



European
Commission

Draft general budget of the European Commission for the financial year 2017

Working Document Part III

Bodies set up by the EU having legal personality
and Public-Private Partnership

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of the European Union
for the Financial Year 2017**

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The 2017 Draft Budget is accompanied by eleven ‘Working Documents’, as follows:

Part I: Programme Statements of operational expenditure

Working Document I contains Programme Statements, which constitute the main instrument for justifying the operational appropriations requested by the Commission in the Draft Budget. These Statements are coherent with the corresponding legal bases and provide details on the resources which are dedicated to each spending Programme.

Part II: Commission Human Resources

Working Document II presents information on Commission human resources, both for the establishment plans and for external personnel, across all headings of the multiannual financial framework. Moreover, pursuant to Article 38(3)(b)(v) of the Financial Regulation, it provides a summary table for the period 2014 – 2017 which shows the number of full-time equivalents for each category of staff and the related appropriations for all institutions and bodies referred to in Article 208 of the Financial Regulation.

Part III: Bodies set up by the European Union having legal personality and Public-private partnership

Working Document III presents detailed information relating to all decentralised agencies, executive agencies and Public-Private Partnerships (joint undertakings and joint technology initiatives), with a transparent presentation of revenue, expenditure and staff levels of various Union bodies, pursuant to Articles 208 and 209 of the Financial Regulation.

Part IV: Pilot projects and preparatory actions

Working Document IV presents information on all pilot projects and preparatory actions which have budget appropriations (commitments and/or payments) in the 2017 Draft Budget, pursuant to Article 38(3)(c) of the Financial Regulation.

Part V: Budget implementation and assigned revenue

Working Document V presents the budget implementation forecast for 2017, information on assigned revenue implementation in 2015, and a progress report on outstanding commitments (RAL) and managing potentially abnormal RAL (PAR) for 2015.

Part VI: Commission Administrative Expenditure

This document encompasses administrative expenditure under all budgets (heading 5) to be implemented by the Commission in accordance with Article 317 of the Treaty on the Functioning of the European Union, as well as the budgets of the Offices (OP, OLAF, EPSO, OIB, OIL and PMO).

Part VII: Commission buildings

Working Document VII presents information on buildings under Section III - Commission, pursuant to Article 203(3) of the Financial Regulation.

Part VIII: Expenditure related to the external action of the European Union

Working Document VIII presents information on human resources and expenditure related to the external action of the European Union.

Part IX: Funding to international organisations

Working Document IX presents funding provided to international organisations, across all MFF headings, pursuant to Article 38(3)(d) of the Financial Regulation.

Part X: Financial Instruments

Working Document X presents the use made of financial instruments, pursuant to Article 38(5) of the Financial Regulation.

Part XI: Payment schedules (*on-line publication only*)

Working Document XI presents summary statements of the schedule of payments due in subsequent years to meet budgetary commitments entered into in previous years, pursuant to Article 38(3)(f) of the Financial Regulation.

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INTRODUCTION

1 GENERAL CONTEXT

The ninth edition of this Draft Budget (DB) Working Document aims at presenting key budgetary information for all types of European Union bodies, so as to respond in a coherent manner to repeated requests of the Budgetary Authority for more information and transparency with regard to revenue, expenditure and staff levels of various EU bodies which actually receive a contribution charged to the EU budget.

As explained in more detail below, this 'agency' document should mainly be seen in the context of:

- The budgetary Trilogues of April 2007, July 2007, July 2008 and November 2009, which resulted in (joint) statements of the European Parliament and the Council on various agency issues;
- The inter-institutional working group on decentralised agency governance, which concluded its work in July 2012;
- The inter-institutional working group on decentralised agency resources, as agreed in November 2013 as part of the Conciliation on the 2014 budget;
- The Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020, as adopted in July 2013;
- The revision of the Framework Financial Regulation for bodies under Article 208 of the General Financial Regulation, which entered into force on 1 January 2014, and the adoption of the Model Financial Regulation for bodies under Article 209 of the General Financial Regulation, which entered into force on 8 February 2014;
- The public-private partnerships and public-public partnerships with Member States established under Horizon 2020, as adopted in May and June 2014, and
- The extension of the mandate of executive agencies to cover the management of the new generation of spending programmes under the 2014-2020 multiannual financial framework, for which the Commission presented its 'delegation package' to the European Parliament and the Council in October 2013.

These various occasions illustrate the importance the Budgetary Authority attaches to issues regarding agency governance, budgeting and staffing. This Working Document therefore provides detailed information on the various types of EU bodies and their (budgetary) evolution.

Section 2 of this Introduction presents the main types of EU bodies, as used throughout this document. Subsequently, Part I of the Working Document provides detailed information on bodies set up by the European Union and having legal personality ('agencies'), whereas Part II provides similarly detailed information on joint undertakings and joint technology initiatives ('PPP bodies'). The PPP bodies are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation. Each Part contains a description of the main budgetary trends for the main types of bodies, as well as detailed budgetary financial statements for each EU body individually.

2 TYPES OF EU BODIES

This section presents the main types of EU bodies¹:

- Decentralised agencies (33 established decentralised agencies in DB 2017);
- European institute of innovation and technology (EIT);
- Executive agencies (6 in DB 2017);
- ITER joint undertaking (F4E) and SESAR joint undertaking (SESAR2); and
- Joint technology initiatives (6 in DB 2017).

As mentioned above, the joint undertakings and joint technology initiatives are presented separately in this Working Document, so as to respond to Article 38 (4) of the General Financial Regulation.

2.1 Decentralised agencies

2.1.1 Decentralised agencies: set-up

Legal bases of decentralised agencies

Most decentralised agencies are either created by the Council on the basis of Article 352 of the Treaty on the Functioning of the European Union, or by the Parliament and the Council (ordinary legislative procedure) on the basis of a specific Treaty provision. Currently, 33 decentralised agencies have been established on this basis, over a period of 40 years.

Furthermore, three decentralised agencies have been set up to carry out very specific technical, scientific and management tasks within the framework of the Common Foreign and Security Policy (CFSP). However, these three² agencies fall outside the scope of this Working Document, as these agencies (including their financing) are entirely inter-governmental.

Tasks

The tasks of decentralised agencies are diverse, inter alia:

- Some decentralised agencies have the power to adopt binding rules and/or individual decisions with direct effect (so-called 'regulatory' agencies, such as EUIPO, *European Union Intellectual Property Office*);
- Other decentralised agencies provide assistance to the Commission, and where necessary to the Member States, in the interest of the Union, in the form of technical or scientific opinions and/or inspection reports (e.g. EFSA, *European Food Safety Authority*); whereas
- Other decentralised agencies focus on networking between competent national authorities and on organising cooperation between them in the interest of the Union with a view to gathering, exchanging and comparing information and good practices (e.g. ENISA, *European Network and Information Security Agency*).

Creation

Decentralised agencies were created to meet specific needs on a case-by-case basis, starting from 1975, and mostly in two large 'waves', following the Brussels European Councils of October 1993 and December 2003. The European Council also decides on the location ('seat') of the decentralised agencies, which are spread throughout the territory of the Union.

Governing structure

The decentralised agencies are governed by an Administrative Board, which as a rule is composed of representatives of all Member States, and which has the following key roles: defining the agency's operating guidelines within the legal framework established by the legislator; in particular, the Administrative Board is responsible for the adoption of the

¹ This Working Document does not include information on administrative Offices (OP, OLAF, EPSO, PMO, OIB and OIL), as these do not have their own legal personality. More information on the Offices is given in DB Working Document VI (Administrative expenditure under Heading 5).

² European Defence Agency (EDA), European Union Institute for Security Studies (ISS) and European Union Satellite Centre (EUSC).

agency work programme and rules of procedure. Furthermore, the Board plays a central role in the adoption of the agency's budget, which is independent from the EU budget. As the decentralised agencies have a separate legal personality, their budgets are published separately in the EU Official Journal, under their own responsibility.

2.1.2 Decentralised agencies: budgetary and financial arrangements

Decentralised agencies in the EU budget

In addition to the information contained in the agency budgets, the EU budget also includes detailed information on decentralised agencies, such as the authorised EU contribution to the agencies and the agency establishment plans.

The EU contribution appears in the general budget, under the policy area concerned, on a dedicated budget line from operational appropriations. Accordingly, the amount of the EU contribution entered into the budget and the establishment plan are subject to the approval of the Budgetary Authority.

For decentralised agencies, as from the 2014 budget, the dedicated agency budget line is no longer divided into two items, so as to reflect more clearly the unity of the EU contribution. Nonetheless, the structure of the agency budgets remains unchanged, in order to present the running costs of the agency (Titles 1 and 2) separately from the operational activities (Title 3).

Financing structure

Most decentralised agencies are funded entirely by contributions from the EU budget, as described above. Some agencies, however, depend fully or partially on other revenue, such as revenue received from industry (fees):

- Partially self-financed agencies: *European Chemicals Agency (ECHA)*³, *European Aviation Safety Agency (EASA)* and *European Medicines Agency (EMA)*;
- Fully self-financed agencies: *European Union Intellectual Property Office (EUIPO)*, *Community Plant Variety Office (CPVO)*, *Single Resolution Board (SRB)* and *Translation Centre for the Bodies of the European Union (CdT)*; and
- Agencies partially co-financed by national public authorities: *European Banking Authority (EBA)*, *European Insurance and Occupational Pensions Authority (EIOPA)* and *European Securities and Markets Authority (ESMA)*.

The financing structure as outlined above also has an impact on the annual budgetary procedure, in particular as regards three of the fully self-financed agencies: in the case of EUIPO, CPVO and SRB, the effective control over revenue, expenditure and staffing issues is exercised by their respective Boards, rather than by Parliament and Council. As a consequence, this Working Document mostly presents some general information on EUIPO, CPVO and SRB in the overview tables for decentralised agencies; a less detailed budgetary financial statement is included for these self-financed bodies, reflecting the information received from these bodies.

Framework Financial Regulation

Article 208(1) of the General Financial Regulation⁴ lays down that the 'Commission shall be empowered to adopt a Framework Financial Regulation by means of a delegated act in accordance with Article 210 for bodies which are set up under the TFEU and the Euratom Treaty and which have legal personality and receive contributions charged to the budget. The financial rules of those bodies shall not depart from the Framework Financial Regulation except where their specific operating needs so require and with the Commission's prior consent'.

The Commission has revised the Framework Financial Regulation⁵, notably with a view to aligning the text with the new General Financial Regulation and to respond to certain new developments surrounding decentralised agencies. The revised version of the Framework Financial Regulation has entered into force on 1 January 2014.

³ Between 2011 and 2015, ECHA was fully self-financed for its chemicals activities under heading 1a, on a temporary basis only. In addition, as from 2012 an EU contribution is foreseen in view of its biocides (partially self-financed as from 2013) and PIC activities under heading 2, as set out in more detail in Part I section 1.1.4.

⁴ Regulation (EU, Euratom) No. 966/2012 of 25 October 2012 on the Financial Regulation applicable to the general budget of the Union.

⁵ Commission Delegated Regulation (EU) No 1271/2013 of 30 September 2013, OJ L 328, 7.12.2013.

Discharge procedure

The financing structure of agencies (whether or not they actually receive contributions from the EU budget) also has an impact on the discharge procedure applicable, as laid down in Article 208(2) of the General Financial Regulation: with the exception of two fully self-financed agencies⁶, all decentralised agencies are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council.

Single Programming Documents

Article 32 of the Framework Financial Regulation as revised in September 2013 requires agencies to prepare a 'programming document' containing multiannual and annual programming. This single programming document applies for the first time for the years 2017-2019, and integrates previous documents such as annual work programmes, multiannual work programmes and the staff policy plan, with a view to providing a consolidated presentation of agency activities and resources needs. The Commission opinion on the single programming documents looks amongst others at the conformity of general staff policy with the Staff Regulations (including implementing rules), the Commission policy and the Commission guidelines, conformity with the multi-annual financial programming, justification of any additional posts on the basis of new tasks assigned by the legislative authority, workload indicators and past implementation, recruitment at basic grades and promotion rates.

Evaluations

In their joint statements of 13 July 2007, the European Parliament and the Council also requested the Commission to present information on ongoing and planned evaluations of decentralised agencies, each year at the October budgetary Trilogue at the latest. The evaluation of decentralised agencies depends on the legal act applicable. Usually, the responsibility for these evaluations, which are often required every five years, lies with the agency's board. As a follow-up to the joint declaration of July 2007, information on agency evaluations has been included in the individual budgetary financial statements (Part I section 2.2.1).

Decentralised agency governance: the inter-institutional working group

The wider issue of decentralised agency governance has attracted much attention in recent years. Further to a Commission Communication of March 2008⁷, the Parliament and the Council agreed to set up an inter-institutional working group, which had its first meeting at political level on 10 March 2009 in Strasbourg. The inter-institutional working group concluded its work in July 2012, which resulted in endorsement of a Common Approach on decentralised agencies, notably with a view to addressing a series of governance issues surrounding the growing number of agencies, at a time when streamlining of activities and improved performance are expected to contribute to efficiency gains. The Common Approach has been translated into a dedicated Roadmap, on which the Commission has regularly informed the European Parliament and the Council, in particular in December 2013 and April 2015.

Communication programming of human and financial resources for decentralised agencies 2014-2020

In order to complete the comprehensive approach towards decentralised agencies agreed between the Institutions in July 2012, in July 2013 the Commission adopted a Communication⁸ setting out a programming of the staffing and subsidy levels of each decentralised agency under the 2014-2020 multiannual financial framework (MFF). The Communication aimed at reducing total staffing levels in agencies by 5 % over five years, as laid down in point 27 of the Inter-institutional Agreement of 2 December 2013⁹, while still allowing certain agencies to increase their staffing numbers in order to carry out their new tasks.

Decentralised agency resources: the inter-institutional working group

During the Conciliation on the 2014 budget, the European Parliament, the Council and the Commission agreed on the creation of an inter-institutional working group on decentralised agencies' resources, with a view to defining a clear development path for agencies, based on objective criteria. Thus far the working group has met four times, in March

⁶ European Union Intellectual Property Office (EUIPO) and Community Plant Variety Office (CPVO) are subject to discharge of their governing boards only.

⁷ 'European agencies – the way forward', COM(2008) 135 final, 11 March 2008.

⁸ COM(2013) 519, 10 July 2013.

⁹ OJ C 373, 20.12.2013.

2014, April 2014, September 2015 and March 2016. The next meeting is foreseen in October 2016, in particular with a view to examining the possibility of a new approach to fee-financed agencies based on a pilot case of EASA.

Application of IIA point 31

Point 31 of the Inter-institutional Agreement of 2 December 2013 on budgetary discipline, cooperation in budgetary matters and on sound financial management foresees a procedure for the two arms of the budgetary authority (Parliament and Council) to assess the budgetary impact of the creation of new agencies and to arrive at a timely agreement on the financing of the agency, as proposed by the Commission.

- Firstly, the Commission shall systematically present any proposal for setting up a new agency to the first trilogue following the adoption of its proposal, and shall present the financial statement accompanying the draft legal act proposing the creation of the agency and shall illustrate the consequences thereof for the remaining period of the financial programming.
- Secondly, during the legislative process, the Commission shall assist the legislator in assessing the financial consequences of the amendments proposed. Those financial consequences should be considered during the relevant legislative trilogues;
- Thirdly, before the conclusion of the legislative process, the Commission shall present an updated financial statement taking into account potential modifications by the legislator; this final financial statement shall be placed on the agenda of the final legislative trilogue and formally endorsed by the legislator. It shall also be placed on the agenda of a subsequent budgetary trilogue (in urgent cases, in simplified form), in view of reaching an agreement on the financing;
- Fourthly, the agreement reached during a trilogue, taking into account the Commission's budgetary assessment with regard to the content of the legislative process, shall be confirmed in a joint declaration. That agreement shall be subject to approval by the European Parliament and the Council, each in accordance with its own rules of procedure.

The same procedure would be applied to any amendment to a legal act concerning an agency in question which would have an impact on the resources of the agency in question. Should the tasks of an agency be modified substantially without an amendment to the legal act setting up the agency in question, the Commission shall inform the European Parliament and the Council by means of a revised financial statement, so as to allow the European Parliament and the Council to arrive at a timely agreement on the financing of the agency.

Most recently the IIA point 31 procedure has been concluded in March 2016, concerning EUROPOL's revised founding Regulation.

2.2 European institute of innovation and technology (EIT)

2.2.1 EIT: set-up

Legal base of EIT

The legal base (Regulation 294/2008) of EIT, adopted on the basis of Article 173 TFEU (ordinary legislative procedure), has been amended in December 2013 (Regulation 1292/2013), notably in view of integrating the 'knowledge triangle' (higher education, research and innovation) within Horizon 2020.

Tasks

In short, the task of EIT is to reinforce the innovation capacity of the Union and the Member States, by bringing together the best actors operating in the 'knowledge triangle' (higher education, research and innovation).

2.2.2 EIT: budgetary and financial arrangements

In terms of budgetary and financial arrangements, EIT follows largely the example of the decentralised agencies as described in section 2.1.2 above. This applies in particular as regards:

- The inclusion of information in the EU budget;

- The budget structure: one article, plus (unlike the decentralised agencies) one article for completion;
- The application of the Framework Financial Regulation (albeit with some derogations, in view of the special character of EIT);
- The single programming document;
- The discharge procedure;
- The principle of periodic evaluations.

EIT has become financially autonomous in June 2011.

The individual budgetary financial statement for EIT is presented in Part I section 2.2.3.

2.3 Executive agencies

Firstly, this section recalls the reasons for the setting up of executive agencies, as part of the major Commission reform of 1999 / 2000. This section then looks at practical experience with the current six executive agencies, in terms of actual set-up of the agency, recruitment of staff, impact on the human resources of the Commission, cost savings generated, buildings policy and evaluations. The section ends with an overview of the use of executive agencies under the 2014 - 2020 multiannual financial framework, on the basis of the ‘delegation package’ presented by the Commission in October 2013. Individual budgetary financial statements for all executive agencies are presented in Part I section 2.2.4.

2.3.1 Executive agencies: context of creation

Commission reform – guidelines on externalisation policy

In December 1999, the Commission undertook a major review of its externalisation policy¹⁰, in order to correct the shortcomings detected previously due to the poor control of some technical administrative support offices (TAOs, also known under the French acronym of BATs). Essentially, the guidelines aimed to re-focus the Commission's attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, and to define acceptable forms of externalisation, including a new type of implementing bodies: executive agencies.

Main features of executive agencies: autonomy and dependence

The fundamental features of executive agencies are autonomy and dependence:

- Autonomy, as these bodies have their own legal personality and hence the ability to adopt legal acts (such as agreements, contracts and individual decisions) themselves when managing projects; they have their own operating budget and are subject to a separate discharge in respect of its implementation.
- Dependence, as these structures are set up only to perform the tasks entrusted by the Commission,
 - In accordance with strict rules determined by the Commission, laying down the tasks, the conditions and the arrangements for performing them in such a way as to comply with the objectives set by the Commission, and the institutional restrictions in the performance of the tasks, in particular the absence of any margin of discretion implying political choices;
 - With managing organs appointed by the Commission (steering committee and director), and administered, at least in part, by Commission staff, given that positions of responsibility are to be filled by EU officials on secondment; and
 - Under the control and responsibility of the Commission.

¹⁰ Guidelines for the Commission's externalisation policy; Communication of Mrs Schreyer and Mr Kinnock, SEC (1999) 2051, 14 December 1999.

Desirability of establishing an executive agency

Whether an agency should be set up, or whether the mandate of an existing agency should be extended, will depend on a number of factors, such as:

- The need for a high level of technical and financial expertise throughout the project's cycle;
- Clear separation between programming stages (the Commission's core business), for which the Commission remains fully responsible, and back-up for implementation of technical projects, where no political decision-making implying discretionary powers is involved;
- The possibilities of economies of scale through a high level of specialisation or the regrouping of similar programmes or activities within one agency; achieving economies will depend to a large degree on the ability of agencies to recruit contract staff, on renewable contract;
- The need for the Commission to focus on legislative and strategic tasks in policy making and monitoring, including those connected to EU programmes;
- The need to carry out certain activities with increased visibility without any intervention by third parties as intermediaries.

These factors must appear in detail in the required cost-benefit analysis, which guides the choice of the appropriate organisational solution (see also section 2.3.3 below on cost savings and evaluations).

Legal framework of executive agencies

The principle of executive agencies was already laid down in the recasting of the General Financial Regulation adopted by the Council on 25 June 2002 (Articles 54 and 55 of Regulation (EC, Euratom) No. 1605/2002).

Council Regulation (EC) No. 58/2003 of 19 December 2002 ('the Framework Regulation') 'lays down the statute of executive agencies to which the Commission, under its own control and responsibility, may entrust certain tasks relating to the management of Community programmes' (Article 1 of the Framework Regulation).

Furthermore, executive agencies have a 'Standard Financial Regulation' which applies to their own operating budget (Commission Regulation (EC) No. 1653/2004, as last amended by Commission Regulation (EC) No. 651/2008 of 9 July 2008).

2.3.2 Executive agencies: set-up

State of play

All six executive agencies are fully operational, as shown in the table below:

Acronym	Name	Fully operational as from
EASME	Executive Agency for Small and Medium-sized Enterprises (EASME) ¹¹	01/01/2006
EACEA	Executive Agency for Education, Audiovisual and Culture (EACEA)	01/01/2006
CHAFEA	Consumers, Health and Food Executive Agency (CHAFEA) ¹²	01/01/2007
INEA	Innovation and Networks Executive Agency (INEA) ¹³	15/04/2008
ERCEA	European Research Council Executive Agency (ERCEA)	15/07/2009
REA	Research Executive Agency (REA)	15/06/2009

¹¹ This agency was initially set up as the Intelligent Energy Executive Agency (IEEA), after which its name was changed to Executive Agency for Competitiveness and Innovation (EACI). Its new name, as from 2014, reflects the extension of its mandate to new programmes.

¹² This agency was initially set up as the Public Health Executive Agency (PHEA), after which its name was changed to Executive Agency for Health and Consumers (EAHC). Its new name, as from 2014, reflects the extension of its mandate to new programmes.

¹³ This agency was initially set up as TEN T-EA. Its new name, as from 2014, reflects the extension of its mandate to new programmes.

In this context, 'fully operational' is defined as 'full operational and accounting autonomy', in terms of both management of operational programmes and management of the operating budget of the agency. However, agencies are already operational before full autonomy is granted, for instance in the launch of the call for proposals.

The time needed to plan and set up executive agencies is substantial, due to the need for drafting and getting the delegation instrument agreed, implementing internal control standards, putting in place robust financial circuits, recruiting temporary or contract agents and seconding officials. A key step in this regard is the appointment of the Director of the agency, after which the recruitment of agency personnel can start.

Staff recruitment

Executive agency personnel consists of two main groups, with different characteristics and different recruitment procedures applicable:

- Temporary Agents, either Commission officials seconded to positions of responsibility, or external temporary agents recruited by the agency;
- Contract Agents and Seconded National Experts, recruited by the agency.

2.3.3 Executive agencies: impact on posts and administrative resources

Impact on human resources of the Commission: freed and frozen posts

As foreseen in the Framework Regulation 58/2003, the creation of executive agencies has a two-fold impact on the Commission human resources:

- 'Freed' posts (Art. 13 § 6c): tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, until 2013 the Commission used to inform the Budgetary Authority of its intentions as regards the redeployment of those freed administrative resources to other tasks in the Commission. However, as described in more detail in section 2.3.4 below, for the delegation of tasks to executive agencies for the 2014-2020 period, freed posts have been deleted from the Commission establishment plans, in order to guarantee the budgetary neutrality of the delegation exercise.
- 'Frozen' posts (Art. 18 § 2b): in accordance with Article 38.c of the Staff Regulations, the posts of Commission officials seconded to executive agencies remain vacant in the Commission during their secondment (i.e., the posts are 'frozen'). A corresponding number of posts are without budgetary coverage in the establishment plan of the Commission. This generates a corresponding reduction of administrative appropriations in the Commission Budget.

Essentially, the question whether and to what extent the creation of an executive agency leads to freed and frozen posts depends on whether the executive agency takes over the responsibility for an existing programme from the Commission services; and whether the budget to be managed for a given programme grows significantly, as compared to the past.

Between 2005 and 2013, freed posts have been redeployed to serve the priorities identified by the Commission and by the Budgetary Authority during the various budget procedures. This has enabled the Commission to meet new priorities without any new request for additional posts. Accordingly, the redeployment of freed posts has been a decisive element for managing human resources efficiently: the Commission re-focuses its attention and staff on its core tasks, i.e. the development and monitoring of policies under the Treaty, whereas implementation of programmes or programme strands, where no political decision-making implying discretionary powers is involved, is delegated to dedicated executive agencies.

In accordance with Article 38.c of the Staff Regulations, at the end of every six months, the official concerned may request that his secondment be terminated. Upon return of the previously seconded official, the 'frozen' post in the establishment plan of the Commission is 'unfrozen', and added to the pool of freed posts available for redeployment. A decrease of the total number of Commission officials seconded to the executive agencies in this regard means that the official has not been and will not be replaced by another Commission official, and therefore the post has been or will be freed from the perspective of the Commission.

More details on freed and frozen posts by executive agency in 2017 are given at section 1.3.7, and in the individual budgetary financial statements (section 2.2.4).

Cost savings generated by the establishment of executive agencies

As mentioned above, the primary reason for the creation of executive agencies was to re-focus the Commission's attention on its core tasks as well as to improve the management and implementation of certain operational programmes, through dedicated bodies with a high level of technical and financial expertise. Nonetheless, the establishment of executive agencies should also lead to some cost savings as compared to the alternative costs that would have been required for 'in-house' Commission management, as indicated in the cost-benefit analyses made when setting up individual agencies. Such cost savings are also relevant in the context of ensuring the budgetary neutrality of the delegation of tasks to executive agencies. The cost-benefit analysis (CBA) to be carried out as part of the obligatory three-yearly evaluation of agencies (see below) should, *inter alia*, take into account savings within the EU budget, as was done in the *ex ante* cost-benefit analysis.

Buildings policy

In accordance with the Framework Regulation 58/2003 (Art. 5 § 1), agencies are located at the place where the Commission and its departments are located. In practice, this means that the agencies are located in Brussels, with the exception of CHAFEA (Luxembourg). More details on buildings for individual agencies can be found in the budgetary financial statements (section 2.2.4).

External evaluations of executive agencies

The Framework Regulation 58/2003 (Art. 25) requires the Commission to draw up an external evaluation report on the first three years of operation of each executive agency. This evaluation, which has to include a cost-benefit analysis, is to be submitted to the steering committee of the executive agency, to the European Parliament, to the Council and to the Court of Auditors. The evaluation then has to be repeated every three years, under the same conditions.

More details on external evaluations of executive agencies are given in the budgetary financial statements (section 2.2.4).

Court of Auditors Special Report on executive agencies

In November 2009, the Court of Auditors published a Special Report on executive agencies¹⁴. Overall, the report presented positive findings, especially as regards the quality of the work carried out by the agencies, as well as from the point of view of cost savings generated. However, the Court was more critical with regard to governance and supervision issues and the quality of the cost-benefit analyses. The Commission ensures the follow-up to the recommendations contained in the Court's report, in particular by having integrated further methodological improvements in the CBA.

2.3.4 Executive agencies: the 2014-2020 multiannual financial framework

As part of its proposals for the 2014-2020 multiannual financial framework¹⁵, the Commission expressed its intention to use the option of more extensive recourse to existing executive agencies, in view of their service delivery and visibility in the management of EU programmes. Subsequently, and as foreseen in the Framework Regulation 58/2003, in its proposals for the new legal bases for the operational programmes of the post-2013 programming period, the Commission indicated for each programme whether it intended to delegate budget implementation tasks to executive agencies.

In 2012/2013, the Commission carried out a cost-benefit analysis for the externalisation of post-2013 spending programmes to the current six executive agencies, with a view to presenting the file to the Committee for Executive Agencies (CEA) and the European Parliament ('working arrangements') in time before the start of the new programming period. The CBA highlighted the following comparative advantages of delegating certain 2014-2020 programmes to the executive agencies:

- As a result of their experience and specialisation in specifically defined tasks, the agencies guarantee a high quality of programme management and better service delivery in terms of faster contracting, faster approval procedures for technical and financial reports and quicker payments.

¹⁴ European Court of Auditors, Special Report 13/2009, "Delegating implementing tasks to executive agencies: a successful option?", Luxembourg, 20 November 2009.

¹⁵ COM(2011)500, 29.6.2011, point 6.1.3.

- Giving the agencies coherent programme portfolios will create synergies between closely related policy domains and foster knowledge spill-over. For example, pooling all aspects of the small and medium-sized enterprises (SME) instrument that falls under the Framework Programme for Research and Innovation (Horizon 2020) is expected to result in economies of scale, easier coordination and consistency in delivery of services. At the same time, all potential beneficiaries will have a single entry point.
- The new programmes can capitalise on the agencies' existing communication and outreach channels, which have developed over time to keep them close to beneficiaries and to improve the EU's visibility as the promoter of the programmes. In particular, the agencies provide an increased level of direct exchanges with beneficiaries through 'info days', kick-off meetings for larger and multi-annual projects, and monitoring visits.
- Continuous simplification of processes and procedures (e.g. simplified forms of grants, proportionate controls and electronic application forms) results in higher productivity, which should further increase with simpler procedures for the new programmes.
- The lower number of full-time equivalents (FTEs) required to manage the programmes and the scope for recruiting a larger percentage of contract agents at the executive agencies than at the Commission entails significant savings compared with the in-house scenario.

The CBA compared four scenarios depicting varying levels of programme delegation: *an in-house scenario* in which new programmes would be managed by the Commission while EAs would remain responsible for the delivery of legacy work (2007–2013 programmes); *an initial scenario* for delegation defined by the Commission; and *two alternative scenarios* exploring options for delegation different from that of the initial scenario.

The CBA pointed to the 'alternative scenario 2'¹⁶ as the most efficient in terms of cost savings and qualitative benefits than the other scenarios taken into consideration. Since the EAs will benefit from economies of scale as they become larger, this scenario would lead to the highest estimated efficiency gains (EUR 509 million at present value¹⁷ over the 2014-2020 period) in relation to the in-house scenario.

On the basis of the cost-benefit analysis, the Commission proposed to make increased use of executive agencies in the management of the new spending programmes for the period 2014-2020, by delegating implementing tasks to executive agencies when they can bring higher efficiency and effectiveness as compared to 'in-house' management in the Commission. To this end, the Commission defined an overall approach for the delegation of the management of operational programmes to the executive agencies. Besides taking into account the latest major developments in the negotiations between the European Parliament and the Council on the legal acts of programmes to be delegated, the Commission placed particular emphasis on the safeguarding of two key objectives in the delegation process:

- Productivity: to ensure a sustained or improved level of underlying productivity in each of the agencies compared to 2013, the staffing numbers of all agencies have been reviewed;
- 5 % staff reduction: to reconcile the staffing levels of the executive agencies with the overall 5 % staff reduction in all EU institutions and bodies, a 5 % reduction has been applied to the 2013 staffing levels of all executive agencies, to be spread evenly over the 2014-2020 period, and to be compared with the initial assessment of the resources to be allocated to each agency. As for all EU institutions and bodies, the 5 % staff reduction is accompanied by the increase in working hours from 37,5 to 40 hours per week.

The expected efficiency gains of the delegation scenario chosen and the resources to be freed in the Commission departments by delegating tasks to executive agencies allowed a bigger budget to be implemented with fewer resources compared to the in-house scenario while guaranteeing a high quality of programme management. For these reasons, the Commission proposed to delegate these tasks to the executive agencies. However, the savings in the Commission

¹⁶ Within the 'boundary conditions' set by the Commission of keeping the current number of executive agencies unchanged and having broadly similar-sized agencies (in terms of their staffing), the contractor developed a scenario in which certain programmes would be managed by other agencies compared to the 'initial scenario', as follows: the new Space programme and the societal challenge Food security, sustainable agriculture, marine and maritime research and bio-economy under Horizon 2020 would be delegated to REA, whereas EASME would manage the second and third generation of the Intelligent Energy programme, the entire SME instrument under Horizon 2020 as well as the Integrated Maritime Policy, Control and Scientific Advice actions under the EMFF.

¹⁷ Calculated following the Commission's guidelines for impact assessments for policy proposals (SEC(2009) 92), applying the standard discount rate of 4 % to future benefits to calculate their net present value.

resulting from the ‘freed’ and ‘frozen’ posts identified in the CBA were insufficient to fully offset the additional expenditure to cover the running costs of the executive agencies. To achieve budget neutrality over the period, the Commission decided to offset the increase in expenditure on additional human resources in executive agencies by further reducing its own human resources (officials and contract agents) beyond the ‘freed’ and ‘frozen’ posts identified in the CBA. The review of its own internal organisation enabled the Commission to propose to increase the number of ‘freed’ and ‘frozen’ posts, leading to a total number of ‘freed’ and ‘frozen’ posts as shown in the table below:

‘Freed’ and ‘frozen’ posts: ensuring budgetary neutrality in 2014-2020	Establishment plan posts	Contract agents (FTE)
Total	549	164

As mentioned above, the proposed reduction in the number of posts in the Commission establishment plans corresponding to the posts ‘freed’ in the Commission comes on top of the general 5 % staff reduction in the Commission over the period 2013-2017.

The Commission proposed to keep the same number of executive agencies, while adapting the names of three of the six agencies to reflect the extended scope of their mandates, namely:

- The Executive Agency for Competitiveness and Innovation (EACI) became the Executive Agency for Small and Medium-sized Enterprises (EASME);
- The Executive Agency for Health and Consumers (EAHC) became the Consumers, Health and Food Executive Agency (CHAFEA); and
- The Trans-European Transport Network Executive Agency (TEN-T EA) became the Innovation and Networks Executive Agency (INEA).

The Commission described the proposed scenario for the delegation of certain parts of 2014-2020 spending programmes to the executive agencies, and the corresponding budgetary and human resources impact (including to take into account the application of the 5 % staff reduction target in the executive agencies), in an information note which was sent to the European Parliament and the Council on 4 October 2013.

Further to the agreement on the delegation reached in November 2013, the six executive agencies have been re-established through Commission implementing Decisions in December 2013¹⁸.

2.4 Joint undertakings / joint technology initiatives ('PPP bodies')

2.4.1 Joint undertakings / joint technology initiatives ('PPP bodies'): set-up

Legal bases of joint undertakings / joint technology initiatives ('PPP bodies')

The legal bases of the current two joint undertakings and the six joint technology initiatives are either created on the basis of the Euratom Treaty or the Treaty on the Functioning of the European Union (Art. 187, 188), as follows:

- Euratom: ITER – Fusion for Energy (F4E) joint undertaking;
- TFEU – Council Decision / Regulation: SESAR joint undertaking, IMI, FCH, Clean Sky, ECSEL, BBI, and Shift2Rail joint technology initiatives under 'Horizon 2020'.

Tasks

In short, the tasks of the joint undertakings and joint technology initiatives are:

- ITER – Fusion for Energy (F4E): to implement an international agreement on the development of Fusion Energy;
- Single European Sky – Air Traffic Management Research (SESAR2): to ensure the coordination of research and innovation in view of modernising Air Traffic Management in Europe;

¹⁸ EASME (OJ L 341, 18.12.2013); CHAFEA (OJ L 341, 18.12.2013); EACEA (OJ L 343, 19.12.2013); REA (OJ L 346, 20.12.2013); ERCEA (OJ L 346, 20.12.2013); and INEA (OJ L 352, 24.12.2013).

- Innovative Medicines Initiative (IMI2): to improve European citizens' health and wellbeing by providing new and more effective diagnostics and treatments such as new antimicrobial treatments;
- Fuel Cells and Hydrogen (FCH2): to develop commercially viable, clean, solutions that use hydrogen as an energy carrier and of fuel cells as energy converters;
- Clean Sky (Clean Sky 2): to radically reduce the environmental impact of the next generation of aircraft;
- Electronic Components and Systems for European Leadership (ECSEL): to keep Europe at the forefront of electronic components and systems and bridge faster the gap to exploitation;
- Bio-Based Industries (BBI): to develop new and competitive bio-based value chains that replace the need for fossil fuels and have a strong impact on rural development;
- Shift2Rail (S2R): to ensure the coordination of research and innovation in view of supporting the completion of the Single European Railway Area and increasing the competitiveness of the EU rail sector vis-à-vis the other transport modes and vis-à-vis foreign competition.

Creation

Essentially, the joint undertakings and joint technology initiatives have been set up to attract public and private knowledge and capital, as public-private partnerships and public-public partnerships with Member States in key areas where research and development could contribute to Europe's wider competitiveness goals and where traditional instruments are not adequate. The bodies will lead to the formation of wide partnerships that will involve a large number of players from industry, including notably SMEs, the research community and wider society.

All legal bases for the first generation of joint undertakings and joint technology initiatives have been adopted in 2007 and 2008, and have been amended in December 2013 (ITER), May 2014 (BBI, IMI2, Clean Sky2, FCH2 and ECSEL) and June 2014 (SESAR2 and S2R). Consequently, the joint undertakings and joint technology initiatives have reached 'cruising speed', e.g. in terms of recruitment activities and financial autonomy, as shown in the table below.

