.1.2. Financial Resources:

The EU contribution for EUROFOUND in 2014 is set at the level authorised in 2013.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

No increase in the number of posts requested, compared to 2012.

However, a reduction of two posts (1 Permanent and 1 Temporary post at AST level) is provided for in line with the requirement to effect a 2% reduction in staff numbers. This brings the overall number of posts required to 99. In order to address the observations of the Court of Auditors regarding the appropriate grading for the Accounting Officer, there is a request for an additional Temporary post at AD7 level, with a corresponding decrease at AST4. The current Accounting Officer is graded at AST4 and will continue to serve at AST level on a personal basis. When the post

becomes vacant, the position of an Accounting Officer will be filled at AD7 level. Therefore, 43 Temporary AD posts are requested in the 2014 Budget.

The establishment plan for 2014 allows for career development both for permanent and temporary staff members. Current vacant posts are all due to retirements that have taken place during the last 4 months of 2012.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

An overall increase of 1,4% was applied for salary related budget lines, with the assumption that all posts would be filled. Provision has been made for the possible ongoing requirement to pay the refused salaries increases in the event of a Court judgment. No provision has been made for the payment of salary arrears and associated interest charges, which may be covered by the exceptional reuse of Title 1 funds.

4.2.3. *Vacancy rate as of end-2012*

A total of 5 authorised posts (3 establishment plan posts and 2 Contract Agent positions) were unfilled at the end of 2012, all arising from recent retirements. These posts will be filled by early Q2, 2013.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

No abatement is applied for 2013 since it is planned to have all posts filled in 2013. There will also be a net reduction in two posts over the course of 2014 and this is reflected in the requested staff table for 2014.

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

Salaries and allowance will increase only by 1,4% (compared to budget 2013) to allow for potential small salary increases and promotion of staff members. The reduction of 2 posts during the course of 2014 is partly offsetting the expected increases. Other budget lines will have to be reduced in order to reach an almost flat budget for Title 1.

4.3.2. *Title 2*

No increases (compared to budget 2013) are planned for Title 2 expenditure and inflation will have to be compensated by savings.

4.3.3. *Title 3*

Slight decreases (compared to budget 2013) required to balance the total budget in line with the nominal freeze of the subsidy. Overall, the reductions are not expected to put the Four-year programme 2013-2016 at risk.

5. **Other information**

5.1. **Buildings**

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Dublin	Main building & Conference centre	approx. 2 500 sqm	0,00	0,00	Yes	1 667 955	As of end 2012
Dublin	Loughlinstown House and grounds	approx. 500 sqm and 13 acres of land	0,00	0,00	No		250 000 EUR were paid for a 99-year lease (from 2011 on)
Brussels	18, avenue d'Auderghem	100 sqm	20 500	0,00	No		Brussels Liaison Office; w/o utilities (water, heating...)

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The agency has diplomatic status	Senior staff do not have diplomatic status but the Director is entitled to a Laisser-Passer to facilitate travel - however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the staff regulation. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earning on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities.	
In common with other EU institutions. Eurofound is exempt from VAT, except in the case of Irish suppliers where the VAT is paid and reclaimed from the Revenue authorities. Eurofound meets the full cost of office furniture, security and infrastructure. There is no contribution by ministries and regional governments There is no installation subsidy Symbolic local charges do not exist in relation to Eurofound	There are no VAT reimbursements for staff There are no symbolic local charges for non-residents Staff recruited from outside Ireland, may purchase up to two cars, without payment of tax or import charges, during the first year of employment in Eurofound.	There is no access to subsidised day care facilities for staff Educational allowances are paid to staff in accordance with the provisions of the Staff regulations School fees are not paid directly by Eurofound. Staff sending their children to private schools may qualify for the non-flat rate education allowance (the child must be at least 6 years of age) in accordance with the provisions of the Staff regulations.

5.3. Evaluation

Eurofound works with a multiannual evaluation programme. The latest ex-post evaluation was completed in 2010 and the full report was sent to the budgetary authority and is available on its website: http://www.eurofound.europa.eu/about/publicaccess/documents/general/exposteval2005_2008rep.pdf

An action plan based on the findings of this evaluation was implemented.

In 2012, an ex-ante evaluation was conducted on Eurofound's new 2013-2016 Four Year Programme. This evaluation was submitted to Eurofound's Governing Board (meeting 26 October 2012). - It is available on Eurofound's Extranet (accessible to Eurofound staff and the Governing Board members).

The main findings of the latest evaluation available are as follows:

- The ex-ante evaluation was conducted as 'formative' evaluation accompanying the work programme development processes.
- Extract from "executive summary" (p. 3 of final report):

"Eurofound has interpreted the requirement for an ex-ante evaluation of its new four year programme to be a 'formative' evaluation process, conducted internally, and accompanying the four year programme development. Its primary purpose was to help Eurofound develop a 'good' four year programme and to ensure that it can be feasibly implemented, monitored and evaluated, rather than being an independent assessment.

This report is a summary of observations by the internal evaluators at the end of this process.

The structure of the report is oriented on the European Commission's ex-ante evaluation guidelines (2001), which helped Eurofound in structuring the development process by addressing the elements suggested in the guidelines.

Overall, the report comes to the conclusion that in many aspects the new programme meets the expectations of a potentially 'good' programme:

- The development process addressed programme relevance and rationale with clear references to Eurofound's mandate in its Founding Regulation, adapted to the changed policy contexts, and sought feedbacks from a broad range of stakeholders to ensure it meets priority needs;

- Alternative options were actively considered as part of the process;
- The programme follows a clearly expressed programme logic and objectives which will form the basis for monitoring and evaluation;
- Mechanisms are in place to implement this programme through the four annual work programmes in the period.

Whilst the programme can be considered to provide a helpful framework designed to assist Eurofound, the proof of successful implementation will have to be delivered in the annual programmes, and operationalization of what the intentions are.

This report has identified a number of challenges that Eurofound has to tackle when implementing its new four year programme through its annual work programmes. The high-level risk factors that can already be identified are similar to those of previous programmes due to the approach of continuity that was chosen for this four year programme. "]

A new evaluation of the agency is expected to be carried out by 2014.

2.2.1.1.4. European Agency for Safety and Health at Work (EU-OSHA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 2062/94	18/07/1994	Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone. That is why the European Agency for Safety and Health at Work was set up, in 1996.
Council Regulation (EC) No 1643/95	29/06/1995	Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention. Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States. The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We commission, collect and publish new scientific research and statistics on OSH risks. We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our publicity campaigns include the European Week for Safety and Health at Work, which focuses on different themes. We also provide information on our website, via our electronic newsletter OSHmail, and in a range of printed publications. We help to explain European legislation on OSH. And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. In order to achieve this, it will give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, it aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels.
Council Regulation (EC) No 1654/2003	18/06/2003	Updating of the Agency's regulation in accordance with new EU financial and public document access provisions
Council Regulation (EC) No 1112/2005	24/06/2005	Modification to the Agency's government and management structures

Seat Bilbao, Spain

Budget line 04 03 12 — European Agency for Safety and Health at Work (EU-OSHA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	24	24	24	24
Establishment plan posts: AST	20	18	20	19
Total Establishment plan posts	44	42	44	43
Contract Agents	26	24	24	24
Seconded National Experts	1	0	0	0
Total staff	71	66	68	67

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 678 900	14 094 900
Other revenue	553 080	584 721
Total revenues	15 231 980	14 679 621

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 654 000	5 654 000		
Title 2	1 680 475	1 680 475		
Title 3	7 897 505	7 897 505		
Total expenditure	15 231 980	15 231 980	14 679 621	14 679 621

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1		1		1		1		1
AD 12		1		1		1		1		1
AD 11		1		1		1		1		1
AD 10		3		2		3		3		3
AD 9		1		2		1		1		1
AD 8		3		3		5		6		6
AD 7		6		6		5		5		5
AD 6		7		7		6		5		5
AD 5										
AD total		24		24		24		24		24
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										
AST 7		1				1		1		1
AST 6		1				1		1		1
AST 5		1		2		1		2		2
AST 4		5		6		6		8		8
AST 3		7		5		6		3		3
AST 2		2		2		3		2		2
AST 1		2		2		1		1		1
AST total		20		18		20		19		19
TOTAL		44		42		44		43		43
GRAND TOTAL	44		42		44		43		43	

(* Including 2 offer letters (AD6 and AD7))

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	1	1	1	1
Function Group III	15	11	13	13
Function Group II	10	12	10	10
Function Group I	0	0	0	0
Total	26	24	24	24

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	1			

(* Estimate on the basis of average costs)

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	13 694 084	14 678 900	14 646 296	14 094 900	
of which fresh appropriations	13 582 084	14 035 000	14 565 296	14 013 900	
of which assigned revenues deriving from previous years' surpluses	112 000	643 900	81 000	81 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	353 138	392 980	441 328	424 621	
Of which EFTA	353 138	392 980	441 328	424 621	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	200 000	160 100	160 100	160 100	
5 ADMINISTRATIVE OPERATIONS	2 575				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	475				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	14 250 272	15 231 980	15 247 724	14 679 621	-3,6%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 123 012	5 654 000	5 654 000		
11 Salaries & allowances	4 733 853	5 316 000	5 395 500		
- of which establishment plan posts	3 634 146	4 245 000	4 245 000		
- of which external personnel	1 099 708	1 071 000	1 150 500		
12 Expenditure relating to Staff recruitment	89 532	111 000	33 000		
13 Mission expenses					
14 Socio-medical infrastructure	27 476	34 000	32 500		
15 Training	106 562	80 000	80 000		
16 External Services	165 588	113 000	113 000		
17 Receptions and events					
Title 2 - Infrastructure and operating expenditure	1 646 341	1 680 475	1 680 475		
20 Rental of buildings and associated costs	955 501	971 750	971 750		
21 Information and communication technology	469 554	462 925	462 925		
22 Movable property and associated costs	30 568	39 150	39 150		
23 Current administrative expenditure	20 486	40 650	40 650		
24 Postage / Telecommunications	170 232	16 000	166 000		
25 Meeting expenses					
Title 3 - Operational expenditure	7 673 951	7 897 505	7 913 249		
32 Communication, campaigning and promotion	4 410 697	3 674 816	3 680 560		
33 Networking and coordination	1 201 087	1 349 000	1 350 000		
34 Prevention and research	2 062 167	2 873 689	2 882 689		
TOTAL EXPENDITURE	14 443 304	15 231 980	15 247 724	14 679 621	-3,6%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 123 012	5 654 000	5 654 000		
11 Salaries & allowances	4 733 853	5 316 000	5 395 500		
- of which establishment plan posts	3 634 146	4 245 000	4 245 000		
- of which external personnel	1 099 708	1 071 000	1 150 500		
12 Expenditure relating to Staff recruitment	89 532	111 000	33 000		
13 Mission expenses					
14 Socio-medical infrastructure	27 476	34 000	32 500		
15 Training	106 562	80 000	80 000		
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22 Movable property and associated costs	30 568	39 150	39 150		
23 Current administrative expenditure	20 486	40 650	40 650		
24 Postage / Telecommunications	170 232	16 000	166 000		
25 Meeting expenses					
Title 3 - Operational expenditure	7 673 951	7 897 505	7 913 249		
32 Communication, campaigning and promotion	4 410 697	3 674 816	3 680 560		
33 Networking and communication	1 201 087	1 349 000	1 350 000		
34 Prevention and research	2 062 167	2 873 689	2 882 689		
TOTAL EXPENDITURE	14 443 304	15 231 980	15 247 724	14 679 621	-3,6%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 81 627.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources:

The Commission's assessment of the EU-OSHA's needs of Human Resources in 2014 is in line with the estimates presented by the agency, i.e. 43 Temporary Agents and 24 Contract Agents.

4.1.2. Financial Resources:

The EU contribution for the EU-OSHA in 2014 is set at the level of 2013 with a 4% discount applied to reflect the execution rate of the allocated commitment appropriations in 2012 which is less than 95% and the cancellation rate of payments of almost 6%.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

43 Temporary Agents (minus 1AST compared to 2013) and 24 Contract Agents.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

A weighting factor of -2,3% is considered for 2014. No adjustments for inflation rates have been applied. The following reclassifications (subject to budget constraints) are foreseen: 7 for TA + 5 for CA.

4.2.3. *Vacancy rate as of end-2012*

Overall, the vacancy rate at the end of 2012 stood at 7%.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

n/a

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

Considering:

- 2014 EU contribution towards EU-OSHA's budget is frozen at the level of 2013 Budget;
- the staffing level is expected to be decreased by a 5% over a period of 5 years starting from 2013;
- EU-OSHA is considered as "cruising speed" agency.

Title 1 remains at the same level of 2013 i.e. EUR 5 654 000. The calculation of appropriations for Title 1 is therefore based on the assumption that the 43 Temporary Agents (- 1 AST), 24 Contract agents posts (+ 0) & 1 Local staff (+ 0) will be filled in during 2014.

The decrease of 1 AST3 (total of temporary agents posts passing from 44 in 2013 to 43 in 2012) is part of EU-OSHA's contribution to the 5% staff reduction foreseen by the Budget Authority over the period 2013-2017.

4.3.2. *Title 2*

Title 2 appropriations are intended to cover the payment of buildings, equipment, and miscellaneous operating expenditure and office facilities.

The Agency continues to occupy the same building (seat of the Agency) it has been renting since December 1996. An amendment to contract signed on 28 May 2009 for GV 33 has extended the contract duration for 3 additional years and set better prices conditions, leading to significant savings over the period. Moreover adjacent premises (Gran Via, 35) are also rented to face requirements for space and meetings purpose. Finally a small office is also rented in Brussels for its liaison officer.

EU-OSHA's Title II is significantly impacted by rental cost (EUR 971 750 out of EUR 1 680 475) in comparison with other Agencies with Seat Agreement. Negotiations with the Spanish authorities are on-going.

Title 2 remains at the same level of 2013, i.e. EUR 1 680 475.

4.3.3. *Title 3*

The Agency has been developing, and will finalise in 2013, its multi-annual strategic programme 2014-2020, in close collaboration with DG EMPL and the Advisory Committee on Safety and Health at Work and with a view to supporting the implementation of the European strategy 2020 and the upcoming new EU OSH strategy.

When the European Agency for Safety and Health at Work (EU-OSHA) was established, with the adoption of its founding regulation in 1994, it was with the aim of providing the EU bodies, the Member States, the social partners and those involved in the field with useful technical, scientific and economic information relating to safety and health at work. This aim has guided the work of EU-OSHA since then, and also forms the framework for the Agency's multi annual strategic programme for the period 2014-2020.

In order to fulfil the aim defined in its founding regulation, EU-OSHA collects analyses and disseminates technical, scientific and economic information on occupational safety and health.

To ensure a clear focus for its activities over the Strategy period, EU-OSHA's Governing Board has redefined the Agency's mission and vision. A clear focus in the Strategy will be to ensure that the resources that EU-OSHA has available contribute in the best possible way to achieving the aim set out in the founding regulation.

Title 3 remains at a similar level as in 2013, i.e. EUR 7 913 249 (EUR 7 897 505 in 2013).

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Bilbao, seat of the agency	Gran Via 33	1 736	540 000	100 000	No		
Bilbao, adjacent meeting rooms	Gran Via 35	530	146 000	60 100	No		
Brussels liaison office	Square de Meeus, 38/40 1050	15	22 500		No		

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
EU-OSHA receives an annual contribution of EUR 160 100 from local and national authorities	n/a	
No seat agreement	On-going negotiation: new draft received from Spanish Authorities in January 2013.	

5.3. Evaluation

An evaluation report for the agency was submitted to the Budgetary Authority on 24 February 2012.

The main findings of the latest evaluation available are as follows:

Overall assessment

The Agency operates with limited resources in a complex environment. It has heterogeneous target audiences located across 27 diverse Member States. Despite these challenges it is felt to do a difficult job very well and to have competent and responsive staff. The information provided by the Agency is seen as credible and offering good quality OSH content. Further, the Agency is viewed as capable of designing, developing and conducting its own data collection e.g. through Esener. The objectives of the Agency's strategy and the balance of its activities across the four main mission-related areas are appropriate.

The relevance and usefulness of the Agency's activities to its target audiences are generally felt to be high, particularly amongst researchers and policy-makers. The difficulty of reaching employers (and particular SMEs) is widely acknowledged but the Agency has also made progress in this area in its work on OiRA. There is clear European added value across its four mission-related areas, although this tends to be greatest in Member States with less in the way of OSH resources, or experience of the framework directive.

The full report can be found at:

https://osha.europa.eu/en/publications/evaluation_reports/mid-term-evaluation-euosha-strategy_2009-2013_en.pdf

2.2.1.1.5. European Aviation Safety Agency (EASA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
OLD BASIC REGULATION:		
Regulation (EC) No 1592/2002 of the European Parliament and of the Council of 15 July 2002 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency (REPEALED)	15/07/2002 27/09/2002 (Entry into force)	Airworthiness Environmental compatibility The original Agency's responsibilities, given by Regulation 1592/2002 (repealed by Regulation 216/2008) include: expert advice to the EU for drafting new legislation; inspections, training and standardisation programmes to ensure uniform implementation of European aviation safety legislation in all Member States; safety and environmental type-certification of aircraft, engines, parts, appliances and continuous monitoring of their airworthiness; approval of organisations involved in the design of aeronautical products, as well as foreign production, maintenance and training organisations; EASA is also responsible for some specific executive tasks as specified in Commission Regulation (EC) No 768/2006 (regarding the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system).
NEW BASIC REGULATION:		
Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC	20/02/2008 08/04/2008 (Entry into force)	Airworthiness Environmental compatibility Flight Crew Licensing (FCL) Operation of Aircraft Safety of foreign operators Regulation 216/2008 extending the Agency's competences entered into force on 8 April 2008. With this extension the Agency got responsibilities to develop the regulations in the fields of air operations (OPS), flight crew licensing (FCL) and the oversight of third country operators (TCO). As well as to carry out (according to Regulation 736/2006) standardisation inspections for air operations, flight crew licensing and flight simulators and to perform the certification of foreign synthetic training devices, pilot training organisations and aero medical centres, and certification tasks linked to the authorisation to third country operators.
Last amended by: Regulation (EC) No 1108/2009 of the European Parliament and of the Council of 15 July 2002 of 21 October 2009 amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services and repealing Directive 2006/23/EC	21/10/2009 14/12/2009 (Entry into force)	Aerodromes Air Traffic Management (ATM) Air Navigation Services (ANS) On 7 September 2009 the Council adopted the regulation extending EASA's competencies to cover the safety of aerodromes, air traffic management and air navigation services. In particular, EASA's new tasks cover rulemaking and standardisation inspections. In addition, as far as safety and technical issues are concerned, it will be necessary to coordinate rulemaking activities with the Single European Sky framework, including the related research (SESAR) and implementing rules as well as the new objectives set for its implementation. Please consult the table on pages 6 and 7 of the EASA 2013-2017 Business Plan for more detail on the key milestones for the agency in the period 2013-2017

Seat Cologne, Germany

Budget line 06 02 02 — European Aviation Safety Agency (EASA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	499	480	551	547
Establishment plan posts: AST	135	132	141	131
Total Establishment plan posts	634	612	692	678

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Contract Agents	57	63	56	81
Seconded National Experts	11	11	11	15
Total staff	702	686	759	774

- Human Resources - Fees and Charges

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	324	315	376	372
Establishment plan posts: AST	81	81	89	84
Total Establishment plan posts	405	396	465	456
Contract Agents	38	39	37	43
Seconded National Experts				
Total staff	443	436	502	499

- Human Resources – EU contribution

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	175	165	175	175
Establishment plan posts: AST	54	51	52	47
Total Establishment plan posts	229	216	227	222
Contract Agents	19	30	19	38
Seconded National Experts	11	11	11	15
Total staff	259	251	257	275

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	34 862 010	34 173 871
Other revenue	128 335 670	124 293 865
Total revenues	163 217 680	158 467 736

N.B. The table shows the Draft Budget 2013 and not the final approved budget for 2013, which is EUR 149 53 .865

For EU contribution, the split of the revenues is the following:

2013: EUR 34 862 000 (EU Subsidy)

2014: EUR 34 173 871 (EU Subsidy)

For Other Revenue, the split of the revenues is the following:

2013: EUR 104 553 000 (Revenue from fees and charges) + EUR 1 799 000 (3rd countries contribution) + EUR 2 089 000 (Technical Cooperation with 3rd countries) + EUR 940 000 (Administrative operations) + EUR 18 974 670 (Budgetary corrections)

2014: EUR 102 368 000 (Revenue from fees and charges) + EUR 1 790 000 (3rd countries contribution) + EUR 5 091 000 (Technical Cooperation with 3rd countries) + EUR 152 000 (Administrative operations) + EUR 14 144 865 (Budgetary corrections) + EUR 748 000 (Others)

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	75 880 000	75 880 000		
Title 2	13 996 000	13 996 000		
Title 3	61 074 010	61 074 010		
Total expenditure	163 217 680	163 217 680	158 467 736	158 467 736

IMPORTANT NOTE The table above shows the Draft Budget 2013 and not the final approved budget for 2013, which is EUR 149 534 865 with the following detail:

All Activities (Euro)	Fees and Charges		EU Contribution		Total	
	Draft Budget 2013	Budget 2013	Draft Budget 2013	Budget 2013	Draft Budget 2013	Budget 2013
Income from F&C (current year)	104,431,000	91,869,000			104,431,000	91,869,000
Income from F&C (carry over)	18,974,670	14,788,865			18,974,670	14,788,865
Subsidy from EC			34,862,010	34,862,000	34,862,010	34,862,000
Third Country contribution			1,799,000	1,718,000	1,799,000	1,718,000
Other Income	632,000	748,000	2,519,000	5,549,000	3,151,000	6,297,000
Total Income	124,037,670	107,405,865	39,180,010	42,129,000	163,217,680	149,534,865
Title 1 - Staff	51,058,000	45,290,000	24,821,000	26,186,000	75,879,000	71,476,000
Title 2 - Building, IT & related	10,216,000	8,984,000	3,781,000	4,608,000	13,997,000	13,592,000
Title 3 - NAA/QE outsourcing	43,979,000	38,220,000			43,979,000	38,220,000
Title 3 - Other operational cost	8,606,000	7,767,000	8,489,010	5,938,000	17,095,010	13,705,000
Title 4 - Special programmes			2,089,000	5,397,000	2,089,000	5,397,000
Title 5 - Reserve	10,178,670	7,144,865			10,178,670	7,144,865
Total Expenditure	124,037,670	107,405,865	39,180,010	42,129,000	163,217,680	149,534,865

- Expenditure - Fees and Charges

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	51 059 000	51 059 000		
Title 2	10 215 000	10 215 000		
Title 3	52 585 000	52 585 000		
Total expenditure	124 037 670	124 037 670	117 259 865	117 259 865

N.B. The table above shows the Draft Budget 2013 and not the final approved budget for 2013, please see IMPORTANT NOTE above

- Expenditure - EU contribution

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	24 821 000	24 821 000		
Title 2	3 781 000	3 781 000		
Title 3	10 578 010	10 578 010		
Total expenditure	39 180 010	39 180 010	41 207 871	41 207 871

N.B. The table above shows the Draft Budget 2013 and not the final approved budget for 2013, please see IMPORTANT NOTE above

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16							1			
AD 15		2		2		2		1		2
AD 14		11		5		14		18		14
AD 13		19		9		21		26		21
AD 12		35		21		37		42		37
AD 11		53		15		60		65		57
AD 10		72		67		84		89		84
AD 9		102		76		107		116		107
AD 8		91		85		100		97		99
AD 7		65		105		75		85		75
AD 6		45		78		46		45		46
AD 5		4		17		5		3		5
AD total		499		480		551		588		547
AST 11										
AST 10										
AST 9							1			
AST 8		1				2		3		4
AST 7		6				8		11		11
AST 6		15		2		19		23		22
AST 5		31		10		34		36		31
AST 4		32		23		31		29		28
AST 3		27		51		23		22		18
AST 2		18		32		20		19		15
AST 1		5		14		4		2		2
AST total		135		132		141		147		131
TOTAL		634		612		692		735		678
GRAND TOTAL	634		612		692		735		678	

The situation at end-December 2012 does not include 10 Temporary Agents starting in 2013 and 3 Temporary Agents retiring 1 January 2013.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	5	12	4	16
Function Group III	52	58	52	65
Function Group II				
Function Group I				
Total	57	70	56	81

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	11	11	11	15

(*) Estimate on the basis of average costs

2.3. Fees and Charges - Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16								1		
AD 15		2		1		2		1		2
AD 14		3		3		6		10		6
AD 13		11		6		13		17		13
AD 12		25		14		27		29		27
AD 11		50		11		57		54		54
AD 10		50		44		62		59		62
AD 9		69		58		74		81		74
AD 8		35		40		44		58		43
AD 7		41		67		51		44		51
AD 6		36		59		37		38		37
AD 5		2		12		3		3		3
AD total		324		315		376		395		372
AST 11										
AST 10										
AST 9								1		
AST 8		1				2		1		2
AST 7		4				6		6		6
AST 6		9		1		13		12		12
AST 5		18		8		22		22		20
AST 4		16		16		16		17		16
AST 3		19		29		14		17		14
AST 2		10		19		12		13		12
AST 1		4		8		4		2		2
AST total		81		81		89		91		84
TOTAL		405		396		465		487		456
GRAND TOTAL	405		396		465		487		456	

The Agency has one global approved Establishment plan. The Split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan

2.4. Fees and Charges - External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	2	4	1	6
Function Group III	36	36	36	37
Function Group II				
Function Group I				
Total	38	40	37	43

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total				

(*) Estimate on the basis of average costs

2.5. EU contribution - Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15				1						
AD 14		8		2		8		8		8
AD 13		8		3		8		9		8
AD 12		10		7		10		13		10
AD 11		3		4		3		11		3
AD 10		22		23		22		30		22
AD 9		33		18		33		35		33
AD 8		56		45		56		39		56
AD 7		24		38		24		41		24
AD 6		9		19		9		7		9
AD 5		2		5		2				2
AD total		175		165		175		193		175
AST 11										
AST 10										
AST 9										
AST 8								2		2
AST 7		3				2		5		5
AST 6		6		1		6		11		10
AST 5		13		2		12		14		11
AST 4		16		7		15		12		12
AST 3		8		22		9		5		4
AST 2		8		13		8		6		3
AST 1				6						
AST total		54		51		52		55		47
TOTAL		229		216		227		248		222
GRAND TOTAL	229		216		227		248		222	

The Agency has one global approved Establishment plan. The Split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan

2.6. EU contribution - External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3	8	3	10
Function Group III	16	22	16	28
Function Group II				
Function Group I				
Total	19	30	19	38

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	11	11	11	15

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	74 196 574	104 553 000	102 368 000	102 368 000	
2. EU CONTRIBUTION	34 862 000	34 862 000	36 469 000	34 173 871	
of which fresh appropriations	33 296 776	34 555 811	36 469 000	34 173 871	
of which assigned revenues deriving from previous years' surpluses	1 565 234	306 199			
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 860 009	1 799 000	1 790 000	1 790 000	
Of which EFTA	1 860 009	1 799 000	1 790 000	1 790 000	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	3 121 419	2 089 000	5 091 000	5 091 000	
5 ADMINISTRATIVE OPERATIONS	951 491	940 000	900 000	900 000	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	64 104				
7 CORRECTION OF BUDGETARY IMBALANCES		18 974 670	14 144 865	14 144 865	
TOTAL REVENUES	115 055 607	163 217 680	160 762 865	158 467 736	-2,9%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	64 418 774	75 880 000	80 723 000		
11 Salaries & allowances	59 379 505	69 476 363	74 608 000		
- of which establishment plan posts	55 284 572	65 040 707	69 665 000		
- of which external personnel	4 094 934	4 435 656	4 943 000		
12 Expenditure relating to Staff recruitment	1 590 615	1 895 632	1 379 000		
13 Mission expenses	65 420	354 502	140 000		
14 Socio-medical infrastructure	3 113 253	4 021 906	4 426 000		
15 Training					
16 External Services					
17 Receptions and events	269 981	131 597	170 000		
Title 2 - Infrastructure and operating expenditure	13 497 111	13 996 000	15 189 000		
20 Rental of buildings and associated costs	8 154 143	8 323 500	8 394 000		
21 Information and communication technology	3 704 611	3 557 000	4 862 000		
22 Movable property and associated costs	154 591	227 100	168 000		
23 Current administrative expenditure	748 293	1 124 700	998 000		
24 Postage / Telecommunications	735 473	763 700	767 000		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	44 198 284	61 074 010	52 552 000		
30 Certification activities	3 704 610	43 990 000	41 638 000		
31 Standardisation activities	154 591	716 010	614 000		
32 Development data base	748 293	2 968 630	0		
33 Communication and publication	735 473	445 350	400 000		
34 Meeting expenses	657 698	802 050	631 000		
35 Translation and interpretation costs	1 523 573	1 177 000	1 395 000		
36 Rulemaking activities	2 016 635	1 348 000	1 048 000		
37 Mission, entertainment and representation expenses	5 476 538	7 602 320	5 491 000		
38 Technical training	220 215	458 500	651 000		
39 ED activities	72 499	1 566 150	684 000		
Title 4 Special Operations programmes	1 695 632	2 089 000	5 091 000		
Title 5 Other expenditure		10 178 670	7 207 865		
TOTAL EXPENDITURE	123 809 802	163 217 680	160 762 865	158 467 736	-2,9%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	63 665 831	75 880 000	79 775 000		
11 Salaries & allowances	59 221 417	69 476 363	73 660 000		
- of which establishment plan posts	55 264 770	65 040 707	68 717 000		
- of which external personnel	3 956 647	4 435 656	4 943 000		
12 Expenditure relating to Staff recruitment	1 238 459	1 895 632	1 459 000		
13 Mission expenses	58 848	354 502	140 000		
14 Socio-medical infrastructure	2 919 878	4 021 906	4 426 000		
15 Training					
16 External Services					
17 Receptions and events	227 228	131 597	170 000		
Title 2 - Infrastructure and operating expenditure	10 064 690	13 996 000	15 189 000		
20 Rental of buildings and associated costs	6 793 762	8 323 500	8 032 000		
21 Information and communication technology	2 299 904	3 557 000	4 862 000		
22 Movable property and associated costs	89 286	227 100	168 000		
23 Current administrative expenditure	376 461	1 124 700	998 000		
24 Postage / Telecommunications	505 278	763 700	754 000		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	22 712 654	61 074 010	52 552 000		
30 Certification activities	13 257 051	43 990 000	41 638 000		
31 Standardisation activities	152 593	716 010	614 000		
32 Development data base	2 573 012	2 968 630			
33 Communication and publication	332 908	445 350	400 000		
34 Meeting expenses	298 754	802 050	631 000		
35 Translation and interpretation costs	680 335	1 177 000	1 395 000		
36 Rulemaking activities	118 236	1 348 000	1 048 000		
37 Mission, entertainment and representation expenses	5 038 522	7 602 320	5 491 000		
38 Technical training	188 743	458 500	651 000		
39 ED activities	72 499	1 566 150	684 000		
Title 4 Special Operations programmes	1 100 895	2 089 000	5 091 000		
Title 5 Other expenditure		10 178 670	7 207 865		
TOTAL EXPENDITURE	97 544 070	163 217 680	160 762 865	158 467 736	-2,9%

N.B. The table shows the Draft Budget 2013 and not the final approved budget for 2013, which is 149 534 865.

3.3. Fees and Charges – Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	41 026 796	51 059 000	51 414 000		
11 Salaries & allowances	37 787 578	46 844 116	47 472 958		
- of which establishment plan posts	35 570 561	43 914 702	44 754 308		
- of which external personnel	2 217 017	2 929 414	2 718 650		
12 Expenditure relating to Staff recruitment	1 008 795	1 174 151	888 748		
13 Mission expenses	47 250	227 939	90 228		
14 Socio-medical infrastructure	2 008 596	2 727 317	2 852 502		
15 Training					
16 External Services					
17 Receptions and events	174 216	85 477	109 563		
Title 2 - Infrastructure and operating expenditure	8 596 882	10 215 000	11 051 000		
20 Rental of buildings and associated costs	5 261 801	6 066 405	6 107 189		
21 Information and communication technology	2 351 972	2 627 370	3 537 426		
22 Movable property and associated costs	99 756	171 656	122 231		
23 Current administrative expenditure	408 758	795 388	726 111		
24 Postage / Telecommunications	474 595	554 182	558 043		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	35 296 047	52 585 000	47 588 000		
30 Certification activities	28 164 277	43 990 000	41 638 000		
31 Standardisation activities	53 871		78 000		
32 Development data base	2 347 794	1 767 629			
33 Communication and publication	520 092	264 813	300 000		
34 Meeting expenses	166 887	485 692	220 850		
35 Translation and interpretation costs	234 999		348 750		
36 Rulemaking activities					
37 Mission, entertainment and representation expenses	3 662 364	4 769 048	4 392 800		
38 Technical training	137 304	273 841	520 800		
39 ED activities	8 460	1 033 976	88 800		
Title 4 Special Operations programmes					
Title 5 Other expenditure		10 178 670	7 207 865		
TOTAL EXPENDITURE	84 919 725	124 037 670	117 259 865	117 259 865	-5%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	40 547 636	51 059 000	51 414 000		
11 Salaries & allowances	37 696 797	46 844 116	47 472 958		
- of which establishment plan posts	35 557 783	43 914 702	44 754 308		
- of which external personnel	2 139 014	2 929 414	2 718 650		
12 Expenditure relating to Staff recruitment	777 495	933 543	888 748		
13 Mission expenses	42 542	227 939	90 228		
14 Socio-medical infrastructure	1 884 171	2 727 317	2 852 502		
15 Training					
16 External Services					
17 Receptions and events	146 628	85 477	109 563		
Title 2 - Infrastructure and operating expenditure	6 445 310	10 215 000	11 051 000		
20 Rental of buildings and associated costs	4 383 958	6 066 405	6 107 189		
21 Information and communication technology	1 453 572	2 627 370	3 537 426		
22 Movable property and associated costs	57 615	171 656	122 231		
23 Current administrative expenditure	224 113	795 388	726 111		
24 Postage / Telecommunications	326 051	554 182	558 043		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	18 829 059	52 585 000	47 588 000		
30 Certification activities	13 257 051	43 990 000	41 638 000		
31 Standardisation activities	50 069		78 000		
32 Development data base	1 569 537	1 767 629			
33 Communication and publication	240 013	264 813	300 000		
34 Meeting expenses	95 516	485 692	220 850		
35 Translation and interpretation costs	105 494		348 750		
36 Rulemaking activities					
37 Mission, entertainment and representation expenses	3 391 951	4 769 048	4 392 800		
38 Technical training	117 681	273 841	520 800		
39 ED activities	1 747	1 033 976	88 800		
Title 4 Special Operations programmes					
Title 5 Other expenditure		10 178 670	7 207 865		
TOTAL EXPENDITURE	65 822 005	124 037 670	117 260 865	117 260 865	-5%

3.4. EU contribution - Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	23 391 978	24 821 000	29 309 000		
11 Salaries & allowances	21 591 927	22 632 247	26 187 042		
- of which establishment plan posts	19 714 011	21 126 005	27 135 042		
- of which external personnel	1 877 916	1 506 242	2 224 350		
12 Expenditure relating to Staff recruitment	581 820	721 480	490 252		
13 Mission expenses	18 170	126 563	49 772		
14 Socio-medical infrastructure	1 104 296	1 294 589	1 573 498		
15 Training					
16 External Services					
17 Receptions and events	95 764	46 120	60 437		
Title 2 - Infrastructure and operating expenditure	4 900 229	3 781 000	4 138 000		
20 Rental of buildings and associated costs	2 892 342	2 257 107	2 286 811		
21 Information and communication technology	1 352 639	929 732	1 324 574		
22 Movable property and associated costs	54 835	55 567	45 769		
23 Current administrative expenditure	339 535	329 337	271 889		
24 Postage / Telecommunications	260 878	209 527	208 957		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	8 902 237	8 489 010	4 964 000		
30 Certification activities					
31 Standardisation activities	220 483	716 010	536 000		
32 Development data base	1 501 048	1 201 008			
33 Communication and publication	201 295	180 541	100 000		
34 Meeting expenses	490 810	316 357	410 150		
35 Translation and interpretation costs	1 288 574	1 177 000	1 046 250		
36 Rulemaking activities	2 016 635	1 348 000	1 048 000		
37 Mission, entertainment and representation expenses	1 814 175	2 833 264	1 098 200		
38 Technical training	82 911	184 614	130 200		
39 ED activities	1 286 305	532 186	595 200		
Title 4 Special Operations programmes	1 695 632	2 089 000	5 091 000		
Title 5 Other expenditure					
TOTAL EXPENDITURE	38 890 077	39 180 010	43 502 000	41 207 871	5%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	23 118 195	24 821 000	29 309 000		
11 Salaries & allowances	21 524 620	22 632 247	27 135 042		
- of which establishment plan posts	19 706 988	21 612 662	24 910 692		
- of which external personnel	1 817 632	1 506 242	2 224 350		
12 Expenditure relating to Staff recruitment	460 963	721 480	490 252		
13 Mission expenses	16 307	126 563	49 772		
14 Socio-medical infrastructure	1 035 705	1 294 589	1 573 498		
15 Training					
16 External Services					
17 Receptions and events	80 560	46 120	60 437		
Title 2 - Infrastructure and operating expenditure	3 619 380	3 781 000	4 138 000		
20 Rental of buildings and associated costs	2 409 804	2 257 107	2 286 811		
21 Information and communication technology	846 332	929 732	1 324 574		
22 Movable property and associated costs	31 670	55 567	45 769		
23 Current administrative expenditure	152 348	329 337	271 889		
24 Postage / Telecommunications	179 226	209 527	208 957		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	3 883 595	8 489 010	4 964 000		
30 Certification activities					
31 Standardisation activities	102 524	716 010	536 000		
32 Development data base	1 003 475	1 201 008			
33 Communication and publication	92 894	184 541	100 000		
34 Meeting expenses	203 239	316 357	410 150		
35 Translation and interpretation costs	574 841	1 177 000	1 046 250		
36 Rulemaking activities	118 236	1 348 000	1 048 000		
37 Mission, entertainment and representation expenses	1 646 571	2 833 264	1 098 200		
38 Technical training	71 062	184 644	130 200		
39 ED activities	70 753	532 186	595 200		
Title 4 Special Operations programmes	1 100 895	2 089 000	5 091 000		
Title 5 Other expenditure					
TOTAL EXPENDITURE	31 722 065	39 180 010	43 502 000	41 207 871	5%

3.5. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources:

The Commission proposes a reduction of staff from the establishment plan from 692 to 678, in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years and as a contribution to the redeployment pool. No additional posts have been granted to EASA for 2014, as it is in a cruising speed in 2014.

4.1.2. Financial Resources:

The EU contribution for EASA in 2014 is set at the level of 2013, with a 2% discount applied to reflect the 5,7% cancellation rate of payment appropriations.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

The sustained and significant growth of the Agency's human resources reflects the development of activities already taking place and foreseen to take place in the next years. In addition to the tasks for which the Agency is already responsible and where progress is on-going, the increases in staff numbers over the period of the multi-annual staff policy plan reflect requirements resulting from both the extension of the Agency's remit, starting in April 2012, and the internalisation strategy in the certification activities.

The original Agency's responsibilities, given by Regulation 1592/2002 (repealed by Regulation 216/2008) include: expert advice to the EU for drafting new legislation; inspections, training and standardisation programmes to ensure uniform implementation of European aviation safety legislation in all Member States; safety and environmental type-certification of aircraft, engines, parts, appliances and continuous monitoring of their airworthiness; approval of organisations involved in the design of aeronautical products, as well as foreign production, maintenance and training organisations; coordination of the European Union programme SAFA (Safety Assessment of Foreign Aircraft) regarding the safety of foreign aircraft using European Union airports; data collection, analysis and research to improve aviation safety. EASA took up successfully the challenge to set up an organisation able to provide a high level of services certified against ISO 9001 internationally recognised quality standards.

In addition, Regulation 216/2008 entered into force on 8 April 2008 and extended the competencies of the Agency to air operations, pilot licensing and authorisation of third country operators. In particular: Standardisation inspections for air operations, flight crew licensing and flight simulators, to be carried out according to Regulation 736/2006; Certification of foreign synthetic training devices, pilot training organisations and aero medical centres; Certification tasks linked to the Authorisation to third country operators.

On 7 September 2009, the Council adopted the regulation extending EASA's competencies to cover the safety of aerodromes, air traffic management and air navigation services. In particular, EASA's new tasks cover rulemaking and standardisation inspections. In addition, as far as safety and technical issues are concerned, it will be necessary to coordinate the common rules with the new Single European Sky regulation and the related implementing rules.

The workload development for approvals and recommendations related to the implementation of the new remits is estimated based on identified industry activity and data received from NAAs.

In 2013 and in 2014 the Agency will need to fully implement the tasks deriving from the extended competencies. In order to do that it is necessary that the appropriate competencies are hired.

The number of staff necessary to the performance of the above tasks has been estimated in the BP 2013-2017 approved by the EASA Management Board in December 2012. The total number of Temporary Agents identified for 2014 was 739 having as reference the 692 posts of the 2013 Establishment Plan. Following the instructions received from the EC about the 2014 Draft Budget the Agency has reviewed the planning and the estimates in order to cope with the requested staff reductions. The outcome of the exercise is to request 735 Temporary Agents for 2014. The delta of 43 posts between the 2013 Establishment plan and the 2014 staff request is explained by the implementation of new activities and the regularisation of the currently existing staff gap for the initial EASA remit in the subsidy area.

It includes:

- 22 Fees and Charges posts to reflect increasing and new industry tasks and
- 21 Subsidy posts consisting of:

- a) 11 TAs for the Third Country Operators authorisation activity, subsidy financed now
- b) 10 TAs to fill the mentioned staff gap created by additional tasks given to the Agency without associated staffing: 7 TAs for SAFA, 1 TA linked to Croatia joining the EU, 2 TAs for Rulemaking activities on Remotely Piloted Air Systems, +4 TAs due to the increasing importance of the environmental Rulemaking activities compensated by -4 TAs reduction in the Flight Standard Rulemaking department, in this way also complying with the 2% reduction request from the Commission

4.2.2. *Salary assumption for calculating salary lines (% applied)*

- + 1,0% annual salary adjustment, based on the average increase in recent years
- + 2,1% annual increase due to a percentage of staff members advancing to the next step in their grade
- + 0,6% annual increase to cover the costs of a reasonable promotion policy
- 1,0% vacancy rate
- Total 2,7%

4.2.3. *Vacancy rate as of end-2012*

3,4% end of December, not taking into account 10 Temporary Agents that confirmed a starting date in 2013 and 3 Temporary Agents retiring on the 1st of January 2013. Taking in to account those movements the Vacancy Rate decreases to 2,4%.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

The part of the standard abatement that is linked to the vacancy rate has been estimated to 1,0%.

For the calculations of the budget, the actual staff costs by grade and section have been used.

In addition, new posts are budgeted calculating only half of their average cost, assuming that the average date of recruitment is mid-year.

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

The evolution of the Title 1 is linked to the evolution of the staff. The Agency considers that the constant revenue stream deriving from the activity with the industry will grant the sustainability of the growth. In order to face new challenges deriving both from new tasks and growing requests from stakeholders it is necessary that the overall number of experts increases overtime. Most of the posts requested in the Certification activities (financed by fees & charges) will have no impact on the total budget. The costs will be transferred from outsourcing (Title 3) to staff costs (Title 1) in line with the internalisation strategy of the Agency.

On the contrary, through constant process improvements and efficiency gains, the administrative resources will remain stable over time.

The salary evolution is linked to a growth of 2,7% on an annual basis which is in line with average of the previous years.

4.3.2. *Title 2*

Administrative expenses are strictly controlled by the Agency and despite the foreseen increase of staff, through the optimisation of the office space, Title 2 overall costs will not increase. IT related costs are lowering in time due to a long term strategy aiming to reduce the external consultants.

4.3.3. *Title 3*

There will be a decrease in subsidy financed activities - like Rulemaking, translations or organisation of expert meetings. This is compensated by an increase in Outsourcing and Mission activities which is line with the projected increase in the Certification and Approval activities. The net increase in Title 3 will be EUR 2 500 000 from 2013 to 2014.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Koln	Koln Triangle, Ottoplatz 1	18 645	6 528 763	No	No	N/A	Figure includes building charges which fluctuate on an annual basis - space as of 1 June 2012
Brussels	Ave de Cortenbergh 100; 1040 Brussels	540,66	156 358	No	No	N/A	Figure includes building charges which fluctuate on an annual basis

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>Direct taxes: exemption from direct taxes for the Agency, its assets, revenue and other assets (c.f. Art. 3(1) of the Protocol)</p> <p>Indirect taxes: remitting or refunding of indirect taxes or sales taxes (c.f. Art 3(2) of the Protocol)</p> <p>Exchange of goods and services: specification on articles intended for the Agency's official use (c.f. Art. 3(2) and Art. 4 of the Protocol);</p>	<p>According to Art. 30 of Regulation (EC) 216/2008, the Protocol on the Privileges and Immunities of the European Communities annexed to the Treaties establishing the European Community and the European Atomic Energy Community shall apply to the Agency.</p> <p>The applicability of the Protocol has been confirmed and specified by a letter of the German Federal Ministry of Transport, Building and Housing on the settlement of the EASA in Cologne, dated 02.11.2004.</p> <p>Details concern in particular:</p> <ul style="list-style-type: none"> inviolability of the premises and building inviolability of the archives (incl. files, communication, etc.) direct/indirect taxes exchange of goods and services flag & symbol rules on Agency staff (specification of Art. 12, 13 and 14 of the Protocol) <p>The main privileges and immunities (with relevance for the financial statement) are listed below:</p> <p>Direct taxes: exemption from national taxes on salaries, wages and emoluments paid by the Union (c.f. Art. 12 (2) of the Protocol)</p> <p>Exemption from duties at the time first taking up their post: right to import free of duty furniture and effects at the time of first taking-up their post (also applicable to motor cars) (c.f. 11(d) and 11(e) of the Protocol)</p> <p>Avoidance of double taxation (c.f. Art. 13 of the Protocol)</p>	<p>No privileges are granted to staff. However, EASA has taken some initiative to facilitate the aspect of schooling and kindergarten for EASA staff</p>

5.3. Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by 12 November 2008 (submitted by the EASA Management Board in accordance with Article 51 of Regulation (EC) 1592/2002 (now Art. 62 of Regulation (EC) 216/2008).

It was found that the Regulation has had a positive impact in a number of areas, including:

- Creating an independent regulatory structure for aviation safety;
- Providing a set of legally binding rules;
- Facilitating a competitive market in aeronautical products; and
- Establishing EASA, which has become accepted as an independent, competent regulator, delivering high quality certification services, and actively promoting aviation safety both in Europe and beyond.

In addition, recommendations were made in the following areas:

- General;
- Interface with stakeholders;
- Link between the Agency's mandate and resources;
- Improvement of safety performance;
- Governance;
- Rulemaking.

A new evaluation of the agency is expected to be carried out by end 2013.

2.2.1.1.6. European Maritime Safety Agency (EMSA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 1406/2002	27/06/2002	The Agency has been created to ensure a high, uniform and effective level of maritime safety, maritime security within the limits of the tasks defined in the Regulation, prevention of pollution and response to pollution by ships within the Community. The Agency provides the Member States and the Commission with the technical and scientific assistance needed and with a high level of expertise, in order to help them to apply Community legislation properly in the above-mentioned fields, to monitor its implementation and to evaluate the effectiveness of the measures in place.
Regulation 1644/2003	22/07/2003	The amendments refer to the right of access to documents, implementation and control of the budget.
Regulation 724/2004	31/03/2004	Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added.
Regulation 2038/2006	18/12/2006	The Regulation lays down detailed arrangements for the financial contribution of the Community to the budget of EMSA for the implementation of the tasks assigned to it in the field of responding to pollution caused by ships and other associated actions (multi annual funding).
Regulation amending 100/2013 Regulation 1406/2002)	15/01/2013	The revised objectives of the Agency are defined as "ensuring a high, uniform and effective level of maritime safety, maritime security, prevention of, and response to, pollution caused by ships as well as response to marine pollution caused by oil and gas installations. To that end, the Agency shall cooperate with the Member States and the Commission and provide them with technical, operational and scientific assistance in the relevant fields of activities. It is also stressed that by providing its assistance, the Agency shall, where appropriate, contribute to the overall efficiency of maritime traffic and maritime transport as set out in this Regulation, so as to facilitate the establishment of a European maritime transport space without barriers. The tasks of the Agency are divided in two "families": core tasks and ancillary tasks.

Seat Lisbon, Portugal

Budget line 06 02 03 01 — European Maritime Safety Agency (EMSA)

06 02 03 02 — European Maritime Safety Agency (EMSA) – Anti-pollution measures

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	146	139	146	146
Establishment plan posts: AST	67	65	67	64
Total Establishment plan posts	213	204	213	210
Contract Agents	29	25	29	30
Seconded National Experts	15	12	15	18
Total staff	257	241	257	258

- Financial Resources

Revenues	2013		2014	
	Revenues estimated by the agency		Budget Forecast	
	CA	PA	CA	PA
EU contribution	55 892 094	52 211 755	50 452 997	38 505 439
Other revenue	1 708 402	1 605 353	1 841 606	1 507 075
Total revenues	57 600 496	53 817 108	52 294 603	40 012 514

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	20 735 000	20 735 000		
Title 2	4 329 500	4 329 500		
Title 3 - traditional	9 238 432	9 822 553		
Title 3 - APM	23 297 564	18 930 055		
Title 3 - Total	32 535 996	28 752 608		
Total expenditure	57 600 496	53 817 108	52 294 603	40 012 514

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14		1		1		1		1		1
AD 13	1	3		2	1	3	1	3	1	3
AD 12	1	9		6	1	9	1	9	1	9
AD 11		11	2	1		11		11		11
AD 10	1	17		14	1	17	1	17	1	17
AD 9		25	1	20		25		28		28
AD 8	1	23		32	1	23	1	24	1	24
AD 7		24		25		24		24		24
AD 6		19		15		19		20		20
AD 5		9		21		9		4		4
AD total	4	142	3	137	4	142	4	142	4	142
AST 11										
AST 10						1		1		1
AST 9		1		1						
AST 8		1				1		1		1
AST 7		1				1		1		1
AST 6		3		2		3		5		5
AST 5		11	1	12		15		17		17
AST 4		20		17		20		20		19
AST 3		19		21		19		17		17
AST 2		9		8		7		3		3
AST 1		2		3						
AST total		67	1	64		67		65		64
TOTAL	4	209	4	201	4	209	4	207	4	206
GRAND TOTAL	213		205		213		211		210	

(*) One offer letter for AD9 has been included. One official is undergoing the process of certification from AST to AD.

In order to allow for margins for promotion and as foreseen in the Staff Regulations (Annex IB) the 2014 establishment plan will need to be amended as follows: - 3 AD5 will be turned into 3 AD9 ; In the AST FG: 2 AST2 and 2 AST3 will be turned into 2 AST5 and 2 AST6.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3	3	5	6
Function Group III	5	3	4	4
Function Group II	19	17	18	18
Function Group I	2	2	2	2
Total	29	25	29	30

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	15	12	15	18

(*) Estimate on the basis of average costs; (*) CA: estimate on the basis of the budgetary envelope available, average costs and Function Groups required. 1FGII and 1FGIII transformed in 2 FGIV. (*) SNE: budgetary envelope available would allow recruiting up to 17 SNE, as proposed in the initial input for Budget 2013

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	374 058	200 000	300 000	300,000	
2 EU CONTRIBUTION	50 026 486	55 892 094	51 732 322	50 452 997	
of which fresh appropriations	50 026 486	53 871 513	51 658 120	50 378 795	
of which assigned revenues deriving from previous years' surpluses		2 020 581	74 202	74 202	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 300 689	1 508 402	1 446 427	1,410,606	
Of which EFTA	1 300 689	1 508 402	1 444 427	1,410,606	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS			131 000	131,000	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	51 701 233	57 600 496	53 609 750	52 294 603	-9,2%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	19 442 722	20 735 000	20 947 000		
11 Salaries & allowances	17 870 355	19 551 000	19 747 000		
- of which establishment plan posts	16 302 440	17 783 000	17 912 000		
- of which external personnel	1 567 914	1 768 000	1 835 000		
12 Expenditure relating to Staff recruitment	356 572	279 000	275 000		
13 Mission expenses	52 664	110 000	110 000		
14 Socio-medical infrastructure, training	326 025	330 000	330 000		
15 Training					
16 Social Measures	815 000	425 000	445 000		
17 Receptions and events	22 107	40 000	40 000		
Title 2 - Infrastructure and operating expenditure	4 323 577	4 329 500	4 316 500		
20 Rental of buildings and associated costs	3 218 464,10	3 297 000	3 302 000		
21 Information and communication technology	616 929	424 000	399 000		
22 Movable property and associated costs	79 902	88 000	88 000		
23 Current administrative expenditure	74 975	170 500	162 500		
24 Postage / Telecommunications	213 307	230 000	245 000		
25 Meeting expenses	120 000	120 000	120 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	27 934 934	32 535 996	28 346 250		
30 Satellite AIS and Maritime Surveillance	309 301	0	480 000		
31 Development of Databases	3 683 380	4 324 707	3 513 700		
32 Information and Communication	67 518	80 000	80 000		
33 Operational meetings	518 330	770 000	783 000		
34 Operational translation costs	80 960	200 000	200 000		
35 Studies	204 661	367 292	397 550		
36 Operational missions	714 584	786 433	750 000		
37 training linked to maritime affairs	705 586	790 000	861 000		
38 Anti-Pollution measures	19 741 432	23 297 564	19 675 000		
39 L.R.I.T	1 909 182	1 920 000	1 606 000		
TOTAL EXPENDITURE	51 701 233	57 600 496	53 609 750	52 294 603	-9,2%

Chapter 14 contains training; differentiated appropriations in Title 3.

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	19 284 022	20 735 000	20 947 000		
11 Salaries & allowances	17 846 637	19 551 000	19 747 000		
- of which establishment plan posts	16 278 722	17 783 000	17 912 000		
- of which external personnel	1 567 914	1 768 000	1 835 000		
12 Expenditure relating to Staff recruitment	325 209	279 000	275 000		
13 Mission expenses	47 147	110 000	110 000		
14 Socio-medical infrastructure, training	277 247	330 000	330 000		
15 Training					
16 Social measures	777 979	425 000	445 000		
17 Receptions and events	9 802	40 000	40 000		
Title 2 - Infrastructure and operating expenditure	3 385 294	4 329 500	4 316 500		
20 Rental of buildings and associated costs	2 783 067	3 297 000	3 302 000		
21 Information and communication technology	277 867	424 000	399 000		
22 Movable property and associated costs	64 132	88 000	88 000		
23 Current administrative expenditure	55 328	170 500	162 500		
24 Postage / Telecommunications	95 650	230 000	245 000		
25 Meeting expenses	109 249	120 000	120 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	28 451 773	28 752 608	29 528 999		
30 Satellite AIS and Maritime Surveillance	85 468	250 000	330 000		
31 Development of Databases	4 905 712	4 083 851	4 160 149		
32 Information and Communication	69 801	80 000	80 000		
33 Operational meetings	501 188	828 000	760 500		
34 Operational translation costs	145 771	200 000	200 000		
35 Studies	238 742	336 265	395 050		
36 Operational missions	618 262	796 433	755 000		
37 training linked to maritime affairs	665 111	790 000	861 000		
38 Anti-Pollution measures	18 806 903	18 930 055	19 926 063		
39 L.R.I.T	2 414 815	2 458 004	2 061 237		
TOTAL EXPENDITURE	51 121 088	53 817 108	54 792 499	40 012 514	-25,7%

Chapter 14 contains training; differentiated appropriations in Title 3.

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 74 202.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources:

The Commission approves the 2% reduction of establishment-plan posts proposed by EMSA which would translate into a reduction of 2 AST2 posts (by pooling secretariat functions) and 2 AD5 posts (following internal reorganisation in the advisory functions). To be noted that these cuts concern EMSA Directorate A. The Commission had last year noted an imbalance between staff dedicated to horizontal activities and staff dedicated to the core tasks of the Agency so these cuts go in the right direction. However regarding operational activities, further efforts will also be needed in the future to rationalise and optimise staff repartition, define negative priorities and implement further redeployment that will be needed to cover new tasks.

Regarding new staff request, Commission agrees, for 2014, to grant 1 out of the 2 requested additional AD posts to work on the "Blue Belt" project and the possible development of a Permanent Service. This is a priority for the Commission, a new task for the Agency and EMSA is already stretched on this activity after having redeployed staff to work on the Reporting Formalities Directive. However the Commission disagrees with the request by EMSA for an additional AD post to work on response to spills from off-shore installations. While it is agreed that this will require new expertise, this need should be covered either by externalising and/or rationalising and optimising the existing anti-pollution team to enable some redeployment.

Taking the above into consideration, the total establishment plan posts for 2014 is set at a level of 210.

4.1.2. Financial Resources:

The EU contribution for EMSA for 2014 is set at the level of the request of the Agency, with a 4% discount applied to reflect the execution rate of the allocated commitment appropriations in 2012 which is less than 95% and the cancellation rate of payments of more than 9%.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

For 2014 the Agency intends to implement the staff cut of 1% with a reduction of its establishment plan of 2 AST2 posts. Moreover, the Agency, even in presence of new tasks, has been requested to apply an additional 1% taxation of the number of posts: as a result the Agency is ready to cut 2 AD5 posts, following internal reorganisation in the advisory functions.

At the same time, being an Agency with new tasks and a new enlarged mandate, the Agency requests 3 new positions in the AD Function Group to address the new tasks foreseen by the revision of its founding Regulation, in areas where redeployment cannot be envisaged as there is not sufficient expertise available in the Agency, and the existing staff has already to cope with an increased workload to face new challenges coming from the revision of the Founding Regulation. Finally, since the number of staff requesting leave on personal grounds is increasing, the Agency requests an additional contract agent position FG IV.

Given the growing interest of the Member States in the Agency's activities, three additional SNE posts have also been requested, and the additional experts will be seconded to the Units dealing with the new tasks arising from the revision of the Founding Regulation as well as to the Maritime Support Services, main contact point with the national administrations for the maritime systems run by the Agency. It has to be noted that the relevant budgetary envelope foreseen by the Agency for 2013 would already allow to recruit, by the year end, 17 SNEs, as proposed in the Agency's input for Budget 2013.

Staff Requirements for 2014 as concerns statutory posts		
Requested staff for new tasks		
Number	Post	Grade
+1	Senior Project Officer for "Blue Belt" Permanent Service	+ 1 AD8
+1	Project Officer for "Blue Belt Permanent Service	+ 1 AD6
+1	Senior Project Officer for Response to Pollution from Offshore Installations	+ 1 AD8
1% cut for existing tasks + 1 % cut taxation		
-2	Reorganisation of secretariats	-2 AST2
-2	Reorganisation of advisory functions	-2 AD5

4.2.2. *Salary assumption for calculating salary lines (% applied)*

Vacancy rate: 1%.

Current payroll values projected including the Rappel 2011 and 2012 annual adjustments.

83,5% weighting coefficient for Portugal.

Net Annual adjustment of 0,5% for 2013.

4.2.3. *Vacancy rate as of end-2012*

The vacancy rate corresponding to statutory staff (posts in the establishment plan) at December 31st was 4,23% (9 posts) and the overall vacancy rate of the Agency (incl. SNEs and Contract Agents was of 6,23%). In addition, 2 AD posts were frozen to absorb the cut of 1% in the establishment plan for the year 2013 and two other AST posts were already put on hold to absorb 1% cut for 2014 related to the existing tasks. Finally, one offer letter was sent out by the end of 2012. If we include this offer, the vacancy rate would be 3,75%, with 205 posts filled.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

0,5%.

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

Under this Title the Agency will need EUR 20 947 000 both in commitment and payment appropriations. EUR 131 000 will be covered with miscellaneous revenue.

The requested amount under this Title (practically at the same level if compared to 2012 and 2013) is needed in order primarily to cover the costs of salaries and allowances of 212 statutory staff (permanent and temporary agents) and 48 non statutory staff (18 national experts and 30 contract agents).

In addition possible recruitment costs for the three new proposed positions and turnover are taken into consideration, together with removals, taking up duties and termination of service.

Training actions (languages, specific trainings, personal and professional development) are charged against this title, with an amount slightly decreased compared to 2013.

The social measure for ensuring the access to multilingual tuition is also included.

4.3.2. *Title 2*

Under this Title the Agency will need EUR 4 316 500 in commitment and payment appropriations.

The main entry under this title is the rental and associated costs for the Agency's premises, with EUR 3 302 000 in commitment and payment appropriations allocated in Chapter 20.

This Title has registered a slight decrease compared to 2013, notwithstanding the increase of inflation, thanks to energy saving measures introduced over the last two years.

4.3.3. *Title 3*

Under this Title the Agency will need EUR 28 346 250 in commitment appropriations and EUR 29 528 999 in payment appropriations (Title 3 traditional: EUR 8 671 250 in Commitment Appropriations and EUR 9 602 936 in Payment Appropriations; Anti Pollution Measures: EUR 19 675 000 in commitment appropriations and EUR 19 926 063 in payment appropriations).

Title 3 refers to the core business of the Agency, its operational activities. The Agency's Preliminary Work Programme for 2014 gives a full picture of these activities.

Under this Title the Agency runs the following major projects in compliance with its Founding Regulation, its Annual Work Programme, its Annual Plan for Oil Pollution Preparedness and Response and relevant EU legislation:

- a) Maritime Applications:
- b) Maritime Databases:
- c) Network of stand-by oil recovery vessels

In addition, under this Title the Agency assists the Commission in the implementation of relevant EU legislation through a programme of inspections and visits, technical assistance through horizontal analysis, studies, reports, and offers and extensive programme of training to Member States.

Title 3 “traditional” (without Anti Pollution Measures)

As concerns Title 3 “Traditional”, the budget requested presents a decrease of EUR - 567 182 for commitment appropriations compared to the previous year. The major changes in commitment appropriations, compared to 2013, are in the following budget lines:

- Satellite AIS and Maritime Surveillance (+ EUR 480 000 in Chapter 3.0)
- Development of Databases (- EUR 811 007 in Chapter 3.1)
- Trainings (+ EUR 71 000 in Chapter 3.7)
- LRIT (- EUR 314 000 in Chapter 3.9)

The other relevant budget lines have been practically kept at the same level as in 2013.

Chapter 3.2, “Information and Communication”, Chapter 3.3, “Meetings”, Chapter 3.4, “Translations”, have been kept practically at the same level as in 2013, and will allow to the Agency to offer the same level of relevant services to the Member States.

Studies will be carried out as requested by the Commission: Chapter 3.5, “Studies”, has a slight increase (30 258 EUR) compared to 2013. The focus will be on measuring ship emissions, supporting tools for inspections of recognised organisations, ship safety and marine equipment.

The Agency will continue to carry out visits and inspections to monitor the implementation of EU legislation on request of the Commission (Recognised Organisations, Systems for Maritime education, training and certification of seafarers, Port State Control, Maritime Security, Vessel Traffic Monitoring, Marine Equipment). The budget allocated in Chapter 3.6, “Missions”, will be slightly decreased (compared to EUR 786 433 in 2013) for a total of EUR 750 000 for commitment appropriations.

Trainings with experts of Member States will be ensured as usual, and Chapter 3.7, “Training activities” will be increased slightly compared to 2013, with the objective to provide additional assistance to Member States (EUR 861 000 in commitment appropriations).

Under Chapter 3.9, the needs in commitment appropriations for 2014 for LRIT will be at EUR 1 606 000. EUR 225 000 will be devoted to IMDATE and EUR 300 000 are reserved for the Blue Belt Permanent Service.

Under Chapter 3.0, as concerns Satellite-AIS data purchase for monitoring projects, in 2014, 280 000 EUR in commitment appropriations are requested in order to retender the contract and in the same year, 200 000 are requested for Maritime Surveillance.

As concerns Payment Appropriations, for Title 3 traditional the Agency will need in total EUR 9 602 936, in order to honour legal commitments coming also from previous years.

Anti Pollution Measures

For Anti Pollution Measures, being still uncertain the possible outcome concerning the new Multi Annual Funding, the Agency proposes a "cautious" approach for 2014: EUR 19 675 000 in commitment appropriations and EUR 19 926 063 in payment appropriations. This will allow to keep up and running - and reinforce it as deemed appropriate - the network of stand-by oil pollution response vessels and specialised equipment stockpiles around Europe, providing a European tier of operational resources to support on request the pollution response mechanisms of any of the Member States.

In particular tenders are planned to replace response capacity of expiring contracts for the Atlantic, the Adriatic Sea and the North Black Sea.

Resources will also be devoted to ensure state-of-the-art equipment (“Improvement” project EUR 1 500 000) and to the development of a dispersants capability (EUR 2 420 000).

Under this Heading (Anti Pollution Measures) resources will, as well, be devoted to CleanSeaNet, the European wide oil spill monitoring and detection service. In 2014 EUR 3 605 000 in commitment appropriations and EUR 3 800 000 in payment appropriations will allow to improve further the service, and enhance the quality and the coverage of the service, including the use of optical images. The CleanSeaNet Data Centre will continue to be hosted in-house.

Article	Anti-pollution measures	Commitment appropriations	Payment appropriations
3 8 1	APM – Oil recovery vessel network, of which: <i>At sea oil recovery service network</i> <i>Missions</i>	15 620 000 <i>15 550 000</i> <i>90 000</i>	15 697 063 <i>15 627 063</i> <i>70 000</i>
3 8 2	APM – CleanSeaNet, of which: <i>CleanSeaNet activities</i> <i>Meetings</i> <i>Missions</i>	3 605 000 <i>3 405 000</i> <i>150 000</i> <i>50 000</i>	3 8000 00 <i>3 680 000</i> <i>80 000</i> <i>40 000</i>
3 8 3	APM – Cooperation & Coordination and Information, of which: <i>Cooperation & Coordination and Information</i> <i>Meetings</i> <i>Missions</i>	450 000 <i>282 000</i> <i>150 000</i> <i>18 000</i>	429 000 <i>261 000</i> <i>150 000</i> <i>18 000</i>
Total		19 675 000	19 926 063

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Lisbon	EMSA HQ	10 667	1,787k	n/a	NO	n/a	Including indexation. Calculations based on SLA with AMCDDA and lease agreement with APL.
Lisbon	Conference Centre (shared with EMCDDA)	2 116,26	290k	n/a	NO	n/a	Including indexation. Calculations based on SLA with AMCDDA and lease agreement with APL.
Lisbon	Palacete (shared with EMCDDA)	1 933,92	81K	n/a	NO	n/a	Including indexation. Calculations based on SLA with AMCDDA and lease agreement with APL.
Lisbon	Underground parking (101 spots)	n/a	136K	n/a	NO	n/a	Including indexation. Calculations based on SLA with AMCDDA and lease agreement with APL.
Lisbon	External parking (49 spots)	n/a	n/a	n/a	NO	n/a	Current lease agreement with APL temporarily suspended. Works ongoing in the relevant area. Contacts with Camara Municipal de Lisboa. Possible alternative solution identified.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted;</p> <ul style="list-style-type: none"> • Exemption from direct taxes; • Exemption from purchase tax on goods and services; • Exemption from customs duties and from any taxes on imports and exports; 	<p>Comparable category of the members of diplomatic corps in Portugal;</p> <ul style="list-style-type: none"> • Exemption from national taxes on earning, salaries and respective payments paid by the Agency; • Immunity from jurisdiction as regards acts carried out by the staff member in official capacity; • Exemption from purchase tax on goods and services (VAT); • Exemption from customs duties and from any taxes on imports and exports (duty-free); • Exemption from any duties and any import restrictions 	<p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently provides access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct</p>

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<ul style="list-style-type: none"> • Exemption from any duties and any import restrictions on vehicles of official use; • Exemption from road tax for vehicles under “special registration” (diplomatic plates); • Exemption from taxation on fuel and lubricants; • Replacement of official vehicles every 5 years; • Special status of the Agency Headquarters (Similar to Diplomatic mission); • Security staff with special authorisation to carry firearms; • Exemption from any charges for Visas and other authorisations for EMSA guests. 	<ul style="list-style-type: none"> on vehicles of official/private use; • Exemption from road tax for vehicles under “special registration” (diplomatic plates); • Exemption from taxation on fuel and lubricants; • Replacement of official/private vehicles once after 4 years 	<p>agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations. Educational allowances are duly determined and paid when due.</p>

5.3. Evaluation

In accordance with Article 22 of Regulation 1406/2002/EC establishing a European Maritime Safety Agency, the Administrative Board shall “within five years from the date of the Agency having taken up its responsibilities,(...) commission an independent external evaluation on the implementation of this Regulation.”. The exercise was launched in November 2006, The final report was made available in April 2008: the evaluation assessed the impact of the EMSA founding Regulation, the Agency and its working practices. This involved a wide stakeholder consultation process addressing the relevant government departments of the EU Member States; third countries; labour organisations; industry associations; NGOs; Member of the European Parliament, the European Commission and EMSA staff. The overall outcome of the evaluation report was positive and demonstrated that the Agency has added value to the sector in general and in particular to its main stakeholders, the Member States and the Commission. In June 2008 the Administrative Board adopted a set of recommendations.

The evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council and made public, and used by the Commission as a basis for the revision of the Founding Regulation proposed in October 2010 (COM (2010) 611). They are available on the Agency’s website at the following link:

https://extranet.emsa.europa.eu/index.php?option=com_content&task=view&id=188&Itemid=209

It is expected that the Administrative Board, following the revision of the mandate of the Agency and in the light of some experience gained, will launch the evaluation exercise within the next five years.

2.2.1.1.7. European Railway Agency (ERA)

1. Overview

- Creation / modification (legal base)
-

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 881/2004	29/04/2004	<p>The objectives of the Agency are to contribute, at technical level, to the implementation of the EU legislation aiming at improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety.</p> <p>Pursuing those objectives, the Agency takes fully into account the enlargement process of the European Union and the specific constraints relating to rail connections with third countries.</p> <p>The missions and of the powers that are assigned to the Agency fall under its exclusive competence.</p>
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	<p>Regulation 1335/2008 allocates the following new tasks to the Agency:</p> <ul style="list-style-type: none"> • Overseeing the mutual recognition, of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation. • Providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIS. • Recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles. • Make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive. • Drawing up specifications for registers of vehicles, vehicle types, and infrastructure. • Managing the ERTMS change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS.
Regulation (EC) No 454/2011 (TAP TSI)	05/05/2011	<p>This Regulation allocates the following tasks:</p> <ul style="list-style-type: none"> • To ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list), and for intermodal timetables and fares. The developed specifications must be incorporated into a revision of Regulation 454/2011 to close open points. • To monitor the development and implementation of the TAP target system (according to delivered master plans) in order to meet the data provision requirements of the European rail passengers' rights regulation 1371/2007. To this and the Agency has to liaise with EU member states and smaller railways/ticket vendors to make them understand how they have to comply with the provisions of Regulation 454/2011. • To publish various reference files which are necessary to implement the future TAP target system. • To ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 454/2011 and to inform EC about these changes. To this end ERA manages a web based registry which contains above changes. • To assess the compliance of IT solutions developed for the implementation of the future TAP target system.
Regulation (EC) No 328/2012 amending regulation 62/2006 (TAF TSI)	17/04/2012	<p>This Regulation allocates the following tasks:</p> <ul style="list-style-type: none"> • To review the Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately. • To monitor the development and implementation of the TAF target system (according to delivered master plans). To this end the Agency has to liaise with EU member states and smaller railways/professional freight customers to make them understand how they have to comply with the provisions of Regulation 328/2012.

Seat Valenciennes and Lille, France

Budget line 06 02 04 — European Railway Agency (ERA)

• Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	101	97	102	102
Establishment plan posts: AST	43	42	41	38
Total Establishment plan posts	144	139	143	140
Contract Agents	14	10	11	11
Seconded National Experts	7	5	4	4
Total staff	165	154	158	155

For 2013, the distribution of posts in the establishment plan adopted (103 AD and 40 AST) was different than the one proposed by the Agency; therefore, the Agency has presented a proposal to amend the establishment plan to the Administrative Board. The figures in the 2013 column represent this proposal.

• Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	25 007 400	25 007 400
Other revenue	851 399	666 450
Total revenues	25 858 799	25 678 850

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	15 740 000	15 740 000		
Title 2	2 750 000	2 750 000		
Title 3	7 368 799	7 368 799		
Total expenditure	25 858 799	25 858 799	25 678 850	25 678 850

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13										
AD 12										
AD 11		4				2		4		4
AD 10		12		8		13		11		11
AD 9		27		26		26		29		29
AD 8		17		23		23		21		21
AD 7		11		1		9		12		12
AD 6		27		36		28		24		24
AD 5		2		2		1				
AD total		101		97		103		102		102
AST 11										
AST 10										
AST 9		1				1		2		2
AST 8		2		2		2		3		3
AST 7		2		1		2		3		3
AST 6		2		3		2		2		2
AST 5		5		6		5		5		5
AST 4		6		4		6		7		7
AST 3		8		8		8		8		8
AST 2		10		11		10		9		8
AST 1		7		7		4		2		
AST total		43		42		40		41		38
TOTAL		144		139		143		143		140
GRAND TOTAL		144		139		143		143		140

For 2013, the distribution of posts in the establishment plan adopted (102 AD and 41 AST) was different than the one proposed by the Agency; therefore, the Agency has presented a proposal to amend the establishment plan to the Administrative Board.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	4	2	1	3
Function Group III	1	1	1	1
Function Group II	6	4	6	4
Function Group I	3	3	3	3
Total	14	10	11	11

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	7	5	4	4

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	5 000	5 000	5 000	5 000	0,0%
2. EU CONTRIBUTION	23 697 577	25 007 400	25 406 957	25 007 400	0,0%
of which fresh appropriations	23 177 577	24 871 400	24 572 957	23 573 064	-5,0%
of which assigned revenues deriving from previous years' surpluses	520 000	136 000	834 000 (1)	1 434 336	95,5%
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	786 600	846 399	694 447	666 450	-21,3%
Of which EFTA	636 000	696 399	688 043	660 046	-5,2%
Of which Candidate Countries	150 000	150 000			-100,0%
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	24 489 177	25 858 799	26 100 000	25 678 850	-0,69%

(1) Agency assumption for assigned revenue = Outturn 2012 (1 434 336) - retroactive effect of the 2011/2012 Salary adjustments (EUR 600 000)

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	15 891 955	16 700 000	17 200 000		
11 Salaries & allowances	15 245 203	15 850 000	16 500 000		
- of which establishment plan posts	14 833 107	15 190 000	16 030 000		
- of which external personnel	412 096	660 000	470 000		
12 Expenditure relating to Staff recruitment					
13 Mission expenses	141 000	120 000	120 000		
14 Socio-medical infrastructure	32 102	50 000	50 000		
15 Training	240 706	250 000	250 000		
16 External Services	232 944	420 000	275 000		
17 Receptions and events		10 000	5 000		
Title 2 - Infrastructure and operating expenditure	2 060 363	2 750 000	2 800 000		
20 Rental of buildings and associated costs	1 191 245	1 440 000	1 412 000		
21 Information and communication technology	396 832	520 000	732 000		
22 Movable property and associated costs	34 374	170 000	171 000		
23 Current administrative expenditure	164 797	185 000	203 000		
24 Postage / Telecommunications	185 615	200 000	195 000		
25 Meeting expenses	87 500	235 000	85 000		
Title 3 - Operational expenditure	6 536 859	6 408 799	6 100 000		
30 Operational activities directly linked to the Regulation n° 881/2004	3 971 790	3 655 000	3 100 000		
31 Operational expenditures	2 565 069	2 753 799	3 000 000		
TOTAL EXPENDITURE	24 489 177	25 858 799	26 100 000	25 678 850	-0,69%

The 2013 adopted budget was unbalanced and not in line with the Agency's request. The Agency has therefore proposed a transfer of appropriations from Title 3 to Title 1 (EUR 960 000). The proposal to be submitted to the Administrative Board is included in column Budget 2013.

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	15 891 955	16 700 000	17 200 000		
11 Salaries & allowances	15 245 203	15 850 000	16 500 000		
<i>of which establishment plan posts</i>	<i>14 833 107</i>	<i>15 190 000</i>	<i>16 030 000</i>		
<i>of which external personnel</i>	<i>412 096</i>	<i>660 000</i>	<i>470 000</i>		
12 Expenditure relating to Staff recruitment					
13 Mission expenses	141 000	120 000	120 000		
14 Socio-medical infrastructure	32 102	50 000	50 000		
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Title 3 - Operational expenditure	6 536 859	6 408 799	6 100 000		
30 Operational activities directly linked to the Regulation n° 881/2004	3 971 790	3 655 000	3 100 000		
31 Operational expenditures	2 565 069	2 753 799	3 000 000		
TOTAL EXPENDITURE	24 489 177	25 858 799	26 100 000	25 678 850	-0,69%

The 2013 adopted budget was unbalanced and not in line with the Agency's request. The Agency has therefore proposed a transfer of appropriations from Title 3 to Title 1 (EUR 960 000). The proposal to be submitted to the Administrative Board is included in column Budget 2013.

3.3. *Budget outturn*

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 434 336.

4. **Justification of needs**

4.1. *Commission assessment of agency request*

4.1.1. *Human Resources*

The Commission's assessment of the ERA's staffing needs for 2014 sets a level of 140 establishment plan posts, i.e. a reduction of 3 posts is foreseen as part of the 5% staff reduction policy and as a contribution to the redeployment pool.

4.1.2. *Financial Resources*

The EU contribution for ERA in 2014 is set at the level authorised in 2013.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

Again in 2014, the Agency will redeploy staff in order to cope with the changes in tasks. The main increase in 2014 will be in the area of dissemination tasks. By redeploying 10 FTE so far occupied with development tasks (first phase of the Agency to develop CSM, CST, TSI etc.) it will be possible to ensure a minimum coverage of the Agency's tasks and still reduce the total complement of staff by 2% : 1 % to achieve the objective of a 5 % staff reduction over five years plus 1 % for creating a pool for redeployment. These 2 % reduction lead to a staff reduction of 2,86 Full Time Equivalent (FTE) of the 2013 Establishment plan.

However, in two areas, new tasks allocated to the Agency will require in total 3 additional FTE in 2014:

1. Recommendation no. B.6 of the Report on the work performed by the Task Force on Railway Vehicles Authorisation foresees pilot initiatives being tested in anticipation of the forthcoming 4th Railway Package. In order to be able to do so in 2014 one additional FTE will be required.
2. ERA has been requested to take upon it the role as System Authority for Telematics Applications (TAF and TAP). To do so, two additional FTE will be required from 2014.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

The number of staff foreseen at the end of 2014 is 143 TA + 11 CA + 4 SNE.

The necessary amount to pay salaries is EUR 16 500 000 and includes 1 forecast of 1% for salary and weighting factor adjustments relating to the 2013 and 2014 exercises and promotions in 2014, the effects of the 2011 (+1,7%) and 2012 (+1,7%) salary adjustments and the effects of the 2011(+0,4%) and 2012 (+1,1%) weighting factors adjustments.

Compared to 2013, this represents an increase of 4,1% but It has to be taken into account that 17 temporary agents will have to be replaced in 2014, which will incur travel to the place of origin, resettlement and moving expenses for leaving staff, and travel to the place of employment, instalment, moving expenses and daily allowances for incoming staff.

The amount for these expenses has been estimated at EUR 600 000 in article 118. In 2013, there will be the same turnover but the related costs are not properly reflected in the 2013 budget. The amount included in article 118 is EUR 240 000. Due to the budget constraint, like 2013, the Agency will have to delay some replacements in 2014.

4.2.3. *Vacancy rate as of end-2012*

The vacancy rate as of end-2012 is 3,5%, corresponding to 5 establishment posts still to be filled in order to reach the 144 posts authorized in 2012.

1 AST post became vacant on 01/08/2012 but the Agency decided to convert it as an AD post in line with the draft Establishment plan proposed by the Commission in 2012; the vacancy notice still need to be prepared.

3 AD posts became vacant on 16/09/2012; 2 vacancy notices will be published before the summer 2013 and 1 recruitment procedure was concluded in January 2013 and a job offer was made.

1 AD post became vacant on 01/11/2012; the recruitment procedure was concluded in January 2013 and a job offer was made.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

N/A

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

See point 4.2 for salary expenditures.

Other expenditures in Title 1 remain at the same level as 2013.

The amount for Seconded National Experts is decreased compared to 2013 because when preparing the 2013 budget, ERA assumed that it would maintain the 7 SNE authorised in the 2012 budget. But due to budget constraint and to difficulties in recruiting SNE in 2012, ERA will only have 4 SNE in 2013. The budget is therefore overestimated in the SNE budget line and the available credits will need to be transferred in article 118 where the expenditures are underestimated (see point 4.2.2 above).

4.3.2. *Title 2*

Basically, the Agency will keep the same level as 2013 for the running costs.

- Chapter 20 "Investment in movable property, rental of buildings and associated costs"
 - o EUR 100 000 for furnishing of premises. Compared to 2013, the increase is due to investment in GTC ("Gestion Technique Centralisée") allowing a better day to day management of the technical installations of the Agency HQ building in Valenciennes.
 - o EUR 220 000 for security and surveillance. Compared to 2013, the decrease is due to the fact that no specific investment is foreseen; only costs related to Reception and security services.
- Chapter 21 "Information and Communication Technology".

It has to be noted that the fleet of laptops of the Agency will be depreciated in 2014. The estimated cost for the replacement is EUR 400 000. Due to budget constraint, this amount is not available in the current budget and might have negative consequences in the day to day work of the staff members.

- Chapter 22 "Movable property and associated costs"

Since 2010, the Agency has delayed the audio visual investment. Again, in the current 2014 estimate, the investment in audio visual equipment and systems solutions for the meeting rooms located on the ground floor of the Agency HQ building in Valenciennes (investments estimated around EUR 240 000) will be delayed.

