

## **The Facility for Refugees in Turkey**

### **Background**

In 2015, the European Union and its Member States decided to step up their political and financial engagement to support Turkey in its efforts in hosting refugees. Comprehensive cooperation based on shared responsibility, mutual commitments and delivery between the European Union and Turkey was agreed upon within the framework of the 29 November 2015 EU-Turkey Statement<sup>1</sup>. The summit of 29 November 2015 activated the EU-Turkey Joint Action Plan (JAP)<sup>2</sup> to help Turkey address the consequences of the Syria conflict. The JAP aims to address the current migration crisis in two ways: (1) by supporting Syrians and their Turkish host communities, (2) by strengthening cooperation to prevent irregular migration. It commits Turkey to continue to protect and support Syrians, including by providing education and health and allowing them to work.

In answer to the call from EU Member States for significant additional funding to support refugees in Turkey, the Commission established the Facility for Refugees in Turkey by means of the Commission Decision of 24 November 2015, amended on 10 February 2016<sup>3</sup>. The Facility is a mechanism to coordinate the mobilisation of resources made available under both the EU budget and additional contributions from Member States integrated into the EU budget as external assigned revenue.

### **The functioning of the Facility**

The total budget coordinated by the Facility is EUR 3 billion to be contracted in the period 2016-2017. This is made up of EUR 1 billion from the EU budget, and EUR 2 billion in additional funding from the Member States<sup>4</sup>. Member States' contributions are made directly to the EU General Budget in the form of external assigned revenue pursuant to Article 21(2)(b) of the Financial Regulation and assigned to the IPA II and HUMA budget lines respectively. Of the EUR 1 billion from the EU budget, EUR 250 million was mobilised in 2016 and EUR 750 million is set aside in the 2017 budget.

In line with Article 3 (2) of the Commission Decision establishing the Facility, the second Steering Committee of 12 May 2016 agreed that the Facility should focus on six priority areas: (1) humanitarian assistance, (2) migration management, (3) education, (4) health, (5) municipal infrastructure and (6) socio-economic support. The key principles guiding Facility implementation are speed, efficiency and effectiveness while ensuring sound financial management. Sustainability of Facility interventions is also important, as is the shared ownership with the Turkish authorities. The identification of priority areas for Facility assistance is based on a comprehensive and independent needs assessment.

More information is available on the website of the Facility:

[https://ec.europa.eu/neighbourhood-enlargement/news\\_corner/migration\\_en](https://ec.europa.eu/neighbourhood-enlargement/news_corner/migration_en)

## Monthly Report on the Implementation of the Facility for Refugees in Turkey

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<sup>1</sup> <http://www.consilium.europa.eu/en/meetings/international-summit/2015/11/29/>

<sup>2</sup> [http://europa.eu/rapid/press-release\\_MEMO-15-5860\\_fr.htm](http://europa.eu/rapid/press-release_MEMO-15-5860_fr.htm)

<sup>3</sup> Commission Decision C(2016) 60/03 of 10.02.2016 on the Facility for Refugees in Turkey amending Commission Decision C(2015) 9500 of 24 November 2015.

<sup>4</sup> The total distribution of Member States' contributions is available at <http://www.consilium.europa.eu/en/press/press-releases/2016/02/03-refugee-facility-for-turkey/>

## Monthly Report on the Implementation of the Facility for Refugees in Turkey

Status: 06.04.2017

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- 1. Multiannual Overview on the Facility for Refugees in Turkey**
- 2. Facility for Refugees in Turkey - Origin of Amount available**
- 3. Detailed Overview on the Facility for Refugees in Turkey**
- 4. Overview on the Facility for Refugees in Turkey by Implementing Actor**

## Monthly Report on Facility for Refugees in Turkey

### Highlights:

- **Pledges and contributions**

The Facility coordinates a budget of EUR 3 billion for 2016-2017. Member States have pledged EUR 2 billion by way of legally binding contribution certificates acknowledged by the European Commission and the EU budget EUR 1 billion. Member States' contributions are made directly to the EU General Budget in the form of external assigned revenue and implemented under the rules of the EU budget.

- **Implementation**

Implementation is progressing well. To date, 2/3 of the Facility (EUR 2.1 billion) has been committed; slightly over half (EUR 1.54 billion) contracted; and EUR 0.8 billion has been paid from the EU budget.