<i>Joint Undertaking</i>	<i>Financially autonomous as from</i>
<i>ITER – F4E</i>	<i>16/03/2008</i>
<i>IMI</i>	<i>16/11/2009</i>
<i>FCH</i>	<i>15/11/2010</i>
<i>Clean Sky</i>	<i>17/11/2009</i>
<i>ARTEMIS</i>	<i>26/10/2009</i>
<i>ENIAC</i>	<i>03/05/2010</i>
<i>SESAR</i>	<i>01/01/2009</i>
<i>BBI</i>	<i>26/10/2015</i>
<i>S2R</i>	<i>24/05/2016</i>

2.4.2 Joint undertakings / joint technology initiatives ('PPP bodies'): budgetary and financial arrangements

Joint undertakings / joint technology initiatives ('PPP bodies') in the EU budget

In addition to the information contained in the budgets of the joint undertakings and the joint technology initiatives, the EU budget also includes detailed information on these bodies, such as the authorised EU contributions and the authorised establishment plans of the joint undertakings.

For the joint technology initiatives, the EU contribution is typically broken down in two budget articles, in order to separate the fresh appropriations from completion projects.

For ITER-F4E, the split between operational and support expenditure is made in a similar way as for research expenditure under Horizon 2020 (support expenditure lines 32 01 05).

Financing structure

The joint undertakings and joint technology initiatives are typically funded by contributions from the EU budget on the one hand and public / private parties on the other (the latter both in appropriations and in kind). This concerns both the operational expenditure and the running costs of the bodies.

Framework Financial Regulation and Model Financial Regulation

As for the decentralised agencies (see section 2.1.2 above), the Framework Financial Regulation for bodies under Article 208 of the Financial Regulation applies to the joint undertakings. This means that 'the financial rules of these bodies may not depart from the Framework Regulation except where their specific operating needs so require and with the Commission's prior consent'. However, the Model Financial Regulation for bodies under Article 209 of the Financial Regulation¹⁹ applies to joint technology initiatives, in order to take account of their specific situation.

Discharge procedure

In accordance with Article 208(2) of the General Financial Regulation, the joint undertakings are subject to discharge for the implementation of their budgets by the European Parliament on the recommendation of the Council. In accordance with their respective founding Regulations, the same discharge procedure applies to the joint technology initiatives.

Single Programming Documents

As for the decentralised agencies (see section 2.1.2 of the Introduction above), Single Programming Documents are drawn up for the Joint Undertakings under Article 208 of the Financial Regulation (ITER-F4E and SESAR).

Evaluations

The evaluation of the joint undertakings and joint technology initiatives depends on the legal act applicable, bearing in mind also that the joint undertakings and the joint technology initiatives have been set up for a limited period (typically until 2024, with the exception of ITER – F4E). Information on evaluations of joint undertakings and joint technology initiatives is included in the individual budgetary financial statements (Part II section 2.2).

¹⁹ Commission Delegated Regulation (EU) No 110/2014 of 30 September 2013, OJ L 38, 7.2.2014.

2.5 Types of EU bodies: comparison table

	Decentralised agencies	Joint undertakings and joint technology initiatives ('PPP bodies') and EIT	Executive agencies
Number of EU bodies included in DB 2017	– 33 established decentralised agencies	2 joint undertakings and 6 joint technology initiatives (PPP bodies) + EIT	6 executive agencies
Legal base	Dedicated basic acts – currently, 33 decentralised agencies established by Council (Art. 352 TFEU) or Parliament and Council (ordinary legislative procedure)	Dedicated basic acts – Euratom: ITER – F4E – Council Decision / Regulation (Art. 187, 188 TFEU): IMI, FCH, Clean Sky, ECSEL, BBI, Shift2Rail and SESAR – Ordinary legislative procedure (Art. 173 TFEU): EIT	Basic act for the operational programme whose implementation they support Commission decision in order to delegate the implementation of all or part of an EU programme(s)
Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208 of the General Financial Regulation	Framework Financial Regulation for the bodies referred to in Article 208 of the General Financial Regulation; Model Financial Regulation for the bodies referred to in Article 209 of the General Financial Regulation	Standard Financial Regulation for Executive Agencies
Tasks	– Power to adopt binding rules and/or individual decisions with direct legal effect – Provide assistance to the Commission and where necessary to the Member States in the interest of the Union in the form of technical or scientific opinions and/or inspections reports – Focus on networking between national competent authorities and on organising between them in the interest of the Union with a view of gathering, exchanging and comparing information and good practices	– Efficient execution of EU research, technological development and demonstration programmes – Reinforce innovation capacity of the Union and the Member States	Tasks in the management of EU programmes – executive and operational tasks, not involving 'political choices'
Transfer of Commission tasks	In general, no	Partially yes (but pooling with other actors)	Yes (certain implementation tasks), often combined with growing programmes
Procedure for creation			Reference in the explanatory memorandum of the proposal for the basic act of the programme to be managed
Preparatory actions	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Prior to Commission proposal: impact assessment study and ex-ante evaluation	Cost-benefit analysis prior to Commission decision covering, in accordance with Art 3§1 of Regulation 58/2003, factors such as identification of tasks justifying outsourcing, cost of coordination & checks, impact on HR, possible savings within the general budgetary framework, efficiency and flexibility in the implementation of tasks outsourced, simplification of the procedures used, proximity of outsourced activities to final beneficiaries, visibility of the Union as promoter of the EU programme concerned and the need to maintain an adequate level of know-how inside the Commission
Involvement of the Budgetary Authority	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 31	Council and Parliament are involved in accordance with the legislative procedure applicable and respecting the provisions of IIA point 31	Needs approval from the Committee for Executive Agencies (CREA) and prior information of the budget authority (code of conduct convened with the Parliament as revised in 2007)

Budget – financing structure	Most decentralised agencies: EU funded Exception: EMA, EASA and ECHA: combination of EU contributions and self-financing (fees) EUIPO, CPVO, SRB and CdT: fully self-financed (fees) EBA, EIOPA and ESMA: partially co-financed by national public authorities	Joint undertakings / PPP bodies: funding from Horizon 2020 budgets together with other external sources (partially in kind) EIT: combination of EU and other contributions	Fully subsidised as a part of the financial envelope of the operational programme concerned
Discharge procedure	Subject to discharge of the Parliament on recommendation of the Council Exception: EUIPO and CPVO (subject to governing discharge only)	Subject to discharge of the Parliament on recommendation of the Council	Subject to discharge of the Parliament on recommendation of the Council (executive agency operating budget only – operational programmes remain part of the Commission discharge)
Evaluation	Depending on legal act applicable	Depending on legal act applicable	First evaluation (including retro-active cost-benefit analysis) after three years of operation; then to be repeated every three years.
Key budgetary information	Budgets published individually in OJ C Each year in DB detailed information on EU contribution, overall budgets and establishment plans	OJ C – EU budget More justification in working documents accompanying the DB	OJ C – EU budget Each year in DB documents detailed information on budget and staff aspects.

PART I – BODIES SET UP BY THE EUROPEAN UNION AND HAVING LEGAL PERSONALITY

1 MAIN BUDGETARY TRENDS 2017

1.1 Decentralised agencies

This section on 'main budgetary trends' starts with a historical table (section 1.1.1) showing the evolution, over the period 2000 – 2015, of decentralised agency budget contributions and staff (authorised establishment plans). The historical table is followed by an updated classification of agencies ('start-up phase', 'new tasks' or 'cruising speed', section 1.1.2) and a method for determining the EU contribution to the agencies, taking into account agency assigned revenues and cancellation of appropriations in the budgets of the agencies (section 1.1.3). This leads to the budgetary and staffing highlights for 2017, in text (section 1.1.4), tables (section 1.1.5) and graphs (section 1.1.6). Finally, this section presents overview tables on Commission budget implementation for decentralised agencies in 2015 (section 1.1.7) and on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2015, section 1.1.8).

1.1.1 Historical table 2000 - 2015

Tables below show, by financial framework heading, the historical evolution of the decentralised agencies over the period 2000 – 2015, in terms of EU budget contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview tables in 1.1.5 below, which show the EU contribution and staff levels for the years 2016 and 2017.

1.1.1.1 Historical table 2000-2015: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
European Chemicals Agency (ECHA) – Chemicals legislation	02 03 03	Helsinki	2006								15,294	62,619	64,529	35,150	p.m.	p.m.	p.m.	p.m.	p.m.
<i>Authorised establishment plan</i>											101	220	324	426	456	456	451	441	431
European GNSS Agency (GSA)	02 05 11	Prague	2004						1,657	8,050	9,425	10,560	5,800	5,135	8,200	11,840	13,660	24,587	26,840
<i>Authorised establishment plan</i>		(Brussels)							15	39	46	50	23	28	29	44	77	96	102
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	Dublin	1975	14,700	15,000	16,500	16,500	18,000	18,600	19,000	19,600	20,000	19,450	19,067	20,210	20,289	20,371	20,371	20,371
<i>Authorised establishment plan</i>				85	85	88	88	91	94	94	94	101	101	101	101	101	101	99	97
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	Bilbao	1994	6,700	6,800	9,000	13,375	9,375	13,200	13,200	14,000	14,400	13,800	13,743	14,316	14,570	14,678	14,095	14,679
<i>Authorised establishment plan</i>				26	26	31	33	38	40	40	42	44	44	44	44	44	44	43	42
European Centre for the Development of Vocational Training (CEDEFOP)	04 03 13	Thessaloniki	1975	12,900	13,200	13,750	14,500	15,800	16,100	16,400	16,730	17,060	15,910	16,920	15,742	17,009	17,434	17,434	17,434
<i>Authorised establishment plan</i>				81	81	83	83	88	91	95	97	99	101	101	101	101	100	98	96
European Aviation Safety Agency (EASA)	06 02 02	Köln	2002			2,300	9,500	11,050	18,930	23,114	24,530	30,000	31,540	32,879	33,316	33,297	34,862	34,236	36,370
<i>Authorised establishment plan</i>						50	80	95	200	336	467	452	506	570	574	634	692	685	679
European Maritime Safety Agency (EMSA)	06 02 03	Lisbon	2002			1,700	4,500	12,600	35,300	44,630	48,100	44,300	24,435	52,449	50,696	53,229	55,892	50,453	52,656
<i>Of which anti-pollution measures</i>	06 02 03 02							2,000	17,800	23,800	25,000	18,000	18,900	20,500	23,000	20,000	22,663	19,675	20,600
<i>Authorised establishment plan</i>						40	40	55	95	132	153	181	192	200	208	213	213	210	207
European Union Agency for Railways (ERA)	06 02 04	Lille	2004					4,900	13,670	14,455	16,645	18,000	16,060	23,260	24,375	24,487	25,007	25,007	25,613
<i>Authorised establishment plan</i>		Valenciennes						30	72	95	110	116	124	139	144	144	143	140	137
European Union Agency for Network and Information Security (ENISA)	09 02 03	Heraklion	2004					3,500	6,800	6,800	8,000	8,160	7,800	7,288	7,188	8,244	8,335	8,821	9,156

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	
<i>Authorised establishment plan</i>								15	38	44	44	44	44	44	44	47	47	48	48	
Body of European Regulators for Electronic Communications – Office (BEREC – Office)	09 02 04	Riga	2009											p.m.	3,470	3,579	4,293	4,191	4,163	4,017
<i>Authorised establishment plan</i>															10	12	16	16	16	15
European Banking Authority (EBA)	12 02 04	London	2010													5,073	8,299	10,387	13,000	12,606
<i>Authorised establishment plan</i>																46	68	93	111	120
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	Frankfurt	2010													4,267	6,262	8,812	8,866	8,206
<i>Authorised establishment plan</i>																46	69	80	87	90
European Securities and Markets Authority (ESMA)	12 02 06	Paris	2010													6,784	7,120	8,766	12,618	9,703
<i>Authorised establishment plan</i>																58	75	121	133	137
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	Ljubljana	2009											pm	2,000	5,000	7,242	8,941	10,880	11,266
<i>Authorised establishment plan</i>															25	40	43	49	54	54
Total decentralised agencies of heading 1a				34,300	35,000	43,250	58,375	75,225	124,257	145,649	172,324	225,099	199,324	211,362	198,745	216,181	231,336	244,531	248,917	
<i>Of which anti-pollution measures</i>								2,000	17,800	23,800	25,000	18,000	18,900	20,500	23,000	20,000	22,663	19,675	20,600	
<i>Authorised establishment plan</i>				192	192	292	324	412	645	875	1 154	1 307	1 459	1 688	1 903	2 055	2 227	2 261	2 255	

1.1.1.2 Historical table 2000-2015: Decentralised agencies of heading 2 – Sustainable growth: natural resources

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	
European Chemicals Agency (ECHA) — Activities in the field of legislation on import and export of dangerous chemicals	07 02 05	Helsinki	2012														1,456	1,562	1,297	1,222
<i>Authorised establishment plan</i>																	3	5	6	6

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
European Environment Agency (EEA)	07 02 06	Copenhagen	1990	18,000	19,000	19,380	21,380	27,200	26,900	27,650	28,950	31,672	34,560	35,258	35,105	35,727	36,309	36,309	36,309
<i>Authorised establishment plan</i>				76	80	83	111	115	115	115	116	123	133	133	134	136	138	135	133
European Fisheries Control Agency (EFCA)	11 06 64	Vigo	2005						1,000	4,900	5,000	7,300	5,704	7,695	12,665	9,031	9,217	9,217	9,217
<i>Authorised establishment plan</i>									0	38	38	49	55	53	53	54	54	53	52
European Chemicals Agency (ECHA) — Activities in the field of biocides legislation	17 04 07	Helsinki	2012												p.m.	2,728	6,071	5,064	5,789
<i>Authorised establishment plan</i>																11	47	48	47
Total decentralised agencies of heading 2				18,000	19,000	19,380	21,380	27,200	27,900	32,550	33,950	38,972	40,264	42,953	47,770	48,942	53,159	51,887	52,537
<i>Authorised establishment plan</i>				76	80	83	111	115	115	153	154	172	188	186	187	204	244	242	238

1.1.1.3 Historical table 2000-2015: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
European Centre for Disease Prevention and Control (ECDC)	17 03 10	Stockholm	2004						4,753	16,800	26,500	39,100	48,100	56,255	52,770	56,727	56,727	56,766	56,766
<i>Authorised establishment plan</i>									29	50	90	130	170	200	200	200	198	194	190
European Food Safety Authority (EFSA)	17 03 11	Parma	2002			7,500	16,493	26,885	36,700	46,600	57,000	63,500	70,700	69,041	72,333	75,080	76,000	77,333	77,333
<i>Authorised establishment plan</i>						45	49	138	194	250	300	335	355	355	355	355	351	344	337
European Medicines Agency (EMA)	17 03 12	London	1993	13,200	14,000	14,000	22,500	25,000	25,400	30,000	41,000	38,000	36,390	32,780	32,943	28,966	39,23	39,23	33,015
<i>Of which special contribution for orphan medicinal products</i>	<i>17 03 12 02</i>				1,300	3,300	3,300	3,500	3,700	4,000	6,000	6,000	5,500	4,500	4,901	6,000	6,000	6,000	6,800
<i>Authorised establishment plan</i>				210	210	251	313	314	379	424	441	481	530	567	567	590	611	599	599
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	Warsaw	2004						6,157	15,540	33,980	68,000	78,000	83,000	108,000	88,500	79,500	82,910	133,528

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
<i>Authorised establishment plan</i>									17	28	49	94	117	143	143	143	153	152	227
European Police Office (EUROPOL)	18 02 04	The Hague	1995											79,724	83,469	82,505	82,121	84,290	94,860
<i>Authorised establishment plan</i>														453	457	457	457	450	483
European Union agency for law enforcement training (CEPOL)	18 02 05	Budapest	2005						3,000	4,500	7,439	8,700	7,800	7,800	8,000	8,451	8,450	8,305	8,471
<i>Authorised establishment plan</i>		(Bramshill)							18	22,5	22,5	22,5	26	26	26	28	28	27	27
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	Tallinn-Strasbourg	2011												5,450	19,800	41,000	59,380	67,599
<i>Authorised establishment plan</i>															75	75	120	120	120
European Asylum Support Office (EASO)	18 03 02	Valletta	2010											5,250	8,000	10,000	12,000	14,656	15,586
<i>Authorised establishment plan</i>														24	38	38	45	51	89
European Monitoring Centre on Racism and Xenophobia (EUMC)		Vienna	1997	4,750	5,300	6,100	6,500	7,800	8,189	8,800	9,881								
<i>Authorised establishment plan</i>				25	35	28	30	34	37	37									
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 06 02	Lisbon	1993	8,250	8,750	9,000	9,300	11,730	12,000	12,100	13,000	13,400	14,150	14,800	15,170	14,565	15,550	14,794	14,794
<i>Authorised establishment plan</i>				48	55	59	65	77	77	77	82	82	82	84	84	84	84	82	80
European Union Agency for Fundamental Rights (FRA) ⁽¹⁾	33 02 06	Vienna	2007								4,619	15,000	17,000	19,100	20,000	20,196	21,246	21,229	21,229
<i>Authorised establishment plan</i>											46	49	61	72	72	75	78	75	73
European Institute for Gender Equality (EIGE)	33 02 07	Vilnius	2006								4,500	6,430	6,860	6,940	7,530	5,901	7,478	7,340	7,628
<i>Authorised establishment plan</i>											15	20	20	25	27	30	30	29	29
European Union's Judicial Cooperation Unit (EUROJUST)	33 03 04	The Hague	2002			3,500	8,000	9,000	13,000	14,700	18,414	22,240	24,800	30,163	29,776	31,670	32,359	33,665	32,994
<i>Authorised establishment plan</i>							53	76	87	112	147	175	185	185	186	213	213	209	205
Total decentralised agencies of heading 3				26,200	28,050	40,100	62,793	80,415	109,199	149,040	216,333	274,370	305,050	404,853	443,441	442,361	471,661	499,898	563,803

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
<i>Of which special contribution for orphan medicinal products</i>					1,300	3,300	3,300	3,500	3,700	4,000	6,000	6,000	5,500	4,500	4,901	6,000	6,000	6,000	6,800
Authorised establishment plan				283	300	383	510	639	838	1 001	1 193	1 389	1 546	2 134	2 230	2 288	2 368	2 332	2 459

⁽¹⁾ Former European Monitoring Centre on Racism and Xenophobia created in 1997.

1.1.1.4 Historical table 2000-2015: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
European Training Foundation (ETF)	04 03 14	Turin	1990	16,200	16,800	16,800	17,200	17,193	18,500	19,450	19,700	17,984	14,772	18,282	20,350	20,045	20,144	20,144	20,144
<i>Authorised establishment plan</i>	(15 02 12)			130	130	130	104	104	104	105	105	96	96	96	96	96	96	94	92
Budget lines contribution to the European Agency for Reconstruction		Thessaloniki	2000	682,326	400,000	495,615	358,614	376,129	321,912	270,228	[24,559]	-	-	-					
<i>Authorised establishment plan</i>	(22 02 05 07) (22 02 05 08)			51	123	122	122	114	114	115	108	91	-	-					
Total decentralised agencies of heading 4				16,200	16,800	16,800	17,200	17,193	18,500	19,450	19,700	17,984	14,772	18,282	20,350	20,045	20,144	20,144	20,144
<i>Authorised establishment plan</i>				181	253	252	226	218	218	220	213	187	96	96	96	96	96	94	92

The amount indicated in square brackets was already committed in 2006. The agency has been closed by end-2008.

1.1.1.5 Historical table 2000-2015: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan																
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	
Translation Centre for the bodies of the European Union	31 01 10	Luxembourg	1994	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				144	158	158	158	181	181	189	200	233	233	233	225	215	206	203	200	
Total decentralised agencies of heading 5				pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				144	158	158	158	181	181	189	200	233	233	233	225	215	206	203	200	

1.1.1.6 Historical table 2000-2015: Fully self-financed decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Single Resolution Board (SRB)	12 02 07	Brussels	2015															p.m.	3,3
<i>Authorised establishment plan</i>																			122
European Union Intellectual Property Office (EUIPO)		Alicante	1993	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				580	630	847	715	675	675	675	647	642	643	644	628	775	861	844	827
Community Plant Variety Office (CPVO)		Angers	1994	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				30	30	33	33	37	38	41	42	43	46	46	45	46	48	47	46
Total self-financed decentralised agencies				pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm	pm
<i>Authorised establishment plan</i>				610	660	880	748	712	713	716	689	685	689	690	673	821	909	891	995

1.1.1.7 Historical table 2000-2015: Total decentralised agencies

Name of the decentralised agency	Budget line	Location	Year of creation	EU budget contribution / authorised establishment plan															
				2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Total decentralised agencies				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	776,300	816,460	885,401
<i>Authorised establishment plan</i>				1 486	1 643	2 048	2 077	2 277	2 710	3 154	3 603	3 974	4 211	5 011	5 373	5 679	6 050	6 023	6 239
Total decentralised agencies (excl. fully self-financed agencies)				94,700	98,850	119,530	159,748	200,033	279,856	346,689	442,307	556,425	559,410	677,450	710,306	727,529	776,300	816,460	885,401
<i>Authorised establishment plan</i>				876	983	1 168	1 329	1 565	1 997	2 438	2 914	3 288	3 522	4 337	4 641	4 858	5 141	5 132	5 244

1.1.2 Classification of decentralised agencies

The proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development. The classification of agencies as '*cruising speed*', '*new tasks*' and '*start-up phase*' agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable structures and budgets. The consequences of the updated classification of individual agencies on human and financial resources as proposed in the 2017 Draft Budget are set out in more detail below.

The table below presents, by financial framework heading, a revised grouping of the decentralised agencies in the 2017 DB, as compared to their respective positions in DB 2016. As mentioned above, the classification as '*cruising speed*' agency in principle implies stable EU contributions (typically constant in nominal terms) and reduced staffing levels. The classification as '*new tasks*' agency required, as a general rule, a modification of the legal basis extending the scope of the agency's responsibilities. The period during which an agency can be classified as having '*new*' tasks depends essentially on what has been announced in a legislative financial statement when presenting its extension. In this regard, '*new tasks*' should be distinguished from growing workload at constant responsibilities.

Heading	Decentralised agency	Location	Year of creation	Classification used in DB 2016	Classification used in DB 2017	Agency funding structure in DB 2017
1A	ECHA	Helsinki	2006	Cruising speed	Cruising speed	Partially by fees
1A	EUROFOUND	Dublin	1975	Cruising speed	Cruising speed	EU funded
1A	EU-OSHA	Bilbao	1994	Cruising speed	Cruising speed	EU funded
1A	CEDEFOP	Thessaloniki	1975	Cruising speed	Cruising speed	EU funded
1A	BEREC	Riga	2009	Cruising speed	Cruising speed	EU funded
1A	ENISA	Heraklion	2004	New tasks	Cruising speed	EU funded
2	ECHA	Helsinki	2012	Start-up phase	Cruising speed	Partially by fees
2	EEA	Copenhagen	1990	Cruising speed	Cruising speed	EU funded
3	ECDC	Stockholm	2004	Cruising speed	Cruising speed	EU funded
3	EFSA	Parma	2002	Cruising speed	Cruising speed	EU funded
3	FRA	Vienna	2007	Cruising speed	Cruising speed	EU funded
3	EUROJUST	The Hague	2002	Cruising speed	Cruising speed	EU funded
3	EIGE	Vilnius	2006	Cruising speed	Cruising speed	EU funded
4	ETF	Turin	1990	Cruising speed	Cruising speed	EU funded
5	CdT	Luxembourg	1994	Cruising speed	Cruising speed	Self-financed
1A	GSA	Brussels	2005	New tasks	New tasks	EU funded
1A	EASA	Köln	2002	New tasks	New tasks	Partially by fees
1A	EMSA	Lisbon	2002	Cruising speed	New tasks	EU funded
1A	ERA	Lille-Valenciennes	2002	Cruising speed	New tasks	EU funded
1A	EBA	London	2010	New tasks	New tasks	National co-financing
1A	EIOPA	Frankfurt	2010	New tasks	New tasks	National co-financing
1A	ESMA	Paris	2010	New tasks	New tasks	National co-financing
1A	ACER	Ljubljana	2009	New tasks	Cruising speed	EU funded
2	EFCA	Vigo	2005	Cruising speed	New tasks	EU funded
3	EMA	London	1993	New tasks	New tasks	Partially by fees
3	FRONTEX	Warsaw	2005	New tasks	New tasks	EU funded
3	EUROPOL	The Hague	1995	New tasks	New tasks	EU funded
3	CEPOL	Bramshill	2005	New tasks	New tasks	EU funded
3	EASO	Valletta	2010	New tasks	New tasks	EU funded
3	eu.LISA	Tallinn – Strasbourg	2011	Cruising speed	New tasks	EU funded
3	EMCDDA	Lisbon	1993	Cruising speed	New tasks	EU funded
	SRB	Brussels	2015	Cruising speed	New tasks	Self-financed
	EUIPO	Alicante	1993	Cruising speed	Cruising speed	Self-financed
	CPVO	Angers	1994	Cruising speed	Cruising speed	Self-financed

1.1.3 Determining the EU contribution: cancellation of appropriations and assigned revenue

In the 2017 draft budget, the Commission continues its policy to deduct assigned revenues stemming from the recovery of decentralised agency surpluses for the year 2015 from the 2017 EU contribution to the agencies in question, so as to adjust the need for ‘fresh appropriations’ entered in the 2017 DB accordingly. Moreover, when assessing the decentralised agency’s needs for the financial year 2017, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over from 2014) in 2015. In doing so, the Commission responds to the requirements of the Framework Financial Regulation, as revised in 2013²⁰.

The question whether the cancellation of agency appropriations for the year 2015 was relatively large, has been assessed as follows:

- For under-execution of commitment appropriations of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2015 surplus).
- For cancellation of payment appropriations (including payment appropriations carried over from 2014) of more than 5 %, a reduction of 2 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2015 surplus).
- For both under-execution of commitment appropriations and cancellation of payment appropriations (including payment appropriations carried over from 2014) of more than 5 %, a reduction of 4 % as compared to the level otherwise foreseen (after deduction of the assigned revenue stemming from the 2015 surplus).

Based on this assessment, penalties have been applied as follows:

- 2 % reduction for under-execution of commitment appropriations of more than 5 %: N/A;
- 2 % reduction for cancellation of payment appropriations of more than 5 %: ACER, ECDC and CEPOL;
- 4 % reduction for both under-execution of commitment appropriations and cancellation of payment appropriations of more than 5 %: N/A.

1.1.4 Budgetary and staffing highlights 2017: decentralised agencies

1.1.4.1 EU contribution and staffing levels – Overall

As part of the preparation of the 2017 DB, the Commission has made a thorough assessment of the needs for each decentralised agency. The proposed level of the EU contribution and the staffing level of individual agencies reflect their stage of development. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies has an impact on the growth of their EU contributions and staffing levels: typically, agencies which have recently been created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or recently extended mandates, whereas cruising speed agencies have stable structures and budgets, and should therefore pursue rationalisation efforts (even when confronted by new policy developments). The 2017 DB only contains ‘cruising speed’ and ‘new tasks’ agencies, now that the recently-created agencies have completed their initial phasing-in process. The draft budget does not contain new bodies.

The DB 2017 request for decentralised agency staffing and appropriations uses as a starting point the Commission Communication on the programming of human and financial resources for decentralised agencies 2014-2020²¹. This is with the aim of reducing total staffing levels in agencies by 5 % over five years, as laid down in point 27 of the Interinstitutional Agreement of 2 December 2013²², while still allowing certain agencies to increase their staffing numbers in order to carry out their new tasks.

²⁰ OJ L 328, 7.12.2013.

²¹ COM(2013) 519, 10.7.2013.

²² OJ C 373, 20.12.2013.

In preparing the 2017 DB, the Commission has taken account of the following developments:

- Top-ups agreed by the Council and the European Parliament: the outcome of the 2014, 2015 and 2016 budget procedures led to an overall net increase of 36 posts compared to number of posts set out in the Commission Communication for 2016;
- Migration and security response: the 2017 DB consolidates the additional posts authorised in the 2015 and 2016 budgets for the agencies most concerned by the migration and security response (Frontex, EASO and Europol). Taking into account the new legislative proposals concerning the Borders Package²³, EASO and eu-LISA, the total number of posts for the migration response increases from 144 posts authorised for this purpose in the 2016 budget to 383 posts in the 2017 DB. Moreover, the total number of posts for the security response increases from 25 posts authorised in the 2016 budget to 47 posts in the 2017 DB. The migration and security response is a new policy development which is not included in the 5 % staff reduction target;
- EASA pilot case: as agreed in the meeting of the Interinstitutional Working Group (IIWG) on decentralised agencies' resources of 14 March 2016, the European Aviation Safety Agency (EASA) will be treated as a pilot case to allow for some flexibility in its annual number of fee-financed posts to respond to fluctuations in workload from industry, provided that this is justified by workload indicators. The Commission proposes that fluctuations in workload leading to upward or downward adjustments of the number of posts as compared to the Commission Communication of July 2013 are not included in the 5 % staff reduction target. The merits of the EASA pilot case will be discussed in detail in the next IIWG meeting, which is scheduled for October 2016.

As shown in more detail in the decentralised agency overview table (annex IV.1), the total number of establishment plan posts across all agencies²⁴ foreseen for 2017 amounts to 6 316. The table below gives an overview of the original programming of the total number of agency posts 2016-2017 as set out in the Commission Communication of July 2013, the total number of agency posts requested in the 2017 draft budget and the resulting 1 % staff reduction compared to the total number authorised in the 2016 budget, when excluding the migration and security response and the EASA pilot case.

Total number of agency posts	Budget 2016	Draft Budget 2017	Reduction of posts DB 2017
Commission Communication July 2013	5 885	5 822	
Total including reinforcements	6 105	6 316	
Total excluding migration and security response and EASA pilot case	5 922	5 863	-59

The additional posts requested as part of the migration response increase from the 144 posts authorised in the 2016 budget for this purpose to 383 posts in the 2017 draft budget, broken down as follows:

- As per the Commission proposal for the Borders Package, 206 migration-related posts are requested in the 2017 draft budget to transform Frontex into the European Border and Coast Guard Agency. This is a substantial increase compared to the 76 posts authorised for this purpose in the 2015 and 2016 budgets. Moreover, migration-related posts are requested in the 2017 draft budget for EMSA (14) and EFCA (12).
- As per the Commission proposal to transform EASO into the EU Agency for Asylum, 98 migration-related posts are requested for EASO in the 2017 draft budget. This is a substantial increase compared to the 34 posts authorised for this purpose in the 2015 and 2016 budgets.
- As per the Commission proposal for the Entry/Exit System, 14 migration-related posts are requested in the 2017 draft budget for eu-LISA. Moreover, 2 migration-related posts are requested for this agency in view of additional tasks linked to the revision of the Eurodac regulation.
- The number of migration-related posts requested for Europol (33) and Eurojust (1) in the 2017 draft budget remains stable at the 2016 level.
- Finally, so as to enable the agency to provide tailor-made training to police officers involved, 3 migration-related posts are requested in the 2017 draft budget for CEPOL.

²³ COM(2015) 671, 15.12.2015.

²⁴ Including the two fully self-financed agencies 'European Union Intellectual Property Office' (EUIPO, formerly OHIM) and 'Community Plant Variety Office' (CPVO).

The additional posts requested as part of the security response increase from the 25 posts authorised in amending budget 1/2016 to 47 posts in the 2017 draft budget, broken down as follows:

- The number of security-related posts requested for Europol's Counter-Terrorism Centre (25) in the 2017 draft budget remains stable at the level authorised in amending budget 1/2016.
- In addition, the 2017 draft budget includes a request for 8 posts to reinforce Europol's Cyber Crime Centre (EC3) as well as a request for 14 posts as part of the revision of Europol's founding Regulation.

As part of the proposal to treat EASA as a pilot case for a new approach to measure the evolution of the staffing needs of fee-financed agencies, the Commission proposes 450 fee-financed posts in EASA (i.e. a reduction of one post compared to the 2016 budget). This reflects the Commission's assessment of the forecast workload evolution in fee-financed activities in 2017. More details on the workload assessment are set out in Working Document III accompanying the 2017 DB.

The table below shows for each agency concerned the variation of the number of posts in the 2016 budget which are carried forward into the 2017 draft budget as well as the further reinforcements of agency posts proposed in the 2017 draft budget, taking into account the top-ups agreed by the budgetary authority in previous budget procedures, the migration and security response and the EASA pilot case:

Annual variation of agency posts 2016-2017 compared to Commission Communication 2013*												
Agency	Migration response		Security response		Pilot case fee-financed agencies		Top-ups Council & Parliament		Other new legislative proposals		Total	
	2016	2017	2016	2017	2016	2017	2016	2017	2016	2017	2016	2017
EMA							3				3	
ECHA-Biocides							-8	5			-8	5
EASA fee-financed					14	9					14	9
EASA EU-financed										5		5
ACER							15				15	
EIGE							1				1	
EEA							1				1	
EFCA		12										12
EMSA		14										14
FRONTEX	76	130									76	130
EASO	34	64					6				40	64
EUROPOL	33		25	22			8				66	22
CEPOL		3					1				1	3
EU-LISA		16										16
FRA							2				2	
EUROJUST	1						2				3	
Annual total	144	239	25	22	14	9	31	5	0	5	214²⁵	280
Accumulative total	383		47		23		36		5		494	

* The variation shown for 2017 is additional to the 2016 variation.

The decentralised agency overview table (annex IV.1) also shows that the total EU contribution in 2017 to all decentralised agencies combined amounts to EUR 1 317,3 million. This overall amount is composed of the amounts entered in the 2017 DB (EUR 1 285,4 million) and the assigned revenues stemming from the recovery of the 2015 surplus (EUR 31,9 million), which will be carried over to 2017 and deducted from the 'fresh appropriations' to be entered in the 2017 DB. Moreover, when assessing the decentralised agencies needs for the financial year 2017, the Commission has taken into account the cancellation of commitments and payments (including on payment appropriations carried over

²⁵ The total number of agency posts authorised in the 2016 budget is 220 above the level of the Commission Communication, and 214 of these posts are carried forward into the 2017 draft budget. Six posts authorised in the 2016 budget are treated as frontloading of staff increases which were already programmed for 2017, and therefore these posts do not lead to increases in the 2017 draft budget.

from 2014) in 2015. In so doing, the Commission responds to the requirements of the Framework Financial Regulation as revised in 2013²⁶.

Overall, expenditure for all decentralised agencies combined increases by 19,7 % (EUR 217,2 million) as compared to the 2016 budget (as modified by amending budget 1/2016). This is mostly due to the migration response, in particular as regards:

- The proposal to establish a European Border and Coast Guard Agency²⁷, which increases the EU contribution to the current Frontex agency by EUR 42,6 million as compared to the authorised 2016 budget. In addition, the Borders Package also contains a reinforcement of the European Maritime Safety Agency (EMSA) of EUR 17,7 million, as well as a reinforcement of the European Fisheries Control Agency (EFCA) of EUR 7,9 million.
- The increase in the EU contribution to eu-LISA of EUR 73,3 million as compared to the 2016 budget in view of the agency's new tasks as per the Commission proposal to establish an Entry/Exit System²⁸, as well as a further increase of EUR 11,6 million in view of the agency's new tasks as per the Commission proposal for Eurodac²⁹ and an increase of EUR 1,8 million in view of the agency's new tasks as per the Commission proposal for the revised Dublin Regulation³⁰. The increased EU contribution to eu-LISA is offset by a corresponding reduction of appropriations under the Internal Security Fund (ISF).
- The Commission proposal to transform EASO into the EU Agency for Asylum³¹, which requires a reinforcement of the EU contribution to the current EASO agency by EUR 46,8 million as compared to the 2016 budget.

When excluding the reinforcements related to the migration and security response, expenditure for all decentralised agencies combined increases by 2,5 % (EUR 23,1 million) as compared to the 2016 budget (as modified by amending budget 1/2016).

As presented in more detail in the individual budgetary financial statements (section 2.2.1), the total staffing of all the decentralised agencies combined (including the self-financed agencies EUIPO and CPVO but excluding the SRB) foreseen for 2017 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents³²</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2016	2017	2016	2017	2016	2017	2016	2017
6105	6316	1518	1659	511	606	8134	8581

²⁶ OJ L 328, 7.12.2013, p. 42.

²⁷ COM(2015) 671, 15.12.2015.

²⁸ COM(2016) 194, 6.4.2016.

²⁹ COM(2016) 272, 4.5.2016.

³⁰ COM(2016) 270, 4.5.2016.

³¹ COM(2016) 271, 4.5.2016.

³² The estimated overall number of contract agents is based on the information received from the decentralised agencies, as presented in more detail in the individual budgetary financial statements.

1.1.4.2 EU contribution and staffing levels – Individual agencies

As explained above (sections 1.1.2 and 1.1.3), the proposed level of the EU contribution to and the staffing level of individual agencies reflects their stage of development, and taking into account surpluses and assigned revenues. The classification of agencies as ‘*cruising speed*’, ‘*new tasks*’ and ‘*start-up phase*’ agencies impacts on the growth of their EU contributions and staffing levels: typically, agencies which have been recently created or have recently been assigned new tasks require additional appropriations and additional staff, to carry out tasks related to their new or newly extended mandates, whereas cruising speed agencies have stable budgets and staffing levels.

The sections below summarise the main new developments in 2017, in particular as regards ‘new tasks’ agencies.

– European GNSS Agency – GSA

Regulation (EU) No 1285/2013 on the implementation and exploitation of European satellite navigation systems put forward a new governance structure for the EGNOS and Galileo programmes and their financing for the period 2014-2020, and assigned ‘new tasks’ to the GSA over the period 2014-2018. The new GSA Regulation (EU) No 512/2014 sets out the tasks that the GSA will carry out under the new governance model. In view of its new tasks related to the exploitation of the GNSS systems, the Commission proposes to increase the number of establishment plan posts of the agency by 3 establishment plan posts to 116 posts in 2017, as well as to increase the corresponding appropriations for staff. The increased contribution to the GSA will be charged on the financial envelope of the Galileo programme.