4.3.3. Title 3

The Title 3 decreases by 4,82 % compared to 2013:

Chapter 30: EUR 3 100 000 for the operational activities (meetings, translation and studies)

Chapter 31: EUR 3 000 000 (including EUR 2 430 000 for IT support to the operations).

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Valenciennes (France)	Location d'un immeuble entier (L'Agence est le seul locataire)	5 250M ² de Surface Hors Oeuvre Nette (S.H.O.N)	Pour 2013: 429 0509 EUR HT/an	NO	NO		
Valenciennes (France)	Location de 80 places de parking auprès de la SAEM	800 M ²	Pour 2013: 22 742 EUR HT/an	NO	NO		Places de parking à proximité de l'immeuble de l'Agence à Valenciennes
Lille (France)	Location de surfaces de bureaux au sein de l'Espace International à Lille	685,14 M ²	Pour 2013: 159 356 EUR HT/an	NO	NO		
Lille (France)	Location de 8 places de parking au sein de l'Espace International à Lille		Pour 2013: 12 743 EUR HT/an	NO	NO		

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
N/A	N/A	N/A

5.3. Evaluation

An evaluation of the agency has been finalised and DG MOVE is currently taking the results into consideration in order to update the founding Regulation in the context of the fourth Railway package. The report and the main findings of the latest evaluation are available on the website of DG MOVE.

2.2.1.1.8. European Network and Information Security Agency (ENISA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No. 460/2004 of the European Parliament and of the Council of 10 March 2004 establishing the European Network and Information Security Agency	10/03/2004	The Agency was established with the main goal of "ensuring a high and effective level of network and information security within the EU, (...) in order to develop a culture of network and information security for the benefit of the citizens, consumers, enterprises and public sector organisations of the European Union, thus contributing to the smooth functioning of the internal market".
Regulation (EC) No 1007/2008 of the European Parliament and of the Council of 24 September 2008 amending Regulation (EC) No 460/2004 establishing the European Network and Information Security Agency as regards its duration, OJ L 293 of 31.10.2008	24/09/2008	Since the mandate of the Agency would expire on 13 March 2009 and in order to ensure consistency and continuity, it was necessary to adopt an extension which would enable further discussion about the Agency, reflecting the results of the Agency evaluation process, the Management Board recommendations and the ongoing review of the regulatory framework for electronic communications networks and services.
Regulation (EC) No 580/2011 of the European Parliament and of the Council amending Regulation (EC) No 460/2004 establishing the European Network and Information Security Agency as regards its duration.	08/06/2011	European Parliament and Council decision to extend the mandate of ENISA to 13 September 2013.
Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013 concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L 165/41 of 18.06.2013	21/05/2013	The Regulation: <ul style="list-style-type: none"> - Extends the Agency's mandate for the 7 years; - Modernises the Agency in the following ways: <ul style="list-style-type: none"> • greater flexibility; • better positioning of the Agency in the EU regulatory process, providing national authorities and EU institutions with assistance and advice; • interface with the fight against cyber crime; • strengthened governance; • simpler procedures to improve efficiency.

Seat

Heraklion, Greece

Budget line

09 02 03 – European Network and Information Security Agency (ENISA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	31	29	31	34
Establishment plan posts: AST	16	15	16	14
Total Establishment plan posts	47	44	47	48
Contract Agents	14	12	14	16
Seconded National Experts	5	4	5	5
Total staff	66	58	66	69

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 335 553	8 821 654
Other revenue	229 775	244 692
Total revenues	8 565 328	9 066 346

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 453 542	5 453 542		
Title 2	629 000	629 000		
Title 3	2 482 786	2 482 786		
Total expenditure	8 565 328	8 565 328	9 066 346	9 066 346

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13										
AD 12		3		1		3		3		3
AD 11				2*						
AD 10		5		2		5		5		5
AD 9		8		6		9		9		9
AD 8		5		3		7		7		7
AD 7		9		8		6		6		6
AD 6				5*						
AD 5				1				4		3
AD total		31		29		31		35		34
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		2				2		2		2
AST 5		6		3		6		6		6
AST 4		1		3		1		1		1
AST 3		2		4		2		2		2
AST 2		5		4		5		3		3
AST 1				1						
AST total		16		15		16		14		14
TOTAL		47		44		47		49		48
GRAND TOTAL		47		44		47		49		48

(*) Job offers were sent to 1 AD 11 and 1 AD 6, both starting on 01/01/2013. They are included in the filled posts calculation; therefore no vacant posts existed on 31/12/2012, except for the 3 TA posts subject to adoption of the new legal base (Recruitment of Recruitment of 2AD, 1AST and 1 Contract Agent post in 2012 was subject to the adoption of new legal base for ENISA (COM(2010) 521).

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	2	0	0	0
Function Group III	10	10	12	14
Function Group II	1	1	1	1
Function Group I	1	1	1	1
Total	14	12	14	16

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	5	4	5	5

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	7 943 817	8 335 553	11 178 972	8 821 654	
of which fresh appropriations	7 852 133	8 206 258	11 096 318	8 739 000	
of which assigned revenues deriving from previous years' surpluses	91 684	129 295	82 654	82 654	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	214 347	229 775	310 697	244 692	
Of which EFTA	214 347	229 775	310 697	244 692	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	8 158 164	8 565 328	11 489 669	9 066 346	5,85%

The EFTA contribution for 2014 is estimated at the same % as for 2013, i.e. 2,8%.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 247 356	5 453 542	8 045 540		
11 Salaries & allowances	4 514 621	4 962 542	6 641 579		
- of which establishment plan posts	3 982 800	4 401 500	5 888 209		
- of which external personnel	531 820	561 042	753 370		
12 Expenditure relating to Staff recruitment	200 509	193 000	605 368		
13 Mission expenses	0	0	0		
14 Socio-medical infrastructure	99 326	125 000	214 808		
15 Training	0	0	0		
16 External Services	432 901	173 000	583 785		
17 Receptions and events	0	0	0		
Title 2 - Infrastructure and operating expenditure	694 572	629 000	1 066 849		
20 Rental of buildings and associated costs	229 571	250 000	430 337		
21 Information and communication technology	339 9389	255 000	458 806		
22 Movable property and associated costs	34 741	39 000	123 850		
23 Current administrative expenditure	90 321	85 000	53 856		
24 Postage / Telecommunications	0	0	0		
25 Meeting expenses	0	0	0		
26 Running costs in connection with operational activities	0	0	0		
27 Information and publishing	0	0	0		
28 studies	0	0	0		
Title 3 - Operational expenditure	2 216 235	2 482 786	2 377 280		
Operational expenditure	2 216 235	2 482 786	2 377 280		
TOTAL EXPENDITURE	8 158 164	8 565 328	11 489 669	9 066 346	5,85%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 088 141	5 453 542	8 045 540		
11 Salaries & allowances	4 514 621	4 962 542	6 641 579		
- of which establishment plan posts	3 982 800	4 401 500	5 888 209		
- of which external personnel	531 820	561 042	753 370		
12 Expenditure relating to Staff recruitment	154 770	193 000	605 368		
13 Mission expenses	0	0	0		
14 Socio-medical infrastructure	76 771	125 000	214 808		
15 Training	0	0	0		
16 External Services	341 980	173 000	583 785		
17 Receptions and events	0	0	0		
Title 2 - Infrastructure and operating expenditure	451 611	629 000	1 066 849		
20 Rental of buildings and associated costs	171 860	250 000	430 337		
21 Information and communication technology	188 742,	255 000	458 806		
22 Movable property and associated costs	21 199	39 000	123 850		
23 Current administrative expenditure	69 809	85 000	53 856		
24 Postage / Telecommunications	0	0	0		
25 Meeting expenses	0	0	0		
26 Running costs in connection with operational activities	0	0	0		
27 Information and publishing	0	0	0		
28 studies	0	0	0		
Title 3 - Operational expenditure	1 921 013	2 482 786	2 377 280		
Operational expenditure	1 921 013	2 482 786	2 377 280		
TOTAL EXPENDITURE	7 460 765	8 565 328	11 486 669	9 006 346	5,85%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 82 654.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

Regulation (EU) No 526/2013 extends ENISA's mandate and introduces additional tasks. The agency requests 2 additional establishment plan posts in 2014. As all other agencies, ENISA has to contribute to the 5% staff reduction and to the redeployment pool for 2014 (1 post). Therefore, the number of establishment plan posts increases by one unit from 47 to 48.

4.1.2. Financial Resources

The Commission's proposal for the agency's subsidy in 2014 builds on the level allocated in the previous year which has proven to be sufficient for ENISA to meet its existing tasks. The increase of 6,49% over the 2013 subsidy which the Commission proposes aims at accommodating the foreseen increase of 1 establishment plan post, subsidy for European T2 school and the implementation of the additional tasks.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

In line with the extension of its mandate, the Agency requests 2 new establishment plan posts in 2014. The operations of the Agency are as of 01/03/2013 split into two different locations, following the discussion on the new mandate of ENISA.

4.2.2. Salary assumption for calculating salary lines (% applied)

The salary calculation towards budget preparation is done on the basis of staff already in service at the time of budget preparation, staff planned to be recruited in the current year, and staff planned to be recruited in the financial year in question (new posts). Calculations are made taking into account actual individual rights of staff in service, the actual months in the year for staff that is due to be recruited and full years for staff that is already on board. The correction coefficient is also taken into account for actual budgeting of salary costs.

4.2.3. Vacancy rate as of end-2012

At the end of 2012, all TA posts were either filled (42 staff in service) or planned to be filled (job offers made for the 2 vacant posts and staff took up duties on 1/1/2013, thus total 44 posts considered as filled). 3TA posts were put in reserve pending the adoption of new legal base. Since the new Regulation was adopted only in 2013, the recruitment of additional staff could not take place in 2012.

4.2.4. Standard abatement ("abatement forfaitaire") applied

A standard abatement of 5% has been applied.

4.3. Financial Resources – Agency request

4.3.1. Title 1

Title 1 is intended to cover the costs related to the staff of the Agency, such as: staff in active employment (salaries and allowances), recruitment expenditure, socio-medical services, training and temporary assistance. The increase of the amount allocated to this title in comparison to the 2013 budget is due to the recruitment of 2 additional TAs and 2 additional CAs, the maturity of staff, which also justifies a turnover (having impact on Chapter 12 recruitment expenses), and the operation of the Agency in two locations (Heraklion and Athens) which may give rise to entitlements, such as removals. The increase of socio-medical expenditure is due to the increased training costs, which is in turn due to the new tasks that ENISA has to take up after the adoption of new legal basis on its mandate is adopted, and the operation of a new office of the Agency in Athens. The increase of External Services costs compared to 2013 is due to the estimated need for engagement of interim services in order to cover staff absences, periods that posts remain vacant following departures, as well as periods of peak administrative workload (e.g. end of year) and it is closer to realistic expenditure (budget consumption 2012).

4.3.2. Title 2

Title 2 covers expenses related to the functioning of the Agency. This appropriation is intended to cover the costs related to: buildings and associated costs, movable property and associated costs, current administrative expenditure (i.e. stationery, financial charges, etc.) and ICT expenditure. Despite the rental waiver granted by the Greek government to the Agency, in implementation of its Seat Agreement, the functioning of the operational office of ENISA in Athens, coupled by the expansion of the human resources of the Agency, is expected to increase the need for investments in infrastructure (hardware, fitting out of premises, furniture, security and office equipment) as well as utilities and services (e.g. security and cleaning services rendered in two locations, Heraklion and Athens). The increase in chapter 21 is due to investments in software applications and ICT services, necessary for the modernisation and simplification of working methods, development of intranet applications, support of project management applications and launching of electronic workflows. Additional running costs are foreseen as well in Chapter 22, deriving from the establishment of ENISA in its new building in Heraklion, as well as the one in Athens (as of 1/3/2013). The Agency is likely to move to a new building in Q4/2013 the earliest (provisional date, depending on actual date of completion of works).

4.3.3. Title 3

This appropriation is intended to finance the operational objectives of ENISA as defined in its work programme. ENISA will produce studies, surveys, analyses, reports, workshops and conferences in such areas as resilience, security policies, security technologies and relations with stakeholders. In 2014, the Agency will further seek to engage and consult widely Member States and private sector participants in collaborative efforts concerning various initiatives on network and information security. Public affairs and communications support will play a significant role in the effort to reach the stakeholders and increase the impact of ENISA activities.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Heraklion	Offices	2 042 m ²	0	Contribution in kind	No	n/a	The rent of the building at Heraklion has been waived by the Greek government as from 1 November 2007 in implementation of Article 1 of the seat agreement signed between ENISA and with the Hellenic Republic. Removal to the new building of ENISA in Heraklion is expected to take place during Q3 or Q4 of 2013.
Athens	Offices	2 036 m ²	0	Contribution in kind	No	n/a	New office is occupied as of 01/03/2013 in Marousi, Athens, hosting the core operational activities of ENISA.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Article 20 of Regulation No 460/2004 of the European Parliament and the Council of 10 March 2004, published in the Official Journal of the European Union (L77 of 13-3-2004), the protocol on the privileges and immunities of the European Communities applies to this Agency and its staff. The Greek government has waived the rent of the building of ENISA.	In accordance with Article 20 of Regulation No 460/2004 of the European Parliament and the Council of 10 March 2004, published in the Official Journal of the European Union (L77 of 13-3-2004), the protocol on the privileges and immunities of the European Communities applies to the Agency and its staff. By virtue of Ministerial Decision of 20/9/2011, car imports are based on the Vienna Convention.	A Public School of European Education was founded in 2005 by the Greek government in Heraklion – Crete for the children of the staff of ENISA.

5.3. Evaluation

In 2010 an impact assessment was carried out.

In accordance with the Article 32 of the Regulation (EU) No 526/2013, the Commission will prepare an evaluation to assess, in particular, the impact, effectiveness and efficiency of the Agency and its working practices.

The evaluation report will be forwarded to the European Parliament and the Council.

2.2.1.1.9. Office of the Body of European Regulators for Electronic Communications (BEREC Office)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1)	25.11.2009	To assist and advise the Commission and the national regulatory authorities (NRAs) in the implementation of the EU regulatory framework for electronic communications, and advise and assist the Parliament, the Council and the Commission on any matter regarding electronic communications within its competence.

Seat Riga, Latvia

Budget line 09 02 04 – Body of European Regulators for Electronic Communications (BEREC) - Office

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	11	11	11	11
Establishment plan posts: AST	5	5**	5	5
Total Establishment plan posts	16	16	16	16
Contract Agents	2*	1	4	4
Seconded National Experts	10*	7	8	8
Total staff	28	24	28	28

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	4 191 152	4 162 874
Other revenue	305 524	301 303
Total revenues	4 496 676	4 464 177

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	2 786 215	2 786 215		
Title 2	821 361	821 361		
Title 3	889 100	889 100		
Total expenditure	4 496 676	4 496 676	4 464 177	4 464 177

For 2014 budget, the EU contribution to the agency was reduced by 2% for low implementation of commitment appropriations in 2012, and by an additional 2% for high level of payment cancellations.

In the table revenues, other revenues include the EFTA contribution and the voluntary contributions from Member States or from their NRAs. The Telecom Package which includes the BEREC Regulation is expected to be incorporated in the EEA Agreement in the course of 2013. Subject to the signature of the agreement with the EFTA States, a contribution amounting to 2,8% will be added for 2013. The EFTA contribution for 2014 is estimated at the same level as for 2013, i.e 2,8%

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11										
AD 10										
AD 9		2		2		2		2		2
AD 8										
AD 7		3		3		3		3		3
AD 6										
AD 5		5		5		5		6		5
AD total		11		11		11		12		11
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3		4		4*		4		5		4
AST 2										
AST 1		1		1*		1		1		1
AST total		5		5		5		6		5
TOTAL		16		16		16		18		16
GRAND TOTAL		16		16		16		18		16

(*) Job Offers for 2 AST positions sent out on 31/10/2012, Financial Assistance in AF Unit (AST3) started in January 2013 and possible start date for Administrative Assistant in PM Unit (AST1) is in February 2013.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	1		2	2
Function Group II	1	1	2	2
Function Group I				
Total	2	1	4	4

FTE values for external personnel recruited as of 31/12/2012 (incl. leaves and employment) are: CA GF III – 0,75 FTE; CA GF II – 1,17 FTE. Total CA – 1,92 FTE

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	10	7**	8**	8

(*) Estimate on the basis of average costs; (**)FTE values for SNEs recruited as of 31/12/2012 (incl. leaves and employment) are: 6,33 FTE.

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	3 190 000	4 191 152	4 313 622	4 162 874	
of which fresh appropriations	3 190 000	3 768 696	3 768 696	3 617 948	
of which assigned revenues deriving from previous years' surpluses		422 456	544 926	544 926	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)		105 524	105 524	101 303	
Of which EFTA		105 524	105 524	101 303	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS		200 000	200 000	200 000	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	3 190 000	4 496 676	4 619 146	4 464 177	-0,72%

EFTA contribution in 2013 and 2014 is subject to the signature of the Agreement with EFTA countries. Estimated 2,8% of EU contribution for both 2013 and 2014.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	1 843 460	2 786 215	2 685 687		
11 Salaries & allowances	1 359 000	2 256 215	2 043 687		
- of which establishment plan posts	969 000	1 699 295	1 503 887		
- of which external personnel	390 000	556 920	539 800		
12 Expenditure relating to Staff recruitment	117 074	190 000	142 000		
13 Mission expenses	178 006	200 000	250 000		
14 Socio-medical infrastructure	18 000	30 000	30 000		
15 Training	88 000	60 000	80 000		
16 External Services	71 410	30 000	120 000		
17 Receptions and events	11 970	20 000	20 000		
Title 2 - Infrastructure and operating expenditure	444 726	821 361	609 667		
20 Rental of buildings and associated costs	30 971	115 000	77 000		
21 Information and communication technology	110 736	230 000	280 000		
22 Movable property and associated costs	0	55 000	28 000		
23 Current administrative expenditure	20 795	92 000	127 167		
24 Postage / Telecommunications	75 910	55 000	77 500		
25 Meeting expenses	206 314	274 361	20 000		
26 Running costs in connection with operational activities	0	0	0		
27 Information and publishing	0	0	0		
28 studies	0	0	0		
Title 3 - Operational expenditure	536 236	889 100	1 323 792		
30 Support to implementation of BEREC WP 2012	502 773	699 875	768 884		
31 Horizontal activities (other support not directly related to BEREC WP)	33 463	189 225	554 908		
TOTAL EXPENDITURE	2 824 422	4 496 676	4 619 146	4 464 177	-0,72%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	1 556 605	2 786 215	2 685 687		
11 Salaries & allowances	1 256 498	2 256 215	2 043 687		
- of which establishment plan posts	905 175	1 699 295	1 503 887		
- of which external personnel	351 323	556 920	539 800		
12 Expenditure relating to Staff recruitment	107 384	190 000	142 000		
13 Mission expenses	141 061	200 000	250 000		
14 Socio-medical infrastructure	13	30 000	30 000		
15 Training	39 679	60 000	80 000		
16 External Services	0	30 000	120 000		
17 Receptions and events	11 970	20 000	20 000		
Title 2 - Infrastructure and operating expenditure	288 111	821 361	609 667		
20 Rental of buildings and associated costs	15 582	115 000	77 000		
21 Information and communication technology	94 536	230 000	280 000		
22 Movable property and associated costs	0	55 000	28 000		
23 Current administrative expenditure	13 974	92 000	127 167		
24 Postage / Telecommunications	9 951	55 000	77 500		
25 Meeting expenses	154 068	274 361	20 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	265 982	889 100	1 323 792		
30 Support to implementation of BEREK WP 2012	232 969	699 875	768 884		
31 Horizontal activities (other support not directly related to BEREK WP)	33 013	189 225	554 908		
TOTAL EXPENDITURE	2 110 697	4 496 676	4 619 146	4 464 177	-0,72%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 544 926.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The agency has requested to convert two Contract Agent posts into two Establishment plan posts. This is not in line with the Commission's proposal to reduce the staff number by 5% during the period 2013-2017. Therefore, the Commission did not accept this request. However, due to the very small size of the agency, in 2014 the agency was exempted from the contribution to the redeployment pool.

4.1.2. Financial Resources

Due to the low implementation of commitment appropriations (89%) and high level of payment cancellations (13,69%), the Commission applied a 4% penalty on the requested amount for 2014. The Commission considers that the financial resources are in line with the activities to be performed by the agency in 2014.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

No additional staff has been requested for 2014.

4.2.2. Salary assumption for calculating salary lines (% applied)

The methodology applied to calculate the salaries and allowances to BEREC staff is the following:

- An average annual cost per employee is calculated: EUR 131,000 for temporary agent, EUR 78,000 for SNE and EUR 70,000 for contact agents;
- The correction coefficient, applied for Latvia is 74,3%.

4.2.3. Vacancy rate as of end-2012

There were no vacant establishment posts at the end of December 2012.

4.2.4. Standard abatement ("abatement forfaitaire") applied

The amount entered for salaries in DB 2014 has been calculated on the basis of average costs and taking into account the expected recruitment plan in 2013 and 2014.

4.3. Financial Resources – Agency request

4.3.1. Title 1

BEREC Office expects to operate with full staff in 2013 and the associated costs have also been calculated on the basis of these assumptions.

In order to address current issues with recruiting candidates for CA positions the BEREC Office is also planning to launch a tender for interim placement services, to be able to cover resource needs in case of sick leaves, operational peaks or in case of unexpected staff turnover.

The availability of the entire staff in 2013, and the provision of professional and administrative support to BEREC will require a substantial number of missions to attend BEREC plenary meetings, Expert Working Groups, other meetings, most of them taking place outside Riga. With the fully staffed team BEREC Office has planned additional training resources (2014 budget for training is on the comparable level with 2012 budget). The new staff of the Office, recruited in the course of 2012-2013, will have to get acquainted with their duties and follow different training courses for these purposes.

4.3.2. Title 2

The budget for Title 2 items has been reduced due to better understanding of expenditures in Riga location.

The offer by the Latvian Government to pay the rent of premises for the first two years comes to the end in summer 2013 and therefore rental costs are budgeted both in 2013 and 2014. Also, with its 3rd year in the current premises the Office has planned some maintenance and potential repair costs under Administrative Expenditure. Some increase is foreseen for the information technology spending, partly to be able to acquire the administrative work tools and applications e.g. for HR, document management and partly to plan some hardware renewal on the 3rd year of operations and to improve communications capabilities.

4.3.3. Title 3

BEREC is up to speed and becoming more capable. Therefore a significant increase is foreseen in the BEREC Office budget to better support the operations of BEREC. To be able to better organise meetings and workshops for BEREC experts with active participation of Office own experts. To be able to develop Intranet for BEREC and make available shared IT-tools. Also, to be able to initiate relevant market studies. Large part of budget for organising BEREC meetings was moved from title 2 to title 3, based on the internal assessment of the appropriate budget item to be used for the reimbursement of experts travel.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Riga, Latvia	Meierovica 14	780,83	30 000 approx.*		No		* Depending on exchange rate

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office.	<p>In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office and its staff.</p> <p>In addition, the BEREC Office has signed a Seat Agreement with the Latvian Government, granting diplomatic status to the Administrative Manager and VAT exempt purchases on certain items (e.g. car, furniture, electric and electronic appliances) during the first year to the rest of the staff including SNEs. Special ID cards are also issued.</p>	<p>International Schools are available in Riga. Support by the Latvian Government is currently not envisaged and therefore support by the BEREC Office is needed (the staff appropriations will cover this support).</p> <p>The Latvian Government has started considering the opening of a European School in Riga but the process will certainly take a long time.</p>

5.3. *Evaluation*

The first evaluation report has been presented to the Budgetary Authority on 18 December 2012.

Link: <https://ec.europa.eu/digital-agenda/en/news/study-evaluation-berec-and-berec-office>.

2.2.1.1.10. European Banking Authority (EBA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC	24/11/2010	The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting.

Seat London, UK

Budget line 12 03 02 — European Banking Authority

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	57	61	84	94
Establishment plan posts: AST	11	7	9	9
Total Establishment plan posts	68	68	93	103
Contract Agents	12	12	15	15
Seconded National Experts	15	14	15	26
Total staff	95	94	123	144

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 386 944	11 303 791
Other revenue	15 580 416	16 955 687
Total revenues	25 967 360	28 259 478

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	15 277 408	15 277 408		
Title 2	5 608 850	5 608 850		
Title 3	5 081 102	5 081 102		
Total expenditure	25 967 360	25 967 360	28 259 478	28 259 478

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		2		2		3		3		3
AD 12		4		4		6		6		6
AD 11		6		6		10		13		10
AD 10		7		8		10		14		10
AD 9		8		8		13		19		14
AD 8		10		10		16		22		19
AD 7		6		7		12		13		14
AD 6		7		7		7		10		9
AD 5		5		7		5		7		7
AD total		57		61		84		109		94
AST 11										
AST 10		1								
AST 9										
AST 8										
AST 7		1								
AST 6										
AST 5		2				1		2		1
AST 4		2		2		3		4		3
AST 3		2		2		2		2		2
AST 2		2		2		3		3		3
AST 1		1		1						
AST total		11		7		9		11		9
TOTAL		68		68		93		120		103
GRAND TOTAL	68		68		93		120		103	

(* In total 5 offer letters were sent and positions accepted before 31 December 2012 with starting dates in January, February and March 2013.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	7	7	12	12
Function Group III	5	5	3	3
Function Group II				
Function Group I				
Total	12	12	15	15

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	15	14	15	26

(* Estimate on the basis of average costs)

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	7 561 538	10 386 944	12 999 920	11 303 791	
<i>of which fresh appropriations</i>	7 561 538	8 955 000	12 573 852	10 863 766	
<i>of which assigned revenues deriving from previous years' surpluses</i>	0	1 431 944	426 068	440 025	
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)	296 513	432 489	538 671		
Of which EFTA	296 513	432 489	538 671		
Of which Candidate Countries					
4 OTHER CONTRIBUTIONSz	11 045 491	15 147 927	18 961 209	16 955 687	
5 ADMINISTRATIVE OPERATIONS	295 651				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	19 199 193	25 967 360	32 499 800	28 259 478	+9%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	9 159 710	15 277 408	18 387 000		
11 Salaries & allowances	8 651 930	14 482 206	17 546 000		
<i>- of which establishment plan posts</i>	7 475 862	12 153 806	14 981 000		
<i>- of which external personnel</i>	1 176 068	2 328 400	2 565 000		
12 Expenditure relating to Staff recruitment	42 721	60 000	48 500		
13 Mission expenses	35 720	43 500	65 000		
14 Socio-medical infrastructure	30 478	59 200	75 000		
15 Training	164 312	200 000	220 000		
16 External Services	216 159	400 000	400 000		
17 Receptions and events	18 391	32 500	32 500		
Title 2 - Infrastructure and operating expenditure	3 673 434	5 608 850	7 207 800		
20 Rental of buildings and associated costs	1 857 276	3 024 000	4 893 300		
21 Information and communication technology	722 743	1 092 500	910 500		
22 Movable property and associated costs					
23 Current administrative expenditure	151 476	245 550	294 000		
24 Postage / Telecommunications	181 155	210 000	305 000		
25 Meeting expenses	244 627	498 800	460 000		
26 Running costs in connection with operational activities					
27 Information and publishing	516 155	538 000	345 000		
28 Studies					
Title 3 - Operational expenditure	5 547 199	5 081 102	6 905 000		
3.1 Trainings National Supervisors + Op. Missions + Op.Cons.Services + Op.Standing Commi. + Op. Comm.&Trans.	1 429 221	1 485 000	1 735 000		
3.2 Collection of Information of central EU Database	4 007 167	2 454 300	4 060 000		
3.3 Data Exchange System Solutions	110 811	1 141 802	1 110 000		
TOTAL EXPENDITURE	18 380 343	25 967 360	32 499 800	28 259 478	+9%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	9 398 501	15 277 408	18 387 000		
11 Salaries & allowances	9 043 904	14 482 206	17 546 000		
- of which establishment plan posts	7 468 463	12 153 806	14 981 000		
- of which external personnel	1 575 441	2 328 400	2 565 000		
12 Expenditure relating to Staff recruitment	48 189	60 000	48 500		
13 Mission expenses	34 275	43 500	65 000		
14 Socio-medical infrastructure	25 163	59 200	75 000		
15 Training	20 016	200 000	220 000		
16 External Services	208 528	400 000	400 000		
17 Receptions and events		32 500	32 500		
Title 2 - Infrastructure and operating expenditure	2 236 786	5 608 850	7 207 800		
20 Rental of buildings and associated costs	1 357 981	3 024 000	4 893 300		
21 Information and communication technology	313 269	1 092 500	910 500		
22 Movable property and associated costs					
23 Current administrative expenditure	89 073	245 550	294 000		
24 Postage / Telecommunications	119 240	210 000	305 000		
25 Meeting expenses	212 337	498 800	460 000		
26 Running costs in connection with operational activities					
27 Information and publishing	144 886	538 000	345 000		
28 Studies					
Title 3 - Operational expenditure	1 450 801	5 081 102	6 905 000		
3.1 Trainings National Supervisors + Op. Missions + Op.Cons.Services + Op.Standing Commi. + Op. Comm.&Trans.	889 844	1 485 000	1 735 000		
3.2 Collection of Information of central EU Database	555 773	2 454 300	4 060 000		
3.3 Data Exchange System Solutions	5 184	1 141 802	1 110 000		
TOTAL EXPENDITURE	13 086 088	25 967 360	32 499 800	28 259 478	+9%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 100 062.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission proposes 10 additional posts, taking into account the specificity of this Agency, the tasks entrusted to it and its critical role for the single market. It is, among others, preparing the single rulebook and is contributing to the implementation of new EU legislation and needs to play a more active role in overseeing the coherent and proper application of EU prudential rules in order to prevent a fragmentation of the single market for services. Given the highly technical and specific work-streams undertaken by the Agency recruiting experienced staff is critical for the Agency. Internal redeployments are already taking place inside the Agency where appropriate and possible, taking into account the fact that the Agency is still in start-up phase. The Agency will need to re-prioritise their tasks on this basis and due consideration of EBA's needs in the coming years.

4.1.2. *Financial Resources*

Financial resources requested in respect of Title 1 and 2 are justified and linked to the staff increase requested. Regarding financial resources requested in respect of Title 3, they are mostly related to IT projects that the Agency is obliged to carry out on the basis of the founding regulation and the tasks entrusted to it. The analysis of needs performed by the Agency is reasonable. Reducing the budget allocated to Title 3 of the Agency would have as sole consequence delays in the implementation of these IT projects, what could be highly detrimental to the response that the EU needs to provide in the current context.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

In the period 2014-16, the EBA's mandate and activities will be significantly affected by new legislative proposals in European banking regulation and supervisory architecture, some of which have already been published but not yet adopted, and some are expected to be negotiated or published in the near future, but all having a major impact on the amount and priorities of specific tasks of the EBA. These legislative regulations include in particular, but not limited to the Capital Requirement legislative framework (CRDIV/CRR), Recovery and Resolution legislative framework, and the Banking Union/Single Supervisory Mechanism legislative framework.

Growth of existing tasks

The EBA is to play a crucial role in the technical implementation and application throughout the Single Market of a comprehensive set of prudential rules regulating banks, as agreed at a global level under the Basel III agreement. The legislative framework that will introduce the Basel III rules in the EU - known as Capital Requirements framework (CRDIV/CRR) - was originally planned to be adopted by end 2012 and implemented on 1 January 2013. The package is currently at the final stage of the negotiation process and due to be adopted in early 2013. The EBA will focus its work in the upcoming years in this context on accomplishing the drafting of binding technical standards under the new CRDIV/CRR framework. Given these legislative proposals are still to be adopted, and hence their final details remain unknown, this presents significant uncertainty in EBA's work programme at this juncture, together with planning and resource complexity to the EBA's organisation.

On the expected CRDIV/CRR related tasks the EBA has taken a pragmatic approach and considered as a basis the Council's proposal of May 2012 (see footnote 32). As a result about 164 deliverables are expected from the EBA. The majority of these products relate to the development of more detailed technical rules mostly via the development of binding regulatory or implementing technical standards. Other types of deliverables include guidelines, reports, opinions, mediation activities, or the receipt and processing of notifications. A summary is shown in the table below. (see footnote 33)

TYPE OF TASK as stated in the draft CRDIV/CRR	Attributed to EBA
Regulatory Technical Standards	38
Implementing Technical Standards	24
Guidelines	21
Report	15
Publication	6
Opinion	9
Advice	2
Areas for Mediation	13
Notification	31
Other (Assist on 3rd Country equivalence / colleges/ MoUs)	5

In addition to the high number of deliverables in relation to the CRR/ CRDIV proposals, the timing of these products is very concentrated as the implementation schedule needs to remain consistent. Most products are expected to be finalised by 2013-2014, thus, the concentration of the EBA's regulatory work will be very high during this period.

32 The EBA has not included any additions or deletions proposed by the EU Parliament although it has considered a few additional tasks in the Council's proposal that are likely to be deleted. In addition, those deliverables with deadline of January 2013 or December 2012 that EBA expect to deliver to the EU Commission, or publish before the end of this year, have not been included.

33 It should be noted that this part of the work programme will need to be updated after the final text of the CRDIV/CRR becomes available.

Based upon the capacity available at both the EBA and at the national authorities, it is expected that not all activities can be undertaken as currently proposed without additional human resources at the EBA.

Secondly, the crisis has shown a need for more advanced and coordinated crisis prevention and crisis resolution arrangements and tools. In June 2012, the EU Commission published its legislative proposals on an EU Framework for Recovery and Resolution of credit institutions and investment firms, which provide a key role for the EBA, both in setting further technical standards and guidelines, including in relation to the content and assessment of recovery and resolution plans; the application of early intervention measures; preventative (structural) measures to ensure resolvability; application of specific resolution powers in respect to specific resolution tools; and recognition of third country resolution proceedings. It also provides a role for EBA in the coordination and participation in cross border crisis events through its participation in the resolution colleges. The EBA should also have a significant role in engaging in, and assisting, the discussions and agreement on recovery and resolution plans between relevant competent authorities (including in resolution colleges as mentioned above). Where disagreements arise, the EBA should play a role in settling them.

Further, an extensive number of legislative proposals in the area of financial / banking regulation which are currently being negotiated at the EU level envisage attribution of further tasks to the EBA within its current mandate (e.g. reviews of the Anti-Money Laundering Directive, Financial Conglomerates Directive, Mortgage Credit Directive, Deposit Guarantee Schemes Directive, Corporate Governance proposals, Regulation on key information documents for investment products, etc.). It can also be envisaged that further tasks may be conferred to the EBA in the context of the most recent or upcoming legislative initiatives in the EU. This includes e.g. ongoing initiatives in relation to regulation of shadow banking activities and the reform on the structure of the EU banking structure, as well as future reviews of legislations which fall within the remit of the EBA (e.g. Payment Services Directive and E-money Directive).

Furthermore, the Report of the High-level Expert Group on reforming the structure of the EU banking sector, published in October 2012, supports that the EBA play an important role in ensuring that recovery and resolution plans and the integral resolvability assessments are applied uniformly across Member States. Further, it suggests that the EBA ensure that capital adequacy framework includes sufficient safeguards against substantial property market stress. In its Opinion on the report of December 2012, the EBA has communicated that it stands ready to contribute to the implementation of selected recommendations of this Report.

In the area of Oversight, the EBA will further strengthen its focus on identifying, analysing and addressing key risks in the EU banking sector (including analysing the consistency of outcomes in risk weighted assets, the sustainability of banks business models and reviews of banks' asset quality), promoting supervisory cooperation and convergence across the Single Market, and continuing its work in colleges of supervisors to strengthen European supervision of cross-border banking groups. Also, it will initiate and coordinate EU wide stress test, with the aim to monitor bank capital levels and plans to strengthen their capital position further.

In the area of consumer protection, EBA envisages to increase its capacity in relation to its remit on financial innovation and possible product warnings and product bans; and more generally in relation to consumer protection and financial education.

Last but not least, the Banking Union and Single Supervisory Mechanism will have important repercussions on the mandate of the EBA. The critical agreement reached in December 2012 on Banking Union show that the EBA will remain an important part of the new supervisory system, and that it should play an even stronger role to ensure a level playing field as regards fully harmonised rules and their uniform application across the EU. This will call on the EBA to put even greater emphasis on uniform regulatory rules (by development of the Single Rulebook) as well as truly uniformed supervisory practices (by development of a Single Supervisory Handbook) to preserve the integrity of the single market and ensure coherence in banking supervision across the EU. In the context of the Banking Union, it will also be essential that the EBA continues its risk assessment work, by providing regular reports to the EU institutions and initiating and coordinating regular stress test exercises. The Banking Union framework also envisages harmonisation of resolution mechanisms in the EU and possibly creation of the central resolution authority in the EU, which may also impact on the mandate of the EBA. In the absence of a final agreement on the Single Supervisory Mechanism and the Banking Union, it is – at this stage - premature to provide estimation of concrete impact on the tasks of the EBA.

As all these areas of work include dealing with technically detailed and complex issues and as such additional human resources will be crucial in delivering EBA's objectives, including a sufficiently high number of experts at a senior level.

Due to the significantly higher than expected workload resulting from the tasks related to the CRDIV/CRR and Bank recovery and resolution proposals, additional human resources have been requested compared to the establishment plan of the organisation. In the case of CRDIV/CRR, EBA proposed 19 additional resources related to the tasks deriving from the legislative text (drafting of binding technical standards), which resulted in the European Commission

proposing in the form of a financial statement an increase of 10 Temporary Agent positions for the regulatory area of the EBA, within the EBA Staff levels for 2013. Moreover were further tasks to be conferred on the EBA in relation to the proposed 4th Money Laundering Directive, an accompanying financial statement could be envisaged.

Furthermore, significant new technical skills will need to be built up in the organisation, such as broadening and deepening the technical knowledge and experience of EBA's experts. Therefore, in addition to the careful recruitment of new staff, the rollout out of the recently launched staff training program shall contribute to developing EBA staff.

New tasks

The legislative acts establishing the European Supervisory Authorities (including the European Banking Authority) (Article 81(1)) require the Commission to publish a general report on the experience acquired as a result of the operation of the Authorities and the procedures laid down in this Regulation, by 2 January 2014, and every 3 years thereafter. The aim of the ongoing review to be accomplished in 2014 is to examine the effectiveness and efficiency of the Authorities in fulfilling their mandate on an individual basis as well as within the framework of the European System of Financial Supervision, including cooperation and coordination with the European Systemic Risk Board. Where appropriate and necessary, the report may be accompanied with legislative proposals. As the review is still ongoing, it is premature to estimate the impact of the review on the mandate of the EBA at this juncture. As mentioned in the previous section, the Single Supervisory Mechanism and Banking Union may also have important repercussions on the mandate of the EBA.

4.2.2. Salary assumption for calculating salary lines (% applied)

The average monthly salary of EUR 6 563 applied plus the weighting coefficient for London which is 1,344%

4.2.3. Vacancy rate as of end-2012

At the end 2012 the EBA had 0 vacancies.

4.2.4. Standard abatement ("abatement forfaitaire") applied

Not applicable

4.3. Financial Resources – Agency request

4.3.1. Title 1

The evolution of title 1 is strongly driven by the evolution of headcount, the justification of which is given in paragraph 4.1.1 here above.

4.3.2. Title 2

In Title 2, the main driver for the increase is the implementation of the long term solution related to the new premises: current rental agreements end in 2014 and it is critical to allow growth of the EBA to select and fit out suitable premises before the rental agreements expire

4.3.3. Title 3

The evolution of operational expenditure is driven primarily by the need to set up IT services for data collection and analysis (based on COREP & FINREP) as well as set up of own IT Services, such as Document Management System.

Following main projects are planned for 2014:

- Analytical platform & reporting, which includes COREP&FINREP data collection and analysis
- Document Management System
- Credit Institutions Register

In the communication area, there are 2 main activities impacting the budget:

- Communication support for the stress test (based on the tender run in 2013)
- Translation of EBA guidelines to all EU languages.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
London	Tower 42, 25 Old Broad Street	3 000	4 893 300	N/A	No	No	This amount includes the building related costs such as electricity, water, gas, heating, insurance, maintenance and cleaning, taxes

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT Exemption by refund of the VAT paid	Limited exemptions of VAT and customs duty	Headquarters Agreement not signed pending reply of UK authorities on European oriented schooling

5.3. Evaluation

An evaluation of the agency should be done by the end of 2013. The report from the Commission will be provided to the Council and Parliament beginning of 2014.

2.2.1.1.11. European Insurance and Occupational Pensions Authority (EIOPA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC	24/11/2010	The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority.

Seat Frankfurt am Main, Germany

Budget line 12 03 03 — European Insurance and Occupational Pensions Authority (EIOPA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	57	57	67	69
Establishment plan posts: AST	12	12	13	15
Total Establishment plan posts	69	69	80	84
Contract Agents	12	14	22	23
Seconded National Experts	8	8	12	13
Total staff	89	91	114	120

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 506 988	7 513 976
Other revenue	11 260 482	11 270 964
Total revenues	18 767 470	18 784 940

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	10 210 000	10 210 000		
Title 2	4 785 000	4 785 000		
Title 3	3 772 470	3 772 470		
Total expenditure	18 767 470	18 767 470	18 784 940	18 784 940

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		2		2		3		3		3
AD 12		4		4		5		6		5
AD 11		7		4		7		8		7
AD 10		8		6		8		9		8
AD 9		8		5		7		9		8
AD 8		6		6		10		13		11
AD 7		8		10		10		13		12
AD 6		5		7		7		12		7
AD 5		7		11		8		14		6
AD total		57		57		67		89		69
AST 11										
AST 10										
AST 9										1
AST 8		1								1
AST 7		1				1		1		1
AST 6		3		3		3		3		3
AST 5		2		1		1		2		1
AST 4				2		3		3		3
AST 3		2		4		3		5		3
AST 2		3		2		2		2		2
AST 1										
AST total		12		12		13		16		15
TOTAL		69		69		80		105		84
GRAND TOTAL	69		69		80		105		84	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	4	3	4	
Function Group III	2	2	4	
Function Group II	6	9	14	
Function Group I				
Total	12	14	22	23

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	8	8	12	13

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	5 761 040	7 506 988	8 588 800	7 513 976	
<i>of which fresh appropriations</i>	5 761 040	6 385 000	8 478 028	7 403 204	
<i>of which assigned revenues deriving from previous years' surpluses</i>		1 121 988	110 772	110 772	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	321 943	367 274	355 889		
Of which EFTA	237 118	312 574	355 889		
Of which Candidate Countries	84 825	54 700	0	0	
4 OTHER CONTRIBUTIONS	8 243 145	10 893 208	12 527 311	11 270 964	
5 ADMINISTRATIVE OPERATIONS	1 955				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	14 328 083	18 767 470	21 472 000	18 784 940	+2%

Calculation of contributions have been made on the basis of 40% EU – 60% Members. The same allocation key has been used for the 2011, 2012 and 2013 budget.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	7 661 224	10 210 000	11 734 000		
11 Salaries & allowances	6 294 218	8 647 000	10 639 000		
<i>- of which establishment plan posts</i>	5 500 680	7 435 000	9 128 000		
<i>- of which external personnel</i>	793 538	1 212 000	1 511 000		
12 Expenditure relating to Staff recruitment	350 507	233 000	210 000		
13 Mission expenses	516 120	678 000	149 000		
14 Socio-medical infrastructure	46 081	109 000	133 000		
15 Training	273 554	377 000	427 000		
16 External Services	164 128	156 000	166 000		
17 Receptions and events	16 617	10 000	10 000		
Title 2 - Infrastructure and operating expenditure	3 119 711	4 785 000	3 779 000		
20 Rental of buildings and associated costs	1 421 619	1 282 000	2 003 000		
21 Information and communication technology	435 487	1 272 000	352 000		
22 Movable property and associated costs	162 556	110 000	450 000		
23 Current administrative expenditure	242 952	288 500	234 500		
24 Postage / Telecommunications	184 520	205 000	188 000		
25 Meeting expenses	452 114	684 500	365 500		
26 Running costs in connection with operational activities					
27 Information and publishing	220 463	943 000	186 000		
28 Studies					
Title 3 - Operational expenditure	3 407 598	3 772 470	5 959 000		
Operational cost	3 407 598	3 772 470	5 959 000		
TOTAL EXPENDITURE	14 188 533	18 767 470	21 472 000	18 784 940	+2%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	7 342 328	10 210 000	11 734 000		
11 Salaries & allowances	6 294 218	8 647 000	10 639 000		
- of which establishment plan posts	5 500 680	7 435 000	9 128 000		
- of which external personnel	793 538	1 212 000	1 511 000		
12 Expenditure relating to Staff recruitment	357 832	233 000	210 000		
13 Mission expenses	429 377	678 000	149 000		
14 Socio-medical infrastructure	28 217	109 000	133 000		
15 Training	162 826	377 000	427 000		
16 External Services	47 182	156 000	166 000		
17 Receptions and events	22 676	10 000	10 000		
Title 2 - Infrastructure and operating expenditure	2 576 880	4 785 000	3 779 000		
20 Rental of buildings and associated costs	1 368 241	1 282 000	2 003 000		
21 Information and communication technology	389 935	1 272 000	352 000		
22 Movable property and associated costs	60 798	110 000	450 000		
23 Current administrative expenditure	129 834	288 500	234 500		
24 Postage / Telecommunications	152 824	205 000	188 000		
25 Meeting expenses	342 584	684 500	365 500		
26 Running costs in connection with operational activities					
27 Information and publishing	132 664	943 000	186 000		
28 Studies					
Title 3 - Operational expenditure	765 102	3 772 470	5 959 000		
Operational cost	765 102	3 772 470	5 959 000		
TOTAL EXPENDITURE	10 684 310	18 767 470	21 472 000	18 784 940	+2%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 276 931.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission proposes 4 additional posts a slightly reduced human resources request, taking into account the specificity of this Agency, the tasks entrusted to it and its critical role for the single market. It is, among others, preparing the single rulebook and is contributing to the implementation of new EU legislation and needs to play a more active role in overseeing the coherent and proper application of EU prudential rules in order to prevent a fragmentation of the single market for services. Given the highly technical and specific work-streams undertaken by the Agency recruiting experienced staff is therefore critical for the Agency. Internal redeployment are already taking place inside the Agency where appropriate and possible, also taking into account the fact that the Agency is still in the start-up phase. The Agency will need to re-prioritise their tasks on this basis and due consideration of EIOPA's needs in the coming years.

4.1.2. Financial Resources

Financial resources requested in respect of Title 1 and 2 are justified and linked to the staff increase requested. Regarding financial resources requested in respect of Title 3, they are mostly related to IT projects that the Agency is obliged to carry out on the basis of the founding regulation and the tasks entrusted to it. The analysis of needs performed by the Agency is reasonable. Reducing the budget allocated to Title 3 of the Agency would have as sole consequence delays in the implementation of these IT projects, which could be highly detrimental to the response that the EU needs to provide in the current context.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

The budget has been calculated for 105 Temporary Agents (TAs), 26 Contract Agents (CAs) and 14 Seconded National Experts (SNEs). The budget reflects the following growth coefficient of 2014 compared with 2013:

- TAs growth coefficient 2014 compared with 2013 is 17% (105 compared with 90).
- CAs growth coefficient 2014 compared with 2013 is 18% (26 compared with 22).
- Total (TAs, CAs, SNEs) growth coefficient 2014 compared with 2013 is 17% (145 compared with 124).

The European Commission has confirmed to EIOPA that during 2014 it will still be considered a “Start up phase” Agency. The increase on staff is justified by the need to fulfill both existing and new tasks. In particular, the supervisory tasks that EIOPA performs in the field of colleges of supervisors as well as the development of the Center of Expertise for Internal Models will require additional resources, currently not covered by any Legislative Financial Statement (LFS), nor by the original LFS from EIOPA Regulation, that only covered the period 2011-2013. The legislative proposals for the IMD2 Directive (6 TAs) as well as the IORP review (expected to be significant in terms of workload) would complete the number up to the 15 TAs requested.

Additional Contract Agents will be dedicated helping further enhance the setting-up of Authority. SNEs are foreseen for the specific, specialised tasks that are requested to EIOPA and for which there are very limited resources available via the labour market.

4.2.2. Salary assumption for calculating salary lines (% applied)

In terms of new staff (15 TA) dynamic per month, the budget has been calculated based on following assumptions:

- 3 new staff in May, 3 new staff in June, 3 new staff in September, 3 new staff in October, 3 new staff in November 2014.

Salaries and related staff costs budgets have been calculated based on average monthly cost of EUR 6 030.

4.2.3. Vacancy rate as of end-2012

The rate of fulfilling the establishment plan on 2012 was 100%, therefore no open vacancies as of end-2012.

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

The weight of Title 1 in total budget 2014 is 55%. The relative high percentage reserved in Title I reflects the fact that EIOPA's staff is its main resource for fulfilling the objectives of the Authority.

Overall, salaries and allowances increased by 23% compared with 2013. Related staffs are needed to perform all the tasks of the Work Program. The increase is due to:

- Overall increase of costs linked to basic salaries and allowances by 23%, in line with the increase of staff up to 105 TAs as per establishment plan.
- 2014 is first year when EIOPA will use trainees (12).
- Overall increase of CAs and SNEs.

The increase relates to basic salaries and corresponding allowances and social insurances as per Staff Regulation.

Expenditure relating to staff recruitment shows a decrease of 10% compared with 2013. It is triggered by a decrease in number of new staff recruited and related allowances, from 21 in 2013 to 15 in 2014.

Mission's expenses decrease by 78% compared with 2013. Starting 2014 missions expenditures directly related to core activities have been reflected in Title 3. Mission's expenditures in Title 1 reflect only travel costs related to administrative purposes. In real terms there is an increase of 12% in 2014 compared with 2013, in line with growth of the staff number.

Socio-medical infrastructure shows an increase of 22% due to increase in number of staff and increase in kindergarten line. Average annual cost per head remains at the same level of 2013, EUR 570/head.

Training expenditures show an increase of 13% compared with 2013 due to increase in number of staff. Annual average training cost per head remains at the same level of 2013, EUR 800/head. In addition, it includes annual average cost of travel of EUR 1 000/head.

External services line shows a slight increase of 6% compared with 2013. It includes staff survey joint tender with Eurofund of EUR 30 000 as well as interim staff joint tender with EBA, of EUR 70 000.

Receptions and events expenditures remain at the same level of 2013.

4.3.2. *Title 2*

The weight of Title 2 in total budget 2014 is 18%.

Rental expenditures have been calculated based on a surface of 4,304 total sqm for 5 floors and the average rental cost/sqm/year being EUR 311.

Rental of building and associated costs line shows an increase of 56% compared with 2013. The increase is mainly due to expiring of free of charge rental period for 4th (27) floor. At the same time it is planned to rent one new floor, 5th (28), which will be pending for planning approval.

Rental and utilities expenditures take into account the growth in number of staff.

Information and communication technology show a decrease of 72% compared with 2013. The decrease is mainly triggered by reduction of purchase of software as the investment is done in 2013. 43% (EUR 150 000) out of total Information and communication technology represents cabling for the new floor, 5th (28). Starting 2014 software expenditures directly related to core activities have been reflected in Title 3. Software's expenditures in Title 2 reflect only purchases related to administrative purposes.

Movable property and associated costs show an increase of 309% compared with 2013 and it is due to technical equipment and purchase of furniture for the new floor, 5th (28).

Current administrative expenditures show a decrease of 19% compared with 2013. Starting 2014 documentation and library expenditures and legal expenditures directly related to core activities have been reflected in Title 3. Documentation and library expenditures and legal expenditures in Title 2 reflect only purchases related to administrative purposes. In real terms there is an increase of 8% in 2014 compared with 2013 due to increase of stationaries in line with growth of staff number.

Postage and telecommunications show a decrease of 8% compared with 2013, due to decrease in telecommunication equipment and installations.

Meetings expenses show a decrease of 48% compared with 2013. Starting 2014 general meetings expenditures directly related to core activities have been reflected in Title 3. General meetings expenditures in Title 2 reflect only meetings expenditures related to administrative purposes. Stakeholders, Management Board, Board of Supervisors and Board of Appeals meetings remain in Title 2, as in previous years. In real terms, for meetings expenses, there is an increase of 12% in 2014 compared with 2013 due to increase of number of users eligible for reimbursement and additional telcos.

Information and publishing costs show a decrease of 80% compared with 2013. Starting 2014 translation expenditures directly related to core activities (such as translation of guidelines, documents received regarding complaint handling or mediation) have been reflected in Title 3, in the amount of EUR 750 000. Translation expenditures in Title 2 reflect only translation expenditures related to administrative purposes (such as work program, annual report, budget publication).

4.3.3. *Title 3*

The ratio of Title 3 in total budget is 28%.

Two main chapters compose Title 3 as following:

- Common Supervisory and Regulatory Culture 40% of Title 3 (EUR 2 295 000).
- Collection of information; European Centralized database 61 % of Title 3 (EUR 3 664 000).

Starting with 2014, in chapter Common Supervisory and Regulatory Culture a number of new budget lines have been added. The new budget lines have been shifted from Title 2, as a consequence of reflecting expenditures more in line with their nature. The overview is as follow:

- already existing budget line 'Organization of seminars' (EUR 363 000)
- already existing budget line 'Third country equivalence and related international cooperation' (EUR 192 000)
- new budget line 'Operational missions expenses' (EUR 611 000)

- new budget line 'Operational documentation expenditure' (EUR 19 000)
- new budget line 'Operational legal advice' (EUR 60 000)
- new budget line 'Operational translation costs, including the CdT' (EUR 750 000)
- new budget line 'Operational general meeting expenses' (EUR 300 000).

The same situation is reflected in chapter Collection of information. The new budget line has been shifted from Title 2, as a consequence of reflecting expenditures more in line with their nature.

The overview is as follow:

- already existing budget line 'European Centralized database' (EUR 3 597 000)
- new budget line have been added 'Operational purchase of software' (EUR 67 000).

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Frankfurt am Main (Germany)	Westhafenplatz Tower	4 304	1 340 000	No	Rented property	N/A	

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	Headquarter agreement has been signed with Government of the German Federal Republic on 18 October 2011	

5.3. Evaluation

An evaluation of the Agency is expected to be submitted to the Budgetary Authority by 2014.

2.2.1.1.12. European Securities and Markets Authority (ESMA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/77/EC	24/11/2010	ESMA is an independent EU Authority that contributes to safeguarding the stability of the European Union's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection.
Regulation (EU) N° 513/2011 of the European Parliament and of the Council of 11 May 2011 amending Regulation (EC) No 1060/2009 on credit rating agencies	11/05/2011	Grant ESMA competence for direct supervision of Credit Rating Agencies in the EU.
Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR)	4/7/2012	Grants ESMA competence for the direct supervision of Trade Repositories in the EU.

Seat Paris, France

Budget line 12 03 04 — European Securities and Markets Authority (ESMA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	63	65	106	113
Establishment plan posts: AST	12	10	15	15
Total Establishment plan posts	75	75	121	128
Contract Agents	10	12	25	26
Seconded National Experts	16	12	14	19
Total staff	101	99	160	173

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 638 400	9 076 621
Other revenue	19 596 600	20 194 932
Total revenues	28 235 000	29 271 553

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	17 464 000	17 464 000		
Title 2	5 825 000	5 825 000		
Title 3	4 946 000	4 946 000		
Total expenditure	28 235 000	28 235 000	29 271 553	29 271 553

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		1						2		0
AD 12		4		2		3		2		3
AD 11		4		1		5		7		5
AD 10		5		3		6		9		6
AD 9		5		5		12		19		14
AD 8		11		10		24		32		27
AD 7		11		17		24		29		26
AD 6		11		13		18		22		18
AD 5		9		12		12		14		12
AD total		63		65		106		138		113
AST 11		1								
AST 10		1								
AST 9		2				1		1		1
AST 8		1		1						
AST 7		1								
AST 6						1		1		1
AST 5				1		2		4		2
AST 4		1		3		6		6		6
AST 3		2		2		2		2		2
AST 2		1				3		2		3
AST 1		2		3						
AST total		12		10		15		16		15
TOTAL		75		75		121		154		128
GRAND TOTAL	75		75		121		154		128	

This number includes 15 job offers made to candidates (out of which 13 were accepted before 31/12/12) who will enter in service in 2013.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	4	4	10	
Function Group III	4		4	
Function Group II	2	8	11	
Function Group I				
Total	10	12	25	26

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	16	12	14	19

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	3 041 000	6 687 000	6 580 000	6 580 000	98%
2. EU CONTRIBUTION	6 408 000	8 638 400	10 750 000	9 076 621	+5%
<i>of which fresh appropriations</i>	6408000	6914000	10046177	8 372 798	
<i>of which assigned revenues deriving from previous years' surpluses</i>	0	1 724 400	703 823	703 823	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	336 909	373 000	445 000		
Of which EFTA	247 903	186 000	445 000		
Of which Candidate Countries	89 006	187 000	0	0	
4 OTHER CONTRIBUTIONS	8 867 745	12 536 600	15 680 000	13 614 932	+8%
5 ADMINISTRATIVE OPERATIONS	74 275				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	3 400				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	18 731 329	28 235 000	33 455 000	29 271 553	+5%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	9 291 576	17 464 000	20 147 000		
11 Salaries & allowances	8 024 945	15 827 000	17 980 000		
<i>- of which establishment plan posts</i>	6 550 169	14 255 000	15 000 000		
<i>- of which external personnel</i>	1 474 776	1 572 000	2 980 000		
12 Expenditure relating to Staff recruitment	176 792	251 000	170 000		
13 Mission expenses	599 651	1 100 000	1 245 000		
14 Socio-medical infrastructure	188 311	153 000	310 000		
15 Training	288 385	123 000	420 000		
16 External Services					
17 Receptions and events	13 492	10 000	22 000		
Title 2 - Infrastructure and operating expenditure	5 393 893	5 825 000	7 483 000		
20 Rental of buildings and associated costs	2 741 099	2 669 000	3 980 000		
21 Information and communication technology	829 078	980 000	980 000		
22 Movable property and associated costs	314 185	219 000	120 000		
23 Current administrative expenditure	496 136	554 000	510 000		
24 Postage / Telecommunications	292 220	279 000	630 000		
25 Meeting expenses	193 393	382 000	340 000		
26 Running costs in connection with operational activities					
27 Information and publishing	527 782	742 000	923 000		
28 Studies					
Title 3 - Operational expenditure	2 779 058	4 946 000	5 825 000		
31 Common Supervisory Culture: training for national supervisors and staff exchanges and secondments	182 861	400 000	400 000		
32 Collection of information: developing and maintenance of a central European database	2 564 760	3 695 000	3 950 000		
33 Other title III expenditure	31 437	851 000	1 475 000		
TOTAL EXPENDITURE	17 464 527	28 235 000	33 455 000	29 271 553	+5%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	8 929 059	17 464 000	20 147 000		
11 Salaries & allowances	7 872 865	15 827 000	17 980 000		
- of which establishment plan posts	6 549 599	14 255 000	15 000 000		
- of which external personnel	1 323 266	1 572 000	2 980 000		
12 Expenditure relating to Staff recruitment	139 642	251 000	170 000		
13 Mission expenses	653 972	1 100 000	1 245 000		
14 Socio-medical infrastructure	160 248	153 000	310 000		
15 Training	91 502	123 000	420 000		
16 External Services					
17 Receptions and events	10 830	10 000	22 000		
Title 2 - Infrastructure and operating expenditure	3 774 177	5 825 000	7 483 000		
20 Rental of buildings and associated costs	2 272 957	2 669 000	3 980 000		
21 Information and communication technology	434 315	980 000	980 000		
22 Movable property and associated costs	72 755	219 000	120 000		
23 Current administrative expenditure	249 826	554 000	510 000		
24 Postage / Telecommunications	150 632	279 000	630 000		
25 Meeting expenses	205 671	382 000	340 000		
26 Running costs in connection with operational activities					
27 Information and publishing	388 021	742 000	923 000		
28 Studies					
Title 3 - Operational expenditure	1 928 155	4 946 000	5 825 000		
31 Common Supervisory Culture: training for national supervisors and staff exchanges and secondments	123 405	400 000	400 000		
32 Collection of information: developing and maintenance of a central European database	1 739 528	3 695 000	3 950 000		
33 Other title III expenditure	65 222	851 000	1 475 000		
TOTAL EXPENDITURE	14 631 391	28 235 000	33 455 000	29 271 553	+5%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 759 558.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission did not support the 8 FTEs requested on the basis of the legislation that should be adopted in the course of 2013 (shadow banking, money market funds, UCITS VI, securities law, and benchmarks and indices). It is premature to assess the total number of FTEs that will be necessary to implement the new tasks given to ESMA through them. Regarding the other human resources requests, the Commission proposes 7 additional posts, taking into account the specificity of this Agency, the tasks entrusted to it and its critical role for the single market. It is, among others, preparing the single rulebook and is contributing to the implementation of new EU legislation and needs to play a more active role in overseeing the coherent and proper application of EU prudential rules in order to prevent a fragmentation of the single market for services. Given the highly technical and specific work-streams undertaken by the Agency recruiting experienced staff is therefore critical for the Agency. Internal redeployment are already taking place inside the Agency where appropriate and possible, also taking into account the fact that the Agency is still in the start-up phase. The Agency will need to re-prioritise their tasks on this basis and due consideration of ESMA's needs in the coming years.

4.1.2. *Financial Resources*

Financial resources requested in respect of Title 1 and 2 are justified and linked to the staff increase requested. Regarding financial resources requested in respect of Title 3, they are mostly related to IT projects that the Agency is obliged to carry out on the basis of the founding regulation and the tasks entrusted to it. The analysis of needs performed by the Agency is reasonable. Reducing the budget allocated to Title 3 of the Agency would have as sole consequence delays in the implementation of these IT projects, which could be highly detrimental to the response that the EU needs to provide in the current context.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

ESMA's 2013 approved budget includes 160 staff, of which 121 establishment plan posts. In 2013 ESMA completed a rigorous internal zero-based assessment of staff allocation, which included exploring the possibilities of reallocating staff from one task to another. The result of this assessment is that for 2014 ESMA will require 203 staff, of which 154 establishment plan posts.

This increase of 43 positions is explained by the new regulations that have been proposed since ESMA was created and have therefore added new tasks for which additional resources are required (all regulations referenced below have been proposed since ESMA's creation):

New powers for which the European Institutions have approved additional resources via financial statements:

- MiFID 2/MiFIR governs trading structure, transparency and investor protection for all financial instruments and market participants; the planned work involves a significant revision of one of the most important securities market directives that among other objectives delivers on G20 commitments. The revision will require five additional FTE. These additional resources are already covered by the financial statement accompanying MiFID, which allocated six FTE in 2013, rising to 11 in 2014.
- MAD 2/MAR governs market abuse and related enforcement powers. The revision of the Market Abuse Regulation (MAR) will require three additional FTE. These additional resources are already covered by the financial statement accompanying MAR, which allocated three FTE in 2013, rising to six in 2014.
- CSDR: The proposed directive on Central Securities Depository Regulation (CSDR) will provide for the first time an EU regime for securities settlement infrastructure. It will require six FTE in 2014. These additional resources are covered by the CSDR financial statement.

New powers for which the European Institutions have not approved additional resources when adopting the regulation but have asked ESMA to draft reports on their needs in terms of resources:

- EMIR delivers on G20 commitments by establishing an EU regime for over-the-counter derivatives markets and related infrastructure. The resource requirements for tasks related to EMIR are estimated at 22 FTE in total, of which 13 have been allocated out of the 2013 establishment plan. The additional nine will be required in 2014. There was no financial statement drafted to accompany EMIR but the European Institutions asked ESMA to draft a report on the resource requirements of the legislation, which ESMA submitted in December 2012.
- Short Selling sets up an EU regime to help protect markets and investors. As with EMIR, there is no financial statement for the Short Selling regulation but ESMA's report to the European Institutions on resources estimates two FTE, of which one has been planned from the 2013 budget.

New powers where approval is planned for 2013 by the EU institutions that will require resources at ESMA:

- Audit: The proposed Audit Regulation aims at creating a stronger and more consistent regulatory regime for audit firms in the EU. The expected approval of the Audit Regulation in 2013 would require five FTEs; the financial statement is still in discussion.
- New 2013 legislation: The European Commission plans to publish legislation on shadow banking, money market funds, UCITS VI, securities law, and benchmarks and indices in 2013. It is estimated that at least eight FTEs would be required.

Tasks in which ESMA has not been able to develop as expected in its first three years of operations because of prioritising resource allocation to tasks related to the single rulebook and other immediate priorities:

The workload of the Authority has been significant given the heavy legislative agenda following the financial crisis. With limited staff resources in its start-up phase, ESMA had to demand an extraordinary commitment from its staff, which would not be sustainable over time. To ensure that the key priorities were met, ESMA moved staff resources to the areas of highest need, meaning that less urgent matters had to be deprioritised (e.g. financial stability and

supervisory convergence). Such areas are key for ESMA to fulfil its mission as provided for in the legislation, as financial stability analysis and consistent supervision were part of the shortfalls identified as the origins of the crisis. Furthermore, the new regulations listed above significantly expand the scope of ESMA's responsibility and therefore require a proportionate increase in its legal, convergence and economic resources. The additional staff requested here will ensure the improvement in the quality and range of the financial market analysis and supervisory convergence work already undertaken and will provide an equivalent level of support to the policy units where responsibilities will increase:

- Economic analysis and financial stability contributions: three additional FTEs are required in 2014 to develop additional economic analysis and associated publications/reports. This will include improving the current reporting on trends, risks and vulnerabilities and evaluating market trends, assisting with impact assessment, as well as increasing the scope of specific (policy relevant) research projects.
- Legal support and enhanced convergence and peer reviews: following the set-up of the single rulebook, it is important that ESMA enhances its ability to ensure proper analysis and convergence of the actual implementation of new legislative texts. Three additional FTEs will be required in 2014 for this work.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

Salary costs are based on the known amounts from 2012's staff costs with new positions estimated at approximately 130 000 EUR for a temporary agent position and 60 000 EUR for a contract agent or seconded national expert. This rate is higher than the average cost per member of staff because of the costs associated with a new recruit moving to Paris.

However, ESMA prepared its 2013 budget by estimating budget for only 75% of new posts. Following the experience of 2011 and 2012, the 2014 budget has been prepared by estimating budget for only 50% of new posts.

4.2.3. *Vacancy rate as of end-2012*

There were no vacancies remaining from the establishment plan at the end of 2012.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

The staffing budget is linked to the increase in staff to implement ESMA's accumulation of new tasks explained in detail in paragraph 4.1.1.

It should be noted that there are operational tasks attached to most directives and those staff who work on the technical standards and advice are, subsequent to their completion, usually allocated to work on the operational tasks that are included in the relevant legislation. This has been and will be the case for SSR, EMIR and AIFMD.

ESMA's activities related to credit rating agencies (CRAs) will require 33 FTE who will be funded by fees levied on the CRAs. Activity related to the supervision of trade repositories will require 7 people in 2014 and will be funded by fees levied on the supervised trade repositories.

4.3.2. *Title 2*

In October 2012, the Budgetary Authorities approved ESMA's request to sign a contract to rent an additional 2 208 sqm in the premises up to 2020. This means that the budget for 2014 is based on a rental surface of 5 304 sqm.

Administrative IT costs remain the same as the 2013 budget and all other costs in title 2 have been based on 2012's budget execution with the planned 2014 staff numbers (TA/CA/SNE) with a small reduction (i.e. 75% of new posts or 194 people) to account for the fact that recruitment will be staggered throughout the year but that preparations for their arrival begin before the person is in post.

4.3.3. *Title 3*

Title 3 contains EUR 400 000 for training, tools and events related to the development of a common supervisory culture between European supervisors.

There is provision for EUR 3 950 000 of IT projects related to ESMA's tasks and powers; this will include the set-up of a transversal data warehouse and the implementation of projects that were started in 2013:

- CRAs III Transparency articles 8-14-21-22-214
- MIFIR and Market Abuse Registers
- AIFMD reporting
- EMIR - Intragroup Notifications
- Social Entrepreneurship funds and Venture capital register
- Central Securities Directive Settlement fails reporting and register
- Transaction Reporting Exchange Mechanism (TREM 4)

Title 3 also includes a budget of EUR 425 000 for work related to on-site inspections of Credit Rating Agencies, 750 000 EUR for outsourced work on impact assessments and legal advice related to ESMA's regulatory work. Finally there is budget for EUR 300 000 for economic database fees to allow the work on economic analysis and financial stability to take place.

ESMA's supervisory activities are funded by fees charged to the supervised entities. The fees charged to CRAs and trade repositories are expected to cover 20% of ESMA's budget. The remaining amount is then split 40/60 between the EU budget and the national competent authorities (NCAs) in the Member States and European Economic Area; the EU contribution to the ESMA budget is therefore expected to be 32% of the overall total.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Paris	103 rue de Grenelle	5 304	3 493 788 (*)	No	No	N/A	

(*)This is the contractual amount for the rent to which is then applied the nationally set rate of inflation, capped in ESMA's rental contract to 3%. The actual amount paid differs as lease-free periods were negotiated at the time of signature. This has been extensively detailed in ESMA's report to the Budgetary Authority in September 2012.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
No additional privileges than the protocol 7 are granted to staff.		

5.3. Evaluation

An evaluation of the agency should be done by the end of 2013. The report from the Commission will be provided to the Council and Parliament beginning of 2014.

2.2.1.1.13. European Centre for the Development of Vocational Training (CEDEFOP)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 337/75	13/02/1975	Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training
Regulation 1946/93	23/07/1993	Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1131/94	19/05/1994	Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 251/95	09/02/1995	Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 354/95	09/02/1995	Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1655/2003	29/09/2003	Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76
Regulation 2051/2004	01/12/2004	Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC)

Seat Thessaloniki, Greece

Budget line 15 02 11 — European Centre for the Development of Vocational Training (CEDEFOP)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	50	49	51	51
Establishment plan posts: AST	51	49	49	47
Total Establishment plan posts	101	98 (*)	100	98
Contract Agents	24	23 (**)	24	25
Seconded National Experts	5	3	5	4
Total staff	130	124	129	127

(*) This includes posts actually filled (92) and offers of posts made (6) on vacant posts.

(**) This includes 22 contract agents and 1 offer of post made

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	17 433 900	17 433 900
Other revenue	491 175	510 000
Total revenues	17 925 075	17 943 900

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	11 285 000	11 285 000	11 320 000	11 320 000
Title 2	1 470 000	1 470 000	1 550 000	1 550 000
Title 3	6 885 000	6 885 000	5 073 900	5 073 900
Total expenditure	17 925 075	17 925 075	17 943 900	17 943 900

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14		1		1		1		1		1
AD 13		2				2		2		2
AD 12	6	4	2	3	6	3	6	3	6	3
AD 11	1	7	4	6	1	8	1	9	1	9
AD 10		9		3		9		8		8
AD 9		2		3		4		4		4
AD 8		2		7		3		5		5
AD 7		7		5		7		6		6
AD 6		7		7		5		5		5
AD 5		1	1	7		1				
AD total	7	43	7	42	7	44	7	44	7	44
AST 11										
AST 10	3	1	1	1	2	1	1	1	1	1
AST 9		1				1		2		2
AST 8	3		1	1	2	2	2	2	2	2
AST 7		7	2	2	1	6	1	6	1	6
AST 6	5		2	4	4	3	4	3	4	3
AST 5	4	10	3	3 (1)	5	5	4	5	4	5
AST 4	1	11	4	11	1	11	1	10	1	10
AST 3		3	1	9		4		4		4
AST 2		2		4		1		1		1
AST 1										
AST total	16	35	14	35	15	34	13	34	13	34
TOTAL	23	78	21	77	22	78	20	78	20	78
GRAND TOTAL	101		98		100		98		98	

(1) Includes offer of an AD 5 post already made for the post that is transformed from AST to AD in 2013.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	4	3	4	5
Function Group III	5	5	5	5
Function Group II	11	11	11	11
Function Group I	4	4	4	4
Total	24	23	24	25

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	5	3	5	4

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014/ 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	17 433 900	17 433 900	17 433 900	17 433 900	
<i>of which fresh appropriations</i>	5 103 900	17 384 900	17 428 166	17 428 900	
<i>of which assigned revenues deriving from previous years' surpluses</i>		49 000	5 734	5 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	448 051	481 175	500 000	500 000	
Of which EFTA *	448 051	481 175	500 000	500 000	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS **	10 000	6 000	6 000	6 000	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	5 000	4 000	4 000	4 000	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	17 896 951	17 925 075	17 943 900	17 943 900	0,1%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	10 823 934	11 285 000	11 320 000		
11 Salaries & allowances	10 035 757	10 282 000	10 526 000		
<i>- of which establishment plan posts</i>	8 750 547	8 964 000	9 040 000		
<i>- of which external personnel</i>	1 285 210	1 318 000	1 486 000		
12 Expenditure relating to Staff recruitment	281 505	438 00	240 000		
13 Mission expenses	69 000	100 000	100 000		
14 Socio-medical infrastructure	168 865	155 000	155 000		
15 Training	129 982	150 000	140 000		
16 External Services	117 825	141 000	141 000		
17 Receptions and events	21 000	19 000	18 0000		
Title 2 - Infrastructure and operating expenditure	1 718 183	1 486 000	1 550 000		
20 Rental of buildings and associated costs	626 169	587 000	600 000		
21 Information and communication technology	575 104	467 000	549 000		
22 Movable property and associated costs	186 167	121 000	116 000		
23 Current administrative expenditure	119 212	125 000	109 000		
24 Postage / Telecommunications	206 188	174 000	170 000		
25 Meeting expenses	5 343	12 000	6 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	5 297 295	5 154 075	5 073 900		
30 Transversal activities	350 488	395 725	395 900		
32 Area Research and Policy analysis (RPA)	2 407 829	2 359 500	2 358 000		
33 Area Enhance cooperation in VET and Lifelong learning (ECVL)	1 965 344	1 855 000	1 800 000		
35 Area Communication, Information and Dissemination (CI)	573 634	543 850	520 000		
TOTAL EXPENDITURE	17 839 412	17 925 075	17 943 900	17 943 900	0,1%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	10 600 595	11 285 000	11 320 000		
11 Salaries & allowances	10 035 757	10 282 000	10 526 000		
- of which establishment plan posts	8 750 547	8 964 000	9 040 000		
- of which external personnel	1 285 210	1 318 000	1 486 000		
12 Expenditure relating to Staff recruitment	140 748	438 000	240 000		
13 Mission expenses	60 997	100 000	100 000		
14 Socio-medical infrastructure	154 111	155 000	155 000		
15 Training	84 979	150 000	140 000		
16 External Services	111 032	141 000	141 000		
17 Receptions and events	12 971	19 000	18 000		
Title 2 - Infrastructure and operating expenditure	1 004 192	1 486 000	1 550 000		
20 Rental of buildings and associated costs	448 243	587 000	600 000		
21 Information and communication technology	374 351	467 000	549 000		
22 Movable property and associated costs	6 152	121 000	116 000		
23 Current administrative expenditure	104 312	125 000	109 000		
24 Postage / Telecommunications	67 292	174 000	170 000		
25 Meeting expenses	3 842	12 000	6 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	4 804 456	5 154 075	5 073 900		
30 Transversal activities	922 272	395 725	395 900		
32 Area Research and Policy analysis (RPA)	1 778 342	2 359 500	2 358 000		
33 Area Enhanced cooperation in VET and Lifelong learning (ECVL)	1 696 829	1 855 000	1 800 000		
35 Area Communication, Information and Dissemination (CID)	407 013	543 850	520 000		
TOTAL EXPENDITURE	16 409 243	17 925 075	17 943 900	17 943 900	0,1%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 5 734.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

Cedefop's mission is to support development of European VET policies and contribute to their implementation. This strategic objective is supported by three medium-term priorities for 2012-14: supporting modernisation of VET systems; careers and transitions – Continuing VET, adult and work-based learning; and analysing skills and competence needs to inform VET provision.

The planning for 2014 is a difficult exercise because on the one hand 2014 will be a challenging year with major policy developments at European level on VET, and on the other hand the financial constraints impose human resources cuts and zero growth for the nominal budget. The Commission therefore welcomes the proposal made by the Agency on human resources, in compliance with the objective of a 2 % cut in the establishment plan, despite the increasing demands on support from Cedefop. However, the Study visits programme ending will liberate posts for redeployment. Further redeployment is encouraged to focus on the priorities of the work programme.

4.1.2. *Financial Resources*

The nominal freeze on agencies budgets limits the EU contribution to CEDEFOP's budget to EUR 17 433 900. Savings are expected to be made on Title 3 where negative priorities will be defined in the work programme during the discussions of the work programme during the Bureau and Governing Board meetings.

CEDEFOP must reduce its establishment plan by 2%, meaning 2 posts. The number of contract agents in 2014 to ensure the work load will need be looked at in function of the financial resources. To be able to respond to the policy priorities within the budgetary constraints, the Commission agrees that further agreement will be sought in the Bureau and Governing Board on possible downsizing or discontinuing some activities and a strategic reorientation as regards various thematic issues.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

In 2014, Cedefop will take on new tasks while some existing tasks will grow substantially. New demands will be placed on the Centre by the requested inputs to the skills panorama, the conduct of an employers' survey on transversal and generic skill needs in Member States and the coordination role in assessing progress in the establishment of national systems for the validation of non-formal and informal learning. Existing tasks, notably in the area of policy reporting, will grow substantially.

On the contrary, the Study Visits programme will be finalised in June 2014 which at that stage will free 1 AD, 1 AST and 1 Contract agent post for other uses or termination and the Centre will implement the foreseen cut of 1 post (agreed on strategy to reduce existing staff by 5 % between 2013 and 2017). In addition the Centre needs to contribute to the redeployment pool. In view of the additional tasks and as strategic and more fundamental changes in approach will take time to implement the Centre will be forced to employ additional Contract Agents. In consequence, an increase by 1 contract agent is foreseen (compared to 2013).

Deployment of Cedefop's resources to carry out its tasks is carefully planned and closely monitored to ensure efficiency. To monitor carefully the use of resources, Cedefop uses activity-based budgeting reflecting planned and actual resource allocation. Already since 2009, Cedefop has introduced a performance measurement system (PMS). The PMS measures project-, activity- and organisation-level performance. It allows Cedefop to manage and evaluate its impact, efficiency, effectiveness and relevance, and to align the organisation's activities more closely to its strategic objectives and priorities. The PMS uses three types of results – output, outcome and impact – that are measured using a set of indicators. In line with the objective of being a highly efficient, well organised and well-functioning organisation, Cedefop will strive for further improvements of efficiency in 2013.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

For the purposes of salary projection Cedefop has used a weighting factor for Greece of 90,5%. Otherwise the personnel cost have been calculated on the basis of foreseeable increases in steps and the cost of promotions and a yearly adjustment rate for salaries in 2014 of 0 %.

4.2.3. *Vacancy rate as of end-2012*

98 out of 101 posts were either filled or offers have been made on 31/12/2012. This is a vacancy rate of 2 %. One post has been cut in 2013 in line with the strategy to implement a 5% cut until 2017 and the establishment plan for 2013 (100 posts). Selection procedures are on-going for 2 TA positions, including a procedure for the Director's position.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

Consistent with previous years, Cedefop foresees that six persons will leave in 2014. On this basis, the HR cost abatement is estimated at 1,5%.

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

For Title 1 the estimate is based on the provisions for the establishment plan and its occupation. It considers turnover and retirements, but as turnover is relatively small, possibilities to replace leaving staff by lower grades are limited and the scope for respective savings is limited. Compared to the total budget 2013, title 1 cost will increase by 0,3 % and is forecasted at EUR 11,320,000). Chapter 11 – Staff in active employment costs increase (2,3 %) due to the scenario outlined above to cover the extra tasks requested and relevant to the 2014 work programme.

4.3.2. Title 2

Title 2 total budget requirements are forecasted at EUR 1,550,000, an increase of 4,3 % as compared to 2013 budget, but in real terms a decrease of 9,8 % compared to budget execution 2012. Since 2009 title 2 was always under-budgeted compared to the increasing demands and Cedefop was only able to cover the priority needs by using savings in title 1 and by using previous years' Norway and Iceland subsidies. But as agreed with ECA, these funds have been exhausted by the end of 2012 and Cedefop will not have this possibility to cover future needs:

Chapter 20 – Rental of the buildings and associated costs increases (2,2 % as compared to 2013 budget, but in real terms a decrease of 3,7 % compared to budget execution 2012) due to the recurrent increase of the costs of gas and electricity.

Chapter 21 - Information and communication technology budget increases (17,5 % as compared to the 2013 budget, but in real terms a decrease of 6,6 % compared to budget execution 2012).

Chapter 22 - Movable property and associated costs, Chapter 23 – Current administrative & associated costs and chapter 24 – Postal charges and telecommunications has been respectively decreased by 4,1%, 12,8% and 2,3 % due to savings and cancellation of projects.

4.3.3. Title 3

Title 3 total budget requirements are estimated at EUR 5,073,900, a decrease of 1,5 % as compared to 2013 and a decrease of 4,2 % compared to budget execution 2012. The estimates for title 3 expenditure are based on the overall budgetary restriction and follow from the considerations that Cedefop a) needs to preserve it is in house expertise, just apart from the fact that b) title 1 cost evolution is largely pre-determined, and c) that the room for manoeuvre as regards title 2 expenditure is very limited. Finally, estimates take already into account savings of about EUR 150 000 connected to the termination of Cedefop's involvement in the Study Visits programme. More generally title 3 needs must also be seen in light of the reductions of title 3 expenditure in the past years.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Thessaloniki	CEDEFOP	7145 m ² (Net office space 1 960 m ²)		Around EUR 2,3 million (provision of land etc)	Yes	3 633 676	The building cost amounted to about EUR 6,8 million (of which 5,6 for the construction itself and 1,2 for necessary equipment) paid from Community funds made available to Cedefop (on an ad hoc budget line) in the years 1995-1999
Brussels	J-70	21,6 m ²	8 438 EUR		No	N/A	Bureau de passage in the same building as DG EAC

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Only limited diplomatic status (the two official cars and the private cars of the Director and Deputy Director bearing CD plates) and VAT exemption to the Centre.	The Protocol on privileges and immunities is applicable to international staff, except for those who were resident in Greece before employment in the Agency. VAT and customs duties exemption applies to such staff during one year from their taking up functions at Cedefop.	Nothing provided by the state or the host city. The Agency will decide if it will continue to provide staff with a day care centre (DCC) for children of staff members. In the past the DCC (with 2 staff employed and around 17, toddlers and children attending) had been partially self-financed (parents contributions, were calculated on the basis of their salary, and covered staff costs).