Funding coordinated under the Facility is implemented as humanitarian and non-humanitarian assistance, with EUR 1.4 billion and EUR 1.6 billion indicatively allocated to the respective strands (including the funding from Member States' contributions).

To date, the non-humanitarian strand of the Facility, which is mainly implemented under the Instrument for Pre-Accession Assistance and to a lesser degree under the Development Cooperation Instrument and the Instrument contributing to Stability and Peace, has been almost fully committed (EUR 1.47 billion out of the 1.6 billion), of which EUR 941 million has been contracted and EUR 300 million paid.

Out of the EUR 1.4 billion of the humanitarian strand (Humanitarian Aid), EUR 599 million has been committed and contracted and EUR 475 million paid from the EU budget. Most of the remaining EUR 0.8 billion will be contracted under the upcoming 2017 Humanitarian Implementation Plan (HIP) for Turkey<sup>1</sup>.

The present report includes commitments, contracts and payments by the EU budget (including the contributions received from individual Member States which are booked as external assigned revenue on the budget lines concerned). A detailed financial reporting on the implementation of projects is available at the website of the Facility:

[https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/frit\\_table.pdf](https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/frit_table.pdf)

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<sup>1</sup> Will be available at [http://ec.europa.eu/echo/funding-evaluations/funding-decisions-hips\\_en](http://ec.europa.eu/echo/funding-evaluations/funding-decisions-hips_en).

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Since that report focuses on the implementation of projects, the data may be slightly different due to operations implemented by the EU Regional Trust Fund in Response to the Syrian Crisis<sup>2</sup>.

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<sup>2</sup> Some of the operations of the Facility are implemented by this Trust Fund, which is outside the EU budget. This is justified notably in order to support a pipeline of smaller-scale bottom-up projects which can be implemented swifter via the Trust Fund. When the EU budget makes a commitment or a payment to the Trust Fund, it is booked as a contract or a payment in the EU budget accounting system and in the present report. However, in the project implementing report referred to above, it is the contracts signed and the payments made by the Trust Fund that are included. As for payments, the EU Trust Fund requests the necessary payment appropriations from the EU budget gradually as payments fall due and only when necessary. If, as is currently the case, the cash balance in the Trust Fund is sufficient due to contributions from other donors, there may be a time-lag before the payments are asked from the EU budget.

## Monthly Report on the Implementation of the Facility for Refugees in Turkey

### GLOSSARY OF TERMS AND ABBREVIATIONS USED IN THE REPORT

| Term                             | Definition  |
|----------------------------------|---|
| Amount available for Commitments | This amount defines the total amount of legal obligations (contracts, grant agreements/decisions) that can be incurred.   |
| Amount available for Payments    | Payment appropriations or contributions received to cover expenditure, arising from legal commitments entered in the current year and/or earlier years.   |
| Appropriations                   | Amount of commitments/payments authorised by Parliament and Council in the annual EU budget.  |
| Budget item                      | As far as the budget structure is concerned, revenue and expenditure are shown in the budget in accordance with a binding nomenclature which reflects the nature and purpose of each item. The budget nomenclature reflects different levels of disaggregation (title, chapter, article or item). |
| Commitment appropriations        | See Appropriations  |
| Committed Amount                 | Amount reserved through a Commission decision or contract conclusion for future legal obligations (contracts, grant agreements/decisions)   |
| Contracted Amount                | Amounts previously reserved, translated into binding contracts  |
| EDF                              | European Development Fund   |
| EUTFs                            | EU Trust Funds are Trust Funds directly implemented by the European Commission pooling resources from various sources.  |
| Origin of funding                | Amounts available broken down by type of donor  |
| Implementation rate              | Share of available amounts committed or paid  |
| Paid amount                      | Disbursement made to honour legal obligations.  |
| Payment appropriations           | See Appropriations  |
| Total Pledge                     | Total funding for the trust fund as agreed by donors.   |
| Trust Fund                       | Fund established for a specific purpose with financial contributions from the EU budget and one or more donors and administered by an administrative agent, the Trustee.  |