– European Aviation Safety Agency – EASA

EASA is considered as a ‘new tasks’ agency in 2017, notably due to the Commission proposal of 7 December 2015 to review the Agency's founding act, which amongst others includes new tasks concerning the use of drones and a proposal to introduce a mechanism that will allow flexibility in the adjustment of the Agency's establishment plan related to fees and charges to industry demand (COM 2015/613). EASA is also phasing in new tasks concerning Third Country Operators (TCO), as foreseen in the founding Regulation, and Remotely Piloted Aircraft Systems (RPAS). In view of its new tasks, 5 additional establishment plan posts financed from the EU contribution are foreseen in 2017 compared to the programming and 23 additional fee-financed posts as per the proposal for flexibility in order to respond to fluctuations in industry demand..

– European Banking Authority – EBA

EBA’s start-up phase ended in 2014. However, in 2017 the agency will require additional resources to carry out new tasks in the field of Bank Recovery and Resolution, the Capital Requirements legislation (CRDIV/CRR), Anti Money Laundering and the expansion of existing EBA tasks from the Markets in Financial Instruments Regulation (MiFIR). In view of its new tasks, the Commission proposes to reinforce the number of establishment plan posts of the agency to 134 posts in 2017. The EU contribution takes account of the staff increase.

– European Insurance and Occupational Pensions Authority – EIOPA

EIOPA’s start-up phase ended in 2014. However, in 2017 the agency will require additional resources to carry out new tasks, notably arising from the Omnibus II agreement (OMDII), the proposal for a new Insurance Mediation Directive (IMD2) and the developments of the IORP II and Solvency II Directives, including the Risk Free Rate calculation. In view of its new tasks, the Commission proposes to increase the number of establishment plan posts of the agency to 101 posts in 2017. The EU contribution for 2017 takes account of the staff increase.

– European Securities and Markets Authority – ESMA

ESMA’s start-up phase ended in 2014. However, in 2017 the agency will require additional resources to carry out new tasks which are related to several Regulations entrusting the agency with additional responsibilities, such as the Regulation on Indices used as Benchmarks in Financial Instruments and Financial Contracts, developments related to MiFIR/MiFID II, the application of the Single Rulebook among national authorities and the supervisory work stemming from the Credit Rating Agencies and Trade Repositories Regulation. In view of its new tasks, the Commission proposes to increase the number of establishment plan posts of the agency to 150 posts in 2017. The EU contribution for 2017 takes account of the staff increase.

- European Medicines Agency – EMA

[EMA is a ‘new tasks agency’ in view of the phasing in of additional activities assigned to the agency under the major revision of the pharmacovigilance legal framework in 2010, which is applicable as of July 2012 (Regulation (EU) No 1235/2010 and Directive 2010/84/EU). The European Parliament and the Council added tasks that require additional resources as compared to the initial financial statement, notably in terms of competences for nationally authorised medicines and reinforced competences for centrally authorised medicines. In view of its new tasks, the Commission proposes 596 establishment plan posts, thereby carrying forward the three additional posts in the 2016 budget..
- European Agency for the Management of Operational Cooperation at the External Borders – FRONTEX

FRONTEX is grouped as a ‘new tasks agency’ in 2017 in view of the Commission proposal of 15 December 2015 to establish a European Border and Coast Guard Agency (COM 2015/671). The proposal entails an additional 130 establishment plan posts in 2017 with a view to increasing the total staff of the Agency to 1 000 in 2020. Frontex was already significantly reinforced in 2015 by a total of 76 establishment plan posts through Amending Budget 5/2015 and Amending Budget 7/2015 to address the migration crisis. This brings the Agency 206 posts above the programming for 2017. The EU contribution to the Agency will increase accordingly from EUR 239 million in 2016 to EUR 281 million in 2017.
- European Police Office – EUROPOL

EUROPOL is considered as a ‘new tasks’ agency further to the amendment of its founding Regulation. The legislative financial statement accompanying the original Commission proposal was updated before the conclusion of the negotiations in December 2015 to take account of the changes during the legislative procedure to strengthen the Agency's Financial Intelligence Unit, the Internet Referral Unit and the European Multidisciplinary Platform against Criminal Threats. This leads to a reinforcement of the Agency by 14 posts in 2017. EUROPOL generally plays a central role in addressing the migration and security crisis and has as a consequence been reinforced in several occasions, notably through Amending Budget 5/2015, Amending Budget 7/2015 and Amending Budget 1/2016. In the Draft Budget 2017 the Commission proposes to further reinforce EUROPOL by 8 posts for the Agency's Cyber Crime Centre. The total reinforcement of EUROPOL in the Draft Budget 2017 compared to the programming amounts to 88 establishment plan posts.
- European Police College – CEPOL

The European Police College is presented as a ‘new tasks’ agency in view of the Law Enforcement Training Schemes to be put in place in 2017 as per the amendment to CEPOL's founding Regulation (Regulation (EU) 2015/2219). The Commission proposes to increase the number of establishment plan posts by 3 to 31 in 2017.
- European Asylum Support Office – EASO

EASO is a "new tasks" agency as per the the Commission proposal to create a European Union Agency for Asylum (COM 2016/ 271). The proposal entails a progressive increase in staff from the present to 500 in 2020. The reinforcements in the Commission proposal are in addition to a number of previous reinforcements of EASO's operational capacity to support frontline Member States, including the in Draft Budget 2015, Amending Budget 7/2015 and Amending Budget 1/2016 and the Draft Budget for 2016. This results in EASO being 104 posts above the programming in 2017.
- European Agency for the operation management of large-scale IT systems in the area of freedom, security and justice – eu-LISA

Eu-LISA is listed as a 'new tasks' agency in view of the new tasks assigned to the Agency by the Commission proposals on the Smart Borders Package (COM 2016/194), the Eurodac Regulation (COM 2016/272) and the revision of Dublin II (COM 2016/270). The Commission proposals entail a reinforcement of eu-LISA with 16 posts in 2017 and an increase in the EU contribution of EUR 70 million.

1.1.5 Decentralised agency overview table 2017 / 2016

1.1.5.1 Overview table 2017 / 2016: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
European Chemicals Agency (ECHA) — Chemicals legislation	02 03 03	Helsinki	2006	98,351	72,805	72,805	0,000	98,071	70,883	69,490	69,490	0,000	-4,6%	-4,6%	Cruising speed
<i>Authorised establishment plan</i>				420	420			410	410	410			-10		
European GNSS Agency (GSA) ⁽²⁾	02 05 11	Prague	2004	29,086	28,350	27,888	0,462	28,582	27,847	27,847	26,523	1,324	-1,8%	-4,9%	New tasks
<i>Authorised establishment plan</i>				113	113			116	116	116			3		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	Dublin	1975	20,560	20,371	20,360	0,011	20,571	20,371	20,371	20,364	0,007	0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				95	95			95	95	93			-2		
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	Bilbao	1994	15,240	14,679	14,663	0,016	15,239	14,679	14,679	14,514	0,165	0,0%	-1,0%	Cruising speed
<i>Authorised establishment plan</i>				41	41			41	41	40			-1		
European Centre for the Development of Vocational Training (CEDEFOP)	04 03 13	Thessaloniki	1975	17,910	17,434	17,051	0,383	17,919	17,434	17,434	17,375	0,059	0,0%	1,9%	Cruising speed
<i>Authorised establishment plan</i>				94	94			92	92	92			-2		
European Aviation Safety Agency (EASA)	06 02 02	Köln	2002	176,479	36,370	34,819	1,551	171,175	36,445	35,985	34,184	1,801	-1,1%	-1,8%	New tasks
<i>Authorised establishment plan</i>				676	676			679	679	678			2		
European Maritime Safety Agency (EMSA)	06 02 03	Lisbon	2002	56,120	53,379	51,149	2,230	75,273	72,859	72,359	71,398	0,961	35,6%	39,6%	New tasks
<i>Of which anti-pollution measures</i>	06 02 03 02				21,600	21,600			22,800	22,800	22,800		5,6%	5,6%	
<i>Authorised establishment plan</i>				202	202			212	212	212			10		
European Union Agency for Railways (ERA)	06 02 04	Lille Valenciennes	2004	26,696	26,000	25,213	0,787	31,844	31,000	30,000	29,643	0,357	15,4%	17,6%	New tasks
<i>Authorised establishment plan</i>				135	135			145	145	139			4		
European Network and Information Security Agency (ENISA)	09 02 03	Heraklion	2004	11,037	10,120	10,070	0,050	16,296	15,240	10,322	10,242	0,080	2,0%	1,7%	Cruising speed
<i>Authorised establishment plan</i>				48	48			73	73	48			0		

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017						Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
Body of European Regulators for Electronic Communications (BEREC) — Office	09 02 04	Riga	2009	4,246	4,246	4,072	0,174	4,246	4,246	4,246	4,026	0,220	0,0%	-1,1%	Cruising speed	
<i>Authorised establishment plan</i>				15	15			15	15	14			-1			
European Banking Authority (EBA)	12 02 04	London	2010	38,064	14,678	14,565	0,113	42,896	16,592	15,043	14,891	0,152	2,5%	2,2%	New tasks	
<i>Authorised establishment plan</i>				127	127			155	155	134			7			
European Insurance and Occupational Pensions Authority (EIOPA)	12 02 05	Frankfurt	2010	21,763	8,257	8,122	0,135	24,680	9,211	8,946	8,736	0,210	8,3%	7,6%	New tasks	
<i>Authorised establishment plan</i>				93	93			109	109	101			8			
European Securities and Markets Authority (ESMA)	12 02 06	Paris	2010	39,393	10,203	10,203	0,000	42,096	11,020	11,020	10,844	0,176	8,0%	6,3%	New tasks	
<i>Authorised establishment plan</i>				140	140			150	150	150			10			
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	Ljubljana	2009	15,873	15,873	15,165	0,708	19,866	19,866	13,272	12,520	0,752	-16,4%	-17,4%	Cruising speed	
<i>Authorised establishment plan</i>				69	69			102	102	68			-1			
Total decentralised agencies - heading 1a				570,818	332,765	326,145	6,620	608,755	367,693	351,013	344,749	6,264	5,5%	5,7%		
<i>Of which anti-pollution measures</i>					21,600	21,600		0,000	22,800	22,800	22,800		5,6%	5,6%		
<i>Authorised establishment plan</i>				2 268	2 268			2 394	2 394	2 295			27			

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.
(2) This excludes the amount delegated to GSA (EUR 967 791 215 in 2016 and EUR 642 809 444 in 2017).

1.1.5.2 Overview table 2017 / 2016: Decentralised agencies of heading 2 – Sustainable growth: Natural Resources

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017						Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
European Chemicals Agency	07 02 05	Helsinki	2012	1,151	1,151	1,040	0,111	1,183	1,183	1,183	1,140	0,043	2,8%	9,6%	Cruising speed	

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017						Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues				
(ECHA) — PIC activities																
<i>Authorised establishment plan</i>				6	6			6	6	6			0			
European Environment Agency (EEA)	07 02 06	Copenhagen	1990	41,809	36,309	35,557	0,752	41,689	36,309	36,309	35,166	1,143	0,0%	-1,1%		Cruising speed
<i>Authorised establishment plan</i>				130	130			127	127	127			-3			
European Fisheries Control Agency (EFCA)	11 06 64	Vigo	2005	9,217	9,217	9,070	0,147	17,113	17,113	17,113	17,021	0,092	85,7%	87,7%		New tasks
<i>Authorised establishment plan</i>				51	51			61	61	61			10			
European Chemicals Agency (ECHA) — Biocides activities	17 04 07	Helsinki	2012	7,865	3,650	3,320	0,330	10,358	4,500	4,500	1,450	3,050	23,3%	-56,3%		New tasks
<i>Authorised establishment plan</i>				39	39			44	44	44			5			
Total decentralised agencies - heading 2				60,042	50,327	48,986	1,341	70,343	59,105	59,105	54,777	4,328	17,4%	11,8%		
<i>Authorised establishment plan</i>				226	226			238	238	238			12			

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.1.5.3 Overview table 2017 / 2016: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
European Centre for Disease Prevention and Control (ECDC)	17 03 10	Stockholm	2004	58,240	56,766	53,683	3,083	58,192	56,766	56,766	51,687	5,079	0,0%	-3,7%	Cruising speed
<i>Authorised establishment plan</i>				186	186			182	182	182			-4		
European Food Safety Authority (EFSA)	17 03 11	Parma	2002	79,240	77,333	76,244	1,089	80,656	77,333	77,333	76,595	0,738	0,0%	0,5%	Cruising speed
<i>Authorised establishment plan</i>				330	330			323	323	323			-7		
European Medicines Agency (EMA)	17 03 12	London	1993	324,710	26,424	24,475	1,949	337,664	40,029	37,241	24,475	12,766	40,9%	0,0%	New tasks
<i>Of which special contribution for orphan medicinal products</i>	17 03 12 02				9,972	9,972			13,680	13,687	13,687		37,3%	37,3%	
<i>Authorised establishment plan</i>				602	602			596	596	596			-6		
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	Warsaw	2004	254,035	238,686	238,686	0,000	254,274	238,686	281,267	281,267	0,000	17,8%	17,8%	New tasks
<i>Authorised establishment plan</i>				225	225			222	222	352			127		
European Police Office (EUROPOL)	18 02 04	The Hague	1995	102,242	102,242	99,660	2,582	117,196	117,196	112,609	111,712	0,897	10,1%	12,1%	New tasks
<i>Authorised establishment plan</i>				505	505			551	551	520			15		
European Union Agency for Law Enforcement Training (CEPOL)	18 02 05	Budapest	2005	8,641	8,641	8,411	0,230	8,813	8,813	9,280	8,721	0,559	7,4%	3,7%	New tasks
<i>Authorised establishment plan</i>				28	28			30	30	31			3		
European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	Tallinn – Strasbourg	2011	82,268	80,022	80,022	0,000	83,891	83,891	153,334	153,334	0,000	91,6%	91,6%	New tasks
<i>Authorised establishment plan</i>				118	118			115	115	131			13		
European Asylum Support Office (EASO)	18 03 02	Valletta	2010	20,828	19,440	18,666	0,774	27,439	26,145	66,206	66,206	0,000	240,6%	254,7%	New tasks
<i>Authorised establishment plan</i>				91	91			125	125	155			64		
European Monitoring Centre for	18 06 02	Lisbon	1993	15,394	14,794	14,724	0,070	15,455	14,794	15,136	15,082	0,054	2,3%	2,4%	New tasks

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
Drugs and Drug Addiction (EMCDDA)															
<i>Authorised establishment plan</i>				79	79			79	79	77			-2		
European Union Agency for Fundamental Rights (FRA)	33 02 06	Vienna	2007	21,359	21,359	21,203	0,156	23,586	23,586	22,567	22,463	0,104	5,7%	5,9%	Cruising speed
<i>Authorised establishment plan</i>				74	74			76	76	72			-2		
European Institute for Gender Equality (EIGE)	33 02 07	Vilnius	2006	7,628	7,628	7,527	0,101	7,628	7,628	7,628	7,458	0,170	0,0%	-0,9%	Cruising speed
<i>Authorised establishment plan</i>				28	28			27	27	27			-1		
European Body for the Enhancement of Judicial Cooperation (EUROJUST)	33 03 04	The Hague	2002	43,540	43,540	42,949	0,591	53,371	53,371	47,704	47,107	0,597	9,6%	9,7%	Cruising speed
<i>Authorised establishment plan</i>				203	203			205	205	198			-5		
Total decentralised agencies - heading 3				1 018,125	696,874	686,249	10,625	1 068,165	748,238	887,071	866,107	20,964	27,3%	26,3%	
<i>Of which special contribution for orphan medicinal products</i>					9,972	9,972			13,680	13,687	13,687		37,3%	37,3%	
<i>Authorised establishment plan</i>				2 469	2 469			2 531	2 531	2 664			195		

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.1.5.4 Overview table 2017 / 2016: Decentralised agency of heading 4 – Global Europe

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
European Training Foundation (ETF)	04 03 14	Turin	1990	20,145	20,145	19,956	0,189	20,144	20,144	20,144	19,771	0,373	0,0%	-0,9%	Cruising speed
<i>Authorised establishment plan</i>				90	90			88	88	88			-2		
Total decentralised agencies - heading 4				20,145	20,145	19,956	0,189	20,144	20,144	20,144	19,771	0,373	0,0%	-0,9%	
<i>Authorised establishment plan</i>				90	90			88	88	88			-2		

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.1.5.5 Overview table 2017 / 2016: Decentralised agency of heading 5 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
Translation Centre for the Bodies of the European Union	31 01 10	Luxembourg	1994	50,785	0,000	0,000	0,000	49,371	0,000	0,000	0,000	0,000	0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				197	197			195	195	195			-2		
Total decentralised agencies - heading 5				50,785	0,000	0,000	0,000	49,371	0,000	0,000	0,000	0,000	0,0%	0,0%	
<i>Authorised establishment plan</i>				197	197			195	195	195			-2		

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.1.5.6 Overview table 2017 / 2016: Fully self-financed decentralised agencies

(in million EUR)

Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾			Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017	
				Total revenues of the Agency	EU contribution		Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget		
					Total EU contribution ⁽²⁾⁽³⁾	Of which budget			Of which assigned revenues	Total EU contribution ⁽²⁾⁽³⁾	Of which DB				Of which assigned revenues
Single Resolution Board (SRB)	12 02 07	Brussels	2014	57,000	0,000	0,000		86,666	0,000	0,000	0,000		0,0%	0,0%	New tasks
<i>Authorised establishment plan</i>				230	230			350	350	350			120		
European Union Intellectual Property Office (EUIPO)		Alicante	1993	398,043	0,000	0,000		405,398	0,000	0,000	0,000		0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				810	810			818	818	792			-18		
Community Plant Variety Office (CPVO)		Angers	1994	15,450	0,000	0,000		15,852	0,000	0,000	0,000		0,0%	0,0%	Cruising speed
<i>Authorised establishment plan</i>				45	45			44	44	44			-1		
Total self-financed decentralised agencies				470,493	0,000	0,000		510,916	0,000	0,000	0,000		0,0%	0,0%	
<i>Authorised establishment plan</i>				1 085	1 085			1 212	1 212	1 186			101		

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

(2) As set out in the Commission Communication of July 2013, the SRB as a completely new body falls outside the scope of the 5 % staff reduction applicable to EU institutions and bodies. The Commission notes that the estimated revenues and staff numbers are still provisional, and that they are not yet adopted by the SRB Board.

(3) The Commission does not include the establishment plans of the fully self-financed agencies EUIPO (former OHIM) and CPVO in the official volumes of the draft budget. However, the Commission is of the opinion that the 5 % staff reduction applicable to EU institutions and bodies should be applied to all decentralised agencies, irrespective of their funding structure. This is why a reduction in the number of posts for EUIPO is shown in the column 'Foreseen EU contribution' for 2016. For CPVO, the number of posts shown for 2016 (45) corresponds to the agency's own draft estimates.

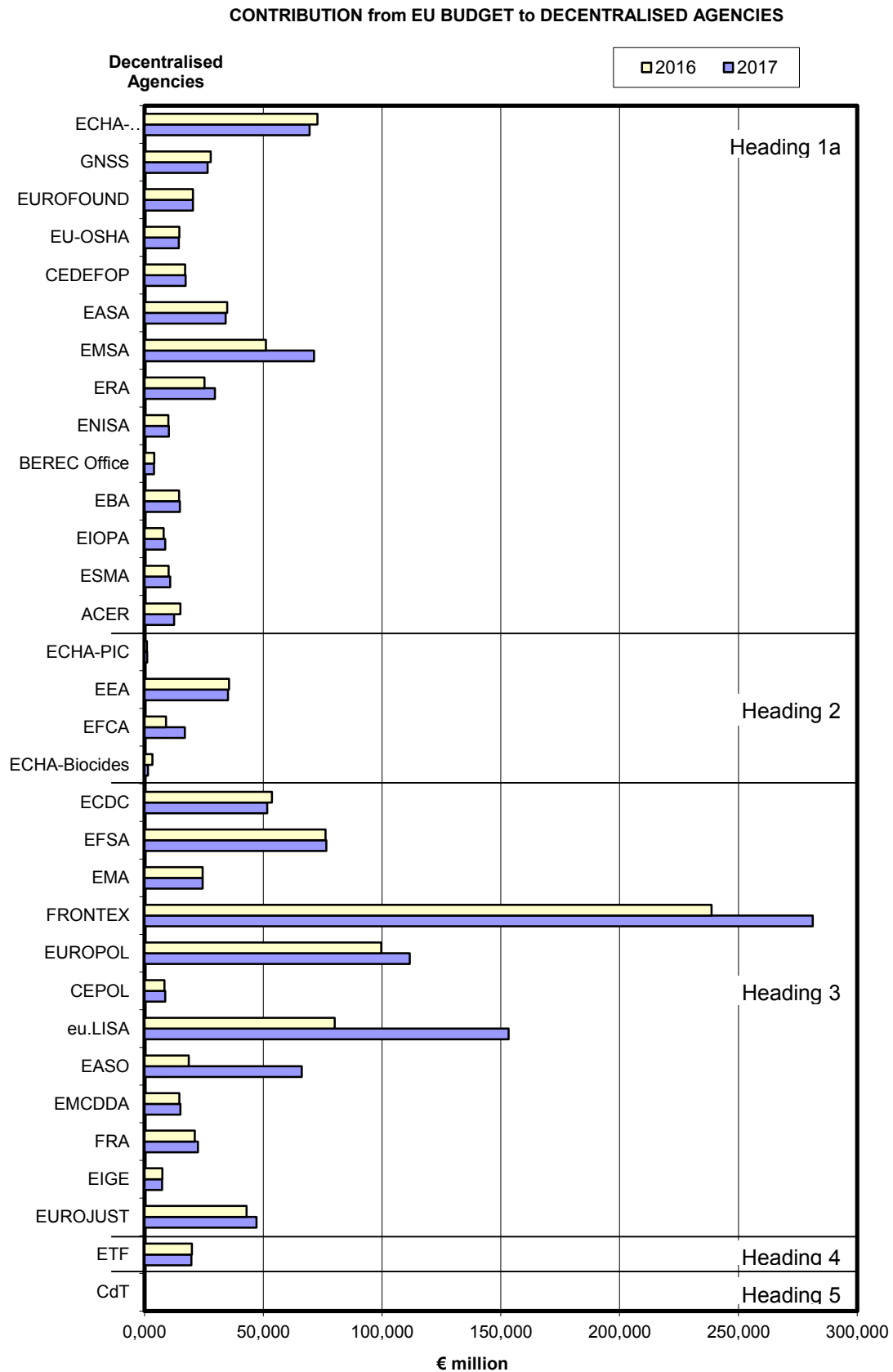
1.1.5.7 Overview table 2017 / 2016: Total of decentralised agencies

(in million EUR)

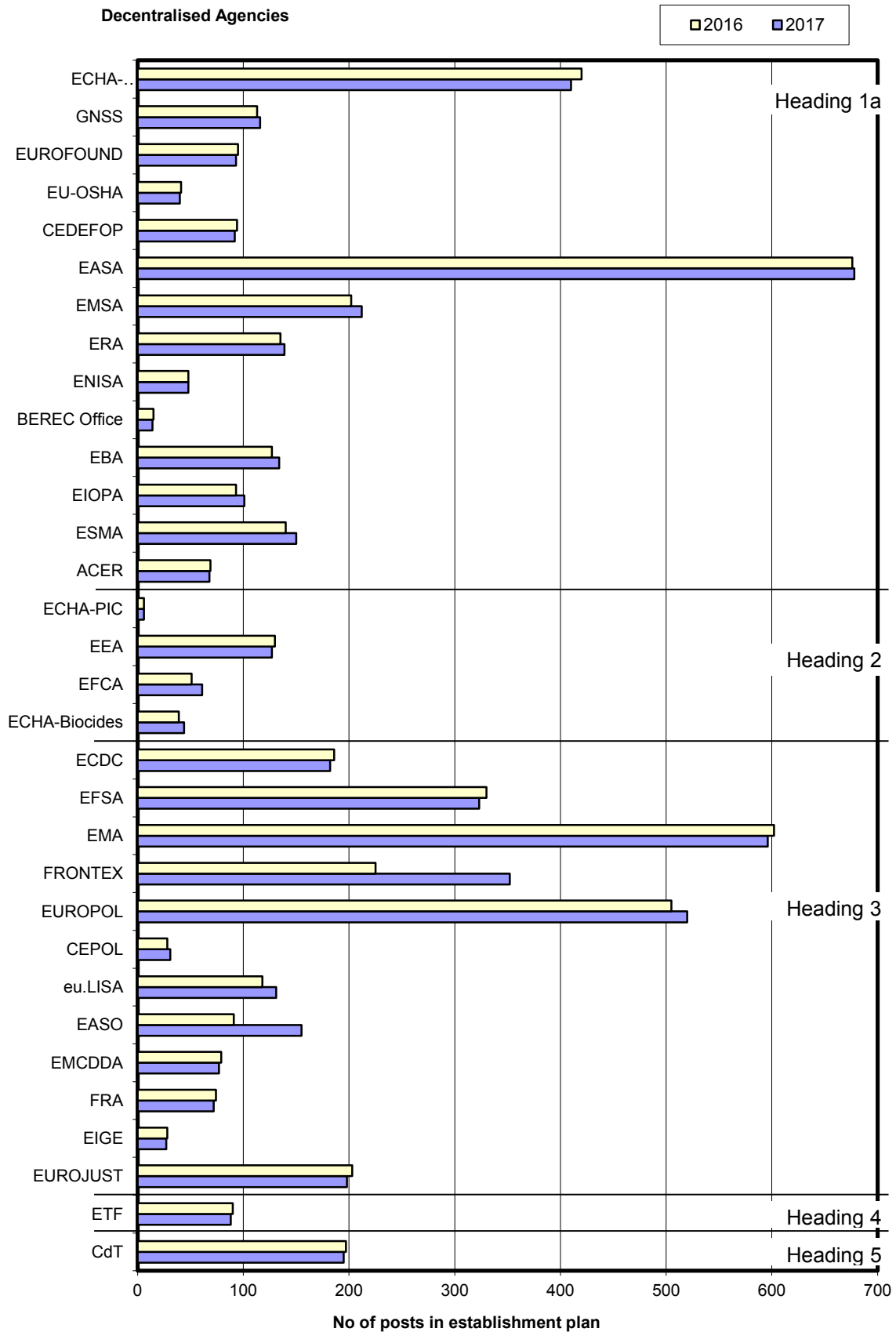
Name of the decentralised agency	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾				Draft budget (DB) 2017					Variation 2017 / 2016		Classification DB 2017
				Total revenues of the Agency	EU contribution			Revenues estimated by the Agency	Of which Agency contribution request	Foreseen EU contribution			EU contribution	DB / Budget	
					Total EU contribution	Of which budget	Of which assigned revenues			Total EU contribution	Of which DB	Of which assigned revenues			
Total decentralised agencies (incl. Single Resolution Board)				2 190,408	1 100,112	1 081,337	18,775	2 327,694	1 195,180	1 317,333	1 285,404	31,929	19,7%	18,9%	
<i>Authorised establishment plan</i>				6 335	6 335			6 658	6 658	6 666			331		
Total decentralised agencies (excl. Single Resolution Board)				2 133,408	1 100,112	1 081,337	18,775	2 238,028	1 195,180	1 317,333	1 285,404	31,929	19,7%	18,9%	
<i>Authorised establishment plan</i>				6 105	6 105			6 308	6 308	6 316			211		
– Of which ‘cruising speed’ decentralised agencies				920,607	419,759	412,344	7,415	949,279	439,039	419,448	409,918	9,530	-0,1%	-0,6%	
<i>Authorised establishment plan</i>				2 881	2 881			2 919	2 919	2 819			-62		
– Of which ‘new tasks’ decentralised agencies				1 269,801	680,353	668,993	11,361	1 378,416	756,141	897,885	875,486	22,399	32,0%	30,9%	
<i>Authorised establishment plan</i>				3 454	3 454			3 739	3 739	3 847			393		
Total decentralised agencies (excl. fully self-financed agencies EUIPO, CPVO and SRB)				1 719,915	1 100,112	1 081,337	18,775	1 816,778	1 195,180	1 317,333	1 285,404	31,929	19,7%	18,9%	
<i>Authorised establishment plan</i>				5 250	5 250			5 446	5 446	5 480			230		
Total decentralised agencies (excl. Single Resolution Board and migration and security reinforcements and pilot case on EASA)				2 131,381	940,928	922,153	18,775	2 237,856	1 195,180	964,040	932,140	31,900	2,5%	1,1%	
<i>Authorised establishment plan</i>				5 922	5 922			5 863	5 863	5 863			-59		

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.1.6 Decentralised agency graphic overview 2017 / 2016



NUMBER of POSTS in ESTABLISHMENT PLANS of DECENTRALISED AGENCIES



1.1.7 Table Commission budget implementation for decentralised agencies 2015

1.1.7.1 Budget implementation 2015: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Chemicals Agency (ECHA) – Chemicals legislation	02 03 03	0,232	0,232									0,232	0,232
European GNSS Agency (GSA)	02 05 11	28,068	23,247	27,606	26,791	0,815	22,785	21,970	0,815	0,462	0,462		
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	04 03 11	20,382	20,382	20,371	20,371		20,371	20,371		0,011	0,011		
European Agency for Safety and Health at Work (EU-OSHA)	04 03 12	15,128	14,895	14,966	14,534	0,432	14,733	14,301	0,432	0,016	0,016	0,146	0,146
European Aviation Safety Agency (EASA)	06 02 02	38,951	38,951	37,428	35,635	1,794	37,428	35,572	1,856	1,460	1,460	0,063	0,063
European Maritime Safety Agency (EMSA)	06 02 03	56,398	48,540	54,167	50,882	3,285	46,132	43,073	3,058	2,230	2,230		0,178
European Railway Agency (ERA)	06 02 04	27,133	27,133	26,345	24,659	1,686	26,345	24,658	1,687	0,787	0,787		0,001
European Network and Information Security Agency (ENISA)	09 02 03	9,476	9,476	9,426	9,101	0,325	9,426	9,101	0,325	0,050	0,050		
Body of European Regulators for Electronic Communications – Office (BEREC – Office)	09 02 04	4,192	4,192	4,017	3,498	0,519	4,017	3,498	0,519	0,174	0,174		
European Banking Authority (EBA)	12 03 02	15,818	15,818	15,533	11,925	3,609	15,533	11,925	3,609	0,284	0,284		
European Insurance and Occupational Pensions Authority (EIOPA)	12 03 03	8,546	8,546	8,206	7,979	0,227	8,206	7,979	0,227	0,339	0,339		
European Securities and Markets Authority (ESMA)	12 03 04	12,079	12,079	12,079	8,120	3,959	12,079	8,120	3,959				
Single Resolution Board (SRB)	12 03 05	0,998	0,998	0,998	0,998		0,998	0,998					
European Centre for Development of Vocational Training (CEDEFOP)	15 02 11	19,618	18,584	17,224	17,224		17,000	16,190	0,810	1,584	1,584	0,810	
Agency for the Cooperation of Energy Regulators (ACER)	32 02 10	11,975	11,975	11,266	10,851	0,415	11,266	10,851	0,415	0,709	0,709	0,001	0,001
Total decentralised agencies – heading 1a		268,992	255,046	259,634	242,568	17,066	246,320	228,607	17,712	8,107	8,107	1,252	0,619

1.1.7.2 Budget implementation 2015: Decentralised agencies of heading 2 – Sustainable growth: natural resources

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Chemicals Agency (ECHA)	07 02 05	7,615	7,615	7,174	6,604	0,569	7,174	6,604	0,569	0,441	0,441		
European Environment Agency (EEA)	07 02 06	42,471	42,471	41,718	34,886	6,832	41,718	34,886	6,832	0,752	0,752		
European Fisheries Control Agency (EFCA)	11 06 64	9,364	9,364	9,364	8,957	0,407	9,364	8,957	0,407				
Total decentralised agencies – heading 2		59,450	59,450	58,256	50,448	7,808	58,256	50,448	7,808	1,194	1,194		

1.1.7.3 Budget implementation 2015: Decentralised agencies of heading 3 – Security and citizenship

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Centre for Disease Control (ECDC)	17 03 10	61,536	61,536	58,452	56,766	1,686	58,452	56,777	1,675	3,084	3,084		
European Food Safety Authority (EFSA)	17 03 11	80,666	79,122	79,577	76,412	3,165	78,032	74,912	3,120	1,089	1,089		
European Medicines Agency (EMA)	17 03 12	35,901	35,885	33,951	31,516	2,435	33,935	31,499	2,435	1,950	1,950		
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	18 02 03	133,528	133,528	133,528	133,528		133,528	133,528					
Europol	18 02 04	97,443	97,443	94,860	92,598	2,262	94,860	92,598	2,262	2,582	2,582		
European Police College (CEPOL)	18 02 05	8,701	8,701	8,471	7,678	0,793	8,471	7,678	0,793	0,230	0,230		
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	18 02 06	14,912	14,912	14,794	14,643	0,151	14,794	14,643	0,151	0,070	0,070	0,047	0,047

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	18 02 07	67,587	63,017	67,559	67,559		63,017	62,989	0,028			0,028	
European Asylum Support Office (EASO)	18 03 02	16,355	13,874	15,448	15,448		13,100	12,967	0,133	0,907	0,774		
European Union Agency for Fundamental Rights (FRA)	33 02 06	21,387	21,387	21,229	21,155	0,074	21,229	21,154	0,075	0,157	0,157	0,001	0,001
European Institute for Gender Equality (EIGE)	33 02 07	7,730	7,730	7,628	7,464	0,164	7,628	7,464	0,164	0,101	0,101		
European Union's Judicial Cooperation Unit (EUROJUST))	33 03 04	34,480	34,480	33,818	33,408	0,410	33,818	33,400	0,418	0,654	0,654	0,008	0,008
Total decentralised agencies – heading 3a		580,225	571,613	569,316	558,175	11,140	560,864	549,608	11,256	10,824	10,692	0,085	0,057

1.1.7.4 Budget implementation 2015: Decentralised agencies of heading 4 – Global Europe

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Training Foundation (ETF)	15 02 12	20,331	20,331	20,143	19,945	0,198	20,143	19,945	0,198	0,188	0,188		
Total decentralised agencies – heading 4		20,331	20,331	20,143	19,945	0,198	20,143	19,945	0,198	0,188	0,188		

1.1.7.5 Budget implementation 2015: Decentralised agencies of heading 5 – Administration

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Translation Centre for the Bodies of the European Union (CdT)	31 01 10												
Total decentralised agencies – heading 5													

1.1.7.6 Budget implementation 2015: Decentralised agencies – Total

(in million EUR)

Name of the decentralised agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Total decentralised agencies		928,999	906,440	907,349	871,136	36,212	885,583	848,608	36,974	20,314	20,181	1,337	0,677

The budget implementation tables for decentralised agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (both EFTA credits and recovery of agency surpluses from previous years);
- Authorised appropriations 2015 minus commitments (or payments) made 2015 minus carry-over of assigned revenue to 2016 leads to appropriations cancelled (on the Commission side);
- The carry-over of assigned revenue to 2016 (stemming from the recovery of agency surpluses for the financial year 2014) has been taken into account when calculating the EU contribution for 2016 (see also overview table 1.1.5 and DB 2016 Working Document III).

All budget implementation 2015 data in this document are based on provisional accounting closure (April 2016).