Privileges granted to staff		
<p>The Greek state contributed to the Centre's installation costs by +/- 25%, corresponding to around EUR 2,3 million, in the form of necessary infrastructure works for the new premises to be constructed and to become operational in a previously undeveloped area (access roads, electricity, water, sewage, telecommunications etc.)</p>	<p>Cars imported in this way must be cleared through customs (all taxes and dues paid) or taken out of the country within 1month from end of employment.</p>	<p>Cedefop is in contact with the Greek government in order to set up an European school in Thessaloniki. However, in view of the present economic and budgetary situation it is highly unlikely that such a school will be available in 2013.</p>

5.3. Evaluation

An external evaluation of Cedefop as required every few years by its founding regulation is underway. Desk research began in October 2012 and interviews, surveys are expected to begin in January 2013 and case studies will start in April. The evaluation is managed by DG EAC and will be carried out by PPMI, a Lithuanian-based consultancy and submit the final report in August/September 2013. The European commission will forward the report to the Budgetary Authority.

2.2.1.1.14. Agency for the Cooperation of Energy Regulators (ACER)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 713/2009 of the European Parliament and of the Council.	13/07/2009 (OJ L 211 p.1)	The purpose of the Agency is to assist National Regulatory Authorities in exercising, at Union level, the regulatory tasks that they perform in the Member States and, where necessary, to coordinate their action. Tasks: <ul style="list-style-type: none"> • complement and coordinate the work of National Regulatory Authorities, • participate in the creation of European network rules, • take, under certain conditions, binding individual decisions on terms and conditions for access and operational security for cross border infrastructure, • give advice on various energy related issues to the European institutions, and • monitor and report developments of the energy markets.
Regulation (EU) No 838/2010 of the European Parliament and of the Council	23/09/2010	Regulation on Inter-TSO Compensation Mechanism: <ul style="list-style-type: none"> • The Agency is required to formulate a proposal to the EU Commission on the annual cross-border infrastructure compensation sum for the ITC mechanism.
Regulation (EU) No 1227/2011 of the European Parliament and of the Council	25/10/2011	The Agency shall: <ul style="list-style-type: none"> • cooperate with the national regulatory authorities, ESMA, competent financial authorities of the Member States and where appropriate with national competition authorities to monitor the wholesale energy markets and their activities • assess the operation and transparency of different categories of market places and ways of trading • make recommendations to the Commission as regards market rules, records of transactions, orders to trade, standards and procedures which could improve market integrity and the functioning of the internal market.
Regulation (EU) No 347/2013 of the European Parliament and of the Council	17/04/2013	<ul style="list-style-type: none"> • Assisting the National Regulatory Authorities (NRAs) in assessing the consistent application of the Projects of Common Interest (PCI) identification criteria/Cost-Benefit Analysis (CBA) methodology, in evaluate their cross border relevance within a region, and in presenting such an assessment to the Regional Groups; • Providing an opinion (within three months) on the draft regional lists of the (electricity and gas) PCIs and on the consistent application of the criteria and the cost-benefit analysis across regions; • Providing an opinion to the Commission and the Member States on the methodologies, for a harmonised energy system-wide CBA at Union-wide level proposed by ENTSOs; • Participation in the monitoring of the implementation of PCIs; • Facilitating the sharing of good practices and providing recommendations on incentives and common methodology to evaluate the incurred higher risks of investments in electricity and gas transmission.

Seat Ljubljana, Slovenia

Budget line 32 02 10 — Agency for the Cooperation of Energy Regulators (ACER)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	30	30	34	39
Establishment plan posts: AST	13	13	15	15
Total Establishment plan posts	43	43	49	54
Contract Agents	2	2	7	11
Seconded National Experts	12	11	16	12
Total staff	57	56	72	77

Including 4 short-term Contract Agents from 2013 onwards and a transfer of 4 Seconded National Experts (SNE) posts into Contract Agent posts in 2014.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 941 220	10 880 000
Other revenue		
Total revenues	8 941 220	10 880 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 886 199	5 886 199		
Title 2	2 652 609	2 652 609		
Title 3	402 412	402 412		
Total expenditure	8 941 220	8 941 220	10 880 000	10 880 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		4		4		4		5		4
AD 10										
AD 9		2		2		2		2		2
AD 8		6		6		6		11		6
AD 7		4		4		6		16		6
AD 6		2		2		4		4		4
AD 5		11		11		11		36		16
AD total		30		30		34		75		39
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		1		1		1		5		1
AST 4										
AST 3		12		12		14		18		14
AST 2										
AST 1										
AST total		13		13		15		23		15
TOTAL		43		43		49		98		54
GRAND TOTAL	43		43		49		98		54	

(*). Including one offer letter (AD8) sent out and accepted.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	1	1	1	5
Function Group III				
Function Group II	1	1	6	6
Function Group I				
Total	2	2	7	11

Including 4 short-term Contract Agents from 2013 onwards and a transfer of 4 Seconded National Experts (SNE) posts into Contract Agent posts (FG IV) in 2014

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	12	11	16	12

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	7 241 850	8 941 220	15 524 714	10 880 000	
<i>of which fresh appropriations</i>	7 241 850	7 369 795	14 832 714	10 188 000	
<i>of which assigned revenues deriving from previous years' surpluses</i>		1 571 425	692 000	692 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	7 241 850	8 941 220	15 524 714	10 880 000	21,68%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	4 366 591	5 886 199	10 117 941		
11 Salaries & allowances	3 833 839	5 383 135	9 312 804		
- of which establishment plan posts	3 313 397	4 421 536	8 296 346		
- of which external personnel	520 442	961 599	1 016 458		
12 Expenditure relating to Staff recruitment	94 159	103 000	192 584		
13 Mission expenses	199 307	130 000	177 094		
14 Socio-medical infrastructure	18 455	28 500	57 221		
15 Training	123 752	90 000	213 717		
16 External Services	85 279	136 564	152 521		
17 Receptions and events	11 800	15 000	12 000		
Title 2 - Infrastructure and operating expenditure	2 099 277	2 652 609	3 090 433		
20 Rental of buildings and associated costs	26 592	1 120 000	1 439 960		
21 Information and communication technology	1 573 205	445 800	494 500		
22 Movable property and associated costs	103 999	102 000	58 500		
23 Current administrative expenditure	57 800	92 000	108 240		
24 Postage / Telecommunications	35 300	105 200	122 000		
25 Meeting expenses	150 758	367 609	322 233		
26 Running costs in connection with operational activities		20 000	20 000		
27 Information and publishing					
28 studies	151 623	400 000	525 000		
Title 3 - Operational expenditure	323 287	402 412	2 316 340		
Stakeholder involvement, public relations, translations and website	177 548	177 412	420 140		
Operational missions	145 739	225 000	396 200		
REMIT operations			1 500 000		
TOTAL EXPENDITURE	6 789 155	8 941 220	15 524 714	10 880 000	+21,68%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	4 147 577	5 886 199	10 117 941		
11 Salaries & allowances	3 751 364	5 383 135	9 312 804		
- of which establishment plan posts	3 230 922	4 421 536	8 296 346		
- of which external personnel	520 442	961 599	1 016 458		
12 Expenditure relating to Staff recruitment	76 430	103 000	192 584		
13 Mission expenses	163 098	130 000	177 094		
14 Socio-medical infrastructure	8 852	28 500	57 221		
15 Training	65 931	90 000	213 717		
16 External Services	71 255	136 564	152 521		
17 Receptions and events	10 647	15 000	12 000		
Title 2 - Infrastructure and operating expenditure	447 375	2 652 609	3 090 433		
20 Rental of buildings and associated costs	24 493	1 120 000	1 439 960		
21 Information and communication technology	135 133	445 800	494 500		
22 Movable property and associated costs	47 801	102 000	58 500		
23 Current administrative expenditure	40 799	92 000	108 240		
24 Postage / Telecommunications	30 028	105 200	122 000		
25 Meeting expenses	97 590	367 609	322 233		
26 Running costs in connection with operational activities		20 000	20 000		
27 Information and publishing					
28 studies	71 531	400 000	525 000		
Title 3 - Operational expenditure	242 794	402 412	2 316 340		
Stakeholder involvement, public relations, translations and website	101 013	177 412	420 140		
Operational missions	141 781	225 000	396 200		
REMIT operations	0	0	1 500 000		
TOTAL EXPENDITURE	4 837 746	8 941 220	15 524 714	10 880 000	+21,68%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 692 606.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

In its assessment of the agency staffing needs, the Commission acknowledges the adoption of Regulation (EU) No 347/2013 of the European Parliament and of the Council on guidelines for trans-European energy infrastructure introduces additional tasks for the ACER and thus proposes to expand its establishment plan in 2014 by 5 extra temporary agents reaching a level of 54.

The Commission, however, cannot support in full the agency's request, and in particular the demand for 35 extra personnel related to the implementation of Regulation (EU) 1227/2011 on energy market integrity and transparency. Based on its analysis, the Commission concludes the reinforcement of 9 TAs and 6 SNEs granted over 2012-2013 in that regard is sufficient for the agency to meet its additional obligations.

The Commission supports the agency's proposal to transform 4 Seconded National Experts (SNE) positions into Contract Agent positions from 2014 onwards which overall maintains the level of external personnel at 23 full-time equivalents as in 2013.

4.1.2. Financial Resources

The Commission's proposal for the agency's subsidy in 2014 builds on the level allocated in the previous year which has proven sufficient for the ACER to meet its existing tasks. The increase of 22% over the 2013 subsidy which the Commission proposes aims at accommodating the foreseen increase of 5 establishment plan posts and the recurring maintenance and licencing costs of developed applications to support the monitoring of the energy market under Regulation (EU) 1227/2011 on energy market integrity and transparency.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

ACER proposes to change some of the SNE positions into long-term Contract Agent posts (transferring 4 SNE positions to 4 CA positions at the level of Function Group IV). The change proposed will have no implications on the budget appropriation. The reasons for the proposed change are the following:

Due to the typically limited duration of the SNEs agreements, ACER has had difficulty in building a stable staff basis. In fact, in several instances, SNEs have been called back by the seconding institution well before the end of the agreed secondment period.

Due to the current financial situation, National Regulatory Authorities find it difficult to second their staff, since they are obliged to continue paying their salary, social and pension contribution, while their staff member is working for ACER. Most of the recent SNE Vacancy Notices resulted in a limited number of applications, in some cases even fewer than the available positions. In this respect, transferring a limited number of SNE posts to contract agent posts would ensure more stability for these positions. The percentage of SNEs in 2012 was 20% of all staff compared to temporary agents (73%) and contract staff (7%). In 2013, the percentage of SNEs will increase to 22 % if no change is made.

In order to implement the new tasks allocated to ACER an additional number of human resources will be needed:

Function group	Number	Basis
Temporary agents AD and AST	14	Regulation (EU) No 347/2013 of the European Parliament and of the Council on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulations (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009
Temporary agents AD and AST	35	Regulation (EU) No 1227/2011 of the European Parliament and of the Council
Total	49	

4.2.2. Salary assumption for calculating salary lines (% applied)

The staff salaries have been calculated based on the grades approved in the establishment plan after the currently applicable % rate for family allowances and social contributions is added, including a correction coefficient of 89,6% relevant for Slovenia.

4.2.3. Vacancy rate as of end-2012

For the year 2012 there were 43 posts approved in the establishment plan. All 43 posts were filled by the end of 2012 (offer letter sent out and accepted). This resulted in a vacancy rate of 0 %.

4.2.4. Standard abatement ("abatement forfaitaire") applied

For the 2014 budgetary year it is estimated that all recruitment procedures started during 2013 would be finalised and the occupancy rate would be 100% at the beginning of the year.

4.3. Financial Resources – Agency request

4.3.1. Title 1

The agency requests an increase of 71,9% in the financial resources needed to cover the costs of staff in active employment during the financial year 2014. This is as a result of:

- In the light of the proposed new task for the Agency through the legislation on the Guidelines for Trans-European Energy Infrastructure (Regulation (EU) No 347/2013), the Agency considers a number of 14 new human resources is needed in order to facilitate the implementation of this upcoming regulation.

- A request for an increased number of human resources needed for the implementation of the Regulation (EU) 1227/2011 on energy market integrity and transparency. For the implementation of the energy market monitoring task the agency requires 35 additional positions starting with the second half of 2014.

4.3.2. Title 2

The requested increase of the expenditure level within Title 2 of 16,5% mainly relates to premises costs as well as the infrastructure and administrative costs necessary to support the extra envisaged personnel under Title 1. At 31 January 2013 the two years offer of free of charge premises from the Slovenian State ended and the Agency needs now to support the rental, maintenance and utilities costs of its premises.

4.3.3. Title 3

The request for a major increase in the expenditure level under Title 3 mainly relates to the recurring maintenance and licencing costs of developed applications to support the monitoring of the energy market.

As a consequence of new tasks being allocated to the Agency there is also an increase in the number of workshops organised for the purpose of developing and maintaining the Agency's relationship with its stakeholders. As the involvement of the Agency within the energy market is expanding an increase in the number of operational missions and the related cost can be observed within this particular chapter of the Title 3 budget.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Ljubljana	TR3	1 470	N/A	The entire office space is offered free of charge by the Government of the Republic of Slovenia pursuant the Seat Agreement signed on 26 November 2010	NO	N/A	The government of Slovenia offered ACER the office space for use free of charge for the first two years (until 31/01/2013)
Ljubljana	TR3	1 746	561 000	N/A	NO	N/A	As of 1 February 2013 ACER needs to support the rental costs of its current premises. Extra office space has been requested to accommodate new staff.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<ul style="list-style-type: none"> • inviolability of premises and archives • facilitations for communication • security • direct exemption from taxes 	<ul style="list-style-type: none"> • Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity • Exemption from national taxes on salaries and wages • Staff exemption from import taxes and duties on personal effects in the first year • Exemption from social security contribution towards Slovenian schemes • Director and Heads of Departments holding Diplomatic status 	<ul style="list-style-type: none"> • Proposed establishment of schooling facilities for children of agency's staff members and transitional solutions • The Government of Slovenia committed to establish a European School in Slovenia. The Agency to pay school fees, on the basis of established references, in the interim period.

5.3. Evaluation

An evaluation of the agency is expected to be submitted to the Budgetary Authority by the end of 2013.

The next evaluation of the agency is expected to be carried out by end of 2017.

2.2.1.2. Decentralised agencies of heading 2 – Sustainable growth: natural resources

2.2.1.2.1. European Environment Agency (EEA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EEC) No. 1210/90	07/05/1990	Establishment of the European Environment Agency and the European environment information and observation network - established the objective of the EEA, 10 tasks to be carried out to achieve the objective, and priority areas of work
Council Regulation 933/1999	29/04/1999	Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified.
Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003)	22/07/2003	Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency.

Seat Copenhagen, Denmark

Budget line 07 02 06 — European Environment Agency (EEA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	65	62	67	66
Establishment plan posts: AST	71	70	71	69
Total Establishment plan posts	136	132	138	135
Contract Agents	71	68	69	69
Seconded National Experts	27	23	25	20
Total staff	234	223	232	225

Contract Agents include ENPI (4), GISC (8) and GIOland (5) all financed with external assigned revenue.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 309 240	36 309 240
Other revenue	5 359 843	5 373 204
Total revenues	41 669 126	41 682 444

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	23 875 000	23 875 000		
Title 2	4 212 000	4 212 000		
Title 3	13 582 126	13 582 126		
Total expenditure	41 669 126	41 669 126	41 682 444	41 682 444

Other revenue will decrease slightly in 2013, following Croatian accession, as the contribution from Switzerland is calculated on the basis of the subsidy and number of member states.

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		2		1		2		2		2
AD 13		2				2		2		2
AD 12	1	9	1	9	1	9	1	10	1	10
AD 11		11		7		11		10		10
AD 10		9		9		9		10		10
AD 9		8		5		8		8		8
AD 8		8		8		8		8		8
AD 7		8		9		8		8		8
AD 6		6		12		8		7		6
AD 5										
AD total	1	64	1	61	1	66	1	66	1	65
AST 11		1		1		3		3		3
AST 10	1	3		2		3		3		3
AST 9	1	2		3	2	3	2	3	2	3
AST 8	1	8	3	5	1	10	1	10	1	10
AST 7		6		9		10		10		10
AST 6		10		8		10		10		10
AST 5		10		7		10		10		10
AST 4		10		10		5		8		8
AST 3		9		13		5		7		7
AST 2		4		2		5		3		2
AST 1		5		7		4		0		0
AST total	3	68	3	67	3	68	3	67	3	66
TOTAL	4	132	4	128	4	134	4	133	4	131
GRAND TOTAL	136		132		138		137		135	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	41	39	39	39
Function Group III	11	11	11	11
Function Group II	19	18	19	19
Function Group I				
Total	71	68	69	69

Contract Agents include ENPI (4), GISC (8) and GIOland (5) all financed with external assigned revenue.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	27	23	25	20

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	7 347				
2. EU CONTRIBUTION	36 309 240	36 309 240	36 634 269	36 309 240	
<i>of which fresh appropriations</i>	35 726 900	35 797 397	35 690 958	35 365 929	
<i>of which assigned revenues deriving from previous years' surpluses</i>	582 344	511 843	943 311	943 311	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	5 379 117	5 359 886	5 373 204	5 373 204	
Of which EFTA	928 899	930 732	937 837	937 837	
of which Candidate and third Countries	4 450 218	4 429 154	4 435 367	4 435 367	
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	41 695 704	41 669 126	42 007 473	41 682 444	0,03%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 Staff Expenditure	23 535 510	23 875 000	24 130 273		
11 Staff in active employment	21 363 524	22 100 000	22 155 273		
<i>- of which establishment plan posts *</i>	16 375 885	17 040 000	17 300 000		
<i>- of which external personnel **</i>	4 987 639	5 060 000	4 855 273		
12 Expenditure relating to Staff recruitment	529 837	120 000	220 000		
13 Mission expenses	930 837	900 000	950 000		
14 Socio-medical infrastructure	706 799	750 000	800 000		
16 Social Services	5 000	5 000	5 000		
Title 2 Infrastructure and operating expenditure	4 098 021	4 212 000	4 212 000		
21 Rental of buildings and associated costs	3 176 662	3 240 000	3 240 000		
22 Movable property and associated costs	235 843	245 000	245 000		
23 Current administrative expenditure	460 007	500 000	500 000		
24 Entertainment and representation expenses	6 410	12 000	12 000		
25 EEA Governance	213 700	205 000	205 000		
26 Environmental management of the Agency	5 400	10 000	10 000		
Title 3 Operational expenditure	13 725 256	13 582 126	13 665 200		
33 Resources	11 703 744	11 395 000	11 595 000		
35 Strategic Actions	2 021 512	2 187 126	2 070 200		
TOTAL EXPENDITURE	41 358 787	41 669 126	42 007 473	41 682 444	0,03%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 Staff Expenditure	23 105 338	23 875 000	24 130 273		
11 Staff in active employment	21 200 903	22 100 000	22 155 273		
- of which establishment plan posts *	16 361 599	17 040 000	17 300 000		
- of which external personnel **	4 839 304	5 060 000	4 855 273		
12 Expenditure relating to Staff recruitment	507 677	120 000	220 000		
13 Mission expenses	811 479	900 000	950 000		
14 Socio-medical infrastructure	580 851	750 000	800 000		
16 Social Services	4 429	5 000	5 000		
Title 2 Infrastructure and operating expenditure	3 804 423	4 212 000	4 212 000		
21 Rental of buildings and associated costs	3 049 673	3 240 000	3 240 000		
22 Movable property and associated costs	177 050	245 000	245 000		
23 Current administrative expenditure	408 358	500 000	500 000		
24 Entertainment and representation expenses	3 743	12 000	12 000		
25 EEA Governance	160 201	205 000	205 000		
26 Environmental management of the Agency	5 399	10 000	10 000		
Title 3 Operational expenditure	10 369 457	13 582 126	13 665 200		
33 Resources	8 512 629	11 395 000	11 595 000		
35 Strategic Actions	1 856 829	2 187 126	2 070 200		
TOTAL EXPENDITURE	37 279 218	41 669 126	42 007 473	41 682 444	0,03%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 943 311.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission does not support the request for increased financial resources and stable staffing levels put forward by the European Environment Agency in the draft budget for 2014. Although mentioned by the Agency in its justification, the Commission has not requested new resources for the Agency to cover new work (i.e. one additional temporary agent to cover new legislation on invasive alien species). However, the Commission takes note that, while putting forward new tasks and the corresponding need of supplementary resources in its justification, the Agency has not asked for additional posts in its proposed 2014 establishment plan.

The Commission supports the view that changing priorities of work should be covered by redeployment of staff within the Agency. It should also be possible to accommodate a limited cut in staff numbers in the Agency, by identifying low or non-priority work that can be curtailed or dropped. The proposed reduction of 3 establishment plan posts compared to 2013 (2 more than the reduction of 1 post proposed by the agency) reflects the Commission's policy towards "cruising speed" agencies (i.e. a reduction of 2% of staff, including 1% for the redeployment pool).

4.1.2. Financial Resources

The reduced staffing level will generate savings in the order of EUR 250 000 per year, based on the average cost of Temporary Agents in Copenhagen, compared to the agency's request. This saving brings the final budget figures for the EEA for 2014 to the required budget freeze.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

When EEA was classified as a new tasks agency in 2011 the estimated needed resources were originally six additional FTE which ultimately resulted in the addition of four posts. Throughout the past years the EEA has moreover taken on board additional support tasks in a number of different areas all together making it increasingly difficult to respond to the scale of stakeholder needs.

The network of agencies has communicated to the budgetary authorities that a 1% reduction of staff (across temporary agents, contract agents and seconded national experts) in line with the Councils recommendations was accepted by agencies.

On this basis the establishment table in the agency request reduced by one whereas the overall number of staff in 2014 is reduced by six (five seconded national experts) in order to allow the Agency to continue within the set financial frame. It is anticipated that it will be difficult to accommodate the additional tasks as described below.

New tasks

A) During 2013 it is expected that several developments may cause the EEA to be given additional new tasks.

The agreement of the Monitoring Mechanism Regulation – the MMR - (streamlining Member States' reporting on greenhouse gas emissions and finance provided for adaptation and low carbon development), as well as developments under the UN Framework Convention on Climate Change and the second commitment period of the Kyoto Protocol will lead to a number of new and expanded tasks for the EEA.

The Industrial Emissions Directive – and its implementing provisions - will trigger new tasks for EEA and the Agency is in discussion with DG Environment to establish the precise scale of those expected tasks.

B) The European Commission plans new legislation on invasive alien species, which would require proper expert capacity within the EEA (provided for the time being only by external experts in the agency's network (Eionet) and ETC/BD (European Topic Centre for Biodiversity) on an ad hoc basis).

Should the legislation be passed in 2014 it is estimated to require one additional temporary agent as this is an area where the EEA at present does not have the expertise in-house. This additional post has not been included in the establishment table as presented for 2014.

C) The proposal for the 7th Environment Action Programme (7EAP) includes the development of a proposed Strategic Framework Directive on Soils. For EEA to be in a position to contribute to the related evidence base, in full co-operation with the JRC, it would require sustained expert capacity. Today the relevant expertise is limited to one FTE staff (CA), which – in the light of the work required to support a Soil Directive – should be converted to a temporary agent post in the event that the legislation is adopted in 2014.

D) The GMES Communication COM(2011) 831 and the subsequent GMES Initial Operations Regulation specifies that technical coordination of the land monitoring service and the in-situ component may be entrusted to the European Environment Agency (EEA). In December of 2012, the Commission furthermore proposed to the GMES Committee that the technical coordination of the Copernicus marine service could also be handled by EEA. Today, the EEA is carrying out GMES tasks outside its core budget through a delegation agreement (land service) and an FP funded project (in situ work). From 2014 onwards, as the GMES Initial Operations activities gradually reduce, there may be a similar arrangement for further land service work to be done by the EEA, now that the Copernicus Regulation has been adopted (end 2012), since it also allows for the EEA to be involved in land service work. However, at this time, the negotiations between EEA and the Commission are not completed.

The current estimate of the resources required for work under a new Copernicus EEA land service Delegation Agreement is five contract agent posts, to be financed by the Delegation Agreement. These have not been included in the establishment table as presented for 2014.

E) Growth of existing tasks

EEA expects growing existing tasks, notably in the implementation of the Inspire Directive 2007/2/EC, Shared Environment Information System Implementation Outlook, the new Implementing Provisions for Reporting under the Air Quality Directives (Decision 2011/850/EU, the new Regulation on Fluorinated Gases, the establishment and maintenance of the registry under Regulation EC 443/2009 on CO₂ emissions from passenger cars and Regulation 510/2011 on CO₂ emissions from vans, the EU adaptation strategy to be adopted in 2013, the Climate-ADAPT platform (managed jointly by Commission and EEA), an update of the climate change impacts and vulnerability indicator report of 2012, the publication of the report on adaptation, as well as various data sets.

The work with the European Neighbourhood countries in the east and south as well as the West Balkan countries is continuing although this was added in past years as an extra task. The work aims at establishing regular shared environmental data flows for these countries. The operational side of this work is funded via ENPI and IPA2 funds, while management of the work is funded from EEA core funds. The work is linked to the External Dimension of EU policy and steered jointly with DG ENV and DG DEVCO.

F) Efficiency gains

Potential efficiency gains exist if the new Monitoring Mechanism Regulation and National emissions Ceilings Directive explicitly use EEA IT infrastructure and data centres in relation to reporting. EEA IT infrastructure can be further improved and used for new reporting flows under these pieces of legislation where applicable.

EEA existing resources (staff and ETC) dedicated to managing the land use data centre and related assessments activities are now assigned to also cover activities on European mapping and physical accounting of terrestrial ecosystems (including green infrastructure components) to respond to Biodiversity Strategy 2020 information requirements, especially actions under Target 2.

Redeployment of resources:

- Vacancies in the establishment table, created from staff turn-over and retirement, are continuously being used to reinforce work in priority operational areas.
- The EEA has continuously over recent year's redeployed staff into the areas designated as priorities by the EEA Management Board, following consultations on the multi-annual work programme 2009-2013 and consultations on subsequent annual work programmes.
- Redeployment in 2012 was made possible by movement of posts as they became vacant, into INSPIRE related tasks and in 2013 it is anticipated that in order to respond to the loss of staff expertise on agri-environment topics, a recruitment is foreseen for a temporary agent post on agri-ecosystems: as a sector agriculture represents high stakes in environmental matters.

In 2011 and 2012 the Air and Climate Change programme of the EEA was reinforced through a redeployment of two temporary agents and a small reorganisation (bringing in climate change impacts, vulnerability and adaptation work).

Negative priorities/decrease of existing tasks

Some areas of additional work have been identified by EEA stakeholders and it is under the current annual management plan still uncertain whether the capacity to do these activities can be identified. Amongst these tasks are:

- Waste management and prevention analysis possibly emanating from the 2014 review of waste policies.
- Resource Efficiency indicators production responsibilities emanating from the 2013 review signalled in the Resource Efficiency roadmap
- Environment and health data, information and assessments in support of implementation of the 7th EAP
- Support on environmental impacts on shale gas ("fracking").

4.2.2. *Salary assumption for calculating salary lines (% applied)*

The Title 1 budget forecast for 2014 is based upon the EEA meeting its obligations for an annual salary adjustment ("Rappel") in 2011 and 2012 of 1,7 % and 2,7% (including weighting increase for CPH). The foreseen vacancy rate used in the forecast for 2011 is 2% for the current establishment plan recognising the fact that the establishment plan is being fully used. For other staff affiliated to the EEA the development used for forecasting the budget is taken from the Multi Annual Staff Policy Plan.

4.2.3. *Vacancy rate as of end-2012*

As of 31.12.2012 the vacancy rate for posts in the establishment plan was 3,6% (four posts vacant). The remaining posts are under recruitment.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

The salary adjustments related to 2011 and 2012 has been included in the calculations for the draft budget. Given the uncertainties on these salary adjustments, a potential deficit on budget lines for temporary agents salary will be covered by scaling down on recruitment of contract agents and in particular seconded national experts.

4.3.2. Title 2

The level of the title 2 budget reflects what is considered to be the minimum expenses needed to run essential office facilities. The effect of inflation will have to be found via efficiency gains or lowering maintenance cost.

4.3.3. Title 3

The number of EEA member countries will increase from 32 to 33 in 2013 after as Croatia's accession. This will slightly increase the scale of EEA activities, whilst reducing the revenue as the contribution from Switzerland is calculated on the basis of the subvention and the number of member states.

The Title 3 operational budget funded by the Community subsidy and additional membership fees has been held relatively stable over recent years, whilst staffing has been increased to reduce dependency on consultancy and in order to directly deliver data services, information, assessments and policy support, particularly in the priority areas of climate change, biodiversity and ecosystem services, and sustainable consumption and production. In the current multiannual work programme period (since 2009), priority within Title 3 has been given to the resource budget lines for the establishment and delivery of analyses, information and requirements for new data flows (e.g. under the Water Framework Directive, Marine Strategy and Maritime policy, Noise, Air Emissions, Air Quality, Biodiversity and Nature Directives, INSPIRE directive and the Climate and Energy Package), delivery of joint EEA and DG ENV delivery of the pilot projects on implementation in the areas of waste and air quality, meetings with national network partners, European Topic Centres, information technology and, in response to requests from the European Parliament and member countries to increase the visibility of the EEA's activities and outputs, web-based publications, information services, translations and media communications. The EEA Management Board has given priority to resource efficiency, Climate Change and Ecosystems, meeting the needs of the EU 2020 strategy and support to Member States, the European Commission and the European parliament.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Copenhagen, Denmark	Kongens Nytorv 6	7 200m ²	2 140 000		No		
Copenhagen, Denmark	Kongens Nytorv 8	2 730m ²			No		

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency has diplomatic status	Agency staff are registered with Danish Protocol. Senior management have full diplomatic status	Agency statutory staff receive education allowances in line with the Staff regulations
The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol	Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties	No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic due to staff being registered via Protocol rather than normal CPR system

5.3. Evaluation

The five-year evaluation of the Agency was initiated in 2012 and concluded in April 2013. The evaluation report concludes that:

- the EEA and Eionet are well established and well-functioning structures, delivering comprehensive and reliable outputs which, to a large extent, satisfy stakeholders' needs
- the EEA continues to be the most effective and efficient solution to providing credible information on the state of the European environment.

2.2.1.2.2. European Fisheries Control Agency (EFCA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 768/2005 modified by Council Regulation No. 1224/2009	26/04/2005 modified 20/11/2009	<ul style="list-style-type: none"> • to coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; • to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; • to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; • in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; • to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; • to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; • to contribute to the coordination of inspector training and the exchange of experience between Member States; • to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules. • to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: <ul style="list-style-type: none"> • Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes • Inspections as necessary to fulfil the Agency's tasks <p>Please note that, among other competences, after the amendment of the EFCA establishing Regulation by Council Regulation (EC) No 1224/2009 the EFCA:</p> <ul style="list-style-type: none"> • Officials of the Agency may be assigned in international waters as Union inspectors • may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans • upon a notification by the Commission or of its own initiative shall set up an Emergency Unit, where a serious risk to the common fisheries policy is identified
Commission Decision 2009/988/EU	18/12/2009	<p>By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU regulation. These tasks are as follows:</p> <ul style="list-style-type: none"> • transmit notifications, with copy to the Commission, on denials of landing or transshipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; • upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed cooperation arrangements with third countries in accordance with Article 20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; • communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; • transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; • transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008.

Seat Vigo, Spain

Budget line 11 06 64 — European Fisheries Control Agency (EFCA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	21	20	21	22
Establishment plan posts: AST	33	30	33	31
Total Establishment plan posts	54	50	54	53
Contract Agents	5	5	5	5
Seconded National Experts	4	4	4	4
Total staff	63	59	63	62

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 216 900	9 216 900
Other revenue	pm	pm
Total revenues	9 216 900	9 216 900

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	6 329 000	6 329 000		
Title 2	1 175 359	1 175 359		
Title 3	1 712 541	1 712 541		
Total expenditure	9 216 900	9 216 900	9 216 900	9 216 900

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13		2		2		2		2		2
AD 12		2		2		2		2		2
AD 11										
AD 10		3		3		3		3		3
AD 9		6		5		6		6		6
AD 8		5		5		5		5		5
AD 7		1		1		1		1		1
AD 6		1		1		1		2		2
AD 5										
AD total		21		20		21		22		22
AST 11										
AST 10		7		7		7		7		7
AST 9		3		3		3		3		3
AST 8		3		3		3		3		3
AST 7		8		8		8		8		8
AST 6		3		3		3		2		2
AST 5		6		3		6		6		6
AST 4										
AST 3		2		2		2		2		2
AST 2		1		1		1		1		
AST 1										
AST total		33		30		33		31		31
TOTAL		54		50		54		53		53
GRAND TOTAL	54		50		54		53		53	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	2	2	2	2
Function Group II	3	3	3	3
Function Group I				
Total	5	5	5	5

(*) Estimate on the basis of average costs.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	4	4	4	4

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	8 507 826	9 216 900	9 216 900	9 216 900	
of which fresh appropriations	8 507 826	9 216 900	9 216 900	8 716 900	
of which assigned revenues deriving from previous years' surpluses				500 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	p.m.	p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	8 507 826	9 216 900	9 216 900	9 216 900	0%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 858 478	6 329 000	6 344 359		
11 Salaries & allowances	5 556 662	5 891 000	5 992 359		
- of which establishment plan posts	5 219 481	5 547 000	5 647 359		
- of which external personnel	337 181	344 000	345 000		
12 Expenditure relating to Staff recruitment	90 860	119 000	110 000		
13 Administrative missions and duty travel	86 500	137 000	100 000		
14 Socio-medical infrastructure and training	122 923	172 000	140 000		
17 Receptions and representations	1 533	10 000	2 000		
Title 2 - Infrastructure and operating expenditure	1 308 227	1 175 359	1 160 000		
20 Buildings and associated costs	332 323	330 000	342 000		
21 Data processing expenditure and associated costs	406 745	271 900	272 000		
22 Movable property and associated costs	91 203	25 000	32 000		
23 Current administrative expenditure	24 945	20 000	22 000		
24 Postage / Telecommunications	55 417	75 000	61 000		
25 Meeting expenses	89 823	60 000	56 000		
26 Supplementary Services (External Services, interpreters, translation)	254 550	308 100	320 000		
27 General info/Communications	53 221	85 359	55 000		
28 Studies					
Title 3 - Operational expenditure	1 679 662	1 712 541	1 712 541		
Capacity Building	757 305	937 541	937 541		
Operational Coordination	922 357	775 000	775 000		
Acquisition of means		p.m.	p.m.		
TOTAL EXPENDITURE	8 846 367	9 216 900	9 216 900	9 216 900	0,0%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	5 745 819	6 329 000	6 344 359		
11 Salaries & allowances	5 216 881	5 891 000	5 992 359		
- of which establishment plan posts	4 934 933	5 547 000	5 647 359		
- of which external personnel	281 948	344 000	345 000		
12 Expenditure relating to Staff recruitment	78 424	119 000	110 000		
13 Administrative missions and duty travel	83 419	137 000	100 000		
14 Socio-medical infrastructure and training	83 772	172 000	140 000		
17 Receptions and representations	1 375	10 000	2 000		
Title 2 - Infrastructure and operating expenditure	854 660	1 175 359	1 160 000		
20 Buildings and associated costs	248 598	330 000	342 000		
21 Data processing expenditure and associated costs	260 378	271 900	272 000		
22 Movable property and associated costs	31 861	25 000	32 000		
23 Current administrative expenditure	19 946	20 000	22 000		
24 Postage / Telecommunications	43 613	75 000	61 000		
25 Meeting expenses	86 415	60 000	56 000		
26 Supplementary Services (External Services, interpreters, translation)	134 661	308 100	320 000		
27 General info/Communications	29 188	85 359	55 000		
28 Studies					
Title 3 - Operational expenditure	1 907 347	1 712 541	1 712 541		
Capacity Building	267 769	937 541	937 541		
Operational Coordination	638 596	775 000	775 000		
Acquisition of means		p.m.	p.m.		
TOTAL EXPENDITURE	8 846 367	9 216 900	9 216 900	9 216 900	0,0%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 500 250.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The proposed reduction by 1 establishment plan post compared to 2013 reflects the Commission's policy towards "cruising speed" agencies (i.e. a reduction of 2% of staff, including 1% for the redeployment pool).

4.1.2. Financial Resources

The Commission considers that the Agency request is sufficient for its operational needs and is in line with the nominal freeze policy applied to "cruising speed" agencies.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

The request for staff is in line with the Multi-annual Staff Policy Planning 2013-2015. The number of Temporary agents has been reduced by 1 post to 53. This cut corresponds to a 2% reduction of staff as EFCA is classified as a "cruising speed" agency. The level of contract agents budgeted for 2014 will remain at 5. The SNE budget planning is based on 48 man months (equivalent to 4 SNE). This usually consists of 75% long term (at least 1 year) and a 25% short term assignments to several experts which is subject to the optimized allocation of these resources during the year.

4.2.2. Salary assumption for calculating salary lines (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status extrapolated for the future period, and the recruitment plan achieving a full occupancy rate (reference year is 2012).

4.2.3. Vacancy rate as of end-2012

The vacancy rate for Temporary Agents on 31/12/2012 is 7,4% (of 54 posts, 50 are filled). These vacancies are mainly due to several unforeseen resignations in the second semester 2012. The recruitment procedures to fill the posts are at an advanced stage and will be completed shortly. To render 1 post as of 2014, a new vacancy arisen in 2013 will not be filled. All five contract agents' functions are filled at end of 2012.

4.2.4. Standard abatement ("abatement forfaitaire") applied

Not applicable.

4.3. Financial Resources – Agency request

4.3.1. Title 1

EFCA is classified as a “cruising speed” agency. In line with the Commission’s policy, the EU contribution for EFCA will be frozen in nominal terms at the level adopted in the 2013 budget. Considering the above, the EFCA proposes to make savings, in particular where expenditure in 2012 has not been as high as expected, while avoiding compromising the continuity of the priority activities of the agency. The expenditure under this Title has been estimated taking in consideration a full occupation of the 53 posts indicated under the draft establishment plan for 2014. The agency has made efforts throughout all Title I and II in order to cover the staff related expenditure. The expenses related to other non-statutory staff will remain steady with no increase. Recruitment expenditure is budgeted on the basis of a turnover of 4% of staff (below the current annual average), as well as staff entitlements acquired in precious years. This includes all costs related to recruitment and installation.

The agency reduced the number of administrative missions during 2012, having reduced the total expenditure in respect of 2011. Following an analysis of the reasons for this reduction, as well as the missions planning for 2013, the agency has set the request of administrative missions at EUR 100 000 in 2014. Following an estimation of the trainings to be programmed in 2014, as well as analysing previous year’s expenditure, the request for training expenditure, integrated under chapter 14 “Socio Medical infrastructure”, is decreased by 18,6%. This will provide mainly for updates of basic training for staff, as well as new training requests for personal development

4.3.2. Title 2

The requested budget for 2014 in Title II is reduced by 1,3% in respect of the amount requested for 2013. Reductions will be made to the postal and telecommunication charges (chapter 24), as well as the communication related expenditure (chapter 27) for 2013. There is an increase of 3,6% to cover the price increases in the utilities charges and other building related services. The increase in chapter 22 will cover the cost of scheduled replacement of outdated office equipment.

4.3.3. Title 3

No additional subsidy is requested for Title III. The chapter related to “Acquisition of Means” will remain as PM.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Vigo, Spain	Provisional building Odriozola (from July 2008)	2 600	Currently no rent in 2012. Possible symbolic amount in 2013	Arrangements in the building funded by Spain	NO		Possibility to be renegotiated as final seat with similar provisions to be established to those of Comandancia (symbolic amount)
Vigo, Spain	Comandancia de marina (move postponed)	3 700	Symbolic amount (7,2 euro/m ²)	Arrangements in the building funded by Spain	NO		Foreseen move to Comandancia de Marina at end 2009 postponed by Spanish authorities

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exempted (invoices > EUR 300)	VAT exempted for vehicle purchases, special ID cards	

5.3. *Evaluation*

In line with Art.39 of the Founding Regulation of the Agency, a 5 year external independent evaluation of the Agency was commissioned by the EFCA Administrative Board for the period 2007-2011. The evaluation started in April 2011 and assessed the impact of the Founding Regulation, the utility, relevance and effectiveness of the Agency and its working practices. It assessed the extent to which it has contributed to the achievement of a high level of compliance with rules made under the common fisheries policy. The evaluation results were presented in March 2012.

The Report indicates that the overall assessment of the governance and performance of the EFCA has been positive. On the whole, governance arrangements have worked well. Considering the agency's limited resources, its operation in the politically sensitive environment of fisheries policy, and current Member State budget constraints, performance against the evaluation criteria of relevance, and effectiveness, impact and sustainability, can be considered promising. The Evaluation also commended the agency for its administrative efficiency.

The Administrative Board has issued recommendations to the Commission regarding changes to the Founding Regulation, the Agency and its working practices. Both the evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council, and were made public (http://www.efca.europa.eu/pages/home/docs_basicdocs.htm).

The next 5 year external independent evaluation for the period 2012-2016 is scheduled to start in 2016.

2.2.1.3. Decentralised agencies of heading 3 - Security and citizenship

2.2.1.3.1. European Centre for Disease Prevention and Control (ECDC)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 851/2004 of the European Parliament and of the Council	21/04/2004	<p>ECDC Vision (draft strategic multiannual programme):</p> <p>A future where all citizens of the EU enjoy the best protection from communicable diseases that the “state of the art” prevention and control measures allow, through the use of evidence based methods applied by Member States and the EU system in a mutually supportive manner.</p> <p>Article 3 of the Founding Regulation defines the mission, tasks and modes of operations of ECDC. The essence of this Article is: that ECDC’s current mission should concentrate on communicable diseases (and outbreaks of unknown origin); that ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control; and that ECDC; last but not least, should be an agent of change, by actively supporting the whole EU system and its Member States in their efforts to strengthen their capacity to improve CD Prevention and Control.</p> <p>Further on the regulations stipulates that (article 3):</p> <p>‘In order to enhance the capacity of the EU and the Member States to protect human health through the prevention and control of human disease, the mission of the Centre shall be to identify, assess and communicate current and emerging threats to human health from communicable diseases. In the case of other outbreaks of illness of unknown origin which may spread within or to the EU, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak which clearly is not caused by a communicable disease, the Centre shall act only in cooperation with the competent authority upon request from that authority. In pursuing its mission the Centre shall take full account of the responsibilities of the Member States, the Commission and other EU agencies, and of the responsibilities of international organisations active within the field of public health, in order to ensure comprehensiveness, coherence and complementarity of action’.</p> <p>Within the field of its mission, the Centre shall: search for, collect, collate, evaluate and disseminate relevant scientific and technical data; provide scientific opinions and scientific and technical assistance including training; provide timely information to the Commission, the Member States, EU agencies and international organisations active within the field of public health; coordinate the European networking of bodies operating in the fields within the Centre’s mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks; and exchange information, expertise and best practices, and facilitate the development and implementation of joint actions.</p>
COM/2008/741 SEC 2008 2792	13/11/2008	The European Centre for Disease Prevention and Control activities on Communicable diseases: the positive outcomes since the Centre’s establishment and the planned activities and resource needs

Seat Stockholm, Sweden

Budget line 17 03 10 — European Centre for Disease Prevention and Control (ECDC)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	136	125*	135	133
Establishment plan posts: AST	64	64	63	61
Total Establishment plan posts	200	189	198	194
Contract Agents	100	99**	100	100
Seconded National Experts	5	5***	5	5
Total staff	305	293	303	299

* includes 2 AD 5 offers sent and accepted

** includes the following offers sent and accepted: 2 CA IV, 4 CA III, 1 CA II, 1 CA I

***Includes 1 offer sent and accepted

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	56 727 000	56 766 000
Other revenue	1 588 000	1 588 000
Total revenues	58 315 000	58 354 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	31 535 000	31 535 000		
Title 2	6 901 000	6 901 000		
Title 3	19 879 000	19 879 000		
Total expenditure	58 315 000	58 315 000	58 354 000	58 354 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14		3		2		4		5		4
AD 13		2		1		3		4		3
AD 12		5		3		6		7		6
AD 11		9		3		10		12		10
AD 10		15		8		18		20		18
AD 9		26		13		27		26		27
AD 8		22		38		18		18		18
AD 7		12				16		18		16
AD 6		24		12		22		18		22
AD 5		17		45		10		4		8
AD total		136		125		135		133		133
AST 11						1		2		1
AST 10		1				1		1		1
AST 9		1				1		1		1
AST 8		3				4		5		4
AST 7		6		2		7		9		7
AST 6		11		3		13		14		13
AST 5		20		10		20		19		19
AST 4		15		34		11		8		10
AST 3		2				2		2		2
AST 2		3		2		2				2
AST 1		2		13		1				1
AST total		64		64		63		61		61
TOTAL		200		189*		198		194		194
GRAND TOTAL		200		189*		198		194		194

* includes 2 AD 5 offers sent and accepted and 1 AD 11 offer sent and accepted by an internal candidate currently at AD 10 level (already deducted from the figures)

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	51	47	48	48
Function Group III	35	37	37	37
Function Group II	11	12	12	12
Function Group I	3	3	3	3
Total	100	99**	100	100

** includes the following offers sent and accepted: 2 CA IV, 4 CA III, 1 CA II, 1 CA I

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	5	5***	5	5

(*) Estimate on the basis of average costs ; ***Includes 1 offer sent and accepted

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	53 184 000	56 727 000	56 727 000	56 766 000	
of which fresh appropriations	53 184 000	56 727 000	56 727 000	56 766 000	
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 474 000	1 588 000	1 588 000	1 588 000	
Of which EFTA	1 474 000	1 588 000	1 588 000	1 588 000	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	54 658 000	58 315 000	58 315 000	58 354 000	

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	28 700 000	31 535 000	31 535 000		
11 Salaries & allowances	24 731 000	27 283 000	27 585 000		
- of which establishment plan posts	19 557 000	21 204 250	21 602 500		
- of which external personnel	5 174 000	6 078 750	5 982 500		
12 Expenditure relating to Staff recruitment	592 000	645 000	560 000		
13 Mission expenses	989 000	1 000 000	900 000		
14 Socio-medical infrastructure	105 000	150 000	115 000		
15 Training	428 000	559 000	510 000		
16 External Services	1 790 000	1 810 000	1 790 000		
17 Receptions and events	65 000	88 000	75 000		
Title 2 - Infrastructure and operating expenditure	5 870 000	6 901 000	7 060 000		
20 Rental of buildings and associated costs	2 893 000	2 906 000	3 183 000		
21 Information and communication technology	2 213 000	2 893 000	2 778 000		
22 Movable property and associated costs	57 000	114 000	184 000		
23 Current administrative expenditure	198 000	330 000	315 000		
24 Postage / Telecommunications	236 000	258 000	250 000		
25 Meeting expenses	273 000	400 000	350 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	20 088 000	19 879 000	19 720 000		
Operational cost					
TOTAL EXPENDITURE	54 658 000	58 315 000	58 315 000	58 354 000	

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	28 700 000	31 535 000	31 535 000		
11 Salaries & allowances	24 731 000	27 283 000	27 585 000		
- of which establishment plan posts	19 557 000	21 204 250	21 602 500		
- of which external personnel	5 174 000	6 078 750	5 982 500		
12 Expenditure relating to Staff recruitment	592 000	645 000	560 000		
13 Mission expenses	989 000	1 000 000	900 000		
14 Socio-medical infrastructure	105 000	150 000	115 000		
15 Training	428 000	559 000	510 000		
16 External Services	1 790 000	1 810 000	1 790 000		
17 Receptions and events	65 000	88 000	75 000		
Title 2 - Infrastructure and operating expenditure	5 870 000	6 901 000	7 060 000		
20 Rental of buildings and associated costs	2 893 000	2 906 000	3 183 000		
21 Information and communication technology	2 213 000	2 893 000	2 778 000		
22 Movable property and associated costs	57 000	114 000	184 000		
23 Current administrative expenditure	198 000	330 000	315 000		
24 Postage / Telecommunications	236 000	258 000	250 000		
25 Meeting expenses	273 000	400 000	350 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	20 088 000	19 879 000	19 720 000		
Operational cost					
TOTAL EXPENDITURE	54 658 000	58 315 000	58 354 000	58 354 000	

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission's assessment of the ECDC's needs of Human Resources in 2014 is in line with the estimates presented by the Agency.

4.1.2. Financial Resources

The EU contribution for ECDC in 2014 is set at the level authorised in 2013, with the addition of the contribution to the European Schools Type II that was not foreseen in the Agency's initial request.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

Although the Centre deems its resources appropriate bearing in mind the scope of activities and the fact that the Centre's staff surveys clearly show that staff feel overwhelmed by their work load, the Centre acknowledges the request by the Commission and the budgetary authorities of 5% staff cuts (on head counts) over 5 years and the additional request for 1% staff reduction in the budget for 2014. The Centre has already started the post reduction by cutting two posts in the establishment table of 2013 (1 AD, 1 AST). Four further posts will be cut in 2014 (2 AD and 2 AST) by 1 January 2014. Provided there is no evolution in the Centre's mandate or new assignment of tasks the Centre will further reduce its head counts in the following four years as requested.

4.2.2. Salary assumption for calculating salary lines (% applied)

The salary forecast is based on the budget execution 2012. Additionally, the reduction of four posts is taken into account (2 AD 5 and 2 AST 2), based on the current average salary budget costs of December 2012 in those grades. The significantly increased weighting factor for Sweden of 131,9 proposed for 2012 (as opposed to 118,6 currently applicable) is taken into account. Since this weighting has a considerable impact on the budget, the pending decision on the possible salary adjustment for 2011 (1,7%, currently under consideration by the Court of Justice) and 2012 (1,7%) could not be considered in the budget request for 2014.

4.2.3. Vacancy rate as of end-2012

Taking into account offers sent and accepted, the Centre has filled 189 temporary agent posts on 31.12.2012. Having an establishment plan of 198 posts in 2013, this results in a vacancy rate of 4,5%. Considering that the Centre has to further reduce posts in 2013 (4 TA posts according to the instructions), the establishment plan will consist of 194 posts and therefore 5 vacancies would result in a vacancy rate of 2,3 %.

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

The budget 2014 is forecasted to be EUR 31 535 000 in order to accommodate the salaries and salary related costs of the implemented establishment plan and the Centres contract staff. The reductions of four posts are taken into account in the forecast (two AD and 2 AST). The increase of EUR 302 000 on Chapter 11, compared to 2013, takes account of the increase of the weighting factor for Sweden. However, the overall Title 1 budget will remain at the same level as 2013.

4.3.2. Title 2

The estimated amount for Title 2 increases by 2% mainly due to an increase in chapter 20. This is due to the need of Facility Management Consultancy, mainly in the "Real Estate Market Prospect" area, to provide a feasibility study to the budgetary authorities about the renewal of the rental contract of the current premises or the opportunity to move to a different more convenient building. For Chapter 21, ICT will save budget in 2014 for hardware by extending the lifecycle of equipment in use and for software by limiting the number of software in use. These savings will be used for the exchange of printers and to get consultancy to increase the operational efficiency. One of ICT long term strategies is to increase its operational efficiency. In order to do that ICT needs consultancy on process implementation and organisational optimisation. The increase in chapter 22 is needed to provide ergonomic office furniture and equipment to increase the efficiency and well being of staff, which we were not able to provide in the past.

4.3.3. Title 3

In 2014, the Centre's will have achieved the implementation of its "Strategic Multiannual Plan 2007-2013" for the nine targets covering Disease-specific issues, Public Health functions and External Partnerships. A new Strategic Multi-Annual Programme 2014-2020 (SMAP) is now under discussion through an iterative process with our stakeholders and should be adopted by the Management Board in June 2013. It is therefore difficult at this stage to anticipate the detailed content of the Work Programme 2014; however changes to be introduced will take place gradually and, 2014 should be a year of transition.

It is foreseen that ECDC focus from 2014 be on maximising the effectiveness and value for money delivered by its existing functions and programmes, as well as improving the alignment between EU level priorities and national priorities in the area of control and prevention of infectious diseases. Therefore ECDC should ensure the maximum possible impact in reducing the burden of infectious diseases in the EU, provide EU level services and programmes that reduce the work that ECDC's national partners need to do by ensuring a better alignment and complementarities between ECDC and Member States activities, and eliminate unnecessary administrative burdens that the EU system might place on its national partners.

The amount of the budget (commitment appropriations) for Title III will remain at approximately the same level as for 2013 at EUR 19 720 000 and the operational units should continue achieve higher budget execution and thus enable a decrease in the carry-forwards and a better alignment of the payments to annual cycles. More operational expenditure is expected due to the participation of Croatian delegates in ECDC tasks.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Stockholm	3 buildings in Karolinska Inst. Campus + mobile offices	8 180 m ² + 420 m ²	1 800 000 € + 116 000€	NO	NO	N/A	

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption	No diplomatic status	There are a number of alternatives regarding international schooling in Stockholm (British, German, French, finish etc) Some public schools offer the Swedish curriculum in English.
Seat agreement signed	Protocol applicable to staff From 1/1/2011 staff are included in population register and have full access to the Swedish health care system.	School fees are not paid by the Agency

5.3. Evaluation

An evaluation of the agency was planned to start in 2012 with the report presented in the first quarter of 2013. The tender was awarded in the summer of 2012 and the contractor was to present their inception report in September. Due to the poor quality of this report, which did not improve despite several re-drafts based on the indicators given by the Management Board Steering Committee and due to the obvious lack of involvement of the senior public health expertise that was promised in the original submission, the Management Board advised to terminate the contract and re-issue the tender. A new tender is currently being finalised and is expected to be published in the course of 2013.

2.2.1.3.2. European Food Safety Authority (EFSA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No. 178/2002	28/01/2002	<p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p>

Seat Parma, Italy

Budget line 17 03 11 — European Food Safety Authority (EFSA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	225	215	231	228
Establishment plan posts: AST	130	127	120	116
Total Establishment plan posts	355	342	351	344
Contract Agents	110	106	110	109
Seconded National Experts	29	18	20	20
Total staff	494	466	481	473

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	76 000 000	77 333 000
Other revenue	2 051 000	2 143 260
Total revenues	78 051 100	79 476 260

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	39 809 000	39 809 000		
Title 2	8 920 000	8 920 000		
Title 3	29 322 000	26 790 000		
Total expenditure	78 051 000	75 519 000	79 476 260	79 476 260

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		2				2		2		2
AD 13				1		1		2		1
AD 12	1	14		5	1	14	1	14	1	14
AD 11		11		11		11		11		11
AD 10	1	9		7	1	12	1	15	1	12
AD 9	1	33		28	1	37	1	43	1	37
AD 8		44		44		47		56		47
AD 7	1	58	3	42	1	61	1	69	1	61
AD 6	1	27	1	53	1	23	1	30	1	23
AD 5		21	1	18		17		14		14
AD total	5	220	5	210	5	226	5	257	5	223
AST 11										
AST 10										
AST 9										
AST 8		1				2		3		2
AST 7		5		3		5		4		5
AST 6		5				7		8		7
AST 5		22		10		25		28		25
AST 4		38		39		34		32		34
AST 3		24		20		25		27		25
AST 2		24		47		20		12		16
AST 1		11		8		2		0		2
AST total		130		127		120		114		116
TOTAL	5	350	5	337	5	346	5	371	5	339
GRAND TOTAL	355		342		351		376		344	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	60	55	60	60
Function Group III	4	4	4	4
Function Group II	45	46	45	45
Function Group I	1	1	1	1
Total	110	106	110	110

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	29	18	20	20

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	74 628 090	76 000 000	75 674 572	77 333 000	
of which fresh appropriations	73 357 090	74 333 488	74 886 465	76 545 000	
of which assigned revenues deriving from previous years' surpluses	1 271 000	1 666 512	788 108	788 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 857 050	2 051 000	2 083 412	2 143 260	
Of which EFTA	1 857 050	2 051 000	2 083 412	2 143 260	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	76 485 139	78 051 000	77 757 984	79 476 260	

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	38 563 788	39 809 000	43 335 682		
11 Salaries & allowances	36 467 447	37 548 000	41 163 274		
- of which establishment plan posts	30 023 392	31 092 000	35 922 379		
- of which external personnel	5 696 445	5 670 000	5 717 650		
12 Expenditure relating to Staff recruitment	111 315	107 000	107 000		
13 Mission expenses	122 456	150 000	130 000		
14 Socio-medical infrastructure	906 069	1 035 000	997 408		
15 Training	937 372	900 000	900 000		
16 External Services	125 819	161 000	140 000		
17 Receptions and events	5 024	15 000	5 000		
Title 2 - Infrastructure and operating expenditure	10 966 034	8 920 000	9 210 000		
20 Rental of buildings and associated costs	6 598 994	4 785 000	5 095 000		
21 Information and communication technology	3 285 531	2 611 000	2 611 000		
22 Movable property and associated costs	184 816	595 000	595 000		
23 Current administrative expenditure	168 319	179 000	179 000		
24 Postage / Telecommunications	616 716	510 000	510 000		
25 Meeting expenses	111 657	240 000	220 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	28 164 869	29 322 000	26 332 000		
30 Regulated Products	6 879 368	7 804 000	6 584 000		
31 Risk Assessment	8 728 467	8 800 000	8 290 000		
Scientific Cooperation & Strategy, Communication, operational support	12 557 035	12 718 000	11 458 000		
TOTAL EXPENDITURE	77 694 691	78 051 000	78 877 682	79 476 260	

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	38 563 788	39 809 000	43 335 682		
11 Salaries & allowances	36 467 447	37 548 000	41 163 274		
- of which establishment plan posts	30 023 392	31 092 000	35 922 379		
- of which external personnel	5 696 445	5 670 000	5 717 650		
12 Expenditure relating to Staff recruitment	111 315	107 000	107 000		
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15 Training	937 372	900 000	900 000		
16 External Services	125 819	161 000	140 000		
17 Receptions and events	5 024	15 000	5 000		
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23 Current administrative expenditure	168 319	179 000	179 000		
24 Postage / Telecommunications	616 716	510 000	510 000		
25 Meeting expenses	111 657	240 000	220 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	26 957 769	26 790 000	25 212 302		
30 Regulated Products	6 649 771	6 782 000	6 410 787		
31 Risk Assessment	8 291 020	7 946 000	7 889 132		
Scientific Cooperation & Strategy, Communication, operational support	12 016 977	12 062 000	10 912 383		
TOTAL EXPENDITURE	76 487 591	75 519 000	77 757 984	79 476 260	

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0,79 million.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission does not support the request of the Agency. Taking into account that EFSA is considered a "cruising speed" agency, the Commission assessment of the EFSA's staffing needs for 2014 sets a level of 344 establishment plan posts. This represents a decrease of 7 posts over 2013, which is in line with the staff reduction policy as well as with the contribution to the redeployment pool.

4.1.2. Financial Resources

As regards financial resources, the Commission proposal for the agency's subsidy in 2014 builds on the level allocated in the previous year, with the addition of the contribution to the European Schools Type II which was not foreseen in the initial proposal of the Agency.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

Based on the recently issued recommendations of its Management Board in relation to operational sustainability and independence, EFSA proposes to progressively implement a programme to significantly redistribute the work between experts and internal staff over the coming five-year period beginning in 2014. Under this proposal, the activities of the Working Groups of the Scientific Panels responsible for regulated products would be gradually transferred to internal staff, while plenary meetings in which the Panel members adopt the scientific opinions would be unchanged. The proposed change can be effected within the framework of the Founding Regulation. While EFSA staff would take over the preparatory work, legal responsibility for EFSA's scientific outputs would remain firmly with the Scientific Panels. EFSA proposes to start implementing the change beginning in 2014 with the most sensitive dossiers i.e. GMOs and food additives in the start-up phase. Similar changes for the other regulated products areas (feed additives, health claims) will be made as of 2015 in the context of the five –year plan.

This redistribution of workload has clear implications for the budget and establishment plan of the organisation, which are reflected in this budget proposal for 2014. Based on an analysis of the human resource required to implement the transfer of workload from Working Groups to internal staff it is estimated that, for the areas within the remits of the GMO and Food Ingredients & Packaging (FIP) units, this would amount to 25 full-time staff equivalents (FTEs; 10 GMO and 15 FIP) costing an estimated EUR 3 million in 2014. This is based on a conversion of the total number of days worked annually by experts into FTEs. It should be noted that, currently, the cost of these experts is only partly borne by EFSA (EUR 1 million), bearing in mind that for every day worked by an expert in EFSA, two days is spent in preparation for meetings: the Member States are in effect subsidising these costs. Hence, the “in-sourcing” of this work will bear additional costs for EFSA while reducing the burden currently borne by Member States.

Recognising the current economic difficulties, in 2014 EFSA would implement the transfer within the GMO and FIP areas in a cost-neutral manner i.e. from savings derived partly from the reduction in Working Group meetings and associated costs for the adoption of opinions in those areas, partly from efficiency gains in other areas, and also from reducing the budget for scientific cooperation. The current budget proposal therefore adheres to the overall ceiling as indicated by the Commission, while providing for a transfer of resource allocation, compared to 2013, from Title III to Title I. After 2014, EFSA would extend the programme to all its regulated product activities by the end of 2018 in order to permanently address the independence and sustainability issues outlined in more detail below. The extension of the initiative to cover the entire regulated products area would require an estimated further 33 FTEs above and beyond the 25 already described for the GMO and FIP areas. While EFSA can self-finance the start-up phase, this level of increase in its Establishment Plan would require additional resources of approximately EUR 4,6 million over the period 2015-2018.

Rationale

EFSA's current model of work relies in large measure on the availability of voluntary expertise from academia, national food safety agencies and other scientific institutions across Europe to generate its scientific advice. The recommendations of EFSA's Management Board (based on the second external evaluation of the Authority) call for a reappraisal of this operating model which has some inherent risks associated with it. First of all, it relies on the willingness of food safety authorities and institutions in Europe to continue to volunteer their experts in spite of economic difficulties. Secondly, experts themselves may find it less attractive to volunteer given that the nature of EFSA's work in certain areas – namely on regulated products – is becoming more routine and standardised. Thirdly, and most importantly, these experts are subject to intense public focus in relation to their independence, real or perceived. Many experts today develop working relations with private entities and public institutions. These interests arise from the prevailing funding model which is deeply ingrained in EU research programmes, i.e. public private partnerships, and more generally to the increasing participation of industry in financing scientific research. The interests of several EFSA experts – particularly in the area of regulated products such as GMOs and food additives – have been placed in the public domain in recent years with potential negative consequences for public trust in the organisation and in the European food safety system.

EFSA has made a concerted effort to establish a comprehensive system for the management of interests. In spite of this, the challenges are expected to persist in the foreseeable future. Feedback from within the scientific community suggests that there is little or no room for further tightening of the conflict of interest mitigation measures. The last panel renewal exercise in 2012 gave clear indications that, if we continue to increase the rigour and scrutiny on expert interests and if they continue to be exposed to public criticism, then the pool of expertise at EFSA's disposal will inevitably diminish. EFSA believes that the only sustainable approach to addressing these issues is to fully implement the Board recommendation (which is also in line with the views of many NGOs and influential MEPs) and change the operating model in the regulated products area by transferring a substantial share of the work currently carried out by

experts to internal staff; the essential role of the Panels would be preserved. EFSA staff members, working full time for the organisation, are far less likely to hold interests that might be in conflict with their EFSA work.

The change would deliver the dual advantage of allaying concerns in relation to independence and reducing the routine evaluation work of experts. It would also facilitate the more efficient processing of applications with knock-on benefits for commercial operators – and hence for economic growth – and bring EFSA's structures for dealing with regulated products in line with those of other regulatory agencies such as the US Food and Drug Administration, the European Medicines Agency and the European Chemicals Agency. EFSA has already gained experience in using a similar model in the area of pesticides and would seek to build on this experience to enhance the efficiency of the system. It may be argued that this change might diminish the participation of national institutions in EU food safety activities, which could be against the spirit of the Founding Regulation. EFSA would seek to mitigate this by enhancing the participation of public institutions in the scientific cooperation programme which is currently largely awarded to private operators. To do so, EFSA would provide additional guidance and training for national food safety organisations (FSOs) to facilitate their involvement in EFSA's grants and procurement programme; this shift from private to public in itself would also benefit perceptions of the independence of our scientific work.

Conclusion

In summary, EFSA's budget request for 2014 is based on the principle that the increase compared with 2013 will be limited to 1 % but with the transfer of EUR 3 million from Title III (operations) to Title I (staff). Regarding the Establishment Plan, EFSA requests an increase in AD/TA staff by 25 units compared with 2013. It should be noted that this transfer in resources would bring substantial indirect financial benefit to the national budgets of Member States (EUR 2 million overall), as their subsidisation of expert services to EFSA would be discontinued in these areas. The financial implications of implementing the entire initiative would be considered in the budget deliberations of 2015 and subsequent years. In 2014, EFSA will start implementing the change model described above with the in-sourcing of tasks and the resulting need for additional scientific officers i.e., 25 AD staff in the GMO and FIP Units covering the GMO, ANS and CEF panels. These three panels represent half of the panels dealing with Regulated products, the FEED, NUTRI and PRAS panels are not covered at this stage in view of the gradual approach adopted. The 2 % reduction in the number of posts is not applied in order to be able to tackle and process the current backlog in scientific opinions.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

1,5 % assumption re salary indexation, evolution of weighting coefficient, automatic seniority steps and promotion impact. The salary adjustments for 2011 (+1,7 %) and 2012 (1,7 %) for which the ruling of the Court of Justice is awaited represent for EFSA and uncovered additional expenditure under the 2013 budget estimated at EUR 0,48 million. This estimate takes into account the reduction of the weighting coefficient for Italy to a proposed 104,2 level for 2012. EFSA would therefore request to exceptionally use part of the EUR 788 108 assigned revenue stemming from the recovery of the 2012 outturn already in 2013, for an amount of EUR 480 000.

4.2.3. *Vacancy rate as of end-2012*

3,7 % on the establishment plan.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

N/A

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

The EUR 3,5 million increase in financial resources requested corresponds to the 25 additional staff in 2014 and the expected evolution on salary indexation. Training of the scientific staff, in particular in the Regulated Products area, where the change model will be initiated, will be accentuated to accompany the change model described herein above.

4.3.2. *Title 2*

The EUR 0,3 million limited increase in Title II (Infrastructure) is explained by the related infrastructure expenditure corresponding to a higher number of staff and scheduled payment owed to execute the purchase of the building. Ongoing IT infrastructure rationalisations will trigger a zero increase in that field. The anticipated evolutions of Title I and Title II are covered by the EUR 0,8 million increase (1%) foreseen and by a EUR 3,0 million decrease under Title III. Hence no additional financial resources requested for 2014.

4.3.3. Title 3

Reduction of the commitment appropriations by EUR 3,0 million. This reduction is essentially the result of the reduction of the volume of scientific working group meetings by EUR 1,4 million resulting from the revised model proposed to be piloted from 2014 onward and by an adaptation of the scientific cooperation program with Member States by EUR 0,9 million compared to 2013 although still in progress compared to the 2012 level. This reduction of the scientific program with national institutions is more than compensated by the reduction of the burden currently borne by Member States public organisations. This burden is estimated at EUR 2,0 million and corresponds to the work done by the experts in their home institution in preparation to EFSA meetings. Operational support and in particular the investment in IT operational support will be reduced by EUR 0,7 million.

The overall payment appropriations increase by EUR 2,24 million from EUR 75,52 million in 2013 to EUR 77,76 million in 2014. Part of this increase (EUR 0,73 million) results from the EUR 3,09 million reduction under Title III differentiated payment credits balanced by the EUR 3,82 million increase under Title I staff expenditure and Title II Infrastructure non differentiated payment credits. Secondly the increase in payment appropriations is linked to the coverage of the scientific cooperation program requiring an increase of EUR 1,51 million compared to 2013.

It is reminded that the level of payment appropriations in 2013 (EUR 75,52 million) was set, at the request of the Commission, below the 2012 level which was insufficient to cover the needs, hence the request for an additional EUR 1,1 million posted in 2012 under the global transfer procedure. With payment appropriations standing in 2013 EUR 2,5 million below the commitment appropriations earmarked for the scientific cooperation (EUR 10,52 million) it is likely that the payment appropriations for scientific cooperation pre-financings and interim payments will not cover real needs. EFSA is therefore already highlighting that this might therefore trigger a request for additional payment appropriations within the global transfer procedure in 2013. The table below shows the spreading of differentiated payments credits throughout the years for the scientific cooperation programme.

In EUR million	Differentiated Payments in year					
	Commitment in year	2011	2012	2013	2014	2015 and later
2009		0,96	0,71			
2010		2,64	2,44	0,64	0,19	
2011		2,87	2,00	2,06	0,03	
2012			2,35	3,91	1,92	
2013				1,38	6,42	2,72
Total		6,47	7,50	7,99	8,56	2,72

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Parma	EFSA seat	15 820	2 200 000	0	Yes	38 600 000	The new seat of EFSA in Parma was acquired on 19 December 2011.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In the Seat Agreement Italy committed to apply to the Authority the privileges and immunities provided for in the Protocol on the privileges and immunities of the European Communities, signed in Brussels on 8 April 1965</p> <p>The Authority, its assets and funds, wherever they may be, are immune – in the performance of the official activities – from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint</p> <p>The premises and the buildings used by the Authority as well as the archives are inviolable</p> <p>The Authority, its funds, assets and income, are within the limits of their official activities, exempt from all the taxes and direct duties due to the State, regions, provinces and municipalities</p> <p>The Authority is exempted from the VAT for substantial purchases of goods and services relating to its official tasks and the exercise of its duties</p> <p>The Authority is exempted from any customs duty, tax, prohibition or restriction, on goods of any type imported or exported in the exercise of its own official activities</p> <p>The Authority is exempted from taxes, duties or from any other fees, as well as from any prohibition or restriction on importing vehicles intended “for official activities” and on the relevant spare parts</p>	<p>The Executive Director of the Authority and members of the senior management team, their spouse and dependent family members are granted privileges and immunities, facilities and concessions granted by the Italian Government to members of equivalent rank in the diplomatic corps in Italy</p> <p>Staff is exempt from national taxes on salaries, wages and emoluments paid by the Authority</p> <p>Staff is immune from legal proceedings in respect of acts performed by them in the exercise of official duties</p> <p>Staff is in respect of exchange regulations, accorded the same facilities as are accorded to officials of equal rank of foreign diplomatic missions in Italy and receives the same assistance with repatriation as is granted to diplomats in the event of international crises</p> <p>Staff benefits, within a period of two years starting from the official move of the Authority to its permanent seat or appointment by the Authority, whichever is the latest, from a tax installation benefit – VAT exemption - on the purchase of furniture and other household goods necessary for their installation</p> <p>Members of staff who are not permanent residents in Italy on taking up functions with the Authority or staff members employed by the Authority prior to the move to Parma may acquire one motor vehicle duty and tax free during their period of residence in Italy; the vehicle is registered in a special series</p>	

5.3. Evaluation

In 2011, EFSA commissioned its second external evaluation in order to gain insights on stakeholder perceptions of the Authority and the quality of its work. In September 2012, the international auditors Ernst and Young issued the independent report on EFSA’s evaluation, noting the high quality of EFSA’s scientific outputs and risk communication activities. In drawing upon the conclusions and recommendations of the external evaluation report and taking into account the results of the consultation with EFSA’s staff, Advisory Forum, Scientific Committee and Stakeholders, the Management Board identifies four key areas which it wishes to be further acted upon as a matter of priority during the period 2013-2017:

- Ensure long-term sustainability of EFSA’s operations
- Increase trust by continuing to ensure independence and enhancing transparency and openness
- Further enhance EU risk assessment capacity
- Strengthen clarity and accessibility of EFSA Communication

For each of these areas, the Management Board specified in December 2012 the directions on which it wishes EFSA to focus its efforts. This document is readily available on EFSA web page under the Management Board section. EFSA will describe the practical implementation of the recommendations of the Management Board in its Multiannual Plan 2014-2016. The Management Board will monitor the progress on the implementation of these recommendations in particular in the context of the annual and multiannual management plan life cycles.

The final report delivered in September 2012 was sent to the Budgetary Authority on 5 September 2012. A new evaluation of the agency is expected to be carried out in 2017.

2.2.1.3.3. European Medicines Agency (EMA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 2309/93/EC amended to Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004	24/08/1993	Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the EU, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the EU with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the EU legislation relating to medicinal products.
Regulation (EC) No 141/99 of European Parliament and the Council of 16 December 1999	20/01/2000	Orphan Medicines Regulation laying down a EU procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products.
Directive 2004/24/EC of the European parliament and the Council of 31 March 2004	30/04/2004	Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use
Commission Regulation (EC) No 2049/2005 of 15 December 2005	16/12/2005	SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises
Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006	26/01/2007	Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC.
Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007	30/12/2007	Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products.
Commission Regulation 1234/2008 of 24 November 2008	01/01/2009 applicable from 01/01/2010	Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products.
Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010	01/01/2011 applicable from 02/07/2012	Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use [...] and Regulation (EC) No 1394/2007 on advanced therapy medicinal products.
Directive 2011/62/EC of the European Parliament and the Council of 8 June 2011 amending Directive 2001/83/EC	21/07/2011 applicable from 28/12/2012 for EMA	Anti-falsification Directive on the Community code relating to medicinal products for human use, as regards the prevention of the entry into the legal supply chain of falsified medicines.

Seat London, UK

Budget line 17 03 12 — European Medicines Agency (EMA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	329	320	346	340
Establishment plan posts: AST	261	255	265	259
Total Establishment plan posts	590	575	611	599
Contract Agents	132 FTE	116 FTE	125 FTE	125 FTE
Seconded National Experts	15 FTE	15 FTE	15 FTE	15 FTE
Total staff	737	706	751	739

* Reflects Full Time Equivalent (FTE) Contract Agents/National Experts used throughout the year.

N.b.: The number of Contract Agents at the Agency on 31.12.2012 was 106 and the number of National Experts at the Agency on 31.12.2012 was 16.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	39 230 000	39 230 000
Other revenue	192 330 000	199 642 000
Total revenues	231 560 000	238 872 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	86 964 000	86 964 000		
Title 2	35 460 000	35 460 000		
Title 3	109 136 000	109 136 000		
Total expenditure	231 560 000	231 560 000	238 872 000	238 872 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16		1		1				1		
AD 15		4		4		4		4		4
AD 14		6		6		6		6		6
AD 13		7		7		8		12		8
AD 12		38		38		38		42		38
AD 11		38		36		38		35		38
AD 10		34		33		36		36		36
AD 9		39		37		40		36		40
AD 8		47		44		47		49		47
AD 7		45		44		45		52		45
AD 6		37		37		42		40		39
AD 5		33		33		42		33		39
AD total		329		320		346		346		340
AST 11		2		2		2		2		2
AST 10		5		4		5		5		5
AST 9		7		7		7		7		7
AST 8		13		13		13		15		13
AST 7		20		20		20		19		20
AST 6		33		33		33		36		33
AST 5		35		35		35		37		35
AST 4		51		50		51		55		51
AST 3		37		35		39		39		39
AST 2		40		38		40		30		37
AST 1		18		18		20		20		17
AST total		261		255		265		265		259
TOTAL		590		575		611		611		599
GRAND TOTAL	590		575		611		611		599	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	55	42	48	48
Function Group III	16	11	16	16
Function Group II	61	63	61	61
Function Group I				
Total	132	116**	125	125

* Number of Contract Agents in 2013 and 2014: estimate on the basis of average costs - **The Agency consumed a total of 116 FTE Contract Agents (1,392/12 months). On 31.12.12 106 Contract Agents were at the Agency (40 FG IV, 10 FG III and 56 FG II).

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	15	15***	15	15

(*) Estimate on the basis of average costs.

***The Agency consumed a total of 15 FTE Seconded National Experts (180/12 months). On 31.12.12 16 National Experts were at the Agency

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	182 912 430	190 587 000	198 299 000	198 299 000	
2. EU CONTRIBUTION	38 831 827	39 230 000	39 622 000	39 230 000	
of which fresh appropriations	28 956 827 (*)	39 230 000 (*)	39 622 000 (*)	37 333 230 (*)	
of which assigned revenues deriving from previous years' surpluses	9 875 000	0	0	1 897 142	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	753 116	1 098 000	1 109 000	1 098 000	
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	128 193	520 000	120 000	120 000	
5 ADMINISTRATIVE OPERATIONS	901 853	125 000	125 000	125 000	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	223 527 419**	231 560 000	239 275 000	238 872 000	

* In the operational revenue (Title 3) the special contribution for orphan medicinal products is included

** As per Agency's initial provisional accounts 2012

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	72 254 454	86 964 000	93 729 000		
11 Salaries & allowances	69 457 309	80 841 000	87 127 000		
- of which establishment plan posts					
- of which external personnel					
12 Expenditure relating to Staff recruitment					
13 Mission expenses	575 048	465 000	525 000		
14 Socio-medical infrastructure	556 715	641 000	758 000		
15 Training	2 293 367	2 428 000	2 510 000		
16 External Services	235 668	306 000	315 000		
17 Receptions and events	15 045	28 000	38 000		
18 Staff insurances	2 118 302	2 255 000	2 456 000		
Title 2 - Infrastructure and operating expenditure	30 816 770	35 460 000	42 942 000		
20 Rental of buildings and associated costs	21 065 671	20 997 000	18 166 000		
21 Information and communication technology	7 108 435	8 490 000	20 369 000		
22 Movable property and associated costs	1 351 269	4 215 000	1 981 000		
23 Current administrative expenditure	785 212	1 119 000	1 699 000		
24 Postage / Telecommunications	401 053	514 000	591 000		
25 Meeting expenses	105 130	125 000	136 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 Studies					
Title 3 - Operational expenditure	112 944 519	109 136 000	102 604 000		
300 Meetings	6 759 018	7 117 000	7 339 000		
301 Evaluation of medicines	82 146 493	77 247 000	82 707 000		
302 Translation expenses	3 957 885	5 452 000	5 870 000		
303 Studies and consultants	2 044 329	2 300 000	2 441 000		
304 Information and publication	76 500	106 000	116 000		
305 Community programmes	298 075	400 000	p.m.		
31 Operational data processing	17 662 219	16 514 000	4 131 000		
TOTAL EXPENDITURE	219 012 743	231 560 000	239 275 000	238 872 000	+3%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	72 251 4540	89 964 000	93 729 000		
11 Salaries & allowances	69 457 309	80 841 000	87 127 000		
- of which establishment plan posts					
- of which external personnel					
12 Expenditure relating to Staff recruitment					
13 Mission expenses	575 048	465 000	525 000		
14 Socio-medical infrastructure	556 715	641 000	758 000		
15 Training	2 293 367	2 428 000	2 510 000		
16 External Services	235 668	306 000	315 000		
17 Receptions and events	15 045	28 000	38 000		
18 Staff insurances	2 118 302	2 255 000	2 456 000		
Title 2 - Infrastructure and operating expenditure	30 816 770	35 460 000	42 942 000		
20 Rental of buildings and associated costs	21 065 671	20 997 000	18 166 000		
21 Information and communication technology	7 108 435	8 490 000	20 369 000		
22 Movable property and associated costs	1 351 269	4 215 000	1 981 000		
23 Current administrative expenditure	785 212	1 119 000	1 699 000		
24 Postage / Telecommunications	401 053	514 000	591 000		
25 Meeting expenses	105 130	125 000	136 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 Studies					
Title 3 - Operational expenditure	112 944 519	109 136 000	102 604 000		
300 Meetings	6 759 018	7 117 000	7 339 000		
301 Evaluation of medicines	82 146 493	77 247 000	82 707 000		
302 Translation expenses	3 957 885	5 452 000	5 870 000		
303 Studies and consultants	2 044 329	2 300 000	2 441 000		
304 Information and publication	76 500	106 000	116 000		
305 Community programmes	298 075	400 000	p.m.		
31 Operational data processing	17 662 219	16 514 000	4 131 000		
TOTAL EXPENDITURE	219 012 743	231 560 000	239 275 000	238 872 000	+3%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 897 142.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission proposes a reduction of staff from the establishment plan from 611 to 599 in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years. Although EMA is considered a "new tasks" agency due to the revised pharmacovigilance legislation, no additional posts are foreseen for these activities in 2014, as the regulation has not entered into force yet.

4.1.2. Financial Resources

The Commission agrees with the requested budget from the agency, representing nominal freeze in 2014 compared to 2013.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

For the staffing requests for 2014 EMA has taken account of the following parameters:

- The request to reduce staffing levels by 1% per year as stipulated by the Budgetary Authority + a further 1% to provide a pool of posts for EU institution and agencies with new tasks;
- The consistently high EMA occupancy rate of posts of 97% year-on-year (occupancy rate 2010: 96,3%; 2011: 97,2%; 2012: 97,5%).
- The revised Fee Regulation to introduce fees for new Pharmacovigilance activities is scheduled to come into force at the end of 2014 or early 2015. Due to the potential variances of the timing to implement these new fees, EMA does not request any additional posts at the preliminary draft budget stage.

However, as and when the revised fee regulation will be implemented EMA will need to request the associated staffing financed by these fees by either an amendment procedure (2014) or with the Draft Budget 2015 using Contract Agents to bridge activities in 2014.