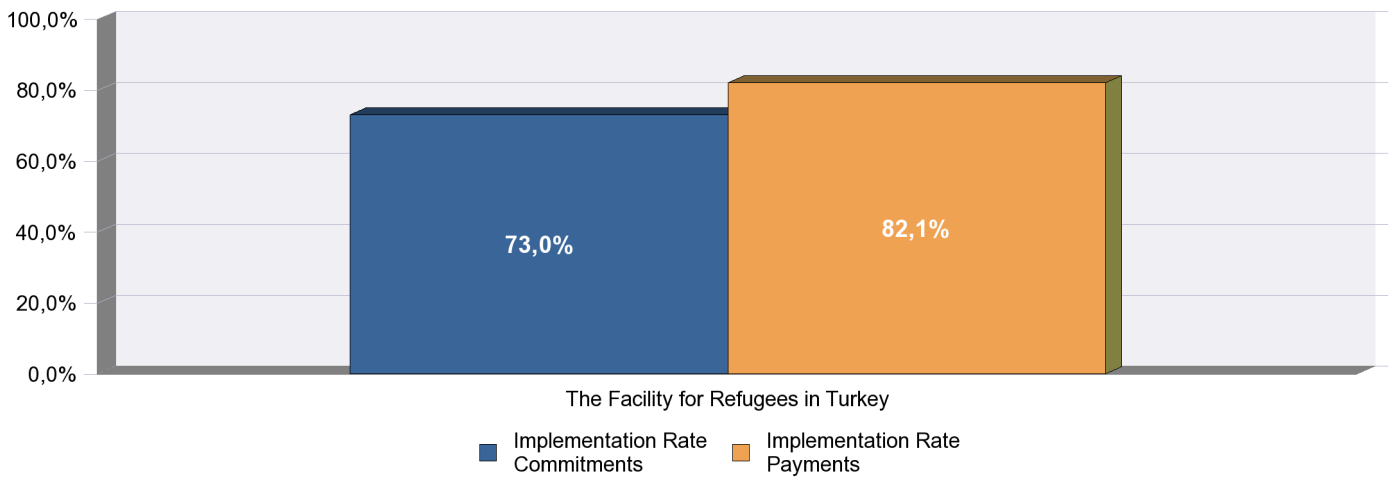
# 1. Overview on the Implementation of The Facility for Refugees in Turkey 06/04/2017

in '000 euro

| Name                                | Cumulative Amount made available for Commitments | Committed Amount | Implementation Rate Commitments | Cumulative Amount made available for Payments | Paid Amount | Implementation Rate Payments |
|-------------------------------------|--|------------------|---------------------------------|---|-------------|------------------------------|
|                                     | (1)  | (2)              | (3)=(2)/(1)                     | (4)   | (5)         | (6)=(5)/(4)                  |
| The Facility for Refugees in Turkey | 2.842.289  | 2.074.151        | 73,0%                           | 944.375                                       | 774.933     | 82,1%                        |

The difference between the pledge of EUR 3 billion and the cumulative amount available for commitments is due to amounts not yet being reserved on the relevant position in the Commission's accounting system.