1.1.8 Table decentralised agency staffing overview 2015

1.1.8.1 Staffing overview 2015: Decentralised agencies of heading 1a – Competitiveness for growth and jobs

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Chemicals Agency (ECHA) – Chemicals legislation	2006	431	421	98	92	13	7	542	520
European GNSS Agency (GSA)	2004	102	99	27	36	2	4	131	139
European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	1975	97	95	14	13	0	0	111	108
European Agency for Safety and Health at Work (EU-OSHA)	1994	42	40	24	24	0	0	66	64
European Aviation Safety Agency (EASA)	2002	679	679	102	83	16	16	797	778
European Maritime Safety Agency (EMSA)	2002	207	201	30	27	18	14	255	242
European Railway Agency (ERA)	2004	137	134	16	21	8	3	161	158
European Network and Information security Agency (ENISA)	2004	48	45	24	22	3	2	75	69
Body of European Regulators for Electronic Communications (BEREC) – Office	2009	15	14	6	8	6	4	27	26
European Banking Authority (EBA)	2010	120	118	17	29	29	13	166	160
European Insurance and Occupational Pensions Authority (EIOPA)	2010	90	86	35	32	24	19	149	137
European Securities and Markets Authority (ESMA)	2010	137	129	32	32	21	25	190	186
European Centre for Development of Vocational Training (CEDEFOP)	1975	96	94	25	25	4	4	125	123
Agency for the Cooperation of the Energy Regulators (ACER)	2009	54	54	15	20	8	4	77	78
Total decentralised agencies – heading 1a		2 255	2 209	465	464	152	115	2 872	2 788

1.1.8.2 Staffing overview 2015: Decentralised agencies of heading 2 – Sustainable growth: natural resources

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Environment Agency (EEA)	1990	133	128	66	61	20	18	219	207
European Chemicals Agency (ECHA) - Biocides activities	2012	47	40	10	10	2	1	59	51
European Chemicals Agency (ECHA) - PIC activities	2012	6	6	1	1	0	0	7	7
European Fisheries Control Agency (EFCA)	2005	52	52	5	5	4	7	61	64
Total decentralised agencies – heading 2		238	226	82	77	26	26	346	329

1.1.8.3 Staffing overview 2015: Decentralised agencies of heading 3 – Security and citizenship

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Centre for Disease Prevention and Control (ECDC)	2004	190	171	100	99	5	2	295	272
European Food Safety Authority (EFSA)	2002	337	327	110	103	20	16	467	446
European Medicines Agency (EMA)	1993	599	587	130	156	50	33	779	776
European Agency for the Management of Operational Cooperation at the External Borders (FRONTEX)	2004	227	149	87	79	78	80	392	308
Agency for the operational management of large scale IT systems in the area of freedom, security and justice (eu.LISA)	2011	120	117	8	12	6	6	134	135
European Asylum Support Office (EASO)	2010	89	61	23	21	12	11	124	93
European Police Office (EUROPOL)	1995	483	483	110	114	40	45	633	642
European Police College (CEPOL)	2005	27	26	10	9	10	9	47	44
European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)	1993	80	74	27	25	1	1	108	100
European Union Agency for Fundamental Rights (FRA)	2007	73	71	29	28	9	8	111	107
European Institute for Gender Equality (EIGE)	2006	29	28	9	10	6	3	44	41
European Union's Judicial Cooperation Unit (EUROJUST)	2002	205	200	15	23	35	23	255	246
Total decentralised agencies – heading 3		2 459	2 294	658	679	272	237	3 389	3 210

1.1.8.4 Staffing overview 2015: Decentralised agencies of heading 4 – Global Europe

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Training Foundation (ETF)	1990	92	90	39	39	1	0	132	129
Total decentralised agencies – heading 4		92	90	39	39	1	0	132	129

1.1.8.5 Staffing overview 2015: Decentralised agencies of heading 5 – Administration

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
Translation Centre for the Bodies of the European Union (CdT)	1994	200	195	24	23	0	0	224	218
Total decentralised agencies – heading 5		200	195	24	23	0	0	224	218

1.1.8.6 Staffing overview 2015: Self-financed decentralised agencies

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
Office for Harmonisation in the Internal Market (OHIM)	1993	836	731	66	54	71	63	973	848
Community Plant Variety Office (CPVO)	1994	46	45	0	0	0	0	46	45
Single Resolution Board (SRB)	2014	122	101	2	0	6	6,6	130	107,6
Total decentralised agencies		1 004	877	68	54	77	69,6	1 149	1 000,6

1.1.8.7 Staffing overview 2015: Decentralised agencies – Total

Name of the decentralised agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
Total decentralised agencies		6 248	5 891	1 336	1 336	528	447,6	8 112	7 674,6
<i>Total decentralised agencies (excl. fully self-financed agencies)</i>		<i>5 244</i>	<i>5 014</i>	<i>1 268</i>	<i>1 282</i>	<i>451</i>	<i>378,0</i>	<i>6 963</i>	<i>6 674,0</i>

1.2 European institute of innovation and technology (EIT)

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2017 for EIT, in text (section 1.2.1) and tables (section 1.2.2). Furthermore, this section presents a table on Commission budget implementation for EIT in 2015 (section 1.2.3), which is followed by a table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2015, section 1.2.4).

1.2.1 Budgetary and staffing highlights 2017: EIT

In line with the financial programming, the foreseen EU contribution to EIT increases from EUR 224,9 million in 2016 to EUR 300,4 million in the 2017 DB. The staffing level of EIT increases by two posts to 41 posts in 2017, which reflects the programmed increase of the staffing level by two FTE.

The EU contribution to the EIT relates largely to operational expenditure, principally through the Knowledge and Innovation Communities (KICs), set up to promote and integrate higher education, research and innovation of the highest standards. Under Horizon 2020, seven KICs will be operational as of 2016, focused on sustainable energy (KIC InnoEnergy), climate change mitigation and adaptation (Climate KIC), information and communication technologies (EIT Digital), healthy living and active ageing (EIT Health), sustainable exploration, extraction, processing, recycling and substitution (EIT Raw Materials), Food4Future – sustainable supply chain from resources to consumers (EIT Food) and added-value manufacturing (EIT Manufacturing).

As presented in more detail in the individual budgetary financial statement (section 2.2.2), the total staffing of EIT foreseen for 2017 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2016	2017	2016	2017	2016	2017	2016	2017
39	41	22	22	2	2	63	65

1.2.2 EIT overview table 2017 / 2016

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Location	Year of creation	Budget		Draft budget (DB)			Variation
				2016 ⁽¹⁾		2017			
				Total revenues of the EIT	Of which EU contribution	Revenues estimated by the EIT	Of which EIT contribution request	EU contribution (DB 2017)	EU contribution 2017 / 2016
European Institute of Innovation and Technology - integrating the knowledge triangle of higher education, research and innovation	15 03 05	Budapest	2008	285,032	224,939	322,379	300,427	300,427	33,6%
<i>Authorised establishment plan</i>				39	39	41	41	41	2

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

1.2.3 Table Commission budget implementation for EIT 2015

(in million EUR)

European Institute of Innovation and Technology (EIT)	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry-over of assigned revenue to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Institute of Innovation and Technology (EIT)	15 03 05	266,909	227,018	266,909	253,782	13,127	227,018	214,649	12,368				
European Institute of Innovation and Technology (EIT) Completion line	15 03 53	2,727	2,727							2,727	2,727		
Total EIT		269,636	229,745	266,909	253,782	13,127	227,018	214,649	12,368	2,727	2,727		

1.2.4 Table EIT staffing overview 2015

European Institute of Innovation and Technology	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Institute of Innovation and Technology	2008	39	30	20	19	1	1	60	50
Total EIT		39	30	20	19	1	1	60	50

1.3 Executive agencies

This section on 'main budgetary trends' starts with a historical table (section 1.3.1) showing the evolution, over the period 2004 – 2015, of executive agency contributions and staff. The historical table is followed by the budgetary and staffing highlights for 2017 for the current six executive agencies, in text (section 1.3.2), tables (section 1.3.3) and graphs (section 1.3.4). Furthermore, this section presents an overview table on Commission budget implementation for executive agencies in 2015 (section 1.3.5), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2015, section 1.3.6) and tables on 'freed' and 'frozen' posts (section 1.3.7).

1.3.1 *Historical table 2004 – 2015*

Table 1.3.1 below shows the historical evolution of the executive agencies over the period 2004 – 2015, in terms of EU contribution to and authorised staff levels of the agencies. This historical table should be seen as a complement to the overview table 1.3.3 below, which shows the EU contribution and authorised / requested staff levels for the years 2016 and 2017.

Name of the executive agency	Operating Budget line	Location	Year of creation	EU contribution / Staffing											
				2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
Executive Agency for Small and Medium-sized Enterprises (EASME)		Brussels	2004												
Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)	02 01 06 01						3,082	6,936	7,319	7,342	7,544	7,583	7,583	7,025	8,154
Contribution from Connecting Europe Facility (CEF)	06 01 06 02						0,870	1,353	1,405	1,425	1,555	1,555	1,555		
Contribution from LIFE	07 01 06 01													3,476	5,609
Contribution from Horizon 2020	08 01 06 03			2,770	5,133	5,064	5,737	6,684	6,676	6,633	6,601	6,542	6,542	19,055	21,056
Contribution from European Maritime and Fisheries Fund (EMFF)	11 01 06 01													0,783	1,906
Total EU contribution EASME				2,770	5,133	5,064	9,689	14,973	15,400	15,400	15,700	15,680	15,680	30,339	36,726
<i>Total staffing EASME</i>				<i>33</i>	<i>46</i>	<i>46</i>	<i>112</i>	<i>144</i>	<i>147</i>	<i>152</i>	<i>156</i>	<i>158</i>	<i>159</i>	<i>308</i>	<i>394</i>
Education, Audiovisual and Culture Executive Agency (EACEA)		Brussels	2005												
Contribution from Erasmus+	15 01 06 01				18,540	17,880	17,925	19,982	19,766	21,199	21,444	21,444	21,395	25,897	26,312
Contribution from Erasmus+	15 01 06 01				0,650	0,650	0,400	0,520	0,640	0,597	0,600	0,600	0,263		
Contribution from Creative Europe	15 01 06 02					8,200	10,126	9,327	9,891	15,839	15,644	15,572	16,030	12,192	12,164
Contribution from the programme Europe for Citizens	18 01 06 01				5,330	7,250	9,073	8,250	8,860	3,370	3,370	3,370	3,370	2,191	2,203
Contribution from the Partnership Instrument	19 01 06 01				0,140	0,184	0,240	2,885	3,794	4,320	4,621	4,579	5,825	0,274	0,163
Contribution from Development Cooperation Instruments (DCIs)	21 01 06 01													2,296	2,035
Contribution from the Instrument for Pre-accession Assistance	22 01 06 01							0,966	1,410	1,343	1,219	1,133	1,129	1,050	0,885
Contribution from European Neighbourhood Instrument (ENI)	22 01 06 02													3,113	2,956
Contribution from EU Aid Volunteers initiative	23 01 06 01													0,529	0,897
Total EU contribution EACEA					24,660	34,164	37,764	41,930	44,361	46,668	46,898	46,698	48,012	47,542	47,615
<i>Total staffing EACEA</i>					<i>296</i>	<i>296</i>	<i>334</i>	<i>406</i>	<i>407</i>	<i>412</i>	<i>416</i>	<i>416</i>	<i>431</i>	<i>436</i>	<i>441</i>
Consumer, Health, Agriculture and Food Executive Agency (CHAFEA)		Luxembourg	2005												
Contribution from the agricultural promotion programme	05 01 06 01														0,166
Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)	17 01 06 02				4,756	5,800	5,800	4,100	5,620	6,000	5,800	5,900	5,900	4,209	4,209
Contribution in the field of food and feed safety, animal health, animal welfare and plant health	17 01 06 03								1,100	1,100	1,100	1,170	1,170	1,170	1,170
Contribution from the Consumer programme	33 01 06 01													1,691	1,691
Total EU contribution CHAFEA					4,756	5,800	5,800	4,100	6,720	7,100	6,900	7,070	7,070	7,070	7,236
<i>Total staffing CHAFEA</i>					<i>24</i>	<i>37</i>	<i>37</i>	<i>37</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>50</i>	<i>51</i>
Innovation and Networks Executive Agency (INEA)		Brussels	2006												
Contribution from Connecting Europe Facility (CEF)	06 01 06 01					6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805	12,260	14,413
Contribution from the Cohesion Fund	06 01 06 03														1,396
Contribution from Horizon 2020	08 01 06 04													1,916	3,969

Name of the executive agency	Operating Budget line	Location	Year of creation	EU contribution / Staffing													
				2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015		
Total EU contribution INEA						6,715	8,617	10,213	9,794	9,794	9,900	9,805	9,805	14,176	19,778		
<i>Total staffing INEA</i>						42	44	99	99	99	99	99	100	162	197		
European Research Council Executive Agency (ERCEA)	08 01 06 01	Brussels	2007					19,994	32,410	32,110	35,115	39,000	39,000	39,415	37,573		
Total EU contribution ERCEA								19,994	32,410	32,110	35,115	39,000	39,000	39,415	37,573		
<i>Total staffing ERCEA</i>								220	300	330	360	389	389	389	413		
Research Executive Agency (REA)	08 01 06 02	Brussels	2007					14,601	31,032	31,993	37,602	47,339	49,300	56,369	57,579		
Total EU contribution REA								14,601	31,032	31,993	37,602	47,339	49,300	56,369	57,579		
<i>Total staffing REA</i>								274	349	424	468	513	558	580	630		
Total EU contribution Executive Agencies						2,770	34,549	51,743	61,870	105,811	139,717	143,065	152,115	165,592	168,867	194,911	206,506
<i>Total staffing Executive Agencies</i>						33	366	421	527	1 180	1 352	1 467	1 549	1 625	1 687	1 925	2 126

1.3.2 Budgetary and staffing highlights 2017: executive agencies

In 2017, the Commission will maintain intensive use of executive agencies in the management of the 2014-2020 spending programmes, by delegating implementing tasks to executive agencies when they can bring higher efficiency and effectiveness as compared to 'in-house' management in the Commission. The staffing and subsidy levels foreseen for the agencies in the 2017 DB are in line with the Commission's 'delegation package'³³ for the 2014-2020 period, taking account of the additional delegation of activities to CHAFEA³⁴ and EASME³⁵ as from 2015 and to EACEA³⁶ and REA³⁷ as from 2016. No additional delegations are foreseen for 2017.

The necessary additional staff increase in the agencies has been compensated by an equivalent reduction of human resources in the Commission. The 2017 DB also takes account of the impact of the EFSI on the envelopes of operational appropriations to be managed by the agencies. As a consequence, the proposed number of staff in the executive agencies increases to 2 359 FTE in 2017 (+ 77³⁸ FTE, of which 19 temporary agents and 58 contract agents), and the total EU contribution amounts to EUR 233,8 million (an increase of EUR 11,7 million, or 5,3 %). Overall, the total increase is 22 FTE below the total number initially foreseen (including the staff needed for additional tasks), because of the lower level of operational appropriations to be managed in 2017, which is mostly due to the EFSI impact. More details on the revised staffing levels by agency are shown in the table below:

EFSI impact on staffing levels in executive agencies	Initially foreseen staffing levels for 2017 (as per 'delegation package')		Revised staffing levels as requested in DB 2017	
	Establishment plan posts	Contract agents (FTE)	Establishment plan posts	Contract agents (FTE)
EASME	109	328	110	337
EACEA	110	331	110	332
CHAFEA	17	49	17	48
INEA	71	214	68	199
ERCEA	118	350	118	350
REA	171	513	167	503
Total	596	1 785	590	1 769

The increase in the staffing levels and related administrative expenditure in the executive agencies in 2017 linked to the delegation of tasks is compensated by a further reduction of 64 FTE in the Commission (of which 40 freed» and 2

³³ The Commission described the proposed scenario for the delegation of certain parts of 2014-2020 spending programmes to the executive agencies, and the corresponding budgetary and human resources impact (including to take into account the application of the 5 % staff reduction target in the executive agencies), in an information note which was sent to the European Parliament and the Council on 4 October 2013. Further to the agreement on the delegation reached in November 2013, the six executive agencies have been re-established through Commission implementing Decisions in December 2013, as follows: EASME (OJ L 341, 18.12.2013); CHAFEA (OJ L 341, 18.12.2013); EACEA (OJ L 343, 19.12.2013); REA (OJ L 346, 20.12.2013); ERCEA (OJ L 346, 20.12.2013); and INEA (OJ L 352, 24.12.2013).

³⁴ COM 2014/927/EU of 17.12.2014, Extension of CHAFEA mandate by the Agricultural Promotion programme and transforming the 'Consumers, Health and Food Executive Agency' into the 'Consumers, Health, Agriculture and Food Executive Agency'.

³⁵ C(2014)6944 of 2.10.2014, amending Decision (2013)9414 adding the 'Fast Track to Innovation pilot scheme to the EASME mandate.

³⁶ C(2016)401 Commission Decision amending Commission Decision C(2013)9189 delegating powers to the Education, Audiovisual and Culture Executive Agency with a view to performance of tasks linked to the implementation of Union Programmes in the field of education, audiovisual and culture, comprising, in particular, implementation of appropriations entered in the general budget of the Union and of the EDF allocations. This relates to DG DEVCO delegating to EACEA the implementation of the Intra-ACP Academic Mobility Scheme, financed under the Pan Africa programme (Development and Cooperation Instrument).

³⁷ C(2015)8754 of 11.12.2015, Commission Decision amending Decision C(2013)9418 on delegating powers to the Research Executive Agency with a view to performance of tasks linked to the implementation of Union programmes in the field of research and innovation comprising, in particular, implementation of appropriations entered in the general budget of the Union. This relates to the use of REA IT-services by DG HOME, DG JUST and DG AGRI.

³⁸ Following the agreement on the financing of the EFSI reached after the finalisation of the draft budget 2016, the Commission allowed REA to recruit 5 additional contract agents in 2016 within the 2016 authorised appropriations. These 5 FTE were not included in the number of staff authorised for REA in 2016. Hence, the real increase is 72 FTE (77 minus 5).

‘frozen’ posts³⁹ in the establishment plans, as well as 22 contract agents), on top of the reduction to achieve the 5 % staff reduction target. The total number of ‘freed’ and ‘frozen’ posts is shown in the table below:

‘Freed’ and ‘frozen’ posts: ensuring budgetary neutrality	Total number of freed and frozen posts in 2016		Total number of freed and frozen posts in 2017	
	Establishment plan posts	Contract agents (FTE)	Establishment plan posts	Contract agents (FTE)
Total ‘freed’	104	40	40	22
Total ‘frozen’	7	-	2	-
Total	111	40	42	22

The reduction of the corresponding Commission administrative expenditure (EUR 7,0 million, calculated on a full year basis) more than offsets the increase for the executive agencies, leading to net savings with the delegation of tasks to executive agencies. The table below shows the way in which the increases in expenditure to cover the running costs of the executive agencies will be offset through a compensating reduction in staff expenditure at the Commission:

Offsetting of expenditure related to delegation to executive agencies	Number of FTE	Value (in EUR million) ⁴⁰
Additional staff in executive agencies in the 2017 draft budget		
Additional establishment plan posts	+ 19	+ 2,49
Additional contract agents and seconded national experts	+ 58	+ 4,31
Total additional full-time equivalents (FTE)	+ 77	+ 6,80
Compensating reduction of staff expenditure in the Commission		
Compensating reduction of establishment plan posts (‘freed’ and ‘frozen’)	- 42	- 5,50
Compensating reduction of contract agents and seconded national experts (‘freed’)	- 22	- 1,54
Total compensating reduction of full-time equivalents (FTE)	- 64	- 7,04
Difference: net savings due to delegation to executive agencies		- 0,24

Section 1.3.3 presents an overview for the executive agencies, both for the EU contribution to the agencies from operational programmes managed, and for the establishment plans and external personnel.

As presented in more detail in the individual budgetary financial statements (section 2.2.3), the total staffing of the executive agencies foreseen for 2017 increase as compared to the level of 2016, as follows:

Authorised establishment plan (posts)		Contract Agents		Seconded National Experts		Total Staff (FTE)	
2016	2017	2016	2017	2016	2017	2016	2017
571	590	1 695	1 753	16	16	2 282	2 359

Of the 590 posts included in the executive agency establishment plans for 2017, a total of 160 will be occupied by officials seconded from the Commission, as shown in more detail in section 1.3.7 below.

³⁹ Tasks transferred from Commission services to executive agencies lead to ‘freed’ posts in the Commission, which have been deleted from the Commission establishment plans in order to guarantee the budgetary neutrality of the delegation exercise. The posts of Commission officials seconded to executive agencies remain vacant in the Commission (i.e. ‘frozen’) during their secondment. This generates a corresponding reduction of administrative appropriations in the Commission budget.

⁴⁰ This calculation uses the same costs levels as in the Information note to the Committee for Executive Agencies on the delegation of the management of the 2014-2020 programmes to executive agencies (annex 3 ‘Overall compensation mechanism demonstrating budget neutrality’).

1.3.3 Executive agency overview table 2017 / 2016

(in million EUR)

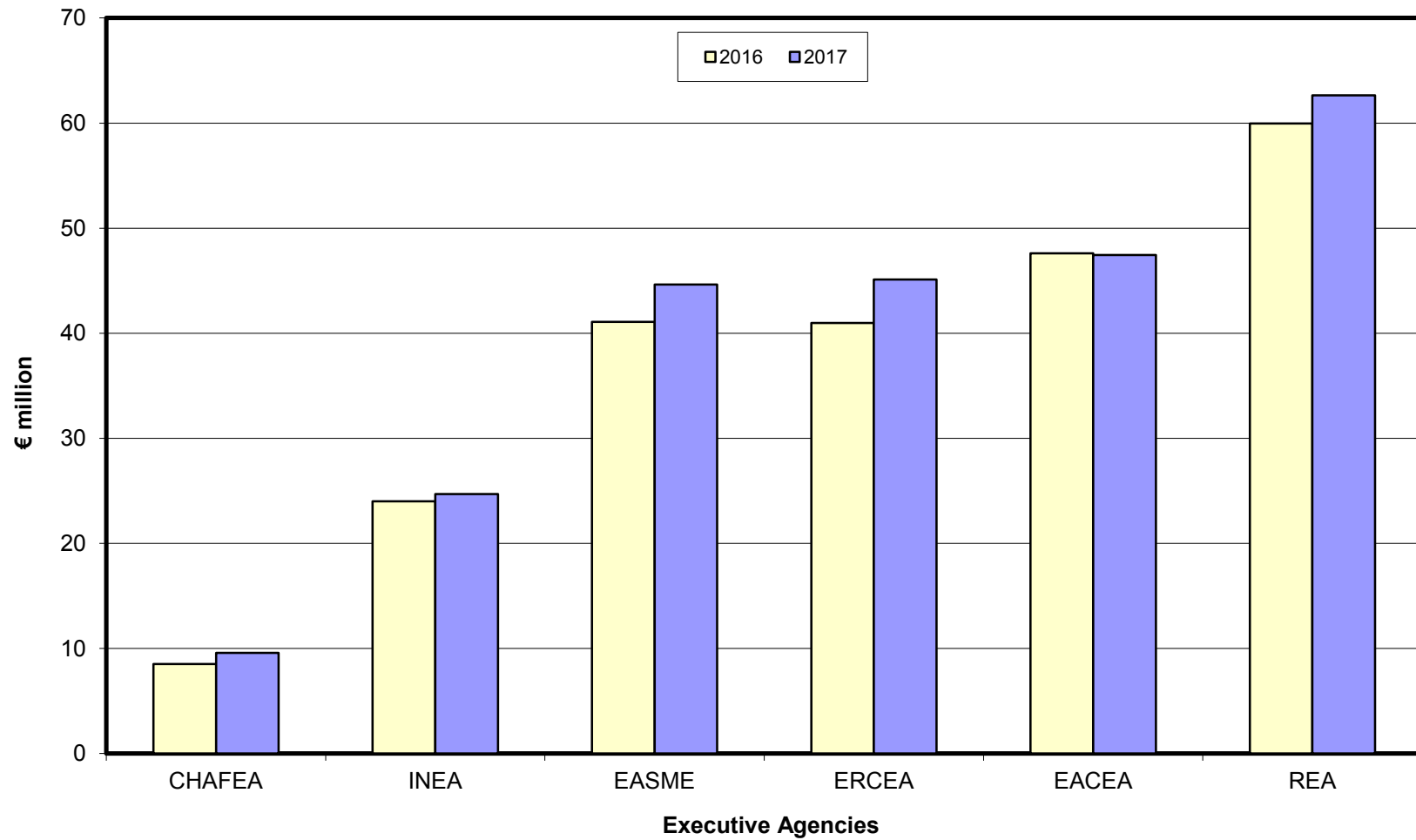
Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in % DB 2017 / 2016	Staffing of the executive agency								
				Budget 2016 ⁽¹⁾	DB 2017		Authorised establishment plan		Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾		
				Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017		
Executive Agency for Small and Medium-sized Enterprises (EASME)		Brussels	2004												
Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)	02 01 06 01			8,786	9,024	2,7%									
Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE	07 01 06 01			4,472	5,286	18,2%									
Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020	08 01 06 03			24,877	27,390	10,1%									
Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)	11 01 06 01			2,948	2,948	0,0%									
Total EASME				41,083	44,648	8,7%	108	110	329	337	0	0	437	447	
Executive Agency for Education, Audiovisual and Culture (EACEA)		Brussels	2005												
Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+	15 01 06 01			26,112	25,615	-1,9%									
Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe	15 01 06 02			12,199	12,176	-0,2%									
Education, Audiovisual and Culture Executive Agency — Contribution from the programme 'Europe for citizens'	18 01 06 01			2,203	2,203	0,0%									
Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument	19 01 06 01			0,230	0,194	-15,7%									
Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)	21 01 06 01			2,332	2,650	13,6%									
Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance	22 01 06 01			0,820	0,789	-3,8%									
Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)	22 01 06 02			2,550	2,415	-5,3%									
Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative	23 01 06 01			0,989	1,039	5,1%									
Total EACEA				47,435	47,081	-0,7%	110	110	332	332	0	0	442	442	

Name of the executive agency	Operating budget line	Location	Year of creation	EU contribution to the Agency's operating budget		Variation in % DB 2017 / 2016	Staffing of the executive agency							
				Budget 2016 ⁽¹⁾	DB 2017		Authorised establishment plan		Contract agents ⁽²⁾		Seconded national experts ⁽²⁾		Total staff ⁽²⁾	
						Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	Budget 2016 ⁽¹⁾	DB 2017	
Consumers, Health and Food Executive Agency (CHAFFEA)		Luxemburg	2005											
Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme	05 01 06 01			1,448	2,500	72,6%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'	17 01 06 02			4,209	4,209	0,0%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health	17 01 06 03			1,170	1,170	0,0%								
Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme	33 01 06 01			1,691	1,691	0,0%								
Total CHAFFEA				8,518	9,570	12,3%	15	17	45	48	0	0	60	65
Innovation and Networks Executive Agency (INEA)		Brussels	2006											
Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)	06 01 06 01			15,010	14,252	-5,1%								
Innovation and Networks Executive Agency — Contribution from the Cohesion Fund	06 01 06 03			4,153	5,101	22,8%								
Innovation and Networks Executive Agency — Contribution from Horizon 2020	08 01 06 04			4,849	5,352	10,4%								
Total INEA				24,013	24,704	2,9%	63	68	184	199	0	0	247	267
European Research Council Executive Agency (ERCEA)		Brussels	2007											
European Research Council Executive Agency — contribution from Horizon 2020	08 01 06 01			40,981	45,122	10,1%								
Total ERCEA				40,981	45,122	10,1%	112	118	319	334	16	16	447	468
Research Executive Agency (REA)		Brussels	2007											
Research Executive Agency — Contribution from Horizon 2020	08 01 06 02			59,972	62,627	4,4%								
Total REA				59,972	62,627	4,4%	163	167	486	503	0	0	649	670
Total executive agencies				222,003	233,752	5,3%	571	590	1 695	1 753	16	16	2 282	2 359

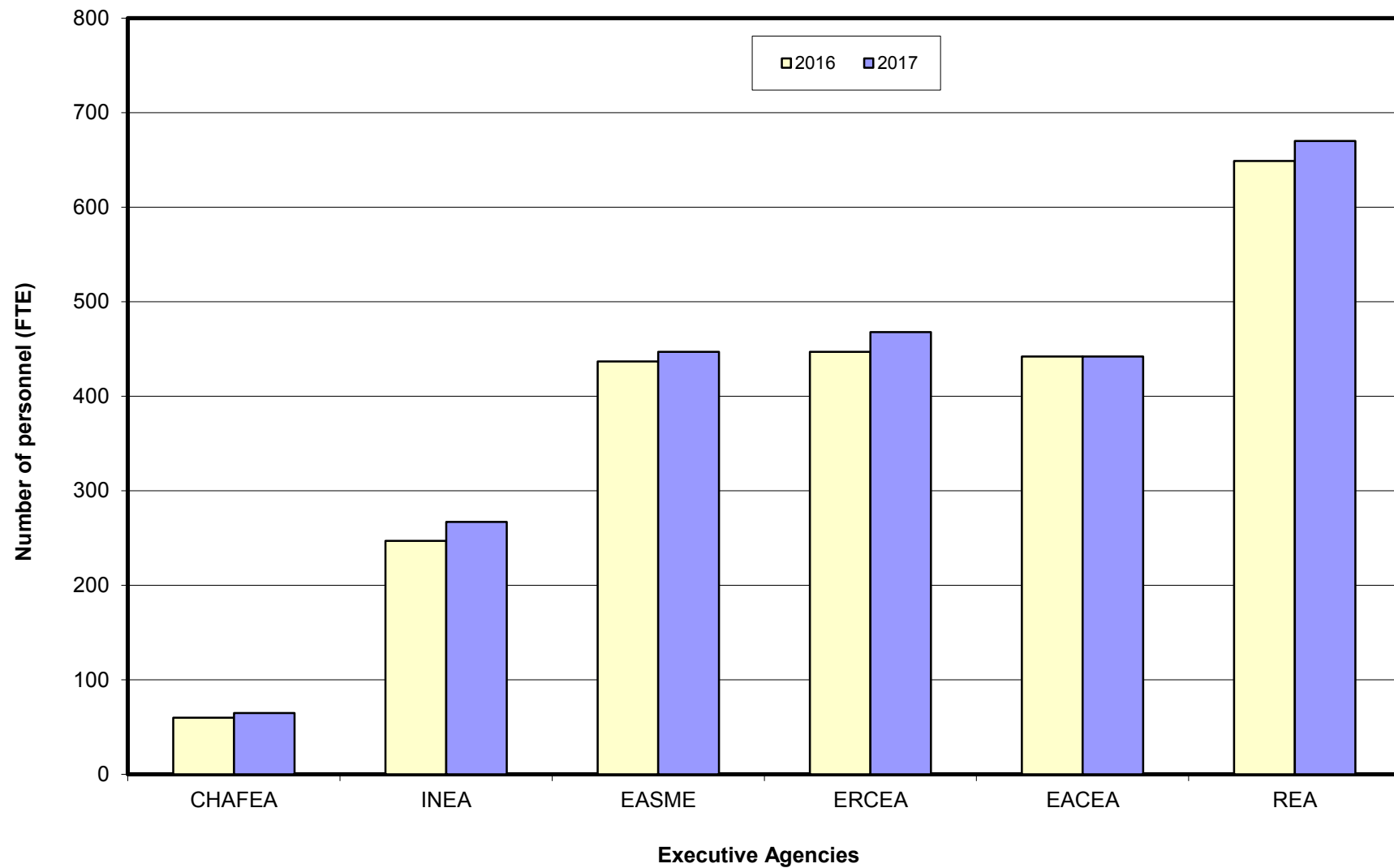
(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

(2) Estimate (full-time equivalents), on the basis of average costs.

EU BUDGET CONTRIBUTION to EXECUTIVE AGENCIES



NUMBER of PERSONNEL (FTE) in EXECUTIVE AGENCIES



1.3.5 Table Commission budget implementation for executive agencies 2015

(in million EUR)

Name of the executive agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry over to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Executive Agency for Small and Medium-sized Enterprises (EASME)													
Contribution from Competitiveness of enterprises and small and medium-sized enterprises (Cosme)	02 01 06 01	8,112	8,112	8,048	8,041	0,007	8,048	8,041	0,007			0,064	0,064
Contribution from Connecting Europe Facility (CEF)	06 01 06 02												
Contribution from LIFE	07 01 06 01	5,609	5,609	5,609	5,609		5,609	5,609					
Contribution from Horizon 2020	08 01 06 03	20,914	20,914	20,826	20,207	0,619	20,826	20,207	0,619			0,088	0,088
Contribution from European Maritime and Fisheries Fund (EMMF)	11 01 06 01	1,906	1,906	1,906	1,906		1,906	1,906					
Total EASME		36,541	36,541	36,388	35,763	0,626	36,388	35,763	0,626			0,153	0,153
Innovation and Networks Executive Agency (INEA)													
Contribution from Connecting Europe Facility (CEF)	06 01 06 01	13,373	13,373	13,373	13,351	0,022	13,373	13,351	0,022				
Contribution from the Cohesion Fund	06 01 06 03	1,214	1,214	1,214	1,214		1,214	1,214					
Contribution from Horizon 2020	08 01 06 04	3,789	3,789	3,789	3,673	0,117	3,789	3,673	0,117				
Total INEA		18,376	18,376	18,376	18,238	0,138	18,376	18,238	0,138				
European Research Council Executive Agency (ERCEA)													
European Research Council Executive Agency (ERCEA) Contribution from Horizon 2020	08 01 06 01	38,677	38,677	38,677	37,573	1,105	38,677	37,573	1,105				
Total ERCEA		38,677	38,677	38,677	37,573	1,105	38,677	37,573	1,105				
Research Executive Agency(REA)													
Research Executive Agency(REA) Contribution from Horizon 2020	08 01 06 02	54,456	54,456	54,456	52,763	1,693	54,456	52,763	1,693				
Total REA		54,456	54,456	54,456	52,763	1,693	54,456	52,763	1,693				

Name of the executive agency	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry over to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Education, Audiovisual and Culture Executive Agency (EACEA)													
Contribution from Erasmus+	15 01 06 01	27,866	27,866	25,767	23,030	2,738	25,767	23,030	2,738	2,099	2,099		
Contribution from Creative Europe	15 01 06 02	12,500	12,500	12,244	11,730	0,514	12,244	11,730	0,514			0,256	0,256
Contribution from Europe for Citizens	16 01 06 01	2,192	2,192	2,067	1,914	0,153	2,067	1,914	0,153			0,125	0,125
Contribution from the Partnership Instrument (PI)	19 01 06 01	0,163	0,163	0,163	0,163		0,153	0,153					0,010
Contribution from Development Cooperation Instruments (DCI)	21 01 06 01	1,967	1,967	1,967	1,907	0,060	1,919	1,859	0,060				0,048
Contribution from European Neighbourhood Instrument (ENI)	21 01 06 02	2,858	2,858	2,858	2,770	0,088	2,787	2,700	0,088				0,070
Contribution from Pre-accession Assistance programme	22 01 06 01	0,894	0,970	0,844	0,818	0,026	0,832	0,806	0,026			0,050	0,138
Contribution from European Voluntary Humanitarian Aid Corps EU Aid Volunteers (EUAV)	23 01 06 01	0,878	0,878	0,878	0,878		0,878	0,878					
Total EACEA		49,318	49,394	46,788	43,208	3,580	46,648	43,068	3,580	2,099	2,099	0,431	0,647
Consumer, Health and Food Executive Agency													
Contribution for Agriculture	05 01 06 01	0,166	0,166	0,166	0,166		0,166	0,166					
Contribution from Consumer programme	17 01 06 01	1,741	1,741	1,741	1,691	0,050	1,741	1,691	0,050				
Contribution from Health for Growth programme	17 01 06 02	4,333	4,333	4,333	4,209	0,124	4,333	4,209	0,124				
Contribution in the field of Food and feed safety, animal health, animal welfare and Plant health	17 01 06 03	1,170	1,170	1,170	1,170		1,170	1,170					
Total CHAFEA		7,409	7,409	7,409	7,236	0,173	7,409	7,236	0,173				
Total executive agencies		204,779	204,854	202,095	194,781	7,315	201,955	194,640	7,315	2,099	2,099	0,584	0,800

The budget implementation tables for executive agencies should be read as follows:

- Authorised appropriations include voted budget appropriations as well as assigned revenues (EFTA, candidate country and third country appropriations);
- Authorised appropriations 2015 minus commitments (or payments) made 2015 minus carry-over of assigned revenue (stemming from candidate countries and third countries) and automatic carry-over to 2016 leads to appropriations cancelled (on the Commission side).

All budget implementation 2015 data in this document are based on provisional accounting closure (April 2016).

1.3.6 Table executive agency staffing overview 2015

Name of the executive agency	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
EACEA	2005	110	105	331	314	0	0	441	419
EASME	2004	97	87	297	286	0	0	394	373
CHAFEA	2005	13	12	38	37	0	0	51	49
INEA	2006	59	56	138	130	0	0	197	186
ERCEA	2007	108	108	290	291	15	11	413	410
REA	2007	157	154	473	464	0	0	630	618
Total executive agencies		544	522	1 567	1 522	15	11	2 126	2 055

1.3.7 Table executive agency frozen posts

As explained in the section 2.3.3 of the Introduction, the creation of executive agencies leads to so-called 'freed' and 'frozen' posts.

The 2017 DB contains 160 posts frozen in the Commission establishment plan (Budget 2016: 159 frozen posts), due to the secondment of Commission officials to executive agencies.

More details on frozen posts by executive agency are presented in the tables below, and in the individual budgetary financial statements (Part I section 2.2.4).

Table of posts frozen in the Commission establishment plan

	Executive Agency	Budget 2016	DB 2017
Operating budget	EASME	14	14
	EACEA	34	34
	CHAFEA	8	8
	INEA	14	13
	Total - Operating Budget	70 ⁽²⁾	69 ⁽¹⁾
Research budget	ERCEA	20	20
	REA	40	42
	EASME	19	19
	INEA	10	10
	Total - Research Budget	89	91
	Total for all Executive Agencies	159	160
⁽¹⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2017 DB – Budget financial statement XX 01 01 01 01).			
⁽²⁾ Giving rise to a reduction of corresponding budget appropriations under Heading 5 (see 'Abatement agences executives' in Working Document II accompanying the 2016 DB – Budget financial statement XX 01 01 01 01).			

2 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

2.1 Content of the budgetary financial statements

2.1.1 Decentralised agencies

The individual budgetary financial statements include, by agency, information on the set-up of the agency, the EU contribution to and the budget of the agency (revenue and expenditure), the estimated surplus of 2015, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.2 European Institute of Innovation and Technology (EIT)

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statement for EIT includes information on the set-up of EIT, the EU contribution to and the budget of EIT (revenue and expenditure), the estimated surplus of 2015, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

2.1.3 Executive agencies

The individual budgetary financial statements for executive agencies include information on the set-up of the agency (including possible extensions of the mandate), the operational programmes managed by the agency, the EU contribution to and the operating budget of the agency (revenue and expenditure), the estimated surplus of 2015, the establishment plan, contr agents and Seconded National Experts, freed and frozen posts, buildings, and evaluations.

All budget implementation 2015 data in this document are based on provisional accounting closure (April 2016).