As a consequence of the above, for 2014 EMA requests no additional posts with the following justification:

- EMA has applied the required 2% reduction in posts to non-fee related activities only.
- EMA is an agency with ‘new tasks’ which require additional work force for its (partial) implementation;
- In calculating staffing requirements for 2014, it had been agreed that the estimated increase in fee related workload for 2014 (4,05% from 2013 to 2014) would warrant short-term contract agents whereas long-term increases (1,3% from 2012 to 2013) can be taken into account in post request. This would result in 5 additional posts and 5 additional FTE Contract Agents for 2014. However, taking account of the on-going process improvements at EMA, the estimated workload increases for the DB will have to be covered by internal staff re-allocation and by using Contact Agents when necessary and possible.

The number of Full Time Equivalent (FTE) Contract Agents is maintained at 125 FTE and the number of National Experts FTE was maintained at 15 FTE as per 2013.

Maximum staffing in FTE	2013	Reduction 2% as per instructions	Increase in fee activities 2012-13 1.31%	Increase in fee activities 2013-14 4.05%	Potential 2014	Requested 2014	Difference potential: requested 2014
Fee related posts	507		7		514	507	-7
Non-fee related posts	104	-2			102	104	2
Total posts	611	-2	7	0	616	611	-5
Contract Agents	125			5	130	125	-5
National Experts	15				15	15	0
Total staffing	751	-2	7	5	761	751	-10

When reviewing the EMA request to maintain its 611 posts in 2014, the following considerations need to be taken into account:

- Fee related activities are driven by actual applications for evaluation of medicines by the pharmaceutical industry.
- The Agency has a legal obligation to process these applications within fixed deadlines
- Staff needed for this process is financed by the fees attached to the application.
- These fee-financed staff should not fall under the spirit of reductions for staff financed by EU contribution.
- The requests for posts in 2013 were fully based on long-term increases in fee-financed activities, i.e. increase 2010 to 2012 as the agency was granted fee-financed posts in 2010 but not in 2011. For 2013 estimated increases in fees from 2012 to 2013 were not considered at all.
- Fee activity at the agency has been measured in the actual applications processed, i.e. recovery orders/invoices issued. However, the Financial Regulation requires revenue in the budget to be based on cash receipts.

- The agency endeavours to close the gap between invoices issued and cash receipts (2010: EUR 11,4 million, 2011: 20,1 million; 2012: 9,1 million, 2013/2014 estimated: 4 million)
- The original estimate (DB) of 200,8 million in recovery orders/invoices for 2013 needed to be reduced to EUR 194,6 million due to the estimated impact of the pharmacovigilance legislation on Type II variations of EUR 8,9 million less in income from variations.

	2010 Outturn	2011 Outturn	2012 Outturn	2013	2014 PDB
Revenue					
Fees+charges (Cash)	160,565,594	159,641,420	182,912,430	190,587,000	198,299,000
Increase n/n-1	8.54%	-0.58%	14.58%	4.20%	4.05%
Fees+charges (Recovery Orders)					
	171,972,868	179,791,829	192,050,904	194,567,000	202,447,000
Increase n/n-1	16.25%	4.55%	6.82%	1.31%	4.05%
Staff					
TA	546	552	575	595	600
CA	94	118	106	115	123
END	16	19	16	15	15
Total	656	689	697	725	738
Increase n/n-1	5.30%	5.03%	1.16%	4.02%	1.79%
Posts					
	567	567	590	611	611
- of which financed by fees (including pharmacovigilance)	440	458	488	507	507
- of which financed by EU contribution	127	109	102	104	104
= net increase fee related posts n/n-1		4.23%	6.41%	4.08%	0.00%
Post occupancy	96.30%	97.35%	97.46%	97.38%	98.20%
PDB 2014: Increase in fee-related posts based on fee increase in 2012; increases in DB 2013 and PDB 2014 are regarded as potential temporary peak which should be covered by Contract Agents.					
<div style="border: 1px solid black; padding: 5px; display: inline-block;">23 Pharmacovigilance posts</div>					
split fee/non fee post as per % of subsidy to total budget					
Total revenue	209,459,630	199,346,127	223,743,842	231,560,000	239,275,000
- of which EU contribution	47,052,213	38,238,743	38,831,794	39,230,000	39,622,000
% contribution to total budget	22%	19%	17%	17%	17%

4.2.2. Salary assumption for calculating salary lines (% applied)

In calculating the salary lines the following assumptions were made:

- increase in basic salaries of 1,0%
- adjustment in London weighting of 148,0 throughout 2014 as per the indications for the 2012 adjustment
- taking account of an estimated maximum vacancy rate of 2,0% as of 31.12.2013, overall staff costing is based on full occupancy for all posts throughout the year 2014.

4.2.3. Vacancy rate as of end-2012

As at the end of 2012 the Agency occupied 575 of the 590 established posts for the year with Temporary Agents resulting in a vacancy rate of 2,5%.

4.2.4. Standard abatement ("abatement forfaitaire") applied

For 2014 the Agency expects five retirements resulting in a standard abatement of 0,8%.

4.3. Financial Resources – Agency request

4.3.1. Title 1

The request for EU contributions in 2014 is only for an adjustment of 1% over 2013 levels.

For title 1: Overall increase of EUR 6 765 000 (+7,8%) over 2013.

This increase relates to:

- an increase in salary costs for existing staff (allowing for step-increases and promotions as outlined in the staff policy plan)
- full occupancy on all posts,
- the maintenance of the anticipated adjustment in London weighting for exchange rate reasons (on 2012 indications)
- miscellaneous additional cost, e.g. crèche and schooling.

The requirement for the Agency to pay the employers part of the pension contribution as stipulated in the draft revision of the Staff Regulation is only anticipated from 2016.

4.3.2. Title 2

Overall increase of EUR 7,5 million (+21,1%) compared to 2013.

The major part of this increase is due to a change of the budget nomenclature for ICT expenditure with the effect that EUR 10,4 million that would previously have been accounted for in title 3 are now budgeted in title 2. Other title 2 expenditure decreased as budgets 2013 and before reflected major investment costs for the new premises whereas 2014 takes account of the cost of the removal as well as having to run various infrastructure services in two locations for some months.

4.3.3. Title 3

Decrease of EUR 6,5 million (-6,0%) over 2013 (see increase title 2 above)

The allocations allow for:

- payment to National Competent Authorities (NCA) for the evaluation of medicines in line with the current scale of fees/payments (as of 01.01.2013) including the inflationary adjustment but excluding any provision for NCA compensation for pharmacovigilance evaluation or other activities.
- limited provisions for operational database development (budget line 3 1 0 5 EUR 4,1 million) with no provisions for enhancements to pharmacovigilance databases.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
London	6th +7th floor 1 Westferry Circus 1st - 8th floor 7 Westferry Circus 4th – 5th floor 11 Westferry Circus (until 31.12.2014)	21,544	Rent: 10,250 M€ + Service Charges: 5,126 M€ = Total 15,376 M€	None	No	n/a	Rent and service charge payable to the landlord for the maintenance of the building. Rent and charges are paid in GBP and are subject to exchange rate fluctuations
London	1st – 9th floor Churchill Square (lease agreement signed on 05.08.2011 for occupation as of 01.07.2014)	23,173	n/a	None	No	n/a	The surface area is only an estimate at this stage and the exact demise will only be established at practical completion. Additionally, the lease term will be for 25 years from practical completion. Practical Completion is assumed to be 1 July 2014.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Agency has diplomatic status	Staff do not pay national taxes on their Community salary	UK European School is 103 km from Agency's location and therefore not suitable for Agency's staff. In 2008 the Agency introduced a model for payment of part of the school fees.
Agency can recover VAT, IPT and Airport Departure Tax from the host country	Internationally recruited staff can buy a tax-free vehicle within the first 12 months of taking up service subject to payment of residual VAT on its sale.	Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European School kindergarten.
Agency pays reduced business rates (equivalent of Council tax)	Internationally recruited staff are exempt from road tax for up to 2 cars.	

5.3. Evaluation

In 2009 the European Commission commissioned an assessment of the European Medicines Agency to evaluate the effectiveness and efficiency of the system dedicated to the provision of marketing authorisations for human and veterinary medicinal products fulfilling the basic requirements of quality, safety and efficacy. The evaluation was conducted by Ernst & Young between January and December 2009 and the final report was published on the Pharmaceuticals website on 7 April 2010.

In general the study provides firm evidence from an independent external consultant on very good performance of the Agency. Since its creation in 1993, the EMA has made considerable progress in maintaining an effective European authorisation system for human and veterinary medicinal products and gained great consideration from all stakeholders, at European as well as at international level. EMA opinions are considered of a very high quality from a scientific point of view and the Agency has become a leading actor in establishing international standards. EMA Secretariat together with 44 National Competent Authorities create an effective network providing for the most relevant experts.

The evaluation led to both strategic and operational recommendations to optimise the system and remove the possible barriers that prevent from an efficient and sustainable functioning of the Agency.

A new evaluation of the agency is expected to be carried out before 2019.

2.2.1.3.4. European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 2007/2004	26/10/2004	<p>Main tasks:</p> <p>The Agency shall perform the following tasks:</p> <ul style="list-style-type: none"> • coordinate operational cooperation between Member States in the field of management of external borders; • assist Member States on training of national border guards, including the establishment of common training standards; • carry out risk analyses; • follow up on the development of research relevant for the control and surveillance of external borders; • assist Member States in circumstances requiring increased technical and operational assistance at external borders; • provide Member States with the necessary support in organising joint return operations. • deploy Rapid Border Intervention Teams to Member States.
Regulation (EC) No 863/2007	11/07/2007	<p>This Regulation establishes a mechanism for the purposes of providing rapid operational assistance for a limited period to a requesting Member State facing a situation of urgent and exceptional pressure, especially the arrival at points of the external borders of large numbers of third-country nationals trying to enter the territory of the Member State illegally, in the form of Rapid Border Intervention Teams (hereinafter referred to as teams). This Regulation also defines the tasks to be performed and powers to be exercised by members of the teams during operations in a Member State other than their own.</p> <p>This Regulation amends Regulation (EC) No 2007/2004 as a result of the establishment of the mechanism referred to in paragraph 1 and with a view to defining the tasks to be performed and powers to be exercised by border guards of the Member States participating in joint operations and pilot projects in another Member State.</p>
Regulation (EU) No 1168/2011	25/10/2011	<p>This Regulation amends the existing Frontex Regulation by adding the following new tasks to Frontex:</p> <ul style="list-style-type: none"> • Assessment of the capacity of Member States to face threats and pressure at the external borders; • Set up European Border Guard Teams that are deployed during joint operation, pilot projects and rapid interventions; • Organisation of joint return operations; • Develop and operate information systems, including the Information and Coordination Network established by Council Decision 2005/267/EC; • Provide necessary assistance to the development and operation of EUROSUR and, as appropriate, of CISE; • Draw up and develop a Code of Conduct applicable to all operations coordinated by Frontex; • Draw up and develop a Fundamental Rights Strategy; • Establish and facilitate a Consultative Forum for fundamental rights; • Designate a Fundamental Rights Officer. <p>Furthermore, the existing tasks are developed as following:</p> <ul style="list-style-type: none"> • Frontex shall be ready to acquire or lease technical equipment for external border control on its own or in co-ownership with a Member State; • Frontex shall draw up an operational plan for each of its operational activities; • Frontex shall be ready to receive seconded guest officers from Member States; • Frontex shall take necessary initiatives to ensure that all border guards and other personnel shall have received training in relevant laws, including fundamental rights and access to international protection; • Frontex shall proactively monitor and contribute to the R&D activities relevant to the control and surveillance of external borders; • Frontex shall develop and operate an information system capable of exchanging classified information and personal data; • Frontex shall be ready to process personal data for its risk analysis and joint return operations purposes as well as for transmission to EUROPOL and other EU law enforcement agencies; • Frontex shall be ready to deploy/receive liaison officers in/from third countries as well as to launch and finance assistance projects in those countries.

Budget line 18 02 03 — European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)

• Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	87	83	97	97
Establishment plan posts: AST	56	54	56	55
Total Establishment plan posts	143	137	153	152
Contract Agents	87	84	84	78
Seconded National Experts	83	82	78	78
Total staff	313	303	315	308 (*)

(*)Adhering to the 5% staff reduction reform (2013-2017) Frontex has implemented a 1% reduction (3 posts) per year. For 2014 Frontex has reduced 3 CA positions – 2 Function Group II and 1 Function Group I as indicated in the table under paragraph 2.2 below.

The 1% taxation results in a further decrease of 3 CA posts in 2014 (3 posts for the 1% reduction reform + 3 posts for the 1% taxation equals 6 posts in total in 2014). The actual grades (function groups) for these 3 posts are currently under review by senior management. This will be decided as a result of business needs and applied in 2014.

• Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	79 500 000	80 910 000
Other revenue	6 207 100	6 190 000
Total revenues	85 707 100	87 100 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	21 641 000	21 641 000		
Title 2	9 758 100	9 758 100		
Title 3	54 308 000	54 308 000		
Total expenditure	85 707 100	85 707 100	87 100 000	87 100 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		3		4		3		3		3
AD 12		8		10		8		8		8
AD 11		9		8		9		9		9
AD 10		8		5		9		9		9
AD 9		1		6		1		1		1
AD 8		45		39		48		48		48
AD 7		2		2		8		8		8
AD 6		6		6		6		6		6
AD 5		3		1		3		3		3
AD total		87		83		97		97		97
AST 11										
AST 10										
AST 9										
AST 8		5		6		5		5		5
AST 7		12		11		12		12		12
AST 6		10		14		10		10		10
AST 5		20		16		20		20		20
AST 4		5		4		5		5		5
AST 3		4		3		4		4		3
AST 2										
AST 1										
AST total		56		54		56		56		55
TOTAL		143		137		153		153		142
GRAND TOTAL	143		137		153		153		152	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	19	18	19	19
Function Group III	45	44	42	42
Function Group II	10	9	10	8
Function Group I	13	13	13	12
Total	87	84	84	78(*)

(*) including 1% reduction (3 posts) and 1% taxation (3 posts for which concrete function groups will be decided later)

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	83	82	78	78

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	84 000 000	79 500 000	79 500 000	80 910 000	1,8%
of which fresh appropriations	84 000 000	78 959 000	79 500 000	80 910 000	1,8%
of which assigned revenues deriving from previous years' surpluses		541 000			
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	4 758 000	5 207 100	5 190 000	5 190 000	-0,33%
Of which EFTA	4 758 000	5 207 100	5 190 000	5 190 000	-0,33%
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	820 000	1 000 000	1 000 000	1 000 000	0%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	89 578 000	85 707 100	85 690 000	85 690 000	-0,02%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	20 630 416	21 641 000	22 360 000		
11 Salaries & allowances	19 260 964	20 070 000	30 700 000		
- of which establishment plan posts	12 088 765	12 980 000	13 444 000		
- of which external personnel	7 172 199	7 090 000	7 256 000		
12 Expenditure relating to Staff recruitment	89 496	167 000	170 000		
13 Mission expenses	600 500	485 000	550 000		
14 Socio-medical infrastructure	2 000	64 000	65 000		
15 Other Staff related expenditure	675 860	845 000	865 000		
16 Social Welfare	1 596	10 000	10 000		
Title 2 - Infrastructure and operating expenditure	8 886 794	9 758 100	11 830 000		
20 Rental of buildings and associated costs	4 095 514	4 766 000	4 980 000		
21 Data procession & telecommunications	2 677 624	2 400 000	3 600 000		
22 Movable property and associated costs	84 599	164 100	267 000		
23 Current administrative expenditure	845 379	558 000	1 588 000		
24 Postage expenditure	125 823	160 000	160 000		
25 Meeting expenses	541 203	600 000	585 000		
26 Information and Transparency	516 652	1 000 000	650 000		
Title 3 - Operational expenditure	59 039 148	54 08 000	51 500 000		
30 Joint Operations	47 940 586	41 739 000	38 962 000		
31 Risk Analysis, Situation Center & EUROSUR	2 444 956	2 825 000	4 838 000		
32 Training	4 425 000	4 500 000	4 250 000		
33 Research & Development; EUROSUR	3 054 293	3 444 000	1 100 000		
34 Pooled resources	839 588	1 000 000	950 000		
35 Miscellaneous operational activities	334 725	800 000	1 400 000		
TOTAL EXPENDITURE	88 556 358	85 707 100	85 707 100	85 690 000	-0,02%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	20 422 006	21 641 000	22 360 000		
11 Salaries & allowances	19 247 175	20 070 000	20 700 000		
- of which establishment plan posts	12 078 549	12 980 000	13 444 000		
- of which external personnel	7 168 626	7 090 000	7 256 000		
12 Expenditure relating to Staff recruitment	79 395	167 000	170 000		
13 Mission expenses	584 865	485 000	550 000		
14 Socio-medical infrastructure	0	64 000	65 000		
15 Other staff related expenditure	508 975	845 000	865 000		
16 Social Welfare	1 596	10 000	10 000		
Title 2 - Infrastructure and operating expenditure	6 863 647	9 758 100	11 830 000		
20 Rental of buildings and associated costs	4 005 040	4 766 000	4 980 000		
21 Data procession & telecommunications	1 251 548	2 400 000	3 600 000		
22 Movable property and associated costs	60 207	164 100	267 000		
23 Current administrative expenditure	716 754	668 000	1 588 000		
24 Postage expenditure	113 378	160 000	160 000		
25 Meeting expenses	427 871	600 000	585 000		
26 Information and Transparency	288 849	1 000 000	650 000		
Title 3 - Operational expenditure	40 106 656	54 308 000	51 500 000		
30 Joint Operations	32 567 954	41 739 000	38 962 000		
31 Risk Analysis, Situation Center & EUROSUR	1 356 111	2 825 000	4 838 000		
32 Training	2 711 108	4 500 000	4 250 000		
33 Research & Development; EUROSUR	2 580 536	3 444 000	1 100 000		
34 Pooled resources	593 650	1 000 000	950 000		
35 Miscellaneous operational activities	297 297	800 000	1 400 000		
TOTAL EXPENDITURE	67 392 309	85 707 100	85 690 000	80 910 00	-0,02%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission welcomes and supports the efforts of the Agency to decrease in 2014 its staff by 6 posts in order to comply with the 1% reduction relating to the staff reform as well as the 1% taxation in the view of establishing the redeployment pool. However, the 1% cut to comply with the overall 5% staff reduction is to be applied on establishment plan posts. This would result in 3 establishment plan posts being cut as a contribution. However, the Commission acknowledges the need for resources stemming from the EUROSUR regulation and offers to cut only one establishment plan post compared with 2013.

4.1.2. Financial Resources

The Commission supports the efforts of Frontex on the prioritisation and redeployment of financial resources in order to ensure that the essential tasks of the Agency within its existing mandate are maintained.

However, the Commission notes that the financial needs stemming from the EUROSUR Regulation need to be taken into account and instead of presenting later this year a revised budget for 2014, the Commission has already included the likely adoption of EUROSUR this autumn. Similarly to the approach followed for the human resources, an amount of EUR 3 million has been added to the calculation of the allocation otherwise obtained. With these additional resources, FRONTEX will be in a position to face the challenge to accommodate the tasks deriving from the adoption of EUROSUR in its 2014 budget. The Commission agrees with the requested budget from the agency, representing nominal freeze in 2014 compared to 2013 (before the additional tasks).

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

Adhering to the instructions received from the Commission, the Agency will not request any new positions for 2014.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

Budget 2014 is calculated based on an average per person per month and a number of agent's man-months worked in the organisation, an assumption of 2% salary increase, 83% of a correction coefficient and 2% reduction in staff in 2014.

4.2.3. *Vacancy rate as of end-2012*

Category of staff	Vacancy rate end 2012
Temporary Agents	4%
Contract Agents	3%
Seconded National Experts	1%
Total	3%

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

No abatement has been applied.

4.3. *Financial Resources– Agency request*

4.3.1. *Title 1*

Frontex has drafted its budget proposal for 2014 taking into consideration the ongoing austerity measures within the European Union environment. Frontex has used the mechanisms of prioritisation and redeployment to ensure that essential tasks are undertaken. The Frontex budget remaining at the 2013 level, will essentially mean that Frontex will only be able to maintain the 2013 level of service and will have to shelve further developments, as imposed by the amended regulation and outlined in the Frontex Multiannual Plan. This will have serious implications for the further development of the operational services offered to the Member States. The draft budget proposal for 2014 shows a decrease in staff numbers to adhere to the minus 1% reduction per year of staff over the period 2013-2017 and the additional 1% taxation on TA and CA staff.

Possible consequences for Frontex in respect to additional staffing requirements, stemming from the EUROSUR regulation to be in place in October 2013, have not been taken into consideration. Frontex has carried out an analysis of Eurosur within the known factors impacting across Divisions and has made some budgetary provision. A separate note on Eurosur will be attached to the MSPP indicating present known staffing requirements for the implementation of Eurosur with the provision that following the entry into force of the Eurosur legislation, these requirements may need to be revisited.

Chapter 11 – Salaries & allowances	The very slight increase in the budget related to salaries is due to the normal yearly indexing, the estimated correction coefficient factor, the 1% reduction policy and the full utilisation of the Frontex Establishment Plan as well as external personnel (CA & SNE)
Chapter 12 – Recruitment	Recruitment of replacement positions as a result of staff leaving Frontex (in particular the turnover of SNEs) will mean that the expenditure in 2014 will be similar to the level foreseen for 2013
Chapter 13 – Administrative	The increase is based on an average consumption for 2012 and 2013

missions	
Chapter 14 – Socio-medical infrastructure	The main item here is medical services. Frontex staff has the opportunity to have an annual medical check as this is mandatory according to the Staff Regulations
Chapter 15 – Other staff related expenditure	Other staff related budget is to cover training, translation, costs related to trainees and supplementary interim services in addition to the costs related to the Service Level Agreements
Chapter 16 – Social welfare	A small amount for special assistance grants is included here

4.3.2. Title 2

The total budget foreseen for Title 2 in 2014 is approximately EUR 2,1 million higher than in 2013. This increase is justified due to the relocation of the Agency premises and a number of related expenditures that have to be made in the preliminary phase. Non-operational meetings costs are related to Management Board and associated interpretation costs.

Chapter 20 – Rental of building and associated expenditure	This increase is justified due to the relocation of the Agency premises and a number of related expenditures that have to be made in the preliminary phase. The increase can be explained by two major factors: Annual, contractual increase of rent for the Frontex premises Rondo 1 (EUR 150 000); Consultancy services for new premises: architect, engineering, security, etc. (EUR 120 000). Frontex is at present engaged in negotiations for new premises in Warsaw. The timeline for the occupation of these new premises foresees a formal occupation starting from 1 January 2015 with an early occupancy of some employees from 1 September 2014
Chapter 21 – Data processing and telecommunications	The increase in this chapter which is of a once-off nature is to ensure the smooth transition of ICT to the new premises and business continuity to the whole of Frontex. There will be a need, during the transition period, to have systems working in both the old premises and the new premises simultaneously. The costs mainly relate to the relocation and consultancy services for new premises, ICT systems and equipment, new facilities, equipment and installations in the building and the required security installations and systems. In addition, an amount of EUR 0,4 million has been reassigned from Title 2 - Data processing & telecommunications to Title 3 -EUROSUR (new Article line under Chapter A-31) to cater for the maintenance and management of minor changes of infrastructure which will be necessary for Eurosur. No major changes to the applications will be made nor will installation of additional nodes be undertaken within this budget
Chapter 22 – Movable property and associated expenditure	The increase is due to the costs associated with the fitting out of the new premises (EUR 112 000). Trade-in of Frontex car (2005) and purchase of new vehicle (EUR 90 000)
Chapter 23 – Current Administrative expenditure	This increase is due to security equipment and services in new premises (EUR 500 000)
Chapter 24 – Postal expenditure	Postal expenditure remains at the same level as 2013
Chapter 25 – Non-operational meetings	The increase is necessary to accommodate the Consultative Forum and the projected number of visits, delegations, meetings, etc. as Frontex becomes more visible
Chapter 26 – Information and Transparency	A decrease in budget as the expected consumption level returns to business as usual level (intranet design and implementation in 2013)

4.3.3. Title 3

In 2014 the most important development tasks of the agency are related to the implementation and development of Eurosur and the further development of the European Border Guard Teams (EBGT) and Frontex' Technical Equipment Pool (TEP) – forming an important element of Frontex' reaction capacity.

Chapter 30 – Joint Operations	<p>The largest part of Frontex budget is allocated to Joint Operations to further strengthen the Member States capabilities. This enables better focusing on the main routes of irregular migration and to enhance the impact of border management on fighting crime at the external borders.</p> <p>The focus is to be placed on Eurosur, Focal Points Concept (multipurpose operational concept – operational activities at air, sea and land borders as a permanent platform), European Patrols Network (EPN), PULSAR concept (ABS – Air Borders Sector) focusing on risk of document fraud to circumvent border-control measures and establishing operational cooperation with EU Agencies, International Organisations promoting protection of vulnerable persons/groups (children and victims of trafficking in human beings), POSEIDON Concept focusing on effective border control at the South Eastern borders and Eastern Mediterranean region, Joint Return Operations and Other Operational Activities to include strengthening interagency cooperation and exchanging knowledge and best practices.</p> <p>The proposed budget for 2014 will result in maintaining operations at the 2013 level. The intensity and implementation period of maritime Joint Operations will have to be reduced impacting on the use of the EPN as a cooperation framework enabling MS/SAC to increase situational awareness and adequate responses, and will have also a negative effect on the contributions to situation awareness according to the Eurosur objectives.</p> <p>The further development of permanent organisational structures and the strengthening of effective border controls at the south eastern borders and eastern Mediterranean region will be negatively affected. Joint return operations will be reduced in quantity. Operational activities at air borders will be reduced. The development of operational cooperation with Europol, Fundamental Rights Agency and others will also be negatively affected</p>
Chapter 31 – Risk Analysis, Situation Center and EUROSUR	<p>The increase in this budget line relates to the inclusion of Eurosur in the mainstream activities of Frontex. Frontex has identified and allocated both direct and indirect financing to ensure that the Agency has the financial capability to provide the initial expected services to the stakeholders. This is as a result of an internal assessment across the organisation but has to be understood as a calculated estimate based on the current assessment and may need further investigation and analysis once the full implications of the legislation are clear.</p> <p>Risk analysis and the Situation Center, in addition to the further development of service tasks will be engaged in providing considerable input into Eurosur</p>
Chapter 32 – Training	<p>Because the Frontex budget remains at a similar level to that of 2013, Frontex’ mid and long-term objectives to promote a strategic approach to the education and training of border guards in line with the Bologna / Copenhagen principles, with respect of fundamental rights as an underpinning element, will be negatively affected.</p> <p>The training budget will be applied to the alignment of Frontex TRU training products (curricula, tools etc.) with the Sectoral Qualification Framework; the finalization and delivery of the Joint Degree Master programme; the development of complementary learning resources for large scale EBGT training</p>
Chapter 33 – Research & Development	<p>The decrease in this budget line is explained by the creation of a new article for Eurosur under Risk Analysis, Situation Center & Eurosur. The Research and Development budget will return to the pre-Eurosur pilot level. R&D will focus on the Border Checks Development Programme to further develop best practices and Border Surveillance Development Programme identifying and removing existing gaps in border surveillance focusing on Optionally Piloted Aircraft and Remotely Piloted Aircraft.</p> <p>The Frontex budget remaining at the 2013 level, will have the effect of eliminating the development of ‘new tools for behavioural analysis for border checks’ which will lead to a decreased ability to explore new solutions to improve security and efficiency at border checks</p>
Chapter 34 – Pooled Resources	<p>The budget for Pooled Resources remains at a similar level to 2013. The focus will be placed on the further development and management of the EBGT pool and the technical equipment pool. The introduction of the OPERA database (2012 for EBGT pool and 2013 for technical equipment pool) will streamline and facilitate the early response capacity of Frontex increasing the availability and deployability of operational resources for joint operations at short notice.</p> <p>The Frontex budget remains at the 2013 level, which will result in an exercise to ‘Conduct a rapid intervention exercise to test and develop reaction capacity and procedures’ being jeopardised. This exercise was intended to be undertaken in 2014 to test the systems and improve where necessary</p>
Chapter 35 – Miscellaneous Operational Activities	This chapter is increased to cater to the estimated needs for 2014

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Warsaw	Rondo 1	8 584 sqm (gross)	3 083 904 €	None	no	n/a	office space leased from commercial entity 7,238 sqm (net) office space, 121,5 sqm (net) storage space, 483,5 sqm atrium & skybridge space costs include rental of office space, storages, atria & skybridges and parking spaces in the building
Brussels	CCE (Conseil Central d'Economie)	54 sqm	10 900 €	no	no	n/a	office space leased from Belgian CCE
Piraeus	HCG Tower (Hellenic Coast Guard)	735 sqm	0 €	yes (office space offered by Greek Government – Hellenic Coast Guard)	No	n/a	460 sqm – office space 275 sqm – server room, storages and other technical areas
Warsaw	Warsaw Spire	14,994 sqm (gross)	3 790 334 €	None	no	n/a	office space leased from commercial entity. Lease Commencement Date 01.01.2015 13 624,5 sqm (net) office space 416 sqm (net) storage space costs include rental of office space, storages and parking spaces in the building

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Discussions on a Headquarters agreement (or seat agreement) with the Polish Government are still pending. First contacts started 2005. No new developments in 2011 with the Polish authorities. Amended Frontex Regulation includes an Article obliging the conclusion of a Headquarters Agreement.	Polish Government promised a symbolic Diplomatic Status to the Executive Director (ED) and his Deputy. This Status has not been fully implemented.	Polish Government reimburses the schooling costs for staff (except for Polish nationals). Polish Government has informed Frontex that it has no intention to establish a European School in Poland.
Host state purchased office equipment during the beginning of Frontex activities in Warsaw, i.e. 2005/2006, for at about 40 persons and leased it to Frontex with free of charge for an undefined period.	Protocol on Privileges and immunities is in practice only partially executed to staff (in particular Article 12 has not been implemented at all). There are no extra privileges	
Host state has orally promised to provide for the security (including security guards, systems, etc.) of the Meetings of Frontex Management Board in Warsaw free of charge	Host state issues an ID card to Frontex staff. This card does not grant any privileges and immunities. In practice the ID card has not given any support to the staff. It does not suffice even for registration of foreigners in Poland (as envisaged in Article 12 of the Protocol on Privileges and Immunities) Furthermore, the security features of the ID card do not meet EU requirements.	
VAT exemption for purchases of Frontex.		

5.3. *Evaluation*

An evaluation of the agency has been submitted to the Budgetary Authority in 2009. The main findings of the evaluation are available on the following link: http://www.frontex.europa.eu/specific_documents/other

A new evaluation of the Agency will be launched with the approval of the Management Board in 2013 for completion in 2014.

2.2.1.3.5. European Police Office (EUROPOL)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Decision 2009/371/JHA establishing the Europol Police Office (Europol)	06/04/2009	<p>Objective (Article 3 Europol Decision): “The objective of Europol shall be to support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating organised crime, terrorism and other forms of serious crime affecting two or more Member States”.</p> <p>Competence (Article 4 Europol Decision): “Europol’s competence shall cover organised crime, terrorism and other forms of serious crime as listed in the Annex [to the Europol Decision] affecting two or more Member States in such a way as to require a common approach by the Member States owing to the scale, significance and consequences of the offences.” [...]</p> <p>“Europol’s competence shall also cover related criminal offences”.</p> <p>Tasks (see notably Articles 5 and 10 Europol Decision): Europol shall establish and maintain a computerised system to allow the input, access and analysis of data. The Europol computerised system has three principal components: 1) an information system; 2) an analysis system and 3) an index system.</p> <p>Europol supports Member States by:</p> <ul style="list-style-type: none"> - facilitating the exchange of information between Member States’ and third partners; - providing operational analysis in support of operations; - generating strategic reports (e.g. threat assessments) and crime analysis on the basis of information and intelligence supplied by Member States and third parties; - providing expertise and technical support for investigations and operations carried out within the European Union, under the supervision and the legal responsibility of the Member States concerned. <p>Europol is also active in promoting crime analysis and harmonisation of investigative techniques within the Member States.</p>

Seat The Hague, the Netherlands

Budget line 18 02 04 — European Police Office (EUROPOL)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	395	394	397	389
Establishment plan posts: AST	62	52	60	59
Total Establishment plan posts	457	446	457	448
Contract Agents	98	96	98	103
Seconded National Experts	40	37	40	40
Total staff	595	579	595	591

9 establishment plan posts are cut as a contribution to the 5% staff reduction and to the redeployment pool. 5 additional contractual agents above 2013 level are granted for the Cybercrime Centre

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	82 120 500	82 562 000
Other revenue	400 000	0
Total revenues	82 520 500	82 562 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	54 615 660	54 615 660		
Title 2	7 967 840	7 967 840		
Title 3	19 937 000	19 937 000		
Total expenditure	82 520 500	82 520 500	82 562 000	82 562 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15						1		1		1
AD 14		1		1						
AD 13		3		3		3		3		3
AD 12		3		4		3		4		4
AD 11		23		18		23		21		21
AD 10				1						
AD 9		71		53		72		68		68
AD 8		80		85		80		82		82
AD 7		125		105		127		126		126
AD 6		53		78		51		62		62
AD 5		36		46		37		37		29
AD total	0	395	0	394	0	397	0	404	0	389
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		4		1		2		2		2
AST 6		13		12		14		14		14
AST 5		3		2		3		3		3
AST 4		40		32		40		40		40
AST 3		2		1		1		1		
AST 2				4						
AST 1										
AST total	0	62	0	52	0	60	0	60	0	59
TOTAL		457		446		457		464		448
GRAND TOTAL	457		446		457		464		448	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	10	15	14	15
Function Group III	84	68	77	80
Function Group II	2	13	7	8
Function Group I	2	0	0	0
Total	98	96	98	103

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	40	37	40	40

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	82 655 000	82 120 500	90 092 000	82 562 000	
of which fresh appropriations	82 655 000	82 120 500	90 092 000	79 930 000	
of which assigned revenues deriving from previous years' surpluses				2 632 000	
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)	-	-	-	-	
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	493 497	400 000	-	-	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	83 148 497	82 520 500	90 092 000	82 562 000	+0,0%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	52 685 135	54 615 660	56 763 000		
11 Salaries & allowances	50 256 162	52 435 660	54 538 000		
- of which establishment plan posts	50 256 162	52 435 660	54 538 000		
- of which external personnel	-	-	-		
12 Mission expenses	210 332	16 000	p m		
13 Socio-medical infrastructure	711 590	810 000	810 000		
14 Training	230 344	200 000	200 000		
15 External Services	1 198 382	1 054 000	1 165 000		
16 Receptions and events	78 324	100 000	50 000		
17 Other	0	0	0		
Title 2 - Infrastructure and operating expenditure	8 643 785	7 967 840	7 354 000		
20 Rental of buildings and associated costs	3 839 388	3 887 840	3 556 000		
21 Information and communication technology	2 154 011	1 364 000	1 405 000		
22 Movable property and associated costs	550 558	735 000	624 000		
23 Current administrative expenditure	554 290	471 000	254 000		
24 Postage / Telecommunications	310 508	335 000	350 000		
25 Statutory expenditure	1 235 030	1 175 000	1 165 000		
26 Running costs in connection with operational activities	0	0	0		
27 Information and publishing	0	0	0		
28 studies	0	0	0		
Title 3 - Operational expenditure	21 845 435	19 937 000	25 975 000		
30 Operations	3 171 182	3 787 000	4 973 000		
31 Operational information technology	13 892 636	11 324 000	16 076 000		
32 Telecommunication costs for operational activities	2 655 339	2 536 000	2 770 000		
33 Seconded National Expert (Operational)	1 710 000	2 046 000	1 976 000		
34 EPCC/COSI	323 678	140 000	75 000		
35 Heads of Europol National Units	92 600	104 000	105 000		
36 Operational expenditure related to subsidies and grants			P M		
TOTAL EXPENDITURE	83 174 354	82 520 500	90 092 000	82 562 000	+0,0%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	51 699 176	54 615 660	56 763 000		
11 Salaries & allowances	50 125 734	52 435 660	54 538 000		
- of which establishment plan posts	50 125 734	52 435 660	54 538 000		
- of which external personnel	-				
12 Mission expenses	167 156	16 000	P M		
13 Socio-medical infrastructure	487 462	810 000	810 000		
14 Training	130 853	200 000	200 000		
15 External Services	732 589	1 054 000	1 165 000		
16 Receptions and events	55 381	100 000	50 000		
17 Other	0	0	0		
Title 2 - Infrastructure and operating expenditure	4 436 804	7 967 840	7 354 000		
20 Rental of buildings and associated costs	1 574 379	3 887 840	3 556 000		
21 Information and communication technology	750 627	1 364 000	1 405 000		
22 Movable property and associated costs	431 770	735 000	624 000		
23 Current administrative expenditure	420 647	471 000	254 000		
24 Postage / Telecommunications	254 058	335 000	350 000		
25 Meeting expenses	1 005 322	1 175 000	1 165 000		
26 Running costs in connection with operational activities	0	0	0		
27 Information and publishing	0	0	0		
28 studies	0	0	0		
Title 3 - Operational expenditure	10 662 485	19 937 000	25 975 000		
30 Operations	2 462 089	3 787 000	4 973 000		
31 Operational information technology	4 342 660	11 324 000	16 076 00		
32 Telecommunication costs for operational activities	1 840 219	2 536 000	2 770 000		
33 Seconded National Expert (Operational)	1 695 700	2 046 000	1 976 000		
34 EPCC/COSI	257 681	140 000	75 000		
35 Heads of Europol National Units	64 135	104 000	105 000		
36 Operational expenditure related to subsidies and grants	64 135	104 000	105 000		
TOTAL EXPENDITURE	66 798 464	82 520 500	90 092 000	82 562 000	+0,0%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 2 632 000.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

In order for it to perform the tasks related to the functioning of the incipient cybercrime centre, Europol carried out internal efficiency savings and in 2012 devoted 31 staff to this function. Through internal redeployment EUROPOL will reinforce the cybercrime centre by an additional 12 staff throughout the course of 2013. 2014 and 2015 are pivotal years for the functioning of the EC3 which must by then become fully operational. To this end, an injection of additional human resources is needed. In the light of this imperative and bearing in mind the significant efforts the agency has already undertaken in reallocating personnel to this priority task, the Commission acknowledges the need for reinforcement. Hence, the Commission proposes to increase the level of the EU contribution corresponding to 5 additional contract agents above the 2013 level.

4.1.2. Financial Resources

The EU contribution is kept constant in nominal terms at the level of 2013, taking into account credits corresponding to 5 additional contract agents as set out above. Besides, the allocation proposed by the Commission includes funding in 2014 of "Type II" European Schools for an amount of EUR 91 000.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

In 2012, following a proposal from the Commission, the Council entrusted Europol with a new task: the establishment of a European Cybercrime Centre (EC3) at Europol whose mission is to be the driving force behind the EU's efforts in fighting cybercrime. In particular, the EC3, which will be operational in 2013, will pool expertise and information, support criminal investigations and promote EU-wide solutions, while raising awareness of cybercrime issues across the Union. It will ultimately serve as the European information hub on cybercrime, building capacity to combat cybercrime through training, awareness raising and delivering best practice on cybercrime investigations. In addition, it will build a multidisciplinary community of experts to combat and prevent cybercrime and online child sexual abuse.

These significant new tasks will have an obvious impact on Europol's Human Resource requirements, both in terms of staff numbers and competencies. The envisaged minimum staffing requirements for these additional tasks have been estimated at 17 new posts for 2014, with a further 21 for 2015, making a total of 38 new posts required to facilitate the operation of the EC3 to the requirements foreseen for Europol by the Council. For further details about the new activities and increased workload, see "Expenditure" under 4.2 below. This number of posts requested falls well below the number that would be required to deal with the expected caseload, were Europol to apply the projections used by the Commission when preparing its Communication on the establishment of EC3.

If further resources are not provided in 2014 and 2015, there are two very clear reasons why Europol will not be in a position to meet the expectations of stakeholders for a fully-functioning EC3. Firstly, most of the necessary competencies and skills profiles to fill these new requirements are not currently available within Europol and can only be sourced through external recruitment. Secondly, in the course of 2012 and the beginning of 2013, Europol has made available the necessary budget, staff and expertise to ensure the EC3's successful launch and first phase of operation, without any increase in its Establishment Plan or in its real terms budget. Every effort has been made to avoid any negative consequences in other operational areas, where the workload has also developed significantly in the recent past, as has Europol's effectiveness in meeting the needs and expectations of law enforcement partners across Europe. The potential for such internal adjustments has now been fully exhausted. To enable the organisation to maintain current levels of service whilst at the same time meeting the challenges provided by EC3, additional resources will be essential. Without this, services would have to be reduced in other areas of Europol's work, something that the Member States have already deemed unacceptable. Scaling back existing services would be seen as a retrograde step in the development and enhanced effectiveness of Europol as an EU agency.

17 TA posts requested for 2014: 1 AD12, 2 AD8, 3 AD7 and 11 AD6.

4.2.2. Salary assumption for calculating salary lines (% applied)

2014 – 0% (June 2013 – June 2014).

2013 - An estimated salary increase of 1,7% for inflation is used in the calculation (the last available information).

4.2.3. *Vacancy rate as of end-2012*

2,4% (offer letters sent to selected candidates considered posts filled). Selection procedures are in progress for all posts vacant at the end of the year. The procedures are expected to be finalised and offer letters to selected candidates sent within the first months of 2013.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

An average expected vacancy rate of 4% for Temporary Agents and Contract Agents is applied.

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

The estimated expenditure in Title 1 amounts to EUR 56 763 000. This is 4% higher compared to the budget 2013, because of 17 new posts for EC3. Without the new posts the budget in Title 1 would be less than 1% higher. An amount of EUR 1 728 000 is estimated budget for 17 additional staff for EC3. Almost the entire budget under Title 1 is planned for salaries and other staff related allowances (amounting to EUR 54 538 000 in Chapter 11). It takes into consideration all posts of the establishment plan with a 4% adjustment for the vacancy rate. The envisaged budget includes 17 new posts for EC3 but also a 2% staff reduction (12 posts in 2014) to respond to the staff reduction and contribution to the redeployment pool.

The salary budget has been reviewed according to the latest available information for the salary increase (1,7% for June 2012 to June 2013) and the weighting coefficient for the Netherlands (from 2,8% to 5,3%). It should be noted that no salary increase for June 2013 to June 2014 has been taken into account. The remaining part of the budget under this title is planned for other staff related activities such as medical services, payroll management, professional development and corporate trainings, catering, social activities and various outsourced activities as an added value to the core business activities (ICT help desk service, additional security service, reception, conference and facilities service), totalling to EUR 2 225 000.

4.3.2. *Title 2*

The estimated expenditure under Title 2 amounts to EUR 7 354 000. Despite the overall budget increase for EC3 the total budget estimate for Title 2 is 7,7% lower than the budget 2013. The budget is planned for building related running costs, various facilities expenditures, administrative information technology expenditure, costs for the Management Board and Joint Supervisory Body activities and various other running expenditures.

The majority of the budget envisaged in Title 2 relates to various building related costs (e.g. Service level agreement with the Host State, rent, insurance, energy, cleaning, etc). These are planned in line with the ongoing running expenditure. Europol envisages to improve facility services to meet business demands, to improve the utilisation of the office environment in accordance with the implementation of new workspace concepts and to finalise implementation of the ICT solution for facilities management, conference management and contract management. This also includes the investment for the EC3 amounting to EUR 420 000 to facilitate the business needs for EC3 in order to expand existing building facilities for the forensic laboratory and building a 24/7 command centre). Due to efficiency savings or a lower need for investments the budget in almost all areas has been reduced.

4.3.3. *Title 3*

The total foreseen operational budget for 2014 comes to EUR 25 975 000, which accounts for 29% of the total draft budget 2014. This amount includes a total of EUR 4 289 000 directly related to the EC3 operational activities. The operational budget 2014 is foreseen to increase by 30% compared to the adopted budget 2013. This emphasises Europol's focus on operational activities.

The budget for the operational ICT services and programmes comes to EUR 18 846 000. This includes significant improvements in core ICT systems as well as the growth in the functional maintenance for all applications and in the running costs. It also includes EUR 2 889 000 for EC3 related ICT expenditure, such as forensic software, network expansion, ICT support, services and licenses, etc. Europol will continue to provide strong operational support services tailored to the EU Policy Cycle priorities. The operational activities are planned with the aim to deliver those services in the best way. The budget includes increased financial support for meetings and activities in the priority areas to support operations identified by MS. An amount of EUR 5 153 000 will cover missions, various meetings (operational, strategic, EMPACT, HENU, European Police Chiefs Conference, etc.), operational training, external expertise, operational support, operational equipment, etc. The budget for Seconded National Experts remains in line with the current implementation. An amount of EUR 1 976 000 is foreseen to cover the costs for 40 SNE's.

5. Other information

5.1. Buildings

City	Building	Surface Area square metres	Annual Rental in euros	Host country grant or support	Agency = owner?	yes: present value?	Other Comment
The Hague	Main building	31 000	-	Provided by Host State free of charge	No	-	Yearly cost of SLA for maintenance will be approximately EUR 1,6 million

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Article 51(2) Europol Decision: The Protocol on Privileges and Immunities of the European Communities shall apply to Europol.	Article 51(1) Europol Decision: The Protocol on Privileges and Immunities of the European Communities and Regulation (Euratom, ECSC, EEC) No 546/69 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on Privileges and Immunities of the Communities apply (as amended by a specific Council Regulation adopted on 27.11.2008: Council doc. # 14921/08 COR 4) shall apply to the Director and Deputy Directors of Europol and to Europol staff.	

5.3. Evaluation

An evaluation of the agency is currently underway and is expected to be submitted to the Budgetary Authority by July 2013.

The main findings of the latest evaluation for the Six Month Activity Report 2012 (the latest evaluation provided to MB) are as follows:

At the end of the first semester, 81% of the objectives were assessed as “good progress achieved”. The KPI performance of the implementation of Europol’s multiannual Strategy 2010-2014 also showed good progress on 77% of the 22 KPIs measured. The increase in demand and activities using the Europol channel was demonstrated by a 21% increase (8,007 in total) in the number of initiated SIENA cases compared to the same period in 2011. Furthermore, Europol produced 116% more operational analysis reports for MS during the first half of 2012 compared to the same period in 2011. Financial support to MS to attend operational meetings increased by 41% compared with the same period last year. The quality and value of Europol’s services remains overall high, according to the surveyed lead investigators.

Europol’s work to adhere to the Internal Control Standards (ICS), especially through pro-active risk management, has progressed well throughout the first semester 2012. Risk management activities at Europol focussed on addressing improvement areas identified by the European Court of Auditors (ECA), in the context of the regular activities to close the annual accounts of Europol for the financial year 2011 (by July 2012) and the Internal Audit Function (IAF), as part of the ongoing consultancy engagements which are set out in the ‘Annual IAF Work Programme for 2012’

The identification of concrete measures to address underperformance in prioritised areas is part of Europol’s internal quarterly performance reporting process.

2.2.1.3.6. European Police College (CEPOL)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Decision 2005/681/JHA	20/09/2005	<ul style="list-style-type: none"> • provide training sessions, based on common standards, for senior police officers; • contribute to the preparation of harmonised programmes for the training of middle ranking police officers, middle ranking police officers in the field and police officers in the field with regard to cross border cooperation between police forces in Europe, and help set up appropriate advance training programmes as well as develop and provide training for trainers; • provide specialists training for police officers playing a key role in combating cross border crime, with a particular focus on organised crime; • disseminate best practice and research findings; • develop and provide training to prepare police forces of the European Union for participation in non-military crisis management; • develop and provide training for police authorities from candidate countries, including training for police officers with a key role; • facilitate relevant exchanges and secondments of police officers in the context of training; • develop an electronic network to provide backup for CEPOL in the performance of its duties, ensuring that the necessary security measures are put in place; • enable the senior police officers of the Member States to acquire relevant language skills.
Delegation Agreement Ref. No. MED 2007/140-901	11/06/2007	<p>To reinforce the efficiency of police regional and sub-regional co-operation in the field of fight against terrorism and other major forms of organised crime, drugs trafficking and trafficking in human beings; the various forms of support to this criminal activities (trafficking in weapons and bacteriological substance, financial crime, notably money laundering and cybercrime) should altogether be dealt with, via:</p> <ul style="list-style-type: none"> • the reinforcement of professional capacities of specialised senior professionals (heads or deputy heads of operational units, senior police officers belonging to this units) of the fight against terrorism and other major forms of organised crime in partner countries; • the consolidation of the network that these specialised senior professionals and their counterparts of the EU started to constitute in the framework of Euromed Police I; • the reinforcement of the professional capacity of the heads or deputy heads of or police training departments of MEDA countries (in order to facilitate the dissemination of the best police techniques).
Specific Agreement No. JLS/2008/ISEC/FPA/C2/030 for the action entitled: CEPOL Exchange Programme for Senior Law Enforcement Officers	24/06/2009	<ul style="list-style-type: none"> • To provide opportunities for multilateral exchanges on specific topics for senior police officers and police trainers among 22 Member States and 1 candidate country with the aim to improve cross-border police cooperation in Europe through the reinforcement of professional networks as well as the understanding of police practice and training in other European countries and the exchange of knowledge, experience and best practices.

Seat

Bramshill, UK

Budget line

18 02 05 — European Police College (CEPOL)

CEPOL has been informed by the end of 2012 that the UK government will close the NPJA (current hosts of CEPOL) and sell the grounds. By the latest in March 2014 CEPOL can therefore no longer count on being at the current premises. In the statements below with regards to human and financial resources this information has not been taken into consideration at all. As soon as more specific information with regards to the move has been made available to CEPOL, an amending budget might need to be drafted to cover the relevant costs. These costs could include staffing (on a limited time contract to deal with all preparations/logistics related to the move), costs related to finding and outfitting new premises, costs of removal of CEPOL and its staff members etc).

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	16	15	16	16
Establishment plan posts: AST	12	11	12	11
Total Establishment plan posts	28	26	28	27
Contract Agents	10	6	10	10
Seconded National Experts	5,5	4	5,5	5,5
Total staff	43,5	36	43,5	42,5

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 450 640	8 305 000
Other revenue	0	0
Total revenues	8 450 640	8 305 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	4 104 060	4 104 060		
Title 2	518 040	518 040		
Title 3	3 828 500	3 828 500		
Total expenditure	8 450 600	8 450 600	8 305 000	8 305 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14										
AD 13		1		1		1		1		1
AD 12										
AD 11										
AD 10		2		2		2		2		2
AD 9		3		3		3		3		3
AD 8										
AD 7		1		2		1		1		1
AD 6										
AD 5		9		8		9		9		9
AD total		16		15		16		16		16
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		2		2		2		2		2
AST 4		2		2		2		2		2
AST 3		8		7		8		8		7
AST 2										
AST 1										
AST total		12		11		12		12		11
TOTAL		28		26		28		28		27
GRAND TOTAL	28		26		28		28		27	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	2	1	2	2
Function Group II	7	4	7	7
Function Group I	1	1	1	1
Total	10	6	10	10

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	5,5	5	5,5	5,5

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	8 450 640	8 450 640	8 730 000	8 305 000	-1,7%
of which fresh appropriations	8 450 640	8 450 640	8 730 000	7 436 000	
of which assigned revenues deriving from previous years' surpluses				869 000	
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	8 450 640	8 450 640	8 730 000	8 305 000	-1,7%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	3 471 268	4 104 060	4 282 000		
11 Salaries & allowances	3 275 649	3 908 560	4 086 500		
- of which establishment plan posts	2 571 756	3 214 560	3 363 400		
- of which external personnel	703 893	694 000	723 100		
12 Expenditure relating to Staff recruitment	50 850	54 000	54 000		
13 Mission expenses	35 048	20 000	20 000		
14 Socio-medical infrastructure	0	4 000	4 000		
15 Training	5 899	8 000	8 000		
16 External Services	98 128	105 000	105 000		
17 Receptions and events	5 696	4 500	4 500		
Title 2 - Infrastructure and operating expenditure	480 920	518 040	607 430		
20 Rental of buildings and associated costs	139 315	173 500	179 970		
21 Information and communication technology	283 665	266 040	304 640		
22 Movable property and associated costs	6 484	16 000	21 320		
23 Current administrative expenditure	29 021	52 500	54 000		
24 Postage / Telecommunications	22 435	10 000	11 500		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	4 152 028	3 828 500	3 840 570		
Operational cost	4 152 028	3 828 500	3 840 570		
TOTAL EXPENDITURE	8 104 216	8 450 600	8 730 000	8 305 000	-1,7%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	3 422 741	4 104 060	4 282 000		
11 Salaries & allowances	3 274 532	3 908 560	4 086 500		
- of which establishment plan posts	2 570 639	3 214 560	3 363 400		
- of which external personnel	703 893	694 000	723 100		
12 Expenditure relating to Staff recruitment	43 282	54 000	54 000		
13 Mission expenses	27 143	20 000	20 000		
14 Socio-medical infrastructure	0	4 000	4 000		
15 Training	5 899	8 000	8 000		
16 External Services	69 308	105 000	105 000		
17 Receptions and events	2 578	4 500	4 500		
Title 2 - Infrastructure and operating expenditure	384 203	518 040	607 430		
20 Rental of buildings and associated costs	127 009	173 500	179 970		
21 Information and communication technology	212 453	266 040	340 640		
22 Movable property and associated costs	911	16 000	21 320		
23 Current administrative expenditure	24 837	52 500	54 000		
24 Postage / Telecommunications	18 992	10 000	11 500		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	2 627 344	3 828 500	3 840 570		
Operational cost	2 627 344	3 828 500	3 840 570		
TOTAL EXPENDITURE	6 434 288	8 450 600	8 730 000	8 305 000	-1,7%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 875 048

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission applies a cut of one establishment plan post in line with the horizontal cut of staff of 1% as well as the 1% cut for redeployment.

4.1.2. Financial Resources

The Commission does not support to increase the Union subsidy to CEPOL in light of the planned merger with Europol in 2015 and given the fact that no new tasks will be allocated to CEPOL in 2014. Hence it is proposed to leave the subsidy at its 2013 level of EUR 8,451 million. Besides, the Commission applies a penalty of EUR 146 000 for the level of payment appropriation cancellation above 5%.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

CEPOL is not seeking any additional staff within 2014, maintaining the Establishment Plan at 28 posts as approved for the budget year 2013. This is in accordance with the zero-growth policy to be followed by agencies. It is important to take into consideration the fact that successive expert analyses of CEPOL have identified the fact that the agency is operating with a deficit of available human resources and that the staffing levels do not allow for certain key posts. The

Five Year Evaluation of CEPOL published in 2011 recommended that the capacity of the agency should be strengthened.

The relevance of e-learning training and learning opportunities has grown considerably since the establishment of the Agency and becomes even more relevant in times of strict austerity. E-learning allows wider outreach and can cater to both awareness and specialist training. E-learning products have become highly demanded and integral part of any training portfolio developed by the Agency. 2010-2013 level of staffing in this area is 1 AD 5 post – e-learning officer, which can neither satisfy the growing operational demand for online learning products nor business continuity or any level of development be ensured.

The Internal Audit Service in their ICT Risk Assessment concluded that the limited IT staff (currently 2 TA AST officers) and the lack of a proper back up function represents a risk of discontinuity of the IT services. The European Ombudsman acknowledged the lack of a legal officer within the agency and recommended that this deficit should be addressed. The deficits identified above cannot be resolved through the reallocation of posts without creating new deficits in other areas of the organisation that will be equally impactful, either operationally or administratively.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

CEPOL is now operating at the full consumption capacities of Title 1. By end of 2013 the consumption on salary lines will be nearly at 100%, calculated with a salary weighting coefficient of 0,28 and with 15 staff members having a review and increase in the step in grade pending. The salary assumption percentage applied for calculating salary lines is of 95% of the total authorised posts under the EU budget.

4.2.3. *Vacancy rate as of end-2012*

	<i>Authorised</i>	<i>Filled</i>	<i>Vacancy Rate</i>
<i>Temporary Agents</i>	28	26	7,1%
<i>Contract Agents</i>	10	6	40,0%
<i>SNEs</i>	5.5	5	9,1%
<i>TOTAL</i>	43.5	37	14,9%

The reasons that these vacancies have not been filled by 31 December are the following: in 2012 a relatively high number of staff members left the organisation: 8. Furthermore, of the recruitment procedures organised in 2012, 4 positions were filled by another staff member, which has a consequence that another position becomes vacant. In 3 recruitment procedures the selected candidate declined the offer from CEPOL. These positions had to be republished, leading to a delay in filling the vacant position.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

Whilst 2% abatement rate is normal, CEPOL foresees no abatement in 2014. CEPOL is currently under resourced and standard abatement within the normal range is associated with efficiencies achieved through the successful implementation of mature processes. Within the organisational maturity cycle CEPOL has yet to reach this point.

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

The Title 1 budget presented here includes a minimal proposed increase in 2014 compared to 2013. The increase in Salaries and Allowances +4,55% (+178K €) and 4,19% increase for external personal (+29k €) reflects the fact that all salary costs have been calculated taking into account the following elements: an increase in step in grade for the majority of staff (as they will be more than 2 years in the agency) as well as with a salary weighting increase as experienced in 2012. The increased costs reflect the planned implementation of the establishment plan according to the budgetary funding available. The other chapters in this title have all been kept at the same level as in 2013. The expenditure for the recruitment of staff reflects a trend in the real cost of recruitment as well as provision for staff turnover unforeseeable at this time.

Mission expenses reflects a reduced amount of funds available to ensure the prioritisation of appropriations for budget lines where spend is non-discretionary. However, taking into consideration the actual spending in 2012 as well as an expectation for continued higher costs for tickets, this budget item will be monitored very closely. Medical expenses reflects the forecast expenditure taking into consideration previous years actual consumption. Training reflects a

reduced amount of funds available to ensure the prioritisation of appropriations for budget lines where spend is non-discretionary. External services reflects a reduced amount of funds available to ensure the prioritisation of appropriations for budget lines where spend is non-discretionary. Reception and events is taking into consideration potential increases in hospitality.

4.3.2. *Title 2*

The Title 2 budget presented here includes a proposed modest increase in 2014 compared to 2013. The Rental of buildings and associated costs (+3,7%) is in line with consumption (+€ 6,5K). This amount does not include any provision related to expenditure that has to be expected in relation to the obligatory removal of CEPOL from its current location as a consequence of the decision by the UK government. Information and Communication technology (+28,0%; +€ 75k) reflects the underinvestment in ICT faced by the Agency in the previous years and the required investments in the CEPOL communications infrastructure. Movable property and associated costs (+33,3%; +€ 5K) constitutes an increase that reflects the forecasted depreciation of assets. Current administrative expenditure (+2,9%; +€ 1,5K) reflects the forecast increased costs of stationary and other office consumables based on an analysis of actual consumption in previous years. Postage/telecommunication (+15,0%; +€1,5K) reflects a forecast of increased costs based on an analysis of actual consumption. In 2012 the actual consumption was already much higher, which indicates that this budget item will be monitored closely.

4.3.3. *Title 3*

Title 3 is at a similar level compared to 2013; this level takes into account the reduction of governance costs achieved in response to recommendations coming from the 5 year evaluation and the subsequent action plan adopted by the Governing Board to streamline CEPOLs activities and associated expenditure.

CEPOL plays a key role in providing training for Law Enforcement Officers thus building European law enforcement elite. Training will focus on the following areas: Strategic management and leadership, strategic planning, translation of the EU policies into the national environment, external aspects of Internal Security: EU Neighbourhood Policy, civilian crisis prevention and management. CEPOL is flexible when it is required to respond to needs for learning and training within the Internal Security Architecture of Europe, particularly in response to the forthcoming Commission Communication on European Training Scheme. Activities will be adjusted if there will be an urgent need for learning and training response for the law enforcement authorities in Europe due to new challenges, particularly further developments at the Council level in regard to the EU Policy Cycle priorities and implementing operational action plans. In 2014 training activities will be addressing the following priorities: EU Policy Cycle instrument and priorities, Other organised crime, Counter-terrorism, Economic crime, Law enforcement techniques, EU cooperation, Human rights, Management, Crime prevention, Learning and training, Research and science.

End of 2014 will become a point of reference of implementation of the European police Exchange Programme (EPEP) in response to Stockholm programme and Council conclusions, which determined that 2011-2014 is to be a pilot implementation. As 2014 will be the last year of pilot's implementation, comprehensive assessment shall be conducted and a way forward determined to ensure that EPEP becomes a permanent action beyond 2014. This tool is to be incorporated into the European Training Scheme and shall complement other training and learning activities offered by CEPOL. Possible devolvement of EPEP will be examined whether there is a room of implementing it at three levels: regional, EU, international. It should be noted that effective implementation of the pilot EPEP was somewhat hampered by failure to receive additional budget and this issue shall be addressed in future. In 2014 CEPOL will do its utmost to continue EPEP pilot's implementation at a comparable scale of the previous years; however, this may be challenging due to the EPEP not receiving additional funding as expected. EPEP will continue to be aligned with the priority topics of the EU policies.

5. Other information

5.1. Buildings

City	Building	Surface Area square metres	Annual Rental in euros	Host country grant or support	Agency = owner?	yes: present value?	Other Comment
Bramshill	CEPOL House	780,00	0,00	0,00	No	n/a	Office accommodation is currently provided for free by the UK National Police Improvement Agency. However, CEPOL pays for telephones, cleaning, maintenance and utilities. The site is manned by security guards 24 hours per day. Negotiations are underway to introduce actual costs for planned and variable building maintenance.
Bramshill	Beech House	175,00	0,00	0,00	No	n/a	- See above -

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
CEPOL recovers VAT via the Home Office in London	CEPOL Staff does not have any Diplomatic status. All staff are registered as Category “C” Officials with the Foreign & Commonwealth Office in the UK	There are no special arrangements with any local schools and there is no European School within the vicinity.
	The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States	Access to day care is not available on-site expensive off-site.
	Staff is entitled to purchase cars VAT free under certain conditions.	CEPOL pays education allowance in accordance with Article 3 of Annex VII and Section 3, Article 16 (96) of Annex XIII to the Staff Regulations of Officials of the European Communities.

5.3. Evaluation

An evaluation of the agency was submitted to the Budgetary Authority on 22 March 2011. The main findings of the latest evaluation available are as follows and as stated in the CEPOL Five-year external evaluation, final report:

“In terms of conclusions and recommendations it is worth noting that the evaluators have found a break in the Agency's development in 2009. From 2006 to 2009, CEPOL has reasonably well delivered outputs, however, with deficiencies in the functioning of the Secretariat. Following a management change in 2009, identified deficiencies were addressed, and CEPOL has assumed a more forward looking and strategic stance on its development.

The main recommendations are: (1) Clarify the CEPOL intervention logic; (2) Streamline governance and rationalise structures; (3) Strengthen the CEPOL Secretariat; (4) Merge capacity building for law enforcement; (5) Assess Member State engagement with CEPOL; (6) Concentrate capacity building efforts; and (7) Measure results and impacts.”

A new evaluation of the agency is expected to be carried out by 2015 at the earliest considering the foreseen review of the CEPOL mandate.

2.2.1.3.7. European Monitoring Centre for Drugs and Drug addiction (EMCDDA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EEC) 302/93	08/02/1993	The EMCDDA mission is to provide the EU and its Member States with objective, reliable and comparable information at European level on drugs and drug addiction and their consequences. Its tasks are: collection and analysis of existing data, improvement of data comparison methods, dissemination of data, cooperation with European and international bodies and organisations and with third countries.
Recast Regulation (EC) 1920/2006	12/12/2006	Organisation changes and extension of the EMCDDA's tasks namely to collect, register and analyse information on polydrug use, including the combined use of licit and illicit psychoactive substances, development of statistics in collaboration with the relevant statistical authorities, information and exchange of science-based best practices, development of tools and instruments to facilitate the Member States and the Commission in the monitoring and evaluation of national and Union drugs policies. Provision of information to national competent authorities about new developments and changing trends

Seat

Lisbon, Portugal

Budget line

18 02 06 — European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	55	51	55	54
Establishment plan posts: AST	29	28	29	28
Total Establishment plan posts	84	79	84	82
Contract Agents	27	24	27	27
Seconded National Experts	1	1	1	1
Total staff	112	104	112	110

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	15 550 000	14 794 000
Other revenue	857 482	762 943
Total revenues	16 407 482	15 556 943

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	9 537 228	9 537 228		
Title 2	2 198 238	2 198 238		
Title 3	4 322 017	4 322 017		
Total expenditure	16 407 482	16 407 482	15 556 943	15 556 943

In 2013, Total revenues include EUR 350 000 contribution from IPA4. In 2014, Total revenues include EU 200 000 contribution from IPA4.

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1		1		1		1		1
AD 14	1					1		1		1
AD 13	1	2		2	1	2	1	2	1	2
AD 12	4	10	3	6	4	10	4	10	4	10
AD 11	3	10	2	4	3	10	3	10	3	10
AD 10	1	13		7	1	13	1	13	1	13
AD 9		7	1	1		7		7		6
AD 8		2	1	4		2		2		2
AD 7				10						
AD 6				9						
AD 5										
AD total	10	45	7	44	9	46	9	46	9	45
AST 11	1				1		1		1	
AST 10		2				2		2		2
AST 9		5		3	1	5	1	5	1	5
AST 8	2	7		1	2	7	2	7	2	6
AST 7	1	6	2	2	1	6	1	6	1	6
AST 6	1	1	1	1	1	1	1	1	1	1
AST 5	1	2		9		2		2		2
AST 4			1	5						
AST 3				2						
AST 2										
AST 1			1							
AST total	6	23	5	23	6	23	6	23	6	22
TOTAL	16	68	12	67	15	69	15	69	15	67
GRAND TOTAL	84		79		84		84		82	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	11	9	11	11
Function Group II	13	12	13	13
Function Group I	3	3	3	3
Total	27	24	27	27

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	1	1	1	1

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	15 550 920	15 550 000	16 105 236	14 794 000	-4,9%
of which fresh appropriations	14564920	15447000	16062236	14 751 000	-4,50%
of which assigned revenues deriving from previous years' surpluses	986 000	103 000	43 000	43 000	-58,25%
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)	416 087	507 482	562 943	562 943	+10,93%
Of which EFTA	416 087	407 482	412 943	412 943	+1,34%
Of which Candidate Countries		100 000	150 000	150 000	+50,00%
4 OTHER CONTRIBUTIONS		350 000	200 000	200 000	-42,86%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	15 967 007	16 407 482	16 868 180	15 556 943	-5,18%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2011' / 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	9 022 844	9 537 228	9 893 494		
11 Salaries & allowances	8 927 186	9 451 028	9 818 494		
115 External services	17 740	25 000	0		
118 Expenditure relating to Staff recruitment	7 387	11 200	15 000		
119 Training	70 531	50 000	60 000		
Title 2 - Infrastructure and operating expenditure	2 292 297	2 198 238	2 343 363		
211 Rental of buildings and associated costs	1 433 907	1 453 887	1 538 663		
212 Information and communication technology	477 795	461 000	500 000		
213 Movable property and associated costs	122 037	103 000	108 200		
214 Current administrative expenditure	140 201	42 500	42 500		
215 Postage / Telecommunications	93 327	111 851	122 000		
216 Socio-medical Infrastructure	25 030	26 000	32 000		
Title 3 - Operational expenditure	4 610 134	4 322 017	4 431 322		
311+ 312 Information and Publishing	1 044 961	780 000	732 032		
314 Studies	232 182	140 000	140 000		
315 REITOX	2 594 007	2 646 388	2 799 290		
316 Mission Expenses	274 382	236 629	225 000		
317+318 Meeting Expenses	461 841	515 000	530 000		
319 Receptions and Events	2 761	4 000	5 000		
TOTAL EXPENDITURE	16 211 533	16 407 482	16 868 180	15 556 943	-5,18%

Total expenditure line includes the expenditure related to IPA projects. In 2014, the IPA amounts to EUR 200 000.

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	8 995 091	9 537 228	9 893 494		
11 Salaries & allowances	8 920 244	9 451 028	9 818 494		
115 External services	11 094	25 000	0		
118 Expenditure relating to Staff recruitment	6 947	11 200	15 000		
119 Training	56 807	50 000	60 000		
Title 2 - Infrastructure and operating expenditure	2 097 222	2 198 238	2 343 363		
211 Rental of buildings and associated costs	1 357 209	1 453 887	1 538 663		
212 Information and communication technology	391 262	461 000	500 000		
213 Movable property and associated costs	99 080	103 000	108 200		
214 Current administrative expenditure	140 036	42 500	42 500		
215 Postage / Telecommunications	88 423	111 851	122 000		
216 Socio-medical Infrastructure	21 212	26 000	32 000		
Title 3 - Operational expenditure	4 635 817	4 322 017	4 431 322		
211 Rental of buildings and associated costs	1 044 186	780 000	732 032		
212 Information and communication technology	224 144	140 000	140 000		
213 Movable property and associated costs	2 560 730	2 646 388	2 799 290		
214 Current administrative expenditure	280 003	236 629	225 000		
215 Postage / Telecommunications	523 793	515 000	530 000		
216 Socio-medical Infrastructure	2 961	4 000	5 000		
TOTAL EXPENDITURE	15 906 711	16 407 482	16 868 180	15 556 943	-5,18%

Total expenditure line includes the expenditure related to IPA projects. In 2014, the IPA amounts to EUR 200 000.

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 43 162.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission proposes implementing a 2 % reduction in the form of vacant AD 9 and AST 8 positions. In the current economic situation the agency should seek further efficiency gains in its work.

4.1.2. Financial Resources

The Commission cannot follow the agency in its request. In all three titles some reductions are possible and while the REITOX is supported by the Commission, its annual increase cannot be accepted in its entire amount. Some further reductions in several areas like training, IT, movable and the result from the reduction in two staff will allow the agency to reduce its budget compared with 2013.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

No additional staff is requested. The establishment plan authorised under the previous annual budget will be fully deployed, to ensure the effective execution of planned operational activities and projects in accordance with the EMCDDA work programme, while coping with the additional needs and workload entailed by Croatia's accession to the EU, as well as by the development of key indicators on drug supply and supply reduction, by the progressive transfer to the EMCDDA of the management of the ESPAD network and by the monitoring and assessment of new drugs and trends, due to the rapid increase of the number of new psychoactive substances appearing on the market.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

n/a

4.2.3. *Vacancy rate as of end-2012*

5,95%

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

n/a

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

The required budget will enable the EMCDDA to cover the salary costs for all staff in post and the supplementary expenditure needed to meet usual estimated adjustments required in 2014, in accordance with the applicable Staff Regulations.

4.3.2. *Title 2*

The required budget will enable the EMCDDA to meet the estimated costs of the administrative and IT support services and supplies required for the management and functioning of the EMCDDA's premises and infrastructures, pursuant to the conditions resulting from the contract in force for the lease of these premises. In particular the appropriations entered in Title 2 will enable the EMCDDA to comply with the relevant budgetary and legal commitments, taking into account the automatic revision of prices, as entailed by the estimated variation of the inflation rate and the legal indexation of the rent to be paid for the lease of the EMCDDA's premises. Any possible investment in this area will be considerably reduced to cope with the minimum essential needs. Furthermore it is assumed that the areas of the Religio building (Palacete) which were previously occupied by the Jaques Delors Information Centre will be sub-leased in 2014 and the sub-tenant will bear his share of utility costs. Finally no supplementary appropriations are expected at this stage from the selling or leasing of the Santa Apolónia building, taking into account the current situation and the expected negative outlook of the relevant real estate market.

4.3.3. *Title 3*

The required budget will enable the EMCDDA to ensure the effective execution of planned operational activities and projects to implement the EMCDDA work programme. Furthermore, pursuant to the Regulation establishing the EMCDDA and in accordance with the decision of the Management Board of the latter of July 2008, to ensure the EMCDDA's 2014 co-financing to the Reitox National Focal Points (NFP) as follows:

- Increase the appropriations earmarked for the EMCDDA's co-financing to the Reitox NFPs (annual indexation of 2 %);
- Provide the supplementary appropriations required for the 2014 co-financing to the Croatia's NFP, as a consequence of the accession of Croatia to the EU, pursuant to the approach applied for previous accessions to the EU and in line with the aforementioned decision.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Lisbon	Ribeira das Naus	6 520 + 90 parking spaces	889 255 (74 104,58 x 12) +annual indexation	The Host country supported the installation by providing the office furniture for the headquarters, as well as by supporting the artistic enhancement of the reception areas, management and conference rooms.	no	n/a	Pursuant to an agreement with the Portuguese State, since 2009 an area of 673,25 sqm was sublet to the latter for the use of the Jaques Delors European Information Centre (JDEIC since 2009). In late 2011 the Portuguese authorities announced their intention to relocate JDEIC's offices elsewhere and to unilaterally terminate the sub-lease contract at the stake. Negotiations are still ongoing on the possible terms for this early termination of the contract. In parallel, in 2012 the EMCDDA received a proposal from an established shipping agents company for the sub-renting of the aforementioned area. Negotiations are ongoing on this basis.
Lisbon	Santa Apolónia (Mascarenhas)	2087	n.a.	The Host country supported the installation by providing a contribution of EUR 600 000 for the refurbishing.	Yes (since 1995)	Between 3 500 000 and 4 000 000	Since 2008 the EMCDDA has been actively trying to sell or rent this building. For this purpose Intermediation contracts were signed with two real estate companies. The Portuguese authorities expressed no interest in purchasing this building. Two offers were received, one for purchasing the building in summer 2008, that was withdrawn shortly later, and one for rental was received in 2012, which has not materialized into a contract due to the lack of financing on behalf of the interested party. The building continues to be used for large technical meetings and as an alternative site for ICT business continuity, allowing some cost savings.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the EMCDDA, exempting the agency from payment of all national, regional or municipal rates and	Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water; gas; electricity, food and beverages	There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the new premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In this context it agreed to pursue either the establishment of a European School

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)	services; hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.	in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution.
<p>New premises were built by the Lisbon Port Authority (LPA) to accommodate the EMCDDA's headquarters in the Ribeira das Naus compound in Lisbon (RdN), following the signature of a Memorandum of Understanding between the Portuguese Government and the EMCDDA (and EMSA) on 28th July 2004. In August 2009 the EMCDDA moved into the new premises. The EMCDDA will rent these premises in accordance with the lease contract concluded between the agency and the LPA. Pursuant to the above referred Memorandum of Understanding the host State will support the respective installation by providing the office furniture for the headquarters of the agencies, as well as by supporting the artistic enhancement of the reception areas, management and conference rooms.</p> <p>An agreement was concluded between the EMCDDA and EMSA for the management of the premises of RdN for joint use. Pursuant to this agreement the two agencies will share the rent and utilities costs for some areas and infrastructures of the compound to be used by both agencies.</p>		<p>EMCDDA staff receive education allowances, in accordance with the relevant provisions of the Staff regulations of officials and the Conditions of employment of other servants of the European Communities</p> <p>Pursuant to the 'Guidelines on staff policy in the European regulatory Agencies' as adopted by the European Commission on 16 December 2005 (C(2005)5304), the EMCDDA has concluded some agreements for schooling services with educational establishments in the area of Lisbon to ensure the direct payment of the eligible costs for educational services as described in the Staff regulations.</p>

5.3. Evaluation

An evaluation of the agency was completed in 2012. The main findings can be summarised as follows:

As stated in the overall conclusions and recommendations, the EMCDDA has performed well during the 2007-12 period in its mission of providing the EU and Member States with factual, objective, reliable and comparable information at the European level on drugs and drug addiction and their consequences. This overall conclusion is supported by the evidence from a number of different sources including the survey work.

In relation to the various tasks set out in the EMCDDA's 2006 'recast' Regulation, the evaluation findings are generally positive. Firstly in relation to its role of providing 'factual, objective, reliable and comparable information at the European level concerning drugs and drugs addiction, and their consequences', the EMCDDA has performed strongly. In addition to the demand-side, progress was made to improve the understanding of the supply-side of the drugs problem.

The EMCDDA also performed well in relation to the second task defined for it in the 2006 Regulation, namely to 'collect, register and analyse information on emerging trends'. During the period under review, the upward trend in new psychoactive substances being detected has accelerated but the EMCDDA has kept pace with developments through its Early Warning System and related activities, providing useful information to the Commission and Member States that has been used to shape policy responses. Feedback from the research on the EMCDDA's performance in relation to the third task set out in the recast Regulation, identifying best practices in Member States and facilitating and exchange of such practices between them' is not as positive compared with the other tasks. The EMCDDA's fourth task ('to promote cooperation with other European and international bodies and with third countries') has been successfully promoted.

The result of the aforementioned evaluation can be found at the following web link:

<http://www.emcdda.europa.eu/html.cfm/index184823EN.html>

A new evaluation of the agency is expected to be carried out by 2018 in accordance with Article 23 of the EMCDDA founding Regulation.

2.2.1.3.8. European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu.LISA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice	OJ L 286, 1.11.2011, p.1	<p>Tasks of the Agency as defined in Articles 1 to 9 of the establishing Regulation:</p> <ul style="list-style-type: none"> the operational management of SIS II, VIS and Eurodac; certain tasks related to the communication infrastructure (namely: supervision, security and coordination of relations between Member States and the provider) and tasks related to monitoring, reporting and statistics related to these systems; tasks relating to the training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; tasks relating to the preparation, development, operational management of new large-scale information systems, if so provided by relevant legislative instruments and training on the technical use of these systems, as appropriate; monitoring of research; carrying out of pilot schemes (upon the specific and precise request of the Commission).

Seat

Tallinn, Estonia. Tasks relating to development and operational management of IT systems shall be carried out in Strasbourg, France (technical site).

Budget line

18 02 07 – European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu-LISA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	49	50	75	75
Establishment plan posts: AST	26	24	45	45
Total Establishment plan posts	75	74	120	120
Contract Agents	6	0	6	6
Seconded National Experts	0	0	6	6
Total staff	81	74	132	132

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	41 000 000	59 380 000
Other revenue	0	0
Total revenues	41 000 000	59 380 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	14 962 000	14 787 000		
Title 2	15 138 000	9 920 000		
Title 3	10 900 000	9 730 000		
Total expenditure	41 000 000	34 437 000	59 380 000	59 830 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13						2		2		2
AD 12		3		2		3		3		3
AD 11		1				1		1		1
AD 10		2		5		4		7		4
AD 9		6		4		7		7		7
AD 8						1		3		1
AD 7		17		20		25		29		25
AD 6								2		2
AD 5		19		17		31		28		31
AD total		49		49		75		83		75
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2				2		2		2
AST 6										
AST 5		10		9		15		15		15
AST 4				4		4		5		4
AST 3		14		11		23		24		23
AST 2						1		1		1
AST 1										
AST total		26		24		45		47		45
TOTAL		75		73		120		130		120
GRAND TOTAL	75		73		120		130		120	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3		3	3
Function Group III	3		3	3
Function Group II				
Function Group I				
Total	6		6	6

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	6		6	6

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	19 105 068	41 000 000	82 508 000	59 380 000	+44,8%
of which fresh appropriations	19 105 068	41 000 000	82 508 000	59 380 000	+44,8%
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	19 105 068	41 000 000	82 508 000	59 380 000	+44,8%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	6 089 174	14 962 000	19 368 000		
11 Salaries & allowances	4 550 000	14 262 000	17 918 000		
- of which establishment plan posts	4 550 000	13 851 000	17 030 000		
- of which external personnel		411 000	888 000		
12 Expenditure relating to Staff recruitment	818 760		100 000		
13 Mission expenses	70 000	300 000	510 000		
14 Socio-medical infrastructure					
15 Training	650 414	400 000	540 000		
16 External Services			200 000		
17 Receptions and events			100 000		
Title 2 - Infrastructure and operating expenditure	7 075 894	15 138 000	28 240 000		
20 Rental of buildings and associated costs	217 338	5 523 000	21 500 000		
21 Information and communication technology					
22 Movable property and associated costs	177 290		200 000		
23 Current administrative expenditure					
24 Postage / Telecommunications					
25 Meeting expenses		715 000	1 130 000		
26 Running costs in connection with operational activities	6 681 266	8 500 000	5 000 000		
27 Information and publishing		300 000	310 000		
28 studies		100 000	100 000		
Title 3 - Operational expenditure	5 940 000	10 900 000	34 900 000		
31 Maintenance hardware/software			11 900 000		
32 MWO			9 000 000		
33 Development biometric and new requirements			2 000 000		
34 External assistance			8 000 000		
35 studies/pilot projects			4 000 000		
TOTAL EXPENDITURE	19 105 068	41 000 000	82 508 000	59 380 000	+44,8%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	1 354 349	14 787 000	19 368 000		
11 Salaries & allowances	803 959	14 262 000	17 918 000		
- of which establishment plan posts	803 959	13 851 000	17 030 000		
- of which external personnel	0	411 000	888 000		
12 Expenditure relating to Staff recruitment	500 877		100 000		
13 Mission expenses	49 512	225 000	510 000		
14 Socio-medical infrastructure					
15 Training		300 000	540 000		
16 External Services			200 000		
17 Receptions and events			100 000		
Title 2 - Infrastructure and operating expenditure	929 626	9 920 000	28 240 000		
20 Rental of buildings and associated costs	486 772	3 645 000	21 500 000		
21 Information and communication technology					
22 Movable property and associated costs	142 493		200 000		
23 Current administrative expenditure					
24 Postage / Telecommunications					
25 Meeting expenses	235 454	850 000	1 130 000		
26 Running costs in connection with operational activities	64 907	5 100 000	5 000 000		
27 Information and publishing		225 000	310 000		
28 studies		100 000	100 000		
Title 3 - Operational expenditure	0	9 730 000	29 500 000		
31 Maintenance hardware/software			9 800 000		
32 MWO			6 300 000		
33 Development biometric and new requirements			1 400 000		
34 External assistance			8 000 000		
35 studies/pilot projects			4 000 000		
TOTAL EXPENDITURE	2 283 975	34 437 000	77 108 000	59 380 000	+44,8%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The request of eu-LISA to increase the number of personnel by 10 additional posts is not duly justified by new tasks. In line with the orientations for 2014 the Commission is not supporting this request.