## Implementation rates Commitments and Payments

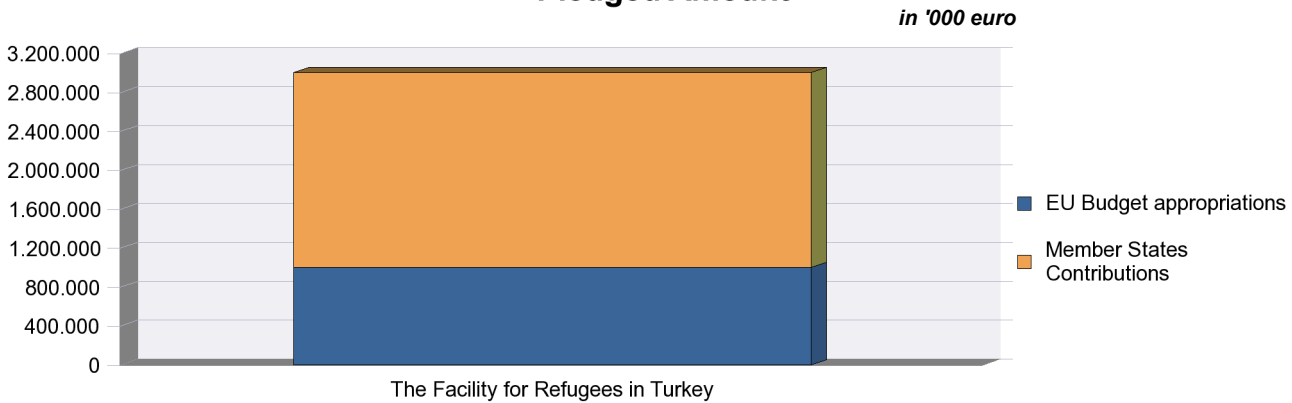


## 2. The Facility for Refugees in Turkey - Origin of Amount Available at 06/04/2017

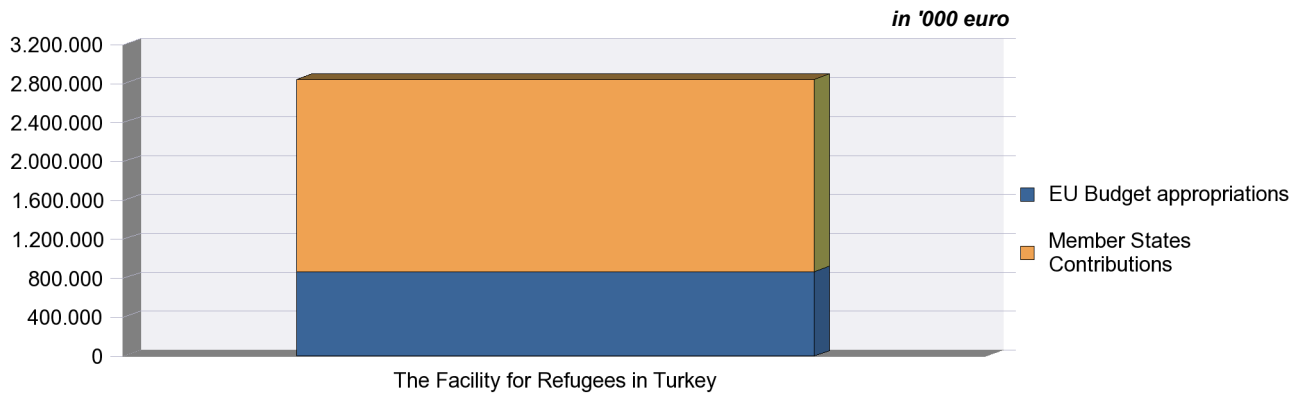
|                                     |                             | <i>in '000 euro</i> |  |   |
|-------------------------------------|-----------------------------|---------------------|--|---|
| Name                                | Origin of funds             | Total Pledge        | Cumulative Amount made available for Commitments | Cumulative Amount made available for Payments |
| The Facility for Refugees in Turkey | EU Budget appropriations    | 1.000.000           | 865.025  | 232.134                                       |
|                                     | Member States Contributions | 2.000.000           | 1.977.265  | 712.241                                       |
|                                     | <b>Sum:</b>                 | <b>3.000.000</b>    | <b>2.842.289</b>                                 | <b>944.375</b>                                |

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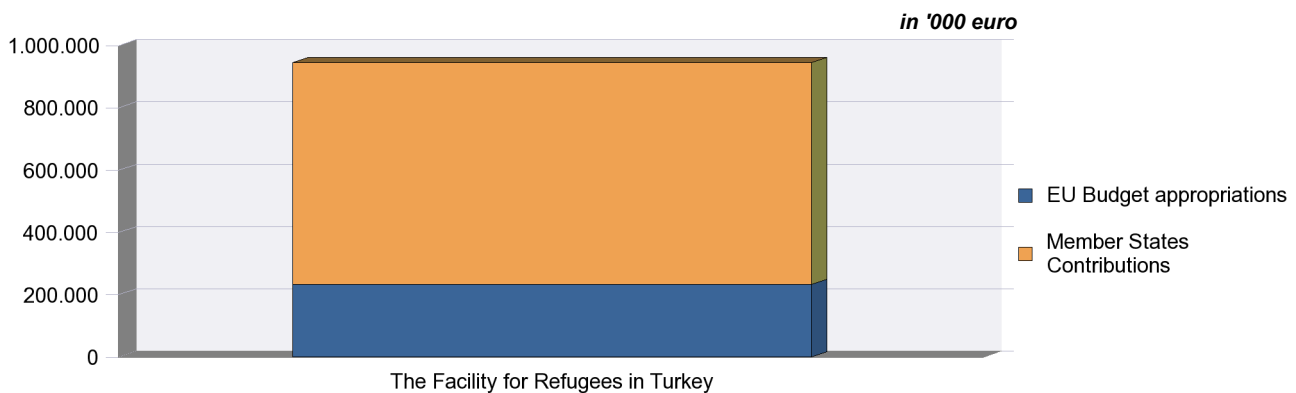
### Pledged Amount