2.2 Individual budgetary financial statements

2.2.1 Decentralised Agencies

2.2.1.1 Decentralised Agencies of heading 1A - Competitiveness for growth and jobs

2.2.1.1.1 European Chemicals Agency - ECHA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Regulation (EC) No. 1907/2006 of 18 December 2006, concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency</p> <p>Regulation (EC) No. 1272/2008 of 16 December 2008 on classification, labelling and packaging of substances and mixtures</p>	<p>18/12/2006</p> <p>16/12/2008</p>	<p>-Manage and carry out technical, scientific and administrative aspects of REACH and CLP Regulations</p> <p>-These REACH and CLP processes are designed to ensure a high level of protection of human health and the environment, including the promotion of alternative methods for assessment of hazards of substances, as well as the free circulation of substances on the internal market while enhancing competitiveness and innovation</p> <p>-Provide the Member States and the institutions of the Community with the best possible scientific and technical advice on questions relating to chemicals which fall under REACH or CLP</p> <p>-Manage IT based guidance documents, tools and data bases</p> <p>-Support national helpdesk and run a helpdesk for registrants</p> <p>-Make information on chemicals publicly accessible</p>
<p>Regulation (EU) No 528/2012 of the European Parliament and the Council of 22 May 2012 concerning the making available on the market and use of biocidal products</p>	<p>22/05/2012</p>	<p>Manage and carry out technical, scientific, and administrative aspects of the Biocidal Products Regulation</p> <p>The purpose of the Biocides Regulation is to improve the functioning of the internal market through the harmonisation of the rules on the placing on the market and use of biocidal products, whilst ensuring a high level of protection of both human and animal health and the environment. The provisions of the Regulation are underpinned by the precautionary principle, the aim of which is to safeguard the health of humans, animals and the environment.</p> <p>Establish and maintain the Register for Biocidal Products</p> <p>Coordinate and manage the processing and evaluation of the applications covered by the Regulation (including active substance approval, Union authorisation, data sharing, technical equivalence, alternative suppliers)</p> <p>Provide guidance, support national helpdesks and assist and advise application (through the ECHA Helpdesk)</p> <p>Make information on biocides publicly accessible.</p>
<p>Regulation of the European Parliament and of the Council of 4 July 2012 concerning the export and import of hazardous chemicals</p>	<p>04/07/2012</p>	<p>Manage and carry out technical, scientific, and administrative aspects related to export and import of dangerous chemicals under the PIC Regulation</p> <p>The objectives of the PIC Regulation are to implement the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, and to promote shared responsibility and cooperative efforts in the international movement of hazardous chemicals in order to protect human health and the environment from potential harm. Through its provisions it contributes to</p>

Decision	Date	Mission/ Tasks / Functions
		<p>the environmentally sound use of hazardous chemicals.</p> <p>Manage the tasks related to and the cooperation with Member States on export notifications and explicit import consents</p> <p>Manage guidance documents and IT tools</p> <p>Make information publicly available</p>
Remark		<p>The Agency, established on 1 June 2007, will manage the registration, evaluation, authorisation and restriction processes for chemical substances as well the classification and labelling of substances and mixtures to ensure consistency across the European Union. These REACH processes are designed to provide additional information on chemicals, to ensure their safe use, and to ensure competitiveness of the European industry.</p> <p>In its decision-making the Agency will take the best available scientific and technical data and socio-economic information into account. It will also provide information on chemicals and technical and scientific advice.</p> <p>Under the Biocidal Products Regulation, adopted in 2012, ECHA is responsible for specific tasks with regard to applications for active substance approval and Union authorisation and other related tasks such as data sharing inquiries. The Biocidal products Committee has been established within the Agency to provide opinions to the Commission on scientific and technical matters relating to applications under the Regulation.</p> <p>The recast PIC Regulation, adopted in 2012, further adds to the remit of the Agency, and complements it with scientific, technical, and administrative tasks related to export and import of dangerous chemicals.</p>

1.2 Seat

Helsinki, Finland

1.3 Budget Line

02 03 03 : European Chemicals Agency — Chemicals legislation

07 02 05 : European Chemicals Agency — Activities in the field of legislation on import and export of dangerous chemicals

17 04 07 : European Chemicals Agency — Activities in the field of biocides legislation

1.4 Human Resources overview

1.4.1 Human Resources Overview ECHA

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	348	336	336	337
Establishment plan posts : AST	136	131	129	123
Establishment plan posts : AST/SC				
Total Establishment plan posts	484	467	465	460
Contract Agents	109	103	110	116
Seconded National Experts	15	8	16	16
TOTAL STAFF	608	578	591	592

1.4.2 Human Resources Overview (REACH / CLP)

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	309	304	303	301
Establishment plan posts : AST	122	117	117	109
Establishment plan posts : AST/SC				
Total Establishment plan posts	431	421	420	410
Contract Agents	98	92	100	100
Seconded National Experts	13	7	15	15
TOTAL STAFF	542	520	535	525

1.4.3 Human Resources Overview (BIOCIDES)

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	38	31	32	35
Establishment plan posts : AST	9	9	7	9
Establishment plan posts : AST/SC				
Total Establishment plan posts	47	40	39	44
Contract Agents	10	10	9	15
Seconded National Experts	2	1	1	1
TOTAL STAFF	59	51	49	60

1.4.4 Human Resources Overview (PIC)

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	1	1	1	1
Establishment plan posts : AST	5	5	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	6	6	6	6
Contract Agents	1	1	1	1
Seconded National Experts				
TOTAL STAFF	7	7	7	7

1.5 Financial Resources overview

1.5.1 Financial Resources Overview ECHA

1.5.1.1 Revenues Overview ECHA

1.5.1.1.1 General revenues ECHA

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	71 612 000	75 172 500
Other Revenue	35 755 309	34 050 890
TOTAL REVENUES	107 367 309	109 223 390

1.5.1.1.2 Additional EU funding: ad hoc grants and delegation agreements ECHA

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.1.2 Expenditure Overview ECHA

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	66 894 409	66 894 409	67 675 190	67 675 190
Title 2 - Infrastructure and operating expenditure	15 323 600	15 323 600	15 428 770	15 428 770
Title 3 - Operational expenditure	24 226 600	23 786 900	22 700 250	23 399 090
Title 4 - Operational expenditure	1 070 200	1 070 200	2 366 650	2 366 650
Title 5 - Operational expenditure	292 200	292 200	353 690	353 690
Title 9 - Reserve				
TOTAL EXPENDITURE	107 807 009	107 367 309	108 524 550	109 223 390

1.5.2 Financial Resources Overview (REACH / CLP)

1.5.2.1 Revenues Overview (REACH / CLP)

1.5.2.1.1 General revenues (REACH / CLP)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	66 811 000	69 489 500
Other Revenue	31 540 309	28 192 890
TOTAL REVENUES	98 351 309	97 682 390

1.5.2.1.2 Additional EU funding: ad hoc grants and delegation agreements (REACH / CLP)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2.2 Expenditure Overview (REACH / CLP)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	60 186 009	60 186 009	59 985 620	59 985 620

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	14 378 400	14 378 400	14 297 680	14 297 680
Title 3 - Operational expenditure	24 226 600	23 786 900	22 700 250	23 399 090
Title 9 - Reserve				
TOTAL EXPENDITURE	98 791 009	98 351 309	96 983 550	97 682 390

1.5.3 Financial Resources Overview (BIOCIDES)

1.5.3.1 Revenues Overview (BIOCIDES)

1.5.3.1.1 General revenues (BIOCIDES)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	3 650 000	4 500 000
Other Revenue	4 215 000	5 858 000
TOTAL REVENUES	7 865 000	10 358 000

1.5.3.1.2 Additional EU funding: ad hoc grants and delegation agreements (BIOCIDES)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (BIOCIDES)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 004 600	6 004 600	7 017 730	7 017 730
Title 2 - Infrastructure and operating expenditure	790 200	790 200	973 620	973 620
Title 4 - Operational expenditure	1 070 200	1 070 200	2 366 650	2 366 650
TOTAL EXPENDITURE	7 865 000	7 865 000	10 358 000	10 358 000

1.5.4 Financial Resources Overview (PIC)

1.5.4.1 Revenues Overview (PIC)

1.5.4.1.1 General revenues (PIC)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	1 151 000	1 183 000
Other Revenue	0	0
TOTAL REVENUES	1 151 000	1 183 000

1.5.4.1.2 Additional EU funding: ad hoc grants and delegation agreements (PIC)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.4.2 Expenditure Overview (PIC)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	703 800	703 800	671 840	671 840
Title 2 - Infrastructure and operating expenditure	155 000	155 000	157 470	157 470
Title 5 - Operational expenditure	292 200	292 200	353 690	353 690
TOTAL EXPENDITURE	1 151 000	1 151 000	1 183 000	1 183 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts ECHA

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		4		3		4		5		5
AD 13		15		8		15		15		15
AD 12		25		12		22		20		20
AD 11		32		17		34		34		34
AD 10		35		28		36		39		39
AD 9		55		41		54		54		54

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 8		61		57		60		62		62
AD 7		54		58		57		64		64
AD 6		57		75		45		35		35
AD 5		9		36		8		8		8
AD TOTAL		348		336		336		337		337
AST 11										
AST 10		1								
AST 9		7		3		6		5		5
AST 8		8		1		9		7		7
AST 7		15		6		15		14		14
AST 6		16		9		16		15		15
AST 5		32		27		34		34		34
AST 4		18		22		14		22		22
AST 3		24		45		23		18		18
AST 2		10		15		7		5		5
AST 1		5		3		5		3		3
AST TOTAL		136		131		129		123		123
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		484		467		465		460		460
GRAND TOTAL	484		467		465		460		460	

2.1.2 Establishment plan posts (REACH / CLP)

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 14		4		3		4		5		5
AD 13		14		8		15		15		15
AD 12		23		11		20		18		18
AD 11		29		15		31		31		31
AD 10		31		27		33		36		36
AD 9		48		40		48		48		48
AD 8		49		52		50		49		49
AD 7		48		55		52		59		59
AD 6		53		64		41		31		31
AD 5		9		28		8		8		8
AD TOTAL		309		304		303		301		301
AST 11										
AST 10		1								
AST 9		7		3		6		5		5
AST 8		8		1		9		7		7
AST 7		12		6		12		11		11
AST 6		16		8		16		15		15
AST 5		29		24		31		31		31
AST 4		16		20		12		19		19
AST 3		18		38		19		13		13
AST 2		10		14		7		5		5
AST 1		5		3		5		3		3
AST TOTAL		122		117		117		109		109
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		431		421		420		410		410
GRAND TOTAL		431		421		420		410		410

2.1.3 Establishment plan posts (BIOCIDES)

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1								
AD 12		2		1		2		2		2
AD 11		3		2		3		3		3
AD 10		4		1		3		3		3
AD 9		7		1		6		6		6
AD 8		11		5		9		12		12
AD 7		6		3		5		5		5
AD 6		4		11		4		4		4
AD 5				7						
AD TOTAL		38		31		32		35		35
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1				1		1		1
AST 6										
AST 5		3		2		3		3		3
AST 4		2		2		2		2		2
AST 3		3		4		1		3		3
AST 2				1						
AST 1										
AST TOTAL		9		9		7		9		9
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		47		40		39		44		44
GRAND TOTAL		47		40		39		44		44

2.1.4 Establishment plan posts (PIC)

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12										
AD 11										
AD 10										
AD 9										
AD 8		1				1		1		1
AD 7										
AD 6										
AD 5				1						
AD TOTAL		1		1		1		1		1
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2				2		2		2
AST 6				1						
AST 5				1						
AST 4								1		1
AST 3		3		3		3		2		2
AST 2										
AST 1										
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		6		6		6		6		6
GRAND TOTAL		6		6		6		6		6

2.2 External personnel

2.2.1 External personnel ECHA

2.2.1.1 Contract Agents ECHA

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	24	18	23	24
Function Group III	65	59	68	72
Function Group II	17	24	19	20
Function Group I	3	2		
TOTAL	109	103	110	116

2.2.1.2 Seconded National Experts ECHA

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	15	8	16	16

2.2.2 External personnel (REACH /CLP)

2.2.2.1 Contract Agents (REACH /CLP)

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	18	14	18	17
Function Group III	62	53	64	65
Function Group II	15	23	18	18
Function Group I	3	2		
TOTAL	98	92	100	100

2.2.2.2 Seconded National Experts (REACH / CLP)

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	13	7	15	15

2.2.3 External personnel (BIOCIDES)

2.2.3.1 Contract Agents (BIOCIDES)

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	5	4	4	6
Function Group III	3	6	4	7
Function Group II	2		1	2
Function Group I				
TOTAL	10	10	9	15

2.2.3.2 Seconded National Experts (BIOCIDES)

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	2	1	1	1

2.2.4 External personnel (PIC)

2.2.4.1 Contract Agents (PIC)

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	1		1	1
Function Group III				
Function Group II		1		
Function Group I				
TOTAL	1	1	1	1

2.2.4.2 Seconded National Experts (PIC)

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL				

3 Financial Resources

3.1 Financial Resources ECHA

3.1.1 Revenues ECHA

3.1.1.1 General revenues ECHA

REVENUES	General revenues ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	29 209 141	33 556 019	30 701 000	32 094 500	-4,36%
2 EU CONTRIBUTION	7 011 000	71 612 000	76 566 000	75 172 500	4,97%
- Of which assigned revenues deriving from previous years' surpluses			3 050 000	3 050 000	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	307 791	2 199 290	2 345 220	1 956 390	-11,04%
- Of which EEA/EFTA (excl. Switzerland)	307 791	2 199 290	2 345 220	1 956 390	-11,04%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	756 727				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	300 924				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	37 585 583	107 367 309	109 612 220	109 223 390	1,73%

3.1.1.2 Additional EU funding: ad hoc grants and delegation agreements ECHA

REVENUES	Additional EU funding: ad hoc grants and delegation agreements ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.1.2 Expenditure ECHA

3.1.2.1 Commitment appropriations ECHA

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	71 185 446	66 894 409	67 804 800	67 675 190	1,17%
Salaries & allowances	65 061 591	60 620 000	61 656 500	61 656 500	1,71%
- Of which establishment plan posts	58 803 834	53 767 300	54 532 500	54 532 500	1,42%
- Of which external personnel	6 257 757	6 852 700	7 124 000	7 124 000	3,96%
Expenditure relating to Staff recruitment	644 690	880 600	770 820	770 820	-12,47%
Mission expenses	39 577	60 200	60 000	60 000	-0,33%
Socio-medical infrastructure	1 915 542	2 095 800	2 071 840	2 071 840	-1,14%
Training	1 463 790	1 134 909	1 296 630	1 167 020	2,83%
External Services	2 055 567	2 082 800	1 929 000	1 929 000	-7,38%
Receptions, events and representation	4 689	20 100	20 010	20 010	-0,45%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	16 082 970	15 323 600	15 558 380	15 428 770	0,69%
Rental of buildings and associated costs	7 895 237	7 604 100	7 492 490	7 492 490	-1,47%
Information, communication technology and data processing	7 045 686	7 066 200	7 236 730	7 236 730	2,41%
Movable property and associated costs	884 299	333 700	500 630	371 020	11,18%
Current administrative expenditure	248 967	310 900	318 510	318 510	2,45%
Postage / Telecommunications					
Meeting expenses	8 781	8 700	10 020	10 020	15,17%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 899 161	24 226 600	22 829 860	22 700 250	-6,30%
Registration, datatsharing and dissemination	562 988	562 000	1 177 000	1 177 000	109,43%
Evaluation	1 654 669	1 736 500	1 580 000	1 580 000	-9,01%
Risk Management	536 198	735 000	1 235 000	1 235 000	68,03%
Classification and labelling		40 000	50 000	50 000	25,00%
Advice and assistance through guidance and helpdesk	154 965	160 700	235 500	235 500	46,55%

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Scientific IT tools	13 704 528	11 903 200	11 454 120	11 324 510	-4,86%
Scientific and technical advice to EU institutions	188 689	260 000	260 000	260 000	0,00%
Committees and Forum	1 371 659	2 505 900	1 969 400	1 969 400	-21,41%
Board of appeal	58 793	98 500	96 000	96 000	-2,54%
Communications including translations	2 852 905	3 057 000	2 458 550	2 458 550	-19,58%
International cooperation					
Management Board and management of the Agency	1 032 474	1 400 100	1 351 590	1 351 590	-3,46%
Missions	534 517	535 000	530 000	530 000	-0,93%
External training					
Refunds					
Committees and Forum (multiannual)	37 390	132 700	132 700	132 700	0,00%
Cooperation with international organisations	154 900	1 100 000	300 000	300 000	-72,73%
IPA programme 2009/214-524					
IPA programme 2012/291-934					
IPA programme according to agreement 2015/361-049	54 486	0	0	0	◆
Title 4 - Operational expenditure	2 291 034	1 070 200	2 366 650	2 366 650	121,14%
Substances, products and technical equivalence		100 000	50 000	50 000	-50,00%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	27 057	22 800	52 000	52 000	128,07%
Scientific IT tools	1 470 733	309 300	1 453 410	1 453 410	369,90%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Rapporteurs	293 162	356 300	511 000	511 000	43,42%
Board of appeal	3 658	15 500	17 000	17 000	9,68%
Communications including translations	162 591	108 800	113 750	113 750	4,55%
Management Board and management of the Agency	126 483	105 000	119 490	119 490	13,80%
Missions	30 293	52 500	50 000	50 000	-4,76%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian	177 057				
Title 5 - Operational expenditure	447 397	292 200	353 690	353 690	21,04%
Studies and consultants					
Advice and assistance through guidance and helpdesk					
Scientific IT tools	225 853	219 800	230 230	230 230	4,75%
Meeting with the dans and expert groups on PIC implementation					
Communications including translations	208 689	59 000	108 460	108 460	83,83%
Missions	12 855	13 400	15 000	15 000	11,94%
External training					

EXPENDITURE	Commitment appropriations ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 9 - Reserve					
TOTAL	112 906 008	107 807 009	108 913 380	108 524 550	0,67%

3.1.2.2 Payment appropriations ECHA

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	70 343 770	66 894 409	67 804 800	67 675 190	1,17%
Salaries & allowances	65 061 399	60 620 000	61 656 500	61 656 500	1,71%
- Of which establishment plan posts	58 803 642	53 767 300	54 532 500	54 532 500	1,42%
- Of which external personnel	6 257 757	6 852 700	7 124 000	7 124 000	3,96%
Expenditure relating to Staff recruitment	636 866	880 600	770 820	770 820	-12,47%
Mission expenses	39 577	60 200	60 000	60 000	-0,33%
Socio-medical infrastructure	1 774 805	2 095 800	2 071 840	2 071 840	-1,14%
Training	1 120 194	1 134 909	1 296 630	1 167 020	2,83%
External Services	1 706 656	2 082 800	1 929 000	1 929 000	-7,38%
Receptions, events and representation	4 273	20 100	20 010	20 010	-0,45%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	13 631 485	15 323 600	15 558 380	15 428 770	0,69%
Rental of buildings and associated costs	7 602 031	7 604 100	7 492 490	7 492 490	-1,47%
Information, communication technology and data processing	5 645 641	7 066 200	7 236 730	7 236 730	2,41%
Movable property and associated costs	179 407	333 700	500 630	371 020	11,18%
Current administrative expenditure	196 135	310 900	318 510	318 510	2,45%
Postage / Telecommunications	827	700	940	940	34,29%
Meeting expenses	7 444	8 000	9 080	9 080	13,50%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	15 986 523	23 786 900	23 528 700	23 399 090	-1,63%
Registration, datatsharing and dissemination	196 122	562 000	1 177 000	1 177 000	109,43%
Evaluation	218 614	1 736 500	1 580 000	1 580 000	-9,01%
Risk Management	272 285	735 000	1 235 000	1 235 000	68,03%
Classification and labelling		40 000	50 000	50 000	25,00%
Advice and assistance through guidance and helpdesk	146 092	160 700	235 500	235 500	46,55%
Scientific IT tools	9 858 509	11 903 200	11 454 120	11 324 510	-4,86%
Scientific and technical advice to EU institutions	100 469	260 000	260 000	260 000	0,00%
Committees and Forum	1 062 472	2 505 900	1 969 400	1 969 400	-21,41%
Board of appeal	24 487	98 500	96 000	96 000	-2,54%
Communications including translations	2 179 962	3 057 000	2 458 550	2 458 550	-19,58%

EXPENDITURE	Payment appropriations ECHA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
International cooperation					
Management Board and management of the Agency	817 990	1 400 100	1 351 590	1 351 590	-3,46%
Missions	512 374	535 000	530 000	530 000	-0,93%
External training					
Refunds					
Committees and Forum (multiannual)	206 294	14 000	31 400	31 400	124,29%
Cooperation with international organisations	337 707	779 000	1 100 140	1 100 140	41,22%
IPA programme 2009/214-524					
IPA programme 2012/291-934					
IPA programme according to agreement 2015/361-049	53 146	0	0	0	◆
Title 4 - Operational expenditure	619 113	1 070 200	2 366 650	2 366 650	121,14%
Substances, products and technical equivalence		100 000	50 000	50 000	-50,00%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datatsharing and dissemination					
Advice and assistance through guidance and helpdesk	27 057	22 800	52 000	52 000	128,07%
Scientific IT tools	123 952	309 300	1 453 410	1 453 410	369,90%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Rapporteurs	146 549	356 300	511 000	511 000	43,42%
Board of appeal	3 658	15 500	17 000	17 000	9,68%
Communications including translations	126 028	108 800	113 750	113 750	4,55%
Management Board and management of the Agency	108 000	105 000	119 490	119 490	13,80%
Missions	28 793	52 500	50 000	50 000	-4,76%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian	55 076				
Title 5 - Operational expenditure	336 452	292 200	353 690	353 690	21,04%
Studies and consultants					
Advice and assistance through guidance and helpdesk					
Scientific IT tools	138 611	219 800	230 230	230 230	4,75%
Meeting with the dans and expert groups on PIC implementation					
Communications including translations	184 986	59 000	108 460	108 460	83,83%
Missions	12 855	13 400	15 000	15 000	11,94%
External training					
Title 9 - Reserve					
TOTAL	100 917 343	107 367 309	109 612 220	109 223 390	1,73%

3.2 Financial Resources (REACH / CLP)

3.2.1 Revenues (REACH / CLP)

3.2.1.1 General revenues (REACH / CLP)

REVENUES	General revenues (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	23 785 474	29 556 019	25 083 000	26 476 500	-10,42%
2 EU CONTRIBUTION		66 811 000	70 883 000	69 489 500	4,01%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)		1 984 290	2 105 220	1 716 390	-13,50%
- Of which EEA/EFTA (excl. Switzerland)		1 984 290	2 105 220	1 716 390	-13,50%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	740 469				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	300 000				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	24 825 943	98 351 309	98 071 220	97 682 390	-0,68%

3.2.1.2 Additional EU funding: ad hoc grants and delegation agreements (REACH / CLP)

REVENUES	Additional EU funding: ad hoc grants and delegation agreements (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2.2 Expenditure (REACH / CLP)

3.2.2.1 Commitment appropriations (REACH / CLP)

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	65 188 712	60 186 009	60 115 230	59 985 620	-0,33%
Salaries & allowances	59 942 126	54 835 000	54 801 000	54 801 000	-0,06%
- Of which establishment plan posts	54 355 808	48 928 000	48 699 000	48 699 000	-0,47%
- Of which external personnel	5 586 318	5 907 000	6 102 000	6 102 000	3,30%
Expenditure relating to Staff recruitment	545 187	725 100	611 890	611 890	-15,61%
Mission expenses	35 418	54 600	53 700	53 700	-1,65%

EXPENDITURE	Commitment appropriations (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	1 743 440	1 902 000	1 854 270	1 854 270	-2,51%
Training	1 328 577	1 022 809	1 155 210	1 025 600	0,27%
External Services	1 589 748	1 628 000	1 621 040	1 621 040	-0,43%
Receptions, events and representation	4 216	18 500	18 120	18 120	-2,05%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	14 951 429	14 378 400	14 427 290	14 297 680	-0,56%
Rental of buildings and associated costs	7 420 586	7 147 600	6 968 010	6 968 010	-2,51%
Information, communication technology and data processing	6 512 771	6 626 500	6 706 190	6 706 190	1,20%
Movable property and associated costs	785 305	303 800	448 060	318 450	4,82%
Current administrative expenditure	224 969	292 700	296 070	296 070	1,15%
Postage / Telecommunications					
Meeting expenses	7 798	7 800	8 960	8 960	14,87%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 899 161	24 226 600	22 829 860	22 700 250	-6,30%
Registration, datasharing and dissemination	562 988	562 000	1 177 000	1 177 000	109,43%
Evaluation	1 654 669	1 736 500	1 580 000	1 580 000	-9,01%
Risk Management	536 198	735 000	1 235 000	1 235 000	68,03%
Classification and labelling		40 000	50 000	50 000	25,00%
Advice and assistance through guidance and helpdesk	154 965	160 700	235 500	235 500	46,55%
Scientific IT tools	13 704 528	11 903 200	11 454 120	11 324 510	-4,86%
Scientific and technical advice to EU institutions	188 689	260 000	260 000	260 000	0,00%
Committees and Forum	1 371 659	2 505 900	1 969 400	1 969 400	-21,41%
Board of appeal	58 793	98 500	96 000	96 000	-2,54%
Communications including translations	2 852 905	3 057 000	2 458 550	2 458 550	-19,58%
International cooperation					
Management Board and management of the Agency	1 032 474	1 400 100	1 351 590	1 351 590	-3,46%
Missions	534 517	535 000	530 000	530 000	-0,93%
External training					
Refunds					
Committees and Forum (multiannual)	37 390	132 700	132 700	132 700	0,00%
Cooperation with international organisations	154 900	1 100 000	300 000	300 000	-72,73%
IPA programme 2009/214-524					
IPA programme 2012/291-934					
IPA programme according to agreement 2015/361-049	54 486	0	0	0	◆
Title 9 - Reserve					
TOTAL	103 039 302	98 791 009	97 372 380	96 983 550	-1,83%

3.2.2.2 Payment appropriations (REACH / CLP)

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	64 536 887	60 186 009	60 115 230	59 985 620	-0,33%
Salaries & allowances	59 941 934	54 835 000	54 801 000	54 801 000	-0,06%
- Of which establishment plan posts	54 355 616	48 928 000	48 699 000	48 699 000	-0,47%
- Of which external personnel	5 586 318	5 907 000	6 102 000	6 102 000	3,30%
Expenditure relating to Staff recruitment	539 434	725 100	611 890	611 890	-15,61%
Mission expenses	35 418	54 600	53 700	53 700	-1,65%
Socio-medical infrastructure	1 618 334	1 902 000	1 854 270	1 854 270	-2,51%
Training	1 020 834	1 022 809	1 155 210	1 025 600	0,27%
External Services	1 377 089	1 628 000	1 621 040	1 621 040	-0,43%
Receptions, events and representation	3 844	18 500	18 120	18 120	-2,05%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	12 728 864	14 378 400	14 427 290	14 297 680	-0,56%
Rental of buildings and associated costs	7 144 958	7 147 600	6 968 010	6 968 010	-2,51%
Information, communication technology and data processing	5 239 544	6 626 500	6 706 190	6 706 190	1,20%
Movable property and associated costs	159 332	303 800	448 060	318 450	4,82%
Current administrative expenditure	177 685	292 700	296 070	296 070	1,15%
Postage / Telecommunications					
Meeting expenses	7 345	7 800	8 960	8 960	14,87%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	15 986 523	23 786 900	23 528 700	23 399 090	-1,63%
Registration, datasharing and dissemination	196 122	562 000	1 177 000	1 177 000	109,43%
Evaluation	218 614	1 736 500	1 580 000	1 580 000	-9,01%
Risk Management	272 285	735 000	1 235 000	1 235 000	68,03%
Classification and labelling		40 000	50 000	50 000	25,00%
Advice and assistance through guidance and helpdesk	146 092	160 700	235 500	235 500	46,55%
Scientific IT tools	9 858 509	11 903 200	11 454 120	11 324 510	-4,86%
Scientific and technical advice to EU institutions	100 469	260 000	260 000	260 000	0,00%
Committees and Forum	1 062 472	2 505 900	1 969 400	1 969 400	-21,41%
Board of appeal	24 487	98 500	96 000	96 000	-2,54%
Communications including translations	2 179 962	3 057 000	2 458 550	2 458 550	-19,58%
International cooperation					
Management Board and management of the Agency	817 990	1 400 100	1 351 590	1 351 590	-3,46%
Missions	512 374	535 000	530 000	530 000	-0,93%
External training					
Refunds					
Committees and Forum (multiannual)	206 294	14 000	31 400	31 400	124,29%
Cooperation with international organisations	337 707	779 000	1 100 140	1 100 140	41,22%

EXPENDITURE	Payment appropriations (REACH / CLP)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
IPA programme 2009/214-524					
IPA programme 2012/291-934					
IPA programme according to agreement 2015/361-049	53 146	0	0	0	◆
Title 9 - Reserve					
TOTAL	93 252 274	98 351 309	98 071 220	97 682 390	-0,68%

3.2.3 Budget Outturn (REACH / CLP)

n/a

3.3 Financial Resources (BIOCIDES)

3.3.1 Revenues (BIOCIDES)

3.3.1.1 General revenues (BIOCIDES)

REVENUES	General revenues (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	5 423 667	4 000 000	5 618 000	5 618 000	40,45%
2 EU CONTRIBUTION	5 789 000	3 650 000	4 500 000	4 500 000	23,29%
- Of which assigned revenues deriving from previous years' surpluses			3 050 000	3 050 000	100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	307 791	215 000	240 000	240 000	11,63%
- Of which EEA/EFTA (excl. Switzerland)	307 791	215 000	240 000	240 000	11,63%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	16 258				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	11 536 716	7 865 000	10 358 000	10 358 000	31,70%

3.3.1.2 Additional EU funding: ad hoc grants and delegation agreements (BIOCIDES)

REVENUES	Additional EU funding: ad hoc grants and delegation agreements (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.3.2 Expenditure (BIOCIDES)

3.3.2.1 Commitment appropriations (BIOCIDES)

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 426 053	6 004 600	7 017 730	7 017 730	16,87%
Salaries & allowances	4 662 383	5 237 000	6 322 300	6 322 300	20,72%
- Of which establishment plan posts	4 036 850	4 344 500	5 355 300	5 355 300	23,27%
- Of which external personnel	625 533	892 500	967 000	967 000	8,35%
Expenditure relating to Staff recruitment	88 000	114 500	118 990	118 990	3,92%
Mission expenses	3 713	4 800	5 580	5 580	16,25%
Socio-medical infrastructure	163 426	177 400	192 690	192 690	8,62%
Training	120 676	91 000	124 960	124 960	37,32%
External Services	387 382	378 300	251 320	251 320	-33,57%
Receptions, events and representation	473	1 600	1 890	1 890	18,12%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	970 156	790 200	973 620	973 620	23,21%
Rental of buildings and associated costs	395 102	380 300	449 550	449 550	18,21%
Information, communication technology and data processing	464 238	367 800	456 700	456 700	24,17%
Movable property and associated costs	88 407	25 800	46 560	46 560	80,47%
Current administrative expenditure	21 531	15 600	19 870	19 870	27,37%
Postage / Telecommunications					
Meeting expenses	878	700	940	940	34,29%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	2 291 034	1 070 200	2 366 650	2 366 650	121,14%
Substances, products and technical equivalence		100 000	50 000	50 000	-50,00%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	27 057	22 800	52 000	52 000	128,07%
Scientific IT tools	1 470 733	309 300	1 453 410	1 453 410	369,90%
Scientific and technical advice to EU institutions					
Biocidal products Committee and Rapporteurs	293 162	356 300	511 000	511 000	43,42%
Board of appeal	3 658	15 500	17 000	17 000	9,68%
Communications including translations	162 591	108 800	113 750	113 750	4,55%
Management Board and management of the Agency	126 483	105 000	119 490	119 490	13,80%
Missions	30 293	52 500	50 000	50 000	-4,76%
External training					

EXPENDITURE	Commitment appropriations (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian	177 057				
TOTAL	8 687 243	7 865 000	10 358 000	10 358 000	31,70%

3.3.2.2 Payment appropriations (BIOCIDES)

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 263 648	6 004 600	7 017 730	7 017 730	16,87%
Salaries & allowances	4 662 383	5 237 000	6 322 300	6 322 300	20,72%
- Of which establishment plan posts	4 036 850	4 344 500	5 355 300	5 355 300	23,27%
- Of which external personnel	625 533	892 500	967 000	967 000	8,35%
Expenditure relating to Staff recruitment	85 940	114 500	118 990	118 990	3,92%
Mission expenses	3 713	4 800	5 580	5 580	16,25%
Socio-medical infrastructure	149 470	177 400	192 690	192 690	8,62%
Training	88 665	91 000	124 960	124 960	37,32%
External Services	273 048	378 300	251 320	251 320	-33,57%
Receptions, events and representation	429	1 600	1 890	1 890	18,12%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	767 156	790 200	973 620	973 620	23,21%
Rental of buildings and associated costs	380 469	380 300	449 550	449 550	18,21%
Information, communication technology and data processing	351 474	367 800	456 700	456 700	24,17%
Movable property and associated costs	17 917	25 800	46 560	46 560	80,47%
Current administrative expenditure	16 469	15 600	19 870	19 870	27,37%
Postage / Telecommunications	827	700	940	940	34,29%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 4 - Operational expenditure	619 113	1 070 200	2 366 650	2 366 650	121,14%
Substances, products and technical equivalence		100 000	50 000	50 000	-50,00%
Refunds pursuant to Article 13 of the Regulation (EU) 564/2013					
Submissions, datasharing and dissemination					
Advice and assistance through guidance and helpdesk	27 057	22 800	52 000	52 000	128,07%
Scientific IT tools	123 952	309 300	1 453 410	1 453 410	369,90%
Scientific and technical advice to EU institutions					

EXPENDITURE	Payment appropriations (BIOCIDES)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Biocidal products Committee and Rapporteurs	146 549	356 300	511 000	511 000	43,42%
Board of appeal	3 658	15 500	17 000	17 000	9,68%
Communications including translations	126 028	108 800	113 750	113 750	4,55%
Management Board and management of the Agency	108 000	105 000	119 490	119 490	13,80%
Missions	28 793	52 500	50 000	50 000	-4,76%
External training					
Cooperation with international organisations for IT programs					
Preparatory work BPR 13/3938 Norwegian	55 076				
TOTAL	6 649 917	7 865 000	10 358 000	10 358 000	31,70%

3.3.3 Budget Outturn (BIOCIDES)

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 3 050 452.

3.4 Financial Resources (PIC)

3.4.1 Revenues (PIC)

3.4.1.1 General revenues (PIC)

REVENUES	General revenues (PIC)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	1 222 000	1 151 000	1 183 000	1 183 000	2,78%
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	924				
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	1 222 924	1 151 000	1 183 000	1 183 000	2,78%

3.4.1.2 Additional EU funding: ad hoc grants and delegation agreements (PIC)

REVENUES	Additional EU funding: ad hoc grants and delegation agreements (PIC)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.4.2 Expenditure (PIC)

3.4.2.1 Commitment appropriations (PIC)

EXPENDITURE	Commitment appropriations (PIC)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	570 681	703 800	671 840	671 840	-4,54%
Salaries & allowances	457 082	548 000	533 200	533 200	-2,70%
- Of which establishment plan posts	411 176	494 800	478 200	478 200	-3,35%
- Of which external personnel	45 906	53 200	55 000	55 000	3,38%
Expenditure relating to Staff recruitment	11 503	41 000	39 940	39 940	-2,59%
Mission expenses	446	800	720	720	-10,00%
Socio-medical infrastructure	8 676	16 400	24 880	24 880	51,71%
Training	14 537	21 100	16 460	16 460	-21,99%
External Services	78 437	76 500	56 640	56 640	-25,96%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	161 385	155 000	157 470	157 470	1,59%
Rental of buildings and associated costs	79 549	76 200	74 930	74 930	-1,67%
Information, communication technology and data processing	68 677	71 900	73 840	73 840	2,70%
Movable property and associated costs	10 587	4 100	6 010	6 010	46,59%
Current administrative expenditure	2 467	2 600	2 570	2 570	-1,15%
Postage / Telecommunications					
Meeting expenses	105	200	120	120	-40,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 5 - Operational expenditure	447 397	292 200	353 690	353 690	21,04%
Studies and consultants					
Advice and assistance through guidance and helpdesk					
Scientific IT tools	225 853	219 800	230 230	230 230	4,75%
Meeting with the dans and expert groups on PIC implementation					

EXPENDITURE	Commitment appropriations (PIC)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Communications including translations	208 689	59 000	108 460	108 460	83,83%
Missions	12 855	13 400	15 000	15 000	11,94%
External training					
TOTAL	1 179 463	1 151 000	1 183 000	1 183 000	2,78%

3.4.2.2 Payment appropriations (PIC)

EXPENDITURE	Payment appropriations (PIC)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	543 235	703 800	671 840	671 840	-4,54%
Salaries & allowances	457 082	548 000	533 200	533 200	-2,70%
- Of which establishment plan posts	411 176	494 800	478 200	478 200	-3,35%
- Of which external personnel	45 906	53 200	55 000	55 000	3,38%
Expenditure relating to Staff recruitment	11 492	41 000	39 940	39 940	-2,59%
Mission expenses	446	800	720	720	-10,00%
Socio-medical infrastructure	7 001	16 400	24 880	24 880	51,71%
Training	10 695	21 100	16 460	16 460	-21,99%
External Services	56 519	76 500	56 640	56 640	-25,96%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	135 465	155 000	157 470	157 470	1,59%
Rental of buildings and associated costs	76 604	76 200	74 930	74 930	-1,67%
Information, communication technology and data processing	54 623	71 900	73 840	73 840	2,70%
Movable property and associated costs	2 158	4 100	6 010	6 010	46,59%
Current administrative expenditure	1 981	2 600	2 570	2 570	-1,15%
Postage / Telecommunications					
Meeting expenses	99	200	120	120	-40,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 5 - Operational expenditure	336 452	292 200	353 690	353 690	21,04%
Studies and consultants					
Advice and assistance through guidance and helpdesk					
Scientific IT tools	138 611	219 800	230 230	230 230	4,75%
Meeting with the dans and expert groups on PIC implementation					
Communications including translations	184 986	59 000	108 460	108 460	83,83%
Missions	12 855	13 400	15 000	15 000	11,94%
External training					
TOTAL	1 015 152	1 151 000	1 183 000	1 183 000	2,78%

3.4.3 Budget Outturn (PIC)

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 46 233.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

REACH / CLP: the Human resources request is in line with the ceilings as set out in the Commission communication COM(2013) 519. The need for eventual additional CA for 2017 should be reassessed in the course of 2017, and the Commission would only support such request if the extra staff would respond to an actual higher volume of work (in registrations and applications) than what was foreseen at the time, to be financed through extra revenues from fees.