4.1.2. *Financial Resources*

The request of eu-LISA of financial resources for the operational activities detailed below (upgrades and refreshments of the hardware, running costs on the three sites and a reconversion of the C.SIS site in Strasbourg into the technical site of eu-LISA) is supported by the Commission to a large extent. However, doubling the available credits seems to be too ambitious and the Commission rather favours a prudent approach by proposing an increase by almost 45% which seems to be more in line with the absorption capacity of the agency. Similarly, the building project envisaged for 2014 seems ambitious and the Commission would carefully take it into account in 2015 rather than 2014. It has to be noted that the proposed allocation includes funding for Type II European Schools.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

In 2014 eu-LISA will reach its cruising speed in terms of human resources. It is requested to increase its number of staff by 10 to meet revised operational requirements. Therefore the number of proposed staff is higher than the original proposal included in the Legislative Financial Statement accompanying the 2009 Commission proposal (COM(2009) 293 final).

4.2.2. *Salary assumption for calculating salary lines (% applied)*

N/A

4.2.3. *Vacancy rate as of end-2012*

N/A

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

N/A

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

The increase of Staff Expenditure under Title 1 is a consequence of the gradual recruitment of the initially foreseen total of number of 120 personnel. In particular, 74 staff members were recruited in 2012, out of which 49 took up duty by 31 December 2012. The remaining staff members will take up duty progressively in 2013.

4.3.2. *Title 2*

In 2014, the expenditure related to the infrastructure and the running costs on the three Agency sites (i.e. the Agency Headquarter in Tallinn, the technical site in Strasbourg and the backup technical site in St Johann im Pongau) will increase in comparison with year 2013.

The eu-LISA took up its operational responsibilities from 1 December 2012. The main reason for the increased costs under Title 2 is related to the reconstruction of premises in Strasbourg. A study was launched at the end of 2011 to evaluate the possible investment costs for the reconversion of the Strasbourg site (C.SIS) ^[34] into the future technical site of the Agency. Based on the estimated costs included in the said studies it is necessary to make relevant provision to cover those costs.

4.3.3. *Title 3*

The expenditure under Title 3, related to the operations of the IT systems, will increase in 2014 as the eu-LISA, following takeover of responsibility for the operational management of SIS II in 2013, is planning to make necessary renewal of hardware of SIS II systems as well as upgrade of COTS system. Additionally, provision for external assistance for further development of all three systems will be necessary.

34 Currently, the central units of SIS 1 and VIS are operated on C.SIS site and SIS II is being developed there. Moreover certain French national IT systems are based on the site.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Tallinn	Headquarters of the Agency	1500	0	Yes	No	n/a	
Strasbourg					Yes	n/a	

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
		N/A

5.3. Evaluation

An evaluation of the Agency is expected to be submitted to the Budgetary Authority by 1 December 2015 (Article 31 § 1 of the establishing Regulation).

2.2.1.3.9. European Asylum Support Office (EASO)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office	19/05/2010	<p>EASO contributes to the creation of a Common European Asylum System. EASO's purpose is to facilitate, coordinate and strengthen practical cooperation among Member States on the many aspects of asylum, such as: providing practical and operational support to Member States; providing operational support to Member States subject to particular pressure on their asylum systems, including the coordination of asylum support teams made up of national asylum experts; and providing scientific and technical assistance for EU policymaking and legislation in all areas having a direct or indirect impact on asylum.</p> <p>EASO's focal points are the following:</p> <ul style="list-style-type: none"> - Permanent support - Special support - Emergency support - Information and analysis support - Third country support

Seat Valletta (Malta)

Budget line 18 03 02 – European Asylum Support Office (EASO)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	25	24	32	35
Establishment plan posts: AST	13	14	13	14
Total Establishment plan posts	38	38	45	49
Contract Agents	11	10	17	21
Seconded National Experts	12	10	15	14
Total staff	61	58	77	84

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	12 000 000	14 526 000
Other revenue	0	0
Total revenues	12 000 000	14 526 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 044 000	5 044 000		
Title 2	1 956 000	1 956 000		
Title 3	5 000 000	2 000 000		
Total expenditure	12 000 000	9 000 000	14 526 000	14 526 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11								1		1
AD 10		1				2		4		4
AD 9		5		5		6		4		4
AD 8						5		8		8
AD 7		11		9		9		11		9
AD 6				1		2		5		1
AD 5		7		8		7		9		7
AD total		25		24		32		43		35
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4						1		3		2
AST 3		4		7		6		10		6
AST 2		1		1		1		1		1
AST 1		8		6		5		5		5
AST total		13		14		13		19		14
TOTAL		38		38		45		62		49
GRAND TOTAL	38		38		45		62		49	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3	2	6	8
Function Group III	5	6	8	8
Function Group II	0	0	1	3
Function Group I	3	2	2	2
Total	11	10	17	21

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	12	10	15	14

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	10 000 000	12 000 000	15 000 000	14 526 000	+21,1%
of which fresh appropriations	10 000 000	12 000 000	14 861 456	14 388 000	
of which assigned revenues deriving from previous years' surpluses			138 544	138 000	
3 THIRD COUNTRIES CONTRIBUTION (incl, EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	10 000 000	12 000 000	15 000 000	14 526 000	+21,1%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	3 131 639	5 044 000	6 422 604		
11 Salaries & allowances	1 801 467	4 087 542	5 405 000		
- of which establishment plan posts		3 189 213	3 990 000		
- of which external personnel		898 329	1 415 000		
12 Expenditure relating to Staff recruitment	380 626	194 000	240 000		
13 Mission expenses	520 000	473 375	400 000		
14 Socio-medical infrastructure	2 620	69 000	86 250		
15 Training	35 000	70 000	100 000		
16 External Services	386 927	145 083	181 354		
17 Receptions and events	5 000	5 000	10 000		
Title 2 - Infrastructure and operating expenditure	2 100 920	1 956 000	2 550 100		
20 Rental of buildings and associated costs	1 366 877	380 000	625 000		
21 Information and communication technology	265 518	680 000	780 000		
22 Movable property and associated costs	60 000	150 000	150 000		
23 Current administrative expenditure	392 000	656 000	882 600		
24 Postage / Telecommunications	16 525	90 000	112 500		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	2 593 188	5 000 000	6 027 296		
Operational cost	2 593 188	5 000 000	6 027 296		
TOTAL EXPENDITURE	7 825 747	12 000 000	15 000 000	14 526 000	+21,1%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 Staff Expenditure	2 887 402	5 044 000	6 422 604		
11 Salaries & allowances	1 801 467	4 087 542	5 405 000		
- of which establishment plan posts		3 189 213	3 990 000		
- of which external personnel		898 329	1 415 000		
12 Expenditure relating to Staff recruitment	366 958	194 000	240 000		
13 Mission expenses	376 460	473 375	400 000		
14 Socio-medical infrastructure		69 000	86 250		
15 Training	21 011	70 000	100 000		
16 External Services	320 967	145 083	181 354		
17 Receptions and events	539	5 000	10 000		
Title 2 Infrastructure and operating expenditure	724 552	1 956 000	2 550 100		
20 Rental of buildings and associated costs	501 119	380 000	625 000		
21 Information and communication technology	117 898	680 000	780 000		
22 Movable property and associated costs	20 619	150 000	150 000		
23 Current administrative expenditure	68 391	656 000	882 600		
24 Postage / Telecommunications		90 000	112 500		
25 Meeting expenses					
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 Operational expenditure	1 399 553	2 000 000	6 027 296		
Operational cost	1 399 553	2 000 000	6 027 296		
TOTAL EXPENDITURE	5 011 507	9 000 000	15 000 000	14 526 000	+21,1%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 138 544.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission's assessment of the needs in terms of human resources acknowledges the agency needs further staffing to be able to reach its cruising speed. This is why the Commission proposes an increase by 4 posts in 2014.

4.1.2. Financial Resources

While the allocation of human resources is indeed a key priority in order to support the coherent implementation of EU legislation, the capacity to absorb financial resources has proven to be a weaker point for the agency so far. The agency is imposed a penalty for its high cancellation rate of payment appropriations and for the under implementation of commitment appropriations.

However, the Commission recognises that this weak absorption capacity also results from the very fluctuating level of financial needs which are linked to the emergency operations the agency has to carry out. The agency's operational budget is mostly related to operations of direct support performed to Member States in accordance to its mandate, and

therefore it is linked with the problems and crises emerging in a given year, as well as to the absorption capacity of the Member State concerned. Furthermore the transfer of financial responsibility last year is another factor that has affected the agency's capacity to carry out tasks, and therefore a full assessment of the EASO's absorption capacity can be done only on the basis of 2013 budget. In the meantime and based on the performance in 2012, the Commission considers that the proposed budget for 2014 is sufficient to ensure a smooth functioning of CEAS and to respond to new emergencies in the field of asylum.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

The structure of employees of 2014 is envisaged as follows:

- 43 administrators including all the heads of unit and full compliant of staff who are specialist experts in practical cooperation in the field of asylum and includes some promotion. Key operational functions profiles include in particular Specialists in Information, Documentation, Analysis, Operational Support, Training, Quality and IT Experts;
- 19 assistants for support tasks;
- 14 seconded national experts for asylum cooperation. Seconded national experts are recruited with special expertise on operational cooperation on asylum in the different areas EASO operates in;
- 21 contract agents for operational and administrative tasks in following categories: 8 contract agents- FG IV, 8 contract agents FG III, 3 contract agents FG II and 2 contract agents FG I.

TOTAL: 11 AD, 6 AST and 4 CA more than 2013; 1 SNE less than 2013

4.2.2. Salary assumption for calculating salary lines (% applied)

Staff will be recruited progressively in the first half year of 2014.

4.2.3. Vacancy rate as of end-2012

Including external personnel, the vacancy rate as of end of 2012 stood at 4,9%. However, the total number of 38 establishment plan posts were filled.

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

The appropriations put under this title aim at covering the cost for the staff to be recruited and in position during 2014. The increase in appropriations compared to 2013 levels is proportionate to the increase in staff numbers. The appropriations will cover not only salaries but also missions, socio-medical expenditure and training for the staff. The increase of the appropriations for missions, socio-medical expenditure and training for the staff is due to the increase of the number of staff. Socio-medical expenditure will increase with the need for the provision of services by a medical advisor to Agency staff. It should be noted that appropriations for missions may cover the long term missions of Agency staff for operational activities, such as emergency support.

4.3.2. Title 2

Title 2 aims at covering the fixed costs and routine running administrative expenditure such as building rent, information technology equipment and other associated costs for EASO. The increase in appropriations compared to 2013 levels is also related to the increase in staff, but mainly it is driven by the need to invest in a major overhaul of the ICT infrastructure in order to ensure long-term cost-effectiveness. Furthermore, in accordance with the seat and lease agreements a substantial increase in rent and related costs is to be budgeted for.

4.3.3. Title 3

Title 3 covers the operational costs of EASO, related to all asylum support activities of EASO. In 2014 one of the main focus points of EASO is the further consolidation of the implementation of the agreed asylum package (Common European Asylum System), and EASO will continue its support to Member States and their asylum systems. The tasks

of EASO are laid down in the EASO founding regulation, the Stockholm Programme and other EU relevant documents. For 2014 priorities of EASO support activities will focus on emergency and special support for EU Member States who will request for assistance. EASO will increase focus on the Early warning and Preparedness system, EU Country of Origin information and development and expansion of the COI portal, EASO training and Country of Origin Information. One of the activities of EASO in its founding Regulation is its work on Resettlement and the External Dimension. EASO is expected to reach in 2014 a budget level that allows EASO to take up these tasks and deepen its work on Third Country Support. Emergency and Special Support, Early Warning and Preparedness system and External Dimension closely interlink with Permanent support activities and tools (in particular : Training, Quality, COI). EASO's focal points are the following:

- **Permanent support.** In 2014, EASO activities on permanent support consists of: Training sessions, updating of existing and developing of new training modules; Quality support on EU Member States' asylum system; Country of Origin Information; the EASO List of Available Languages; EASO practical cooperation meetings and workshops; and specific programmes, such as EASO's tasks with regard to unaccompanied minors (EU Action Plan on Unaccompanied Minors 2010-2014) and cooperation on trafficking human beings.
- **Special support.** In 2014, EASO activities on special support consists of: tailor-made support to Member States in need; capacity building, and relocation.
- **Emergency support.** According to the EASO founding Regulation, EASO has to be ready to support EU Member States in emergency situations. Most likely EASO will continue its activities in Greece on the basis of the National Action Plan of Greece.
- **Information and analysis support:** sharing and merging information and data, analysis and assessment. In accordance with the mission of EASO, as well as in view of the implementation of the Dublin Regulation, EASO will further enhance during 2014 the Early warning and Preparedness System.
- **Third country support.** In 2014 EASO is expected to reach a budget level that allows EASO to take up the tasks on Third Country Support.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Valetta	Valetta Grand Harbour	3 730,34	578,187,20 Full rent to be paid from 1 July 2014		No		Utilities and Maintenance based on Consumption

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Protocol on the privileges and immunities of the European Communities is applicable to EASO. The Seat Agreement grants privileges regarding the personnel, premises, procurement of goods, services and works and protection/ security of data/ communications (paper and electronic), i.e. tax exemptions (VAT paid in Malta is refunded to EASO under certain conditions), immunities, inviolability, etc	On 24th May 2011, EASO signed a Seat Agreement with the Government of Malta on Privileges and Immunities applicable to EASO personnel and premises, including exemption of taxes for goods (VAT paid in Malta is refunded to EASO staff under certain conditions) and inviolability.	EASO seeks for an agreement with an educational institution in order that staff members could benefit from EU standard schooling, in particular multilingual European-oriented Schooling

5.3. Evaluation

An evaluation of the agency is expected to take place in 2014, as foreseen by the EASO Regulation.

2.2.1.3.10. European Union Agency for Fundamental Rights (FRA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 168/2007	15/02/2007	<ul style="list-style-type: none"> • Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe; • Develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States; • Carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission; • Formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission; • Publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice; • Publish thematic reports based on its analysis, research and surveys; • Develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work.
Council decision implementing Regulation (EC) No 168/2007 as regards the adoption of a Multi-annual Framework for the European Union Agency for Fundamental Rights for 2007-2012 (2008/203/EC)	28/02/2008	The Agency shall in accordance with Article 3 of Regulation (EC) No 168/2007 carry out the tasks defined in Article 4(1) of Regulation (EC) No 168/2007 within the following thematic areas: racism, xenophobia and related intolerance; discrimination based on sex, race or ethnic origin, religion or belief, disability, age or sexual orientation and against persons belonging to minorities and any combination of these grounds (multiple discrimination); compensation of victims; the rights of the child, including the protection of children; asylum, immigration and integration of migrants; visa and border control; participation of the citizens of the Union in the Union's democratic functioning; information society and, in particular, respect for private life and protection of personal data; and access to efficient and independent justice.

Seat Vienna, Austria

Budget line 33 02 06 — European Union Agency for Fundamental Rights (FRA)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	47	43	50	48
Establishment plan posts: AST	28	28	28	27
Total Establishment plan posts	75	71	78	75
Contract Agents	28	25	29	29
Seconded National Experts	9	7	9	9
Total staff	112	103	116	113

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	21 246 000	21 229 000
Other revenue	102 510	0
Total revenues	21 348 510	21 229 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	11 513 000	11 513 000		
Title 2	2 207 000	2 207 000		
Title 3	7 628 510	7 628 510		
Total expenditure	21 348 510	21 348 510	21 229 000	21 229 000

2. Human resources

2.1. Establishment plan posts

Function group and grade	2013				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13		3		1		3		3		3
AD 12		11		1		11		11		9
AD 11				4						
AD 10		15		3		15		15		15
AD 9		11		6		11		11		11
AD 8		1		5		1		1		1
AD 7		5		15		5		5		5
AD 6				6		3		3		3
AD 5				1						
AD total		47		43		50		50		48
AST 11										
AST 10		1				1		1		1
AST 9										
AST 8		3		3		3		3		3
AST 7		9		4		9		9		8
AST 6		4				4		4		4
AST 5		1		8		1		1		1
AST 4		9		11		9		9		9
AST 3				1						
AST 2		1		1		1		1		1
AST 1										
AST total		28		28		28		28		27
TOTAL		75		71		78		78		75
GRAND TOTAL		75		71		78		78		75

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	17	7	18	18
Function Group III	9	13	9	9
Function Group II	2	5	2	2
Function Group I				
Total	28	25	29	29

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	9	7	9	9

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 376 020	21 246 000	22 000 000	21 229 000	
of which fresh appropriations	20 376 020	21 024 400	21 880 000	21 109 000	
of which assigned revenues deriving from previous years' surpluses		221 600	120 000	120 000	
3 THIRD COUNTRIES CONTRIBUTION (incl EFTA and candidate countries)	90 020	102 510			
Of which EFTA					
Of which Candidate Countries	90 020	102 510			
4 OTHER CONTRIBUTIONS	515 766				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	20 981 806	21 348 510	22 000 000	21 229 000	-0,56%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	10 759 221	11 513 000	11 626 000		
11 Salaries & allowances	9 190 633	9 871 000	9 952 000		
- of which establishment plan posts	8 356 632	9 231 000	9 312 000		
- of which external personnel	834 001	640 000	640 000		
12 Expenditure on Staff recruitment and transfer	79 420	52 000	45 000		
13 Missions and duty travel	485 906	400 000	400 000		
14 Legal, medical and training	355 366	363 000	368 000		
15 Mobility, exchanges of civil servants and experts	261 951	365 000	365 000		
16 Social welfare	381 903	420 000	489 000		
17 Entertainment and representation	4 042	5 000	7 000		
19 Reserve for Title 1		37 000			
Title 2 - Infrastructure and operating expenditure	2 287 269	2 207 000	2 333 000		
20 Rental of buildings and associated costs	1 280 466	1 064 000	1 297 000		
21 Data processing	773 461	811 000	689 000		
22 Movable property and associated costs	9 220	37 000	37 000		
23 Current administrative expenditure	101 041	98 000	118 000		
24 Postage / Telecommunications	106 252	153 000	148 000		
25 Meeting expenses	16 829	26 000	26 000		
26 Studies, surveys, consultations		18 000	18 000		
29 Reserve for Title 2					
Title 3 - Operational expenditure	7 845 295	7 628 510	8 041 000		
Operational cost	7 845 295	7 628 510	8 041 000		
TOTAL EXPENDITURE	20 891 786	21 348 510	22 000 000	21 229 000	-0,56%

EXPENDITURE	Payment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	10 569 272	11 513 000	11 626 000		
11 Salaries & allowances	9 149 146	9 871 000	9 952 000		
- of which establishment plan posts	8 356 632	9 231 000	9 312 000		
- of which external personnel	792 514	640 000	640 000		
12 Expenditure on Staff recruitment and transfer	76 562	52 000	45 000		
13 Missions and duty travel	413 916	400 000	400 000		
14 Legal, medical and training	297 221	363 000	368 000		
15 Mobility, exchanges of civil servants and experts	261 951	365 000	365 000		
16 Social welfare	366 666	420 000	489 000		
17 Entertainment and representation	3 811	5 000	7 000		
19 Reserve for Title 1	0	37 000	0		
Title 2 - Infrastructure and operating expenditure	2 088 894	2 207 000	2 333 000		
20 Rental of buildings and associated costs	1 257 205	1 064 000	1 297 000		
21 Data processing	654 869	811 000	689 000		
22 Movable property and associated costs	8 892	37 000	37 000		
23 Current administrative expenditure	60 596	98 000	118 000		
24 Postage / Telecommunications	90 504	153 000	148 000		
25 Meeting expenses	16 829	26 000	26 000		-
26 Studies, surveys, consultations	0	18 000	18 000		-
29 Reserve for Title 2	0	0	0		
Title 3 - Operational expenditure	3 853 255	7 628 510	8 041 000		
Operational cost	3 853 255	7 628 510	8 041 000		
TOTAL EXPENDITURE	16 511 521	21 348 510	22 000 000	21 229 000	-0,56%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 120 445.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission proposes a reduction of staff from the establishment plan from 78 to 75 (consistent with the agency's Multi-annual Staff Policy Plan 2014 – 2016) in line with the commitment to reduce staffing levels in all institutions and bodies by 5% over 5 years.

4.1.2. Financial Resources

As regards financial resources, the Commission does not support the requests from the agency. According to the Commission's assessment the reduction in human resources will allow for savings to be achieved. In addition, some of the costs across the titles seem overestimated. Revising those in combination with a reduction in the number of trainees and business trips, as well as a closer look at the social welfare costs will lead to financial savings without harming the operational results of the agency. In title 3, however, only a few savings could be expected. The funds earmarked in the reserve enable FRA to respond to specific requests of the EU Institutions, as foreseen in the FRA founding Regulation

(article 4 (1) c) and d). Moreover, according to the information available to the Commission 50% of the funds earmarked for the reserve will serve to carry out an evaluation of the projects, which is required following an audit of the Internal Audit Service (IAS).

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

No new posts of Temporary Agent, Contract Agents and Seconded National Experts are requested for 2014.

4.2.2. Salary assumption for calculating salary lines (% applied)

The Agency uses a software application, the ‘Budget Module’, in order to calculate the estimated salary costs. The application takes into consideration the grades, steps and family situation of the individual staff members. 0,5% is the estimated salary increase per year that the Agency takes into consideration.

4.2.3. Vacancy rate as of end-2012

The vacancy rate of the establishment plan at the end of 2012 was 5,3%, corresponding to 4 out of the 75 establishment plan posts. The Agency, by recruiting and filling 4 establishment plan posts during 2013 will thereby reach its “cruising speed” in staffing terms.

The vacancy rate of the Contract Agents at the end of 2012 was 10,7%, corresponding to 3 out of the 28 authorised posts. The Agency, by recruiting and filling 4 posts during 2013 to reach the level of 29 authorised for 2013 will thereby reach its “cruising speed” in staffing terms.

The vacancy rate of the Seconded National Experts at the end of 2012 was 22,2%, corresponding to 2 out of the 9 authorised posts. The Agency, by recruiting and filling 2 authorised posts during 2013 will thereby reach its “cruising speed” in staffing terms.

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

Title I amounts to 11,626,000 EUR which corresponds to a 1% increase compared to 2013. This increase is mainly due to an estimated 100% utilisation of the 2014 establishment plan. In particular, the agency wants to recruit seven TA during 2013 (three new positions and four vacant at the end of 2012), although their salary cost was estimated for only a part of 2013, in 2014 the estimation is for the full year. Similarly, during 2013 the Agency will recruit 4 CAs (one new position and three vacant at the end of 2012). These posts will count for the full year in 2014 although it has been assumed for budgetary purposes that will be recruited in the course of 2013.

In 2013 the Agency will recruit two new Seconded National Experts. For this financial year the Agency estimated their monthly allowances only for a few months, in line with its recruitment plan. For 2014 the Agency estimated their allowances for the full year hence the difference of 139%.

The Agency has an average of 18 interns per semester for all departments. Their selection follows a regular call for applications which is published on the Agency's website together with full instructions. In average 1,000 applications are received for the 18 positions. The link can be found published at <http://fra.europa.eu/en/about-fra/recruitment/internship>.

As from 1 January 2013 the Directive on temporary agency work (2008/104/EC of the European Parliament and the Council, of 19 November 2008) entered into force, in Austria. This involves a significant increase on interim staff costs; consequently, the Agency will disrupt these services as from 31 March 2013. At this moment, the Agency is studying several options to put in place a system that will allow the engagement of external staff for occasional replacement due to temporary or exceptional situations (e.g. sick or maternity leave, exceptional workload, etc.). As soon as a final solution will be found, the Management Board will be informed and the budget will be amended accordingly.

4.3.2. Title 2

The Agency expects to receive on a yearly basis the amount of 244,000 EUR from the Austrian Authorities for the rent subsidy of the given financial year. Since this contribution is based on an exchange of letters and not on a legal basis (e.g. seat agreement), the Agency budgets the full rent cost for each year. The subsidy is only entered in the year's budget once it is cashed. However, it is used in the next financial year. For example the 2013 rent subsidy will be introduced in the 2013 budget in the form of an amending budget and will be carried over for consumption in 2014. Therefore, the 2014 budget, at this stage, foresees the full rent costs.

This is the reason for the difference under Title II where an increase of 6% compared to 2013 is shown. However, the actual difference of Title II will be -5% provided that the 2013 rent subsidy will be cashed.

During the financial year the Agency will undertake studies in relation to the set-up of the off-site back up site at CEDEFOP and the related modifications in the Agency datacentre regarding cooling and back-up power provision.

4.3.3. Title 3

The estimate of expenditure under Title III amounts to 8,041,000 EUR representing 37% of the overall budget for the financial year 2014. The 2014 operational expenditure (Title III) is increased by 5% compared to 2013. The increase is requested to allow the Agency to respond to the EU institutions needs for additional data collection and analysis, specifically in regard, first, to the EU's efforts on Roma integration and, second, to the EU's obligations to monitor implementation of the UN Convention of the Rights of Persons with Disabilities:

(1) In response to the Council Conclusions on an EU framework for national Roma integration strategies up to 2020 (Council conclusions (2011/C 258/04 and General Council conclusions of 23/24 June 2011 in reference to the Commission's Communication of 5 April 2011 on an EU Framework for National Roma Integration Strategies up to 2020 the FRA developed and implements a multi-annual programme that entails several data collection and analysis activities.

More specifically, the Commission requested in its Communication on an EU Framework for National Roma Integration Strategies up to 2020 within the meaning of Article 4(c) of the FRA's founding Regulation that the Agency expands its survey on Roma to all Member States and to run it regularly to measure progress on the ground. In addition, the Commission requested that the Agency works with Member States to develop monitoring methods which can provide a comparative analysis of the situation of Roma across Europe. The Agency implemented relevant activities delivering the required output to the European Commission on time and continues the work requested.

In the Commission Communication of 21 May 2012 on National Roma Integration Strategies: a first step in the implementation of the EU Framework the request for additional data collection and analysis tasks within the meaning of Article 4(c) of the FRA's founding Regulation was reiterated. In order to be able to implement the additional tasks requested by the Commission in 2014, in particular the collection of quantitative statistical data through survey of Roma in selected EU Member States, the Agency needs in total 2,284,000 EUR, as agreed by the Agency's Management Board.

(2) In response to the 29 October 2012 Council decision to adopt the Commission's proposal for an EU Framework implementing Art. 33. 2 of the UN Convention on the Rights of Persons with Disabilities signed by the EU on 30 March 2007, ratified (Council Decision 2010/48/EC) and entered into force for the EU on 22 January 2011.

The Commission's proposal concerns Article 44.2 of the Convention on the obligations of the EU as a regional integration organisation, equivalent to those of a State Party, to the extent of EU competences, and specifically its obligation to "maintain, strengthen, designate or establish" a Framework, including at least one independent mechanism; the role of the framework is to promote, protect and monitor the implementation of the Convention. The following entities were identified to form together the EU framework: the European Parliament's Petitions Committee, the European Ombudsman, the European Commission, the EU Agency for Fundamental Rights (FRA), the European Disability Forum (EDF). The tasks to be performed by the FRA are defined in the Commission's proposal as:

(a) Promotion: The FRA can raise awareness of the Convention in accordance with its Founding Regulation and Multi-annual Framework in particular by addressing disability as part of the thematic area of anti-discrimination, but also through other thematic areas following a cross-cutting approach.

(b) Monitoring: The FRA collects and analyses data. Providing such data in an independent manner will be its main task in EU CRPD Monitoring Framework. In this context and in cooperation with the Commission, the FRA shall also develop indicators and benchmarks to support the monitoring process. In order to be able to implement these additional tasks required by the Agency's participation in the EU CRPD Monitoring Framework in 2014, in particular the collection and analysis of quantitative statistical data, the Agency needs 350,000 EUR in addition to the 650,000 EUR agreed by the Agency's Management Board.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
1040 Vienna	Schwarzenbergplatz 11	5 546	771 531	Based on a letter of intent received from the Austrian authorities, the host country will initially subsidise the rent by 1/3. This subsidy will reach 1/2 of the annual rent as soon as the Agency's staff exceeds 100 members (to be discussed with the Austrian Authorities as from the financial year 2014).	No	N/A	None

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency is recognised as an international organisation. The Agency enjoys VAT exemption. No other privileges are offered to the Agency.	The Director, the Heads of Department, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years. The Agency's staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years. The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items. (Access conditions are under negotiation with the UN).	In the absence of a European School and a European Sector In Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multi-lingual tuition for children of the Agency staff In Vienna.

5.3. Evaluation

In accordance with article 30.3 of FRA's Founding Regulation, the Agency undertook in 2012 an independent external evaluation of its achievements during the first five years of operations on the basis of terms of reference issued by the Management Board in agreement with the Commission.

The main findings of the latest evaluation available are as follows:

EFFECTIVENESS

FRA has clearly fulfilled its mandate in addressing the needs for full respect of fundamental rights in the framework of European Union law. Based on the findings, FRA fulfils to a high extent its mandate to collect record and analyse relevant, objective, reliable and comparable information and data relating to fundamental rights issues in the European Union and its Member States. The quality of FRA's publications is generally considered to be very good by stakeholders. Concerning FRA's role in providing input to the legislative process at the European level, there were several voices in support of an increased role for the agency in providing opinions on future legislation on a more regular basis.

UTILITY

The evaluation findings point towards a clearly favourable assessment in terms of the FRA's ability to effectively help institutions, bodies, offices and agencies of the Union to ensure full respect of fundamental rights in the framework of the Union law. Survey, interviews and case studies strongly suggest that FRA has been successful in meeting the needs of EU level stakeholders. In terms of the extent to which FRA publications on project results have been taken into account by relevant EU actors the evaluation shows a mixed result: while contribution was assessed as high at the EU-level, the results were much less positive at the national and local level.

ADDED VALUE

FRA is considered to be in a unique role as a provider of comparative, EU-wide studies. The agency is acknowledged for concentrating on topics that are not covered by other similar actors, and its position as an independent EU Agency gives their work additional backing.

COORDINATION AND COHERENCE

FRA has established effective procedures for coordination and cooperation which ensure coherence of policies and activities with stakeholders at all levels. The European Commission, the European Parliament and the relevant EU agencies all expressed positive views with regards to collaboration with FRA. The results of the evaluation indicate that FRA is engaging fairly well with non-governmental organisations and institutions of civil society.

EFFICIENCY

The evaluation findings show an overall positive evolution in terms of FRA's internal organisation, operations and working practices towards efficiency since its establishment until present. Overall, the evaluation findings show that FRA has developed into a well-functioning organisation, with adequate management structures, planning procedures and control systems. The adequate functioning of the agency has been confirmed by the Internal Audit Service and Court of Auditors, who express overall trust in the agency's procedures and systems in the audit reports.

The Management Board, in agreement with the Commission, shall determine the timing and scope of subsequent external evaluations, which shall be carried out periodically.

2.2.1.3.11. European Institute for Gender Equality (EIGE)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) 1922/2006 of the Parliament and the Council	20/12/2006	<p>Establishment of the European Institute for Gender Equality</p> <p>The Institute's mission is to become the European competence centre on gender equality issues. The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries.</p>

Seat Vilnius, Lithuania

Budget line 33 02 07 — European Institute for Gender Equality (EIGE)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	23	23	23	23
Establishment plan posts: AST	7	7	7	6
Total Establishment plan posts	30	30	30	29
Contract Agents	9	9	10	10
Seconded National Experts	6	5	6	6
Total staff	45	44	46	45

Include 1 Contract Agent FG III as from 2013 - recruited and financed outside the Institute's budget under the Instrument for Pre-accession Assistance (IPA) programme.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 478 368	7 340 381
Other revenue	100 000	0
Total revenues	7 578 368	7 340 081

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	3 029 000	3 029 000		
Title 2	1 062 800	1 062 800		
Title 3	3 486 568	3 486 568		
Total expenditure	7 578 368	7 578 368	7 340 081	7 340 081

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14										
AD 13		1		1		1		1		1
AD 12										
AD 11		1		1		1		1		1
AD 10		1		1		1		1		1
AD 9		1				1		1		1
AD 8		6		4		6		6		6
AD 7		4		5		4		4		4
AD 6		1		3		1		1		1
AD 5		8		8		8		8		8
AD total		23		23		23		23		23
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		2		2		2		2		2
AST 5		3				3		3		3
AST 4		2		5		2		2		1
AST 3										
AST 2										
AST 1										
AST total		7		7		7		7		6
TOTAL		30		30		30		30		29
GRAND TOTAL		30		30		30		30		29

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	3	2	3	3
Function Group III	4	5	5	5
Function Group II	2	2	2	2
Function Group I				
Total	9	9	10	10

Include 1 Contract Agent FG III as from 2013 - recruited and financed outside the Institute's budget under the Instrument for Pre-accession Assistance (IPA) programme.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	6	5	6	6

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	7 741 800	7 478 368	7 478 368	7 340 081	
of which fresh appropriations	5 900 800	6 322 368	6 914 368	6 776 081	
of which assigned revenues deriving from previous years' surpluses	1 841 000	1 156 000	564 000	564 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS		100 000			
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	7 741 800	7 578 368	7 478 368	7 340 081	-3,14%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	2 758 762	3 029 000	3 021 000		
11 Salaries & allowances	2 524 422	2 763 000	2 780 000		
- of which establishment plan posts	2 012 760	2 197 000	2 200 000		
- of which external personnel	511 662	566 000	580 000		
12 Expenditure relating to Staff recruitment	38 012	19 000	14 000		
13 Mission expenses	34 025	45 000	40 000		
14 Socio-medical infrastructure	26 227	30 000	25 000		
15 Training	55 000	60 000	50 000		
16 External Services	70 000	97 000	100 000		
17 Receptions and events	11 076	15 000	12 000		
Title 2 - Infrastructure and operating expenditure	1 080 716	1 062 800	1 032 000		
20 Rental of buildings and associated costs	513 389	536 800	540 000		
21 Information and communication technology	192 582	165 000	155 000		
22 Movable property and associated costs	106 965	63 000	63 000		
23 Current administrative expenditure	49 662	18 000	14 000		
24 Postage / Telecommunications	45 740	0	0		
25 Meeting expenses	123 477	210 000	210 000		
26 Running costs in connection with operational activities	34 646	20 000	20 000		
27 Information and publishing	14 255	30 000	30 000		
28 studies	0	20 000	0		
Title 3 - Operational expenditure	3 578 377	3 486 568	3 425 368		
Operational cost	3 578 377	3 486 568	3 425 368		
TOTAL EXPENDITURE	7 417 855	7 578 368	7 478 368	7 340 081	-3,14%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	2 698 519	3 029 000	3 021 000		
11 Salaries & allowances	2 511 548	2 763 000	2 780 000		
- of which establishment plan posts	2 012 761	2 197 000	2 200 000		
- of which external personnel	498 787	566 000	580 000		
12 Expenditure relating to Staff recruitment	38 012	19 000	14 000		
13 Mission expenses	30 875	45 000	40 000		
14 Socio-medical infrastructure	19 317	30 000	25 000		
15 Training	47 219	60 000	50 000		
16 External Services	44 267	97 000	100 000		
17 Receptions and events	7 281	15 000	12 000		
Title 2 - Infrastructure and operating expenditure	804 697	1 062 800	1 032 000		
20 Rental of buildings and associated costs	503 849	536 800	540 000		
21 Information and communication technology	77 455	165 000	155 000		
22 Movable property and associated costs	18 821	63 000	63 000		
23 Current administrative expenditure	17 796	18 000	14 000		
24 Postage / Telecommunications	42 864	0	0		
25 Meeting expenses	123 477	210 000	210 000		
26 Running costs in connection with operational activities	12 677	20 000	20 000		
27 Information and publishing	7 758	30 000	30 000		
28 studies	0	20 000	0		
Title 3 - Operational expenditure	1 447 337	3 486 568	3 425 368		
Operational cost	1 447 337	3 486 568	3 425 368		
TOTAL EXPENDITURE	4 950 553	7 578 368	7 478 368	7 340 081	-3,14%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 564 996.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission's assessment of the EIGE's staffing needs for 2014 sets a level of 29 establishment plan posts, i.e. a reduction of 1 temporary agent is foreseen as part of the 5 % staff reduction policy and as a contribution to the redeployment pool.

4.1.2. Financial Resources

The EU contribution for the EIGE in 2014 is set at the level of 2013 with a 2% discount applied to reflect the 5,1% cancellation rate of payment appropriations within the Agency's 2012 budget. The additional measures for budgetary monitoring that are going to be implemented in 2013, together with the new Accountant, lead the Commission believe that the agency is properly staffed and equipped with the necessary means to fulfil its role in 2014.

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

EIGE is not requesting any additional staff in 2014. Moreover one post is proposed to be cut from the Establishment Plan in 2017. This measure falls in line with the across-the-board staff reduction expected of all EU agencies between 2014 and 2016 and it keeps in focus the Institute's responsibility to honour employment contracts that were signed for five years, mostly in 2010 and 2011.

4.2.2. Salary assumption for calculating salary lines (% applied)

For the 2014 projections, the applicable country coefficient corrector of 72,5 was applied. No inflation rate was applied however.

4.2.3. Vacancy rate as of end-2012

EIGE's Establishment plan was at 100% occupancy by the end of 2012 indicating a 0% vacancy rate. For the record, the vacancy rate on 31 December 2011 was 4% of the Establishment Plan (one post from 27) and the equivalent rate on 31/12/2010 was 8% (two posts from 25).

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

Title 1 appropriations relate to Staff expenditure as foreseen in the Establishment Plan for 2014, as well as external personnel, further staff recruitment costs, training, external services, missions of administrative nature. The 2014 Budget request takes into account career development possibilities for staff (in step and also in grade). Staff whose contracts are regulated by the Staff Regulations and CEOS are automatically upgraded in step every two years. It can also be highlighted that the Institute introduced annual staff performance appraisals in 2011 as part of its career development standards. From 2013 EIGE is anticipating reclassifications (promotions), limited to one to three Temporary Agents per annum. Implementing regulations concerning the reclassification of Contract Agents are also envisaged. These rules will reflect a similar but not an identical basis to what exists for Temporary Agents.

4.3.2. Title 2

Title 2 appropriations relate to Building, Equipment and miscellaneous operating expenditures. This is to include rent, IT, movable property, current administrative expenditure and publishing. To be noted that located under this title external meeting expenses are intended mainly to cover the cost of organisation of meetings for Management Board (with relevant interpretation services) and Experts Forum.

4.3.3. Title 3

Appropriations under Title 3 are intended to cover preparation and implementation of the Work Programme 2014, as well as operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the Work Programme to be performed by carrying out studies and collecting of data, statistics, information and good practices of gender equality, organisation of conferences, working groups and meeting with stakeholders, expanding of Resource and Documentation Centre.

A number of the Institute's tasks are defined in Article 3 of the founding Regulation. The tasks of the institute are:

- collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice communicated to it by Member States, Community institutions, research centres, national equality bodies, non-governmental organisations, social partners, relevant third countries and international organisations, and suggest areas for further research;
- develop methods to improve the objectivity, comparability and reliability of data at European level by establishing criteria that will improve the consistency of information and take into account gender issues when collecting data;

- develop, analyse, evaluate and disseminate methodological tools in order to support the integration of gender equality into all Community policies and the resulting national policies and to support gender mainstreaming in all Community institutions and bodies;
- carry out surveys on the situation in Europe as regards gender equality;
- set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming in order to support and encourage research, optimise the use of available resources and foster the exchange and dissemination of information;
- organise ad hoc meetings of experts to support the institute's research work, encourage the exchange of information among researchers and promote the inclusion of a gender perspective in their research;
- in order to raise EU citizens' awareness of gender equality, organise, with relevant stakeholders, conferences, campaigns and meetings at European level, and present the findings and conclusions to the Commission;
- disseminate information regarding positive examples of non-stereotypical roles for women and men in every walk of life, present its findings and initiatives designed to publicise and build on such success stories;
- develop dialogue and cooperation with non-governmental and equal opportunities organisations, universities and experts, research centres, social partners and related bodies actively seeking to achieve equality at national and European level;
- set up documentation resources accessible to the public;
- make information on gender mainstreaming available to public and private organisations; and
- provide information to the Community Institutions on gender equality and gender mainstreaming in the accession and candidate countries.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Vilnius		2 063	422 000	No	NO	NA	In January 2013 EIGE moved to new premises "Europe House", common EC Rep, EP and EIGE

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The government of the Republic of Lithuania validated the Seat Agreement in June 2012.	Protocol on privileges and immunities applicable. Seat Agreement is applicable.	Only privileges foreseen in the Staff Regulation.

5.3. Evaluation

The requirement to perform an evaluation of the Agency is stipulated in its founding Regulation under Article 20. During 2013 the EIGE will prepare and present to the Management Board suggested evaluation questions and format to be agreed upon. Once agreed the EIGE will develop the technical specifications for procurement of external evaluators. The mid-term evaluation is going to be carried out in early 2014, as required by EIGE's founding Regulation.

2.2.1.3.12. European Union's Judicial Cooperation Unit (Eurojust)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
2002/187/JHA (OJ: L 63/1 06/03/2002)	28/02/2002	Eurojust's tasks are coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters.
2003/659/JHA (OJ L 245/44 29/09/2003)	18/06/2003	Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same.
2009/426/JHA (OJ L 138/14, 04/06/2009)	16/12/2008	Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust.

Seat The Hague, The Netherlands

Budget line 33 03 04 — European Union's Judicial Cooperation Unit (Eurojust)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	79	61	81	77
Establishment plan posts: AST	134	127	132	132
Total Establishment plan posts	213	188	213	209
Contract Agents	15	29	15	15
Seconded National Experts	30	18	35	35
Total staff	258	235	263	259

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	32 358 660	32 449 671
Other revenue	0	0
Total revenues	32 358 660	32 449 671

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	17 333 166	17 333 166		
Title 2	7 274 200	7 274 200		
Title 3	7 751 294	7 751 294		
Total expenditure	32 358 660	32 358 660	32 449 671	32 449 671

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1				1		1		1
AD 12		1				1		1		
AD 11										
AD 10		6		3		6		7		6
AD 9		3		7		3		5		5
AD 8		16		9		17		17		15
AD 7		16		8		15		21		21
AD 6		30		25		30		23		23
AD 5		5		8		7		5		5
AD total		79		61		81		81		77
AST 11										
AST 10										
AST 9		1				1		1		1
AST 8				1						
AST 7										
AST 6										
AST 5		2				2		5		5
AST 4		32		12		32		47		47
AST 3		56		59		54		48		48
AST 2		38		24		38		31		31
AST 1		5		31		5				
AST total		134		127		132		132		132
TOTAL		213		188		213		213		209
GRAND TOTAL		213		188		213		213		209

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	8	4	8	8
Function Group III	2	4	2	2
Function Group II	2	15	2	2
Function Group I	3	6	3	3
Total	15	29	15	15

2012 to 2015 are transitional years in Eurojust's Organisational Structure Review (OSR). The number of Contract Agents (CA) actually filled in can only be reduced to the level authorised in the 2012 budget of 15 CA posts when CA contracts come to an end and the OSR migration from CA posts to TA post is in place.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	30	18	35	35

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	32 967 000	32 358 660	34 065 865	32 449 671	
of which fresh appropriations	31 670 000	30 053 660	33 822 865	31 206 671	
of which assigned revenues deriving from previous years' surpluses	1 297 000	2 305 000	1 243 000	1 243 000	
3 THIRD COUNTRIES CONTRIBUTION (incl EFTA and candidate countries)					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	32 967 000	32 358 660	34 065 865	32 449 671	+0,28%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	16 273 055	17 333 166	17 573 765		
11 Salaries & allowances	15 178 611	16 357 349	16 764 325		
- of which establishment plan posts	11 798 054	14 231 722	14 906 744		
- of which external personnel	3 380 557	2 125 627	1 857 581		
12 Expenditure relating to Staff recruitment	196 492	243 034	249 100		
13 Mission expenses	67 161	83 340	83 340		
14 Socio-medical infrastructure	64 194	74 000	92 000		
15 Training	651 652	457 443	250 000		
16 External Services	54 622	59 000	63 000		
17 Receptions and events	60 323	59 000	72 000		
Title 2 - Infrastructure and operating expenditure	8 171 430	7 274 200	8 176 500		
20 Rental of buildings and associated costs	5 510 549	5 023 000	5 166 500		
21 Information and communication technology	2 419 273	1 396 100	1 406 800		
22 Movable property and associated costs	93 048	107 000	260 500		
23 Current administrative expenditure	48 150	74 000	106 000		
24 Postage / Telecommunications	100 410	108 500	129 500		
New Premises Project	0	565 600	1 107 200		
Title 3 - Operational expenditure	7 854 078	7 751 294	8 315 600		
Operational cost	7 854 078	7 751 294	8 315 600		
TOTAL EXPENDITURE	32 298 563	32 358 660	34 065 865	32 449 671	+0,28%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	15 954 575	17 333 166	17 573 765		
11 Salaries & allowances	15 071 493	16 357 349	16 764 325		
- of which establishment plan posts	11 788 248	14 231 722	14 906 744		
- of which external personnel	3 283 244	2 125 627	1 857 581		
12 Expenditure relating to Staff recruitment	185 959	243 034	249 100		
13 Mission expenses	65 086	83 340	83 340		
14 Socio-medical infrastructure	40 214	74 000	92 000		
15 Training	485 085	457 443	250 000		
16 External Services	49 776	59 000	63 000		
17 Receptions and events	56 961	59 000	72 000		
Title 2 - Infrastructure and operating expenditure	7 006 838	7 274 200	8 176 500		
20 Rental of buildings and associated costs	4 834 617	5 023 000	5 166 500		
21 Information and communication technology	1 980 614	1 396 100	1 406 800		
22 Movable property and associated costs	61 378	107 000	260 500		
23 Current administrative expenditure	43 144	74 000	106 000		
24 Postage / Telecommunications	87 085	108 500	129 500		
New Premises Project	0	565 600	1 107 200		
Title 3 - Operational expenditure	6 037 059	7 751 294	8 315 600		
Operational cost	6 037 059	7 751 294	8 315 600		
TOTAL EXPENDITURE	28 998 472	32 358 660	34 065 865	32 449 671	+0,28%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 243 890.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

The Commission does not support the request of the agency. Already with a high vacancy rate in 2012 results were achieved. Given the current economic and political context the Commission also considers the agency needs to explore and put in place other measures for optimising its staffing levels. Hence, the Commission proposes to reduce in 2014 Eurojust's establishment plan by four AD posts.

4.1.2. Financial Resources

While supporting the agency's request for additional funds for the new building project and accepting that another means of funding the Joint Investigation Teams (JITs) is not possible, the Commission's assessment shows there are in all titles possible measures to achieve greater efficiency gains. The increase in title 3 could only partly be supported as some cost saving measures in e.g. interpretation services need to be evaluated further. Consequently, the Commission proposes to largely maintain the level of funding in 2014 as authorised for 2013.

4.2. *Human Resources – Agency request*

4.2.1. *Number of staff requested*

Eurojust's vision is to be the key player and centre of expertise at the judicial level for effective action against organised cross-border crime in the European Union, and to undertake these objectives efficiently in light of the overall economic situation.

Eurojust's operational capabilities were enhanced by the Council Decision on the Strengthening of Eurojust in 2009, and a new legislative proposal on both Article 85 and 86 of the TFEU is expected by mid-2013. This is anticipated to result in significant additional activities for the Agency and the requirement to maintain its 2013 staffing levels.

The following resources argumentation is brought forward to support the Agency's request:

1. Resulting from the new tasks of the Eurojust Decision in 2009 that are in process of being implemented:
 - The Organisational Structure Review (OSR) project conducted in the light of the Eurojust Decision of 2009, established the optimum structure and staffing levels at 2009/2010 levels, required to support the College and the National Desks in their operational work;
 - Implementation of the OSR platform continues in 2013 and this supports a focus on downsizing the number of CA's (19% for 2014) and reducing dependency on contingency staff (e.g. interim staff);
 - Since the acceptance of the OSR conclusions, the organizational capability has not grown at a rate commensurate with the extra workload, e.g. caseload increased by 11%, number of coordination meetings by 33%;
 - Staffing of the Joint Investigation Team (JIT) secretariat needs to become independent of the co-funding programme in view of the enhanced cooperation requirement set by Article 13 of the 2009 Eurojust Decision;
 - Potential staffing implication of the results of the 6th round of mutual evaluation can be absorbed within the current staffing levels.
2. Following the new tasks and new governance structure deriving from the adoption of a new Regulation under Article 85 of the Treaty on the Functioning of the European Union foreseen for 2013:
 - Redeployment, internal mobility, better use of talent and related staffing strategies are considered;
 - Significant efforts are being made to re-engineer processes and streamline workflows; this includes the recruitment of business analysts to support the development of new solutions.
3. Following the new tasks deriving from the adoption of a new Regulation under Article 86 of the Treaty on the Functioning of the European Union:
 - The European Commission has announced a proposal for the establishment of a European Public Prosecutor's Office (EPPO), to be established "from Eurojust".
 - Until the final plans for this are known, the agency explains it cannot and has not included proposals for the requisite staffing for this significant new task. These will be submitted once the parameters have been established.
 - In the meantime, bearing in mind the requirement to implement the recommendations as laid down in the Roadmap on the Follow-up to the Common Approach on EU decentralised agencies, every effort will be made to rationalise in order to reach optimum efficiency.

Any additional workload such as the New Premises project and the internal activities initiated by the Task Force on the Future of Eurojust are absorbed in the current staffing levels, representing a significant efficiency gain. From 2014 onwards, the total staffing level (TA's, CA's and SNE's) of the Agency will show a downward trend while the case work will continue to increase, Croatia will join the EU, and further implementing of new tasks originating from the current Eurojust Decision will progress further.

With the cut imposed on the staffing levels of the Agency over the next five years, the Agency would effectively be reduced to close to the pre-OSR staffing levels. Such a reduction would seriously impact both the capacity to absorb workload increases and the Agency's ability to restructure in line with the required governance and administrative structure. The OSR was a zero-based analysis of the Agency's staffing needs at 2009/2010 levels as such the Agency has demonstrated a significant efficiency gain in absorbing additional workload in the interim.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

100%.

4.2.3. *Vacancy rate as of end-2012*

12%.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

n/a

4.3. *Financial Resources – Agency request*

4.3.1. *Title 1*

The number of members of the National Desks (prosecutors, judges or police officers of equivalent competence at Eurojust) is anticipated to be 65 in 2014. There is an accommodation cost to the Eurojust Budget for this particular group of personnel. They will be assisted by 35 Seconded National Experts. The cost of the Eurojust Budget is related to subsistence costs. The expected number of administrative staff in Eurojust is 239 (213 authorised Temporary Agent positions and an estimate of 26 Contract Agents) based on the Establishment Plan 2012 (EP) which includes the CA to TA conversions as foreseen by the OSR and which Eurojust received in the Establishment Plan 2012.

The agency request is for title 1 to increase by a net EUR 240 600 compared to 2013 which is the result of the mandated increases including:

- OSR implementation of staffing including upgrades and conversions of CA's
- Increase in salary cost where staff joined during 2013, i.e. occupancy 2014 is first hit of full year cost
- Increments in general salary and entitlements, outcome depending, inter alia, on cases going through the courts
- Salary changes because of internal re-grading or promotion to new grade, including OSR inspired migration from CA to TA as agreed in detail with DG HR and projected potential outcomes of the annual reclassification exercise

These above increases amounted to in excess of EUR 700 000. This is offset by decreasing the number of CA's as possible under existing contractual obligations from 32 to 26. In addition the budget provision for training is reduced.

4.3.2. *Title 2*

The majority of the requested increase concerns the New Eurojust Building. Its expenditures under title 2 are calculated as follows: 650K for the provision for the consultancy (This consultancy is limited in duration and specific to the project), 100K for the Use of the Building; 150K Design costs; 187,2K for ICT and 20K for the project Bureau. The agency notes that the ICT costs consist of 50K for period 2013-2014 and 137,2K for period 2014-2015. Planning and the exact timing of building and construction related costs is difficult in this early stage of the project, due to decisions that can only be taken once other decisions have been taken and the related activities have been finalized.

Apart from the New Premises project, the requests for increases are limited mostly to capital replacement plan for hardware and furniture and office refurbishments that were deferred in order to accommodate the penalty imposed for the budget of 2013. Other increases include the inflation adjustments and labour cost increases that are unavoidable for, inter alia, utilities and outsourced services such as cleaning and security. Some increases on this title have been offset by the reduced ground rent rates arising due to the suppressed housing and rental market in the Netherlands.

4.3.3. *Title 3*

The majority of the increase in title 3 concerns the Joint Investigation Teams (JITs.)

Joint Investigation Team Funding:

The Council Conclusion (Doc. 10360/12) on the tenth Eurojust Annual Report (calendar year 2011) highlights that Eurojust has become a reference point in setting up and implementing JITs. In this context, it should also be noted that the Council encourages the Commission "to examine how to maintain at Eurojust level capacities to support financially JITs activities after the expiry of the current co-funding programme".

The level of funds in the 2013 budget reflects the discontinuation of EU grant funding in the last quarter. For 2014, there is request for an increase of EUR 318 100 presented. As this is an item not funded under the core budget, it is proposed that this is also considered separately as part of the ring fenced budget and not part of the core of regular budget to which a nominal freeze is required. The foreseen increase amounts to EUR 246 200 which concerns the core operations of Eurojust vis-à-vis the mission of supporting and strengthening co-ordination and co-operation in the fight against serious cross-border crimes.

Organizing meetings and seminars is an essential way for Eurojust to reach out to its stakeholders and practitioners. For this purpose the College of Eurojust planned two tactical meetings (approx. EUR 35,000 per meeting) and reserved EUR 95,000 for marketing activities during 2014. Depending on the caseload developments the subjects of the tactical meetings will be further defined during 2013. Marketing activities for 2014 will also be determined later based on latest developments during 2013, for example the establishment of the National Desk of Croatia and marketing initiatives due to the implementation of a new Eurojust Regulation. A portion of these increases is focused on enhancing the Case Management System (CMS), particularly the user interface and the functionality, in accordance with the ICT Strategy.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
The Hague	ARC	8 694	1,811,655	No	No	n/a	208 Euros per square meter. This does not only cover rent per square metre but also service costs (including user installations) and management fees to be paid to the RGD and the owner.
The Hague	HV1	6 104	949,093	No	No	n/a	155 Euros per square meter. This does not only cover rent per square metre but also service costs (including user installations) and management fees to be paid to the RGD and the owner.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Within the scope of its official activities, Eurojust shall be exempt from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer tax, insurance tax, energy tax, tax on water mains, and any other taxes or duties of a substantially similar character as the taxes provided for this paragraph, imposed by the Kingdom of the Netherlands subsequent to date of signature of this Agreement.	Fiscal privileges for personnel of international organisations in the Netherlands apply to the staff of Eurojust. Different regimes apply to the Administrative Director and Heads of Units/Services and to the rest of the staff. The Administrative Director of Eurojust, Heads of Units/Services and their family members receive AO status and the rest of the staff BO status. AO status has more privileges than the staff (two cars tax free (without road taxes and/or tax free purchased) registered on their name (at the same time, no time-limit of ten years from the date of taking up duty with an international organisation in the Netherlands for the two cars, VAT/excise reimbursement, tax-free liquor, cigarettes, luxury goods and cosmetics). Staff is entitled to have one car tax free (without road taxes and/or tax free purchased) registered on their name during the first ten years from the date of taking up employment with the international organisation in the Netherlands.	Education allowance, payment of school fees according to the Staff Regulations. Daycare is not provided, private matter of staff members
The Host State authorities shall exercise, as far as it is within their competence, and to the extent requested by the Administrative Director on behalf of the College, the respective powers to ensure that the Headquarters shall be supplied, on fair conditions and on equitable terms, with the necessary services including, among others, electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.	Staff is entitled to import durable goods only at the time of their first installation, being defined as the first year after taking up employment with the international organisation in the Netherlands.	

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Government shall permit Eurojust to communicate freely and without a need for special permission, for all official purposes, and shall protect the right of Eurojust to do so. Eurojust and its National Members shall have the right to use codes and to dispatch and receive official correspondence and other official communications by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.	Staff is entitled to purchase cars VAT free (1 car at the same time during the first ten years after taking up employment with the international organisation in the Netherlands).	

5.3. Evaluation

The first evaluation of the agency is expected, as required under Article 41(a) of the New Eurojust Decision, to be commissioned by 4 June 2014 and submitted to the Budgetary Authority by the end of 2014.

2.2.1.4. Decentralised agencies of heading 4 – Global Europe

2.2.1.4.1. European Training Foundation (ETF)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No. 1360/90	07/05/1990	Provide assistance in the definition of training needs and priorities Act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled On the basis of (a) and (b) above: examine the scope for joint ventures of training assistance; fund the design and the preparation of such projects; implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes; for activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis; in collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries; and disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act.
Council Regulation (EC) No. 2063/90	27/07/1994	Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act.
Council Regulation (EC) No. 1572/98	17/07/1998	Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act.
Council Regulation (EC) No. 2666/2000	05/12/2000	Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia,
Council Regulation (EC) No. 1648/2003	18/06/2003	Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF.
Council Regulation (EC) No. 1339/2008	16/12/2008	Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation. Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development. The ETF's functions under the recast regulation are to: provide information, policy analyses and advice on human capital development issues in partner countries; promote knowledge and analysis of skills needs in national and local labour markets; support relevant stakeholders in partner countries in building capacity in human capital development; facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; support the delivery of EU assistance to partner countries in the field of human capital development; disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; and contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner countries.

Seat Torino, Italy

Budget line 15 02 12 — European Training Foundation (ETF)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	61	60	62	61
Establishment plan posts: AST	35	33	34	33
Total Establishment plan posts	96	93	96	94
Contract Agents	36	35	35	38
Seconded National Experts	2	0,5	2	0
Total staff	134	128,5	133	131

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 143 500	20 143 500
Other revenue		
Total revenues	20 143 500	20 143 500

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	13 585 500	13 585 500		
Title 2	1 496 000	1 496 000		
Title 3	5 062 000	5 062 000		
Total expenditure	20 143 500	20 143 500	20 143 500	20 143 500

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		4				4		4		4
AD 12		8		7		10		10		10
AD 11		10		11		8		8		8
AD 10		3		1		3		4		4
AD 9		11		12		12		12		12
AD 8		9		5		6		8		8
AD 7		12		20		15		14		14
AD 6						3				
AD 5		3		3		0				
AD total		61		60		62		61		61
AST 11										
AST 10		5		1		7		3		3
AST 9		4		5		3		8		8
AST 8		5		5		5		6		6
AST 7		6		6		7		4		4
AST 6		2		3		1		4		4
AST 5		4		3		6		5		5
AST 4		3		3		1		2		2
AST 3		4		6		4		1		1
AST 2		2		1						
AST 1										
AST total		35		33		34		33		33
TOTAL		96		93		96		94		94
GRAND TOTAL		96		93		96		94		94

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	5	4	4	5
Function Group III	20	19	21	23
Function Group II	11	12	10	10
Function Group I				
Total	36	35	35	38

(*) Estimate on the basis of average costs

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	2	0	2	0

(*) Estimate on the basis of average costs

Local agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	2	2	2	2

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	20 144 530	20 143 500	20 143 500	20 143 500	
of which fresh appropriations	20 144 530	20 026 500	20 018 500	20 018 500	
of which assigned revenues deriving from previous years' surpluses		117 0000	125 000	125 000	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)					
Of which EFTA					
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	2 805	*	*	*	
5 ADMINISTRATIVE OPERATIONS	26 149				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL REVENUES	20 173 485	20 143 500	20 143 500	20 143 500	0,0%

* In 2013 ETF expects to receive additional funding as follows:

- The ETF plans to implement the project "Governance for employability in the Mediterranean region" - the GEMM project - on behalf of the European Commission. An additional budget allocation of EUR 2 million is expected to be granted to the ETF by DG DEVCO during 2013 as a consequence of the joint DG EAC and DG DEVCO proposal from October 2011. The project duration is estimated to cover approximately three years.
- The ETF plans to implement the project "Supporting the development of comprehensive HRD strategies in the Enlargement countries" – the FRAME project - on behalf of the European Commission. An additional budget allocation of EUR 1,4 million is expected to be delegated to the ETF by DG ELARG during 2013 with project duration of two years.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	13 009 750	13 585 500	13 661 000		
11 Salaries & allowances	12 545 963	13 165 800	13 294 000		
- of which establishment plan posts	10 865 869	11 117 800	11 012 291		
- of which external personnel	1 680 094	2 048 000	2 281 709		
12 Expenditure relating to Staff recruitment	40 730	25 000	25 000		
13 Mission expenses	150 899	114 000	115 000		
14 Socio-medical infrastructure	33 602	30 100	35 000		
15 Seconded national experts	189 066	167 000	180 000		
16 External Services	39 345	71 600	0		
17 Receptions and events	10 145	12 000	12 000		
Title 2 - Infrastructure and operating expenditure	1 596 090	1 496 000	1 500 000		
20 Rental of buildings and associated costs	536 344	529 296	530 000		
21 Information and communication technology	821 729	641 154	640 000		
22 Movable property and associated costs	37 621	105 600	110 000		
23 Current administrative expenditure	87 691	81 95	82 000		
24 Postage / Telecommunications	25 100	38 000	38 000		
25 Meeting expenses	87 604	100 000	100 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	5 513 031	5 062 000	4 982 500		
30 Operational expenses (communication)	1 019 063	1 014 000	1 010 000		
31 Priority actions : Work programme activities	3 619 992	3 173 000	3 172 500		
32 Operational Missions	873 976	875 000	800 000		
TOTAL EXPENDITURE	20 126 601	20 143 50	20 143 500	20 143 500	0,0%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/ 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	13 009 750	13 585 500	13 661 000		
11 Salaries & allowances	12 545 963	13 165 800	13 294 000		
- of which establishment plan posts	10 865 869	11 117 800	11 012 291		
- of which external personnel	1 680 094	2 048 000	2 281 709		
12 Expenditure relating to Staff recruitment	40 730	25 000	25 000		
13 Mission expenses	150 899	114 000	115 000		
14 Socio-medical infrastructure	33 602	30 100	35 000		
15 Seconded national experts	189 066	167 000	180 000		
16 External Services	39 345	71 600	0		
17 Receptions and events	10 145	12 000	12 000		
Title 2 - Infrastructure and operating expenditure	1 596 090	1 496 000	1 500 000		
20 Rental of buildings and associated costs	536 344	529 296	530 000		
21 Information and communication technology	821 729	641 154	640 000		
22 Movable property and associated costs	37 621	105 600	110 000		
23 Current administrative expenditure	87 691	81 95	82 000		
24 Postage / Telecommunications	25 100	38 000	38 000		
25 Meeting expenses	87 604	100 000	100 000		
26 Running costs in connection with operational activities					
27 Information and publishing					
28 studies					
Title 3 - Operational expenditure	5 518 781	5 062 000	4 982 500		
30 Operational expenses (communication)	950 248	1 014 000	1 010 000		
31 Priority actions : Work programme activities	3 717 888	3 173 000	3 172 500		
32 Operational Missions	850 645	875 000	800 000		
TOTAL EXPENDITURE	20 124 622	20 143 500	20 143 500	20 143 500	0,0%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 125 369.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

In 2014, the ETF will start implementing the objectives foreseen in the Mid Term Perspective (MTP) for the 2014-2017 period, which will be developed within the framework of the ETF 2014-20 Strategy. The Commission has already encouraged the ETF to redeploy staff, which has resulted in the efficiency improvements the ETF has already implemented since 2008 following the phasing out of Tempus technical assistance and the adoption of its revised mandate, as well as the resulting redeployment of staff from administration to core-business activities and iii) the increase in the number of experts relative to other staff.

ETF's internal efficiency is largely dependent on the number of experts it can deploy relative to support staff. It is only in exceptional cases that non-expert staff have, or are able to acquire, the knowledge and competences required to carrying out the tasks of human capital development policy analysis, development and advice at international level. The ETF has proposed a reduction of two posts in its establishment plan (1 AD and 1 AST). Therefore the Commission approves the ETF's proposal and efforts to identify possible cuts in its human resources in compliance with the objective of a 2 % cut in the establishment plan.

4.1.2. Financial Resources

The nominal freeze on agencies budgets limits the budget of the ETF to EUR 20 143 500. The ETF will reduce its establishment plan to 94 temporary agents (-2% from 2013).

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

There will be no significant changes in the mission or mandate of the ETF as regards its objectives, partner countries, functions or themes.

ETF's activities in the partner countries are determined to a large extent by the policy orientations and priorities of the European Union for the countries in question, as well the political, economic and social conditions prevailing in them. In addition to the objectives set in ETF's multiannual work programme (Medium Term Perspective) for 2014, ETF has resumed activities in Libya, this country being in a post conflict situation requiring a significant commitment of resources. Following the Arab spring, for which issues of employment and employability of young people were an important trigger, there is a significantly increased demand for ETF services in the Southern and Eastern Mediterranean, in particular Morocco and Egypt.

In the context of the follow up to the ENP Joint Communication "A new response to a changing Neighbourhood" in the fields of Education Culture and Youth, the ETF will receive an additional EUR 2 million of project funding over the 2013-2015 period from DG DEVCO to enhance the impact of its activities in this area by implementing the project "Governance for Employability in the Mediterranean (GEMM)". ETF will also receive additional funding of EUR 1,4 million over the 2013-2014 period from DG ELARG to implement a large scale project "Supporting the development of comprehensive HRD strategies in the Enlargement countries" to provide input to the country strategies in the area of human resources development. Both projects will be managed separately from the ETF's subsidy, under specific budget titles. Support staff required for the implementation of the projects will be paid from the respective project budgets. However they will use existing facilities and services and benefit from ETF expertise input. With the agreement of the relevant Commission stakeholders, the ETF contribution to the two projects will be included among the outputs in the ETF Work Programme 2014.

At the same time, ETF has been asked to align itself with the Commission in reducing staffing by 5% from 2013 as outlined in the Commission Communication on the Multiannual Financial Framework (MFF). ETF views this as an opportunity to make ETF a more efficient organisation and intends to take a strategic approach in further reducing the number of administrative and support staff relative to core-business experts.

Following the phase-out of the Tempus Technical Assistance and the adoption of a revised mandate in 2008, ETF has made considerable efforts to improve its internal efficiency, redeploy resources from administrative to core business activities and increase the proportion of experts to other staff categories. By optimising its processes and procedures, improving ICT support tools and increased outsourcing of services, ETF has managed to reduce its central administration by 27% from 38,5 staff at end-2008 to 28 at end 2012 and increase Operations by 14% from 64 to 73 staff over the same period, as well as strengthening the corporate management level with the appointment of a Deputy Director and the reinforcement of its legal advice and stakeholder relations functions. The table below shows trends in ETF staffing per area of activity.

	2012		2011		2010		2009		2008	
Directorate	9	7%	6	5%	3	2%	2,5	2%	2,5	2%
Planning, Monitoring and Evaluation	9	7%	10	8%	13	10%	9	7%	8	6%
Communications	11	8%	11	9%	11	9%	9	7%	11	9%
Operations	73	56%	70	56%	70	55%	66	54%	64	52%
Administration	28	22%	28	22%	31	24%	36	29%	38,5	31%
Total	130	100%	125	100%	128	100%	122,5	100%	124	100%

ETF considers it a matter of vital importance for the Agency to maintain, and if possible to increase, the number of core business experts it deploys. At the same time, it cannot afford to cut back on core management and professional staff essential to the functioning of the Agency. Experts, managers and professionals comprise 92% of ETF's establishment plan, up from 85% at end 2008.

Overview of the profile of establishment plan posts across the same period:

	2012	2008
Core business expertise	46	36
Management positions	12	10
Professionals	30	36
Administrative support	8	14
Total	96	96

While ETF is committed to absorbing a 5% reduction with establishment plan staffing over the 2013-2017 period, any additional reduction of its establishment plan could compromise the efficiency and effectiveness of the organisation and could imply terminating contracts if voluntary departure of non-core administrative and support staff occupying temporary agent posts will not occur. ETF already took action in this respect, one temporary agent (communications officer) leaving in 2012 will be replaced by a contract agent, allowing ETF to reduce its establishment plan by one in 2014. As the only other vacant posts in the ETF are expert positions at AD level, the reduction of the second post in the Establishment Plan in 2014 will result in having one expert less in ETF resources.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

The budget is intended to cover the expected fulfilment of the Establishment Plan from the start of 2014. The detailed salary budget assumptions are as follows:

- Temporary agents: Assumption that 94 posts are occupied from January 2014. It is estimated that approximately 3 FTE will not need to be paid owing to part time, parental and other unpaid leave and staff turnover.
- Promotion cost accounted for, affecting 10% of staff (increase in grade); 4% increase in salary cost (salary adaptation in 2013/14) accounted for; the following are not accounted for: salary increase linked to automatic change in step, annual leave not taken to be paid at the end of contracts
- Contract agents: assumption of 38 FTE; Local agents: no new. Current number (2) remains for the whole year; Seconded National Experts: 0; Trainees: 2 in 2014.

4.2.3. *Vacancy rate as of end-2012*

Of the 3 vacant posts in the Establishment plan at the end of 2012, 2 shall be filled in by mid-2013.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

Budgetary estimations for staffing are considering a 95% fulfilment of the establishment plan, taking into account regular turnover.

4.3. **Financial Resources – Agency request**

4.3.1. *Title 1*

In 2014, the ETF will start implementing the objectives foreseen in the Mid Term Perspective (MTP) for the 2014-17 period, which will be developed within the framework of the ETF 2014-20 Strategy. The ETF has four strategic goals for the period 2014-20: to support partner country intelligence and capacities in all stages of the VET policy cycle bringing innovation and sustainable development; to support the external dimension of EU policies in human capital development; to strengthen co-operation and communication with key stakeholders playing an active role in human capital development; and to be a reliable and efficient EU organisation, maximising results and increasing efficiency.

These goals will be achieved over the next seven years by implementing multi-annual strategic objectives. These objectives will be further detailed in four-year multi-annual work programmes as well as annual work programmes, which will detail the specific projects, the distribution of resources and the results expected in the form of corporate outputs and outcomes and the indicators to measure the level of achievement³⁵. The ETF defines different levels in the value chain of results: outputs (products or deliverables stemming from projects), outcomes (the effects of the outputs on their intended beneficiaries, assessed either by use or satisfaction level) and impact (the long-term effect, measured in terms of the contribution to human capital development).

³⁵ The ETF will use indicators and targets used in EU policy processes or agreed with other International organisations and donors as much as possible.

This provisional draft estimate is based on the following:

- The amount for 2014 is estimated assuming a stability in the ETF's budget in real terms compared to 2013, under normal circumstances adjusted with an inflation rate of 2%;
- The nominal freeze imposed in ETF's budget limits the resources to EUR 20 143 500, representing a 2% decrease in real terms, in the context of a marginal increase of human resources cost, impacting mainly on the operational activities. This amount is necessary to allow the ETF to accomplish its sixth full year of activity within the broader human capital development mandate and more challenging functions foreseen in the recast regulation.

In terms of human resources, all regulatory agencies at cruising speed will have to reduce their establishment staff by 5% over the next five years. The ETF intends to reduce its staffing by 5% in the period to 2017 by not replacing non-core administrative and support staff leaving the agency during this period, regardless of contract status. Therefore for 2014 the ETF is targeting a maximum of 94 Temporary Agents posts in the Establishment Plan, compared to 96 in 2013 and 2012, representing a 2,1% reduction over 2012-2014. Up to four ancillary staff (CA) could be financed from earmarked revenue corresponding to the new activities undertaken by the ETF (the GEMM and FRAME projects not included in table 2.2).

4.3.2. *Title 2*

In Title 2, ETF aims at maintaining the subsistence level (EUR 1,5 million in 2014 following EUR 1,49 million in 2012 and 2013). This would allow ETF to perform the upgrades that have been postponed over years (training and meeting rooms, computer equipment, building restructuring, office space, central IT infrastructure). The current working assumption is that ETF will not have to change premises in 2014, but the situation is highly uncertain.

4.3.3. *Title 3*

The ETF will focus its operational resources above all on achieving its expected mission and fulfilling its requirements in the countries in the Pre-accession and Neighbourhood regions (South and East), as well as in Central Asia. In particular, the ETF plans to increase its investment in supporting evidence-informed policy making in the partner countries and to support the external dimension of EU policies in human capital development, as agreed in the ETF mid-term perspective for the period 2014-17.

As regards direct support to operational activities under Title 3, Chapter 31, the ETF will follow the trend that will be outlined in the multi-annual work programme 2014-17. This means an investment of some 30% for the Enlargement region (candidate countries and potential candidates), a slight increase in Neighbourhood regions (20% Neighbourhood-South and 18% for Neighbourhood-East, totalling 38% compared to 32,5% in the previous mid-term perspective), and 12% for activities in Central Asia. As in previous years, 16% of Chapter 31 will be invested in Thematic Expertise Development and 6% in evidence-based policy making.

The ETF will also continue to develop and deploy its performance-based management framework through evaluation, monitoring, audit and process development to the level of 10% of Chapter 31 as established above. The framework will be functional both for accountability and continuous efficiency improvement purposes. As a centre of expertise, the ETF's most valuable asset is its staff. So capacity development will be addressed through a consolidation of the human resources strategy, reinforced in 2011-13. The ETF will also develop partnership and communication strategies with different stakeholders and partners, as defined in the ETF Framework for action on stakeholder cooperation and its communication strategy for the 2014-20 period. The ETF will continue to develop a comprehensive and structured risk management process to support management decision making, including proportional and cost effective choices, and provide relevant information for establishing appropriate and proportionate risk control measure.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Torino	Villa Gualino	6 000	1		No		The building is provided by the host government for a symbolic rent of EUR 1 for the next 30 years. The costs of the renovation were provided partly by the host government and by the European Union. The ETF contributed EUR 5 000 000 to its original restructuring.
Bruxelles	J-70	23	6 149	No	No		Liaison office in Commission premises

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>* Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building</p> <p>* General support is provided by Italy against unauthorised access or other forms of disturbances to the premises</p> <p>*ETF is authorised to install and operate communications systems.</p> <p>* ETF official communication is not subject to any restrictions or to confidentiality breaches.</p> <p>*The Protocol of privileges and immunities is applicable to ETF.</p> <p>* ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p> <p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/ fire.</p> <p>* ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p>	<p>* Staff immune from legal proceedings for acts performed in the exercise of their official duties.</p> <p>* Staff exempted from taxes on salaries and emoluments paid by ETF.</p> <p>* Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners.</p> <p>* For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions.</p> <p>* Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises.</p> <p>* Staff may, duty-free and without prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series.</p> <p>* Staff may export, in the year following the date of cessation of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles.</p> <p>* The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy.</p>	<p>No provision in Seat agreement</p>

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>* ETF is exempt from the payment of VAT for all purchases of goods or services or for imports above 259 Euros.</p> <p>* ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>* Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>* ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>* ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents</p>	<p>* Staff, who is not permanently resident in Italy at the time of their appointment, may purchase a motor vehicle free from taxes and charges for the period of their residence in Italy.</p> <p>* Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities.</p>	

5.3. Evaluation

An evaluation of the agency was concluded and submitted to DG EAC on 8 February 2012. The European Commission produced a report to the European Parliament, the Council and The European Economic and Social Committee based on the Evaluation (Brussels, 15.10.2012, COM(2012) 588 final).

The main findings and recommendations of the evaluation are as follows:

“Overall, the ETF performs very well given its wide mandate and limited resources. There has been significant effort over the last five years to improve ETF governance and operations, and this is already becoming evident in its actions and results. Despite its vast mandate, large geographical area and modest budget, ETF actions were relevant thematically and procedurally to beneficiaries and the ETF was flexible in addressing their needs. Regional activities were highly valued and should continue to be developed. ETF actions were coherent internally and with broader EU objectives. The ETF was effective in providing and disseminating information and building capacity, but proved most effective in networking and knowledge transfer. However, a clear hierarchy between strategic and operational ETF objectives was not explicitly developed in mid-term perspectives and annual work plans, and performance measurement of ETF lacked result-level indicators.

(http://ec.europa.eu/dgs/education_culture/evalreports/index_en.htm#etf12)

Recommendations:

1. Information, communication and networking: The ETF should be proactive in clarifying further its role, goals and ways in providing support to its partner country beneficiaries. New approaches developed by the ETF such as regional and thematic networks should be strengthened and expanded to other areas. This will help to harness the potential of both ETF to beneficiary and beneficiary to beneficiary communication and policy learning. In addition, these networks should include short-term feedback mechanisms to improve further day-to-day contact between the ETF and stakeholders.
2. Clear hierarchy of objectives and performance measurement: Annual work programmes and country plans should demonstrate a clearer link between actions, operational and strategic objectives of the ETF. ETF performance indicators should measure not only immediate outputs, but results as well. This would help to identify more accurately ETF progress towards the achievement of its objectives.
3. Sufficient resources and long-term engagement by the ETF at the partner country level are absolutely essential in ensuring impact and added value of ETF actions. Broadly, this means that the ETF should maintain presence in all partner countries – and be given adequate resources to do so – even if this does not yield quick results or impacts. The EU should consider increasing ETF budget allocation to support EU priority partner countries when specific opportunities for increased impact are presented (such as democratic reforms and transition in the Southern and Eastern Mediterranean).”

A new evaluation of the agency is expected to be carried out by 2016 (article 24 of the ETF Regulation).

2.2.1.5. Decentralised agencies of heading 5 - Administration

2.2.1.5.1. Translation Centre for the Bodies of the European Union (CdT)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No. 2965/94	28/11/1994	To meet the translation needs of the other decentralised Community Agencies.
Council Regulation (EC) No. 2610/95	30/10/1995	The active participation of the Centre in interinstitutional cooperation.
Council Regulation (EC) No. 1645/2003	18/06/2003	Rational response to the translation needs of a large number of European agencies and offices.

Seat Luxembourg

Budget line 31 01 10 – Translation Centre for the Bodies of the European Union

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	138	132	138	137
Establishment plan posts: AST	77	67	68	66
Total Establishment plan posts	215	199	206	203
Contract Agents	22	17	22	22
Seconded National Experts	n/a	n/a	n/a	n/a
Total staff	237	216	228	225

Note that for 2012, this table includes 1 AD 5 post for which the offer letter was sent in 2012 but where the post is actually filled in 2013. This post is included in the table under heading 2.1., in accordance with the instructions for that table.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution		
Other revenue	44 484 400	46 255 450
Surplus carried over from the previous financial year and transfers from reserves from previous years	136 000	2 090 850
Total revenues	44 620 400	48 346 300

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	24 910 500	24 910 500	25 450 000	25 450 000
Title 2	5 284 600	5 284 600	5 861 600	5 861 600
Title 3	13 665 000	13 665 000	15 947 200	15 947 200
Title 10	760 300	760 300	1 087 500	1 087 500
Total expenditure	44 620 400	44 620 400	48 346 300	48 346 300

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14	1		1	1	1		1		1	
AD 13										
AD 12	8	5	5	3	8	3	10	4	10	4
AD 11	10	9	10	8	9	9	9	8	9	8
AD 10	8	5	8	6	10	5	8	6	8	6
AD 9	3	10	2	6	2	10	3	11	3	11
AD 8	3	9	4	8	5	8	8	8	8	8
AD 7	9	22	8	20	7	23	2	24	2	24
AD 6	4	16	1	11	5	16	5	18	5	18
AD 5	1	14	3	27		16		12		11
AD total	47	91	42	90	47	91	46	92	46	91
AST 11										
AST 10										
AST 9		1		1		1	1	1	1	1
AST 8	5		5		5		4		4	
AST 7	2	3	1	3	2	3	2	3	2	3
AST 6	3	2	3	2	2	2	2	2	2	2
AST 5	1	6	1	4	2	9	2	12	2	12
AST 4	5	13	4	11	3	12	5	13	5	13
AST 3	2	21	3	21	3	17		14		14
AST 2		7		4		6		7		5
AST 1		6		4		1				
AST total	18	59	17	50	17	51	16	52	16	50
TOTAL	65	150	59	140	64	142	62	144	62	141
GRAND TOTAL	215		199		206		206		203	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	6	11	10	10
Function Group III	5	1	4	4
Function Group II	3	5	8	8
Function Group I	1			
Total	15	17	22	22

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	n/a	n/a	n/a	n/a

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES	41 318 887	41 606 800	42 307 200	42 307 200	
2, EU CONTRIBUTION					
of which fresh appropriations					
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION					
4 OTHER CONTRIBUTIONS	3 400 494	2 727 600	3 585 000	3 585 000	
5 ADMINISTRATIVE OPERATIONS	475 922	150 000	363 250	363 250	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INCOMES FROM CDT's RESERVES	2 973 349	136 000	2 090 850	2 090 850	
TOTAL REVENUES	48 168 652	44 620 400	48 346 300	48 346 300	8,4%

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014/ 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	23 097 555	24 910 500	25 450 000	25 450 000	2,2%
11 Salaries & allowances	22 105 508	23 804 200	24 513 400	24 513 400	3,0%
- of which establishment plan posts	21 181 271	22 558 800	23 170 600	23 170 600	2,7%
- of which external personnel	924 237	1 245 400	1 342 800	1 342 800	7,8%
12 Expenditure relating to Staff recruitment	165 216	293 600	274 000	274 000	-6,7%
13 Mission expenses	105 000	70 000	107 500	107 500	53,6%
14 Socio-medical infrastructure	435 468	397 300	278 600	278 600	-29,9%
15 Training	140 963	196 400	182 500	182 500	-7,1%
16 External Services	142 900	147 000	92 000	92 000	-37,4%
17 Receptions and events	2 500	2 000	2 000	2 000	0%
Title 2 - Infrastructure and operating expenditure	4 577 800	5 284 600	5 861 600	5 861 600	10,9%
20 Rental of buildings and associated costs	1 815 245	1 764 200	2 674 400	2 674 400	51,6%
21 Information and communication technology	2 217 235	2 800 000	2 458 000	2 458 000	-12,2%
22 Movable property and associated costs	61 217	95 000	104 800	104 800	10,3%
23 Current administrative expenditure	172 538	202 600	221 400	221 400	9,3%
24 Postage / Telecommunications	199 638	264 800	230 000	230 000	-13,1%
25 Meeting expenses	5 625	10 000	25 000	25 000	150,0%
26 Running costs in connection with operational activities	94 495	108 000	108 000	108 000	0%
27 Information and publishing	11 807	15 000	15 000	15 000	0%
28 studies	0	25 000	25 000	25 000	0%
Title 3 - Operational expenditure	14 558 933	13 665 000	15 947 200	15 947 200	16,7%
30 External translation services	13 932 944	12 950 000	14 300 000	14 300 000	10,4%
31 Expenditure relating to interinstitutional cooperation	625 989	715 000	649 200	649 200	-9,2%
32 Expenditure linked to the e-Cdt programme			998 000	998 000	n/a
Title 10 Reserves	0	760 300	1 087 500	1 087 500	43,0%
TOTAL EXPENDITURE	42 234 288	44 620 400	48 346 300	48 346 300	8,4%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014/2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	23 097 555	24 910 500	25 450 000	25 450 000	2,2%
11 Salaries & allowances	22 105 508	23 804 200	24 513 400	24 513 400	3,0%
- of which establishment plan posts	21 181 271	22 558 800	23 170 600	23 170 600	2,7%
- of which external personnel	924 237	1 245 400	1 342 800	1 342 800	7,8%
12 Expenditure relating to Staff recruitment	165 216	293 600	274 000	274 000	-6,7%
13 Mission expenses	105 000	70 000	107 500	107 500	53,6%
14 Socio-medical infrastructure	435 468	397 300	278 600	278 600	-29,98%
15 Training	140 963	196 400	182 500	182 500	-7,1%
16 External Services	142 900	147 000	92 000	92 000	-37,4%
17 Receptions and events	2 500	2 000	2 000	2 000	0%
Title 2 - Infrastructure and operating expenditure	4 577 800	5 284 600	5 861 600	5 861 600	10,9%
20 Rental of buildings and associated costs	1 815 245	1 764 200	2 674 400	2 674 400	51,6%
21 Information and communication technology	2 217 235	2 800 000	2 458 000	2 458 000	-12,2%
22 Movable property and associated costs	61 217	95 000	104 800	104 800	10,3%
23 Current administrative expenditure	172 538	202 600	221 400	221 400	9,3%
24 Postage / Telecommunications	199 638	264 800	230 000	230 000	-13,1%
25 Meeting expenses	5 625	10 000	25 000	25 000	150,00%
26 Running costs in connection with operational activities	94 495	108 000	108 000	108 000	0%
27 Information and publishing	11 807	15 000	15 000	15 000	0%
28 studies	0	25 000	25 000	25 000	0%
Title 3 - Operational expenditure	14 558 933	13 665 000	15 947 200	15 947 200	16,7%
30 External translation services	13 932 944	12 950 000	14 300 000	14 300 000	10,4%
31 Expenditure relating to interinstitutional cooperation	625 989	715 000	649 200	649 200	-9,2%
32 Expenditure linked to the e-Cdt programme			998 000	998 000	n/a
Title 10 Reserves	0	760 300	1 087 500	1 087 500	43,0%
TOTAL EXPENDITURE	42 234 288	44 620 400	48 346 300	48 346 300	8,4%

3.3. Budget outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

As the Centre received no contribution from the Commission in 2012, the budgetary surplus will not be reimbursed to the Commission.