### Cumulative Amount made Available for Commitments



### Cumulative Amount made Available for Payments





### 3. The Facility for Refugees in Turkey : Budget Implementation by spending programme and year at 06/04/2017

in '000 euro

| Program | Description   | Cumulative Amount made available for Commitments | Committed Amount | Contracted Amount | Cumulative Amount made available for Payments | Paid Amount    | %            |
|---------|---|--|------------------|-------------------|---|----------------|--------------|
|         |   | (1)  | (2)              | (3)               | (4)   | (5)            | (6)=(5)/(1)  |
| 4.0.1   | Instrument for Pre-accession assistance (IPA II)      | 1.458.399  | 1.440.182        | 921.182           | 300.319                                       | 292.354        | 20,0%        |
| 4.0.3   | Development Cooperation Instrument (DCI)              | 10.000   | 10.000           |                   | 0   |                |              |
| 4.0.6   | Instrument contributing to Stability and Peace (IcSP) | 40.000   | 20.000           | 20.000            | 7.240   | 7.240          | 18,1%        |
| 4.0.7   | Humanitarian aid                                      | 1.333.890  | 603.968          | 599.074           | 636.816                                       | 475.339        | 35,6%        |
|         |   | <b>2.842.289</b>                                 | <b>2.074.151</b> | <b>1.540.256</b>  | <b>944.375</b>                                | <b>774.933</b> | <b>27,3%</b> |

The commitments to be considered for the Facility are EUR 5 million lower, due to a 2015 global commitment of EUR 40 million under Humanitarian Aid of which only EUR 35 million were for the Facility.

in '000 euro

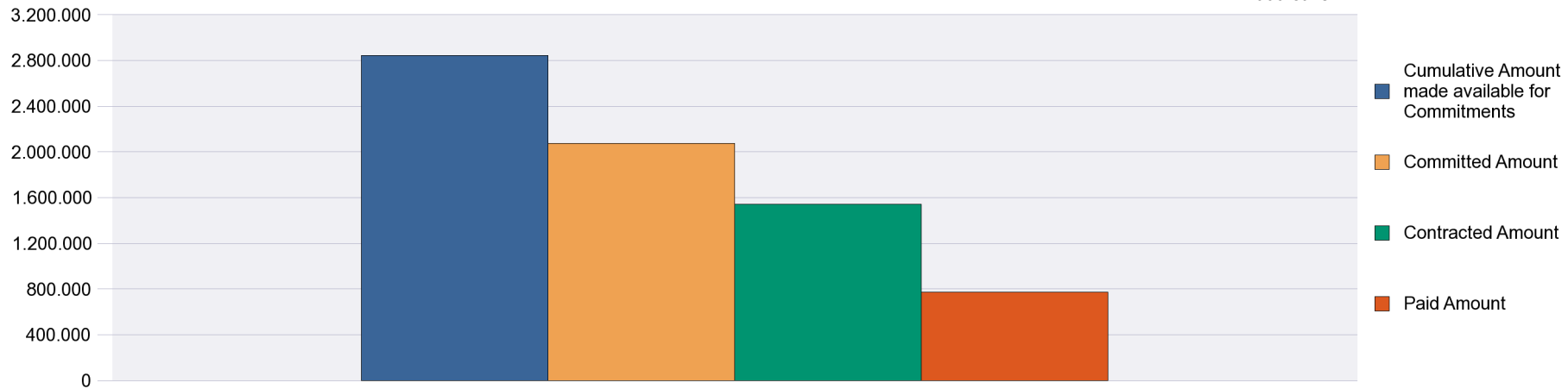
| Program | Description   | Financial Year | Committed Amount | Contracted Amount | Paid Amount    | %            |
|---------|---|----------------|------------------|-------------------|----------------|--------------|
|         |   |                | (1)              | (2)               | (3)            | (4)=(3)/(1)  |
| 4.0.7   | Humanitarian aid                                      | 2015           | 40.000           |                   |                |              |
| 4.0.1   | Instrument for Pre-accession assistance (IPA II)      | 2016           | 939.047          | 915.047           | 290.000        | 30,9%        |
| 4.0.3   | Development Cooperation Instrument (DCI)              | 2016           | 10.000           |                   |                |              |
| 4.0.6   | Instrument contributing to Stability and Peace (IcSP) | 2016           | 20.000           | 20.000            | 7.240          | 36,2%        |
| 4.0.7   | Humanitarian aid                                      | 2016           | 562.853          | 522.603           | 443.319        | 78,8%        |
| 4.0.1   | Instrument for Pre-accession assistance (IPA II)      | 2017           | 501.136          | 6.136             | 2.354          | 0,5%         |
| 4.0.3   | Development Cooperation Instrument (DCI)              | 2017           | 0                |                   |                |              |
| 4.0.6   | Instrument contributing to Stability and Peace (IcSP) | 2017           | 0                | 0                 |                |              |
| 4.0.7   | Humanitarian aid                                      | 2017           | 1.115            | 76.470            | 32.020         | 2.871,2%     |
|         |   | Sum:           | <b>2.074.151</b> | <b>1.540.256</b>  | <b>774.933</b> | <b>37,4%</b> |