PIC: ECHA's request for 2017 for the establishment plan posts follows the figures of the Commission Communication.

BIOCIDES: the request of the Agency remains within the ceilings as set out in the Commission Communication.

Financial Resources

REACH /CLP: the requested subsidy is below the programming in the MFF.

PIC: ECHA's request for 2017 on the EU contribution follows the amount for the subsidy foreseen for 2017.

BIOCIDES: As the fee income of the Agency is lower than initially estimated, an upward revision of the amount foreseen in the Communication is necessary. An external study on the Biocides fee model is being conducted. The policy options that will be decided based upon the results of this study will be taken into account to determine the future EU contribution.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (REACH / CLP)

A detailed account of the Agency's REACH staffing needs is available in the Single Programming Document (SPD) for the period of 2017-2019. The agency request for posts is in line with the Commission Communication.

4.1.1.2 Number of staff requested (BIOCIDES)

A detailed account of the Agency's Biocides staffing needs is available in the Single Programming Document (SPD) for the period of 2017-2019. It is to be noted that the request for the TA posts is slightly lower than in the COM communication and amounts to 44 posts.

It is estimated that the need for additional CAs is of 6 FTEs compared to 2016, based on an increased level of activity.

4.1.1.3 Number of staff requested (PIC)

A detailed account of the Agency's PIC staffing needs is available in the Single Programming Document (SPD) for the period of 2017-2019. The number of TAs and the estimated number of CAs remains the same as in 2016.

4.1.2 Vacancy rate as of end 2015

4.1.2.1 Vacancy rate as of end 2015 (REACH / CLP)

ECHA achieved a vacancy rate of 2.1% for REACH/CLP establishment plan posts.

4.1.2.2 Vacancy rate as of end 2015 (BIOCIDES)

ECHA's vacancy rate for Biocides posts was at 16.7%. This was due to the well-documented issues around the uncertainty of the income and overall budget constraints as well as to the fact that ECHA's establishment plan for Biocides posts diminishes by 9 posts from 2015 to 2016.

4.1.2.3 Vacancy rate as of end 2015 (PIC)

ECHA achieved its recruitment target for PIC posts for 2015 in full and thus the vacancy rate for PIC posts was 0% at end 2015

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (REACH /CLP)

N/A

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (BIOCIDES)

N/A

4.1.3.3 Standard abatement ('abatement forfaitaire') applied (PIC)

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (REACH / CLP)

+3.5 % salary increase (compared with 2015 situation before July indexation)

4.1.4.2 Salary assumption for calculating salary line (% applied) (BIOCIDES)

+3.5 % salary increase (compared with 2015 situation before July indexation)

4.1.4.3 Salary assumption for calculating salary line (% applied) (PIC)

+3.5 % salary increase (compared with 2015 situation before July indexation)

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (REACH / CLP)

120 %

4.1.5.2 Correction coefficient used (BIOCIDES)

120 %

4.1.5.3 Correction coefficient used (PIC)

120 %

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (REACH / CLP)

N/A

4.1.6.2 Exchange rate used (if applicable) (BIOCIDES)

N/A

4.1.6.3 Exchange rate used (if applicable) (PIC)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (REACH /CLP)

For 2017, the needs for Chapter 11 (staff employment expenditure) amount to € 54.8 million, unchanged from 2016. Even though the number of posts is decreasing, the staff expenditure is expected to remain largely unchanged due to salary indexation. The remainder of the staff-related expenditure follows a declining trend and is reduced to € 5.3 million, which represents a decrease of 1% compared to 2016.

Overall, the proposed amount for Title 1 appropriations is € 60.1 million which, again, is in line with the 2016 budget. Salaries represent 91% of the total Title 1 budget, while other staff-related expenditure accounts for 9% of the total.

4.2.1.2 Title 1 (BIOCIDES)

The total amount for staff-related expenditure in Biocides in 2017 is estimated at € 7.0 million, representing an increase of 17%. This increase is driven by the additional 5 posts for 2017. Of the total staff related expenditure, direct salary costs are € 6.3 million (90%) and other personnel costs € 0.7 million (10%).

4.2.1.3 Title 1 (PIC)

Total amount for staff related expenditure in PIC is estimated at € 672 000. Of this amount, direct salaries represent € 533 000 (79%) and other personnel costs € 139 000 (21%).

4.2.2 Title 2

4.2.2.1 Title 2 (REACH / CLP)

The overall Title 2 (infrastructure and operating) expenditure amounts to € 15.6 million, representing an increase of 2% compared to 2016.

The chapter 20 (Rental of buildings and associated costs) decreases slightly from 2016 and totals € 7.5 million.

The administrative IT expenditure in Title 2 (chapter 21 and 22) encompasses the purchase of software, hardware and their respective maintenance as well as any related IT services needed for the smooth running and administration of the Agency.

An amount of € 3.6 million is allocated to the Outsourced IT managed services that include i.a. the so called Infrastructure as a Service and that are necessary to operate the ICT infrastructure of the Agency.

The relatively higher percentage increase in the area of Biocides stems from the change in the drivers used to allocate the indirect costs. In chapters 20 and 21, the drivers are based on the estimated FTEs and floor space, respectively, and the share of Biocides increases from 2016 to 2017 following the increased number of posts allocated.

4.2.2.2 Title 2 (BIOCIDES)

See section 4.2.2.1 Title 2 (REACH / CLP) above.

4.2.2.3 Title 2 (PIC)

See section 4.2.2.1 Title 2 (REACH / CLP) above.

4.2.3 Operational Title

4.2.3.1 Title 3 (REACH / CLP)

The year 2017 is crucial in ECHA's preparation for the last registration deadline of phase-in substances in 2018.

In light of the final REACH registration deadline in 2018, the number of companies having to comply with REACH requirements becomes larger and many of those companies will be SMEs and inexperienced with the legislation. That brings increased demands on the communication function to reach out, particularly to SMEs, with more support and, where possible, provide them with simplified and shorter information, thereby making it understandable and easier to use. At the same time, the awareness-raising activities for general audiences (particularly consumers) on the safe use of chemicals and "right to ask" will continue.

Substance evaluation is continuing at the level of c. 50 substances per year. The evaluation work is done by Member States Competent Authorities and, in line with the Fee Regulation, a proportion of funds is transferred to them to compensate for part of the work carried out.

The expected high number of authorisation applications arriving at the end of 2015, or early 2016, will be challenging for the Secretariat, as well as for the Risk Assessment and Socio-Economic Analysis Committees, from both scientific and workload perspectives. The anticipated budget for the rapporteurs foresees payment appropriations necessary to cover contractual obligations from previous years, as well as for contracts to be signed in 2016. An increase in expenditure is furthermore foreseen to support an increase in risk management related activities such as support to the development of restriction dossiers as well as for activities in relation to substances in articles.

The IT costs in Title 3 are intended to cover all the costs for developing and maintaining IT tools such as REACH-IT, IUCLID, CHESAR, RIPE, ODYSSEY, Dissemination website, ECM programme, Portal Dashboard, quality assurance services for the afore-mentioned applications and other services.

Preparing REACH-IT for 2018 registration deadline is completed in 2016 for registrants; in 2017 enhancements are planned for improving ECHA internal efficiency. After that, the application will be adapted to the post - 2018 needs, considering cost effective maintainability.

In the area of the ECM Programme (Enterprise Content Management), funds are reserved for the implementation of the new ECM roadmap (e.g. better support the work with the Competent Authorities and ECHA Committees).

In relation to the IT tools for Authorities, it is expected that in 2017 ECHA will take over the development of EUSES. The Portal Dashboard for Authorities will be further enhanced to provide more functionality and better usability gradually evolving towards a true single point of entry for MSCAs (Member State Competent Authority) and a more powerful tool for the NEAs.

4.2.3.2 Title 4 (BIOCIDES)

The main expenditure item in 2017 for Biocides is related to the IT development. In relation to the IT tools for Authorities, it is expected that in 2017 ECHA will take over the development of EUSES and support the enforcement in the BPR with Biocides funds. The expenditure foreseen for R4BP (Register for Biocidal Products) service is kept in line with the financial fiche. However, for the R4BP project, provided that funds are made available in 2016, the development part can be completed during 2017 and the application is expected to go into maintenance mode after that. Another significant expenditure item relates to the Biocidal Products Committee, through which ECHA continues delivering opinions for the European Commission to support decision-making on biocidal active substances and products. In fact, ECHA is not only coordinating the evaluation of active substances and the Union-wide authorisation of biocidal products; it is also the central hub for all applications, establishment of technical equivalence, assessment of applications for alternative suppliers, resolution of data sharing disputes, dissemination, preparation of guidance, and communication.

4.2.3.3 Title 5 (PIC)

The largest part of the PIC budget for 2017 is foreseen for the maintenance of the IT tools related to the support of the export notifications and the import consents. Other main parts are used for Communications including Translations.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (REACH / CLP)

4.3.2 Ad hoc grants and delegation agreements (BIOCIDES)

4.3.3 Ad hoc grants and delegation agreements (PIC)

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Annankatu18 Bulevardi7	

	Name, location and type of building	Other Comment
Surface area (in square metres) Of which office space Of which non-office space	Total: 24 371 15,385 8 986	Office space : Annankatu - 12,963 Bulevardi- 2,422 Non-office space : Annankatu- 8,986
Annual rent (in EUR)	EUR 5 995 488	
Type and duration of rental contract	Leas contract until 31.12.2019 (with a purchase option until 30.06.2019)	
Host country grant or support	No	
Present value of the building	The Agency does not own the building	

5.1.2 Building project in the planning phase

Following the expiration of the current lease contract of ECHA's office building by 31.12.2019, preparatory assessment on ECHA workplace environment has started. In addition a market survey has been launched preceding the market prospect that will be included in the negotiation procedure. Negotiations are foreseen to conclude between the end 2016 and beginning of 2017.

5.1.3 Building projects submitted to the European Parliament and the Council

The current planning foresees the submittal of a proposed building project to the Budgetary Authority at the earliest mid-2017.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption via reimbursement	Diplomatic status to all non-Finnish Directors	
	- Protocol of Privileges and Immunities	- Access to the Finnish day care system without paying social security contributions at a fee fixed at a level equivalent to that of the local population
	- Exemption of vehicle surtax	

5.3 European schools

In reference to Commission's Decision C(2013)4886 of 01/08/2013 and additional written communication provided by the Commission in respect to allocation of costs related to Type II European Schools, ECHA's 2017 PDB includes the European School contribution amounts related to ECHA's staff pupils.

5.4 Evaluation

The second review of the ECHA was launched by the Commission by the end of the 2015. The effectiveness, efficiency, relevance, coherence and added value of the agency will be assessed. The scope of the evaluation shall cover the full range of ECHA REACH, CLP, BPR and PIC operations and processes. The evaluation study will yield its final results in the first quarter of 2017.

2.2.1.1.2 European GNSS Agency - GSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 912/2010 of the European Parliament and of the Council setting up the European GNSS Agency, repealing Council Regulation (EC) No 1321/2004 on the establishment of structures for the management of the European satellite radio navigation programmes and amending Regulation (EC) No 683/2008 of the European Parliament and of the Council	22/09/2010	To bring the provisions of Council regulation No 1321/2004 in line with those of regulation (EC) n°683/2008. New task: the operation of the Galileo security centre. To set up the European GNSS Agency.
GNSS Regulation No 1285/2013 of the European Parliament and of the Council of 11 December 2013 on the implementation and exploitation of European satellite navigation systems and repealing Council Regulation (EC) No 876/2002 and Regulation (EC) No 683/2008 of the European Parliament and of the Council.	20/12/2013	New governance for the exploitation phase of the GNSS programmes. GSA to become responsible for the exploitation of EGNOS and Galileo as from 01/01/2014. Preparatory actions were required in 2013.
Regulation (EU) No 512/2014 of the European Parliament and of the Council of 16 April 2014 amending Regulation (EU) No 912/2010 setting up the European GNSS Agency	20/05/2014	Exploitation of EGNOS and Galileo systems. With the provisions of initial services, the agency will progressively manage exploitation related activities including development activities and the operation of the first constellation of satellites. GSA will ensure coordination of all the tasks related to the exploitation of the systems such as maintenance, operations, service provision and implementation of future generation of systems, as well as supporting the definition of systems requirements for future systems by providing feedback on changing operational needs and users' requirements.

1.2 Seat

Prague, Czech Republic

1.3 Budget Line

02 05 11 : European GNSS Agency

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	97	94	108	111
Establishment plan posts : AST	5	5	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	102	99	113	116
Contract Agents	27	36	33	34
Seconded National Experts	2	4	2	2
TOTAL STAFF	131	139	148	152

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	28 350 073	27 847 000
Other Revenue	736 254	735 160
TOTAL REVENUES	29 086 327	28 582 160

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	967 791 215	642 809 444

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 952 000	14 952 000	16 267 161	16 267 161
Title 2 - Infrastructure and operating expenditure	5 171 204	5 171 204	5 915 000	5 915 000
Title 3 - Operational expenditure	8 963 123	8 963 123	6 400 000	6 400 000
TOTAL EXPENDITURE	29 086 327	29 086 327	28 582 161	28 582 161

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1		0		1		2		2
AD 12		4		3		5		5		5
AD 11		5		2		5		6		6
AD 10		11		7		12		13		13
AD 9		10		10		12		12		12
AD 8		25		22		30		30		30

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 7		32		38		34		34		34
AD 6		6		9		8		8		8
AD 5		2		2		0		0		0
AD TOTAL		97		94		108		111		111
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6		1		0		1		2		2
AST 5		2		1		2		1		1
AST 4		1		1		1		1		1
AST 3				2						
AST 2		1				1		1		1
AST 1				1						
AST TOTAL		5		5		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		102		99		113		116		116
GRAND TOTAL		102		99		113		116		116

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	17	25	23	23
Function Group III	8	7	7	7
Function Group II	2	4	3	4
Function Group I				
TOTAL	27	36	33	34

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	2	4	2	2

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	26 840 192	28 350 073	27 847 000	27 847 000	-1,77%
- Of which assigned revenues deriving from previous years' surpluses	49 192	461 673	1 323 564	1 323 564	186,69%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	766 223	736 254	735 160	735 160	-0,15%
- Of which EEA/EFTA (excl. Switzerland)	766 223	736 254	735 160	735 160	-0,15%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	27 606 415	29 086 327	28 582 160	28 582 160	-1,73%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	115 410 873	967 791 215	642 809 444	642 809 444	-33,58%
TOTAL	115 410 873	967 791 215	642 809 444	642 809 444	-33,58%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 960 487	14 952 000	16 267 161	16 267 161	8,80%
Salaries & allowances	10 969 218	12 500 000	14 185 161	14 185 161	13,48%
- Of which establishment plan posts	9 123 881	9 963 027	11 186 161	11 186 161	12,28%
- Of which external personnel	1 845 337	2 536 973	2 999 000	2 999 000	18,21%
Expenditure relating to Staff recruitment	85 000	130 000	50 000	50 000	-61,54%
Mission expenses	1 165 831	1 440 000	1 100 000	1 100 000	-23,61%
Socio-medical infrastructure	17 000	60 000	30 000	30 000	-50,00%
Training	212 000	220 000	100 000	100 000	-54,55%
External Services	510 186	600 000	300 000	300 000	-50,00%
Receptions, events and representation	1 252	2 000	2 000	2 000	0,00%
Social welfare			500 000	500 000	100%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 035 337	5 171 204	5 915 000	5 915 000	14,38%
Rental of buildings and associated costs	2 444 995	3 040 000	2 835 000	2 835 000	-6,74%
Information, communication technology and data processing	1 992 370	916 204	1 500 000	1 500 000	63,72%
Movable property and associated costs	183 987	100 000	30 000	30 000	-70,00%
Current administrative expenditure	1 040 500	800 000	760 000	760 000	-5,00%
Postage / Telecommunications	318 485	250 000	385 000	385 000	54,00%
Meeting expenses	55 000	65 000	55 000	55 000	-15,38%
Running costs in connection with operational activities			350 000	350 000	100%
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 609 590	8 963 123	6 400 000	6 400 000	-28,60%
Operations and studies	4 037 284	4 064 463	2 215 000	2 215 000	-45,50%
Security accreditation expenditure	1 400 000	1 400 000	1 050 000	1 050 000	-25,00%
Galileo Security Monitoring Centres (GSMCs)	3 172 306	3 498 660	3 135 000	3 135 000	-10,39%
TOTAL	27 605 414	29 086 327	28 582 161	28 582 161	-1,73%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 961 487	14 952 000	16 267 161	16 267 161	8,80%
Salaries & allowances	10 969 218	12 500 000	14 185 161	14 185 161	13,48%
- Of which establishment plan posts	9 123 881	9 963 027	11 186 161	11 186 161	12,28%
- Of which external personnel	1 845 337	2 536 973	2 999 000	2 999 000	18,21%
Expenditure relating to Staff recruitment	85 000	130 000	50 000	50 000	-61,54%
Mission expenses	1 165 831	1 440 000	1 100 000	1 100 000	-23,61%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	18 000	60 000	30 000	30 000	-50,00%
Training	212 000	220 000	100 000	100 000	-54,55%
External Services	510 186	600 000	300 000	300 000	-50,00%
Receptions, events and representation	1 252	2 000	2 000	2 000	0,00%
Social welfare			500 000	500 000	100%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 035 337	5 171 204	5 915 000	5 915 000	14,38%
Rental of buildings and associated costs	2 444 995	3 040 000	2 835 000	2 835 000	-6,74%
Information, communication technology and data processing	1 992 370	916 204	1 500 000	1 500 000	63,72%
Movable property and associated costs	183 987	100 000	30 000	30 000	-70,00%
Current administrative expenditure	1 040 500	800 000	760 000	760 000	-5,00%
Postage / Telecommunications	318 485	250 000	385 000	385 000	54,00%
Meeting expenses	55 000	65 000	55 000	55 000	-15,38%
Running costs in connection with operational activities			350 000	350 000	100%
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 077 812	8 963 123	6 400 000	6 400 000	-28,60%
Operations and studies		4 064 463	2 215 000	2 215 000	-45,50%
Security accreditation expenditure	1 077 812	1 400 000	1 050 000	1 050 000	-25,00%
Galileo Security Monitoring Centres (GSMCs)		3 498 660	3 135 000	3 135 000	-10,39%
TOTAL	20 074 636	29 086 327	28 582 161	28 582 161	-1,73%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 323 564.

4 Justification of needs

Commission assessment

In line with the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The agency's request is in line with the Commission Communication.

Financial Resources

The agency's request is in line with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of staff requested for 2017 respects the ceiling proposed in the Commission Communication on the programming of human and financial resources for decentralised agencies for 2014-2020. Recruitments are required to manage the increasing workload and tasks associated in the main with the Delegation Agreements for EGNOS, GALILEO and Horizon 2020. In 2017, in addition to its own operational budget, the Agency will manage a significant

volume of contracts and grants delegated by the Commission. Growth in staff in 2017 is therefore concentrated on the needs generated by the significant amount of delegated budget.

The estimated value of new grants to be signed under the Horizon 2020 Delegation Agreement in 2017 is EUR 33 million with EUR 2 million foreseen for experts, reviewers and audits. The EGNOS Delegation Agreement was signed in April 2014 with a total of EUR 1.45 billion foreseen for the period to 2021 and in the first half of 2016 the EGNOS DA will be updated to include funding for v3 initial operations and a study on extension of services for Africa for an additional 102 MEUR. The Galileo Delegation Agreement was signed in October 2014 for EUR 490 million for the period up to 2021, amended for an additional EUR 300 million in December 2015 and with a revision also foreseen in 2016, which would bring the total delegated Galileo budget to EUR 2.4 billion.

In short, the total staff of 116 TAs for 2017 will be responsible for managing new operational budget in terms of planned commitments of approximately EUR 650 million plus the management of open contracts and grants. This is made up of approximately EUR 642.8 million of delegated operational budget and EUR 6.4 million of core operational budget (on Title 3).

4.1.2 Vacancy rate as of end 2015

There was a 3% vacancy rate as of 31/12/2015. 99 out of 102 EP posts were filled, including offers accepted, by the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

For the calculation costs of new recruits, estimates are based on previous recruitment experience and a detailed analysis per individual staff member. As an average, the Agency estimates 1 July 2017 as the date of entry into service of new staff, taking into account the current effectiveness of our recruitment procedures and the current availability of several valid reserve lists.

4.1.4 Salary assumption for calculating salary line (% applied)

Salary assumptions are based on existing real costs of the GSA per grade and staff. Current correction coefficients for the place of living have been applied for the staff located in the Czech Republic, France and the United Kingdom. Part of the staff costs are linked to the installation and one-off costs for all new staff, in accordance with the Staff Regulations of Officials of the European Communities and Conditions of Employment of other Servants of the European Communities.

4.1.5 Correction coefficient used

The correction coefficient used to estimate the salary needs for 2017 is based on the current correction coefficients for the Czech Republic, France and the UK which are 73.4%, 114.6% and 166.9% respectively.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The new GNSS Regulation adopted by the Legislative Authority in December 2013 introduced a new governance structure for the EGNOS and Galileo programmes under which the GSA became the entity responsible for the exploitation, under delegation by the European Commission, of both systems as of 01/01/2014. It is imperative that the GSA is appropriately staffed in order for the European Union to be able to deliver effective results and avoid delays or disruption of services.

The Agency is expected to grow with 3 more Temporary Agents in 2017 in order to ensure sufficient staffing to undertake the efficient management and implementation of all tasks, both core and delegated.

Overall, staff costs are expected to rise by approximately 8.8%. This includes the costs of additional Contract Agents to be recruited in 2016 who will receive 12 months of salary for 2017. The 2016 figure includes an amount of 400,000 EUR for social measures for which a new budget line is to be presented to the Agency's Administrative Board for

approval at the beginning of 2016. Recruitment costs are estimated to be significantly lower in 2017 compared to 2016 due to the slower pace of increase in establishment plan posts (3 new EP posts in 2017 in comparison with 11 in 2016). The new posts to be recruited will be of a very technical nature requiring highly qualified staff and are anticipated at appropriate starting grades. Multilingual tuition costs for the children increase in proportion to the number of staff and are estimated based on a thorough analysis and extrapolation of present-day costs.

It should be noted that mission costs remain stable, despite increasing staff figures and an increasing level of delegated activities for which no mission budget is allocated. This is possible due to increasingly efficient mission management with videoconferencing being utilised wherever appropriate and due to effective advance planning of missions. Training costs for staff are reduced in 2017 as a result of the overall lower budget ceiling available. It is worth noting that effective and financially efficient training methods have been continuously sought by the Agency, such as grouping training sessions for staff where appropriate and holding training on-site in order to avoid mission costs and taking advantage wherever possible of “in-house” training, passing on knowledge effectively between staff members.

4.2.2 Title 2

In January 2015 the Agency took over the entire building in Prague which it was previously sharing with the Czech Ministry of Finance. The actual rent was set at a symbolic 1 € annually for the first five years of the lease agreement, with the GSA obliged to pay 25% of the market rate as of September 2017. Following an expert analysis, the estimated amount for the 4 months to be covered from the 2017 budget is EUR 50 000. Utility consumption costs were slightly lower than expected in 2015 and only a minor increase is expected in future years. Facility management, reception and security services are indexed and so will also increase to a minor extent.

The Galileo Security Monitoring Centres in St. Germain en Laye (France) and Swanwick (United Kingdom) are a core element of the Galileo system, responsible for detecting and responding to events impacting on the security of Galileo and it is imperative that the GSMCs are adequately resourced in order to minimise any risks which could threaten the future of the entire Galileo system. In order to ensure the daily operation of both centres, the GSA must meet costs for the facility management, hosting services and consumption costs.

Current administrative costs are expected to remain relatively stable, even while taking into account the higher number of staff, indexation and inflation of administrative contracts.

The fact that the GSA has three separate locations places a budgetary burden upon the Agency in terms of administrative costs, however new IT applications and videoconferencing have been set up by the Agency in order to limit rising costs. These investments and their maintenance costs are reflected in the Data Processing and in the Telecommunications budgets.

A 1% reduction in the regular administrative costs of the Agency has already been applied for 2017 in the GSA revised financial statement annexed to the GSA Regulation.

For a more detailed justification of the trend on individual budget lines, please see below:

Rental of buildings: Expenditure on buildings at all sites is expected to remain stable in 2017 and for future years, with slight increases due to indexation on service contracts for guarding, reception and facility management.

Data processing: Relatively high Information Technology costs are a necessity for a highly technical Agency such as the GSA, especially taking into consideration the Agency’s very specific security requirements. Major efforts are being made to maintain these costs at an average level of EUR 1 500 000 in 2017 and future years.

Movable property: Major investments have been made in previous years in an effort to equip all the GSA sites. Needs for 2017 are therefore minimal, mainly reflecting a need for maintenance or replacement of the current furniture and equipment.

Current admin: Costs are expected to remain relatively stable, while taking into account inflation

Postage/telecommunications: Overall expenses are stable. Videoconferencing and SIP (Session Initiation Protocol) is now in place and the maintenance costs explain the increased amounts allocated to this budget line for 2017 and future years. Postage and telecommunication costs are likely to increase in proportion to new staff numbers over the years, however overall expenses are stable.

4.2.3 Title 3

Title 3 operational core budget covers the core tasks of the Agency as stipulated in the GNSS Regulation 1285/2013.

Preparation for the commercialisation and awareness of the European GNSS

The milestones mentioned in section 4.1.1 above will require intense communication and promotion activities towards industry and all GNSS stakeholders. These activities include sector specific event support and promotion of EGNOS and Galileo in aviation, high precision, agriculture, road, maritime, rail and LBS markets; participation in events related to PRS uptake, E-communication and publications, advertising and video to represent and increase visibility of the programmes. Market development tasks in 2017 will concentrate primarily on increasing Galileo and EGNOS penetration in all market segments, monitoring the market and technology and implementing User Relationship Management ensuring EGNSS user satisfaction.

These items of operational expenditure under which the Agency has a mandate (market development applications, contribution to the commercialisation and awareness of Galileo and EGNOS, communication activities) are expected to require a budget of EUR 2.215 million in 2017.

Security Accreditation

As far as the Galileo Security Accreditation activities are concerned, the overall budget remains stable at EUR 1 400 000, however the EUR 350 000 which has each year been allocated to support Member States via reimbursements of missions and meetings related to Security Accreditation, Flight Key Cell and Crypto Distribution Authority actions will be categorised under Title 2 for 2017, in order to reflect its administrative nature. A new budget line will be dedicated to Security Accreditation Administration in order to maintain complete transparency.

The remaining budget of EUR 1 050 000 on Title 3 will be used for accreditation support contracts to perform technical activities linked to the security accreditation process and, in particular, to the on-going preparation of the upcoming Galileo system accreditation milestones e.g. Authorisations to Launch (ATL). This includes: review of technical security documentation; analysis of system security risks and of risk treatment plans; analysis of the compliance with system security requirements; contribution to the drafting of accreditation documents and reports; contribution to independent security test plans and procedures; support for site accreditation; access to independent experts for in-depth technical reviews; support to the setting of new tasks requested by the SAB and associated with the new GSA Regulation; monitoring of the security perception and performance of the EU GNSS in the public domain and user communities. These support contracts are essential in order to provide the expected level of support to the Security Accreditation Board, as stipulated by the current GSA Regulation 912/2010 amended by 512/2014.

Galileo Security Monitoring Centres

For the Galileo Security Monitoring Centres (GSMCs), operational support contracts will be required in 2017 for an estimated amount of EUR 3.135 million. These support contracts will be crucial in ensuring operational, technical and security activities supporting GSMC services in 2017 for both GSMC sites (FR & UK). In more specific terms, the contracts will be necessary to cover the following essential actions: GSMC Operations Engineering Processes to support the progressive deployment of GSMC capabilities, including the design and documentation of all the operations procedures through testing phases and managing the technical processes lifecycle; GSMC Operations Processes, including the support needed for the monitoring of the security and health status of the Galileo System, including the capability to react to all security incidents and the associated technological surveillance; Service Level Agreement with the EU CERT [Computer Emergency Response Team].

4.3 Ad hoc grants and delegation agreements

In 2017, in addition to its own operational core budget, the Agency will manage a significant volume of contracts and grants delegated by the European Commission. Delegated tasks are increasing significantly now that the Agency has become entrusted with the exploitation of the GNSS programmes (EGNOS and Galileo) and with the management of Horizon 2020 grants and contracts.

The delegated budget for Horizon 2020 in 2014 was EUR 67.13 million and EUR 27 million for 2015 with EUR 35 million expected to be signed in new grants and contracts in 2016. The EGNOS Delegation Agreement was signed in April 2014 for a total delegated budget of EUR 1.45 billion foreseen for the period to 2021. In the second half of 2016 the EGNOS DA will be updated to include additional funding of for v3 initial operations and maintenance and a study

on the extension of EGNOS services to Africa. The GSA will be delegated a further EUR 102 million for these purposes.

The Galileo Delegation Agreement was signed in October 2014 for total budget of EUR 490 million up to 2021 with this amount already increased in December 2015 to EUR 790 million and to be further increased in the first half of 2016 by EUR 1 610 million, bringing the total delegated amount under the Galileo Delegation Agreement to EUR 2.4 billion. The GSA will therefore be entrusted with most of the budget allocated to the Galileo Programme under the GNSS Regulation.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Prague, Czech Republic GSA Headquarters Janovskeho 438/2	
Surface area (in square metres) Of which office space Of which non-office space	14,176 sq.m. 3,925 sq.m. 10,251 sq.m.	Non-office space includes cafeteria, meeting rooms, conference room, technical areas, storage areas, a large entrance hall, stairs and corridors.
Annual rent (in EUR)	Estimated 200,000 EUR per annum, only 50,000 EUR for 2017	1 € rental fee for the first five years of the lease agreement (until September 2017). GSA to pay all related admin costs, IT and infrastructure
Type and duration of rental contract	Indefinite	
Host country grant or support	Yes	1 € rental fee only for first five years of the lease, only 25% of market rate as of September 2017.
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	St. Germain en Laye, France GSMC	Galileo Security Monitoring Centre
Surface area (in square metres) Of which office space Of which non-office space	812.35 sq.m. 640.55 sq.m. 171.8 sq.m.	
Annual rent (in EUR)		No actual rent to be paid but GSA to cover associated costs such as utilities, facility management, security guards, furniture and IT
Type and duration of rental contract	Indefinite	
Host country grant or support	Partial support by MS	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Swanwick UK	Galileo Security Monitoring Centre – NATS
Surface area (in square metres) Of which office space Of which non-office space	328.44 sq.m 159.26 sq.m. 169.18 sq.m.	
Annual rent (in EUR)		Hosting services and site manager to be paid by the GSA
Type and duration of rental contract	Indefinite	
Host country grant or support	Partial support by MS	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Prague: VAT exemption	Headquarters agreement granting VAT exemption up to 100,000 CZK p.a. (approx.. 3,600 EUR) but not diplomatic status (with the exception of the Executive Director)	Local infrastructure is used for medical care and multilingual tuition for children of staff.
St. Germain en Laye	No special privileges	Local infrastructure is used for medical care and multilingual tuition for children of staff.
Swanwick	No special privileges	

5.3 European schools

N/A

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the European Parliament and the Council during 2017.

2.2.1.1.3 European Foundation for the improvement of Living and Working conditions - EUROFOUND

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EEC) no 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions	26/05/1975	Contribute to the planning and establishment of better living and working conditions through action designed to increase and disseminate knowledge likely to assist this development. It should deal with the following issues: Man at work; organisation of work and particularly job design; problems peculiar to certain categories of workers; long-term aspects of improvement to the environment; distribution of human activities in space and time
Extension of activities	1977	Launch of the European Industrial Relations Observatory (EIRO) on a proposal from the European Commission adopted by the Administrative Board
Extension of activities	2001	Launch of the European Monitoring Centre on Change (EMCC) on a proposal from the European Commission endorsed by the Presidency Conclusions of the Nice European Council and adopted by the Administrative Board
Modification to the Founding Regulation	2003	Amendments to various administrative procedures and adjustment to new Financial Regulation; Modification to governance provisions; adjustment to new Staff Regulations

1.2 Seat

Dublin, Ireland

1.3 Budget Line

04 03 11 : European Foundation for the Improvement of Living and Working Conditions

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	51	48	50	50
Establishment plan posts : AST	46	47	45	43
Establishment plan posts : AST/SC				
Total Establishment plan posts	97	95	95	93
Contract Agents	14	13	14	14
Seconded National Experts	0	0	0	0
TOTAL STAFF	111	108	109	107

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 371 000	20 371 000
Other Revenue	189 000	200 000
TOTAL REVENUES	20 560 000	20 571 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants		
Delegation agreements	0	0
TOTAL REVENUES	0	0

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	12 080 000	12 080 000	12 151 000	12 151 000
Title 2 - Infrastructure and operating expenditure	1 400 000	1 400 000	1 400 000	1 400 000
Title 3 - Operational expenditure	7 080 000	7 080 000	7 020 000	7 020 000
TOTAL EXPENDITURE	20 560 000	20 560 000	20 571 000	20 571 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		2		1		1		1
AD 13		4		3		4	1	4	1	4
AD 12	2	7	2	4	2	8	2	7	2	7
AD 11	1	5	1	4	1	5	0	5	0	5
AD 10	1	4		1	1	4	2	4	2	4
AD 9	1	3	1	2	1	3	1	3	1	3
AD 8	1	7	2	5	1	5	1	6	1	6
AD 7	2	5	1	6	2	5	1	6	1	6
AD 6		6		7		5		4		4
AD 5			1	6	0	1		1		1
AD TOTAL	8	43	8	40	8	42	8	42	8	42
AST 11										
AST 10		2		2		2		2		2
AST 9		6		3		7		9		5

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 8		7		3		8		9		8
AST 7		9		9	1	10	2	8	2	8
AST 6	2	2	1	4	3	0	3	1	3	1
AST 5	3	6	3	3	2	8	1	6	1	8
AST 4	2	1		5	1		1		1	1
AST 3	1	1		4				1		1
AST 2	1	1	1	4	1	1	1		1	
AST 1	1	1	4	1	0	1		1		1
AST TOTAL	10	36	9	38	8	37	8	37	8	35
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	18	79	17	78	16	79	16	79	16	77
GRAND TOTAL	97		95		95		95		93	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	3	4	3	3
Function Group III	4	4	4	4
Function Group II	5	3 (1)	5	5
Function Group I	2	2	2	2
TOTAL	14	13	14	14

(1) An offer is being made and when accepted this number will increase to 4.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 671 000	20 371 000	20 371 000	20 371 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	191 000	11 000	7 000	7 000	-36,36%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	p.m.	p.m.	p.m.	p.m.	
- Of which EEA/EFTA (excl. Switzerland)	p.m.	p.m.	p.m.	p.m.	
- Of which candidate countries	p.m.	p.m.	p.m.	p.m.	
4 OTHER CONTRIBUTIONS	p.m.	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS	131 912	17 000	18 000	18 000	5,88%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	283 236	172 000	182 000	182 000	5,81%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 086 148	20 560 000	20 571 000	20 571 000	0,05%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	1 600 000	0	0	0	◆
TOTAL	1 600 000	0	0	0	◆

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 287 114	12 080 000	12 151 000	12 151 000	0,59%
Salaries & allowances	11 107 764	11 013 000	11 082 000	11 082 000	0,63%
- Of which establishment plan posts	10 690 048	10 553 000	10 620 000	10 620 000	0,63%
- Of which external personnel	417 716	460 000	462 000	462 000	0,43%
Expenditure relating to Staff recruitment	90 562	104 000	104 000	104 000	0,00%
Mission expenses	346 635	365 000	367 000	367 000	0,55%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	190 110	158 000	158 000	158 000	0,00%
Training	95 701	120 000	120 000	120 000	0,00%
External Services	456 342	320 000	320 000	320 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 401 844	1 400 000	1 400 000	1 400 000	0,00%
Rental of buildings and associated costs	681 904	661 000	661 000	661 000	0,00%
Information, communication technology and data processing	566 485	550 000	550 000	550 000	0,00%
Movable property and associated costs	67 543	102 000	102 000	102 000	0,00%
Current administrative expenditure	16 705	20 000	20 000	20 000	0,00%
Postage / Telecommunications	69 207	67 000	67 000	67 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	7 402 210	7 080 000	7 020 000	7 020 000	-0,85%
TOTAL	21 091 168	20 560 000	20 571 000	20 571 000	0,05%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 076 450	12 080 000	12 151 000	12 151 000	0,59%
Salaries & allowances	11 107 764	11 013 000	11 082 000	11 082 000	0,63%
- Of which establishment plan posts	10 690 048	10 553 000	10 620 000	10 620 000	0,63%
- Of which external personnel	417 716	460 000	462 000	462 000	0,43%
Expenditure relating to Staff recruitment	339 101	104 000	104 000	104 000	0,00%
Mission expenses	338 102	365 000	367 000	367 000	0,55%
Socio-medical infrastructure	127 183	158 000	158 000	158 000	0,00%
Training	81 536	120 000	120 000	120 000	0,00%
External Services	82 764	320 000	320 000	320 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 142 619	1 400 000	1 400 000	1 400 000	0,00%
Rental of buildings and associated costs	522 641	661 000	661 000	661 000	0,00%
Information, communication technology and data processing	475 146	550 000	550 000	550 000	0,00%
Movable property and associated costs	63 071	102 000	102 000	102 000	0,00%
Current administrative expenditure	14 241	20 000	20 000	20 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Postage / Telecommunications	67 520	67 000	67 000	67 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 967 047	7 080 000	7 020 000	7 020 000	-0,85%
TOTAL	18 186 116	20 560 000	20 571 000	20 571 000	0,05%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 7.126,97.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

The Commission proposes a reduction of EUROFOUND's establishment plan in 2017 by 2 posts to 93, and to maintain the number of Contractual Agents at a stable level (i.e. 14 FTEs as in 2016).