4. Justification of needs

4.1. Commission assessment of agency request

4.1.1. Human Resources

Taking into account the combined effect of the staff reduction and the 1% levy to create the redeployment pool, the Commission proposes to set the Translation Centre's number of establishment plan posts in 2014 at 203.

4.1.2. Financial Resources

N/A

4.2. Human Resources – Agency request

4.2.1. Number of staff requested

On 31/12/2012, 199 posts were occupied, including one post for which the offer letter was sent (see footnote for table in section 2.1.). The staff establishment plan was reviewed for 2013. This review led the Centre to decrease the plan from 215 in 2012 to 206 in 2013. The number of posts in the plan for 2014 remains at 206, and should be seen in the light of the decrease from 2011 when the establishment plan had 225 posts. The number of contract staff remains the same as in 2013: 22 posts.

4.2.2. Salary assumption for calculating salary lines (% applied)

For calculating salary items, the salary as of 1 January 2011 was used. The effects of the possible salary increases 2011-2014 on 2013 and 2014 budgets are recorded in Title 10, Reserves (EUR 760 300 in 2013 and EUR 1 087 500 in 2014). For the reserve concerning 2014, the % applied are: 1,7 % increase per year in 2011 and 2012, and 1 % increase per year in 2013 and 2014.

4.2.3. Vacancy rate as of end-2012

The vacancy rate at the end of 2012 is 7,4%. However, it is noted that the recruitment has been slowed down due to the decrease of the establishment in 2013 (206 posts). If the Centre considers this fact, the vacancy rate decreases to 3,40%.

4.2.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.3. Financial Resources – Agency request

4.3.1. Title 1

In total, the budget for the title in 2014 is 2,2 % higher than the budget for 2013. The establishment plan is unchanged and the increase is mainly due to the following factors: The bi-annual, automatic, step advancement within grades; promotions; and an increased number of children of staff members, resulting in higher allowances.

In order to achieve cost efficiency gains, the Centre took a proactive approach and started optimising its structure and business model: already in 2011, the Centre prepared an action plan where the areas for reduction were identified (human resources domain, financial circuits, IT and infrastructure) as well as a possible cost reduction due to optimization of working methods and outsourcing. The majority of these measures leading to cost efficiency were implemented in 2012.

In particular, from 225 staff in Establishment plan 2011, only 215 were kept in Establishment plan 2012, and further reduction of posts led to 206 posts in the Establishment plan 2013. For the years 2014-2016, in general the Centre will continue the tendency to convert, where possible, Temporary Staff and Official posts into long-term Contract Staff in the support business. The posts, becoming redundant due to further process optimisation, will be redeployed or cancelled. It should be stressed, however, that the Centre cannot envisage any further reduction without seriously jeopardizing its operations.

4.3.2. Title 2

The budget for Title 2 is changing noticeably during 2012-2014. These changes are due to the following factors:

- Relocation in 2013. The Centre is moving from its current location, in two buildings, to its new seat and the forecast is that expenditure will increase, at least the rent expenditure. It is too early to judge the overall impact on the Title, as charges and other related expenditure are not yet known. It should be noted that the amounts for 2013 are the amounts in the initial budget. The Centre's first amending budget 2013 is in the process of being approved by the Management Board.
- The e-Cdt programme. In 2011, a reserve of EUR 4 325 700 was created to finance the e-Cdt programme. The preparatory work started in 2012 and the development is forecast to start in 2013, with full speed in 2014. The programme will be closely linked to the Centre's operational activities, and the appropriations and expenditure are therefore recorded in Title 3 (Chapter 32). In the initial 2013 budget, however, the new Chapter 32 had not been created, and appropriations for the e-Cdt programme are therefore allocated to Title 2 in 2013. Appropriations will be transferred from Title 2 to Title 3 in a second amending budget in 2013, to account for the expenditure in a coherent manner.

4.3.3. Title 3

Appropriations in Title 3 increase by 16,7 % from 2013 to 2014. Whereas the forecast for expenditure for interinstitutional cooperation is slightly lower than in 2013, the allocation of appropriations for the e-Cdt programme means an increase of EUR 1 million and the increased need for external translation services account for an increase of EUR 1,35 million. As explained in 4.2.2., appropriations linked to the e-Cdt programme in 2013 (a considerably smaller amount than in 2014) will be transferred from Title 2 to Title 3 in a second amending budget 2013.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Luxembourg	DRB	6 103,84	1 391 044	Yes	No	N/A	Owner - KOWAC This information concerns 2014.
Luxembourg	EBRC	108	161 934	N/A	No	N/A	Owner – EBRC

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
As granted by the Luxembourg authorities	Yes	No

5.3. Evaluation

After the initial evaluation following the establishment of the Centre, there are no regular evaluations of the Centre. However, the Centre is audited on an annual basis by the Court of Auditors and by the Internal Audit Service of the Commission, and was involved in the last evaluation exercise of agencies carried out by the Inter-institutional Working Group.

In line with the Roadmap on the follow-up to the Common Approach on EU decentralised agencies, adopted by the Commission on 19 December 2012, the Translation Centre plans to carry out an external assessment of the Centre and its activities during 2013.

2.2.2. European Institute of Innovation and Technology (EIT)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 294/2008 of the European Parliament and of the Council of 11 March 2008 establishing the European Institute for Innovation and Technology (EIT).	11/03/2008	The European Institute of Innovation and Technology (EIT) was set up by Regulation (EC) 294/2008 with the objective to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the EU and its Member States. It will be organised on the basis of 'Knowledge and Innovation Communities' (partnerships of higher education institutions, research organisations, companies and other stakeholders in the innovation process). These will be selected, steered, coordinated and evaluated by a Governing Board, composed of high calibre people from the business, academic and research worlds.
Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology.	COM(2011) 817 – 30.11.2011	During the period 2014-2020 the EIT will contribute to the general objective of "Horizon 2020 - The Framework Programme for Research and Innovation" (hereinafter referred to as 'Horizon 2020'), by integrating the knowledge triangle of higher education, research and innovation. This integration takes place primarily via the Knowledge and Innovation Communities (KICs), which bring together organisations on a long-term basis to tackle specific societal challenges. The new KICs themes will match the societal challenges identified in Horizon 2020 as most pressing.
Proposal for a Regulation of the European Parliament and of the Council establishing Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020)	COM(2011) 809 – 30.11.2011.	The financial contribution from Horizon 2020 to the EIT will be implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon 2020 as well as the Regulation laying down the rules for the participation and dissemination in "Horizon 2020". The EIT funding to the KICs will cover "KIC added value activities".

Seat Budapest, Hungary

Budget line 15 03 53 - Completion line European Institute of Innovation and Technology
15 03 05 - European Institute of Innovation and Technology — Integrating the knowledge triangle of research, innovation and education

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	21	23	27	34
Establishment plan posts: AST	7	5	7	5
Total Establishment plan posts	28	28	34	39
Contract Agents	20	18	20	20
Seconded National Experts	4	1	4	4
Total staff	52	47	58	63

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU contribution	123 065 110	235 059 644
Other revenue	16 958 232	8 141 670
Total revenues	140 023 342	243 201 314

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 153 735	5 153 735	5 123 500	5 123 500
Title 2	1 304 798	1 304 798	960 300	960 300
Title 3	133 564 809	91 970 667	237 117 514	171 742 886
Total expenditure	140 023 342	98 429 200	243 201 314	177 826 686

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the Agency		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		1				1				
AD 11				2		1		1		1
AD 10		1				2				
AD 9		3		3		4		4		4
AD 8		8		5		8		12		9
AD 7		2		1		2		3		3
AD 6		4		10		7		19		15
AD 5		1		1		1		1		1
AD total		21		23		27		41		34
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		1				3				
AST 4		3		2		1		3		3
AST 3		3		3		3		2		2
AST 2										
AST 1										
AST total		7		5		7		5		5
TOTAL		28		28		34		46		39
GRAND TOTAL		28		28		34		46		39

(1) In 2011 and 2012, the EIT has modified the Establishment Plan by up to 20% of the posts authorised, the EIT made use of the derogation granted under Article 32.1 of the EIT Financial Regulation³⁶. The reason for these modifications is the difficulty for the EIT in attracting highly qualified staff for core tasks, such as the post of Head of Unit KIC Operations and KICs Project Officers. Furthermore, in certain cases the same, strategically important tasks are executed by Contract Agents and Temporary Agents, which is considered as unfair treatment and could lead to conflicts. In order to remedy the situation, in 2011 and 2012 decisions were taken to modify the Establishment Plan within the conditions established in the EIT Financial Rules: (a) that the volume of staff appropriations corresponding to a full financial year has not been affected and (b) that the limit of the total number of posts authorised by the establishment plan is not exceeded:

- AD9 to AD11: The selection procedure for Head of Unit post of KIC Operations Unit (AD9) was published twice without success. Due to the key importance of this position to manage the “KIC Operations” unit, a decision was taken to upgrade the post to AD11 and a new selection procedure was completed in 2012.
- AD8 to AD9: An AD8 position had to be upgraded to AD9 in order to fill the vacancy position for nomination of the Head of “Intelligence Unit”.
- AD 6 to AD8 and (2) AST4 to (2) AD6: After the departure of two EIT staff member and the resignation of another one, the internal allocation of tasks and responsibilities was revised in order to better fit to the priorities and objectives. This revision leads to an increased level of responsibility, requiring more experienced staff to deal with EIT core tasks.

In 2012, the following measures were taken in order to neutralize the financial consequences in the volume of staff appropriations:

³⁶ C(2009) 2661

- AD11 to AD12: Taking into account that the EIT implementing rules for “Evaluation of Temporary and Contract Agents (Art.79 and 82 - CEOS) and on the Reclassification of Temporary and Contract Agents (Art. 45) have not yet been adopted, a promotion from AD11 to AD12 was not considered during 2012.

In 2013, the following measures will be applied to regularize the Establishment Plan in order to align the authorized posts and the actual grades.

- AD11 to AD9: To neutralize the AD9 to AD11 upgrade decided in 2012, an AD11 post, vacant as from April 2013, will be filled in as AD9.
- AD9 to AD 10 and AST4 to AST5: Taking into account that the EIT implementing rules for “Evaluation of Temporary and Contract Agents (Art.79 and 82 - CEOS) and on the Reclassification of Temporary and Contract Agents (Art. 45) have not yet been adopted, a promotion from AD9 to AD10 and another from AST4 to AST5 will not be considered.
- AD10 to AD6: 1 AD10 vacant post will be filled in as AD6.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	5	4	5	6
Function Group III	10	9	10	8
Function Group II	5	4	5	6
Function Group I				
Total	20	18	20	20

In 2012, the following decision was taken regarding the Contract Agent positions:

- 1 FG III to FG IV: An FG III post will be filled as FG IV, taking into account the high number of procurement procedures launched, two procurement officers - rather than one officer and one assistant – are needed.
- 1 FG III to FG II: An FG III post will be filled as FG II.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	4	1	4	4

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the agency	As requested by the agency	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	79 324 000	123 065 110	264 655 222	235 059 644	
Of which fresh appropriations	79 324 000	123 06 5110	264 655 222	235 059 644	
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	2 062 424	3 445 823	7 410 346	6 581 670,03	
Of which EFTA	2 062 424	3 445 823	7 410 346	6 581 670,03	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	1 560 000	1 560 000	1 560 000	1 560 000	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	11 569 285	11 952 409			
TOTAL REVENUES	94 515 709	140 023 342	273 625 568	243 201 314	73,69%

Note concerning item 7 of the above table: According with Article 11 of the Commission Decision C(2009) 2661 of 3 April 2009, granting consent to the derogations requested by the EIT from Regulation (EC, Euratom) No 2343/2002 on the framework Financial Regulation for the bodies referred to in Art. 185 of Council Regulation (EC, Euratom) No 1605/2002, where amounts are de-committed, as a result of total or partial non-implementation of actions for which they were earmarked, in any financial year that in which the appropriations were committed, the appropriations concerned shall be cancelled. Given the needs of the EIT, the cancelled appropriations may be entered in the estimate of revenue and expenditure up to the following three financial years, in accordance with Article 27. The amount quoted under this heading of the revenues table corresponds to this amount.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014 / 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	2 881 505	5 153 735	6 290 800	5 123 500	-0,59%
11 Salaries & allowances	2 180 395	3 814 600	4 813 800	4 100 000	7,48%
- of which establishment plan posts	1 751 572	2 914 600	3 993 800	3 350 000	14,94%
- of which external personnel	428 823	900 000	820 000	750 000	-16,67%
12 Expenditure relating to Staff recruitment	104 273	326 000	378 800	300 000	-7,98%
13 Mission expenses	281 321	320 000	390 000	320 000	0,00%
14 Socio-medical infrastructure	32 500	133 835	158 800	100 000	-25,28%
15 Training	81 922	100 400	150 500	100 000	-0,40%
16 External Services	199 502	455 900	395 400	200 000	-56,13%
17 Representation	1 592	3 000	3 500	3 500	16,67%
Title 2 - Infrastructure and operating expenditure	893 720	1 304 798	1 208 300	960 300	-26,40%
20 Rental of buildings and associated costs	65 802	176 533	90 000	75 000	-57,52%
21 Information and communication technology	209 879	328 265	487 000	330 000	0,53%
22 Movable property and associated costs	70 930	228 500	80 800	80 800	-64,64%
23 Current administrative expenditure	295 818	310 500	262 500	262 500	-15,46%
24 Publication, information, studies and surveys	6 367	13 000	42 000	42 000	223,08%
25 Meeting expenses	244 924	248 000	246 000	170 000	-31,45%
Title 3 - Operational expenditure	90 740 484	133 564 809	265 637 718	237 117 514	77,53%
30 Grants	89 818 473	128 865 709	258 482 718	231 572 514	79,7%
31 Knowledge and Innovation Communities	366 143	2 495 100	4 035 000	2 500 000	0,20%
32 Communication	482 839	1 939 000	2 025 000	1 950 000	0,57%
33 Strategy and stakeholders relations	73 030	265 000	1 095 000	1 095 000	313,21%
TOTAL EXPENDITURE	94 515 709	140 023 342	273 625 568	243 201 314	73,69%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Agency request	Budget Forecast	
Title 1 - Staff Expenditure	2 577 615	5 153 735	6 290 800	5 123 500	-0,59%
11 Salaries & allowances	2 180 395	3 814 600	4 813 800	4 100 000	7,48%
- of which establishment plan posts	1 751 572	2 914 600	3 993 800	3 350 000	14,94%
- of which external personnel	428 823	900 000	820 000	750 000	-16,67%
12 Expenditure relating to Staff recruitment	96 407	326 000	378 800	300 000	-7,98%
13 Mission expenses	206 668	320 000	390 000	320 000	0%
14 Socio-medical infrastructure	25 262	133 835	158 800	100 000	-25,28%
15 Training	31 326	100 400	150 500	100 000	-0,40%
16 External Services	36 965	455 900	395 400	200 000	-56,13%
17 Representation	592	3 000	3 500	3 500	16,67%
Title 2 - Infrastructure and operating expenditure	557 130	1 304 798	1 208 300	960 300	-26,40%
20 Rental of buildings and associated costs	26 909	176 533	90 000	75 000	-57,52%
21 Information and communication technology	134 912	328 265	487 000	330 000	0,53%
22 Movable property and associated costs	39 158	228 500	80 800	80 800	-64,64%
23 Current administrative expenditure	195 626	310 500	262 500	262 500	-15,46%
24 Publication, information, studies and surveys		13 000	42 000	42 000	223,08%
25 Meeting expenses	160 524	248 000	246 000	170 000	-31,45%
Title 3 - Operational expenditure	67 511 193	91 970 667	232 835 843	171 742 886	86,74%
30 Grants	66 937 110	87 701 867	226 676 163,47	225 137 028	156,71%
31 Knowledge and Innovation Communities	185 419	2 105 200	3 583 180	2 000 000	-5%
32 Communication	337 821	1 852 100	1 976 000	1 560 000	-15,77%
33 Strategy and Stakeholders relations	50 844	311 500	600 500	876 000	181,22%
TOTAL EXPENDITURE	70 645 938	98 429 200	240 334 943	177 826 686	80,66%

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the EIT: EUR 3 386 815.

4. Justification of needs

4.1. Commission assessment of EIT request

4.1.1. Human Resources

To effectively achieve the EIT objectives and the challenges that the EIT will face as from 2014, a further increase in the number of staff has been foreseen in the Financial Statement accompanying the Proposal for a Regulation of the European Parliament and of the Council, amending the Regulation (EC) No 294/2008 establishing the EIT. The Commission assessment for the reinforcement of the EIT Human Resources in 2014 is in line with the Financial Statement adopted in November 2011, in which an increase number of 4 TA (AST) and 1 SNE were foreseen. However, taking into account the EIT difficulties to find adequate profiles to fulfil the SNEs positions, and the new tasks assigned to the EIT in the proposal for a Regulation, it is proposed to reinforce the EIT with a total of 5 TA (AD) positions in 2014 (instead of 12 as requested by the EIT). See also point 4.2.1 below.

4.1.2. Financial Resources

A thorough analysis of the EIT request has been done (Title 1 and 2 principally) in order to reflect accurate information and the real needs of the EIT taking into account the EIT budgetary execution level in previous years and the MFF credits ceiling as proposed in the Financial Statement accompanying the amended EIT Regulation, as well as in the Financial Statement of Horizon 2020.

The budget for 2014 provides the necessary resources to respect commitment already made and to implement the EIT's policy priorities. On the other hand, the level of appropriations has been assessed in light of its implementation by the EIT. The savings made on the administrative expenditure (Title 1 and 2) as compared to the indicative amounts in the financial statements accompanying the proposal package adopted for the 2014-2020 period, has been added into the corresponding operational appropriations. Particular checks and revisions have been done on the EIT requested amounts, to take into account past implementation (Title 1 and 2) and absorption capacity, improving the accuracy of the EIT budget forecasted in their proposal (commitment and payments appropriations) and thus strengthen the EIT credibility of its demands. The revised proposal takes also into account past problems with implementation and recruitment and the vacancy rate.

4.2. Human Resources – EIT request

4.2.1. Number of staff requested

In the framework of the Proposal for a Regulation of the European Parliament and of the Council establishing Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020), the EIT will be responsible for the implementation of the 2014-2020 Strategic Innovation Agenda (SIA). The EIT will in particular: manage a far larger budget, help the three existing KICs grow and develop, select new KICs in 2014, and enhance the impact of the EIT as a European institute over and above the KICs. To address these challenges, a mature, fully functioning EIT Headquarters with streamlined processes and operations is needed. For this reason, it has been estimated that 5 more temporary agents positions compared with the 2013 authorised (4 AD6 and 1 AD8 – representing an increase of 8,62% compared with 2013) should be recruited in 2014.

The following new tasks will be ensured by the proposed new staff:

- Implementation of the EIT Budget and Simplification Agenda;
- Preparation of the selection and designation of the new waves of KICs;
- Coordination and alignment with other EU initiatives, in particular Horizon 2020;
- Organisation of the EIT Stakeholders Forum;
- Monitoring and evaluation of the EIT;
- EITs impact via knowledge sharing, dissemination, outreach and international exposure.
- Contribution to the Entrepreneurial and Education agenda of the EIT, in particular, the EIT's assurance of compliance of the EIT labelled degrees with actions undertaken in the context of Higher Education Area.

4.2.2. Salary assumption for calculating salary lines (% applied)

The estimation of the cost of human resources is based on the actual staff costs of salary categories as defined by the Staff Regulation, as well as any possible salary increase and any modification of the coefficient rate applicable to the EIT. It also contains the retroactive payment of the salary increase and change to the coefficient rate at the EIT which was not adopted by the Council. The budget appropriations covering staff expenditure for year 2014 are based on the estimate of 39 temporary and 20 contract agents.

4.2.3. Vacancy rate as of end-2012

At the end of 2012, 47 posts (28 temporary agents, 18 contract agents and 1 SNE) were occupied. During 2012, 15 new staff members took up duties at the EIT and 8 left. Compared to the authorised posts, 2 CA and 3 SNEs are vacant, representing a vacancy rate of 10%.

4.2.4. Standard abatement ("abatement forfaitaire") applied

The salary costs are planned based on the assumption that all the employees have been recruited by end of 2014 at the latest. The standard abatement applied is 2%.

4.3. Financial Resources – EIT request

4.3.1. Title 1

Within the new broader political and strategic framework adopted by the Commission on 30 November 2011, the implementation of the proposed Strategic Innovation Agenda package represents an exceptional challenge for the EIT and its headquarters: the EIT must prepare for an exponential increase in its tasks and workload (to manage a proposed ten-fold increase of its budget; to help the three existing KICs grow; to bridge two different multi-annual funding periods; to set up an enlarged number of KICs as of 2014 and to enhance the impact of the EIT across the EU).

The overall Title 1 expenditure is foreseen to decrease by 0,59% compared with 2013.

Chapter 11 – salaries and allowances	It is estimated that there will be an increase of 7,48% in the total salary costs of the EIT (Chapter 11). This is mainly due to the overall increase in salary costs deriving from annual adjustments including the retroactive salary adjustment and further recruitments of statutory staff, vacancies due to turnover and new requested posts (5 posts).
Chapter 12 – Expenditure relating to staff recruitment	The costs related to recruitment (such as travel expenses related to interviews and medical examinations) will decrease by 7,98%.
Chapter 13 – Mission expenses	Mission expenses will be kept at the same level as in 2013, even if the level of staff number increases.
Chapter 14 – Socio-medical infrastructure	The socio-medical expenses will be decreased by 25,28%.
Chapter 16 – External services	The costs related with external services will be decreased by 56,13%.

4.3.2. Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to decrease by 26,40%.

Chapter 20 – Rental of buildings and associated costs	In 2013 EIT completed the modernisation (mainly an increase of number of offices and meeting rooms in order to meet staffing situation) of the EIT premises. This leads to a reduction of building expenses by 57,52%.
Chapter 21 – Information and communication technology	A slight increase of 0,53% of ICT expenses reflects the fact that the EIT further develops, amongst other IT-related projects, its document management system which is supposed to facilitate efficient and effective administrative processes in and across all units. EIT intends to set up and/or an e-HR system by purchasing additional modules to the current one or new IT-system used by other Agencies for effective human resources management. EIT has to replace its PCs, notebooks, screens that was purchased in 2009 in line with the depreciation rules. The cost will be mainly incurred by acquisition rather than by maintenance.
Chapter 22 – Movable property and associated costs	The significant decrease of 64,64% of movable property and associated cost can be explained by the fact that major part of the technical equipment as well as furniture will be purchased in 2013 and no renewal is foreseen in 2014.
Chapter 23 – Current administrative expenditure	The slight decrease (15,46%) of this Chapter mainly relates to honoraria of EIT Governing Board members which will be reduced from 18 to 12.
Chapter 24 – Publication, information, studies and surveys	The EIT intends to participate in a staff engagement survey project aimed to create a set of inter-Agency benchmarks on staff engagement levels, meeting the challenge of being a service-oriented, creative inspiring and learning organisation. To this end, a number of agencies have decided to tender jointly for services related to staff surveys. After the signature of the framework contract, expected in 2013, the EIT will be able to order a survey run to the contractor. The high increase (223,08%) in this chapter expenses, representing however a limited of EUR 42 000, is mainly due to the costs related with this survey.
Chapter 25 – Meeting expenses	The decrease of 31,45% in this chapter's expenses is due to the reduction by one third of the members of the EIT Governing Board as this chapter is mostly dedicated to cover the costs of the GB and executive committee meetings.

4.3.3. Title 3

Chapter 30 – Grants	<p>In line with the Financial statement accompanying the Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology and in order to achieve the specific objective "Consolidate the three existing KICs fostering their growth, impact and sustainability", an increase of 79,70% is proposed to cover the grants to be awarded to the three KICs.</p> <p>The Commission's proposal was based on the life cycle model of the KICs. Throughout their life, KICs experience different development phases with distinct financial needs. For KICs to have a structural impact across the EU, a sufficient size in terms of resources, partners and activities is needed. At the beginning their funding needs were relatively limited, but develop substantially over the years. By 2014, the KICs shall be fully established and implementing its core activities, however still dynamically expanding in terms of scope of activities and number of partners.</p>
Chapter 31 – Knowledge and Innovation Communities	This Chapter covers the expenses of activities related to the KICs other than grants. These expenses, slightly increased by 0,20%, will cover activities related to the evaluation of the proposals for new KICs with the assistance of external experts, the monitoring of the KICs' performance and activities and to further boost the EIT/KIC impact in education (fellowship scheme, EIT alumni), entrepreneurship and innovation.

	<p>In order to further enhance impact and to incentivise innovation in new areas of societal challenges, the EIT will gradually expand its portfolio of KICs. New KICs will be set up in areas of large societal challenges. The estimated expenses also concerns the foreseen cost of launching the call for designation of selection of the new KICs that includes various activities and services needed (e.g. external experts, communication activities, etc.).</p> <p>A strong element of the EIT activities is to encourage changes to higher education provision in the EU. The cost of establishing a scheme ('EIT fellows'), allowing high talent people from across the EU and beyond to get involved in the activities of KIC co-location centres for a limited period of time as laid down in the SIA and the cost of the EIT Alumni contribute to the significant increase of chapter 31. In 2014 EIT will consolidate the concept of the EIT Alumni and it is foreseen that alumni from different KICs and backgrounds will have a forum for coordination of their activities together with KICs and the EIT HQ.</p> <p>To strengthen the capacity for entrepreneurship across the EU, following the 2012 pilot and 2013 event, the Entrepreneurship award will be continued on an annual basis. The requested budget covers the cost of the event as well as an extensive communication campaign around the nominated ventures and a mentorship scheme. Organised in close collaboration with the KICs, the Awards competition places a strong emphasis on spotlighting, encouraging, training and supporting start-up entrepreneurs to help them develop their ideas and grow their businesses successfully.</p>
Chapter 32 – Communication and outreach	<p>The moderate increase (0,57%) in the expenses related to Communication and outreach derives from the number of conferences and the fact that the major communication events related to the call for new KICs will be organised. High-level stakeholder conference and dissemination events will be organised in 2014. The EIT will develop and launch its publications (EIT Bulletin and EIT Thought book), define its strategy to facilitate dissemination activities (i.e. capacity building, research and networking with a view to define potential strategies to facilitate the dissemination of identified first good practices and learnings).</p>
Chapter 33 – Strategy and stakeholders relations	<p>The significant increase (313,21%) in this chapter is mainly due to the implementation of the 'World-Class Research and Innovation Model Agenda' and the setting up of the requirements of the SI, most notably the Stakeholders Forum.</p> <p>The first element is aimed at putting the EIT on strong footing for the mid-term evaluation of H2020 planned in 2016/2017. This requires mobilising expert competence in the Governing Board, KICs and beyond to ultimately equip the Institute with methodologies suitable to perform scientifically rigorous impact evaluation and that to be cross-fertilized among the KICs: case study research, social network analysis, econometrics, surveys, independent panels, etc.</p> <p>Active exchange and mutual learning with other initiatives will be a cornerstone of the EIT's efforts in testing out new innovation models fulfilling its role as an Institute for Europe. To this end, the EIT will directly engage with Member States and other stakeholders from across the innovation chain, generating beneficial effects on both sides. In order to render such dialogue and exchange more systematic, the setting up of the EIT 'Stakeholders Forum' foreseen in the SIA, bringing together the wider community of stakeholders around cross-cutting issues will be an appropriate tool to facilitate a two-way, interactive communication. Stakeholders will include representatives of national and regional authorities, organized interests and individual entities from business, higher education, and research, cluster organisations, as well as other interested parties from across the knowledge triangle.</p>

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Budapest	Infopark E épület	2 800	0	The Hungarian Government covers the rental fee of the EIT premises during 20 years and the wage costs of 20 employees during 5 years, in accordance with the Host Agreement	No		

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010 évi CLXVI. törvény, Magyar Közlöny, 2010 évi 197 szám), which enters in force on 22 January 2011	The Protocol on Privileges and Immunities applies to the EIT staff. The Host agreement provides for other detailed privileges to the EIT staff members, taking into account the specific rules of the host country	The EIT has signed agreements with the Deutsche Schule and the Britannica International School. The conclusion of further agreements is foreseen with the French School and a day care centre in Budapest

5.3. *Evaluation*

As stipulated by the EIT Regulation, the European Commission mandated a contractor to carry out an independent external evaluation of the EIT with particular focus on the EIT's added value, impact and relevance. The final result was published in May 2011.

This evaluation was broadly supportive and positive of the EIT's overall mission, concept and first achievements, whilst also highlighting areas for improvement and providing 13 concrete recommendations.

For more detailed information, please see the report in the following address:

http://ec.europa.eu/dgs/education_culture/evalreports/education/2011/eitreport_en.pdf

A new evaluation of the EIT is expected to be carried out by 2015.

2.2.3. Executive agencies

2.2.3.1. Executive Agency for Competitiveness and Innovation (EACI)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2004/20/EC of 23/12/2003	OJ L5 of 09/01/2004	Management of the Intelligent Energy Europe Programme (2003-2006); except for programme evaluation, monitoring of legislation and strategic studies, or any other action which comes under the exclusive competence of the Commission.
Commission decision 2007/372/EC of 31/05/2007	OJ L140/52 of 01/06/2007	Extension of mandate / new name (Executive Agency for Competitiveness and Innovation): Intelligent Energy Europe II, parts of the Entrepreneurship and Innovation programme, Marco Polo II

Seat Brussels, Belgium

Budget line 02 01 06 01 : Executive Agency for Competitiveness and Innovation — Contribution from Competitiveness of Enterprises and small and medium-sized enterprises (COSME)
 06 01 06 02 : Executive Agency for Competitiveness and Innovation— Contribution from Connecting Europe Facility (CEF)
 08 01 06 03 : Executive Agency for Competitiveness and Innovation — Contribution from Horizon 2020

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	11	9	11	9
Temporary agents (recruited by the Executive Agency)	26	28	26	28
Total Establishment plan posts	37	37	37	37
Contract Agents	121*	119	122*	122
Seconded National Experts	-		-	
Total staff	158*(162)	156	159*(163)	159*(163)

*In addition to these posts, additional contract agents (4 in 2012, 2013 and 2014) are financed with the contributions paid by candidate and third countries on budget line 02 01 04 30 (2013 budget nomenclature) for their participation in EIP.

- Financial resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU Budget contribution	15 680 000	15 826 982
Participation of EFTA	439 040	443 156
Participation of third countries	285 000	285 000
Total revenues	16 404 040	16 555 138

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	11 351 040	11 351 040	11 441 910	11 441 910
Title 2	3 375 800	3 375 800	3 434 730	3 434 730
Title 3	1 677 200	1 677 200	1 678 498	1 678 498
Total expenditure	16 404 040	16 404 040	16 555 138	16 555 138

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 10th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Marco Polo I			X
Marco Polo II			X
CIP EIP			X
IEE I			X
CIP - IEE II			X

2.2. Operational appropriations managed

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
02 02 01 → 02 02 51 — CIP EIP						
Total operational appropriations of the programme	164 103 532	80 836 244	161 500 000	115 000 000		
<i>Of which operational budget managed by the executive agency</i>	92 398 000	30 999 337	83 062 000*	60 000 000*	p.m.	28 325 000
06 02 06 → 06 02 52 — Marco Polo II						
Total operational appropriations of the programme	64 683 467	20 090 883	60 000 000	24 710 478		
<i>Of which operational budget managed by the executive agency</i>	57 845 327	7 791 604	61 787 500*	22 085 478*	p.m.	20 000 000
06 02 07 → 06 02 52 — Marco Polo I						
Total operational appropriations of the programme	p.m.		p.m.	p.m.	-	p.m.
<i>Of which operational budget managed by the executive agency</i>	p.m.		p.m.	p.m.	-	p.m.
32 04 02 (for 2012 and 2013) — IEE I — Coopener						
Total operational appropriations of the programme	p.m.	p.m.	p.m.	p.m.		
<i>Of which operational budget managed by the executive agency</i>	p.m.	p.m.	p.m.	p.m.		
32 04 06 → 32 04 53 — CIP — IEE II						
Total operational appropriations of the programme	132 998 224	89 257 739	137 250 000	80 000 000		
<i>Of which operational budget managed by the executive agency</i>	82 116 439	71 883 048	81 080 000	40 000 000		61 232 340
32 04 01 → 32 04 51 — IEE I						

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Total operational appropriations of the programme		422 072		p.m.	-	p.m.
<i>Of which operational budget managed by the executive agency</i>		232 680		p.m.	-	p.m.
COSME						
Budget line						
Total operational appropriations of the programme						
<i>Of which operational budget managed by the executive agency</i>						
TOTAL operational budget managed by the executive agency	232 359 766	110 906 669	225 929 500*	122 085 478*		109 557 340

* Amount transferred to EACI at the beginning of the year. Additional amounts should be transferred during the year.

3. Human resources

3.1. Staffing when created / extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23/05/2007, after approval by the European Parliament committee on Budgets in its meeting of 6-7/04/2007 and the Regulatory Committee on Executive Agencies in its meeting of 14/02/2007.

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)	11	11	11	11	11	11	11	11		
Temporary Agents (recruited by the agency)	25	26	26	26	26	26	26	24		
Executive Agency's total Establishment Plan Posts	36	37	37	37	37	37	37	35		
Contract Agents (*)	108	110	115	119	121	122	114	92		
Seconded National Experts (SNE)										
Total staff of the Executive Agency	144	147	152	156	158	159	151	127		

(*) Estimated full time equivalent units (FTE) on the basis of average costs

The numbers shown for the years 2014 and 2015 are indicative, concern the phasing out of the agency and are not covered by the present multi-annual financial framework.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension.

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1
AD 13				
AD 12				
AD 11	5	3	7	7
AD 10	5	4	3	2
AD 9	1	1	2	3
AD 8	9	9	8	10
AD 7	5	7	5	3
AD 6	4	0	4	4
AD 5	3	7	3	3
AD total	33	32	33	33
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	2		2	2
AST 6				
AST 5	1	1	1	1
AST 4				
AST 3		4	1	1
AST 2	1			
AST 1				
AST total	4	5	4	4
TOTAL	37	37	37	37

3.2.2. Contract agents

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	57	53	55	55
Function Group III	49	50	51	51
Function Group II	14	15	15	15
Function Group I	1	1	1	1
Total	121*	119	122	122*

(*) Estimated full time equivalent units (FTE) on the basis of average costs

* In addition to these posts, additional contract agents (4 in 2012, 2013 and 2014) are financed with the contributions paid by candidate and third countries on budget line 02 01 04 30 (2013 budget nomenclature) and 02 01 06 01 (2014 budget nomenclature) for their participation in EIP.

3.2.3. *Seconded National Experts*

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total	0	0	0	0

3.3. *Impact of the creation of the Executive Agency on the human resources of the Commission*

3.3.1. *Freed staff in the parent DG*

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG MOVE/ENER	8 posts		-
Total	8 posts		-

Source: Legislative financial statement accompanying the communication to the Commission C(2003)5122 of 16 December 2003.

At extension of the mandate of the executive agency

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2013	Staff to be freed in 2014
DG ENTR	16 posts	16 posts	-
DG ENV	6,5 posts	6,5 posts	-
DG MOVE/ENER	2,5 posts	2,5 posts	-
Total	25	25	-

Source: Legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23 May 2007.

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the executive agency	
	By end 2013	In 2014
DG MOVE/ENER	0	0
Total	0	0

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agencies executives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2013	Number of posts frozen in 2014
DG ENTR	4	4	2
DG ENV	1	1	1
DG MOVE/ENER	6	6	6
Total	11	11	9

Source: Legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23 May 2007.

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2008	2009	2010	2011	2012	2013	2014
	15 314 000	15 883 000	16 443 000	16 876 000	17 219 000	17 316 000	
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)	14 973 000	15 400 000	15 400 000	15 400 000	15 700 000	15 680 000	
Budget after transfers and / or amending budgets (EUR-27)	11 456 000	14 725 000	N/A	N/A			
Operating budget of the agency							
Initial Budget (EUR-27)	14 973 000	14 943 000	15 400 000	15 700 000	15 680 000	15 680 000	
Budget after transfers and / or amending budgets (EUR-27)	11 034 000	12 306 000	15 400 000	15 600 000			

4.2. Revenues

REVENUES		2012	2013	2014	VAR 2014 / 2013
		Executed Budget	Budget	DB	
1. EU contribution to the executive agency					
Budget Line	Programme				
02.010601	COSME*	4 815 939	5 000 000	5 000 000	
08.010603	Horizon 2020**	2 593 198	2 583 000	2 736 593	
06.010602	CEF***	1 540 630	1 555 000	1 558 000	
08.010603	Horizon 2020****	5 875 548	6 542 000	6 532 389	
Sub Total		14 825 315	15 680 000	15 826 982	0,9%
2. Participation of EFTA					
Budget Line	Programme				
02.010601	COSME*	128 152	140 000	140 000	
08.010603	Horizon 2020**	69 006	72 324	76 625	
06.010602	CEF ***	41 126	43 540	43 624	
08.010603	Horizon 2020 ****	156 842	183 176	182 907	
Sub Total		395.126	439 040	443 156	0,9%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
02.010601	COSME*	186 138	185 250	185 250	
08.010603	Horizon 2020**	100 228	99 750	99 750	
06.010602	CEF				
08.010603	Horizon 2020	286 366	285 000	285 000	
Sub Total		71 453	286 360	286 360	0%
TOTAL REVENUES		15 506 807	16 404 040	16 555 138	0,9%

* The amounts shown for this line correspond only to the legacy of the Europe Enterprise Network (the contributions for 2012 and 2013 were made from line 02 01 04 30).

** The amounts shown for this line correspond only to the legacy of the Eco-Innovation programme (the contributions for 2012 and 2013 were made from line 02 01 04 30).

*** The amounts shown for this line correspond only to the legacy of the Marco Polo programme (the contributions for 2012 and 2013 were made from line 06 01 04 32).

**** The amounts shown for this line correspond only to the legacy of the IEE II programme (the contributions for 2012 and 2013 were made from line 32 01 04 30).

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012	Budget 2013	Draft Budget 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	10 304 297	11 351 040	11 441 910	0,8%
11 Salaries & allowances	9 657 225	10 380 000	10 452 660	
- of which establishment plan posts	3 633 307	3 785 000	3 811 495	
- of which external personnel	6 023 918	6 595 000	6 641 165	
12 Expenditure relating to staff recruitment	149 000	174 000	177 480	
13 Mission expenses	250 000	250 000	254 880	
14 Socio-medical infrastructure	14 900	30 040	30 758	
15 Training	232 636	270 000	274 292	
16 External services	*	242 000	246 840	
17 Receptions and events	536	5 000	5 000	
Title 2 Infrastructure and operating expenditure	3 300 627	3 375 800	3 434 730	1,7%
20 Rental of buildings and associated costs	2350 000	2 289 400	2 335 124	
21 Information and communication technology	806 807	940 000	951 124	
22 Movable property and associated costs	58 520	21 500	21 900	
23 Current administrative expenditure	66 300	99 900	100 800	
24 Postage / Telecommunications	19 000	25 000	25 500	
25 Meeting expenses	-			
26 Running costs in connection with operational activities	-			
27 Information and publishing	-			
28 studies	-			
Title 3 Operational expenditure	1 901 883	1 677 200	1 678 498	0,1%
31 Experts	1 285 073	1 300 000	1 300 000	
32 Information & publications	15 000	70 000	71 400	
33 Other technical costs & administrative support	601 810	307 200	307 098	
TOTAL EXPENDITURE	15 506 807	16 404 040	16 555 138	0,9%

* Executed budget in 2012: EUR 228 880, included in chapter 33.

EXPENDITURE	Payment appropriations			
	Executed Budget 2012	Budget 2013	Draft Budget 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	10 153 269	11 351 040	11 441 910	0,8%
11 Salaries & allowances	9 657 225	10 380 000	10 452 660	
- of which establishment plan posts	3 633 307	3 785 000	3 811 495	
- of which external personnel	6 023 918	6 595 000	6 641 165	
12 Expenditure relating to staff recruitment	123 262	174 000	177 480	
13 Mission expenses	219 703	250 000	254 880	
14 Socio-medical infrastructure	7 431	30 040	30 758	
15 Training	145 111	270 000	274 292	
16 External services	*	242 000	246 840	
17 Receptions and events	536	5 000	5 000	
Title 2 Infrastructure and operating expenditure	2 897 213	3 375 800	3 434 730	1,7%
20 Rental of buildings and associated costs	2 122 434	2 289 400	2 335 124	
21 Information and communication technology	706 019	940 000	951 406	
22 Movable property and associated costs	11 819	21 500	21 900	
23 Current administrative expenditure	52 603	99 900	100 800	
24 Postage / Telecommunications	14 339	25 000	25 500	
25 Meeting expenses	-			
26 Running costs in connection with operational activities	-			
27 Information and publishing	-			
28 studies	-			
Title 3 Operational expenditure	1 331 493	1 677 200	1 678 498	0,1%
31 Experts	1 051 302	1 300 000	1 300 000	
32 Information & publications	9 984	70 000	71 400	
33 Other technical costs & administrative support	20 207	307 200	307 098	
TOTAL EXPENDITURE	14 381 975	16 404 040	16 555 138	0,9%

4.4. Outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 348 903.

5. Justification of needs

5.1. Human Resources

5.1.1. Number of staff requested

The number of staff foreseen for 2014 in accordance with the establishment plan was indicative depending on the further mandate of the agency and foresees the reduction of 8 contract agent posts in the EEN programme. However, pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level. The total number of temporary agents remains unchanged (37). All posts were filled by 31.12.2012. Due to operational needs, the agency decided to fill one AD post at the AST level thus arriving at a total 32 AD posts as compared to 33 foreseen in the establishment plan and a total of 5 AST posts as compared to 4 foreseen in the establishment plan.

5.1.2. Number of contract agents financed from the participation of candidate countries and/or third countries

As in 2012 and 2013, the agency has in addition to its establishment plan 4 additional contract agents positions that are financed through the contributions of third/candidates countries on budget line 02 01 04 30 (2013 budget nomenclature) and 02 01 06 01 (2014 budget nomenclature) for their participation in EIP.

5.1.3. *Salary assumption for calculating salary lines (% applied)*

The annual salary and allowances costs are estimated at EUR 103 922 per temporary agent and EUR 54 378 per contract agent. The staff turnover (in particular for contract agents) and the time to recruit are taken into account by reducing the total number of months of salary to be paid in 2014 (1% for the temporary agents, 3% for the contract agents).

5.1.4. *Vacancy rate as of end-2012*

At the end of 2012 there were 6 unfilled positions at the agency or 3% of the total authorised strength. The Agency had 156 filled positions at year-end (compared with 153 at the end of 2011)

5.1.5. *Standard abatement ("abatement forfaitaire") applied*

All temporary agent posts are filled now at the Agency. Therefore the standard abatement is 1% for the temporary agents and 3% for the contract agents. The salaries of 4 contract agents are paid on the participation of candidate countries and third countries in EIP.

5.2. **Financial Resources**

5.2.1. *Title 1*

The salaries have been based upon the situation at 31 December 2012 and the estimated number of posts occupied during 2012, applying a rate of 99% occupation for TAs and 97% occupation for CAs (see point 5.1.3 above). This title also covers a provision for the need to hire external staff on a temporary basis to fulfil general administrative support tasks at peak times or to temporarily replace temporary or contract agents. In addition, the Agency hires 8 "Blue book" trainees twice a year in order to offer them a first working experience at the EU Institutions.

5.2.2. *Title 2*

The building contract is subject to an usufruct contract of 15 years. The estimated amount covers the annual rental of the building but also all rent charges and related costs. Furthermore it covers SLAs with DG HR.DS for the security and access control and with OIB for technical assistance, cleaning and maintenance. The budgeted costs include a provision for the purchase of IT software licenses and office automation applications, as well as the costs for the IT helpdesk services. The Agency budget also covers estimates for the licensing and maintenance costs for budgetary and general accounting applications (ABAC), and encompasses costs for basic services for networks, videoconferencing, IT training, mailbox and SMT which are provided for by DG DIGIT via a service level agreement. In addition, license fees will need to be paid for SYSPER II and ARES. Depending on budget availability, new IT servers and equipment would need to be purchased as well, as a number of the existing ones have to be replaced after 4 years use. Finally, a budget is included for current administrative expenditure and telecommunications and postage costs, covered entirely by the Agency.

A provision for a 2% increase has been included in those items where it is considered that prices might be revised, except for those IT services received through Service Level Agreements signed with Commission services or Offices, where the increase applied is 0,9%, based on previous years.

5.2.3. *Title 3*

These costs mainly relate to fees for experts called upon to assist at the evaluation of proposals and to external audits on projects. The estimates have been adapted according to the present number of proposals received for each programme and kept to the minimum possible cost. It also covers publications that will increase gradually as from 2013 to reflect the possible future changes in the programmes delegated to the EACI. Chapter 33 (Other technical costs & administrative support) mainly covers the external audits planned in the ex-post control strategy agreed by the Steering Committee in 2010 and revised in 2012. This will further increase the quality of the programme management and to enhance the cost control.

6. Other information

6.1. Buildings

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other comment
Brussels	COV2	6 623	1 166 790	No	No	N/A	The rental is subject to a usufruct contract for a period of 15 years. The rent does not cover rent charges and related costs.

6.2. Evaluation

The main findings of the latest evaluation available are as follows:

The European Commission mandated Deloitte for conducting the Evaluation of the first three years of operation of the Executive Agency for Competitiveness and Innovation (EACI), formerly Intelligent Energy Executive Agency (IEEA), in the context of the multiple framework services contract with re-opened competition for Impact Assessments and Evaluations with former DG TREN. Concerning the overall achievement of the Agency's mission, to implement effectively and efficiently the delegated management tasks, the evaluation of the three first years came to positive conclusions, including client satisfaction. A second evaluation of the three years of operation of the Agency has been finalised in 2011.

2.2.3.2. Education, Audiovisual and Culture Executive Agency (EACEA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2005/56/EC of 14/01/2005	OJ L24 of 27/01/2005	Management of EU operational programmes in the field of education, audiovisual and culture
Commission decision 2007/114/EC of 08/02/2007	OJ L49 of 17/02/2007	Extension of mandate: new generation of programmes in the field of education, audiovisual and culture, as well as certain education strands of external programmes
Commission decision 2008/629/EC of 12/06/2008	OJ L205 of 01/08/2008	Extension of mandate to the MEDIA II programmes
Commission decision 2008/185/EC of 09/10/2008	OJ L269 of 10/10/2008	Extension of mandate to the Tempus programmes and ICI projects
Commission decision 2009/336/EC of 20/04/2009	OJ L101 of 21/04/2009	Extension of mandate to the Erasmus Mundus II programme
Commission decision 2012/9475/EC of 20 December 2012		Extension of mandate to the performance of tasks linked to implementation of Community programmes in the fields of education, audiovisual and culture

Seat Brussels, Belgium

Budget line 15 01 06 01 — Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus for All

15 01 06 02 — Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe

16 01 06 01 — Education, Audiovisual and Culture Executive Agency for implementation of Europe for Citizens programme 2014-2020.

19 01 06 01 — Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument (PI)

21 01 06 01 - Education, Audiovisual and Culture Executive Agency – Contribution from Development Cooperation Instrument (DCI)

21 01 06 02 – Education, Audiovisual and Culture Executive Agency – Contribution from European Neighbourhood Instrument (ENI)

22 01 06 01 — Education, Audiovisual and Culture Executive Agency — Contribution from Pre-accession Assistance programme (IPA)

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	34	30	34	34
Temporary agents (recruited by the Executive Agency)	69	69	71	71
Total Establishment plan posts	103	99	105	105
Contract Agents	313	307	326	326
Seconded National Experts	-			
Total staff	416*	406	431*	431**

* In addition to these posts, 19 contract agents are financed in 2012 and 2013 with the contribution paid by (potential) candidate and third countries and 3 contract agents are paid from the European Development Fund.

** In addition to these posts, 19 contract agents are financed in 2014 with the contribution paid by (potential) candidate and third countries and 3 contract agents are paid from the European Development Fund.

- Financial resources

Revenues	2013		2014	
	Revenues estimated by the agency		Budget Forecast	
EU Budget contribution	48 012 000		47 546 272	
Participation of EFTA	1 047 900		1 064 666	
Participation of third countries	2 225 622		2 187 622	
Total revenues	51 285 522		50 798 560	

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	32 008 000	32 008 000	32 290 000	32 290 000
Title 2	19 277 522	19 277 522	18 508 560	18 508 560
Title 3				
Total expenditure	51 285 522	51 285 522	50 798 560	50 798 560

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 9th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Media Mundus			X
MEDIA 2007			X
Lifelong Learning			X
Culture 2007			X
Youth in Action			X
Europe for Citizens 2007-13			X
Agreements with USA and Canada in the field of education			X
Tempus III and IV			X
Erasmus Mundus I and II			X
ICI bilateral projects			X
Western Balkans Youth			X

2.2. Operational appropriations managed

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	CA	PA	CA	PA	CA	PA
Erasmus for All						
15 02 51 - Completion line for Lifelong learning, including multilingualism						
Total operational appropriations of the programme	1 462 353 135	1 419 606 426	1 242 989 154	1 104 303 667	p.m.	222 376 600
<i>Of which operational budget managed by the executive agency</i>	244 926 165	212 169 769	249 191 000	197 003 667	p.m.	77 585 000
Erasmus for All						
15 02 53 - Completion line for Youth and Sport						
Total operational appropriations of the programme	187 988 962	175 054 141	143 450 000	126 468 227	p.m.	30 000 000
<i>Of which operational budget managed by the executive agency</i>	26 809 155	20 866 950	25 000 000	20 000 000		8 000 000

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	CA	PA	CA	PA	CA	PA
Erasmus for All						
15 02 01 Promoting excellence and cooperation in European education, training and youth area, its relevance to the labour market and the participation of young people in European democratic life						
Total operational appropriations of the programme			p.m.	p.m.	1 331 256 892	897 175 183
<i>Of which operational budget managed by the executive agency</i>			p.m.	p.m.		
Erasmus for All						
15 02 02 Developing excellence in teaching and research activities in European integration world-wide (Jean Monnet action)						
Total operational appropriations of the programme			p.m.	p.m.	34 546 000	24 217 999
<i>Of which operational budget managed by the executive agency</i>			p.m.	p.m.		
Creative Europe						
15 04 51 Completion of programmes/actions in the field of culture and language						
Total operational appropriations of the programme	63 796 935	56 408 445	59 656 000	50 274 703	p.m.	26 615 566
<i>Of which operational budget managed by the executive agency</i>	58 412 624	51 483 259	54 501 695	46 014 007	p.m.	23 300 000
Creative Europe						
15 04 53 Completion of former MEDIA programmes						
Total operational appropriations of the programme	123 018 816	113 589 086	112 609 000	102 795 588	p.m.	53 000 000
<i>Of which operational budget managed by the executive agency</i>	116 487 520	107 383 627	109 934 000	100 080 544		50 475 000
Creative Europe						
15 04 02 Supporting the Cultural sector to operate in Europe and beyond and to promote transnational circulation and mobility (ex Culture)						
Total operational appropriations of the programme			p.m.	p.m.	46 845 942	25 005 781
<i>Of which operational budget managed by the executive agency</i>						
Creative Europe						
15 04 03 Supporting the MEDIA sector to operate in Europe and beyond and to promote transnational circulation and mobility (ex Media et Media Mundus)						
Total operational appropriations of the programme			p.m.	p.m.	90 023 225	48 813 058
<i>Of which operational budget managed by the executive agency</i>						
Europe for Citizens						
16 02 51 Completion of the Europe for Citizens programme 2007-2013						
Total operational appropriations of the programme			p.m.	p.m.	p.m.	14 800 000
<i>Of which operational budget managed by the executive agency</i>	27 206 662	27 183 813	25 380 000	25 354 577	p.m.	13 000 000

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	CA	PA	CA	PA	CA	PA
Europe for Citizens						
16 02 01 Europe for Citizens programme 2014-2020						
Total operational appropriations of the programme			p.m.	p.m.	21 050 000	10 715 600
<i>Of which operational budget managed by the executive agency</i>						
21 02 51 Completion of the Development cooperation instrument pre-2014 (ex 19.0901/19.1001/19.1002/19.1003/21.0602)						
Total operational appropriations of the programme	2 642 153 020	1 959 233 405	1 203 630 000	650 848 229	p.m.	
<i>Of which operational budget managed by the executive agency</i>	78 995 921	59 967 161	93 692 855	72 546 174		66 345 997
Erasmus for All						
21 02 20 Erasmus for All – contribution from DCI instrument						
Total operational appropriations of the programme			p.m.	p.m.	93 900 074	3 283 687
<i>Of which operational budget managed by the executive agency</i>						
21 03 51 Completion of the programme European Neighbourhood Policy and relations with Russia pre-2014 (ex 19.080101/19.080103)						
Total operational appropriations of the programme	2 295 898 447	1 347 562 526	1 203 630 000	650 848 229	p.m.	909 500 000
<i>Of which operational budget managed by the executive agency</i>	147 817 570	101 062 850	203 523 883	131 982 270	p.m.	85 755 804
Erasmus for All						
21 03 20 Erasmus for All – contribution from ENI instrument						
Total operational appropriations of the programme			1 203 630 000	650 848 229	80 486 950	8 736 028
<i>Of which operational budget managed by the executive agency</i>			p.m.	p.m.		
21 09 51 ICI+ Programme Completion of actions pre-2014 (ex 19.0903/19.1004/21.0606)						
Total operational appropriations of the programme	75 500 000		371 064 000	273 386 429		
<i>Of which operational budget managed by the executive agency</i>			14 000 000	7 000 000		6 500 000
22 02 51 Completion of pre-accession assistance pre-2014 (ex 22.020701)						
Total operational appropriations of the programme			142 566 299	127 414 143	p.m.	690 141 998
<i>Of which operational budget managed by the executive agency</i>	38 563 049	33 460 755	49 944 862	37 075 027		32 405 393

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	CA	PA	CA	PA	CA	PA
Erasmus for All						
22 02 04 02 Regional integration and territorial cooperation and support to groups of countries (horizontal programmes) Contribution to Erasmus for All						
Total operational appropriations of the programme			142 566 299	127 414 143	29 243 936	4 036 318
<i>Of which operational budget managed by the executive agency</i>						
19 05 51 Completion of actions "Relations and cooperation with industrialised third countries" 2007-2013 (ex 19.0501)						
Total operational appropriations of the programme			23 400 000	18 285 754	p.m.	16 660 000
<i>Of which operational budget managed by the executive agency</i>	1 652 925	3 149 398	9 200 000	10 534 643		5 653 730
Erasmus for All						
19 05 20 Erasmus for All – contribution from PI						
Total operational appropriations of the programme			23 400 000	18 285 754	8 242 776	524 166
<i>Of which operational budget managed by the executive agency</i>						

3. Human resources

3.1. Staffing when created / extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2012)9474 of 20.12.2012, after approval by the Regulatory Committee on Executive Agencies in its meeting of 15/10/2012.

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)		47	41	34	34	34	23	12		
Temporary Agents (recruited by the agency)		56	61	68	69	71	47	25		
Executive Agency's total Establishment Plan Posts		103	102	102	103	105	70	37		
Contract Agents (*)		308	302,5	304	304	326	216	113		
Seconded National Experts (SNE)										
Total staff of the Executive Agency		411	404,5	406	407	431	286	150		

(*) Estimated full time equivalent units (FTE) on the basis of average costs

The numbers shown for the years 2014 and 2015 are indicative, concern the phasing out of the agency.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension.

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1		1	1
AD 13	3	3	4	4
AD 12	6	5	5	5
AD 11	4	2	4	4
AD 10	11	12	15	19
AD 9	16	12	14	15
AD 8	17	20	18	11
AD 7	5	3	3	6
AD 6	5	2	9	10
AD 5	8	12	5	3
AD total	76	71	78	78
AST 11				
AST 10				
AST 9	1			1
AST 8	1	1	3	1
AST 7	2	3	4	2
AST 6	2	1	1	3
AST 5	3	0	9	7
AST 4	11	11	7	8
AST 3	7	12	3	5
AST 2				
AST 1				
AST total	27	28	27	27
TOTAL	103	99	105	105

3.2.2. Contract agents

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	93	69	100	100
Function Group III	148	164	154	154
Function Group II	66	67	66	66
Function Group I	6	7	6	6
Total	313	307	326	326

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2.3. Seconded National Experts

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total	0	0	0	0

3.3. Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1. Freed staff in the parent DG

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG EAC	12 posts and 18 contract agents	12 posts and 18 contract agents	0
Total	30	30	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2004)5399 of 21 December 2004.

At extension of the mandate of the executive agency

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2013	Staff to be freed in 2014
DG EAC	3	3	0
Total	15	15	0

Source: Legislative financial statement accompanying the communication to the Commission C(2008)5582 of 2 October 2008.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2013	Staff to be freed in 2014
DG EAC	2 AST posts 2 contract agents	2 AST posts 2 contract agents	0
DG DEVCO	11 contract agents	11 contract agents	0
Total	15	15	0

Source: Legislative financial statement accompanying the communication to the Commission C(2012)9474 of 20 December 2012.

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency	
	By end 2013	In 2014
DG EAC	27	0
DG CNECT	4	0
Total	31	0

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agences exécutives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2013	Number of posts frozen in 2014
DG EAC		32	31
DG COMM		2	2
DG DEVCO		1	1
Total		34	34

Source: Legislative financial statement accompanying the communication to the Commission C(2012)9474 of 20 December 2012.

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2008	2009	2010	2011	2012	2013	2014
		46 857 000	47 522 000	48 749 000	50 133 000	48 012 000	
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)		44 361 000	46 668 500	46 898 000	46 698 000	46 496 000	
Budget after transfers and / or amending budgets (EUR-27)							
Operating budget of the agency							
Initial Budget (EUR-27)		43 757 000	46 668 500	46 898 000	46 522 000	46 496 000	
Budget after transfers and / or amending budgets (EUR-27)							

4.2. Revenues

REVENUES		2012	2013	2014	VAR 2014 / 2013 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
15.010601	Erasmus for All	24 680 000	24 672 000	25 311 108	
15.010602	Creative Europe	12 760 000	13 016 000	12 979 900	
16.0106	Europe for Citizens	3 370 000	3 370 000	2 190 000	
19.010601	Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument (PI)	514 000	514 000	522 224	
21.010601	Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCI)	1 332 000	1 244 000	1 263 926	
21.010602	Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)	2 733 000	4 067 000	4 132 050	
22.010601	Education, Audiovisual and Culture Executive Agency — Contribution from Pre-accession Assistance programme	1 133 000	1 129 000	1 147 064	
Sub Total		46 522 000	48 012 000	47 546 272	-1,0%

REVENUES		2012	2013	2014	VAR 2014 / 2013 (%)
		Executed Budget	Budget	Draft Budget	
2. EFTA Contribution					
Budget Line	Programme				
15.010601	Erasmus for All	630 656	683 452	701 229	
15.010602	Creative Europe	331 760	364 448	363 437	
Sub Total		962 416	1 047 900	1 064 666	1,6%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
15.010601	Erasmus for All	1 479 247	1 479 247	1 479 247	
15.010602	Creative Europe	338 313	338 313	338 313	
16.0106	Europe for Citizens	11 000	14 062	14 062	
EDF	ECW ACP Window	91 000	91 000	113 000	
EDF	Intra ACP mobility	251 000	303 000	243 000	
TOTAL REVENUES		49 654 976	51 285 522	50 798 560	-1,0%

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012	Budget 2013	DB 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	29 621 693	32 008 000	32 290 000	1%
11 Salaries & allowances	27 698 879	29 960 000	30 267 000	
- of which establishment plan posts	10 103 962	10 827 000	11 016 000	
- of which external personnel	17 594 917	19 133 000	19 251 000	
12 Expenditure relating to recruitment	10 000	15 000	10 000	
13 Mission expenses	650 000	730 000	700 000	
14 Socio-medical infrastructure	94 873	108 000	108 000	
15 Training	318 941	320 000	320 000	
16 External services	849 000	870 000	880 000	
17 Receptions and events	0	5 000	5 000	
Title 2 Infrastructure and operating expenditure	19 703 553	19 277 522	18 508 560	-4%
20 Rental of buildings and associated costs	5 195 040	5 240 000	5 315 000	
21 Information and communication technology	4 596 734	4 507 000	4 507 000	
22 Movable property and associated costs	260 289	218 500	231 000	
23 Current administrative expenditure	226 315	244 000	247 500	
24 Postage / Telecommunications	850 595	883 000	895 000	
25 Meeting expenses				
26 Running costs in connection with operational activities	8 574 580	8 185 022	7 313 060	
27 Information and publishing				
28 studies				
Title 3 Operational expenditure				
operational cost				
TOTAL EXPENDITURE	49 325 246	51 285 522	50 798 560	-1%

EXPENDITURE	Payment appropriations			
	Executed Budget 2012	Budget 2013	DB 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	28 720 638	32 008 000	32 290 000	1%
11 Salaries & allowances	27 476 234	29 960 000	30 267 000	
- of which establishment plan posts	10 094 301	10 827 000	11 016 000	
- of which external personnel	17 381 933	19 133 000	19 251 000	
12 Expenditure relating to sStaff recruitment	7 009	15 000	10 000	
13 Mission expenses	597 261	730 000	700 000	
14 Socio-medical infrastructure	69 792	108 000	108 000	
15 Training	198 032	320 000	320 000	
16 External services	372 310	870 000	880 000	
17 Receptions and events	0	5 000	5 000	
Title 2 Infrastructure and operating expenditure	14 144 747	19 277 522	18 508 560	-4%
20 Rental of buildings and associated costs	4 527 229	5 240 000	5 315 000	
21 Information and communication technology	3 091 976	4 507 000	4 507 000	
22 Movable property and associated costs	161 572	218 500	231 000	
23 Current administrative expenditure	156 392	244 000	247 500	
24 Postage / Telecommunications	839 459	883 000	895 000	
25 Meeting expenses				
26 Running costs in connection with operational activities	5 368 119	8 185 022	7 313 060	
27 Information and publishing				
28 studies				
Title 3 Operational expenditure				
operational cost				
TOTAL EXPENDITURE	42 865 386	51 285 522	50 798 560	-1%

4.4. *Outturn*

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 801 238.

5. **Justification of needs**

5.1. *Human Resources*

5.1.1. *Number of staff requested*

Pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level. This includes draft amending budget No 4/2013, covering the extension as specified in the Legislative Financial statement for C(2012) 9474 and C(2012) 9475.

5.1.2. *Number of contract agents financed from the participation of candidate countries and/or third countries*

19 contract agents are financed for 2013 from the participation of candidate countries and/or third countries for the following programmes: Lifelong Learning Programme, Culture, Youth, MEDIA. In addition, 3 contract agents are financed from the European Development Fund, of which 1 for the Erasmus Mundus II programme (ACP window) and 2 for the intra ACP-mobility scheme. The allocation for the future programmes from 2014 will be on a corresponding basis.

5.1.3. *Salary assumption for calculating salary lines (% applied)*

Salaries for 2014 have been estimated on the basis of 2012 actual costs, taking into account the index increases announced by the PMO (1,7% for 2011, 1,7% for 2012). A 1% vacancy rate has been taken into account in the calculation.

5.1.4. *Vacancy rate as of end-2012*

On 31 December 2012, the vacancy rate amounted to 1% of the total authorized staff (both for contract and temporary agents).

5.1.5. Standard abatement ("abatement forfaitaire") applied

The occupation rate is estimated to be 99% in 2013 for contractual agents and 100% for temporary agents which is a realistic figure considering the current very low vacancy rate in the Agency (the occupancy rate remained at 98-99% during most of the year 2012).

5.2. Financial Resources

5.2.1. Title 1

Title 1: EUR 32,29 million (+EUR 0,28 million compared to 2013). Compared to 2013, Title 1 has increased by 1%:

Chapter 11 - Salaries and allowances	Salaries for 2014 have been estimated on the basis of 2012 actual costs, taking into account the index increases announced by the PMO (1,7% for 2011, 1,7% for 2012). A 1% vacancy rate for contractual agents has been taken into account in the calculation. The lines for family allowances, indemnities and expatriation and for social contributions were estimated based on calculations provided in January by the PMO. The budget for interim staff has been reduced by 5,8% compared to 2013.
Chapter 13 - Mission expenses	The expenses have been reduced, taking account of the actual costs in 2012. This line will be subject to further adjustment as appropriate
Chapter 14 - Socio-medical infrastructure	Maintained at the 2013 level, taking account of the actual expenditure in 2012
Chapter 16 - Crèche costs	have been increased to cover indexation

5.2.2. Title 2

Title 2: EUR 18,51 million (-EUR 0,77 million compared to 2013). Compared to 2013, Title 2 has decreased by 4%. For the majority of chapters, the expenses have been maintained at a level similar to 2013 corrected for indexation.

Chapter 20 - Rental costs of the building and related costs	Budget has been increased in line with the indexation of these costs.
Chapter 21 - IT	Budget has been maintained at the level of 2013.
Chapter 22 - Movable property and associated costs	Budget has been increased in line with actual costs for 2012.
Chapter 23 - Current administrative expenditure	Budget has been maintained generally at 2013 levels, with an increase in costs of complaints, offset by a reduction in archiving expenses based on actual costs in 2012. This chapter only represents 1,3% of Title 2 as a whole.
Chapter 24 - subscriptions and royalties	Budget has been adjusted to take account of price increases.
Chapter 26	Budget has been reduced compared to 2013 level. It should be underlined that the expert costs for 2013 are lower than for 2012 due to the fact that certain selections were advanced. These costs will have to be re-assessed once the new sectoral legislation is sufficiently advanced to permit a realistic estimation of the amounts necessary to cover selection costs for delegated actions. The other lines in chapter 26 are stable at this point in order to cover the preparatory actions linked to the management of new programmes, in particular translations and communication costs. The audit costs are reduced compared to 2013 levels. These needs will be adjusted later as required.

5.2.3. Title 3

N/A

6. Other information

6.1. Buildings

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other comment
Brussels	Bourg 135-139	9 280	1 420 000	0	No		The annual rent includes the refurbishment cost financed over a seven year period
	Bourg 115	5 394	1 003 000	0	No		The annual rent includes the refurbishment cost financed over a seven year period

6.2. Evaluation

The **second interim evaluation of EACEA** was designed to provide a description of activities delivered between 1 May 2008 and 31 December 2011, an assessment of the costs and benefits of delegation, an update of progress since the previous evaluation and a set of findings and recommendations. In general terms EACEA has substantially achieved its objectives of managing programmes efficiently and simplifying or harmonising processes at key stages.

Relevance of role, design and set up. EACEA is operating in line with the legal framework, is relevant to the needs of parent DGs and has demonstrated flexibility in responding to increased demands for programme management. There have been improvements in technical and financial expertise as staffing has increased, and although staff turnover is comparatively low, there may be potential to further develop mobility between programme units and open up career pathways with other executive agencies.

Services to applicants and beneficiaries. EACEA has met the majority of output and budgetary targets. Satisfaction levels amongst applicants and beneficiaries are positive and consistent with previous surveys. Agency staff are seen as contactable and responsive, although the least positive aspect is the quality of feedback on unsuccessful applications. EACEA has made significant progress in harmonising and simplifying procedures, although there is some demand for further improvements to information provision and materials such as e-forms.

Costs of programme management. Delegation has been cost-effective compared with in-house management by the Commission, and predicted cost savings for 2008-2011 have been exceeded. Staff costs have remained below 5% of total programme budgets and although EACEA's remit and workforce has expanded, levels of overall efficiency (per staff member) have been maintained.

Facilitating better projects and outcomes. Project selection processes are clear and there is no shortage of applications meeting quality thresholds. External experts play a critical role, so procedures (including the impact of remote briefings and appraisal meetings) should remain under review. Financial controls and 'technical' monitoring are strong, and further improvements are currently being introduced.

The Commission's political role. Delegation is likely to have some beneficial impact on the ability of Commission staff to focus on their core responsibilities. Formal coordination and informal collaboration is generally working well and staff in the mirror units have a clearer understanding of roles and responsibilities. The information flow between EACEA and parent DGs has improved (both automatic and on-request), although there is potential to further improve the flow of qualitative information from projects.

Recommendations

1. Consider ways of promoting greater (internal and external) staff mobility;
2. Spread workload more evenly, through co-ordination of programme timetables;
3. Streamline processes for monitoring and reporting, especially in light of new programmes;
4. Improve feedback to unsuccessful applicants;
5. Seek improvements in application and information tools;
6. Prioritise revision of Commission's supervision strategy (and report on progress);
7. Ensure Annual Work Programme of EACEA is adopted at an early stage each year;
8. Keep procedures relating to external experts under review;
9. Continue to assess impact of remote briefings and appraisal meetings with external experts; and
10. Encourage sharing of practical, project-level information (i.e. results of field monitoring).

An Action Plan to address these recommendations will be implemented by the Agency in cooperation with the Commission.

2.2.3.3. Executive Agency for Health and Consumers (EAHC)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2004/858/EC of 15/12/2004	OJ L369 of 16/12/2004	Implementation of public health programme 2003-2008
Commission decision 2008/544/EC of 20/06/2008	OJ L173 of 03/07/2008	Extension of mandate / new name (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013 and food safety training measures.
Commission decision 2012/740/EU of 29/11/2012	OJ L331 of 01/12/2012	Extension of mandate (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013; European standardisation and the food safety training measures.

Seat Luxembourg, Luxembourg

Budget line 17 01 06 01 — Executive Agency for Health and Consumers — Contribution from Consumer programme

17 01 06 02 — Executive Agency for Health and Consumers — Contribution from Health for Growth programme

17 01 06 03 — Executive Agency for Health and Consumers — Contribution in the field of Food and feed safety, animal health, animal welfare and Plant health

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	7	5	7	6
Temporary agents (recruited by the Executive Agency)	5	6	5	6
Total Establishment plan posts	12	11	12	12
Contract Agents	38	39	38	38
Seconded National Experts				
Total staff	50	50	50	50

- Financial resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU Budget contribution	7 070 000	7 070 000
Participation of EFTA	153 400	165 200
Participation of third countries		
Total revenues	7 223 400	7 235 200

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	4 277 300	4 277 300	4 320 000	4 320 000
Title 2	1 220 000	1 220 000	1 232 200	1 232 200
Title 3	1 726 100	1 726 100	1 682 800	1 682 800
Total expenditure	7 223 400	7 223 400	7 235 200	7 235 200

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 9th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Public Health Programme 2003-2008			X
Public Health Programme 2008-2013			X
Consumer Programme 2007-2013			X
Food Safety Training Measures			X

2.2. Operational appropriations managed

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Public Health Programme 2003-2008						
Budget line: 17 03 51, ex 17 03 01 01						
Total operational appropriations of the programme		7 208 320		2 965 257		
<i>Of which operational budget managed by the executive agency</i>		7 208 320		2 965 257		
Public Health Programme 2007-2013						
Budget line: 17 03 51, ex. 17 03 06						
Total operational appropriations of the programme	49 555 800	48 318 289	49 800 000	38 054 136		35 730 000
<i>Of which operational budget managed by the executive agency</i>	34 772 682	35 527 298	37 800 000	37 500 000		26 800 000
Consumer Programme 2007-2013						
Budget line: 17 02 51, ex 17 02 02						
Total operational appropriations of the programme	21 637 943	18 248 458	20 700 000	18 779 963		13 100 000
<i>Of which operational budget managed by the executive agency</i>	15 893 343	13 220 620	15 200 000	12 200 000		10 900 000
Food Safety Training Measures (Plant)						
Budget line: 17 04 51, ex 17 04 04 01						
Total operational appropriations of the programme	12 800 000	8 771 355	14 000 000	11 366 820		10 500 000
<i>Of which operational budget managed by the executive agency</i>	660 000	697 589	1 000 000	750 000		500 000

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Food Safety Training Measures (non-Plant)						
Budget line: 17 04 51, ex 17 04 07 01						
Total operational appropriations of the programme	28 954 334	25 345 302	34 000 000	27 675 735		24 000 000
<i>Of which operational budget managed by the executive agency</i>	13 460 000	9 996 370	13 000 000	12 800 000		11 800 000

3. Human resources

3.1. Staffing when created / extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2008)2779 of 13/06/2008, after approval by the Regulatory Committee on Executive Agencies in its meeting of 15/04/2008;

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)	8	8	7	7	7	7	7	3		
Temporary Agents (recruited by the agency)	4	4	5	5	5	5	3	1		
Executive Agency's total Establishment Plan Posts	12	12	12	12	12	12	8	4		
Contract Agents (*)	30	38	38	38	38	38	25	12		
Seconded National Experts (SNE)										
Total staff of the Executive Agency	42	50	50	50	50	50	33	16		

(*) Estimated full time equivalent units (FTE) on the basis of average costs

The numbers shown for the years 2014 and 2015 are indicative, concern the phasing out of the agency and are not covered by the present multi-annual financial framework.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension.

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1
AD 13				
AD 12				
AD 11	2	2	2	2
AD 10				1
AD 9	1	1	1	
AD 8	1	1	1	1
AD 7	1	1	1	1
AD 6	1	1	2	2
AD 5	2	2	1	1
AD total	9	9	9	9
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	1		1	1
AST 6	1	1	1	1
AST 5	1	1	1	1
AST 4				
AST 3				
AST 2				
AST 1				
AST total	3	2	3	3
TOTAL	12	11	12	12

3.2.2. Contract agents

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	20	20	20	20
Function Group III	10	11	10	10
Function Group II	8	8	8	8
Function Group I				
Total	38	39	38	38

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2.3. Seconded National Experts

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total	0	0	0	0

3.3. *Impact of the creation of the Executive Agency on the human resources of the Commission*

3.3.1. *Freed staff in the parent DG*

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG SANCO	10	10	0
Total	10	10	0

Source: Legislative financial statement accompanying the communication to the Commission C(2004)4839 of 8 December 2004.

At extension of the mandate of the executive agency

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2013	Staff to be freed in 2014
DG SANCO	12	12	0
Total	12	12	0

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2008)2779 of 13/06/2008, after approval by the Regulatory Committee on Executive Agencies in its meeting of 15/04/2008

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency	
	By end 2013	In 2014
DG SANCO	2	1
Total	2	1

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. *Frozen posts in the Commission Establishment Plan*

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agences executives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2013	Number of posts frozen in 2014
DG SANCO	7	7	6
Total	7	7	6

Source: Legislative financial statement accompanying the communication to the Commission C(2008)2779 of 13 June 2008.

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2008	2009	2010	2011	2012	2013	2014
	6 000 000	7 110 000	7 160 000	7 320 000	7 130 000	7 640 000	
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)	4 100 000	6 720 000	7 110 000	6 900 000	7 070 000	7 070 000	
Budget after transfers and / or amending budgets (EUR-27)							
Operating budget of the agency							
Initial Budget (EUR-27)	4 100 000	6 720 000	7 110 000	6 900 000	7 070 000	7 070 000	
Budget after transfers and / or amending budgets (EUR-27)							

4.2. Revenues

REVENUES		2012	2013	2014	VAR 2014 / 2013 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
17.010602	Programmes under Heading 3b	5 609 472	5 900 000		
17.010601	Programmes under Heading 2	1 112 387	1 170 000		
17 01 06 01	Executive Agency for Health and Consumers — Contribution from Consumer programme			1 691 000	
17 01 06 02	Executive Agency for Health and Consumers — Contribution from Health for Growth programme			4 209 000	
17 01 06 03	Executive Agency for Health and Consumers — Contribution in the field of Food and feed safety, animal health, animal welfare and Plant health			1 170 000	
Sub Total		6 721 859	7 070 000	7 070 000	0,0%
2. EFTA Contribution					
Budget Line	Programme				
17.010602	Programmes under Heading 3b	145 846	153 400	165 200	
Sub Total		145 846	153 400	165 200	7,7%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
TOTAL REVENUES					

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012	Budget 2013	DB 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	4 025 213	4 277 300	4 320 200	+1%
11 Salaries & allowances	3 366 213	3 636 300	3 671 800	
- of which establishment plan posts	1 311 145	1 396 300	1 409 800	
- of which external personnel	2 055 068	2 240 000	2 262 000	
12 Expenditure relating to recruitment	84 000	68 000	68 700	
13 Mission expenses				
14 Socio-medical infrastructure	162 000	163 000	165 200	
15 Training	119 000	116 000	117 500	
16 External services	294 000	294 000	297 000	
17 Receptions and events				
Title 2 Infrastructure and operating expenditure	1 192 319	1 220 000	1 232 200	+1%
20 Rental of buildings and associated costs	634 340	665 000	675 000	
21 Information and communication technology	319 613	323 000	325 000	
22 Movable property and associated costs	8 789	10 300	10 200	
23 Current administrative expenditure	15 079	23 100	23 100	
24 Postage / Telecommunications	6 611	10 000	10 000	
25 Meeting expenses	1 040	1 000	900	
26 Running costs in connection with operational activities	206 847	187 600	188 000	
27 Information and publishing				
28 studies				
Title 3 Operational expenditure	1 650 173	1 726 100	1 682 800	-3%
operational cost	1 650 173	1 726 100	1 682 800	
TOTAL EXPENDITURE	6 867 705	7 223 400	7 235 200	+0,2%

EXPENDITURE	Payment appropriations			
	Executed Budget 2012	Budget 2013	DB 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	4 025 213	4 277 300	4 320 200	+1%
11 Salaries & allowances	3 366 213	3 636 300	3 671 800	
- of which establishment plan posts	1 311 145	1 396 300	1 409 800	
- of which external personnel	2 055 068	2 240 000	2 262 000	
12 Expenditure relating to sStaff recruitment	84 000	68 000	68 700	
13 Mission expenses				
14 Socio-medical infrastructure	162 000	163 000	165 200	
15 Training	119 000	116 000	117 500	
16 External services	294 000	294 000	297 000	
17 Receptions and events				
Title 2 Infrastructure and operating expenditure	1 192 319	1 220 000	1 232 200	+1%
20 Rental of buildings and associated costs	634 340	665 000	675 000	
21 Information and communication technology	319 613	323 000	325 000	
22 Movable property and associated costs	8 789	10 300	10 200	
23 Current administrative expenditure	15 079	23 100	23 100	
24 Postage / Telecommunications	6 611	10 000	10 000	
25 Meeting expenses	1 040	1 000	900	
26 Running costs in connection with operational activities	206 847	187 600	188 000	
27 Information and publishing				
28 studies				
Title 3 Operational expenditure	1 650 173	1 726 100	1 682 800	-3%
operational cost	1 650 173	1 726 100	1 682 800	
TOTAL EXPENDITURE	6 867 705	7 223 400	7 235 200	+0,2%

4.4. Outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 550 626.

5. Justification of needs

5.1. Human Resources

5.1.1. Number of staff requested

Pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level.

5.1.2. Number of contract agents financed from the participation of candidate countries and/or third countries

No such contract agents are requested.

5.1.3. Salary assumption for calculating salary lines (% applied)

As from 16.01.2012 all vacancies were filled. On average, positions having become free in the course of 2012 were filled within 1 month. The Agency staffing level could therefore be maintained close to 100%, all over 2012. At 31.12.2012 there were no vacancies. Along this experience, for 2014 it may be expected that the vacancy rate shall continue to remain low, close to 0%. One Temporary Agent (staffing grade AD9) is expected to be promoted to the grade AD10, in 2014, impacting the establishment plan and budget.