An amount of EUR 35 million out of a global commitment of EUR 40 million was frontloaded for the Facility under Humanitarian Aid in 2015.

### 3. The Facility for Refugees in Turkey : Budget Implementation by spending programme and year at 06/04/2017

#### Implementation Overview

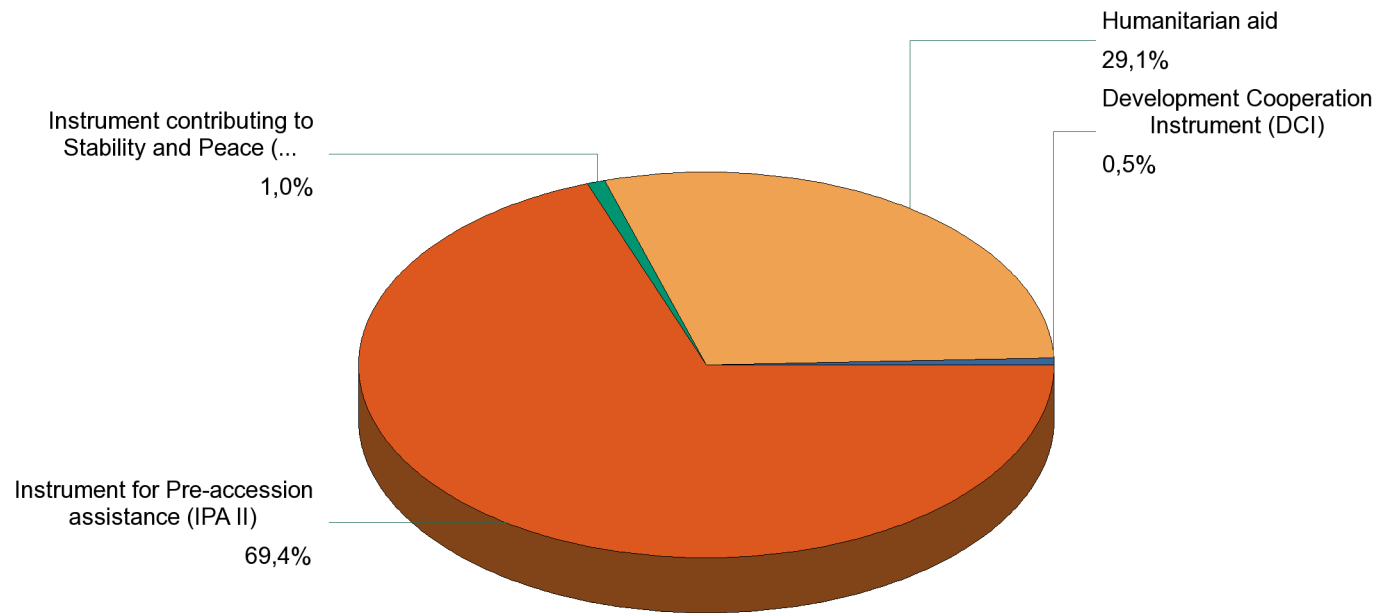
*in '000 euro*



The Facility for Refugees in Turkey

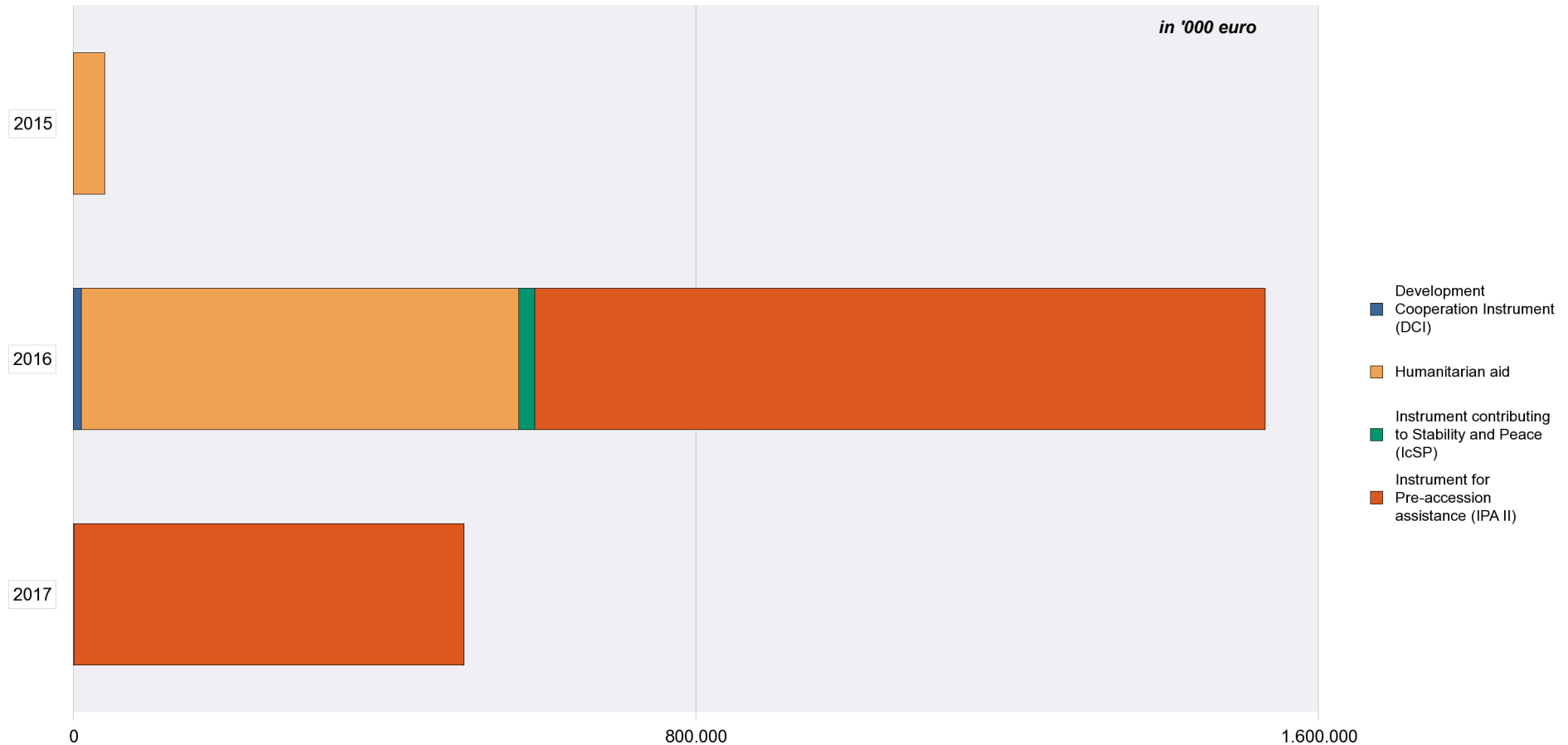
### 3. The Facility for Refugees in Turkey : Budget Implementation by spending programme and year at 06/04/2017

Commitments by Spending Programme



### 3. The Facility for Refugees in Turkey : Budget Implementation by spending programme and year at 06/04/2017

Commitments by Year and Spending Programme



## 4. The Facility for Refugees in Turkey - Breakdown of Contracted Amounts by Implementing Actor at 06/04/2017

*in '000 euro*

| Name                                | Implementing Actor          | Contracted Amount | %             |
|-------------------------------------|-----------------------------|-------------------|---------------|
| The Facility for Refugees in Turkey | European Commission         | 815.956           | 53,0%         |
|                                     | International Organizations | 674.300           | 43,8%         |
|                                     | Public law bodies           | 50.000            | 3,2%          |
|                                     | <b>Total</b>                | <b>1.540.256</b>  | <b>100,0%</b> |

### Breakdown of Contracted Amount by Implementing Actor

*in '000 euro*