Financial Resources

The EU contribution to EUROFOUND in 2017 is kept stable at the level authorised for 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The number of staff requested is in line with the staff needs of previous years and taking into account EUROFOUND's commitment to reduce its staff members according to the overall reduction target of 5%. With the requested staff number of 95 in 2017 EUROFOUND fully complies with the 5% staff cuts imposed on all EU institutions and bodies. It should be noted that EUROFOUND – in line with its staffing policy as set out in the Programming Document 2017-2020 – intends to reduce the number of permanent posts ('Officials') and to balance this decrease with a corresponding increase in the number of temporary posts.

4.1.2 Vacancy rate as of end 2015

95 posts of the 96 posts available for 2016 were filled by the end of 2015. This corresponds to 99%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement is applied for 2017 since it is planned to have all posts filled in 2015.

4.1.4 Salary assumption for calculating salary line (% applied)

It is assumed that the new 'method' might lead to a small salary increase of about 1% in line with our previous experience.

4.1.5 Correction coefficient used

For the staff based in Ireland 116.6

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 shows a small increase of 0.6 %. Potential salary increases and promotions are expected to be balanced by staff reduction according to multi-annual staff policy plan and savings in other budget lines. As per 4.1.4 the salary increase is estimated at 1%. On top of that we will have promotions and step increases. Salaries and allowances only increase by 0.63%, due to reductions in the establishment plan - thus partly offsetting the above increases. Many other budget chapters in title 1 do not show any increase which in times of inflation is a de-facto reduction in real terms. For external services a significant reduction required when budget 2017 is compared to actuals 2015.

4.2.2 Title 2

Title 2 appropriations are expected to remain the same as in 2015. The planning puts a lot of efforts to reduce the administrative expenditure in order to maintain the available budget for core operational activities and staff resources.

4.2.3 Title 3

General appropriations available for Title 3 are 0.8 % lower compared to 2016.

Compared to 2016 the title 3 would see a limited reduction of 60,000 EUR in 2017. It is the result of the activity planning as agreed by the Governing Board in the Programming Document 2017-2020. Cuts get, as far as possible, realised in the horizontal activities of 'corporate communication and infrastructure ' and in 'organisational support and development '.

4.3 Ad hoc grants and delegation agreements

On 9 April 2015, Eurofound and DG GROW entered into a Delegation Agreement to carry out a pilot project on 'Future of Manufacturing in Europe' over a four-year period. Two transfers of funds agreements were signed and transfers totalling to EUR 1.6 million were received in 2015. A Contract Agent was employed to administer the project. The consumption of funds for the pilot project is accounted for separately to the rest of the general subsidy and other external appropriations.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	DUBLIN Main building & Conference centre	
Surface area (in square metres) Of which office space Of which non-office space	approx. 2 500 sqm 2 000 sqm 500 sqm Conference Centre	
Annual rent (in EUR)	0	Eurofound doesn't pay an annual rent
Type and duration of rental contract	n/a	The grounds and Loughlinstown House are on a 99 year lease which started in 1999.
Host country grant or support	n/a	There is no regular host country grant or support (in financial terms) available to Eurofound.
Present value of the building	EUR 1 151 073	as of 31 December 2015

	Name, location and type of building	Other Comment
Information to be provided per building:	DUBLIN Loughlinstown House and grounds (historical, listed building)	
Surface area (in square metres) Of which office space Of which non-office space	approx. 500 sqm 350 sqm 150 sqm meeting rooms	
Annual rent (in EUR)	None; EUR 250 000 were paid in 2000 for a 99-year lease (from 2001 on)	
Type and duration of rental contract	99-year lease	
Host country grant or support	n/a	
Present value of the building	n/a	

	Name, location and type of building	Other Comment
Information to be provided per building:	BRUSSELS 18, avenue d'Auderghem	Brussels Liaison Office
Surface area (in square metres) Of which office space Of which non-office space	100 sqm	
Annual rent (in EUR)	EUR 21 175	in 2015
Type and duration of rental contract	Lease agreement with annual termination clause	
Host country grant or support	n/a	
Present value of the building	n/a	

5.1.2 Building project in the planning phase

No new buildings or building extensions planned; no change of surface area.

5.1.3 Building projects submitted to the European Parliament and the Council

EUROFOUND does not plan any building projects likely to have significant financial implications which will be submitted to the European Parliament and the Council. Nor are there building projects submitted previously in accordance with Article 203 of the Financial Regulation.

5.2 Privileges and immunities

The Irish government and Eurofound concluded a Seat agreement and a related Memorandum of Understanding on 10 November 2015. These documents replaced previous arrangements which were based on written correspondence between Eurofound and the services of the Irish government. The new Seat agreement and Memorandum of Understanding base on the guidelines and templates prepared by the Commission and the Agencies' Legal Network.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The agency has diplomatic status	No staff member has diplomatic status but senior staff is entitled to a Laisser-Passer document to facilitate travel - however, this privilege is currently not availed of. The Protocol on privileges and immunities is applicable to all staff recruited under the staff regulation. This entitles them and their families to enter Ireland without being subject to the usual immigration procedures, for staff to travel to and from work without hindrance, for staff to transfer residence to Ireland without payment of import duty on their effects and motor cars. Staff are exempt from national income tax on their earning on the basis that they are liable to a tax for the benefit of the Communities on salaries, wages and emoluments paid to them by the Communities.	There is no access to subsidised day care facilities for staff
In common with other EU institutions.	There are no continuous VAT exemptions to for staff with	

Agency privileges	Privileges granted to staff	
EUROFOUND is exempt from VAT.	the exception of the Director.	
<p>EUROFOUND meets the full cost of office furniture, security and infrastructure.</p> <p>There is no contribution by ministries and regional governments</p>	<p>Staff recruited from outside Ireland, may purchase up to two cars, without payment of tax or import charges, during the first year of employment in EUROFOUND.</p>	<p>Educational allowances are paid to staff in accordance with the provisions of the Staff regulations</p> <p>School fees are not paid directly by EUROFOUND. Staff sending their children to private schools may qualify for the non-flat rate education allowance (the child must be at least 6 years of age) in accordance with the provisions of the Staff regulations.</p>

5.3 European schools

EUROFOUND is considering the establishment of a European School as part of the discussions on a seat agreement with the Irish Government.

5.4 Evaluation

Throughout 2015, an ex-ante evaluation was carried out of Eurofound's proposed multiannual programme of work 2017-2020 ("Programming Document 2017-2020"). This ex-ante evaluation was carried out as formative evaluation and accompanied the programme development process throughout the entire duration, from inception in early 2015, up to the point of the submission of the draft final 'Programming Document' for the 2017-2020 programme. A short summative final report of the ex-ante evaluation has been submitted to the budgetary authorities (European Commission, European Parliament and Council) as an accompaniment to the Programming Document 2017-2020 to which it referred on 29th January 2016.

This report provides a transparent account of the formative ex-ante evaluation inputs provided to Eurofound to support it in developing a 'good programme', in fulfilment of the ex-ante evaluation criteria defined in the financial regulation. It also contains a 'summative' evaluative assessment by the ex-ante evaluation team to what extent the proposed programme 2017-2020 meets the ex-ante evaluation criteria of a 'good' programme, and what will be required to ensure feasibility of delivery of the programme and will aid the future planned 'ex-post' evaluation of this programme according to the formal evaluation requirements.

The final report will be available on Eurofound's website at <http://www.eurofound.europa.eu/evaluation>

2.2.1.1.4 European Agency for safety and Health at work - EUOSHA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 2062/94	18/07/1994	<p>Workers and employers need to be made aware of the risks that they face, and how to manage them. But health and safety bodies in the individual EU Member States cannot do this alone.</p> <p>That is why the European Agency for Safety and Health at Work was set up, in 1994.</p>
Council Regulation (EC) No 1643/95	29/06/1995	<p>Our mission is to make Europe's workplaces safer, healthier and more productive. We do this by bringing together and sharing knowledge and information, to promote a culture of risk prevention.</p> <p>Located in Bilbao, Spain, we have a dedicated staff of occupational safety and health (OSH), communication and administrative specialists. At the national level, we are represented through a network of focal points, which are usually the lead OSH bodies in the individual Member States.</p> <p>The Agency is a tripartite organisation, this means that we work with governments, employers and workers representatives. We are a single reference point for OSH information. We collect, analyse and disseminate technical, scientific and economic information on OSH risks.</p> <p>We share good practice, and communicate information in a variety of ways to reach workers and workplaces. Our awareness raising activities include our two-year Healthy Workplaces campaigns. We also provide information on our website, via our electronic newsletter OSH mail, and in a range of printed publications.</p> <p>We help to explain European legislation on OSH.</p> <p>And we look out for risks which may only be emerging, due to the fast pace of change in the workplace. Our European Risk Observatory aims to identify new and emerging risks. Initiatives such as the Agency's European Survey of Enterprises on New and Emerging Risks (ESENER) help to give an overview of safety and health at work in Europe, describe the trends and underlying factors, and anticipate changes in work and their likely consequences for safety and health. Additionally, The Observatory aims to stimulate debate and reflection among the Agency's stakeholders and to provide a platform for debate between policy-makers at various levels.</p>
Council Regulation (EC) No 1654/2003	18/06/2003	Updating of the Agency's regulation in accordance with new EU financial and public document access provisions
Council Regulation (EC) No 1112/2005	24/06/2005	Modification of the Agency's government and management structures

1.2 Seat

Bilbao, Spain

1.3 Budget Line

04 03 12 : European Agency for Safety and Health at Work

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	24	23	24	23

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AST	18	17	17	17
Establishment plan posts : AST/SC				
Total Establishment plan posts	42	40	41	40
Contract Agents	24	24	24	24
Seconded National Experts	0	0	0	0
TOTAL STAFF	66	64	65	64

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 679 000	14 679 000
Other Revenue	564 800	560 600
TOTAL REVENUES	15 243 800	15 239 600

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants	548 059	
Delegation agreements	941 295	
TOTAL REVENUES	1 489 354	0

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 705 100	5 705 100	5 705 100	5 705 100
Title 2 - Infrastructure and operating expenditure	1 379 950	1 379 950	1 375 310	1 375 310
Title 3 - Operational expenditure	8 158 750	8 158 750	8 159 190	8 159 190
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	1 489 354	1 489 354	p.m.	p.m.
TOTAL EXPENDITURE	16 733 154	16 733 154	15 239 600	15 239 600

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1		2		1		2		2
AD 12		1		1		2		2		2
AD 11		2		1		1		1		1
AD 10		2		1		3		3		3
AD 9		2		1		1		3		3
AD 8		6		6		7		5		5
AD 7		5		6		5		7		6
AD 6		4		4		3				
AD 5										
AD TOTAL		24		23		24		24		23
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8										
AST 7		1				1		1		1
AST 6		2		2		3		3		3
AST 5		4		3		4		6		6
AST 4		5		7		4		2		2
AST 3		2		2		3		4		4
AST 2		3		2		1				
AST 1										
AST TOTAL		18		17		17		17		17
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		42		40		41		41		40
GRAND TOTAL		42		40		41		41		40

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	2	2	2	2
Function Group III	12	12	12	12
Function Group II	10	10	10	10
Function Group I	0			
TOTAL	24	24	24	24

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	14 309 116	14 679 000	14 679 000	14 679 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	145 000	16 000	165 000	165 000	931,25%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	423 879	404 700	400 500	400 500	-1,04%
- Of which EEA/EFTA (excl. Switzerland)	423 879	404 700	400 500	400 500	-1,04%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	100 100	160 100	160 100	160 100	0,00%
5 ADMINISTRATIVE OPERATIONS	78 678	p.m.	p.m.	p.m.	
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	5 399	p.m.	p.m.	p.m.	
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT		p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES		p.m.	p.m.	p.m.	
TOTAL	14 911 773	15 243 800	15 239 600	15 239 600	-0,03%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	594 828	548 059	p.m.		-100%
ADDITIONAL EU FUNDING STEMMING		941 295			-100%

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	594 828	1 489 354	p.m.		-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 287 680	5 705 100	5 705 100	5 705 100	0,00%
Salaries & allowances	5 014 793	5 431 600	5 431 600	5 431 600	0,00%
- Of which establishment plan posts	3 758 070	4 091 850	4 091 850	4 091 850	0,00%
- Of which external personnel	1 256 723	1 339 750	1 339 750	1 339 750	0,00%
Expenditure relating to Staff recruitment	44 150	67 500	67 500	67 500	0,00%
Mission expenses					
Socio-medical infrastructure	22 640	36 000	36 000	36 000	0,00%
Training	159 097	120 000	120 000	120 000	0,00%
External Services	47 000	50 000	50 000	50 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 389 802	1 379 950	1 375 310	1 375 310	-0,34%
Rental of buildings and associated costs	588 689	603 900	599 260	599 260	-0,77%
Information, communication technology and data processing	635 011	542 800	542 800	542 800	0,00%
Movable property and associated costs	20 005	36 150	36 150	36 150	0,00%
Current administrative expenditure	32 289	54 500	54 500	54 500	0,00%
Postage / Telecommunications	113 808	142 600	142 600	142 600	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 157 093	8 158 750	8 159 190	8 159 190	0,01%
Communication, Campaigning and Promotion	3 866 275	3 985 735	4 477 000	4 477 000	12,33%
Networking and Coordination	1 606 095	1 441 015	1 390 300	1 390 300	-3,52%
Prevention and Research	2 684 723	2 732 000	2 291 890	2 291 890	-16,11%
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	1 505 724	1 489 354	p.m.	p.m.	-100%
IPA III	29 751	410 000 (1)	p.m.	p.m.	-100%
Older workers	1 237 689	941 295			-100%
ENPI	238 284	138 059			-100%
TOTAL	16 340 299	16 733 154	15 239 600	15 239 600	-8,93%

(1) The IPA III programme ended in 2014. The 410 000€ concerns the new IPA II.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 160 877	5 705 100	5 705 100	5 705 100	0,00%
Salaries & allowances	4 994 618	5 431 600	5 431 600	5 431 600	0,00%
- Of which establishment plan posts	3 758 070	4 091 850	4 091 850	4 091 850	0,00%
- Of which external personnel	1 236 548	1 339 750	1 339 750	1 339 750	0,00%
Expenditure relating to Staff recruitment	40 484	67 500	67 500	67 500	0,00%
Mission expenses					
Socio-medical infrastructure	15 923	36 000	36 000	36 000	0,00%
Training	65 121	120 000	120 000	120 000	0,00%
External Services	44 731	50 000	50 000	50 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 025 062	1 379 950	1 375 310	1 375 310	-0,34%
Rental of buildings and associated costs	538 856	603 900	599 260	599 260	-0,77%
Information, communication technology and data processing	398 147	542 800	542 800	542 800	0,00%
Movable property and associated costs	5 186	36 150	36 150	36 150	0,00%
Current administrative expenditure	12 076	54 500	54 500	54 500	0,00%
Postage / Telecommunications	70 797	142 600	142 600	142 600	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 774 040	8 158 750	8 159 190	8 159 190	0,01%
Communication, Campaigning and Promotion	3 015 589	3 985 735	4 477 000	4 477 000	12,33%
Networking and Coordination	1 124 458	1 441 015	1 390 300	1 390 300	-3,52%
Prevention and Research	633 993	2 732 000	2 291 890	2 291 890	-16,11%
Title 4 - Specific projects ad hoc grants, delegation agreement (R0)	592 163	1 489 354	p.m.	p.m.	-100%
IPA III	29 751 (2)	410 000 (1)	p.m.	p.m.	-100%
Older workers	402 546	941 295			-100%
ENPI	159 866	138 059			-100%
TOTAL	11 552 142	16 733 154	15 239 600	15 239 600	-8,93%

(1) The IPA III programme ended in 2014. The EUR 410 000 concerns the new IPA II.

(2) Payments for the IPA III programme in 2015 were for legal obligations raised in 2014.

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 165 108.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013

Human Resources

The Commission proposes a reduction of EU-OSHA's establishment plan in 2017 by 1 post to 40, and to maintain the number of Contractual Agents at a stable level (i.e. 24 FTEs and 1 Local Staff as in 2016).

Financial Resources

The EU contribution to EU-OSHA in 2017 is kept stable at the level authorised for 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

During the period 2012 to 2015 EU-OSHA reduced its establishment plan by 2 Temporary Agent posts in compliance with the 5% reduction requirement. As a consequence, the Agency reached the total number of 42 Temporary Agents by end of 2015 which was equal to the total number of Temporary Staff in its establishment plan prior to 2008. Furthermore, during the same period, EU-OSHA reduced the number of Contract Agents by 2 posts. In total, the decrease of statutory and non-statutory staff between 2012 and 2017 corresponded to 4 posts. In accordance to the request for cruising speed Agencies to reduce Temporary Agents staff by an additional 5% for the redeployment pool, EU-OSHA has cut one Temporary Agent's post in the AST category in 2016 and will cut an additional AST post in 2018 (1 Local Staff to be converted into Contract Agent in 2018). Ultimately the Agency will therefore reach the total staff of 65 members including 40 Temporary Agents, 25 Contract Agents in 2018. For 2017 EU-OSHA request is 41 Temporary Agents, 24 Contract Agents and 1 local staff. Compared to 2016, it represents the same level of staff i.e. 66 FTE.

4.1.2 Vacancy rate as of end 2015

Overall, the vacancy rate at the end of 2015 stood at 4%. The 2 TA non occupied posts are due to: ongoing process for recruitment of one AD8 to be appointed early 2016; and no recruitment of 1 AST2 agent in order to meet the staff cut 2016 as per programming of human and financial resources for decentralised agencies.

4.1.3 Standard abatement ('abatement forfaitaire') applied

No abatement foreseen in 2017 unless further staff cut.

4.1.4 Salary assumption for calculating salary line (% applied)

In the light of the budget execution 2015 and the level of appropriations 2016, the calculation of the appropriations for the Title 1 of the Agency's budget (made on the basis of a negative weighting factor estimated at -5%) might be sufficient for covering salary indexation of 1.1% and planned reclassification exercise. Total appropriations requested for the Title 1 of the Agency's budget in 2017 is kept at the same level than 2016 i.e. 5 705 100 EUR.

Estimated weighting factor 2017: 95% (minus 5% compared with Brussels)

Salary indexation 2016 & 2017: 1.1%

Estimated reclassifications: 7 for TA + 5 for CA.

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

In 2017 there will be no increase in the total appropriations for the staff expenditure. Title 1 will therefore amount to EUR 5 705 100. The calculation of appropriations for Title 1 is based on the assumption that the requested 41 Temporary Agents (+ 0), 24 Contract agents posts (+ 0) & 1 Local staff (+ 0) will be filled in during 2017.

4.2.2 Title 2

Since 1 January 2014, the Agency is located in new premises. Occupation of new building generated significant savings mainly on rental costs since 2014. Compared to former years, the average reduction in the total appropriations for Title 2 is 20%. In 2017, the requested appropriations will remain at a similar level as in 2016 i.e. 1 375 310 EUR. These appropriations are basically requested in order to cover not only the building but also equipment, miscellaneous operating expenditure, and office facilities related costs.

4.2.3 Title 3

2017 will be the fourth year in EU-OSHA's multi annual strategic programme 2014-2020, which was developed in close collaboration with DG EMPL and the Advisory Committee on Safety and Health at Work and aims to support implementation of the European strategy 2020 as well as the EU strategic framework on health and safety at work.

The total appropriations for the title 3 of the agency's expenditure is 8 159 190 EUR (8 158 750 EUR in 2016). Title 3 resources are required to finance the following actions in pursuit of the agency's strategic objectives: strategic objective 1: The provision of credible and good quality data on new and emerging risks that meet the needs of policy-makers and researchers and allow them to take timely and effective action; strategic objective 2: The provision of an accurate and comprehensive picture of current OSH risks, their health effects, and how they can be prevented and managed, to allow a better understanding of these issues among policy-makers and researchers; strategic objective 3: The provision of relevant tools for smaller workplaces to manage health and safety, and the engagement of intermediaries in the further development and dissemination of these tools; strategic objective 4: The mobilisation of the OSH community through new tools to promote and facilitate the generation and maintenance of a body of high quality knowledge; strategic objective 5: To get the occupational safety and health message across to multiple beneficiaries by raising awareness about workplace risks and how to prevent them, together with the Agency's intermediaries; and strategic objective 6: To develop and implement networking and communication activities to ensure that the Agency's activities meet the needs of its key stakeholders, to enable networks to take an active part in the Agency's activities and to ensure that Agency information reaches the intended beneficiaries and intermediaries.

EU-OSHA is a small Agency working with information and knowledge. This makes networking and communication decisive for achieving its objectives. Networking activities are a way of ensuring the relevance of the Agency's work and creating a multiplication effect in the implementation phase. The Agency's communication activities provide a vehicle for communicating a wide range of information to a very broad group of intermediaries and beneficiaries. In 2017 the Agency will develop its role in facilitating and promoting active networks in the OSH community allowing better information exchange among the relevant actors.

4.3 Ad hoc grants and delegation agreements

EU-OSHA runs special projects following signature of ad hoc grants and delegation agreements with the European Commission. Funds (income and expenditure) are earmarked (i.e. corresponding to the mechanism: unpaid amounts in a year are automatically subject to carry-over/carry forward at year-end to next year).

1) Grant contract ENPI/2013/325-489 (ENPI) for the implementation of the action "Preparatory measures for the collaboration of ENP countries with EU-OSHA. Start date: 01/02/2014. Duration: 24 months. Total costs: EUR 373 424 funded 100% via DG NEAR. One CA, not part of the estimated external staff, is contracted for the project management. Salary and related costs are part of the agreement costs. The remaining appropriations in 2016 are EUR 138 059 of which EUR 90 736 are committed. The grant contract is expected to be ended in 2016.

2) Grant contract NEW IP AII 2015/367-495 for the implementation of the action "Preparatory measures for the participation of Western Balkans and Turkey in the European Agency for Safety and Health at Work". Start date: 01/12/2015. Duration: 24 months. Total costs: EUR 410.000 funded 100% via DG NEAR. One CA, not part of the estimated external staff, is contracted for the project management. Salary and related costs are part of the agreement costs. The remaining appropriations in 2016 are EUR 410 000. The grant contract is expected to be ended in 2017.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Miribilla Building Santiago de Compostella 12 E-48003 Bilbao - Spain	As from 01/01/2014
Surface area (in square metres) Of which office space Of which non-office space	Built: 2.653 M2 Net floor: 2.385M2 1.018M2 1.367 M2	
Annual rent (in EUR)	- EUR 269 031 (rent of office space and one parking slot - EUR 59 437 (communal charges	
Type and duration of rental contract	8 years	
Host country grant or support	EUR 160 100	Effectively cashed 100 100 EUR in 2014 & 2015 and budgets amended accordingly
Present value of the building	n/a	

	Name, location and type of building	Other Comment
Information to be provided per building:	Multiburo - NCI Brussels Liaison office (BLO) located in 38/40 Square de Meeùs BE 1000 Brussels Belgium	
Surface area (in square metres) Of which office space Of which non-office space	30 M2 Not provided	
Annual rent (in EUR)	EUR 44 848 (fully serviced) EUR 3 750 (running costs)	
Type and duration of rental contract	Renewal on a yearly basis	
Host country grant or support	n/a	
Present value of the building	n/a	

5.1.2 Building project in the planning phase

The Agency moved to new premises in 2014 and has no new building plan for the future.

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency receive an annual subsidy of EUR 160 000 from local and national authority	In 2014 EUR 100 100 was received.	
Seat agreement	A seat agreement has been signed with the Kingdom of Spain on 31 March 2014	

5.3 European schools

n/a

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by 24 February 2012.

The main findings of the latest evaluation available are as follows:

The Agency operates with limited resources in a complex environment. It has heterogeneous target audiences located across 27 diverse Member States. Despite these challenges it is felt to do a difficult job very well and to have competent and responsive staff. The information provided by the Agency is seen as credible and offering good quality OSH content. Further, the Agency is viewed as capable of designing, developing and conducting its own data collection e.g. through ESENER. The objectives of the Agency's strategy and the balance of its activities across the four main mission-related areas are appropriate.

The relevance and usefulness of the Agency's activities to its target audiences are generally felt to be high, particularly amongst researchers and policy-makers. The difficulty of reaching employers (and particular SMEs) is widely acknowledged but the Agency has also made progress in this area in its work on OiRA. There is clear European added value across its four mission-related areas, although this tends to be greatest in Member States with less in the way of OSH resources, or experience of the framework directive.

Full report can be found at:

https://osha.europa.eu/en/publications/evaluation_reports/mid-term-evaluation-euosha-strategy_2009-2013_en.pdf

2.2.1.1.5 European Centre for the Development of Vocational Training - CEDEFOP

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 337/75	10 February 1975	Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training
Regulation 1946/93	30 June 1993	Council Regulation (EEC) No 1946/93 of 30 June 1993 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1131/94	16 May 1994	Council Regulation (EC) No 1131/94 of 16 May 1994 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 251/95	6 February 1995	Council Regulation (EC) No 251/95 of 6 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 354/95	20 February 1995	Council Regulation (EC) No 354/95 of 20 February 1995 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training
Regulation 1655/2003	18 June 2003	Council Regulation (EC) No 1655/2003 of 18 June 2003 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training and repealing Regulation (EEC) No 1416/76
Regulation 2051/2004	25 October 2004	Council Regulation (EC) No 2051/2004 of 25 October 2004 amending Regulation (EEC) No 337/75 establishing a European Centre for the Development of Vocational Training

1.2 Seat

Thessaloniki, Greece

1.3 Budget Line

04 03 13 : European Centre for the Development of Vocational Training (Cedefop)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	49	48	48	48
Establishment plan posts : AST	47	46	46	44
Establishment plan posts : AST/SC				
Total Establishment plan posts	96	94	94	92
Contract Agents	25	25	25	25
Seconded National Experts	4	4	4	4
TOTAL STAFF	125	123	123	121

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	17 434 000	17 434 000
Other Revenue	485 949	485 949
TOTAL REVENUES	17 919 949	17 919 949

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	100 000	0

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	10 370 000	10 370 000	10 381 000	10 381 000
Title 2 - Infrastructure and operating expenditure	1 637 000	1 637 000	1 626 000	1 626 000
Title 3 - Operational expenditure	6 012 949	6 012 949	5 912 949	5 912 949
TOTAL EXPENDITURE	18 019 949	18 019 949	17 919 949	17 919 949

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		2		2		2		2		2
AD 12	5	3	5	3	5	4	4	4	4	4
AD 11		9		9		10		10		10
AD 10		8		7		9		10		10
AD 9		4		4		5		5		5
AD 8		5		5		5		5		5

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 7		6		6		4		4		4
AD 6		5		5		2		2		2
AD 5										
AD TOTAL	5	44	5	43	5	43	4	44	4	44
AST 11						1		1		1
AST 10	1	2	1	1	1	1	1	1	1	1
AST 9		2		2		2		2		2
AST 8	2	2	2	2	2	2	2	2	2	2
AST 7	1	6	1	6	3	7	3	7	3	7
AST 6	4	3	4	3	4	5	4	5	4	5
AST 5	4	6	4	6	2	6	1	6	1	6
AST 4		10		10		10		9		9
AST 3		4		4		0				
AST 2										
AST 1										
AST TOTAL	12	35	12	34	12	34	11	33	11	33
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	17	79	17	77	17	77	15	77	15	77
GRAND TOTAL	96		94		94		92		92	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	5	4	5	5
Function Group III	6	7	6	6
Function Group II	10	10	10	10
Function Group I	4	4	4	4
TOTAL	25	25	25	25

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	4	4	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	15 800 000	17 434 000	17 434 000	17 434 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	383 635	61 168	59 000	59 000	-3,54%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	526 506	475 949	475 949	475 949	0,00%
- Of which EEA/EFTA (excl. Switzerland)	526 506	475 949	475 949	475 949	0,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	21 018	10 000	10 000	10 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	16 347 524	17 919 949	17 919 949	17 919 949	0,00%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	445 000	100 000	0		-100%
TOTAL	445 000	100 000	0		-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 795 580	10 370 000	10 381 000	10 381 000	0,11%
Salaries & allowances	9 159 233	9 660 000	9 671 000	9 671 000	0,11%
- Of which establishment plan posts	7 904 323	8 180 000	8 093 000	8 093 000	-1,06%
- Of which external personnel	1 254 910	1 480 000	1 578 000	1 578 000	6,62%
Expenditure relating to Staff recruitment	145 664	175 000	175 000	175 000	0,00%
Mission expenses	104 000	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	136 726	160 000	160 000	160 000	0,00%
Training	148 696	150 000	150 000	150 000	0,00%
External Services	83 418	106 000	106 000	106 000	0,00%
Receptions, events and representation	17 843	19 000	19 000	19 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 700 898	1 637 000	1 626 000	1 626 000	-0,67%
Rental of buildings and associated costs	634 363	676 000	666 000	666 000	-1,48%
Information, communication technology and data processing	580 891	578 900	575 000	575 000	-0,67%
Movable property and associated costs	109 808	93 000	95 000	95 000	2,15%
Current administrative expenditure	82 669	109 000	110 000	110 000	0,92%
Postage / Telecommunications	281 467	168 100	165 000	165 000	-1,84%
Meeting expenses	11 700	12 000	15 000	15 000	25,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 395 269	6 012 949	5 912 949	5 912 949	-1,66%
Transversal activities	217 929	260 000	260 000	260 000	0,00%
Research and Policy Analysis	988 690	1 500 000	1 400 000	1 400 000	-6,67%
Enhanced Cooperation in VET and LLL	4 361 686 (1)	3 580 000	3 580 000	3 580 000	0,00%
Information, Communications and Dissemination	826 964	672 949	672 949	672 949	0,00%
TOTAL	17 891 747	18 019 949	17 919 949	17 919 949	-0,55%

(1) Figures merged of VET Systems and Institutions (previously Enhanced cooperation in VET and LLL) and Learning and Employability (New)

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 700 844	10 370 000	10 381 000	10 381 000	0,11%
Salaries & allowances	9 159 233	9 660 000	9 671 000	9 671 000	0,11%
- Of which establishment plan posts	7 904 323	8 180 000	8 093 000	8 093 000	-1,06%
- Of which external personnel	1 254 910	1 480 000	1 578 000	1 578 000	6,62%
Expenditure relating to Staff recruitment	133 040	175 000	175 000	175 000	0,00%
Mission expenses	92 342	100 000	100 000	100 000	0,00%
Socio-medical infrastructure	129 158	160 000	160 000	160 000	0,00%
Training	97 524	150 000	150 000	150 000	0,00%
External Services	73 246	106 000	106 000	106 000	0,00%
Receptions, events and representation	16 301	19 000	19 000	19 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 222 904	1 637 000	1 626 000	1 626 000	-0,67%
Rental of buildings and associated costs	577 692	676 000	666 000	666 000	-1,48%
Information, communication technology and data processing	396 094	578 900	575 000	575 000	-0,67%
Movable property and associated costs	80 207	93 000	95 000	95 000	2,15%
Current administrative expenditure	75 643	109 000	110 000	110 000	0,92%
Postage / Telecommunications	86 686	168 100	165 000	165 000	-1,84%
Meeting expenses	6 582	12 000	15 000	15 000	25,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 490 102	6 012 949	5 912 949	5 912 949	-1,66%
Transversal activities	1 490 102 (1)	260 000	260 000	260 000	0,00%
Research and Policy Analysis	0	1 500 000	1 400 000	1 400 000	-6,67%
Enhanced Cooperation in VET and LLL	0	3 580 000	3 580 000	3 580 000	0,00%
Information, Communications and Dissemination	0	672 949	672 949	672 949	0,00%
TOTAL	12 413 850	18 019 949	17 919 949	17 919 949	-0,55%

(1) The breakdown of the 4 categories is obsolete. For technical reasons, the total amount for Title 3 is encoded under Transversal activities

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 61 167

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes a reduction of Cedefop's establishment plan in 2017 by 2 posts to 92, and to maintain the number of Contractual Agents and Seconded National Experts at a stable level (i.e. 25 and 4 FTEs respectively, as in 2016).

Financial Resources

The EU contribution to Cedefop in 2017 is kept stable at the level authorised for 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In terms of human resources, the Agency stresses that its mission is highly relevant to the critical European economic and social challenges. Cedefop is committed to following the Commission instructions and implementing the staff cuts, as far as possible without impact on its level of activity. For instance, in line with the further 5% staff cut for the redeployment pool, Cedefop's establishment plan 2016 foresees 94 posts, 92 for 2017 and 91 for 2018. Sustained efficiency drives, redeployments and a comprehensive restructuring of operational departments in 2015 have been employed to address growing tasks within ever increasing resource constraints. These means can only yield residual further gains which will, in any case, not directly translate into core business capability. A limited increase in the number of contract agents is therefore envisaged for 2017-2018. However, longer-term needs can only sustainably be addressed through additional posts on the establishment plan or alternatively through cuts in activities endangering the capability of the Agency to fulfil its mission.

4.1.2 Vacancy rate as of end 2015

94 out of 96 posts were filled on 31 December 2015, this is a vacancy rate of 2% (2/96) linked to the required cuts in 2016. One post was cut on 1 January 2016 and one more post will be cut on 1 February following the departure of a staff member.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Cedefop foresees that three persons will leave in 2016. This estimate is based on past experience and already known turnover. Thus, actual fluctuation may vary. On the basis of the estimate, the HR cost abatement is about 0,75%.

4.1.4 Salary assumption for calculating salary line (% applied)

For the purposes of salary projections, Cedefop has used as a basis a combination of the weighting factor and the inflation rate also for 2016 and 2017. The personnel costs have been calculated on the basis of foreseeable increases in steps and the cost of a projected number of promotions.

4.1.5 Correction coefficient used

For the purposes of salary projections Cedefop used the weighting factor in place in August 2015.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

For Title 1 the estimate is based on the provisions for the establishment plan and its occupation (compare also PD 2017-2020). It considers turnover and retirements, but as turnover is small, possibilities to replace leaving staff by lower

grades are limited and the scope for respective savings is limited too. In 2015 there was a considerable drop in the weighting factor for Greece which was taken into account when establishing the final budget projections for the period 2017-2020. Title 1 EU subsidy is forecasted to have an increase of 7.29% during the period 2017-2020. More specifically it is forecasted at EUR 10 381 000 for 2017, i.e. 0.11 % more than for 2016.

4.2.2 Title 2

Title 2 EU subsidy is forecasted to have a decrease of 4.27% during the period 2017 – 2020. More specifically it is forecasted at EUR 1 467 500 for 2017, i.e. 0.74% less than for 2016. Despite an overall decrease, minimum needs for the maintenance of the building including provision for extra projects such as energy management, energy saving, green initiatives and ICT Infrastructure will be covered.

Title 2 total budget requirements are forecasted at EUR 1 626 000, i.e. 0.67% less than the 2016 budget:

Chapter 20 – Rental of the buildings and associated costs - is decreased by 1.5% as compared to 2016 budget due to expected lower prices and the effects of cost-saving initiatives.

Chapter 21 – Information, communication technology and data processing- is decreased by 0.7 % as compared to 2016 budget due to expected lower spending on ICT software.

Chapter 22 – Movable property and associated costs - is increased by 2.2 % as compared to 2016 budget due to the forecasted higher spending on furniture in the context of overall enhancement of the restaurant/cafeteria facilities.

Chapter 23 – Current administrative expenditure is increased by 0.9% and Chapter 24 – Postal charges and telecommunications - is decreased by 1.8% as compared to 2016 budget, due to minor evolution of related needs.

4.2.3 Title 3

Title 3 EU subsidy is forecasted to have a decrease of 2.54% during the period 2017 – 2020. More specifically it is forecasted at EUR 5 585 500 for 2017, i.e. same as for 2016; at EUR 5 441 500 for 2018, i.e. 2.58% less than for 2017; at EUR 5 387 500 for 2019, i.e. 0.99% less than for 2018; and at EUR 5 443 500 for 2020, i.e. 1.04% more than for 2019. However, the overall Title 3 EU subsidy decrease is compensated by the full allocation to Title 3 of the total NO & IS contribution in the years 2019 and 2020, thus leading to increased Title 3 budget for both these years (2019/2018: +1.98%, 2020/2019: +1.12%). Title 3 budget projections have been established taking into account the operational needs of the Agency, i.e. its mission and mandates, its multi-annual objectives and strategic areas of operations. These budget projections also take into account new and growing tasks that have been entrusted to the Agency, including the ones outlined below.