Overall, salary lines were increased with a nominal 1% compared to 2013. A 1% increase in nominal terms was applied to the full Title 1 and Title 2 of the 2014 draft budget. The overall 2014 budget was maintained at the level of 2013 excluding EFTA contributions. The EFTA contributions for 2014 were estimated at 2,80% (compared to 2,60% in 2013) and concern only the Public Health Programme and the Consumer Programme.

5.1.4. Vacancy rate as of end-2012

There were no vacancies at the end of 2012.

5.1.5. Standard abatement ("abatement forfaitaire") applied

In view of the 2012 staffing level with all posts filled at 31.12.2012, and the level of vacancies maintained close to 0% during 2012, no 'abatement forfaitaire' was applied. Also, considering a staffing level of 50 persons, no 1% flat cut of staff was applied. However, overall the budget of Titles 1 and 2 was kept constant at 2013 level (no real increase), limiting the increase to 1% in nominal terms (see also point 5.1.3). Also, awaiting the results of the cost-benefit analysis on the future mandate of executive agencies and the internal decision-making process, the staff numbers are kept constant at 2013 level. Consequently, the agency is in a position to manage the closure of the 2007-2013 programmes as well as to manage the first step of the delegation of the 2014-2020 programmes.

5.2. Financial Resources

5.2.1. Title 1

Under this Title budget appropriations necessary to cover staff costs (salaries and salary related contributions (social security and allowances)), recruitment expenses and staff training costs are posted. The Title also includes costs for services provided by the Commission PayMaster Office (PMO), the Human Resources Directorate General (DG HR) and interim staff. For 2014, the overall Title 1 is increased by 1% in nominal terms. The budget planning may require additional savings in case the pending decision on 2011/ 2012 salary adaptation(s) is/are decided.

5.2.2. Title 2

Under this Title budget appropriations – other than staff (see Title 1) - necessary to operate, also called 'Overheads' are budgeted. These expenses are mainly rent and related charges for the Agency premises, telecommunication costs (including purchase and maintenance of IT hardware and software), office furniture and supplies. Translation costs, IT services and other expertise (for example accounting or internal control advise) complete Title 2. Similar to Title 1, the increase in the overall 2014 DB for Title 2 is limited to 1% in nominal terms what translates in no increase in real terms considering inflation. The Agency made specific efforts to not overestimate costs and to make real savings reducing expenditure where possible.

5.2.3. Title 3

Title 3 of the Agency administrative budget typically includes expenditure linked to the Agency's operations. Respecting a strict budget rigour, the meetings & information days budget shall be used to maintain the 2012 level of dissemination of project results. The communication budget shall continue to allow the setting up of proper tools for the better Training for Safer Food initiative as well as to continue efforts for the Health Programme and the Consumers Programme in the same domain. The Title 3 budget is reduced by 2,51% in nominal terms (on top of inflation) compared to 2013, keeping the overall amount of the Agency at the level of the 2013 budget, excluding EFTA contributions.

6. Other information

6.1. Buildings

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other comment
Luxembourg	Drosbach	1 615	660 000	No	Yearly renewal (25/5/2018)	n/a	The Agency rents from OIL who rents from the owner of the building

6.2. Evaluation

The first interim Evaluation of the Executive Agency for Health and Consumers (EAHC) covered the first three years of the Agency's life (spring 2006-spring 2009). The evaluation was conducted by an external evaluation firm (COWI) from October 2009 to November 2010 and submitted to DG SANCO in January 2011.

The evaluation can be found on the Secretariat General website dedicated to evaluations and on our SANCO Website http://ec.europa.eu/health/programme/docs/eahc_eval_dec2010_en.pdf

The evaluation concluded against an early closure of the EAHC before expiry of its current mandate, as such an approach could result in programme continuity issues and in higher monetary costs than keeping the Executive Agency operational until 2015. The main message from the evaluation is that the Agency has managed to improve procedures and increase the level of service provided to beneficiaries. Overall, no major shortcomings were identified as regards effective management of the PHP, though the increase in quality is not attempted to be measured in monetary terms. A relatively high level of staff turnover has however been noted. Another significant finding refers to communication between DG SANCO and EAHC regarding project result assessment and incorporation into future Annual Work Programmes. The evaluators therefore recommend that the latter be addressed and improved. The evaluation highlighted areas where progress had been made – the CBA shows a positive monetary benefit in favour of the EAHC when comparing programme management of the PHP in the Agency to programme management within the Commission – and aspects where room for improvement remains.

2.2.3.4. Trans-European Networks-Transport Executive Agency (TEN-T EA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2007/60/EC of 26/10/2006	OJ L32 of 06/02/2007	Management of EU operational programmes in the field of trans-European transport networks
Commission decision 2008/593/EC of 11/07/2008	OJ L190 of 18/07/2008	Extension of mandate to the management of TEN-T 2007 - 2013 programmes

Seat Brussels, Belgium

Budget line 06 01 06 01 — Trans-European transport networks — Executive Agency — Contribution from Connecting Europe Facility (CEF)

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	7	7	7	7
Temporary agents (recruited by the Executive Agency)	26	26	26	26
Total Establishment plan posts	33	33	33	33
Contract Agents	67	66	67	67
Seconded National Experts				
Total staff	99	99	100	100

- Financial resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU Budget contribution	9 805 000	9 805 000
Participation of EFTA		
Participation of third countries		
Total revenues	9 805 000	9 805 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	7 090 000	7 090 000	7 108 000	7 108 000
Title 2	2 225 000	2 225 000	2 349 000	2 349 000
Title 3	490 000	490 000	348 000	348 000
Total expenditure	9 805 000	9 805 000	9 805 000	9 805 000

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 8th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
06 02 51 Completion of Trans-European networks programme			X

2.2. Operational appropriations managed

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Trans European Networks						
Budget Line 06 03 01						
Total operational appropriations of the programme		21 550 940		9 884 191		
<i>Of which operational budget managed by the executive agency</i>		21 550 940		9 884 191		
Trans European Networks						
Budget Line 06 03 03						
Total operational appropriations of the programme	1 275 406 119	710 537 874	1 410 000 000	659 067 096		
<i>Of which operational budget managed by the executive agency</i>	1 275 406 119	710 537 874	1 410 000 000	659 067 096		
Connecting Europe Facility (CEF)						
Budget Line 06 02 01						
Total operational appropriations of the programme					2 450 287 001	
<i>Of which operational budget managed by the executive agency</i>						
Completion of Trans European Networks Programme						
Budget Line 06 02 51						
Total operational appropriations of the programme					0	800 000 000
<i>Of which operational budget managed by the executive agency</i>					0	800 000 000
TOTAL operational budget managed by the executive agency						

3. Human resources

3.1. Staffing when created / extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2008)3465 of 04/07/2008, after approval by the European Parliament (Letter of Pt Böge and MEP Haug to Commissioner Grybauskaitė of 10/06/2008 (C(2008)35913) and the Council, represented by its Regulation Committee on Executive Agencies in its meetings of 15/05/2008.

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)	8	8	7	7	7	7	6	4		
Temporary Agents (recruited by the agency)	24	24	26	26	26	26	19	12		
Executive Agency's total Establishment Plan Posts	32	32	33	33	33	33	25	16		
Contract Agents (*)	67	67	66	66	66	66	54	34		
Seconded National Experts (SNE)										
Total staff of the Executive Agency	99	99	99	99	99	99	79	50		

(*) Estimated full time equivalent units (FTE) on the basis of average costs

Figures shown for 2014 and 2015 are indicative, concern the phasing out of the Agency and are not covered by the present MFF.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1*
AD 13				4
AD 12	1	1	2	
AD 11	2	2	2	2
AD 10	2	2	2	2
AD 9	4	4	4	4
AD 8	4	4	4	5
AD 7	7	7	7	7
AD 6	7	7	6	3
AD 5				
AD total	28	28	28	28
AST 11				
AST 10				
AST 9				
AST 8				
AST 7				
AST 6				
AST 5	2	2	2	2
AST 4	1	1	1	2
AST 3	2	2	2	1
AST 2				
AST 1				
AST total	5	5	5	5
TOTAL	33	33	33	33

(*)The establishment plan accepts the following ad personam appointment: 1 AD 14 official may become AD 15

3.2.2. Contract agents

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	26		26	26
Function Group III	23		23	23
Function Group II	17		17	17
Function Group I	1		1	1
Total	67		67	67

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2.3. Seconded National Experts

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total				

3.3. Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1. Freed staff in the parent DG

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG MOVE	32 posts and 6 contract agents	32 posts and 6 contract agents	0
Total	38 FTE	38 FTE	0 FTE

Source: Legislative financial statement accompanying the communication to the Commission C(2006)5034 of 19 October 2006.

At extension of the mandate of the executive agency

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2013	Staff to be freed in 2014
DG MOVE	24 posts	24 posts	0
Total	24 posts	24 posts	0

Source: Legislative financial statement accompanying the communication to the Commission C(2008)3465 of 4 July 2008.

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency	
	By end 2013	In 2014
DG MOVE	0	0
Total	0	0

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agencies executives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2013	Number of posts frozen in 2014
DG MOVE	7	7	7
Total	7	7	7

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2008	2009	2010	2011	2012	2013	2014
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)		9 489 000	9 794 000	9 900 000	9 805 000	9 805 000	
Budget after transfers and / or amending budgets (EUR-27)		8 855 000	9 794 000	9 900 000	9 805 000	9 805 000	
Operating budget of the agency							
Initial Budget (EUR-27)							
Budget after transfers and / or amending budgets (EUR-27)							

4.2. Revenues

REVENUES		2012	2013	2014	VAR 2014 / 2013 (%)
		Executed Budget	Budget	DB	
1. EU contribution to the executive agency					
Budget Line	Programme				
06.01.04.31	TEN-T	9 612 676	9 805 000	9 805 000	
Sub Total		9 612 676	9 805 000	9 805 000	0%
2 Participation of EFTA					
Budget Line	Programme				
Sub Total					
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
Sub Total					
TOTAL REVENUES		9 612 676	9 805 000	9 805 000	0%

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012	Budget 2013	Draft Budget 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	6 745 463	7 090 000	7 108 000	0,25%
11 Salaries & allowances	6 425 805	6 750 000	6 768 000	
- of which establishment plan posts				
- of which external personnel				
12 Expenditure relating to staff recruitment	16 715	36 000	36 000	
13 Mission expenses	141 000	130 000	130 000	
14 Socio-medical infrastructure	44 272	54 000	54 000	
15 Training	115 000	115 000	115 000	
16 External services				
17 Receptions and events	2 670	5 000	5 000	
Title 2 Infrastructure and operating expenditure	2 139 300	2 225 000	2 349 000	5,6%
20 Rental of buildings and associated costs	1 246 816	1 655 000	1 729 000	
21 Information and communication technology	794 083	512 000	562 000	
22 Movable property and associated costs	66 429	28 000	28 000	
23 Current administrative expenditure	27 439	24 000	24 000	
24 Postage / Telecommunications	4 530	6 000	6 000	
25 Meeting expenses				
26 Running costs in connection with operational activities				
27 Information and publishing				
28 Studies				
Title 3 Operational expenditure	727 912	490 000	348 000	-29%
31-32 meeting expenses, information and publishing, translation	103 415	95 000	121 000	
3301 and 3302 various outside services and audits	173 666	136 000	200 000	
3303 expenditure for evaluation of projects	431 550	233 000	0	
3304 stagiaires/trainees	19 281	26 000	27 000	
TOTAL EXPENDITURE	9 612 676	9 805 000	9 805 000	0%

EXPENDITURE	Payment appropriations			
	Executed Budget 2012	Budget 2013	Draft Budget 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	6 543 876	7 090 000	7 108 000	0,25%
11 Salaries & allowances	6 322 058	6 750 000	6 768 000	
- of which establishment plan posts	3 317 547			
- of which external personnel	3 004 511			
12 Expenditure relating to staff recruitment	14 030	36 000	36 000	
13 Mission expenses	98 216	130 000	130 000	
14 Socio-medical infrastructure	32 517	54 000	54 000	
15 Training	74 383	115 000	115 000	
16 External services				
17 Receptions and events	2 670	5 000	5 000	
Title 2 Infrastructure and operating expenditure	1 333 544	2 225 000	2 349 000	5,6%
20 Rental of buildings and associated costs	936 553	1 655 000	1 729 000	
21 Information and communication technology	355 891	512 000	562 000	
22 Movable property and associated costs	19 232	28 000	28 000	
23 Current administrative expenditure	17 660	24 000	20 000	
24 Postage / Telecommunications	4 207	6 000	6 000	
25 Meeting expenses				
26 Running costs in connection with operational activities				
27 Information and publishing				
28 Studies				
Title 3 Operational expenditure	364 300	490 000	348 000	-29%
31-32 meeting expenses, information and publishing, translation	40 244	95 000	121 000	
3301 and 3302 various outside services and audits	73 224	136 000	200 000	
3303 expenditure for evaluation of projects	231 550	233 000	0	
3304 stagiaires/trainees	19 281	26 000	27 000	
TOTAL EXPENDITURE	8 241 720	9 805 000	9 805 000	0%

4.4. Outturn

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 307 866.

5. Justification of needs

5.1. Human Resources

5.1.1. Number of staff requested

Pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level.

5.1.2. Number of contract agents financed from the participation of candidate countries and/or third countries

N/A

5.1.3. Salary assumption for calculating salary lines (% applied)

The calculation took into account a vacancy rate of 2% on average in 2014 and a salary adjustment for 2012 as well as a salary adjustment for 2013 estimated at 1 % included in the 2014 salary payments.

5.1.4. Vacancy rate as of end-2012

1%

5.1.5. *Standard abatement ("abatement forfaitaire") applied*

As indicated under 5.1.3, a 2% vacancy rate has been foreseen in the salary calculations.

5.2. *Financial Resources*

5.2.1. *Title 1*

Globally staff expenditure increases by 0,25% compared to 2013.

5.2.2. *Title 2*

An increase of 5,6% is foreseen for title 2, notably for the indexation of the costs related to the building and for Chapter 21 "computer equipment purchase and maintenance", to cover the costs of adapting the IT systems to face new activities foreseen to be delegated to the Agency

5.2.3. *Title 3*

For title 3, a decrease of 29% is foreseen, mainly as the expenditures for evaluation will no longer be part of the administrative expenditures but will be charged directly to the operational appropriations.

6. **Other information**

6.1. *Buildings*

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner ?	If yes: present value ?	Other comment
Brussels	W9 10	4 632 offices + 234 archives + 30 parking places	908 000	No	No		

6.2. *Evaluation*

A mid-term evaluation of the agency was finalised in July 2012. The main findings of the latest evaluation available are as follows:

http://ec.europa.eu/transport/facts-fundings/evaluations/trans_european_networks_en.htm

2.2.3.5. European Research Council Executive Agency (ERCEA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission decision 2008/37EC of 14/12/2007	OJ L9 of 12/01/2008	Management of the Ideas Specific Programme in the framework of the 7th Framework Programme for research

Seat Brussels, Belgium

Budget line 08 01 06 01 — European Research Council Executive Agency (ERCEA) — Contribution from Horizon 2020

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	14	11	14	14
Temporary agents (recruited by the Executive Agency)	86	85	86	86
Total Establishment plan posts	100	96	100	100
Contract Agents	281	275	281	280
Seconded National Experts	8	9	8	9
Total staff	389	380	389	389

- Financial resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU Budget contribution	39 000 000	39 415 000
Participation of EFTA (*)	1 092 000	1 103 620
Participation of third countries		
Total revenues	40 092 000	40 518 620

(*) The EFTA/EEA contribution is estimated for the DB 2014 at the same level as for 2013, i.e. 2,80%.

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	26 414 460	26 414 460	26 841 080	26 841 080
Title 2	13 677 540	13 677 540	13 677 540	13 677 540
Title 3				
Total expenditure	40 092 000	40 092 000	40 518 620	40 518 620

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 7th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
FP7 – IDEAS Specific Programme			X

2.2. Operational appropriations managed

	Executed budget 2012 *		Budget 2013		Draft Budget 2014	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
IDEAS						
Budget line: 08 10 01 00 - Ideas (for 2012 and 2013)						
Budget line 08 02 52 - Completion of the previous research framework programme - Seventh Framework Programme - EC Indirect Actions (2007 to 2013) for 2014						
Total operational appropriations of the programme	1 606 950 987	870 666 963	1 707 158 000	989 690 500		1 002 140 429
<i>Of which operational budget managed by the executive agency</i>	1 606 935 987	870 650 762	1 707 140 000	989 672 500		1 002 120 429
TOTAL operational budget managed by the executive agency	1 606 935 987	870 650 762	1 707 140 000	989 672 500		1 002 120 429

(*) Including EFTA/EEA contribution (2,6%)

3. Human resources

3.1. Staffing when created extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2007)6268 of 07/12/2007 after approval by the Council, represented by its Regulation Committee on Executive Agencies in its meeting of 14/11/2007.

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)	20	20	20	20	20	20	15	10	8	5
Temporary Agents (recruited by the agency)	62	80	80	80	80	80	45	35	27	15
Executive Agency's total Establishment Plan Posts	82	100	100	100	100	100	60	45	35	20
Contract Agents (*)	120	170	200	230	259	259	175	140	95	45
Seconded National Experts (SNE)	18	30	30	30	30	30	0	0	0	0
Total staff of the Executive Agency	220	300	330	360	389	389	235	185	130	65

(*) Estimated full time equivalent units (FTE) on the basis of average costs

The numbers shown for the years 2014-2017 are indicative, concern the phasing out of the agency.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension.

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1
AD 13	3	3	3	3
AD 12	5	3	5	5
AD 11	2	1	2	2
AD 10	3	3	3	3
AD 9	3	4	11	11
AD 8	27	25	34	34
AD 7	40	39 (*)	32	32
AD 6	10	12	8	8
AD 5	6	6	1	1
AD total	100	97	100	100
AST 11				
AST 10				
AST 9				
AST 8				
AST 7				
AST 6				
AST 5				
AST 4				
AST 3				
AST 2				
AST 1				
AST total				
TOTAL	100	97	100	100

(*) Including one offer letter sent

3.2.2. Contract agents

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	72	71	70	70
Function Group III	112	108	113	113
Function Group II	93	93	93	93
Function Group I	4	3	4	4
Total	281	275	280	280

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2.3. Seconded National Experts

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total	8	9	8	9

3.3. *Impact of the creation of the Executive Agency on the human resources of the Commission*

3.3.1. *Freed staff in the parent DG*

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation - The delegation of tasks to the ERCEA Agency did not entail any transfer of activities from the Commission to this Agency as the ERC programme was created with the 7th Framework Programme and was therefore new in 2007.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG RTD	0	0	0
Total	0	0	0

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency	
	By end 2013	In 2014
DG RTD	0	0
Total	0	0

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. *Frozen posts in the Commission Establishment Plan*

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agences executives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen 2013	Number of posts frozen In 2014
DG RTD	20 *	14 **	14
Total	20	14	14

Source: * Legislative financial statement accompanying the Communication to the Commission C(2007)6268 of 7 December 2007.

** Six posts out of the 20 initially authorised to be filled by seconded officials have been transformed into posts for external temporary agents.

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2009	2010	2011	2012	2013	2014
		35 610 000	39 130 000	42 777 000	46 457 000	47 385 000
Agency contribution as voted by the budgetary Authority						
Initial Budget (EUR-27)	32 410 000	32 110 000	35 115 000	39 000 000	39 000 000	
Budget after transfers and / or amending budgets (EUR-27)	18 435 486	28 477 828	34 765 000	37 686 000		
Operating budget of the agency						
Initial Budget (EUR-27)	"18 379 350	30 000 000	35 950 737	40 014 000	40 092 000	
Budget after transfers and / or amending budgets (EUR-27)	19 189 347	29 287 000	35 600 737	38 700 000		

4.2. Revenues

REVENUES		2012	2013	2014	Difference 2014 / 2013 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08 01 04 30	European Research Council Executive Agency (ERCEA)	37 686 000	39 000 000		
08 01 06 01	European Research Council Executive Agency (ERCEA)			39 415 000	
Sub Total		37 686 000	39 000 000	39 415 000	+1%
2. EFTA Contribution *					
Budget Line	Programme				
08 01 04 30	European Research Council Executive Agency (ERCEA)	1 014 000	1 092 000		
08 01 06 01	ERCEA Contribution from Horizon 2020			1 103 620	
Sub Total		1 014 000	1 092 000	1 103 620	+1%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
TOTAL REVENUES		38 700 000	40 092 000	40 518 620	+1%

(*) The EFTA/EEA contribution is estimated for the DB 2014 at the same level as for 2013, i.e. 2,80%.

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012 *	Budget 2013	Draft Budget 2014	VAR 2014 / 2013 (%)
Title 1 Staff expenditure	23 913 770	26 414 460	26 841 080	+1,6
11 Salaries & allowances	22 363 833	24 787 860	25 172 000	
- of which establishment plan posts	10 266 312	10 800 000	10 972 000	
- of which external personnel	12 097 521	13 987 860	14 200 000	
12 Expenditure relating to staff recruitment	115 648	116 000	116 000	
13 Mission expenses	375 373	400 000	400 000	
14 Socio-medical infrastructure	621 874	640 600	648 080	
15 Training				
16 External services	431 000	465 000	500 000	
17 Receptions and events	6 042	5 000	5 000	
Title 2 Infrastructure and operating expenditure	14 498 127	13 677 540	13 677 540	0,0
20 Rental of buildings and associated costs	5 219 326	4 674 080	4 716 810	
21 Information and communication technology	6 830 627	6 223 000	6 044 270	
22 Movable property and associated costs	74 329	73 200	73 200	
23 Current administrative expenditure	66 635	78 760	82 760	
24 Postage / Telecommunications	705 188	738 500	750 000	
25 Meeting expenses	5 923	12 000	12 000	
26 Running costs in connection with operational activities	1 343 562	1 585 000	1 701 500	
27 Information and publishing	252 537	293 000	297 000	
28 Studies				
Title 3 Operational expenditure				
TOTAL EXPENDITURE	38 411 897	40 092 000	40 518 620	+1,1

(*) Including EFTA /EEA contribution (2,6%)

EXPENDITURE	Payment appropriations			
	Executed Budget 2012 *	Budget 2013	Draft Budget 2014	Difference 2014 / 2013 (%)
Title 1 Staff expenditure	23 668 243	26 414 460	26 841 080	+1,6
11 Salaries & allowances	22 316 659	24 787 860	25 172 000	
- of which establishment plan posts	10 266 312	10 800 000	10 972 000	
- of which external personnel	12 050 347	13 987 860	14 200 000	
12 Expenditure relating to staff recruitment	115 514	116 000	116 000	
13 Mission expenses	347 413	400 000	400 000	
14 Socio-medical infrastructure	460 504	640 600	648 080	
15 Training				
16 External services	424 094	465 000	500 000	
17 Receptions and events	4 058	5 000	5 000	
Title 2 Infrastructure and operating expenditure	12 532 354	13 677 540	13 677 540	0,0
20 Rental of buildings and associated costs	4 952 405	4 674 080	4 716 810	
21 Information and communication technology	5 875 501	6 223 000	6 044 270	
22 Movable property and associated costs	66 711	73 200	73 200	
23 Current administrative expenditure	57 943	78 760	82 760	
24 Postage / Telecommunications	702 378	738 500	750 000	
25 Meeting expenses	5 582	12 000	12 000	
26 Running costs in connection with operational activities	639 924	1 585 000	1 701 500	
27 Information and publishing	231 911	293 000	297 000	
28 Studies				
Title 3 Operational expenditure				
TOTAL EXPENDITURE	36 200 597	40 092 000	40 518 620	+1,1

(*) Including EFTA /EEA contribution (2,6%)

4.4. **Outturn**

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 508 470.

5. **Justification of needs**

5.1. **Human Resources**

5.1.1. *Number of staff requested*

Pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level (see chapter 1.3.2.). The number of SNE positions requested reflects the current needs of the units. The increase goes along with a parallel reduction of FG IV positions.

5.1.2. *Number of contract agents financed from the participation of candidate countries and/or third countries*

It is not foreseen to finance any contract agents from the participation of candidate countries and/or third countries.

5.1.3. *Salary assumption for calculating salary lines (% applied)*

Salary calculation takes into account indexations for 2011 (+1,7%), 2012 (+1,6%) and 2013 (+1n7%) in full year; and the one for 2014 (+1,6%) for 6 months only

5.1.4. *Vacancy rate as of end-2012*

2%

5.1.5. *Standard abatement ("abatement forfaitaire") applied*

No recruitment foreseen other than for the replacement of leaving staff, therefore no standard abatement applied.

5.2. **Financial Resources**

5.2.1. *Title 1*

The increase of appropriations for Title 1 is of 1,6%.

- Chapter 11 - salaries: the effect on the 2014 budget of the staff reclassifications foreseen for 2014, the salary index for 2013 in full year and the salary index for 2014 on 6 months partially compensate the salary index regularisation for 2011 and 2012 foreseen in 2013. The overall increase has been estimated at 1,6%. The increase on chapter 14 (+1,2%) corresponds to a simple application of the provisions of the SLA with OIB and DG HR. The increase on chapter 16 (+7,5%) corresponds to the constant increase of the costs invoiced by the OIB for the crèches and garderies services.
- Other chapters of Title 1 are frozen at 2013 level.

NB: Training expenses are included in chapter 14 for EUR 430 000 (-0,1%).

5.2.2. *Title 2*

- Chapter 20 – Offices: the increase (+0,9%) is due to the rent increase foreseen in the usufruct contract which is partially compensated by cuts on another line of the chapter (works).
- Chapter 21 – Informatics: this chapter decreases (-2,9%) as the ERCEA contribution to the Research family IT common expenses should progressively end with the end of the FP7. Any new investment needs to be confirmed and budgeted together with the extension of the ERCEA's mandate and the adoption of Horizon 2020.
- Chapter 26 – Communication: the increase of 7,4% (+EUR 100 000) is linked to the new contract for the website as the current one is expiring end of 2013.

NB: Communication expenses are included in chapter 26 for EUR 700 000, while chapter 27 is dedicated to fees paid to PMO.

5.2.3. *Title 3*

N/A

6. Other information

6.1. Buildings

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other comment
Brussels	COV2	13 501	2 776 810	No	No	N/A	Usufruct contract

6.2. Evaluation

The main findings of the latest evaluation are not yet available. An evaluation of the agency is being performed by the DG RTD since end of 2011 and it should be submitted to the Budgetary Authority in the course of 2013.

2.2.3.6. Research Executive Agency (REA)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Commission Decision 2008/46/EC of 14/12/2007	OJ L11 of 15/01/2008	<p>The Agency manages certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003.</p> <p>Specifically, the Agency manages:</p> <ul style="list-style-type: none"> The People specific programme, with the exception of policy-related actions; The specific actions of Research for the benefit of small and medium sized enterprises (SMEs) under the Capacities specific programme, with the exception of policy-related actions; The Space area from the Cooperation specific programme, with the exception of policy-related actions (including ESA); The Security area from the Cooperation specific programme, with the exception of policy-related actions and security sensitive grant agreements and contracts. <p>The Agency performs all of the tasks necessary to implement these parts of the mentioned specific programmes, including budget implementation tasks covering revenue and expenditure within the meaning of the general Financial Regulation.</p> <p>Nevertheless, the agency may not perform tasks requiring discretionary powers in translating political choices into action.</p> <p>In addition the Agency provides logistical and administrative support to the Capacities, Cooperation and People Specific Programmes, particularly in the areas of call publication, proposal reception and evaluation, contracting of evaluators and preparation of evaluator payments and financial viability checking.</p>

Seat Brussels, Belgium

Budget line 08 01 06 02 — Research Executive Agency (REA) — Contribution from Horizon 2020

- Human resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Temporary agents (officials seconded by the Commission)	25	21	24	24
Temporary agents (recruited by the Executive Agency)	103	101	116	116
Total Establishment plan posts	128	122	140	140
Contract Agents	385	374	418	418
Seconded National Experts	0	0	0	0
Total staff	513	496	558	558

- Financial resources

Revenues	2013	2014
	Revenues estimated by the agency	Budget Forecast
EU Budget contribution	49 300 000	50 297 800
Participation of EFTA (*)	1 380 400	1 408 338
Participation of third countries		
Total revenues	50 680 400	51 706 138

(*) The EFTA/EEA contribution is calculated at 2,80 % (rate of 2013 and the same rate as estimation for 2014).

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	33 727 280	33 727 280	34 992 280	34 992 280
Title 2	12 098 550	12 098 550	11 936 948	11 936 948
Title 3	4 778 910	4 778 910	4 776 910	4 776 910
Total expenditure of REA	50 604 740	50 604 740	51 706 138	51 706 138
unallocated appropriations (*)	75 600	75 600	0	0
Grand total	50 680 400	50 680 400	51 706 138	51 706 138

(*) any remaining balance on this line will be transferred to the budget lines of the programmes managed by REA later on in the year.

2. Information on the functioning

2.1. Lifecycle EU programmes managed

In 2014, the Executive Agency is in the 7th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) [FP 7] / "Cooperation" Specific Programme / Space research 02 04 51 (ex 02 04 01 01)			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) [FP 7] / "Cooperation" Specific Programme / Security research 02 04 51 (ex 02 04 01 02)			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) [FP 7] / "People" Specific Programme 15 03 51 (ex 15 07 77)			X
The Seventh Framework Programme [FP 7] / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 08 02 51 (ex 08 13 01)			X

2.2. Operational appropriations managed

	Executed budget 2012		Budget 2013		Draft Budget 2014	
	CA	PA	CA	PA	CA	PA
Name of the programme						
Budget line: 02 04 01 01 - Space research (for 2012 and 2013)						
02 04 51 - Completion of previous research framework programme- seventh Framework Programme - EC (2007 to 2013) for 2014						
Total operational appropriations of the programme	25 263 563	279 841 034	312 710 000	249 081 618	–	113 833 233
<i>Of which operational budget managed by the executive agency</i>	86 086 552	115 472 490			–	66 413 000
Name of the programme						
Budget line: 02 04 01 02 - Security research (for 2012 and 2013)						
02 04 51 - Completion of previous research framework programme- seventh Framework Programme - EC(2007 to 2013) for 2014						
Total operational appropriations of the programme	242 951 017	183 913 947	300 730 000	154 193 382	–	276 327 198
<i>Of which operational budget managed by the executive agency</i>	128 772 589	114 890 550	197 166 122	97 869 738	–	153 370 000
Name of the programme						
08 13 01 - Capacities-Research for the benefit of small and medium size enterprises (SMEs) (for 2012 and 2013)						
08 02 51 - Completion of previous research framework programme- seventh Framework Programme - EC Indirect actions (2007 to 2013) for 2014						
Total operational appropriations of the programme	251 176 486	200 498 996	273 226 000	215 923 122	–	146 551 000
<i>Of which operational budget managed by the executive agency</i>	232 176 486	189 747 537	253 226 000	199 923 122	–	131 551 000
Name of the programme						
Budget line: 15 07 77 – People (for 2012 and 2013)						
15 03 51 - Completion of previous research framework programme- seventh Framework Programme - EC (2007 to 2013) for 2014						
Total operational appropriations of the programme	907 882 907	691 055 947	959 252 000	725 000 000	–	625 672 000
<i>Of which operational budget managed by the executive agency</i>	902 274 482	686 052 905	953 002 000	715 917 230	–	621 207 000
TOTAL operational budget managed by the executive agency	1 349 310 1090	1 106 163 482	1 523 035 719	1 118 024 888	–	972 541 000

(*) The indicated amounts for the "Executed budget 2012" are without the EFTA/EEA contribution and without the Third parties contributions.

(**) The amounts do not include the EFTA/EEA contribution neither the third countries contributions.

3. Human resources

3.1. Staffing when created extended

Source: Consolidated data extracted from the legislative financial statement accompanying the communication to the Commission C(2007)6262 of 07/12/2007, after approval by the Regulatory Committee on Executive Agencies in its meeting of 14/11/2007.

Staff	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Temporary Agents (Officials seconded by the Commission)	13	18	26	26	26	26	26	26	17	8
Temporary Agents (recruited by the agency)	56	70	80	91	102	114	81	48	30	15
Executive Agency's total Establishment Plan Posts	69	88	106	117	128	140	107	74	47	23
Contract Agents (*)	205	261	318	351	385	418	321	223	140	70
Seconded National Experts (SNE)	0	0	0	0	0	0	0	0	0	0
Total staff of the Executive Agency	274	349	424	468	513	558	428	297	187	93

(*) Estimated full time equivalent units (FTE) on the basis of average costs

The numbers shown for the years 2014 to 2017 are indicative, concern the phasing out of the agency.

3.2. Detailed situation

The request for 2014 is made at the authorised 2013 level. The current human resources will be necessary to implement the legacy of 2007 - 2013 programmes whilst assuring the smooth phasing-in of 2014-2020 programmes which are envisaged for delegation to the executive agency and which will be fine-tuned in the formal mandate extension

3.2.1. Establishment plan posts

Temporary posts Function group and grade	Temporary Posts			
	2012		2013	2014
	Authorised under the EU Budget	Filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	2
AD 13	1	1	2	0
AD 12	3	1	2	4
AD 11	4	4	11	11
AD 10	10	11	7	9
AD 9	12	6	8	8
AD 8	8	11	20	20
AD 7	19	16	21	21
AD 6	32	24	28	27
AD 5	27	38	29	28
AD total	117	113	129	130
AST 11				
AST 10				
AST 9	1		1	1
AST 8	2		2	2
AST 7	0	2		0
AST 6	1		2	3
AST 5	3	2	3	2
AST 4	3	2	2	1
AST 3	1	3	1	1
AST 2				
AST 1				
AST total	11	9	11	10
TOTAL	128	122	140	140

3.2.2. *Contract agents*

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Function Group IV	64	58	74	74
Function Group III	139	133	149	149
Function Group II	175	176	188	188
Function Group I	7	7	7	7
Total	385	374(**)	418	418

(*) Estimated full time equivalent units (FTE) on the basis of average costs

(**) Occupation throughout 2012 account for 363 Full Time Equivalent

3.2.3. *Seconded National Experts*

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate	Draft Budget 2014 estimate
Total	0	0	0	0

3.3. *Impact of the creation of the Executive Agency on the human resources of the Commission*

3.3.1. *Freed staff in the parent DG*

In accordance with Article 13 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

At creation

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2013	Staff to be freed in 2014
DG RTD (posts)	38 (3 AD + 35 AST)	38 (21 AD +17AST)	
DG RTD (CA)	79	79	
Total	117	117	

Source: Externalisation of the programme People, Capacities and Cooperation Legislative financial statement accompanying the Communication to the Commission C(2007)6262 of 7 December 2007.

Following a decrease of the total number of seconded officials to the executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency	
	By end 2013	In 2014
DG RTD	1	0
DG ENTR	0	1
Total	1	1

(*) Returns will be voluntary returns; the split between categories may change.

3.3.2. Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see Abatement agencies executives in DB Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff regulations: "(...) at the end of every six months, the official concerned may request that his secondment be terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2013	Number of posts frozen in 2014
DG RTD	20	13	13
DG ENTR	6	5	5
DG EAC	0	6	6
Total	26	24	24

Source: Externalisation of the programmes People, Capacities and Cooperation Legislative and financial statement accompanying the Communication to the Commission C(2007) 6262 of 7 December 2007.

4. Financial Resources

4.1. Indicative contribution table

Indicative contribution to the agency (as foreseen in the financial statement attached to the latest Commission decision relating to the mandate of the executive agency)	2008 (1)	2009	2010	2011	2012	2013	2014
		14,601	35,232	42,906	47,483	53,049	58,616
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-27)	14,601	31,032	31,993	37,602	47,339	49,300	
Budget after transfers and / or amending budgets (EUR-27) (*)	13,750	22,411	32,799	38,497	46,349		
Operating budget of the agency (2)							
Initial Budget (EUR-27)	-	25,786	32,785	39,175	48,570	49,226	
Budget after transfers and / or amending budgets (EUR-27)	-	21,615	33,593	39,175	46,407		

(*) These amounts include the EFTA/EEA contribution.

(1) In 2008, the Research Executive Agency (REA) was not yet autonomous; the European Commission executed the budget on behalf of the REA. This is why there is no "Operating budget of the agency" until 2009.

(2) The "Operating budget of the Agency" is presented here as the annual adopted expenditure budget (and its amendments) as adopted by the Steering Committee of the REA. It is financed by an operating grant from the general EU budget, marked-up with EFTA/EEA contributions and receipts resulting from subletting parts of REA premises to Joint Undertakings (during 2009-2011).

4.2. Revenues

REVENUES		2012	2013	2014	Difference 2014 / 2013 (%)
		Executed Budget	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08.010431	<i>Administrative expenditure of 'Research, policy area - Research Executive Agency</i>	45 118 161	49 300 000		
08.010602	Research Executive Agency (REA)- Contribution from Horizon 2020			1 408 338	
Sub Total		45 118 161	49 300 000	50 455 000	2,0%
2. EFTA Contribution					
Budget Line	Programme				
08.010431	<i>Administrative expenditure of 'Research, policy area - Research Executive Agency</i>	1 230 814	1 380 400		
08.010602	Research Executive Agency (REA)- Contribution from Horizon 2020			1 408 338	
Sub Total		1 230 814	1 380 400	1 408 338	2,0%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
TOTAL REVENUES		46 348 975	50 680 400	51 706 138	2,0%

* For Budget 2013 and Draft Budget 2014 the EFTA/EEA contribution is 2,80 % (rate of 2013 and the same rate as estimation for 2014).

4.3. Expenditure

EXPENDITURE	Commitment appropriations			
	Executed Budget 2012 (*)	Budget 2013	Draft Budget 2014	Difference 2014 / 2013 (%)
TITLE 1 STAFF EXPENDITURE	27 190 140	33 727 280	34 992 280	3,8%
11 Salaries, allowances and other personnel charges	26 084 483	32 570 000	33 852 000	
- of which establishment plan posts	10 811 981	13 963 000	14 496 000	
- of which external personnel	15 272 502	18 607 000	19 356 000	
12 Sundries of recruitment and change of personnel	89 000	91 000	69 280	
13 Mission expenses	433 267	400 000	400 000	
14 Social, Infrastructure and Training expenses	571 891	651 280	656 000	
17 Representation expenses, Events and Internal meetings	11 500	15 000	15 000	
TITLE 2 INFRASTRUCTURE AND OPERATING EXPENDITURE	12 441 791	12 098 550	11 936 948	-1,3%
20 Offices	6 229 801	5 473 750	5 585 000	
21 Computer equipment purchase and maintenance	5 760 857	6 303 400	6 011 148	
22 Movable property and associated expenses	297 847	176 000	186 400	
23 Current administrative expenditure	100 805	69 400	75 400	
24 Telecommunication and Posting expenses	52 482	76 000	79 000	
TITLE 3 TECHNICAL AND ADMINISTRATIVE SUPPORT EXPENDITURE	4 219 694	4 778 910	4 776 910	0,0%
31 Meetings of experts, Conferences and Seminars	61 000	59 000	57 000	
32 Information, Publications and Communication	360 367	363 000	363 000	
33 Other technical and administrative support expenditure	3 798 327	4 356 910	4 356 910	
TOTAL EXPENDITURE	43 851 625	50 604 740	51 706 138	2,2%
unallocated appropriations		75 660		
Grand Total	43 851 625	50 680 400	51 706 138	2,0%

(*) Including EFTA/EEA contribution (2,60%).

EXPENDITURE	Payment appropriations			
	Executed Budget 2012 (*)	Budget 2013	Draft Budget 2014	Difference 2014 / 2013 (%)
TITLE 1 STAFF EXPENDITURE	26 477 816	33 727 280	34 992 280	3,8%
11 Salaries, allowances and other personnel charges	25 680 851	32 570 000	33 852 000	
- of which establishment plan posts	10 623 577	13 963 000	14 496 000	
- of which external personnel	15 057 274	18 607 000	19 356 000	
12 Sundries of recruitment and change of personnel	79 065	91 000	69 280	
13 Mission expenses	290 561	400 000	400 000	
14 Social, Infrastructure and Training expenses	416 427	651 280	656 000	
17 Representation expenses, Events and Internal meetings	10 912	15 000	15 000	
TITLE 2 INFRASTRUCTURE AND OPERATING EXPENDITURE	10 991 295	12 098 550	11 936 948	-1,3%
20 Offices	5 682 404	5 473 750	5 585 000	
21 Computer equipment purchase and maintenance	5 107 599	6 303 400	6 011 148	
22 Movable property and associated expenses	63 109	176 000	186 400	
23 Current administrative expenditure	86 698	69 400	75 400	
24 Telecommunication and Posting expenses	51 486	76 000	79 000	
TITLE 3 TECHNICAL AND ADMINISTRATIVE SUPPORT EXPENDITURE	2 763 716	4 778 910	4 776 910	0,0%
31 Meetings of experts, Conferences and Seminars	56 061	59 000	57 000	
32 Information, Publications and Communication	109 193	363 000	363 000	
33 Other technical and administrative support expenditure	2 598 462	4 356 910	4 356 910	
TOTAL EXPENDITURE	40 232 827	50 604 740	51 706 138	2,2%
Unallocated appropriations		75 660	-	
Grand Total	40 232 827	50 680 400	51 706 138	2,0%

(*) Including EFTA/EEA contribution (2,60%).

4.4. *Outturn*

First estimate of the 2012 surplus that should be reimbursed to the EU budget (as general revenue): EUR 2 925 890.

5. **Justification of needs**

5.1. *Human Resources*

5.1.1. *Number of staff requested*

Pending decisions to be taken on the agency's future mandate, in the 2014 draft budget the Commission proposes to stabilise the staffing at its 2013 level.

5.1.2. *Number of contract agents financed from the participation of candidate countries and/or third countries*

No contract staff are financed from the participation of candidate countries and/or third countries.

5.1.3. *Salary assumption for calculating salary lines (% applied)*

The Agency's budget for 2014 covers a full year salary for temporary and contract staff authorised for 2013. In addition, a 2% average vacancy rate has been taken into account. The Agency budgets for salaries on the basis of the average wage costs by function group and grade paid in 2011 updated for the subsequent annual salary adjustments estimated as follows: + 1,7% applicable from July 2011 onwards (not yet paid in 2012), + 1,6% applicable from July 2012 onwards (not yet paid in 2012), + 1,7% applicable from July 2013 onwards, and + 1,7% applicable from July 2014 onwards. An increase in salaries for selected staff that may benefit from a reclassification to a higher grade, effective as of 1 January 2014, has also been budgeted.

5.1.4. *Vacancy rate as of end-2012*

On 31 December 2012, the vacancy rate of the REA was 3,3% of the total authorised staff (both temporary and contract staff). This is similar to the vacancy rates at the end of 2011 (2,6%) and 2010 (3,8%).

5.1.5. *Standard abatement ("abatement forfaitaire") applied*

The occupation rate is estimated to be at 98% in 2014 (see 5.1.3 and 5.1.4), which is a realistic figure considering the current vacancy rate in the Agency at the end of 2012 (3,3%).

5.2. Financial Resources

5.2.1. *Title 1*

The increase in the budget for Title 1 of 3,8% (EUR 1 265 000) is due to Chapter 11, and reflects the annual increase in salary expenditure (see 5.1.3.).

5.2.2. *Title 2*

The level of expenditure for Title 2 decreases compared to 2013. Chapter 23: the increase of these expenses is due to the service Level Agreement (SLA) between the REA and the OIB which should be based on the Staff members of the Agency in Full Time Equivalent (FTE) for the whole year as well as to an annual indexation.

5.2.3. *Title 3*

The level of expenditure for Title 3 remains stable compared to 2013.

6. Other information

6.1. Buildings

City	Building	Surface Area (square metres)	Annual rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other comment
Brussels	Covent Garden building	15 890	3 411 000	No.	No (*)	Not applicable	Usufruct convention.

6.2. Evaluation

An evaluation of the agency of the first three years of operation of the Agency is expected to be submitted to the Budgetary Authority in the course of 2013.

1. MAIN BUDGETARY TRENDS 2014

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2014 for the current 7 joint undertakings, in text (section 1.1) and tables (section 1.2). Furthermore, this section presents an overview table on Commission budget implementation for joint undertakings in 2012 (section 1.3), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2012, section 1.4).

1.1. Budgetary and staffing highlights 2014: joint undertakings

Compared to the 2013 budget, the total EU contribution to the joint undertakings decreases by 43,6 % to EUR 933,2 million. This decrease in the overall EU contribution to the joint undertakings should be seen in light of the Commission proposals for the future generation of joint technology initiatives, to be funded from 'Horizon 2020', which the Commission adopted in July 2013³⁷. Awaiting these proposals, no new commitment appropriations are requested at this stage in the draft budget for the six current Joint Technology Initiatives (JTIs). The Commission intends to adopt, in time for the start of the Conciliation, an amending letter to reflect the budgetary consequences of the new generation of JTIs. As a consequence, all commitment appropriations requested in the draft budget 2014 relate to the EU contribution to the ITER joint undertaking, which is proposed to increase from EUR 904,9 million in 2013 to EUR 933,2 million (operational expenditure) in 2014.

The staffing of the joint undertakings is foreseen to remain stable in 2014, including for the JTIs. This was foreseen at the time of their creation, in view of the important level of outstanding commitments to be managed. For that reason, the funding for the 'phasing out' period of the JTIs has already been included in the 2013 budget.

As presented in more detail in the individual budgetary statements (section 2.2), the total staffing of the joint undertakings foreseen for 2014 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2013	2014	2013	2014	2013	2014	2013	2014
381	381	195	183	11	11	587	575

³⁷ COM(2013) 494, 10 July 2013.

1.2. Joint undertaking overview table 2014 / 2013

(in million EUR)

Name of the joint undertaking	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾		Draft budget 2014			Variation EU contribution 2014 / 2013
				Total revenues of the Joint Undertaking	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking	Of which Joint Undertaking contribution request	EU contribution (DB 2014)	
European Joint Undertaking for ITER - Fusion for Energy (F4E)		Barcelona	2007						
European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management									
Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)	08 04 01								
Total European Joint Undertaking for ITER – Fusion for Energy (F4E)				1 016,655	904,900	1 231,630	933,123	933,159	
<i>Authorised establishment plan</i>				262	262	282	282	262	
Innovative Medicines Initiative Joint Undertaking (IMI)		Brussels	2007						
Cooperation — Health — Innovative Medicines Initiative (IMI) Joint Undertaking					207,068				
Cooperation — Health — Support expenditure for Innovative Medicines Initiative (IMI) Joint Undertaking					4,240				
Total Innovative Medicines Initiative Joint Undertaking (IMI)				229,299	211,308	3,950	29	29	29
<i>Authorised establishment plan</i>				29	29	29	29	29	
Clean Sky Joint Undertaking		Brussels	2007						
Cooperation — Transport — Clean Sky Joint Undertaking					226,514				
Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking					2,889				
Total Clean Sky Joint Undertaking				258,593	229,403	3,608	18	18	18
<i>Authorised establishment plan</i>				18	18	18	18	18	
ARTEMIS Joint Undertaking		Brussels	2007						
Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking					65,000				
Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking					0,912				
Total ARTEMIS Joint Undertaking				69,357	65,912	1,600	8	8	8
<i>Authorised establishment plan</i>				8	8	8	8	8	
ENIAC Joint Undertaking		Brussels	2007						
Cooperation — Information and communication technologies — ENIAC Joint Undertaking					110,000				
Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking					0,429				
Total ENIAC Joint Undertaking				115,771	110,429	2,356	8	8	8
<i>Authorised establishment plan</i>				8	8	8	8	8	

Name of the joint undertaking	Budget line	Location	Year of creation	Budget 2013 ⁽¹⁾		Draft budget 2014			Variation EU contribution 2014 / 2013
				Total revenues of the Joint Undertaking	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking	Of which Joint Undertaking contribution request	EU contribution (DB 2014)	
Fuel Cells and Hydrogen Joint Undertaking (FCH)		Brussels	2008						
Research related to transport (including Aeronautics) — Fuel Cells and Hydrogen (FCH) Joint Undertaking					2,656				
Support expenditure for Fuel Cells and Hydrogen (FCH) Joint Undertaking					1,239				
Cooperation — Nanosciences, nanotechnologies, materials and new production technologies — Fuel Cells and Hydrogen (FCH) Joint Undertaking					8,792				
Cooperation — Energy — Fuels Cells and Hydrogen (FCH) Joint Undertaking					15,006				
Cooperation — Environment — Fuel Cells and Hydrogen (FCH) Joint Undertaking					3,951				
Cooperation — Transport — Fuel Cells and Hydrogen (FCH) Joint Undertaking					17,526				
Research related to energy — Fuel Cells and Hydrogen (FCH) Joint Undertaking					26,249				
Total Fuel Cells and Hydrogen (FCH) Joint Undertaking				87,150	75,419	2,543	18	18	
<i>Authorised establishment plan</i>				<i>18</i>	<i>18</i>	<i>18</i>	<i>18</i>	<i>18</i>	
SESAR Joint Undertaking		Brussels	2007						
<i>SESAR Joint Undertaking – Financial support from projects of common interest from the Trans-European Transport Network - TEN</i>									
<i>SESAR Joint Undertaking – Financial support from Research related to Transport (including Aeronautics) – FP7</i>					58,325				
Total SESAR Joint Undertaking				95,954	58,325	22,598	39	39	
<i>Authorised establishment plan</i>				<i>39</i>	<i>39</i>	<i>39</i>	<i>39</i>	<i>39</i>	
Total joint undertakings				1 872,779	1 655,696	1 268,285	401	933,123	933,159
<i>Authorised establishment plan</i>				<i>382</i>	<i>382</i>	<i>401</i>	<i>401</i>	<i>382</i>	-43,6%

(1) Budget 2013 includes draft amending budgets 1 to 5.

(2) This amount includes the appropriations foreseen in the 2014 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs is excluded.

1.3. Table Commission budget implementation for joint undertakings 2012

(in million EUR)

Name of the joint undertaking	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Joint Undertaking for ITER – Fusion for Energy (F4E)													
European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management	08 01 04 40	49,049	49,049	49,045	39,000	10,045	49,045	39,000	10,045	0,004	0,004		
Euratom — European Joint Undertaking for ITER — Fusion for Energy (F4E)	08 20 02	1 087,799	242,138	1 087,799	1 067,900	19,899	242,138	222,240	19,899				
Total European Joint Undertaking for ITER – Fusion for Energy (F4E)		1 136,848	291,188	1 136,844	1 106,900	29,944	291,184	261,240	29,944	0,004	0,004		
Innovative Medicines Initiative (IMI) Joint Undertaking													
Cooperation — Health — Innovative Medicines Initiative (IMI) Joint Undertaking	08 02 02	301,952	93,084	301,952	294,300	7,652	93,084	90,725	2,359				
Cooperation — Health — Support expenditure for Innovative Medicines Initiative (IMI) Joint Undertaking	08 02 03	4,700	4,700	4,700	4,552	0,148	4,700	4,573	0,127				
Total Innovative Medicines Initiative Joint Undertaking (IMI)		306,652	97,784	306,652	298,852	7,800	97,784	95,298	2,486				
Clean Sky Joint Undertaking													
Cooperation — Transport — Clean Sky Joint Undertaking	08 07 02	141,034	94,975	141,034	137,460	3,574	94,975	91,555	3,420				
Cooperation — Transport — Support expenditure for Clean Sky Joint Undertaking	08 07 03	2,364	2,364	2,364	2,298	0,066	2,364	2,304	0,060				
Total Clean Sky Joint Undertaking		143,398	97,340	143,398	139,758	3,640	97,340	93,859	3,480				
ARTEMIS Joint Undertaking													
Cooperation — Information and communication technologies — ARTEMIS Joint Undertaking	09 04 01 02	39,538	21,351	39,538	38,141	1,397	21,351	20,643	0,708				
Cooperation — Information and communication technologies — Support expenditure for ARTEMIS Joint Undertaking	09 04 01 03	1,129	1,128	1,129	1,083	0,046	1,128	1,087	0,041				
Total ARTEMIS Joint Undertaking		40,666	22,479	40,666	39,224	1,442	22,479	21,730	0,749				

Name of the joint undertaking	Budget line	Authorised appropriations 2012		Commitments made 2012			Payments made 2012			Carry over to 2013		Appropriations cancelled 2012	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
ENIAC Joint Undertaking													
Cooperation — Information and communication technologies — ENIAC Joint Undertaking	09 04 01 04	110,067	14,500	110,067	108,670	1,397	14,500	13,556	0,944				
Cooperation — Information and communication technologies — Support expenditure for ENIAC Joint Undertaking	09 04 01 05	0,957	0,957	0,957	0,923	0,034	0,957	0,926	0,031				
Total ENIAC Joint Undertaking		111,024	15,457	111,024	109,593	1,431	15,457	14,483	0,974				
FCH Joint Undertaking													
Research related to transport — FCH Joint Undertaking	06 06 02 02	3,057	1,724	3,057	2,980	0,077	1,725	1,681	0,044				
Cooperation — Nanosciences — FCH Joint Undertaking	08 04 02	10,123	5,916	10,123	9,866	0,257	5,916	5,766	0,150				
Cooperation — Energy — FCH Joint Undertaking	08 05 02	10,762	21,556	10,762	10,492	0,270	21,556	20,757	0,800				
Support expenditure for FCH Joint Undertaking	08 05 03	1,153	1,153	1,153	1,121	0,032	1,153	1,124	0,029				
Cooperation — Environment — FCH Joint Undertaking	08 06 02	4,548	2,210	4,548	4,433	0,115	2,210	2,154	0,056				
Cooperation — Transport — FCH Joint Undertaking	08 07 04	20,177	7,315	20,177	19,666	0,511	7,315	7,129	0,185				
Research related to energy — FCH Joint Undertaking	32 06 02	30,220	13,390	30,220	29,450	0,770	13,740	13,390	0,350				
Total FCH Joint Undertaking		80,040	53,264	80,040	78,008	2,032	53,615	52,001	1,614				
SESAR Joint Undertaking													
SESAR Joint Undertaking – Financial support TEN	06 03 05	50,000	34,826	50,000	50,000		34,826	34,826					
SESAR Joint Undertaking – Financial support FP-7	06 06 02 03	60,124	34,888	60,124	58,600	1,524	34,887	33,826	1,061				0,001
Total SESAR Joint Undertaking		110,124	69,714	110,124	108,600	1,524	69,713	68,652	1,061				0,001
Total Joint Undertakings		1 928,753	647,224	1 928,749	1 880,935	47,814	647,570	607,262	40,309	0,004	0,004		0,001

The budget implementation tables for joint undertakings should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (EFTA credits);
- Authorised appropriations 2012 minus commitments (or payments) made 2012 minus (automatic) carry-over to 2013 leads to appropriations cancelled (on the Commission side).

All budget implementation 2012 data in this document are based on provisional accounting closure (April 2013).

1.4. Table joint undertaking staffing overview 2012

Name of the joint undertaking	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Estimate 2012	Actually filled 31/12/2012	Budget 2012	Actually filled 31/12/2012
European Joint Undertaking for ITER – Fusion for Energy (F4E)	2007	262	218	150	125	10	4	422	347
Innovative Medicines Initiative Joint Undertaking (IMI)	2007	29	28	7	7			36	35
Clean Sky Joint Undertaking	2007	18	18	6	4			24	22
ARTEMIS Joint Undertaking	2007	8	8	7	5			15	13
ENIAC Joint Undertaking	2007	8	7	8	8			16	15
Fuel Cells and Hydrogen (FCH) Joint Undertaking	2008	18	17	2	3			20	20
SESAR Joint Undertaking	2007	39	39			3	2	42	41
Total joint undertakings		382	335	180	152	13	6	575	493

2. INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1. Content of the budgetary financial statements

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statements include, by joint undertaking, information on the set-up of the joint undertaking, the EU contribution to and the budget of the joint undertaking (revenue and expenditure), the estimated surplus of 2012, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.2. Individual budgetary financial statements

2.2.1. European Joint Undertaking for ITER - Fusion for Energy (F4E)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
<p>Council Decision (Euratom) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58, 30.3.2007)</p>	<p>27/03/2007</p>	<p>The European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) is a Joint Undertaking created under the Euratom Treaty by a decision of the Council of Ministers of the European Union. Fusion for Energy is established for a period of 35 years from 19th April 2007 and is located in Barcelona, Spain.</p> <p>The tasks of the Joint Undertaking are as follows:</p> <p>In relation to ITER:</p> <ul style="list-style-type: none"> - to provide the contribution of the European Atomic Energy EU (Euratom) to the ITER International Fusion Energy Organisation; - to provide the contribution of Euratom to Broader Approach Activities with Japan for the rapid realisation of fusion energy; - to prepare and coordinate a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF). - to oversee preparation of the ITER project site; - to provide components, equipment, materials and other resources to the ITER Organisation; - to manage procurement arrangements vis-à-vis the ITER Organisation and, in particular, associated quality assurance procedures; - to prepare and coordinate Euratom participation in the scientific and technical exploitation of the ITER Project; - to coordinate scientific and technological research and development activities in support of Euratom's contribution to the ITER Organisation; - to provide Euratom's financial contribution to the ITER Organisation; - to arrange to make human resources available for the ITER Organisation; - to interface with the ITER Organisation and carry out any other activities in furtherance of the ITER Agreement; <p>In relation to the Broader Approach:</p> <ul style="list-style-type: none"> - to provide components, equipment, materials and other resources for Broader Approach Activities; - to prepare and coordinate Euratom's participation in the implementation of Broader Approach Activities; - to coordinate scientific and technological research and development activities; - to provide the Euratom financial contribution to Broader Approach Activities; - to arrange to make human resources available for Broader Approach Activities; - to carry out any other activities necessary for meeting Euratom obligations in furtherance of the Broader Approach Agreement with Japan.

		<p>In relation to DEMO:</p> <ul style="list-style-type: none"> - to prepare and coordinate a programme of research, development and design activities other than ITER and Broader Approach Activities, in preparation for the construction of a demonstration fusion reactor and related facilities, including the IFMIF; <p>Other:</p> <ul style="list-style-type: none"> - to carry out any other activities in furtherance of its overall objectives, including activities to raise public awareness of the Joint Undertaking and its mission.
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Seat

Barcelona, Spain

Budget line

08 04 01 - Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E)

08 04 51 - Completion of European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013).

• Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	218	177	218	218
Establishment plan posts: AST	44	41	44	44
Total Establishment plan posts	262	218	262	262
Contract Agents	150	125	165	153
Seconded National Experts	10	4	7	7
Total staff	422	347	434	422

• Financial Resources

Revenues Commitment Appropriations	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	907 354 031	935 031 983
Other revenue	109 301 759	290 400 000
Total revenues	1 016 655 790	1 225 431 983

Revenues Payment Appropriations	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	53 131 611	631 943 693 (*)
Other revenue	134 300 000	144 400 000
Total revenues	671 431 611	776 346 693

* This amount is made of: EUR 538 738 254 of operational PA from 2014 general budget, EUR 49 208 442 of administrative PA from the budget outturn of previous years 42 127 422 EUR of administrative PA from EU 2014 general budget, EUR 1 872 578 of administrative PA from the budget outturn of previous years.

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	35 445 000	35 445 000	36 800 000	36 800 000
Title 2	7 555 000	7 555 000	7 200 000	7 200 000
Title 3	973 655 790	628 431 611	1 181 431 983	732 346 693
Total expenditure	1 016 655 790	671 431 611	1 225 431 983	776 346 693

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012 (*)		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15		1				1		1		1
AD 14				1						
AD 13	9	2	3	2	9	2	11	3	11	3
AD 12	15	10	15	2	18	10	18	9	18	9
AD 11	5	7	2		5	12	3	19	3	12
AD 10	6	26	4	4	6	32	7	28	7	28
AD 9	5	26	2	37	4	15	3	12	3	12
AD 8	3	15	1	1	1	16	2	31	2	18
AD 7	1	45		15	1	65		65		65
AD 6		40	4	83		19		24		24
AD 5		2		1		2		2		2
AD total	44	174	31	146	44	174	44	194	44	174
AST 11	4		1		4		4		4	
AST 10	2				2		3		3	
AST 9	3		1		4		3		3	
AST 8	1		2							
AST 7			3							
AST 6	1				1		1		1	
AST 5	4	4	2		5	4	6	7	6	7
AST 4	1	11	3	1		17		18		18
AST 3	2	11	1	23	2	5	1	1	1	1
AST 2			1							
AST 1			3							
AST total	18	26	17	24	18	26	18	26	18	26
TOTAL	62	200	48	170	62	200	62	220	62	200
GRAND TOTAL	262		218		262		282		262	

(*) Of which 1 TA AD14, 3 TA AD6 and 3 TA AST3 offer letters sent and accepted.

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012 (**)	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	60	63	90	78
Function Group III	35	42	50	50
Function Group II	50	20	24	24
Function Group I	5	0	1	1
Total	150	125	165	153

(*) Estimate on the basis of average costs

(**) Of which 5 CA FGIV offer letters sent and accepted.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	10	4	7	7

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	1 116 945 343	907 354 031	935 031 983	935 031 983	
of which Administrative (Title 1 and Title 2)	39 000 000	39 390 000	42 127 422 (1)	42 127 422 (1)	
of which Operational (Title 3)	1 067 900 000	865 510 000	891 031 983	891 031 983	
of which assigned revenues deriving from previous years' surpluses	10 045 343	2 454 031	1 872 578	1 872 578	
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	145 100 000	109 300 000	290 400 000	290 400 000	
Of which EFTA	141 200 000	105 000 000	286 000 000	286 000 000	
Of which Candidate Countries	3 900 000	4 300 000	4 400 000	4 400 000	
4 OTHER CONTRIBUTIONS	1 046 035 (2)				
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	-513 226 (3)				
8 INTERESTS GENERATED	23 516 (4)				
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS		1 759 (5)			
TOTAL REVENUES	1 262 601 668	1 016 655 790	1 225 431 983	2 225 431 983	20,5%

(1) The administrative request takes the outturn of 2012 into account for an amount of EUR 1 870 000.

(2) 3 in-cash ITER Tasks Agreements (EUR 977 500); C4 (EUR 6 232,23); F4E's current bank account interests from January to July 2012 (EUR 39 043,02); ITER Host State's bank interests (EUR 23 259,88) were entered in the budget as revenue in 2012.

(3) The budgetary imbalance consists of the unused amount on the appropriations 2012, cancelled at the end of 2012 (mainly due to the cancellation of the salary adjustment EUR 513 225,96 at the end of 2012).

(4) F4E's current bank account interests from August to December 2012.

(5) Carried over appropriations on the budget line for ITER Host State contribution.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014 / 2013
	Executed Budget 2012	Appropriations 2013	Draft Budget 2014		
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	32 701 614	35 445 000	36 800 000	36 800 000	3,8%
11 Staff expenditure in the Establishment Plan	21 650 000	23 800 000	25 100 000	25 100 000	
12 External Staff expenditure (Contract Agents, Interim Staff and National Experts)	6 425 000	7 250 000	7 425 000	7 425 000	
13 Mission and duty travel	1 700 000	1 700 000	1 700 000	1 700 000	
14 Expenditure on Staff recruitment and Transfers	905 599	680 000	590 000	590 000	
15 Representation	14 000	20 000	15 000	15 000	
16 Training	685 950	735 000	720 000	720 000	
17 Other Staff Management expenditure	1 288 934	1 260 000	1 250 000	1 250 000	
18 Traineeships	32 131	p.m.	p.m.	p.m.	
Title 2 - Infrastructure and operating expenditure	8 584 633	7 555 000	7 200 000	7 200 000	-4,7%
21 Rental of buildings and associated costs	1 117 470	1 250 000	1 250 000	1 250 000	
22 Information and communication technology	4 439 190	3 200 000	3 100 000	3 100 000	
23 Movable property and associated costs	701 828	560 000	555 000	555 000	
24 Events and communication	295 819	315 000	300 000	300 000	
25 Current administrative expenditure)	1 247 598	1 405 000	1 150 000	1 150 000	
26 Postage / Telecommunications	368 000	365 000	375 000	375 000	
27 Expenditure on formal and other meetings	414 729	460 000	470 000	470 000	
Title 3 - Operational expenditure	1 221 557 042	973 655 790	1 181 436 983	1 181 436 983	21,3%
31 ITER construction including site preparation	1 076 561 001	846 085 790	872 795 983	872 795 983	
32 Technology for ITER and DEMO	1 045 362	16 580 000	8 256 000	8 256 000	
33 Technology for Broader approach	1 183 665	2 190 000	10 880 000	10 880 000	
34 Other Expenditure	1 567 014	3 800 000	3 500 000	3 500 000	
35 ITER construction- from ITER host state contribution	141 200 000	105 000 000	286 000 000	286 000 000	
TOTAL EXPENDITURE	1 262 843 289	1 016 655 790	1 225 431 983	1 225 431 983	20,5%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Appropriations 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	30 766 408	35 445 000	36 800 000	36 800 000	3,8%
11 Staff expenditure in the Establishment Plan	21 395 523	23 800 000	25 100 000	25 100 000	
12 External Staff expenditure (Contract Agents, Interim Staff and National Experts)	5 918 694	7 250 000	7 425 000	7 425 000	
13 Mission and duty travel	1 411 857	1 700 000	1 700 000	1 700 000	
14 Expenditure on Staff recruitment and Transfers	713 311	680 000	590 000	590 000	
15 Representation	7 479	20 000	15 000	15 000	
16 Training	350 224	735 000	720 000	720 000	
17 Other Staff Management expenditure	937 188	1 260 000	1 250 000	1 250 000	
18 Traineeships	32 131	p.m.	p.m.	p.m.	
Title 2 - Infrastructure and operating expenditure	3 816 378	7 555 000	7 200 000	7 200 000	-4,7%
21 Rental of buildings and associated costs	472 002	1 250 000	1 250 000	1 250 000	
22 Information and communication technology	1 873 978	3 200 000	3 100 000	3 100 000	
23 Movable property and associated costs	317 843	560 000	555 000	555 000	
24 Events and communication	35 331	315 000	300 000	300 000	
25 Current administrative expenditure)	656 606	1 405 000	1 150 000	1 150 000	
26 Postage / Telecommunications	141 423	365 000	375 000	375 000	
27 Expenditure on formal and other meetings	319 194	460 000	470 000	470 000	
Title 3 - Operational expenditure	288 864 660	628 431 611	732 346 693	732 346 693	16,5%
31 ITER construction including site preparation	234 089 855	475 301 611	566 686 693	566 686 693	
32 Technology for ITER and DEMO	3 415 090	10 500 000	12 000 000	12 000 000	
33 Technology for Broader approach	3 054 311	9 530 000	10 760 000	10 760 000	
34 Other Expenditure	1 117 881	3 100 000	2 900 000	2 900 000	
35 ITER construction- from ITER host state contribution	47 187 523	130 000 000	140 000 000	140 000 000	
TOTAL EXPENDITURE	323 447 446	671 431 611	776 346 693	776 346 693	15,6%

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 51 081 017 of which EUR 1 872 578 for administrative expenditure and EUR 49 208 439 for operational expenditure.

4. Justification of needs

4.1. Commission assessment of the Joint Undertaking request

Staff numbers were adjusted to reach 422 full time equivalents in 2014, as indicated in COM(2011) 931.

4.2. Human Resources – Joint Undertaking request

4.2.1. Number of staff requested

On 11 December 2012 the Governing Board (GB) of F4E adopted the Resource Estimates Plan of the Joint Undertaking, detailing the extra positions to be requested in 2014 to cover the peak of the construction phase (2014-2019) of the ITER project: 20 extra short term Temporary Agent posts used mainly to cover the ITER-work needs and the supporting administrative and legal activities. In order to have a quantitative approach and define the number of staff required, an analysis was conducted based on the current experience at F4E and on the amount of contracts (number, value and complexity) that each staff member is currently managing to determine the workload.

The current organisation and distribution of the responsibilities between F4E and ITER International organisation (IO) were taken into account for the simulation. The analysis took into account the present F4E detailed schedule with the planned dates and values of all contracts to fulfil the EU obligations to ITER IO for the delivery of the in-kind procurements.³⁸ Similar considerations were made for the phase immediately after the completion of the in-kind procurements. Taking into account that the impact of contracts closure will not be immediate on the F4E staffing resources, due to the required completion of the F4E administrative procedures and the smooth decrease of the interactions with ITER IO on the completed contracts, a short phasing out period in these activities was also considered.

It must be noted that as of end-2012 F4E is still operating in start-up mode, i.e. the largest workload is deriving from starting new activities rather than from implementing running ones. This is clearly shown by the large difference between commitment and payment budgets. Between 2014 and 2015 the turning point will be reached and thereafter the largest part of the workload will be related to implementation and eventually closure.

On the technical/operational manpower side, future staffing needs have been determined according to two distinct phases:

- Pre-Procurement Arrangement (PA) signature: Activities corresponding to a period in which F4E participate in the final technical design of its share in the ITER procurement allocation (typically under ITER Task Agreements);
- Post-PA signature: Activities depending on specifications level (Functional Spec, Detailed Design, and Build-to-Print): Design (preliminary, final), R&D, Manufacturing, and Acceptance/Transport/Delivery/Assembly. In this phase, we distinguish: Tendering; Contract Follow-up; and Assembly at ITER site.

The EU has already signed approximately 77% of the total PA's value, meaning that the most significant ones have been signed. These signatures have triggered the launch of the most important EU procurements contracts, some of which still need to be signed in the forthcoming couple of years. Furthermore, in the forthcoming years, many of the PAs still to be signed will be at functional specification level. Therefore, design development and R&D tasks will have to be carried out in addition to the normal procurement activities.

On the administrative manpower side the largest part of the foreseen needs are related to the core procurement functions (which encompasses various administrative services: procurement, legal, finance, market intelligence, etc). Based on the current experience in F4E, criteria were developed for the total number and budget of each contract category for which procurement, legal, and market officers will have to provide support during implementation. Due to the nature of F4E's activities, the role of the administrative staff remains significant also after the conclusion of the contract award procedure and for the whole lifecycle of the contract.³⁹

³⁸ A yearly value of the contracts was defined and, on that basis, contracts were classified in different categories: small, medium, large and very large. This approach was followed as, for each category, the budget that each technical officer and procurer can manage varies. In fact, fragmentation of contracts increases the required resources. In order to take into account the preparation of the specifications and the formalities of the tendering process, a standard duration of 250 working days was added at the beginning of the activities, according to the typical time span experienced by F4E in their procurement activities. As for the implementation phase, the required resources during this procurement phase were calculated.

³⁹ It was determined that an average of 5.3 procedures or large contracts per man-year were followed in 2011 by direct procurement staff (procurement officers). In turn this needed the support from an equivalent number of support staff (lawyers, economists, etc) therefore giving a total figure of 2.7 procedures or contracts/man-year. These indicators were relatively constant in 2012 as well, and can provide a useful benchmark in terms of direct procurement and support staff needed in view of the future workload peak years.

The results of this analysis show a very large peak of needed HR in 2014. However, it has been considered possible to avoid such a large peak by spreading the required manpower over a longer time period resulting in a flatter profile; this takes into account possible delays in the awarding of some contracts connected with the scheduled development in the forthcoming years.

4.2.2. *Salary assumption for calculating salary lines (% applied)*

Based on the cost of staff from 2009 to 2012 the average annual cost of staff at F4E is estimated to be EUR 102 500 for the EU officials and Temporary Agents and EUR 46 000 for the Contractual Agents in 2014.

4.2.3. *Vacancy rate as of end-2012*

At the end of 2012, 218 establishment plan posts have been occupied in Fusion for Energy, of which 211 staff members in place (respectively 48 permanent and 163 temporary posts) and 7 accepted Temporary Agent Job offers. Regarding support staff, out of 150 contract agents' posts authorised 125 posts were occupied of which 120 in place and 5 accepted job offers. Finally, out of 10 SNEs 4 were in place. In 2012, 56 F4E staff members took up duties: 2 permanent staff, 13 Temporary agents and 41 Contract Agents. Furthermore, 1 National Expert has been seconded to F4E. The vacancy rate is due to the following:

The staff allocation 2012 only took place in April and July to accommodate the reorganisations of the ITER and Administration departments and optimise the resources allocated to the needs of F4E and its 2012/13 priorities (in particular seeing that no additional posts were requested for 2013). Recruitments had been frozen in late 2012 due to the expected arrival of the new Director in January 2013, and the result of the assessment of staffing needs for the construction phase that was requested by the Governing Board of F4E in June 2011. Since F4E did not request new positions in 2013, the former Director created a reserve of 15 professional staff posts in 2012 which will be allocated by the new Director to face key priorities in 2013 (such as project cost risk and mitigation actions, developing the relations with the Fusion laboratories and industries, optimising processes, finalising the reporting and monitoring systems and reinforcing the key areas of F4E's project activities for the peak of the construction phase which will allow the EU to fulfil its obligations).

Furthermore, it should also be pointed out that 10 professional staff (4 FO/6 TA) have left the organisation during 2012, and 23 extra posts were authorised in the establishment plan during that same year, which increased the amount of posts that needed to be filled in during that year in order to lower the vacancy rate. The 2013 recruitment plan foresees that the currently available posts will be occupied in the next few months as selections launched are being finalised. The ongoing selections will lead to the recruitment of approximately 15 TA (technical, legal and procurement profiles) and 10 CA (secretaries) before the end of the summer 2013. Another selection is expected to fill in at least 8 CA FGIV posts between July/September in the area of Quality Assurance and Document Management. The recruitment plan foresees that the vacancy rate will be substantially lower by September 2013.

Furthermore, the remaining professional posts (besides the posts in the reserve) will be allocated by the new Director in the next few weeks according to the priorities and the strategic objectives for 2013 which is being finalised and communicated to the GB. The critical areas which will be reinforced are: Project implementation and cost containment plan; Contractual, legal and financial activities; Organisational efficiency, optimisation of processes and of procedural/financial workflows; and Industrial policy, market analysis and relations with key stakeholders. Finally, and given that the recruitment rhythm of F4E of ~76/staff/year over the last 5 years of its existence surpasses the total number of vacant posts on 31.12.2012, it is expected that the Joint Undertaking will reach around 10% of vacancy rate by September 2013 and close to full occupancy by December 2013.

4.2.4. *Standard abatement ("abatement forfaitaire") applied*

There is no standard abatement applied, except for the new posts accounted as 50% of the specific F4E's average cost to take into consideration the time to recruit. F4E applies a more precise simulation of recruitment taking into consideration: the actual F4E staffing cost since 2009; the actual monthly recruitments in 2012; the rate of completion of F4E's establishment plan at the end of 2012 and the level of priority given to recruitment by F4E's hierarchy and an estimate rate of staff departure, arbitrarily fixed to 5% of the staff present at the end of 2012.

4.3. *Financial Resources – Joint Undertaking request*

4.3.1. *Title 1*

The major increase of Title 1 is within chapter 11 and 12, respectively 5,46% and 2,41%, in order to cover the priority given to the recruitment process on-going and to be continued at F4E.

The costs related to staff recruitment, very high in the first year of the Joint Undertaking begins to decrease in 2013 and the trend is maintained for the Budget 2014 as the net number of recruitment will progressively slow down towards full staffing. Nevertheless Staff recruitment costs will never reach zero value due to the turnover of staff. Other expenditure of the Title 1 remain at the 2013 level (which represents a net decrease taking into account the inflation) or decrease in order to lower as much as possible the expenses to follow the general trend of cost containment within Europe.

4.3.2. Title 2

The Title 2 shows a decrease of almost 5% compared to the budget 2013, demonstrating the efforts made by F4E to limit the costs as much as possible as for the Title 1. The only two chapters that increase do not go over EUR 10 000 variation

4.3.3. Title 3

In commitment appropriation, the variation of expenditure foreseen follows the implementation of the ITER and Broader Approach projects and the annual variations are not significant as such. For the ITER construction, according to the F4E detailed schedule provided as reference to ITER IO and in line with the agreed first plasma date of November 2020, in 2014 F4E will still have to sign a large number of contracts resulting in important budgetary commitments. In addition, the implementation of the price indexation of signed contract is also foreseen.

The major actions to be contracted will be: Procurement of In-Vessel Viewing Systems; Procurement of Cask and Plug Remote Handling Systems; Tender Batch 09 Contract for Civil Work and Finishing of Hot Cell facility, Radwaste facility, Personal Access Control Building, Neutral Beam power supplies building, Neutral Beam high-voltage power supplies building; Tender Batch 10 Contract for HVAC, Electrical, Networks and Handling for Hot Cell facility, Radwaste facility, Personal Access Control Building, Neutral Beam power supplies building, Neutral Beam high-voltage power supplies building; Poloidal field coils cold tests; and the contribution to the Back-to-Back agreement with Consorzio RFX for the Neutral Beam Test Facility (NBTF) and the procurement of some NBTF components.

The probability for the signature of the contracts listed above is high since linked to the ITER schedule, but it also depends on the evolution of the project. In some cases, preparatory work for these contracts has already started. The total contribution to IO foreseen in the decision of ITER Council for 2014 is estimated at about EUR 115 million. For 2014, we are currently not foreseeing any new commitment for cash contribution to Japan.

In Payment appropriation the increase is justified by amount of contracts that will be open at the end of 2013 compared to the previous years.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Barcelona		8 000		full	No	N/A	F4E is currently in a temporary building. It is expected to move to a permanent building provided by the Kingdom of Spain.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Privileges provided by the Host State and concluded in the seat agreement: <ul style="list-style-type: none"> • VAT exemption • Building free of charge 	<ul style="list-style-type: none"> • Diplomatic status only for the Director, and the person appointed to replace him in his absence • The PPI applies to all staff • VAT reimbursement for one year • Exemption of tax registration for car if done through the Foreign Office 	

5.3. *Evaluation*

On 9 July 2010, the European Competitiveness Council adopted conclusions in relation to the ITER status and the possible way forward and requested that an independent expert, Deloitte was appointed by the Governing Board to make an annual assessment. Deloitte made its first assessment during the period January to June 2012 and presented its report to the Governing Board at its meeting on 28th and 29th June 2012. The Board welcomed the reassurance it gave on F4E's overall contribution to the ITER project and has submitted this report with its own comments separately to the Council.

The assessment report was submitted by the Governing Board Chair to the Commission in September 2012. Subsequently, the Commission transmitted the Deloitte report together with F4E 2012 Council Progress report to the Council and Parliament in December 2012.

In this first Annual Assessment Report, the Management Assessor states that "F4E's contribution to the ITER project is progressing reasonably bearing in mind that F4E's performance depends to a large degree on the ITER IO information and input". In particular, Deloitte pointed out that the volume of credit awarded to F4E by ITER increased significantly in 2011.

F4E is pleased that the Management Assessor recognises the progress achieved towards the ITER construction and the launching of the necessary procurement activities to deliver the EU contribution in a timely manner and within the financial boundaries defined by the Council.

Deloitte have also identified areas where "there is room for improvement" in the following main areas:

- o The Relationship between ITER IO and F4E
- o The Efficiency of the F4E internal decision-making processes
- o Improved Information and Reporting
- o Cost Containment and Savings Plan

'Fusion for Energy' had been well aware of the requirement for further improvement in the areas identified by the Management Assessor, and a number of actions had already been underway or planned to reinforce efficiency. This includes the Improvement Plan proposed by the Director and adopted by the Governing Board in 2010, in which particular emphasis had been given to the introduction of a full-fledged project management system. The opinion and findings of the Management Assessor is a welcome confirmation of the assessment already made by the F4E governing bodies and senior management.

In its meeting in 9-10 December 2012, the Governing Board endorsed the Action Plan in response to the 2012 Annual Management Assessment Report. Many of the specific actions identified in the Action Plan had already been identified and were underway as part of other exercises, such as the F4E Improvement Plan and various internal and external audits on the F4E performance.

The Action Plan will be implemented through the F4E 'Overall Control and Monitoring Strategy' which aims at merging into a common structure all audits and assessment recommendations in order to address their implementation in a consistent way. The F4E 'Overall Control and Monitoring Strategy' includes a detailed analysis of all observations and actions to identify key areas of improvement and to define coherent sets of actions and prioritise them into an Organisational Improvement Plan. The F4E on-going Organisational Improvement plan will ensure a continuous improvement of the overall F4E Management System (Rules, policies, processes, procedures and reporting tools) and it will allow for more coordinated reporting and responses to the different assurance providers (e.g. improving the management of cost risks and estimates will impact different Management Standards: Planning, budgeting, monitoring, reporting, etc.).

2.2.2. Innovative Medicines Initiative Joint Undertaking (IMI)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Approval by Council Regulation N° 73/2008 of 20/12/2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines (OJ L 30/38)	20/12/2007	<p>The IMI Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the Theme 'Health' of the Specific Programme Cooperation implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produces more effective and safer innovative medicines. In particular it shall:</p> <ul style="list-style-type: none"> support 'pre-competitive pharmaceutical research and development' in the Member States and countries associated with the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process; support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (hereinafter referred to as 'Research Activities'), notably by awarding grants following competitive calls for proposals; ensure complementarity with other activities of the Seventh Framework Programme; be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Members States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors; promote the involvement of small and medium-sized enterprises (SME) in its activities, in line with the objectives of the Seventh Framework Programme.

Seat Brussels, Belgium

Budget line 08 02 51 – Completion of previous research framework programme – Seventh Framework Programme – EC indirect action (2007 to 2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	23	22	23	23
Establishment plan posts: AST	6	6	6	6
Total Establishment plan posts	29	28	29	29
Contract Agents	7	7	7	7
Seconded National Experts				
Total staff	36	35	36	36

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	211 308 000	3 950 000
Other revenue	17 991 959	3 950 000
Total revenues	229 299 959	3 950 000 *

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	4 541 000	4 541 000	4 541 000	4 541 000
Title 2	4 017 720	4 017 720	3 359 000	3 359 000
Title 3	219 545 287 **	126 150 000	0	198 000 000
Total expenditure	227 946 239 ***	134 708 720	3 950 000	205 900 000

* See footnote 40.

** See footnote 41.

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		1		1		1		1		1
AD 11		4		4		4		4		4
AD 10										
AD 9		5		2		5		2		2
AD 8		11		1		11		9		9
AD 7		1		4		1		5		5
AD 6										
AD 5								1		1
AD total		23		22		23		23		23
AST 11										
AST 10										
AST 9										
AST 8		1		1		1		1		1
AST 7										
AST 6										
AST 5										
AST 4										
AST 3		5		5		5		5		5
AST 2										
AST 1										
AST total		6		6		6		6		6
TOTAL		29		28		29		29		29
GRAND TOTAL	29		28		29		29		29	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	2	2	2	2
Function Group III	4	4	4	4
Function Group II	4	4	4	4
Function Group I				
Total	7	7	7	7

(*) Number of contract agents in 2012 and 2013: estimate on the basis of average costs

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	0	0	0	0

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	298 880 897	211 308 000	3 950 000	3 950 000	
of which Administrative (Title 1 and Title 2)	4 551 800	4 240 000	3 950 000 ⁴⁰	3 950 000	
of which Operational (Title 3)	294 300 000	207 068 000 ⁴¹	0	0	
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	7 800 000	5 916 624	0	0	
Of which EFTA	7 800 000	5 916 624	0	0	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	4 067 578 *	4 200 000**	3 950 000	3 950 000	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	474 655	350 000	0	0	
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	46 704 563***	7 525 335	0	0	
TOTAL REVENUES	357 898 596	229 299 959	3 950 000	3 950 000	-98,3%

* This amount corresponds to a payment made by EFPIA to the IMI JU in 2012. With this amount, the gap related to the contribution to the running costs between the Commission and EFPIA has been absorbed.

** Corresponding to a planned financial contribution from the EFPIA to be included in the commitment appropriations of the IMI Joint Undertaking's budget.

*** The amount of EUR 46 704 563 corresponds to the total 2012 unused appropriations (from which EUR 62 411 561 used on carry over for operational costs, minus EUR 13 344 821 not used on 2012 appropriations, minus EUR 1 887 522 unused running costs and minus EUR 474 655 unused interests generated).

Year 2013: the amount of EUR 207 068 000 corresponds to the voted operational budget. EUR 15 641 280 will be transferred to the running costs to reach EUR 20 million of EU contribution for 2013-2017 estimated as needed by IMI JU. The total appropriations foreseen for the running costs will be EUR 38 917 090 (slightly below the EUR 40 million ceiling), and the total appropriations foreseen for operational costs will be EUR 960 million. Finally, EUR 1 195 418 will be transferred to the Health Programme.

⁴⁰ The EU contribution to the running costs in CA and PA in 2014 of EUR 3 950 000 was provided on the budget line 08 02 02 in the voted budget 2013. This is included in the amounts for Title 1 and Title 2 in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue amount in 2014.

⁴¹ In addition to the amount of EUR 192 186 000 foreseen for the operational actions, an amount of EUR 15 641 280 was granted for the contribution to the running costs for 2014-2017 on the budget line 08.0202 in the voted budget 2013. This amount is included in the total revenue figure for 2013.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	3 792 882	4 541 000*	4 541 000	4 541 000*	0,0%
Salaries & allowances	3 427 087	4 131 000	4 131 000	4 131 000	
- of which establishment plan posts	3 096 028	3 731 943	3 731 943	3 731 943	
- of which external personnel	331 059	399 057	399 057	399 057	
12 Expenditure relating to Staff recruitment	14 561	20 000	20 000	20 000	
13 Mission expenses	165 262	160 000	160 000	160 000	
14 Socio-medical infrastructure & training	178 425	200 000	200 000	200 000	
15 Training					
16 External Services					
17 Missions & Representation	7 547	30 000	30 000	30 000	
Title 2 - Infrastructure and operating expenditure	3 087 174	4 017 720	3 359 000*	3 359 000*	-16,4%
20 Rental of buildings and associated costs	412 618	510 000	510 000	510 000	
21 Information and communication Technology	547 573	550 000	350 000	350 000	
22 Movable property and associated costs	14 085	110 000	100 000	100 000	
23 Current administrative expenditure	81 586	100 000	100 000	100 000	
24 Postage / Telecommunications	39 935	70 000	69 000	69 000	
25 Meeting expenses	97 651	150 000	150 000	150 000	
26 R&D support (evaluations and reviews)	278 724	500 000	500 000	500 000	
27 Innovation	386 809	500 000	500 000	500 000	
28 Communication	615 242	800 000	580 000	580 000	
29 Audits	615 951	727 720	500 000	500 000	
Title 3 - Operational expenditure	351 018 540	219 546 239**	0	0	-10,0%
Operational cost	351 018 540	203.904.541	0	0	
TOTAL EXPENDITURE	357 898 596	227 946 239 ***	3 950 000	3 950 000	- 98,3 %

* The EU contribution to the running costs in CA and PA in 2014 of EUR 3 950 000 was provided on the budget line 08 02 02 in the voted budget 2013. This is included in the amounts for Title 1 and Title 2 in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue amount in 2014.

** EUR 1 195 418 will be transferred to the Health Programme. This amount has been deducted from the Title 3 and total expenditure.

*** In addition to the amount of EUR 192 186 000 foreseen for the operational actions, an amount of EUR 15 641 280 was granted for the contribution to the running costs for 2014-2017 on the budget line 08.0202 in the voted budget 2013. This amount is included under Title 3 and in the total revenue figure for 2013.

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	3 677 390	4 541 000	4 541 000	4 541 000	0,0%
Salaries & allowances	3 427 087	4 131 000	4 131 000	4 131 000	
- of which establishment plan posts	3 096 028	3 731 943	3 731 943	3 731 943	
- of which external personnel	331 059	399 057	399 057	399 057	
12 Expenditure relating to Staff recruitment	14 561	20 000	20 000	20 000	
13 Mission expenses	105 262	160 000	160 000	160 000	
14 Socio-medical infrastructure & training	125 991	200 000	200 000	200 000	
15 Training					
16 External Services					
17 Missions & Representation	4 489	30 000	30 000	30 000	
Title 2 - Infrastructure and operating expenditure	1 982 378	4 017 720	3 359 000	3 359 000	-16,4%
20 Rental of buildings and associated costs	393 937	510 000	510 000	510 000	
21 Information and communication Technology	376 528	550 000	350 000	350 000	
22 Movable property and associated costs	7 423	110 000	100 000	100 000	
23 Current administrative expenditure	54 366	100 000	100 000	100 000	
24 Postage / Telecommunications	23 927	70 000	69 000	69 000	
25 Meeting expenses	88 766	150 000	150 000	150 000	
26 R&D support (evaluations and reviews)	197 444	500 000	500 000	500 000	
27 Innovation	276 206	500 000	500 000	500 000	
28 Communication	30 293	800 000	580 000	580 000	
29 Audits	533 488	727 720	500 000	500 000	
Title 3 - Operational expenditure	103 809 163	103 890 065*	198 000 000	198 000 000	90,6%
Operational cost	103 809 163	103 890 065	198 000 000	198 000 000	
TOTAL EXPENDITURE	109 468 931	112 448 785	205 900 000**	205 900 000	83,1%

*This amount includes an amount of EUR 108 242 which will be transferred from administrative budget line 08 02 03.

A request for an additional reinforcement of EUR 22 151 693 will be introduced via the Global transfer procedure. In case the additional funds cannot be granted, this will increase 2014 PA needs.

** The EU contribution in PA provided in the voted budget 2013 on budget line 08 02 02 does not include any payments for the running costs in the years 2014-2017.

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 10 274 171.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

The total number of Temporary Agents (TA) in the staff establishment plan approved by the Budgetary Authority for 2013 is at 29. Of these, 28 were in place on 31 December 2012. One more TA left on 31.12. The recruitment for two TA posts is ongoing, and they should be filled in the first half of 2013. On 31 December 2012 IMI employed 7 Contractual Agents (CA).

For 2014, IMI plans to keep the number of AD posts and the number of CA posts as they are in 2013. The grading of TA positions should be adapted to reflect the actual grading foreseen already this year (lower than the authorised grading). IMI needs the same number of staff in 2014 as in 2013 because the workload will remain high with the negotiation of the grant agreements from the remaining calls that are being launched during 2013 and the large number of ongoing projects that need to be followed up, leading to a further increase in the number of transactions to be performed. Also, the scientific follow-up, communication about results and promotion of exploitation of results will require an ever increasing effort of IMI staff.

4.1.2. Salary assumption for calculating salary lines (% applied)

For the period from 1 July 2013 to 30 June 2014, an increase in salary of 0,8% will be applied and a further increase of the same percentage for the remainder of 2013 in case of positive outcome of the Commission's redress to the European Court of Justice. Total average costs applied per year by the Joint Undertaking remains at EUR 127 000 per Temporary Agent and EUR 64 000 per Contractual Agent

4.1.3. Vacancy rate as of end-2012

At the end of 2012, the vacancy rate for the establishment plan posts (TA) was 3,44% (28 TA recruited out of 29 approved). This post was filled in the initial part of the year and became vacant in summer 2012. The recruitment procedure for re-filling this post and one additional post that fell vacant on 1.1.2013 was ongoing at the end of the year. For operational reasons at the IMI Executive Office it had not been possible to re-fill the empty post in 2012. The vacancy has been published but the timing from this publication to finalising the recruitment procedure did not allow recruitment to occur before the end of the year

4.1.4. Standard abatement ("abatement forfaitaire") applied

This is not applied, as all positions foreseen for 2013 will be filled shortly. Two positions for Temporary Agents are currently being filled.

4.2. Financial Resources

4.2.1. Title 1

The costs, presented in Title 1, are directly related to staff. From 2013 to 2014, there are no changes foreseen in this title. Title 1 will be covered 50/50 between the Commission and EFPIA.

For the period from 2014 through 2017, a total budget for running costs of EUR 31 600 000 is needed (to be shared 50/50 between the Commission and EFPIA). The overall needs for running costs for 2014 will be the slightly reduced compared to 2013 with a total budget of EUR 7,9 million. Given the long duration of IMI projects (5 years) and the fact that during 2012 and 2013 a number of calls have been and will be launched, which will lead to committing the remaining funds, the workload for evaluations and project follow-up during 2014 is still very similar to 2013. Even though there will be no more launching of new calls in the final years of the existence of IMI, workload will remain high for the follow-up of the ongoing projects and the exploitation of results.

4.2.2. Title 2

IMI JU moved to its final premises White Atrium, Avenue de la Toison d'Or in Brussels, shared with the other JTIs at the beginning of 2011. The costs for four chapters decrease from 2013 to 2014 for a total reduction of EUR 500 000. This reduction is mostly due to the fact that IT expenditure is decreasing and the cost for audits has been re-visited since IMI has been able to get very favourable terms in the call for tender for external audit services.

Title 2 will be covered 50/50 between the Commission and EFPIA.

The expenditure related to buildings will be stable during the remainder of IMI, as IMI is expected to remain in the same premises it currently occupies, shared with the other JTIs. Costs for expert evaluations will decrease significantly as from 2015, as no evaluations of new projects are planned at that time. Nevertheless, an increasing number of interim evaluations of ongoing projects will be organised. IT costs will remain a significant factor, with a decrease foreseen for the last year.

4.2.3. Title 3

These expenditures are linked to the operational activities, as detailed in the IMI Council Regulation 73/2008 of 20/12/2007 and in the IMI Legislative Financial Statement. The IMI JU shall support prospective, pre-competitive pharmaceutical research and development (in this context 'pre-competitive pharmaceutical research and development' should be understood as research on the tools and methodologies used in the drug development process), following open and competitive calls for project proposals, independent evaluation, and the conclusion of Grant Agreements and Project Agreements. Financial support for participants in IMI research activities will be granted by the IMI JU on the basis of a peer-review evaluation following response to open and competitive calls for proposals. IMI JU collaborative projects will be implemented through a co-financing scheme: the IMI JU will grant financial support to the eligible entities, while the other entities (including the research based pharmaceutical companies that are members of EFPIA) will participate in the project with their own resources.

For 2014 no new commitment appropriations are foreseen, since the funding comes from FP7 and the last calls should be launched during 2013. It is possible that some unused commitment appropriations from 2013 will be carried over to 2014 for the launch of some final calls.

As regards the needs for operational payment appropriations in 2013, IMI JU plans to execute EUR 125,8 million, of which EUR 62 million relates to interim payments and EUR 63,8 million to pre-financing payments. Such a level of payments would require a reinforcement of currently available payment appropriations by EUR 22,3 million. If the additional appropriations cannot be granted in 2013, due to lack of an overall lack of availabilities, this will increase 2014 PA needs. The requested amount for payment appropriations in 2014 for the Title 3 activities EUR 198 million is needed to cover 7 pre-financing (EUR 87,7 million), 29 interim (EUR 102,8 million) and 7 final (EUR 7,5 million) payments.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Brussels	White Atrium	957	510 000		No		IMI is housed together with the other Joint Undertakings. The JU's share a meeting space. The surface area of the shared meeting space is not included in the indicated surface area.

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
A host agreement has been signed in 2012	As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IMI JU and its staff.	

5.3. *Evaluation*

An evaluation of the joint undertaking has been submitted to the Budgetary Authority in September 2011.

The expert interim evaluation report has been published on the IMI website at:

<http://www.imi.europa.eu/sites/default/files/uploads/documents/Governance/FirstInterimEvaluationOfIMI2011.pdf>.

The Commission response has been published as part of the Commission Communication COM(2011) 572 final from 21.09.2011, published at: http://ec.europa.eu/research/era/pdf/partnering_communication.pdf

The main findings of the latest evaluation available are as follows:

The overall appreciation of the panel for the first interim evaluation of IMI is positive. The Commission welcomes the interim evaluation report about IMI JU. It takes note of the recommendation of the evaluation panel. The Commission is committed to working with EFPIA to implement the recommendations addressed to the IMI Governing Board, where the two founding members work together to give strategic direction and oversight to the IMI JU. The Commission is committed to implementing the recommendations addressed to it and is open to collaborating with EFPIA and the IMI Executive Director implementing the recommendations addressed to them. Most of the recommendations can be implemented in the short to medium term and indeed many are already being addressed through actions from the Governing Board and the Executive Office, for example through a revision of the IMI model grant agreement that was adopted by the IMI Governing Board in autumn 2011.

A new evaluation of the joint undertaking is expected to be carried out by the fourth quarter of 2013.

2.2.3. Clean Sky Joint Undertaking

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation No. 71/2008 of 20/12/2007 setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008)	20/12/2007	<p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation.</p> <p>The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts; accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.

Seat Brussels, Belgium

Budget line 08 02 51 - Completion of previous research framework programme — Seventh Framework Programme – EC indirect action (2007 to 2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	17	17	17	17
Establishment plan posts: AST	1	1	1	1
Total Establishment plan posts	18	18	18	18
Contract Agents	6	5	6	6
Seconded National Experts				
Total staff	24	23	24	24

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	229 403 000 (*)	2 340 667 (**)
Other revenue	29 195 367	94 016 186
Total revenues	258 598 367	94 016 186

(*) This amount includes an amount of EUR 9 514 908 in commitment appropriations provided in the voted budget on the line 08 07 02 for the EU contribution to the running costs in 2014-2017. It is also included in the total revenues for 2013.

(**) An amount of EUR 2 340 667 related to the EU contribution to the running costs in CA in 2014 was provided on the budget line 08 07 02 in the voted budget 2013. It is included in the amounts for Title 1 and Title 2 in the 2014 column so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue figure in 2014.

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	3 389 274	3 389 274	2 918 586	2 918 586
Title 2	2 387 772	2 387 772	1 597 600	1 597 600
Title 3	238 155 000	152 148 462	90 750 000	86 784 066
Total expenditure	243 932 046	157 925 508	95 266 186	91 300 252

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11										
AD 10		3		3		3		3		3
AD 9		7		7		7		7		7
AD 8		1		1		1		1		1
AD 7		2		2		2		2		2
AD 6		3		3		3		3		3
AD 5										
AD total		17		17		17		17		17
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1		1		1		1		1
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST total		1		1		1		1		1
TOTAL		18		18		18		18		18
GRAND TOTAL		18		18		18		18		18

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	1	1	1	1
Function Group III	2	2	2	2
Function Group II	3	2	3	3
Function Group I				
Total	6	5	6	6

(*) Number of contract agents in 2012 and 2013: estimate on the basis of average costs.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total				

(*) Estimate on the basis of average costs.

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	139 758 292	229 403 000	2 340 667 (2)	2 340 667	-99,0%(2)
of which Administrative (Title 1 and Title 2)	2 298 292	2 888 523	2 340 667 (2)	2 340 667	
of which Operational (Title 3)	137 460 000	226 514 477(1)			
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	3 640 000	6 423 284			
Of which EFTA	3 640 000	6 423 284			
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	2 974 822	2 772 083	2 408 093	2 408 093	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	1 089 092	1 000 000	1 200 000	1 200 000	
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	62 655 290	67 062 057	90 408 093	90 408 093	
TOTAL REVENUES	210 117 496	306 660 424	94 016 186 (2)	94 016 186 (2)	-68,6%

(1) In addition to the amount of EUR 215 480 505 foreseen for the operational activities until 2017, an amount of 9 514 908 was made available for the EU contribution to the running costs for 2014-2017 on the budget line 08 07 02 in the Voted Budget 2013. This amount is included in the total revenue amount for 2013. An excess amount of EUR 1 828 015 in commitment appropriations is transferred to the Transport programme (08 07 01). Although this amount is still included in the revenue table, it is deducted from the expenditure table.

(2) an amount of EUR 2 340 667 for the EU contribution to the running costs in CA 2014 was provided on the budget line 08 07 02 in the voted budget 2013. It is included in the amounts for Title 1 and Title 2 in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue amount in 2014.

For the 2012 Executed Budget, the difference between the Revenues Commitment Appropriations and Expenditure Commitment Appropriations amounts to EUR 36 898 549 (unused carry-over), which is transferred to the Commitment Appropriations Carry-over for the next year (2013).

For 2013, the difference between the amounts budgeted as Revenues Commitment Appropriations and Expenditure Commitment Appropriations amounts to EUR 62 728 378, which reflects unused appropriations not required in the current year.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	2 831 811	3 389 274	2 968 586	2 918 586	-13,9%
11 Salaries & allowances	2 276 991	2 090 000	2 090 000	2 090 000	
- of which establishment plan posts	1 987 991	1 824 000	1 824 000	1 824 000	
- of which external personnel	289 000	266 000	266 000	266 000	
12 Miscellaneous Expenditure on Staff	330 000	1 042 874	572 186	572 186	
13 Mission expenses	190 000	200 000	250 000 ⁴²	250 000	
14 Socio-medical infrastructure & training	34 200	38 000	38 000	38 000	
15 Social measures					
16 External Services					
17 Receptions and events	620	18 400	18 400	18 400	
Title 2 - Infrastructure and operating expenditure	1 888 307	2 387 772	1 597 600	1 597 600	-33,1%
20 Rental of buildings and associated costs	320 000	403 172 ⁴³	330 000	330 000	
21 Information and communication technology	152 000	333 000	200 000	200 000	
22 Movable property and associated costs	0	53 000	30 000	30 000	
23 Current administrative expenditure	26 000	46 000	40 000	40 000	
24 Postage / Telecommunications	35 000	37 600	37 600	37 600	
26 Meetings expenses	685 550	860 000 ⁴⁴	260 000	260 000	
27 Communication Activities					
28 Studies	132 500	210 000	200 000	200 000	
29 Costs associated with Calls	537 256	445 000 ⁴⁵	500 000	500 000	
Title 3 - Operational expenditure	168 393 082	238 155 000	90 750 000 (3)	90 750 000 (3)	-61,8%
30 Smart Fixed Wing Aircraft	27 957 005	28 000 000	26 000 000	26 000 000	
31 Green Regional Aircraft	11 359 248	13 280 000	9 550 000	9 550 000	
32 Green Rotorcraft	11 284 525	27 500 000	0	0	
33 Sustainable and Green Engines	27 505 945	40 200 000	36 900 000	36 900 000	
34 Systems for Green Operations	22 890 560	36 220 000	0	0	
35 ECO-Design	9 050 052	17 340 000	0	0	
36 Technology Evaluator	1 896 936	7 300 000	0	0	
37 Calls for Proposals	56 448 812	68 315 000	18 300 000	18 300 000	
TOTAL EXPENDITURE	210 011 749 (3)	306 660 424 (3)	96 356 853 (3)	96 356 853	-68,6%

(1) This amount includes an amount of EUR 9 514 908 provided in the voted budget 2013 on the budget line 08 07 02 as EU contribution to the running costs for 2014-2017. This amount is included in the total expenditure amount for 2013. An amount of EUR 1 828 015 in commitment appropriations will be transferred from the budget line 08 07 02 to the Transport programme (08 07 01). Although this amount is still included in the revenue table, it is not included in the expenditure table.

(2) An amount of EUR 2 340 667 is foreseen for the EU contribution to the running costs in 2014, out of a total amount of EUR 9 514 908 for 2014-2017 provided in the voted budget 2013 on the budget line 08 07 02. This amount is included in the amounts for Title 1 and Title 2 in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total expenditure figure in 2014.

(3) The total includes unused Carried-over appropriations: EUR 36 898 549 (2012), EUR 62 728 378 (2013) and EUR 1 040 667 (2014).

⁴² Mission and Travel Expenses (2014): the current experience of Clean Sky shows a stable annual increase in the Mission and Travel Expenses of close to 20% per year.

⁴³ Rental of Buildings and associated costs (2013): an additional amount of EUR 73 170 is foreseen for renovation for the third floor of the building. The additional space will be needed in regards with the new staff employed for Clean Sky 2. The costs for Rental of Buildings were increased in Amending Budget 1/2013 approved by the Governing Board on 22 March 2013.

⁴⁴ R&D support (evaluations and reviews): this includes the expenditure on formal and other meetings as well as costs associated with calls. The initial version included costs for Expenditures on formal and other meetings only, without the costs associated with calls which represent a significant annual expenditure.

⁴⁵ Audit Expenses: for 2013/2014 filled in with the amounts from Budget 2013/2014. Please note that Clean Sky expects a significant increase in the Audit Expenses, based on current experience.

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	2 703 781	3 389 274	2 968 586	2 918 586	-13,9%
11 Salaries & allowances	2 276 992	2 090 000	2 090 000	2 090 000	
- of which establishment plan posts	1 987 992	1 824 000	1 824 000	1 824 000	
- of which external personnel	289 000	266 000	266 000	266 000	
12 Miscellaneous Expenditure on Staff	252 227	1 042 874	572 186	572 186	
13 Mission expenses	157 505	200 000	250 000	250 000	
14 Socio-medical infrastructure & training	16 437	38 000	38 000	38 000	
15 Social measures					
16 External Services					
17 Receptions and events	620	18 400	18 400	18 400	
Title 2 - Infrastructure and operating expenditure	1 274 938	2 387 772	1 597 600	1 597 600	-33,1%
20 Rental of buildings and associated costs	320 000	403 172	330 000	330 000	
21 Information and communication technology	75 834	333 000	200 000	200 000	
22 Movable property and associated costs	0	53 000	30 000	30 000	
23 Current administrative expenditure	14 630	46 000	40 000	40 000	
24 Postage / Telecommunications	26 082	37 600	37 600	37 600	
26 Meetings expenses)	611 245	860 000	260 000	260 000	
27 Communication Activities					
28 Studies	104 570	210 000	200 000	200 000	
29 Costs associated with Calls	122 577	445 000	500 000	500 000	
Title 3 - Operational expenditure	122 489 889	152 148 462	86 784 066	86 784 066	-41,3%
30 Smart Fixed Wing Aircraft	22 365 604	22 395 701	18 200 000	18 200 000	
31 Green Regional Aircraft	10 161 495	10 431 925	7 000 000	7 000 000	
32 Green Rotorcraft	9 027 620	9 625 000	1 500 000	1 500 000	
33 Sustainable and Green Engines	22 003 147	21 461 000	25 900 000	25 900 000	
34 Systems for Green Operations	18 312 448	27 643 056	800 000	800 000	
35 ECO-Design	8 369 324	15 528 000	500 000	500 000	
36 Technology Evaluator	1 757 206	6 181 448	200 000	200 000	
37 Calls for Proposals	30 493 047	38 882 332	32 684 066	32 684 066	
TOTAL EXPENDITURE	126 468 609	157 925 508	91 350 252	91 350 252	-42,2%

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 610 066.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

0 – No new staff requested.

4.1.2. Salary assumption for calculating salary lines (% applied)

The salary is based on estimates made in December 2012.

4.1.3. Vacancy rate as of end-2012

8% - 1 CA position (FG IV) has been offered and has accepted to start on 16.1.13. The other CA position (FG II) was offered but had not yet accepted at end of the year.

4.1.4. Standard abatement ("abatement forfaitaire") applied

Standard abatement is applied (5,55%).

4.2. Financial Resources

4.2.1. Title 1

In 2012, the JU had its first staff turnover. Four staff left the JU and 2 were recruited within the year, and other two vacancies arose in the second semester. Despite the JU request for more staff, the JU will continue to manage with just 24 staff in total. Unfortunately, a backlog of reporting is building up as foreseen due to the lack of resources necessary to deal with the workload and comply with the financial rules. In 2014, the JU will try to use the services of external providers to complement its staff numbers

As awarding authority, Clean Sky joined the Framework Procurement procedure launched by IMI JU (Ref. JTI/INTERIM/2011/OP/03 - Framework contract in the field of interim services for Artemis, Eniac, Clean Sky, FCH and IMI Joint Undertakings). Based on the terms of the Framework Contract, Clean Sky is entitled to make use of its services on the basis of specific contracts to be signed by the JU. The legal basis is the EU Financial Regulation since the JU is a Community body with its own legal personality (Article 3 of Regulation 71/2008) and art. 79 of Clean Sky Financial Rules. Based on the same article 79, Clean Sky is allowed also to launch its own procurements procedure for the provision of services by external providers to support its operational activities. Clean Sky JU intends to further use this legal possibility in future.

4.2.2. Title 2

Title 2 expenses will stay similar to 2012 with the cost of ex-post audits, evaluation costs and rent being the main consumers of budget.

4.2.3. Title 3

The operational title represents the payment needs only for 2014 as the commitment appropriations were granted to the JU in 2013. Some ITDs will make multi-annual grant agreements in 2013 while others remain with annual agreements. The pre-financing for the three ITDs with annual Grants will take place in 2014 together with the interim payments as appropriate for those ITDs who shall claim according to their grant agreement and for the activities performed.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Brussels	White Atrium	895,5	330 000	No	No		

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The negotiations with the Belgian authorities on the host agreement are continuing. Until an agreement is reached, the Protocol on the Privileges and Immunities applies directly.	As stated in the article 8 of the Council Regulation setting up the Clean Sky JU (71/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff.	The European Schools will provide for Clean Sky needs.

5.3. *Evaluation*

An evaluation of the joint undertaking has been submitted to the Budgetary Authority by 2011

The main findings of the latest evaluation available are as follows:

The Clean Sky Joint Undertaking concept is found appropriate for its objectives.

Setting up the CSJU as an entirely new Public Private Partnership (PPP) organization has been a significant success on its own.

The initial ‘top-down’ work plan has been complemented by a detailed ‘bottom-up’ work plan: key demonstrator targets fall within the Clean Sky (CS) time frame, the Clean Sky demonstrator timing seems well-synchronized with industrial deployment strategies, and technical progress towards environmental targets has been identified.

The CSJU has been successful in attracting a high level and wide participation from all EU key industries, including SME's, thus enhancing European integration.

The coordination with the EC Framework Programme and the SESAR Joint Undertaking appears to be organised in an effective manner.

However, significant delays as compared to the initial plans have accumulated because of difficulties in establishing the CSJU internal procedures and regulations as well as building up the teams. In particular, the definition and implementation of processes setting up and running a PPP under the rules of a Community Body was highly challenging. Further delays of technical nature have been identified by the ‘bottom-up’ work plan in June 2010; for some demonstrators those delays are in excess of 2 years.

Regarding the setup of potential future PPPs the Panel therefore recommends the following measures:

- The Panel sees clear requirements for special provisions for the implementation and the specific operating needs of Joint Undertakings JTIs and for developing a specific framework regulation for PPPs as foreseen by Article 185 of the Financial Regulation. It supports the recommendations of the Sherpa Group's report “that the current legal framework be streamlined to fit the purposes of setting up and implementing future JTIs”. In this respect, the current ‘Community Body’ status of JTIs should be reviewed to enable a more efficient partnership between public and private players.
- For future Joint Technology Initiatives, a clear separation should be made between formal establishment and formal start of technical activities. Prior to the formal start of technical activities, the resources and administrative tools should be essentially available and an in-depth review of the technical programme should be carried out.
- The European Commission should ensure that a sound balance is maintained between highly integrated programmes aiming at short-term industrial applications and more fundamental research initiatives targeting innovative concepts. To this end, the European Commission should define a clear strategy utilizing the different funding instruments.

The Panel assesses the CSJU as an ambitious European initiative with the potential to become a new model of a public-private-partnership. The CSJU should be continued with special attention towards adhering to the main objectives and the work plans.

A new evaluation of the joint undertaking is expected to be carried out by September 2013.

2.2.4. ARTEMIS Joint Undertaking

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008).	20/12/2007	<p>The ARTEMIS JTI on Embedded Computing Systems will contribute to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ARTEMIS JTI will define and implement a "Research Agenda" for the development of key technologies for embedded computing systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications.</p> <p>The ARTEMIS JTI will address the design, development and deployment of ubiquitous, interoperable and cost-effective, powerful, safe and secure electronic and software systems, delivering reference designs and architectures that offer common architectural approaches for given ranges of applications, middleware that allows seamless connectivity and interoperability and integrated system design methods and tools for rapid development and prototyping.</p> <p>The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p>

Seat Brussels, Belgium

Budget line 09 04 51 — Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	8	8	8	8
Establishment plan posts: AST				
Total Establishment plan posts	8	8	8	8
Contract Agents	7	5	7	7
Seconded National Experts				
Total staff	15	13	15	15

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	65 911 793	623 932
Other revenue	3 313 707	1 600 000
Total revenues	69 225 500	1 600 000*

* An amount of EUR 623 932 related to the EU contribution to the running costs in CA in 2014 was provided on the budget line 09 04 01 03 in the voted budget 2013. It is included in the amounts for Title 1 and Title 2 in the 2014 column so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue figure in 2014.

Expenditure	2012		2013	
	CA	PA	CA	PA
Title 1	1 528 000	1 528 000	1 563 932	1 563 932
Title 2	1 007 500	1 007 500	660 000	660 000
Title 3	66 690 000	19 016 953	0	30 000 000
Total expenditure	69 225 500	21 552 453	1 600 000	32 223 932

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		2		2		2		2		2
AD 10										
AD 9										
AD 8		5		5		5		5		5
AD 7										
AD 6										
AD 5										
AD total		8		8		8		8		8
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST total		0		0		0		0		0
TOTAL		8		8		8		8		8
GRAND TOTAL		8		8		8		8		8

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	4	3	4	4
Function Group II	3	2	3	3
Function Group I				
Total	7	5	7	7

Number of contract agents in 2012 and 2013: estimate on the basis of average costs.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total				

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	39 223 959	65 911 793	623 932	623 932	
of which Administrative (Title 1 and Title 2)	1 082 888	911 793	623 932	623 932	
of which Operational (Title 3)	38 141 071	65 000 000	0	0	
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 442 469	1 845 530			
Of which EFTA	1 442 469	1 845 530			
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	1 200 000	1 600 000	1 600 000	1 600 000	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	10 239 004,86				
TOTAL REVENUES	52 105 432,86	69 357 323	1 600 000	1 600 000	-97,7%

The EFTA contribution for 2014 is estimated at the same % as for 2013, i.e. 2,8%

The unused appropriations from previous years indicated in the 2012 column correspond to the financial years 2010 and 2011.

The total amount of the EU (including EFTA) contributions in 2013 to R&D activities assumes total national commitments from ARTEMIS Member States of EUR 121 million, in accordance with Article 13 of the Joint Undertaking's statutes as annexed to Council Regulation (EC) N° 74/2008 of 20 December 2007, stating that the EU contribution to the R&D activities must represent 55% of the member States' contribution. The maximum total national commitments from ARTEMIS Member States are EUR 89 million in 2012.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	1 331 062	1 528 000	1 563 932	1 563 932	2,3%
11 Salaries & allowances	1 261 000	1 392 167	1 475 932	1 475 932	
- of which establishment plan posts	1 046 630	1 170 960	1 206 089	1 206 089	
- of which external personnel	214 370	221 207	269 843	269 843	
12 Expenditure relating to Staff recruitment	2 000	20 000	3 000	3 000	
13 Mission expenses					
14 Socio-medical infrastructure & training	8 062	15 000	15 000	15 000	
15 Training					
16 External Services					
17 Missions & Representation	60 000	100 833	70 000	70 000	
Title 2 - Infrastructure and operating expenditure	940 352	1 007 500	660 000	660 000	-34,5%
20 Rental of buildings and associated costs	263 233	280 500	290 000	290 000	
21 Information and communication technology	100 995	100 000	100 000	100 000	
22 Movable property and associated costs	4 050	5 000	5 000	5 000	
23 Current administrative expenditure	13 075	12 000	15 000	15 000	
24 Postage / Telecommunications	9 000	10 000	10 000	10 000	
26 R&D support (evaluations and reviews)	300 000	300 000	100 000	100 000	
27 Innovation	20 000	50 000	10 000	10 000	
28 Communication	230 000	220 000	100 000	100 000	
29 Audits	0	30 000	30 000	30 000	
Title 3 - Operational expenditure	49 776 833	66 690 000	0	0	-100%
Operational cost	49 776 833	64 640 000**	0	0	
TOTAL EXPENDITURE	52 048 247*	69 225 500	1 600 000	1 600 000	-97,7%

*The amount related to the executed budget 2012 for operational expenditure corresponds to the amount committed by the Joint Undertaking for Call 2012.

** An amount of EUR 2 050 000 in commitment appropriations will be transferred in 2013 from the budget line 09 04 01 02 to the budget line 09 04 01 03 as a contribution to the running costs in 2014-2017. This is included under Title 1 and Title 2 in 2014; however, it is deducted from the total expenditure in 2014.

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	1 250 242	1 528 000	1 563 932	1 563 932	2,3%
11 Salaries & allowances	1 199 618	1 392 167	1 475 932	1 475 932	
- of which establishment plan posts	994 094	1 170 960	1 206 089	1 206 089	
- of which external personnel	205 524	221 207	269 843	269 843	
12 Expenditure relating to Staff recruitment	0	20 000	3 000	3 000	
13 Mission expenses					
14 Socio-medical infrastructure & training	4 251	15 000	15 000	15 000	
15 Training					
16 External Services					
17 Missions & Representation	46 374	100 833	70 000	70 000	
Title 2 - Infrastructure and operating expenditure	755 294	1 007 500	660 000	660 000	-34,5%
20 Rental of buildings and associated costs	260 397	280 500	290 000	290 000	
21 Information and communication technology	84 222	100 000	100 000	100 000	
22 Movable property and associated costs	3 464	5 000	5 000	5 000	
23 Current administrative expenditure	9 934	12 000	15 000	15 000	
24 Postage / Telecommunications	7 276	10 000	10 000	10 000	
26 R&D Support (evaluations and reviews)	238 388	300 000	100 000	100 000	
27 Innovation		50 000	10 000	10 000	
28 Communication	151 611	220 000	100 000	100 000	
29 Audit		30 000	30 000	30 000	
Title 3 - Operational expenditure	16 959 028	19 016 953	30 000 000	30 000 000	57,7%
Operational cost	16 959 028	19 016 953	30 000 000	30 000 000	
TOTAL EXPENDITURE	18 964 564	21 552 453	32 223 932	32 223 932	49,5%

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 12 618 482.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

The number of staff in 2014 remains at the level of 2013.

4.1.2. Salary assumption for calculating salary lines (% applied)

The estimation of the cost of human resources is based on the actual staff costs at ARTEMIS JU. The budget appropriations covering staff expenditure for year 2013 are based on the estimate (average Full Time Equivalents on a yearly basis) of 8 temporary agents and 7 contract agents.

4.1.3. Vacancy rate as of end-2012

There were no vacant Establishment Plan posts at the end of 2012.

4.1.4. Standard abatement ("abatement forfaitaire") applied

Given the size of the establishment plan, no abatement rate is envisaged.

4.2. Financial Resources

4.2.1. Title 1

Chapter 11 – Salaries and allowances	This appropriation is intended to cover the cost of remuneration of temporary and contractual staff in accordance with the Staff Regulations. Concerning the remuneration, detailed tables of staff costs per type of agent and per year have been established.
Chapter 12 – Expenditure relating to staff recruitment	This appropriation is intended to cover the expenditure foreseen in the relevant provisions of the Staff Regulations, e.g. installation allowances for staff changing residence after taking up duties or when they cease definitively their duties and settle elsewhere and the daily subsistence allowances due to staff able to prove that they were obliged to change their place of residence after taking up duties. The 300% increase in recruitment expenditure is due to the eventual expenditure related to the possible retirement and consequent recruitment of a new Director and is based in the previous experience where the cost amounted to EUR 13 176.
Chapter 14 – Socio-medical infrastructure and training	This appropriation is intended to cover the costs of the employer's social security contributions in accordance with the Staff Regulations. It is also intended to cover the costs of the annual medical check-up of staff and associated analyses required, complementary health insurance and schooling allowances. It covers the management expenditure for Service Level Agreements and other staff management expenditure including special assistance grant for staff that are in particular difficult circumstances. This appropriation is also intended to cover the training expenses for the staff in accordance with the relevant provisions of the Staff Regulations.
Chapter 17 – Missions and representation	The mission appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred by the staff in the interest of the service in accordance with the Staff Regulations. The representation appropriation is intended to cover expenses incurred by authorised staff in respect of representation in the interest of the service. These costs are related to the expenses for trips and representation costs for the JU staff and are proportional to the operating budget.

4.2.2. Title 2

Chapter 20 – Rental of buildings and associated costs	Rental of buildings and associated costs: This appropriation is intended to cover the payment of the rent of White Atrium premises and its associated costs: water, gas, electricity and air conditioning charges, maintenance costs, the costs of cleaning, upkeep and the fitting-up of the premises and repairs in respect parts of the building occupied by the Joint Undertaking. It also includes insurances. This appropriation is also intended to cover the payment of security and safety of the building. The increase of this line is because the indexation foreseen in the contract.
Chapter 21 – Information and communication technology	This amount is to cover the various service contracts for the maintenance of the IT equipment but mainly to cover the different Service Level Agreements with DG BUDG (ABAC), DG DIGIT (Hosting ABAC), DG RTD (FP7 tools), REA Agency (Evaluation Platform) etc.
Chapter 22 – Movable Property	This budget will be dedicated to the purchase of furniture for the new building (including shared furniture for the common space), to the maintenance and replacement of furniture of the premises of ARTEMIS JU.
Chapter 23 – Current Administrative expenditures	Current Administrative expenditures: Stationary and office material, including the SLA with OIB (Lyreco/DHL etc).
Chapter 24 – Telecommunications	Phones, faxes, etc
Chapter 26 – R&D support (Evaluations and Reviews)	This line is necessary to perform the technical reviews of the funded projects. There is a possibility to have a second call at the end of 2013. If that happen, additional funds will be needed to cover the evaluation process.
Chapter 27 – Innovation	To achieve the objectives of the Council Regulation, promotional activities mainly oriented to the SME and activities related to Innovation.
Chapter 28 – Communication	This is mainly to cover the communication costs (publications, events, web site, etc.)
Chapter 29 – Audits	This provision is for external and internal audit needs.

4.2.3. Title 3

Under the actual legal basis ARTEMIS will not launch Calls in 2014.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Brussels	White Atrium	800 sqm (+ common space for meetings shared by 5 JUs)	290 000	No	No	N/A	Premises shared with 4 other Joint Undertakings: (IMI Clean Sky, FCH, ENIAC)

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Host agreement between Belgium and Artemis currently in negotiation.	As stated in the article 8 of the Council Regulation setting up the Artemis JU (74/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Artemis JU and its staff	

5.3. Evaluation

An evaluation of the joint undertaking has been submitted to the Budgetary Authority on 16/12/2010. The main findings of the latest evaluation available are as follows:

No. Summary of recommendation	Time-frame
1 Future JTIs in these domains to continue the tripartite JTI model	Next generation JTIs
Recommendations for Member States	
2 Make multi-annual budgetary commitments	Now
3 Comply with the JTI Council Regulations	Now
4 Undertake benchmarking & alignment study on national practices	Now
5 Give early annual indication of support for specific topics	Now
Recommendations for Industrial Associations	
6 Lead the establishment of processes to monitor progress toward JTI objectives	Now
7 Lead the preparation of action plans for achievement of innovation ecosystem aims	Now
8 Engage better with the JTI constituencies	Now
Recommendations for the European Commission	
9 Lead the drafting of new Council Regulations with alternative Financial Regulations and Staff Regulations	Next generation JTIs
10 Regulations should allow JTIs to support innovation-related activities other than R&D	Next generation JTIs
11 Regulations should allow JTIs to accept funding from other sources	Next generation JTIs
12 Regulations should allow the EU to make additional financial contributions for strategic purposes	Next generation JTIs
13 Regulations should allow the Joint Undertakings to claim some of their operational costs from non-members	Next generation JTIs
14 The Commission should establish data gathering to support assessment of the benefits of these JTIs	Now
Recommendations for the Joint Undertakings	
15 Establish a mechanism for recovering some of their operation costs from non-member beneficiaries of the JTIs	Next generation JTIs
16 Place greater emphasis on strategic, European aims in proposal evaluation & selection processes	Now
17 Establish processes to give early feedback to proposers	Now
Recommendation for JTI - EUREKA co-ordination	
18 ARTEMIS & ENIAC should continue their initiatives to differentiate from and coordinate with ITEA2 and CATRENE, respectively	Now

More information at: http://www.artemis-ju.eu/reference_documents

A new evaluation of the joint undertaking is expected to be carried out in 2013.

2.2.5. ENIAC Joint Undertaking

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008)	20/12/2007	<p>The ENIAC JTI on Nanoelectronics contributes to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ENIAC JTI defines and implements a "Research Agenda" for the development of key technologies for nanoelectronics based systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications.</p> <p>The ENIAC JTI addresses the design, development and deployment of nanoelectronics components in key lead markets as well as addresses all supporting technologies, processes, design tools, equipment, materials and manufacturing along the whole food chain to produce and design these components and integrate them in larger systems.</p> <p>The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p>

Seat Brussels, Belgium

Budget line 09 04 51 - Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	8	7	7	7
Establishment plan posts: AST				
Total Establishment plan posts	8	7	7	7
Contract Agents	8	8	8	8
Seconded National Experts			1	1
Total staff	16	15	16	16

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	110 429 142	0
Other revenue	5 342 016	2 356 000
Total revenues	115 771 158	2 356 000

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	1 694 000	1 694 000	1 673 500	1 673 500
Title 2	992 049	992 049	682 500	682 500
Title 3	113 080 000	36 127 816	0	74 144 250
Total expenditure	115 766 049	38 813 865	2 356 000	76 500 250

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		2		2		2		2		2
AD 10										
AD 9										
AD 8		5		4		4		4		4
AD 7										
AD 6										
AD 5										
AD total		8		7		7		7		7
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST total										
TOTAL		8		7		7		7		7
GRAND TOTAL		8		7		7		7		7

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV				
Function Group III	5	5	5	5
Function Group II	3	3	3	3
Function Group I				
Total	8	8	8	8

(*)Number of contract agents in 2012 and 2013: estimate on the basis of average costs

Seconded National Experts	Authorised 2021	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	0	0	0	1

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	109 593 414	110 429 142	0	0	
of which Administrative (Title 1 and Title 2)	923 358	429 142		0	
of which Operational (Title 3)	108 670 056	110 000 000	0	0	
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 430 526,00	5 432 015	0	0	
Of which EFTA	1 430 526,00	3 092 015	0	0	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	1 023 627,00	2 250 000	2 356 000	2 356 000	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL REVENUES	112 047 567	115 771 158	2 356 000	2 356 000	-98,0%

The maximum total national commitments from ENIAC Member States amount to EUR 135 709 million in 2012 and EUR 203 544 million in 2013.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				VAR 2014 / 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Joint undertaking request	Budget Forecast	
Title 1 Staff Expenditure	1 503 166	1 694 000	1 673 500	1 673 500	-1,2%
11 Salaries & allowances	1 402 364	1 566 000	1 590 000	1 590 000	
- of which establishment plan posts	1 326 520	1 466 000	1 557 000	1 557 000	
- of which external personnel	75 844	100 000	33 000	33 000	
12 Expenditure relating to Staff recruitment	26 272	25 000	10 000	10 000	
13 Mission expenses	43 516	60 000	50 000	50 000	
14 Socio-medical infrastructure	18 515	36 000	16 500	16 500	
15 Training					
16 External Services					
17 Receptions and events	12 500	7 000	7 000	7 000	
Title 2 Infrastructure and operating expenditure	823 160	992 049	682 500	682 500	-31,2%
20 Rental of buildings and associated costs	203 805	300 000	305 000	305 000	
21 Information and communication technology	74 730	90 000	35 500	35 500	
22 Movable property and associated costs	14 677	17 000	10 000	10 000	
23 Current administrative expenditure	2 931	4 500	4 000	4 000	
24 Postage / Telecommunications	46 948	89 858	70 000	70 000	
25 Meeting expenses					
26 Running costs in connection with operational activities	276 500	235 000	105 000	105 000	
27 Innovation	0	11 300	0	0	
28 Information and communication	203 570	200 000	101 000	101 000	
29 Evaluation and audit	0	44 391	52 000	52 000	
Title 3 Operational expenditure	125 428 699	113 080 000	0	0	100%
Operational cost					
TOTAL EXPENDITURE	125 428 699	113 080 000	0	0	-100%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2014
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	1 482 907	1 694 000	1 673 500	1 673 500	-1,2%
11 Salaries & allowances	1 399 762	1 566 000	1 590 000	1 590 000	
- of which establishment plan posts	1 323 919	1 466 000	1 557 000	1 557 000	
- of which external personnel	75 844	100 000	33 000	33 000	
12 Expenditure relating to Staff recruitment	26 272	25 000	10 000	10 000	
13 Mission expenses	42 416	60 000	50 000	50 000	
14 Socio-medical infrastructure	8 786	36 000	16 500	16 500	
15 Training					
16 External Services					
17 Receptions and events	5 671	7 000	7 000	7 000	
Title 2 - Infrastructure and operating expenditure	610 160	992 049	682 500	682 500	-31,2%
20 Rental of buildings and associated costs	203 805	300 000	305 000	305 000	
21 Information and communication technology	58 674	90 000	35 500	35 500	
22 Movable property and associated costs	8 943	17 000	10 000	10 000	
23 Current administrative expenditure	2 931	4 500	4 000	4 000	
24 External services	33 597	89 858	70 000	70 000	
26 R&D support (evaluations and reviews)	244 226	235 000	105 000	105 000	
27 Innovation	0	11 300	0	0	
28 Communication	57 986	Error! Not a valid link.	101 000	101 000	
29 Audits	0	44 391	52 000	52 000	
Title 3 - Operational expenditure	19 870 747	36 127 816	74 144 250	74 144 250	+105,2%
31 Selected projects	19 870 747	36 127 816	74 144 250	74 144 250	
TOTAL EXPENDITURE	21 963 815	38 813 865	76 500 250	76 500 250	+97,1%

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 5 929 391.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

For year 2014, the ENIAC Joint Undertaking doesn't intend to recruit additional statutory staff, but will take care that all positions remain occupied, in relation with the heavy workload identified for years 2012-2015 when the number of selected projects to be reviewed and followed up will come to a maximum, with the number of related payments to beneficiaries also coming to a maximum. According to the risk assessment analysis, it would not be a sound management practice to limit expertise and resources made available when the risk level is increasing.

The AD post, authorised for 2012, has been replaced by 1 SNE in 2013. This is a highly specialised post requiring a qualified person, since this person integrates ENIAC in the second part of the Programme. Year 2014 and 2015 will correspond to the period of maximum activity of the JU. Projects selected in over four years (or even more) of calls will be running at the same time and the capacity of the JU for the evaluation and monitoring of projects must reach its maximum.

Estimated number of running projects and financial transactions of the ENIAC JU for the period 2010 - 2014

Year	Running projects	Operational transactions	Administrative transactions	Total transactions
2012	38	800	630	1430
2013	50	1000	650	1650
2014	62	1180	650	1830
2015	55	1100	650	1750
2016	44	920	600	1520

4.1.2. Salary assumption for calculating salary lines (% applied)

The estimation of the cost of human resources is based on the actual staff costs at ENIAC JU. The budget appropriations covering staff expenditure for year 2014 are based on the estimate (average Full Time Equivalents on a yearly basis) of 7 temporary agents and 8 contract agents and 1 SNE. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights.

4.1.3. Vacancy rate as of end-2012

There were no vacant Establishment Plan posts at the end of 2012.

4.1.4. Standard abatement ("abatement forfaitaire") applied

N/A

4.2. Financial Resources

4.2.1. Title 1

Chapter 11 – Salaries and allowances	This appropriation is intended to cover the cost of remuneration of temporary and contractual staff in accordance with the Staff Regulations. Concerning the remuneration, detailed tables of staff costs per type of agent and per year have been established. Under this chapter are also covered the costs of the employer's social security contributions in accordance with the applicable Staff Regulations.
Chapter 12 – Expenditure relating to staff recruitment	This appropriation is intended to cover the recruitment costs for new staff as well as expenditure foreseen in the relevant provisions of the Staff Regulations, e.g. installation allowances for staff changing residence after taking up duties or when they cease definitively their duties and settle elsewhere and the daily subsistence allowances due to staff able to prove that they were obliged to change their place of residence after taking up duties.
Chapter 13 – Missions expenditure	The missions' appropriation is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred by the staff in the interest of the service in accordance with the Staff Regulations.
Chapter 14 – Socio-medical infrastructure	This appropriation is intended to cover the costs of the annual medical check-up of staff and associated analyses required, complementary health insurance and schooling allowances. Under this chapter are also covered costs for training of staff.
Chapter 17 – Receptions and events	This appropriation is intended to cover costs for representing the JU. The representation appropriation is intended to cover expenses incurred by authorised staff in respect of representation in the interest of the service.

4.2.2. Title 2

Chapter 20 – Rental of buildings and associated costs	The JU is operating from 2011 in its final premises located in the White Atrium building, 60 avenue de la Toison d'Or in Brussels. This office location is shared with 4 other JUs in order to minimise maintenance costs and share a number of expenses, like security and safety of staff and installations, cleaning and maintenance.
Chapter 21 – Information and communication technology	Information and communication technology: Further to new purchases and applications taking place in years 2011 and 2013, the costs will decrease in 2014 with mainly developments relating to the consolidation of tools for project management, with a view to secure and facilitate data exchange. This chapter also includes the helpdesk function which is externalised.
Chapter 22 – Movable Property	This chapter relates to purchase of furniture, office equipment and archiving facilities with the total costs gradually reduced.

Chapter 23 – Current Administrative expenditures	This chapter relates to legal costs, insurance and stationery, as well as financial costs (e.g. interest due in case of late payments).
Chapter 24 – Postage and Telecommunications	There are also included the costs for internet connexions and telecom equipment as needed (e.g. for replacement).
Chapter 25 – Formal and other meetings:	The chapter has been included for financing meetings which are taking place outside of the JU's premises, with the ENIAC JU secretariat having to support/share the costs.
Chapter 26 – R&D support	This chapter contains the costs related to the evaluation, selection and review of projects, including the costs incurred for evaluators and reviewers.
Chapter 27 – Innovation	In accordance with its mandate, the ENIAC JU will discontinue actions to promote SMEs contribution, to support scientific guidance and to liaise with regional activities and worldwide initiatives. This decision is the direct consequence of the end of the programme for launching calls under FP7.
Chapter 28 – Information and Communication	Information and communication: Like in previous years, communication actions will be organised under five headings: <ul style="list-style-type: none"> - Conferences, info days and workshops, - Internal communication, - Publication and acquisition of information (DB), - Website developments and consolidation, - General public relations (PR) and publicity. Actions will be implemented in accordance with the communication plan and may be executed by AENEAS under a service level agreement to be revised each year.
Chapter 29 – Evaluation and Audits	The internal audit function is outsourced, and the service provided by the Commission services (IAS) in accordance with the terms of the agreement and charter approved by the Governing Board in November 2010. Audit is taking place at the end of the projects and there will be more projects coming to the end from 2013. Furthermore, audit is a legal obligation, according to the Financial regulations and rules.

4.2.3. Title 3

Chapter 31 – Selected project	These are exclusively the costs related to Calls for proposals for R&D projects. For 2014, and in accordance with the present mandate of the ENIAC JU, there will not be any more appropriations for commitments, but only for payments implementing funding decisions taken during the period 2008-2013.
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5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Brussels	White Atrium	770 sqm (+ common space for meetings shared by 5 JUs)	240 000	Headquarters' agreement's signed in 2012	No		Premises shared with 4 other Joint Undertakings: IMI, Clean Sky, FCH and Artemis

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The ENIAC JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities, and has signed with Belgium an Headquarters agreement.	As stated in the article 8 of the Council Regulation setting up the ENIAC (72/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities applies to the ENIAC JU and its staff. On 2 February 2012, an Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007).	N/A

5.3. *Evaluation*

An evaluation of the joint undertaking has been submitted to the Budgetary Authority by 16 December 2010. The main findings of the latest evaluation available are as follows:

In accordance with the provisions of Article 11.2 of the basic act establishing the ENIAC JU, the Commission has carried out in 2010 an interim evaluation with the assistance of independent experts. The conclusions have been subject to a report (COM-2010-752 of 16 December 2010) the Commission has communicated to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.

2.2.6. Fuel Cells and Hydrogen Joint Undertaking (FCH)

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking (OJ L 153/1, 12/06/2008)	30/05/2008	<p>The FCH Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme (2007-2013) of the European Union for research, technology development and demonstration and in particular the 'Cooperation' Specific Programme themes for "Energy", "Nanosciences, Nanotechnologies, Materials and New Production Technologies", "Environment (including Climate Change)", and "Transport (including Aeronautics)".</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> support research, technological development and demonstration (RTD&D) in the Member States and Associated countries in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies; support the implementation of the research priorities of the JTI on Fuel Cells and Hydrogen, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Members States and Associated countries; conclude service and supply contracts necessary for the functioning of the FCH Joint Undertaking; ensure the efficiency and effectiveness of the JTI on Fuel Cells and Hydrogen.

Seat Brussels, Belgium

Budget line 08 02 51 – Completion of the previous research framework programme – Seventh Framework Programme EC indirect action (2007-2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	DB request
Establishment plan posts: AD	11	10	11	11
Establishment plan posts: AST	7	7	7	7
Total Establishment plan posts	18	17	18	18
Contract Agents	2	2*	2*	2
Seconded National Experts				
Total staff	20	19	20	20

*In addition there is one CA FG IV posts that substitutes until the end of 2013 for a TA posts that remains vacant.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	75 419 000	1 713 333 ⁴⁶
Other revenue	11 731 155	2 542 667
Total revenues	87 150 155	2 542 667

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	2 605 200	2 605 200	2 640 400	2 640 400
Title 2	2 045 800	2 045 800	1 615 600	1 615 600
Title 3	81 694 683 ⁴⁷	63 775 650 ⁴⁸		84 319 952
Total expenditure	86 345 683	68 426 650	2 542 667	88 575 952

⁴⁶ An amount of EUR 1 713 333 related to the EU contribution to the running costs in CA in 2014 was provided on the budget line 08 05 02 in the voted budget 2013. This amount is included under Title 1 and Title 2 in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total revenue in 2014.

⁴⁷ In addition to the amount of EUR 76 233 154 foreseen for Title 3 expenditure, a total amount of EUR 5 461 529 was made available for the EU contribution to the running costs for 2014-2017. This amount is included in the total expenditure amount for 2013.

⁴⁸ The amount of PA 2013 shown for Title 3 corresponds to EUR 55 134 323 (voted budget +EFTA) + EUR 8 574 191 re-activated PA from previous years + EUR 67 136 to be transferred from budget line 08 05 03 to budget line 08 05 02.

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11		3		3		3		3		3
AD 10										
AD 9		1		1		1		1		1
AD 8		4		3		4		4		4
AD 7		2		2		2		2		2
AD 6										
AD 5										
AD total		11		10		11		11		11
AST 11										
AST 10										
AST 9										
AST 8		1		1		1		1		1
AST 7		3		3		3		3		3
AST 6										
AST 5										
AST 4		1		1		1		1		1
AST 3		2		2		2		2		2
AST 2										
AST 1										
AST total		7		7		7		7		7
TOTAL		18		18		18		18		18
GRAND TOTAL	18		17		18		18		18	

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Function Group IV	1	1	1 *	1
Function Group III	1	1	1	1
Function Group II				
Function Group I				
Total	2	2	2	2

(*) Estimate on the basis of average costs

*In addition there is one CA FG IV posts that substitutes until the end of 2013 for a TA post that remains vacant.

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	0	0	0	0

(*) Estimate on the basis of average costs

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	78 013 000	75 419 000			
of which Administrative (Title 1 and Title 2)	1 121 096	1 239 000	1 713 333**	1 713 333	
of which Operational (Title 3)	76 891 904	74 180 000*			
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	2 028 337	2 111 732			
Of which EFTA	2 028 337	2 111 732			
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	3 110 302	3 164 195	2 398 667	2 398 667	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	160 000	295 000	144 000	144 000	
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	9 982 468	6 160 228			
TOTAL REVENUES	93 294 108	87 150 155	2 542 667	2 542 667	-97,1%

* A total amount of EUR 5 461 529 was made available for the EU contribution to the running costs for 2014-2017 on the budget line 08 05 02. This amount is included in the Title 3 and the total expenditure amount for 2013.

** The difference between the total revenue in 2013 of EUR 87 150 155 and the total expenditure in of EUR 86 345 683, amounts to EUR 804 472. This amount will be transferred in 2013 from the budget line 08 05 02 to 08 05 01. This amount is deducted from Title 3 and total expenditure for 2013.

*** An amount of EUR 1 713 333 is foreseen for of the EU contribution to the running costs in 2014, out of a total amount of EUR 5 461 529 for the period 2014-2017, as provided in the voted budget 2013 on the budget line 08 05 02. This amount is included in the Title 1 and Title 2 amounts in 2014 the column, so as to allow for a clear picture of the expenditure. It is however deducted from the total expenditure amount for 2014.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	2 277 547	2 605 200	2 640 400	2 640 400	1,3%
11 Salaries & allowances	2 159 709	2 437 200	2 472 000	2 472 000	
- of which establishment plan posts	1 984 101	2 182 000	2 302 000	2 302 000	
- of which external personnel	175 608	255 200	170 000	170 000	
12 Expenditure relating to Staff recruitment	11 087	15 000	15 000	15 000	
13 Mission expenses	84 501	100 000	100 000	100 000	
14 Socio-medical infrastructure & training	19 902	43 000	43 400	43 400	
15 Training*					
16 External Services					
17 Representation	2 348	10 000	10 000	10 000	
Title 2 - Infrastructure and operating expenditure	1 678 219	2 045 800	1 615 600	1 615 600	-21,0%
20 Rental of buildings and associated costs	307 285	332 300	341 800	341 800	
21 Information and communication technology	132 924	132 000	135 900	135 900	
22 Movable property and associated costs	4 585	10 000	10 000	10 000	
23 Current administrative expenditure	36 131	41 000	41 000	41 000	
24 Postage / Telecommunications	15 037	14 500	15 900	15 900	
25 Meeting expenses	34 730	56 000	56 000	56 000	
26 Running costs in connection with operational activities	552 039	650 000	700 000	700 000	
27 Studies	48 440	5 000	5 000	5 000	
28 Expert contracts & meetings, eFP7 services	547 048	805 000	310 000	310 000	
Title 3 - Operational expenditure	88 870 496	81 694 683 *	p.m	p.m	-100,0%
Operational cost	88 870 496	76 233 154	p.m	p.m	
TOTAL EXPENDITURE	92 826 262	86 345 683 **	2 542 667***	2 542 667	-98,2%

* A total amount of EUR 5 461 529 was made available for the EU contribution to the running costs for 2014-2017 on the budget line 08 05 02 in the voted budget 2013. This amount is included in the Title 3 and the total expenditure amounts for 2013.

** The difference between the total revenue in 2013 of EUR 87 150 155 and the total expenditure in 2013 of EUR 86 345 683 EUR amounts to EUR 804 472. This will be transferred in 2013 from the budget line 08 05 02 to 08 05 01. This amount is deducted from the Title 3 and total expenditure for 2013.

*** An amount of EUR 1 713 333 is foreseen for 2014 for the EU contribution to the running costs, out of a total amount of EUR 5 461 529 for the period 2014-2017, as provided in the voted budget 2013 on the budget line 08 05 02. This amount is included in the Title 1 and Title 2 amounts in the 2014 column, so as to allow for a clear picture of the expenditure. It is however deducted from the total expenditure amount in 2014.

EXPENDITURE	Payment appropriations				VAR 2014 / 2013
	Executed Budget 2012	Budget 2013	Draft Budget 2014		
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	2 226 555	2 605 200	2 640 400	2 640 400	1,3%
11 Salaries & allowances	2 125 668	2 437 200	2 472 000	2 472 000	
- of which establishment plan posts	1 983 611	2 182 000	2 302 000	2 302 000	
- of which external personnel	142 057	255 200	170 000	170 000	
12 Expenditure relating to Staff recruitment	4 474	15 000	15 000	15 000	
13 Mission expenses	83 201	100 000	100 000	100 000	
14 Socio-medical infrastructure & training	10 864	43 000	43 400	43 400	
15 Training*					
16 External Services					
17 Representation	2 348	10 000	10 000	10 000	
Title 2 - Infrastructure and operating expenditure	921 573	2 045 800	1 615 600	1 615 600	-21,0%
20 Rental of buildings and associated costs	300 027	332 300	341 800	341 800	
21 Information and communication technology	101 253	132 000	135 900	135 900	
22 Movable property and associated costs	510	10 000	10 000	10 000	
23 Current administrative expenditure	17 555	41 000	41 000	41 000	
24 Postage / Telecommunications	10 712	14 500	15 900	15 900	
25 Meeting expenses	33 086	56 000	56 000	56 000	
26 Running costs in connection with operational activities	196 978	650 000	700 000	700 000	
27 Studies		5 000	5 000	5 000	
28 Expert contracts & meetings, eFP7 services	261 452	805 000	310 000	310 000	
Title 3 - Operational expenditure	44 129 279	63 775 650	84 319 952	84 319 952	32,2%
Operational cost	44 129 279	63 775 650	84 319 952	84 319 952	
TOTAL EXPENDITURE	47 277 407	68 426 650	88 575 952	88 575 952	29,4%

The level of payment appropriations in 2013 for Title 3 corresponds to EUR 55 134 323 (voted budget + EFTA) + EUR 8 574 191 re-activated PA from previous years + EUR 67 136 provided in budget line 08 05 03 to be transferred to budget line 08 05 02.

3.3. Budget outturn

First estimate of the 2012 surplus that remains within the joint undertaking: EUR 10 241 476.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

No changes compared to 2013 provided that the scope and mandate remains unchanged for the current activities of FCH (the possible extension of FCH under Horizon 2020 is not taken into account).

4.1.2. Salary assumption for calculating salary lines (% applied)

2013 assumes an increase of 1,7% from July 2011, 2,5% from July 2012 and 2% from July 2013 to be paid in 2013 with related delay interest and takes into account the step adjustments for staff concerned. For 2014 is assumed that an annual adaptation of 2% with effect on 1 July 2014 and step adjustments for concerned staff.

4.1.3. Vacancy rate as of end-2012

0%. There is an AD8 post which became vacant in 2012 and is temporarily filled by a CA IV until the end of 2013.

4.1.4. Standard abatement ("abatement forfaitaire") applied

N/A.

4.2. Financial Resources

4.2.1. Title 1

There is a slight increase (1,4%) compared to 2013. A small increase in temporary agents (TA) salaries and allowances is explained by the fact that the 2014 establishment plan posts are fully filled (whereas in 2013 a TA post was temporarily filled by a CA). A decrease in contract agents salaries reflecting the termination of the temporary replacement of the TA (see above) an estimated annual adaptation of salaries of 2% (with effect on 1 July 2014) and step advancements (automatic advancement in step for staff members every two years).

4.2.2. Title 2

The decrease compared to 2013 amounts to EUR 430 200 or 21% and mainly stems from the following items: Expert contracts: -EUR 280 000 as there is no call for proposals and consequently no evaluation experts foreseen; Evaluation platform & eFP7 services: -EUR 215 000 as there is no foreseen the use of the evaluation platform and Other items: increase by EUR 64 800 corresponding to indexation of contracts.

4.2.3. Title 3

No new commitment appropriations as FP 7 programme ended. Payment appropriations for 2013 correspond to the estimated need to cover pre-financings for call 2012, payment for studies as well as payments against cost claims for projects of calls of the previous years. Payment appropriations for 2014 correspond to the estimated need to cover pre-financings for call 2013, payment for studies as well as payments against cost claims for projects of calls of the previous years.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value?	Other Comment
Brussels	White Atrium	102 118	198 400	No	No		Includes 7 parking places. The JU moved to its new premises on 13 January 2011

5.2. *Privileges and immunities*

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	Article 11 to 15 of the Protocol on Privileges and Immunities of the European Union, annexed to the Treaty of the European Union and to the Treaty on the Functioning of the European Union, signed in Lisbon on 13 December 2007 applies to FCH JU.	

5.3. *Evaluation*

An evaluation of the joint undertaking has been submitted to the Budgetary Authority on 21 September 2011.

The main findings of the latest evaluation available are as follows: establishing the FCH JU is an achievement on its own and represents a valuable instrument for the EU; FCH is a unique platform and instrument at European level involving the most important stakeholders in defining objectives and implementing and monitoring activities together; Overall technical objectives of the FCH JU as defined in MAIP remain ambitious and competitive in comparison with efforts world-wide; FCH JU approach is generally regarded as a good means to enhance public-private activities in the field and perceived as an improvement to the research landscape. The experts noted however some weaknesses and made a series of recommendations in order to remove or reduce weaknesses of the current operations of the FCH JU and to improve its effectiveness. These can be grouped in five broad categories: reinforce portfolio management; ensure high agility of operations and adaptability to changing competitive forces; improve visibility, communication and outreach; improve collaboration and alignment with Member States; and ensure high efficiency of operations.

A new evaluation of the joint undertaking is expected to be carried out by 31.12.2013.

2.2.7. SESAR Joint Undertaking

1. Overview

- Creation / modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007)	27/02/2007	Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Community. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks: <ul style="list-style-type: none"> • organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, • ensuring the necessary funding for the activities of the Development phase of the SESAR Programme in accordance with the ATM Master Plan, • ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, • organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, • ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender.
Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008)	16/12/2008	The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking to the new legal model of the other Joint Undertakings (JTIs). In particular, as of 01.01.2009 the SJU is under the Protocol of Privileges and Immunities of the Community; this implies that the SJU will be VAT exempted as of 16.10.2008 with an important positive effect on the resources available for the research programme.

Seat Brussels, Belgium

Budget line 06 02 51 Completion of Trans-European networks programme; 06 03 51 Completion of previous research framework programmes — Seventh Framework Programme – EC (2007 to 2013)

- Human Resources

Human Resources	2012		2013	2014
	Authorised under the EU Budget	Actually filled as of 31/12/2012	Authorised under the EU Budget	Draft Budget request
Establishment plan posts: AD	33	33 ⁴⁹	33	33
Establishment plan posts: AST	6	6	6	6
Total Establishment plan posts	39	39	39	39
Contract Agents	0	0	0	0
Seconded National Experts	3	2	3	3
Total staff	42	41	42	42

⁴⁹ 2 positions are considered filled in as the two offer letters were sent and the two selected candidates confirmed their acceptance of the job offer and the starting date early 2013.

- Financial Resources

Revenues	2013	2014
	Revenues estimated by the joint undertaking	Budget Forecast
EU contribution	58 250 700	0
Other revenue	18 767 381	22 598 385
Total revenues	77 018 081	22 598 385

Expenditure	2013		2014	
	CA	PA	CA	PA
Title 1	5 970 000	5 970 000	6 276 000	6 276 000
Title 2	3 274 600	3 274 600	3 275 768	3 275 768
Title 3	67 773 481	99 522 781	7 000 000	110 000 000
Total expenditure	77 018 081	108 767 381	16 551 768	119 551 768

2. Human resources

2.1. Establishment plan posts

Function group and grade	2012				2013		2014			
	Authorised under the EU Budget		Filled as of 31/12/2012		Authorised under the EU Budget		Request of the joint undertaking		DB Request	
	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent Posts	Temporary Posts	Permanent posts	Temporary Posts	Permanent posts	Temporary Posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		4		4		4		4		4
AD 11		1								1
AD 10		3		5		5		5		3
AD 9										
AD 8		6		5		5		5		6
AD 7		4		4		4		4		4
AD 6		4		4 ⁵⁰		4		4		4
AD 5		10		10 ⁵¹		10		10		10
AD total		33		33		33		33		33
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1		1		1		1		1
AST 6										
AST 5		1		1		1		1		1
AST 4										
AST 3		2		2		2		2		2
AST 2										
AST 1		2		2		2		2		2
AST total		6		6		6		6		6
TOTAL		39		39		39		39		39
GRAND TOTAL		39		39⁵²		39		39		39

2.2. External personnel

Contract Agents	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	Draft Budget 2014 estimate (*)
Total				0

Seconded National Experts	Authorised 2012	Recruited as of 31/12/2012	2013 estimate (*)	DB 2014 estimate (*)
Total	3	2	3	3

(*) Estimate on the basis of average costs

50 1 position is considered filled in as the offer letter was sent and the selected candidate confirmed acceptance of the job offer and the starting date early 2013.

51 1 position is considered filled in as the offer letter was sent and the selected candidate confirmed acceptance of the job offer and the starting date early 2013.

52 At the end of 2012, 39 positions of the staff establishment plan were filled in by staff recruited under the following type of contracts: 29 TAs, 3 CAs and 7 SJU Members' Secondments (Article 8 of the SJU Regulation). 1 additional CA was recruited on short term basis for a period of 6 months to cover a maternity leave absence and terminated on 15 January 2013.

3. Financial Resources

3.1. Revenues

REVENUES	2012	2013	2014		VAR 2014 / 2013
	Executed Budget	Revenues estimated by the joint undertaking	As requested by the joint undertaking	Budget Forecast	
1 REVENUE FROM FEES AND CHARGES					
2. EU CONTRIBUTION	108 600 000	58 250 700	-	-	
of which Administrative -Title 1 and Title 2)	2 171 673	5 000 000			
of which Operational (Title 3)	106 428 327	53 250 700			
of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EFTA and candidate countries)	1 523 600	1 631 020	-	-	
Of which EFTA	1 523 600	1 631 020		-	
Of which Candidate Countries					
4 OTHER CONTRIBUTIONS	45 955 026	17 036 361	10 596 361	10 596 361	
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES				-	
8 INTERESTS GENERATED	130 862	100 000	100 000	100 000	
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	488 760		11 902 024	11 902 024	
TOTAL REVENUES	156 698 248	77 018 081	22 598 385	22 598 385	70,7%

The difference of EUR 7 964 671 between the 2012 revenues and the 2012 commitment expenditure corresponds to the provisional Budget Outturn in terms of commitments. As soon as the 2012 Accounts are final, this surplus will be entered in the 2013 budget, which is done every year in accordance with articles 16 and 81 of the SJU Financial Rules.

3.2. Expenditure

EXPENDITURE	Commitment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	5 307 221	5 970 000	6 276 000	6 276 000	5,1%
11 Salaries & allowances	4 930 519	5 310 000	5 616 000	5 616 000	
- of which establishment plan posts	4 820 240	5 100 000	5 406 000	5 406 000	
- of which external personnel	110 279	210 000	210 000	210 000	
12 Expenditure relating to Staff recruitment	15 000	10 000	10 000	10 000	
13 Mission expenses	201 702	500 000	500 000	500 000	
14 Socio-medical infrastructure & training				0	
15 Training	84 000	85 000	85 000	85 000	
16 External Services	50 000	40 000	40 000	40 000	
17 Missions & Representation	26 000	25 000	25 000	25 000	
Title 2 - Infrastructure and operating expenditure	2 892 452	3 274 600	3 275 768	3 275 768	0,0%
20 Rental of buildings and associated costs	828 528	905 600	932 768	932 768	
21 Information and communication technology	1 355 634	1 350 000	1 300 000	1 300 000	
22 Movable property and associated costs	30 000	50 000	50 000	50 000	
23 Current administrative expenditure	223 197	426 000	450 000	450 000	
24 Postage / Telecommunications	155 093	243 000	243 000	243 000	
26 R&D support (evaluations and reviews)				0	
27 Innovation				0	
28 Communication	300 000	300 000	300 000	300 000	
29 Audits					
Title 3 - Operational expenditure	140 533 904	67 773 481	7 000 000	7 000 000	- 89,7%
31 Studies/Development conducted by the SJU	23 913 000	29 824 000	7 000 000	7 000 000	
32 Studies/Development conducted by the Members	116 620 904	37 949 481	0	0	
TOTAL EXPENDITURE	148 733 577	77 018 081	16 551 768	16 551 768	- 78,5%

EXPENDITURE	Payment appropriations				
	Executed Budget 2012	Budget 2013	Draft Budget 2014		VAR 2014 / 2013
			Joint undertaking request	Budget Forecast	
Title 1 - Staff Expenditure	5 165 051	5 970 000	6 276 000	6 276 000	5,1%
11 Salaries & allowances	4 788 747	5 310 000	5 616 000	5 616 000	
- of which establishment plan posts	4 639 091	5 100 000	5 406 000	5 406 000	
- of which external personnel	149 656	210 000	210 000	210 000	
12 Expenditure relating to Staff recruitment	6 661	10 000	10 000	10 000	
13 Mission expenses	257 770	500 000	500 000	500 000	
14 Socio-medical infrastructure & training		0	0	0	
15 Training	49 026	85 000	85 000	85 000	
16 External Services	30 504	40 000	40 000	40 000	
17 Missions & Representation	32 343	25 000	25 000	25 000	
Title 2 - Infrastructure and operating expenditure	2 847 453	3 274 600	3 275 768	3 275 768	0,0%
20 Rental of buildings and associated costs	812 400	905 600	932 768	932 768	
21 Information and communication technology	1 461 272	1 350 000	1 300 000	1 300 000	
22 Movable property and associated costs	6 486	50 000	50 000	50 000	
23 Current administrative expenditure	145 260	426 000	450 000	450 000	
24 Postage / Telecommunications	183 159	243 000	243 000	243 000	
26 R&D Support (evaluations and reviews)		0	0	0	
27 Innovation		0	0	0	
28 Communication	238 876	300 000	300 000	300 000	
29 Audit		0	0		
Title 3 - Operational expenditure	99 249 582	99 522 781	110 000 000	110 000 000	10,5%
31 Studies/Development conducted by the SJU	24 014 594	31 782 560	30 000 000	30 000 000	
32 Studies/Development conducted by the Members	75 234 988	67 740 221	80 000 000	80 000 000	
TOTAL EXPENDITURE	107 262 086	108 767 381	119 551 768	119 551 768	9,9%

All 2014 amounts relate to the use of resources made available by the EU under the FP7 and TEN T Programmes within the context of the 2007-2013 MFF. Consequently, the SJU commitments for Titles 1, 2 and 3 for 2014 will be made using the EU resources remaining from the previous years (outturn) and the contributions from other Members (Eurocontrol and the other industrial members), in accordance with the SJU set up and planned activities.

As a result of the outcome of the procedure for the extension of the SJU, the SJU may require resources from Horizon 2020 for the activities related to the SESAR Programme 2 to be started in 2014. This is provisionally estimated at EUR 20 000 000 for Title 3.

3.3. Budget outturn

Provisional 2012 surplus that remains within the joint undertaking: EUR 12 445 394 in terms of payments and EUR 7 964 671 in terms of commitments.

For 2014 a budget surplus in terms of commitments of EUR 6 046 617 is foreseen, in order to cover staff and administrative commitments for the years 2015 and 2016, to which should be added the annual contributions of Eurocontrol and the other Members for each of the years 2014 - 2016.

4. Justification of needs

4.1. Human Resources

4.1.1. Number of staff requested

The number of staff requested for 2014 does not change compared to the level of staff approved in the Multi-Annual Staff Policy Plan. The SJU Staff Establishment Plan constitutes the document adopted by the Administrative Board defining the total number of positions by grade necessary to ensure the sound operational and financial management of the organisation and in order to execute its Work programme (16 Work Packages and their 336 Projects). The total 39 positions are filled in by personnel recruited under the following types of contracts:

- TAs contracts, for positions requiring a long-term duration of contracts within the limit of the existence of the SJU, such as for the Chief ATM, the Chief Economics and Environment as well as some administrative functions such as the Head of Finance and Budget Sector, the Financial Officer, etc.
- CAs contracts for certain administrative support functions, where the TAs recruitment did not prove to be effective or for short term needs,
- Secondments of personnel of the SJU Members to the SJU (see below) in accordance with Article 8 of the SJU Statutes, especially where specific highly specialised and technical skills in the SESAR Programme domain are required for the position.

In addition, the Administrative Board authorised 3 SNEs positions where Member States' experience is requested. The decision of the Administrative Board to adopt specific conditions on the secondment of staff of the members listed under Article 1.2 of the SJU Statutes is motivated by the nature of the SJU as public-private partnership, where public and private resources are brought together for the achievement of objectives. The secondment from the members constitutes a highly flexible mechanism to attract skilled experts, with contracts with specific duration and a low risk scheme. Compared to 2013 it is estimated that the number of secondments from Members remains constant.

With regard to the staff reduction of 1% in 2014 and the overall staff reduction of 5% by the end of 2017, the SJU is an organisation established for a fixed time, up to 31 December 2016. Consequently, all the 39 positions will be freed at that date. Should the SJU be extended, a new Staff Establishment Plan for the SESAR Programme 2 should be decided according to the Programme needs, capacity and skills.

The SJU awaits the approval of the Decision on Reclassifications of TAs and CAs, which was submitted to the Administrative Board of the SJU at the meeting of March 2011 and sent formally to the European Commission on 14 November 2011 (after extensive informal consultation). A reclassification exercise is consequently planned in 2013 with budget impact in 2014 onwards.

4.1.2. Salary assumption for calculating salary lines (% applied)

The salaries are based on the Budget 2013 salaries, modified to take into account the recruitment of 3 TAs replacing the current 3 CAs, assumptions concerning the possible contract change of some staff members due to external mobility as well as an estimated increase related to the costs of life.

4.1.3. Vacancy rate as of end-2012

The vacancy rate at the end of 2012 was of 2%, consisting of 1 SNE position still vacant at the end of the year. With regard to other positions, two offer letters were sent before year end and the selected candidates accepted the offer with starting date of 1 March and 16 March.

4.1.4. Standard abatement ("abatement forfaitaire") applied

Not applicable, considering that the SJU financing is established in its constitutive act (Council Regulation (EC) 1361/2008 modifying Council Regulation (EC) 219/2007).

4.2. Financial Resources

4.2.1. Title 1

The main changes in Title 1 relate to the Salaries to take into account the increase of cost of life. Nevertheless, in order to compensate the salary increase the SJU has introduced some cost reductions in other budget line, in particular the Missions.

4.2.2. Title 2

The administrative expenditure, core to the support of the smooth running of the Programme and to ensure compliance with EU regulations, is estimated at EUR 3 275 768, compared to EUR 3 215 000 in 2013. The main difference is due to the increase of the security costs of the premises following the implementation of internal audit recommendations, as well as the increase of the telecommunication costs to ensure the necessary support of the Programme activities. It should be noted that the SJU operates together with 2 500+ persons working in more than 30 different locations of its Members, Partners, etc. These cost increases have been almost completely compensated by a reduction of ITC costs.

4.2.3. Title 3

This Title includes the development activities directly conducted by the SJU and those conducted by its Members. With regard to the activities conducted by the SJU, this Title includes the estimates related to the mobilisation of resources for the Industrial Support contract, Air Space Users expertise, Military expertise, Professional Staff expertise, Large Demonstration Activities, Work Package 11, Work Package E for Long Term Research, and other ad hoc studies. With regard to the activities conducted by the SJU Members, taking into account the resources available in terms of commitments at the end of 2013, by that date the SJU will have made all the necessary commitments to cover the activities until 2016 for the part financed by the EU. Additional commitments will be made in 2014 for an amount of EUR 2 million as Eurocontrol contribution and in the following years.

In terms of payments, in 2014 the SJU will execute the payments related to the deliverables that will be fully accepted by the end of 2013 and submitted by the SJU Members by 30 April 2014. Taking into account the Programme developments, the amount of payments to be made is expected to be around EUR 110 million. This includes payments related to the aforementioned other operational activities of the SJU.

5. Other information

5.1. Buildings

City	Building	Surface Area (square metres)	Annual Rental in euros	Host country grant or support	Agency = owner?	If yes: present value ?	Other Comment
Bruxelles	Avenue De Cortenberg, 100	1 765	464 057 in 2012	No	No	No	As of 01.03.2009

5.2. Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian authorities in place since 30 March 2009	Protocol of Privileges and Immunities applicable to staff with regard to VAT.	

5.3. Evaluation

An evaluation of the joint undertaking was submitted to the Budgetary Authority at the end of 2010. The main findings of the latest evaluation available are as follows: "The Overall conclusion of the first intermediate evaluations is that the SJU performed well during the reference period in terms of setting up and developing its organisation as well as conducting its designated tasks. It also results that, in general, the SJU stakeholders are satisfied with its performance."

Report from the Commission to the Council and the European parliament on the intermediate evaluation of the SESAR Joint Undertaking and its progress on the execution of the European Air Traffic Management Master plan:

<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=COM:2011:0014:FIN:EN:PDF>

A new evaluation of the joint undertaking is expected to be carried out by the end of 2013.