New tasks

Support to the ‘Single Integrated Service’ for qualifications and skills envisaged by the Commission to streamline the different information portals on skills, jobs and European tools and improve synergies and interaction between them to better inform users and ease mobility and recognition of skills and qualifications. While a ‘Single Integrated Service’ will help increase the use of the tools, it will also require further conceptual and technical development work. Considerable technical/IT development work, in particular, will need to be financed from Cedefop’s Title 3 budget.

Growth of existing tasks

- Support to the European Alliance for Apprenticeships. Since 2014, Cedefop has been supporting cooperation at European level and among Member States in the context of the European Alliance for Apprenticeships. In the period 2017-2020 this activity will require additional financial resources to: (a) to enrich and systematise the knowledge base on apprenticeships in Europe and complement it with extensive qualitative and quantitative country-based information and monitor progress in relation to Member States commitments under the EAfA; (b) organise policy learning for a for countries and stakeholders to exchange on apprenticeship experiences and challenges; (c) initiate new research on apprenticeships, including by investigating apprenticeship schemes for young adults as an active labour market policy.

- Cedefop’s opinion survey on VET to help identifying policies in support of VET attractiveness. A first round of the survey will be carried out in 2016. Following the finalisation, Cedefop will assess the outcomes of this first exercise in view of making the Opinion Survey on VET a regular component of Cedefop’s work. In this case, increased financial resources will be necessary for collecting the needed data from all EU Member States.

- The analysis of real time labour market data using online vacancies across all 28 EU Member States. Cedefop is engaged in the process of testing the feasibility of setting up a tool to retrieve information on online vacancies across Europe. Were such tool to be implemented and used to regularly assess labour market and skill needs across all 28 countries, it would have an important impact on IT infrastructure and storage, which will prove costly.

- Cedefop's external evaluation concluded that Cedefop should provide more direct and additional support to Member States. To address this additional demand, from 2016 onwards Cedefop will carry out policy learning fora for several of its activities (e.g. learning outcomes, apprenticeships, VET teachers and trainers, skill mismatch, skills anticipation methods). In addition to producing much increased country-based evidence, Cedefop will also reinforce its support to EU countries, social partners and other stakeholders who aim to build their capacity to produce own data, intelligence or to implement own VET-related policies adapted to their context, needs and traditions. Support and capacity building tailored to the need of specific countries and the provision of systematic country specific information implies additional financial resources.

Taking into account the above tasks, the Agency would like to reiterate that the current budgetary constraints generate an increasing risk that Cedefop will not be able to fulfil its various mandates.

4.3 Ad hoc grants and delegation agreements

The Delegation Agreement No VS/2013/0554 of 18.12.2013 with DG EMPL for the implementation of "Labour skills demand and supply – anticipation tools – studies", of a total amount of EUR 1 100 000, adopted by Cedefop's Governing Board as Supplementary and Amending Budget (BRS) on 19.12.2013, provided additional funds over 3 years (2014 – 2016) to develop the European Skills Panorama. The third and last instalment of the additional funds will be received and committed in 2016. In consequence, it must be considered that any future costs related to further development of the EU Skills Panorama from 2017 onwards will be fully borne by Cedefop.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building		Other Comment
Information to be provided per building:	Thessaloniki	Brussels J-70	The building cost amounted to about EUR 6 800 000 (of which EUR 5 600 000 for the construction itself and EUR 1 200 000 for necessary equipment) paid from Community funds made available to Cedefop (on an ad hoc budget line) in the years 1995-1999. The office space in Brussels constitutes a Bureau de passage in the same building as DG EMPL.
Surface area (in square metres)	9 565,28	21,6	
Of which office space	3 520,75	21,6	
Of which non-office space	3 193,97	0	
Annual rent (in EUR)	EUR 0	EUR 16 009,90	
Type and duration of rental contract	N/A	SLA with OIB/EC	Provision of office space and general infrastructures
Host country grant or support	EUR 0		
Present value of the building	EUR 2 900 000		Thessaloniki: four storey building set in its own grounds on the outskirts of the city. (Net Book Value as per 2014 Financial Statements) Brussels: the office space constitutes a Bureau de passage in the same building as DG EMPL.

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>Protocol on the privileges and immunities of the European Union (1)</p> <p>Cedefop's premises and buildings shall be inviolable and exempted from any kind of research and confiscation. Its property and assets shall not be the subject of any administrative or legal measure of constraint without the authorisation of the Court of Justice. Its archives shall also be inviolable. Its assets, revenues and other property shall be exempt from all direct taxes although no exemption shall be granted in respect of taxes and dues which amount merely to charges for public utility services.</p> <p>It shall be exempt from all customs duties, prohibitions and restrictions on imports and exports in respect of articles intended for its official use and its publications. For its official communications and the transmission of documents, it shall enjoy the treatment accorded by the Greek State to diplomatic missions.</p> <p>Finally its official correspondence and other official communications shall not be subject to censorship.</p> <p>(1) http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:12006E/PRO/36</p> <p>Headquarters Agreement concluded between the Greek State and Cedefop (2)</p> <p>CEDEFOP shall be exempt from all national, regional or municipal dues and taxes which may be charged on the premises of which CEDEFOP is the owner or occupier unless they are charged as a fee for specific services rendered.</p> <p>The Greek authorities shall exempt CEDEFOP from taxes on the import and intra-Community acquisition and supply of goods and services and on the provision of services to it.</p> <p>(2) The Headquarters Agreement concluded between the Greek State and Cedefop on 22 June 1995 reflects in general terms the basic provisions of the Protocol on the Privileges and Immunities of the European Union albeit in a brief and non-descript manner. It was complemented subsequently by a number of Ministerial decisions and clarifications circulars aiming at addressing specific issues. No further diplomatic privileges have been accorded to Cedefop's staff members despite the fact that they have been accorded to other Agencies in Greece, a fact which constitutes an infringement of EU primary law. In recent years, Cedefop has proposed to the competent Greek authorities a review of the Headquarters Agreement in light of some incongruities in</p>	<p>Protocol on the privileges and immunities of the European Union</p> <p>Cedefop's officials and other servants shall be immune from legal proceedings in respect of acts performed by them in their official capacity even after they have ceased to hold office.</p> <p>Together with their spouses and dependent members of their families they shall not be subject to immigration restrictions or to formalities for the registration of aliens. They are accorded the same facilities as are accorded to officials of international organisations in respect of currency or exchange regulations as well as enjoy the right to import free of duty their furniture and effects at the time of first taking up their post in the country concerned and the right to re-export free of duty their furniture and effects, on termination of their duties in that country. They also have the right to import free of duty a motor car for their personal use and to re-export it free of duty. They are also exempted from national taxes on salaries, wages and emoluments paid by the Union.</p> <p>Their domicile, as well as of their spouses not engaged in a gainful occupation and dependent children, is considered to be the country of domicile for tax purposes even if they are actually residing by reason of performance of their duties in another Member State. The movable property of the aforementioned officials is exempted from death duties in the country they are residing.</p> <p>Headquarters Agreement concluded between the Greek State and Cedefop</p> <p>The exemption from national taxes on salaries, wages and emoluments paid by the Union includes exemption from VAT, Special Consumer Tax and Lump-Sum Additional Special Tax (E.P.E.T.). This exemption shall be granted once, and for one vehicle, for each of the officials or other servants of CEDEFOP as well as on the supply of household articles which are acquired within twelve months of the date taking on duties at Cedefop. It is not granted to officials or other servants who are appointed after 1.9.1995 and who are resident in Greece at the time of taking on their duties at CEDEFOP.</p>	<p>- Financial support for pre-school attendance (DIR 2012/219)</p> <p>- Cover of English-schooling fees on case by case basis for staff subject to criteria related to continuity of schooling and previous education (Internal decision 2014-08)</p> <p>- Financing of the International Baccalaureate (Internal decision 2015-02)</p>

Agency privileges	Privileges granted to staff	
its implementation. However, this proposal has not been followed-up by the Greek Government. In this context, a number of discrepancies in the implementation of the Protocol by the Greek State have occurred over the last 8 years. Various explanatory notes have been addressed to the competent Greek authorities in this respect. Nevertheless, several issues have not been remedied accordingly.		

5.3 European schools

There is no European School in Thessaloniki. In its absence, further options for increased support for the school costs of staff's children will be explored.

5.4 Evaluation

The periodic external evaluation of Cedefop required by the Financial Regulation was completed in December 2013. It examined Cedefop's role in supporting European VET policy makers between 2007 and 2012 and its response to new demands resulting from developments in European VET policy during that period.

The evaluation concluded that Cedefop had been highly successful in implementing its objectives. Cedefop's work on modernising VET systems and analysing skills is highly esteemed and Cedefop is also recognised as a leading centre of expertise worldwide on qualifications frameworks and skills. Cedefop has had an important impact on strengthening cooperation between VET policy stakeholders in Europe. The evaluation also examined possible synergies between Cedefop, the ETF, Eurofound and EU-OSHA and concluded that Cedefop does not duplicate activities of any other actors at European, national or international level.

The report is available at:

http://ec.europa.eu/dgs/education_culture/more_info/evaluations/docs/education/cedefop2013_en.pdf

An action plan to follow up the evaluation was presented to the Bureau in April 2014. In agreement with the European Commission four recommendations in the action plan to follow up the external evaluation were closed in 2015. In total 11 of the 23 recommendations are now closed.

2.2.1.1.6 European Aviation Safety Agency - EASA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>OLD BASIC REGULATION:</p> <p>REGULATION (EC) No 1592/2002 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL</p> <p>of 15 July 2002</p> <p>on common rules in the field of civil aviation and establishing a European Aviation Safety Agency</p> <p>(REPEALED)</p>	<p>15 July 2002</p> <p>27 September 2002 (Entry into force)</p>	<p>Airworthiness</p> <p>Environmental compatibility</p> <p>The original Agency's responsibilities, given by Regulation 1592/2002 (repealed by Regulation 216/2008) include: expert advice to the EU for drafting new legislation; inspections, training and standardisation programmes to ensure uniform implementation of European aviation safety legislation in all Member States; safety and environmental type-certification of aircraft, engines, parts, appliances and continuous monitoring of their airworthiness; approval of organisations involved in the design of aeronautical products, as well as foreign production, maintenance and training organisations;</p> <p>EASA is also responsible for some specific executive tasks as specified in Commission Regulation (EC) No 768/2006 (regarding the collection and exchange of information on the safety of aircraft using Community airports and the management of the information system).</p>
<p>NEW BASIC REGULATION:</p> <p>REGULATION (EC) No 216/2008 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL</p> <p>of 20 February 2008</p> <p>on common rules in the field of civil aviation and establishing a European Aviation Safety Agency,</p> <p>and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC</p>	<p>20 February 2008</p> <p>08 April 2008 (Entry into force)</p>	<p>Airworthiness</p> <p>Environmental compatibility</p> <p>Flight Crew Licensing (FCL)</p> <p>Operation of Aircraft</p> <p>Safety of foreign operators</p> <p>Regulation 216/2008 extending the Agency's competences entered into force on 8 April 2008. With this extension the Agency got responsibilities to develop the regulations in the fields of air operations (OPS), flight crew licensing (FCL) and the oversight of third country operators (TCO). As well as to carry out (according to Regulation 736/2006) standardisation inspections for air operations, flight crew licensing and flight simulators and to perform the certification of foreign synthetic training devices, pilot training organisations and aero medical centres, and certification tasks linked to the authorisation to third country operators.</p>
<p>Last amended by</p> <p>REGULATION (EC) No 1108/2009 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL</p> <p>of 21 October 2009</p> <p>amending Regulation (EC) No 216/2008 in the field of aerodromes, air traffic management and air navigation services and repealing Directive 2006/23/EC</p>	<p>21 October 2009</p> <p>14 December 2009 (Entry into force)</p>	<p>Aerodromes</p> <p>AirTrafficManagement (ATM)</p> <p>Air Navigation Services (ANS)</p> <p>On 7 September 2009 the Council adopted the regulation extending EASA's competencies to cover the safety of aerodromes, air traffic management and air navigation services. In particular, EASA's new tasks cover rulemaking and standardisation inspections. In addition, as far as safety and technical issues are concerned, it will be necessary to coordinate rulemaking activities with the Single European Sky framework, including the related research (SESAR) and implementing rules as well as the new objectives set for its implementation.</p>

1.2 Seat

Cologne, Germany

1.3 Budget Line

06 02 02 : European Aviation Safety Agency

1.4 Human Resources overview

1.4.1 Human Resources overview EASA

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	551	551	548	552
Establishment plan posts : AST	128	128	128	126
Establishment plan posts : AST/SC				
Total Establishment plan posts	679	679	676	678
Contract Agents	102	83	102	106
Seconded National Experts	16	16	24	24
TOTAL STAFF	797	778	802	808

1.4.2 Human Resources overview (Fees and charges)

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	375	375	373	373
Establishment plan posts : AST	78	78	78	77
Establishment plan posts : AST/SC				
Total Establishment plan posts	453	453	451	450
Contract Agents	60	48	60	60
Seconded National Experts	0	0	0	0
TOTAL STAFF	513	501	511	510

1.4.3 Human Resources overview (EU Contribution)

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	176	176	175	179
Establishment plan posts : AST	50	50	50	49
Establishment plan posts : AST/SC				
Total Establishment plan posts	226	226	225	228
Contract Agents	42	35	42	46
Seconded National Experts	16	16	24	24
TOTAL STAFF	284	277	291	298

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 370 000	35 985 000
Other Revenue	140 109 000	134 717 000
TOTAL REVENUES	176 479 000	170 702 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants	p.m.	p.m.
Delegation agreements	p.m.	p.m.
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

1.5.2.1 Expenditure Overview EASA

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	85 948 000	85 948 000	88 716 000	88 716 000
Title 2 - Infrastructure and operating expenditure	24 982 000	24 982 000	15 349 000	15 349 000
Title 3 - Operational expenditure	65549000	65549000	66637000	66637000
TOTAL EXPENDITURE	176 479 000	176 479 000	170 702 000	170 702 000

1.5.2.2 Expenditure Overview (Fees and charges)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	58 037 000	58 037 000	60 334 000	60 334 000
Title 2 - Infrastructure and operating expenditure	17 763 000	17 763 000	10 078 000	10 078 000
Title 3 - Operational expenditure	61 680 000	61 680 000	61 892 000	61 892 000
TOTAL EXPENDITURE	137 480 000	137 480 000	132 304 000	132 304 000

1.5.2.3 Expenditure Overview (EU Contribution)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	27 911 000	27 911 000	28 382 000	28 382 000
Title 2 - Infrastructure and operating expenditure	7 219 000	7 219 000	5 271 000	5 271 000
Title 3 - Operational expenditure	3 869 000	3 869 000	4 745 000	4 745 000
TOTAL EXPENDITURE	38 999 000	38 999 000	38 398 000	38 398 000

2 Human Resources

2.1 Establishment plan posts

2.1.1 Establishment plan posts EASA

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		2		2		1		1		1
AD 14		23		23		25		25		25
AD 13		31		31		32		32		32
AD 12		48		48		53		53		53
AD 11		72		72		76		76		76
AD 10		95		95		98		98		98
AD 9		118		118		117		117		117
AD 8		81		81		77		77		77
AD 7		55		55		47		52		52
AD 6		24		24		20		20		20
AD 5		2		2		2		1		1
AD TOTAL		551		551		548		552		552
AST 11										
AST 10										
AST 9		1		1		1		1		1
AST 8		4		4		4		4		4
AST 7		12		12		13		14		14
AST 6		22		22		23		25		25
AST 5		32		32		33		33		33

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4		26		26		25		24		24
AST 3		18		18		17		16		16
AST 2		11		11		10		8		8
AST 1		2		2		2		2		1
AST TOTAL		128		128		128		127		126
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		679		679		676		679		678
GRAND TOTAL	679		679		676		679		678	

2.1.2 Establishment plan posts (Fees and charges)

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		16		16		16		16		16
AD 13		21		21		21		21		21
AD 12		33		33		33		33		33
AD 11		49		49		49		49		49
AD 10		65		65		65		65		65
AD 9		82		82		82		82		82
AD 8		56		56		56		56		56
AD 7		37		37		35		36		36
AD 6		14		14		14		13		13
AD 5		1		1		1		1		1
AD TOTAL		375		375		373		373		373
AST 11										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts
AST 10										
AST 9		1		1		1		1		1
AST 8		2		2		2		2		2
AST 7		7		7		7		7		7
AST 6		12		12		12		12		12
AST 5		20		20		20		20		20
AST 4		16		16		16		16		16
AST 3		12		12		12		12		12
AST 2		7		7		7		7		7
AST 1		1		1		1		1		0
AST TOTAL		78		78		78		78		77
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		453		453		451		451		450
GRAND TOTAL		453		453		451		451		450

(1) The Agency has one global approved Establishment plan. The Split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan.

(2) In 2015 the Agency filled all the posts. To be noted that the actual grades of the staff are on average lower. The distribution of grades in the Establishment plan allows room for reclassification.

2.1.3 Establishment plan posts (EU Contribution)

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		0				
AD 14		7		7		9		9		9
AD 13		10		10		11		11		11
AD 12		15		15		20		20		20
AD 11		23		23		27		27		27

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts (2)	Permanent posts	Temporary posts
AD 10		30		30		33		33		33
AD 9		36		36		35		35		35
AD 8		25		25		21		21		21
AD 7		18		18		12		16		16
AD 6		10		10		6		7		7
AD 5		1		1		1		0		0
AD TOTAL		176		176		175		179		179
AST 11										
AST 10										
AST 9										
AST 8		2		2		2		2		2
AST 7		5		5		6		7		7
AST 6		10		10		11		13		13
AST 5		12		12		13		13		13
AST 4		10		10		9		8		8
AST 3		6		6		5		4		4
AST 2		4		4		3		1		1
AST 1		1		1		1		1		1
AST TOTAL		50		50		50		49		49
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		226		226		225		228		228
GRAND TOTAL	226		226		225		228		228	

(1) In 2015 the Agency filled all the posts. To be noted that the actual grades of the staff are on average lower. The distribution of grades in the Establishment plan allows room for reclassification.

(2) The Agency has one global approved Establishment plan. The Split between Fees and Charges staff and EU Contribution is made based on the allocation keys and it cannot be considered binding at level of single grade in the establishment plan.

2.2 External personnel

2.2.1 External personnel EASA

2.2.1.1 Contract Agents EASA

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	34	26	34	36
Function Group III	68	57	68	70
Function Group II	0		0	
Function Group I	0		0	
TOTAL	102	83	102	106

2.2.1.2 Seconded National Experts EASA

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	16	16	24	24

2.2.2 External personnel (Fees and charges)

2.2.2.1 Contract Agents (Fees and charges)

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	20	13	20	20
Function Group III	40	35	40	40
Function Group II	0			
Function Group I	0			
TOTAL	60	48	60	60

2.2.2.2 Seconded National Experts (Fees and charges)

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

2.2.3 External personnel (EU Contribution)

2.2.3.1 Contract Agents (EU Contribution)

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	14	13	14	16
Function Group III	28	22	28	30
Function Group II	0		0	
Function Group I	0		0	
TOTAL	42	35	42	46

2.2.3.2 Seconded National Experts (EU Contribution)

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	16	16	24	24

3 Financial Resources

3.1 Revenues EASA

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	101 614 758	95 926 000	97 468 000	97 468 000	1,61%
2 EU CONTRIBUTION	36 370 000	36 370 000	36 445 000	35 985 000	-1,06%
- Of which assigned revenues deriving from previous years' surpluses	797 791	1 551 450	1 801 259	1 801 000	16,08%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 161 036	2 064 000	2 061 000	2 048 000	-0,78%
- Of which EEA/EFTA (excl. Switzerland) (1)	2 161 036	2 064 000	2 061 000 (2)	2 048 000 (3)	-0,78%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	8 125 201	p.m.	p.m.	p.m.	
5 ADMINISTRATIVE OPERATIONS	860 006	868 000	633 000	633 000	-27,07%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	13 606				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	209 999	150 000	150 000	150 000	0,00%
7 CORRECTION OF BUDGETARY IMBALANCES	43 046 283	41 101 000	34 418 000	34 418 000	-16,26%
TOTAL	192 387 283	176 479 000	171 175 000	170 702 000	-3,27%

(1) The line EFTA contribution line includes also the amount received from Switzerland according to 2008/100/EC: Decision No 1/2007 of the joint Community/Switzerland Air Transport Committee set up under the Agreement between the European Community and the Swiss Confederation on Air Transport of 5 December 2007 replacing the Annex to the Agreement between the European Community and the Swiss Confederation on Air Transport.

(2) Swiss Contribution: 1.105.000 € // EFTA Contribution: 956.000 €

(3) EFTA Contribution: 943.000 €

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	5 625 201	p.m.	p.m.	p.m.	
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	2 500 000	p.m.	p.m.	p.m.	
TOTAL	8 125 201	p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Expenditure EASA

3.2.1.1 Commitment appropriations EASA

EXPENDITURE	Commitment appropriations EASA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	74 294 017	85 948 000	88 951 000	88 716 000	3,22%
Salaries & allowances	69 457 778	79 023 000	82 942 000	82 707 000	4,66%
- Of which establishment plan posts	64 131 535	72 195 000	76 002 000	75 837 000	5,04%
- Of which external personnel	5 326 243	6 828 000	6 940 000	6 870 000	0,62%
Expenditure relating to Staff recruitment	761 157	1 439 000	723 000	723 000	-49,76%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	66 800	118 000	123 000	123 000	4,24%
Training	444 913	734 000	580 000	580 000	-20,98%
External Services	168 947	450 000	300 000	300 000	-33,33%
Receptions, events and representation	86 217	192 000	92 000	92 000	-52,08%
Social welfare	3 308 205	3 992 000	4 191 000	4 191 000	4,98%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	21 981 237	24 982 000	15 349 000	15 349 000	-38,56%
Rental of buildings and associated costs	13 649 614	15 336 000	9 350 000	9 350 000	-39,03%
Information, communication technology and data processing	6 970 826	4 799 000	3 865 000	3 865 000	-19,46%
Movable property and associated costs	36 905	2 894 000	718 000	718 000	-75,19%
Current administrative expenditure	1 128 963	1 747 000	1 170 000	1 170 000	-33,03%
Postage / Telecommunications	194 929	206 000	246 000	246 000	19,42%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	36 826 045	65 549 000	66 875 000	66 637 000	1,66%
Certification activities	22 578 203	23 130 000	23 259 000	23 259 000	0,56%
Standardisation activities	233 102	203 000	276 000	276 000	35,96%
Development data base	0	1 036 000	1 744 000	1 713 457	65,39%
Communication and publication	265 683	428 000	428 000	428 000	0,00%
Meeting expenses	671 660	753 000	823 000	801 620	6,46%
Translations and interpretation costs	204 705	137 000	137 000	137 000	0,00%
Rulemaking activities	739 754	595 000	545 000	545 000	-8,40%
Mission, entertainment and representation expenditure	5 316 498	6 222 000	6 176 000	6 163 000	-0,95%
Technical training	394 602	587 000	654 000	638 728	8,81%
ED activities	976 460	790 000	1 465 000	1 307 195	65,47%
Special Operations Programmes	5 445 378				
Other expenditure		31 668 000	31 368 000	31 368 000	-0,95%
TOTAL	133 101 299	176 479 000	171 175 000	170 702 000	-3,27%

3.2.1.2 Payment appropriations EASA

EXPENDITURE	Payment appropriations EASA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	73 896 357	85 948 000	88 951 000	88 716 000	3,22%
Salaries & allowances	69 307 645	79 023 000	82 942 000	82 707 000	4,66%
- Of which establishment plan posts	64 083 850	72 195 000	76 002 000	75 837 000	5,04%
- Of which external personnel	5 223 795	6 828 000	6 940 000	6 870 000	0,62%
Expenditure relating to Staff recruitment	709 992	1 439 000	723 000	723 000	-49,76%
Mission expenses	0				
Socio-medical infrastructure	60 069	118 000	123 000	123 000	4,24%
Training	355 693	734 000	580 000	580 000	-20,98%
External Services	135 928	450 000	300 000	300 000	-33,33%
Receptions, events and representation	34 271	192 000	92 000	92 000	-52,08%
Social welfare	3 292 759	3 992 000	4 191 000	4 191 000	4,98%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	17 372 423	24 982 000	15 349 000	15 349 000	-38,56%
Rental of buildings and associated costs	13 096 314	15 336 000	9 350 000	9 350 000	-39,03%
Information, communication technology and data processing	3 471 447	4 799 000	3 865 000	3 865 000	-19,46%
Movable property and associated costs	34 508	2 894 000	718 000	718 000	-75,19%
Current administrative expenditure	615 358	1 747 000	1 170 000	1 170 000	-33,03%
Postage / Telecommunications	154 796	206 000	246 000	246 000	19,42%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	22 745 495	65 549 000	66 875 000	66 637 000	1,66%
Certification activities	13 624 363	23 130 000	23 259 000	23 259 000	0,56%
Standardisation activities	200 733	203 000	276 000	276 000	35,96%
Development data base	0	1 036 000	1 744 000	1 713 457	65,39%
Communication and publication	185 581	428 000	428 000	428 000	0,00%
Meeting expenses	591 940	753 000	823 000	801 620	6,46%
Translations and interpretation costs	123 731	137 000	137 000	137 000	0,00%
Rulemaking activities	202 668	595 000	545 000	545 000	-8,40%
Mission, entertainment and representation expenditure	4 714 345	6 222 000	6 176 000	6 163 000	-0,95%
Technical training	293 668	587 000	654 000	638 728	8,81%
ED activities	36 960	790 000	1 465 000	1 307 195	65,47%
Special Operations Programmes	2 771 506				
Other expenditure	0	31 668 000	31 368 000	31 368 000	-0,95%
TOTAL	114 014 275	176 479 000	171 175 000	170 702 000	-3,27%

3.2.2 Expenditure (Fees and charges)

3.2.2.1 Commitment appropriations (Fees and charges)

EXPENDITURE	Commitment appropriations (Fees and charges)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	49 021 911	58 037 000	60 334 000	60 334 000	3,96%
Salaries & allowances	45 915 250	53 399 000	56 313 000	56 313 000	5,46%
- Of which establishment plan posts	42 893 390	49 955 000	52 604 000	52 604 000	5,30%
- Of which external personnel	3 021 860	3 444 000	3 709 000	3 709 000	7,69%
Expenditure relating to Staff recruitment	403 163	955 000	479 000	479 000	-49,84%
Mission expenses	0				
Socio-medical infrastructure	44 231	78 000	81 000	81 000	3,85%
Training	295 764	486 000	384 000	384 000	-20,99%
External Services	111 587	336 000	237 000	237 000	-29,46%
Receptions, events and representation	57 386	140 000	63 000	63 000	-55,00%
Social welfare	2 194 530	2 643 000	2 777 000	2 777 000	5,07%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	14 521 294	17 763 000	10 078 000	10 078 000	-43,26%
Rental of buildings and associated costs	9 081 291	11 385 000	6 157 000	6 157 000	-45,92%
Information, communication technology and data processing	4 602 263	3 147 000	2 532 000	2 532 000	-19,54%
Movable property and associated costs	24 410	1 917 000	476 000	476 000	-75,17%
Current administrative expenditure	684 145	1 178 000	750 000	750 000	-36,33%
Postage / Telecommunications	129 185	136 000	163 000	163 000	19,85%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	27 748 103	61 680 000	61 892 000	61 892 000	0,34%
Certification activities	22 578 203	23 130 000	23 259 000	23 259 000	0,56%
Standardisation activities	59 600	57 000	57 000	57 000	0,00%
Development data base	0	855 000	1 240 000	1 240 000	45,03%
Communication and publication	191 381	320 000	320 000	320 000	0,00%
Meeting expenses	375 689	342 000	347 000	347 000	1,46%
Translations and interpretation costs	145 737	92 000	92 000	92 000	0,00%
Rulemaking activities	17 355				
Mission, entertainment and representation expenditure	4 149 532	4 861 000	4 858 000	4 858 000	-0,06%
Technical training	230 606	355 000	351 000	351 000	-1,13%
ED activities	0				
Special Operations Programmes	0				
Other expenditure		31 668 000	31 368 000	31 368 000	-0,95%
TOTAL	91 291 308	137 480 000	132 304 000	132 304 000	-3,76%

3.2.2.2 Payment appropriations (Fees and charges)

EXPENDITURE	Payment appropriations (Fees and charges)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	48 781 960	58 037 000	60 334 000	60 334 000	3,96%
Salaries & allowances	45 825 947	53 399 000	56 313 000	56 313 000	5,46%
- Of which establishment plan posts	42 861 793	49 955 000	52 604 000	52 604 000	5,30%
- Of which external personnel	2 964 154	3 444 000	3 709 000	3 709 000	7,69%
Expenditure relating to Staff recruitment	382 913	955 000	479 000	479 000	-49,84%
Mission expenses	0				
Socio-medical infrastructure	39 774	78 000	81 000	81 000	3,85%
Training	236 453	486 000	384 000	384 000	-20,99%
External Services	89 778	336 000	237 000	237 000	-29,46%
Receptions, events and representation	22 811	140 000	63 000	63 000	-55,00%
Social welfare	2 184 284	2 643 000	2 777 000	2 777 000	5,07%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	11 507 723	17 763 000	10 078 000	10 078 000	-43,26%
Rental of buildings and associated costs	8 713 824	11 385 000	6 157 000	6 157 000	-45,92%
Information, communication technology and data processing	2 291 829	3 147 000	2 532 000	2 532 000	-19,54%
Movable property and associated costs	22 825	1 917 000	476 000	476 000	-75,17%
Current administrative expenditure	376 657	1 178 000	750 000	750 000	-36,33%
Postage / Telecommunications	102 588	136 000	163 000	163 000	19,85%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	18 076 859	61 680 000	61 892 000	61 892 000	0,34%
Certification activities	13 624 363	23 130 000	23 259 000	23 259 000	0,56%
Standardisation activities	43 513	57 000	57 000	57 000	0,00%
Development data base	0	855 000	1 240 000	1 240 000	45,03%
Communication and publication	133 681	320 000	320 000	320 000	0,00%
Meeting expenses	331 098	342 000	347 000	347 000	1,46%
Translations and interpretation costs	88 088	92 000	92 000	92 000	0,00%
Rulemaking activities	4 945				
Mission, entertainment and representation expenditure	3 679 551	4 861 000	4 858 000	4 858 000	-0,06%
Technical training	171 620	355 000	351 000	351 000	-1,13%
ED activities	0				
Special Operations Programmes	0				
Other expenditure	0	31 668 000	31 368 000	31 368 000	-0,95%
TOTAL	78 366 542	137 480 000	132 304 000	132 304 000	-3,76%

3.2.3 Expenditure (EU Contribution)

3.2.3.1 Commitment appropriations (EU Contribution)

EXPENDITURE	Commitment appropriations (EU Contribution)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	25 272 106	27 911 000	28 617 000	28 382 000	1,69%
Salaries & allowances	23 542 528	25 624 000	26 629 000	26 394 000	3,00%
- Of which establishment plan posts	21 238 145	22 240 000	23 398 000	23 233 000	4,46%
- Of which external personnel	2 304 383	3 384 000	3 231 000	3 161 000	-6,59%
Expenditure relating to Staff recruitment	357 994	484 000	244 000	244 000	-49,59%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	22 569	40 000	42 000	42 000	5,00%
Training	149 149	248 000	196 000	196 000	-20,97%
External Services	57 360	114 000	63 000	63 000	-44,74%
Receptions, events and representation	28 831	52 000	29 000	29 000	-44,23%
Social welfare	1 113 675	1 349 000	1 414 000	1 414 000	4,82%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	7 459 943	7 219 000	5 271 000	5 271 000	-26,98%
Rental of buildings and associated costs	4 568 323	3 951 000	3 193 000	3 193 000	-19,19%
Information, communication technology and data processing	2 368 563	1 652 000	1 333 000	1 333 000	-19,31%
Movable property and associated costs	12 495	977 000	242 000	242 000	-75,23%
Current administrative expenditure	444 818	569 000	420 000	420 000	-26,19%
Postage / Telecommunications	65 744	70 000	83 000	83 000	18,57%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	9 077 942	3 869 000	4 983 000	4 745 000	22,64%
Certification activities	0				
Standardisation activities	173 502	146 000	219 000	219 000	50,00%
Development data base	0	181 000	504 000	473 457	161,58%
Communication and publication	74 302	108 000	108 000	108 000	0,00%
Meeting expenses	295 971	411 000	476 000	454 620	10,61%
Translations and interpretation costs	58 968	45 000	45 000	45 000	0,00%
Rulemaking activities	722 399	595 000	545 000	545 000	-8,40%
Mission, entertainment and representation expenditure	1 166 966	1 361 000	1 318 000	1 305 000	-4,11%
Technical training	163 996	232 000	303 000	287 728	24,02%
ED activities	976 460	790 000	1 465 000	1 307 195	65,47%
Special Operations Programmes	5 445 378				
Other expenditure					
TOTAL	41 809 991	38 999 000	38 871 000	38 398 000	-1,54%

3.2.3.2 Payment appropriations (EU Contribution)

EXPENDITURE	Payment appropriations (EU Contribution)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	25 114 397	27 911 000	28 617 000	28 382 000	1,69%
Salaries & allowances	23 481 698	25 624 000	26 629 000	26 394 000	3,00%
- Of which establishment plan posts	21 222 057	22 240 000	23 398 000	23 233 000	4,46%
- Of which external personnel	2 259 641	3 384 000	3 231 000	3 161 000	-6,59%
Expenditure relating to Staff recruitment	327 079	484 000	244 000	244 000	-49,59%
Mission expenses	0				
Socio-medical infrastructure	20 295	40 000	42 000	42 000	5,00%
Training	119 240	248 000	196 000	196 000	-20,97%
External Services	46 150	114 000	63 000	63 000	-44,74%
Receptions, events and representation	11 460	52 000	29 000	29 000	-44,23%
Social welfare	1 108 475	1 349 000	1 414 000	1 414 000	4,82%
Other Staff related expenditure	0				
Title 2 - Infrastructure and operating expenditure	5 864 700	7 219 000	5 271 000	5 271 000	-26,98%
Rental of buildings and associated costs	4 382 490	3 951 000	3 193 000	3 193 000	-19,19%
Information, communication technology and data processing	1 179 618	1 652 000	1 333 000	1 333 000	-19,31%
Movable property and associated costs	11 683	977 000	242 000	242 000	-75,23%
Current administrative expenditure	238 701	569 000	420 000	420 000	-26,19%
Postage / Telecommunications	52 208	70 000	83 000	83 000	18,57%
Meeting expenses	0				
Running costs in connection with operational activities	0				
Information and publishing	0				
Studies	0				
Other infrastructure and operating expenditure	0				
Title 3 - Operational expenditure	4 668 636	3 869 000	4 983 000	4 745 000	22,64%
Certification activities	0				
Standardisation activities	157 220	146 000	219 000	219 000	50,00%
Development data base	0	181 000	504 000	473 457	161,58%
Communication and publication	51 900	108 000	108 000	108 000	0,00%
Meeting expenses	260 842	411 000	476 000	454 620	10,61%
Translations and interpretation costs	35 643	45 000	45 000	45 000	0,00%
Rulemaking activities	197 723	595 000	545 000	545 000	-8,40%
Mission, entertainment and representation expenditure	1 034 794	1 361 000	1 318 000	1 305 000	-4,11%
Technical training	122 048	232 000	303 000	287 728	24,02%
ED activities	36 960	790 000	1 465 000	1 307 195	65,47%
Special Operations Programmes	2 771 506				
Other expenditure					
TOTAL	35 647 733	38 999 000	38 871 000	38 398 000	-1,54%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 801 259.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

Fee-financed activities: as per the proposal set out in the fiche on fee-financed agencies submitted to the Interinstitutional Working Group on resources of decentralised agencies of 14 March 2016, the Commission has made an assessment of the evolution of EASA's workload.

EASA's workload on fee-financed services is estimated to increase from 451 establishment plan posts in 2016 to 469 posts in 2017, corresponding to an increase of 4%. The baseline number of establishment plan posts for 2017 is 441 – the 427 posts set out in the Commission's Communication of July 2013 on the programming of human and financial resources for decentralised agencies 2014-2020 plus the 14 additional establishment plan posts approved by the budget authority in the budget for 2016. In the above mentioned fiche, the Commission proposes to introduce an annual threshold of 2% efficiency gains, which must be achieved before any increases in workload would justify additional posts. Therefore, the baseline figure of 441 establishment plan posts is increased by 2% (i.e. the 4% increase in workload minus 2% efficiency gains), thereby leading to 450 fee-financed establishment plan posts for EASA in 2017. This corresponds to a reduction of 1 post compared to the 451 posts in the budget for 2016.

With respect to posts financed from the EU contribution, the Agency's request corresponds to the number of posts set out in the legislative financial statement accompanying the COM proposal for a new aviation safety regulation (COM(2015)613) which adds 5 TA posts to the establishment plan. With regard to contract agents, the number has been increased by 4 based on this legislative financial statement.

Financial Resources

The Agency's request for the EU subsidy corresponds to the ceiling set out in the Communication COM (2013)519 for the total EU contribution in 2017. In addition, the Agency's request includes additional resources required in the context of the COM proposal for a new aviation safety regulation (COM(2015)613) as set out in the legislative financial statement accompanying the proposal. These additional financial resources of EUR 1 575 000 correspond to staff expenditure for 5 posts and 4 CA as well as operational expenditure. The Commission supports the amount of the Communication (EUR 34 870 000) and EUR 1 115 000 taking into account that the proposal for the new regulation is expected to be adopted only during the course of 2017. The additional human resources and financial resources of EUR 1 115 000 linked to the COM proposal for a new aviation safety regulation (COM(2015)613) are conditional upon the adoption of that proposal.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.1.1 Number of staff requested (Fees and charges)

The number of the fees and charges posts in 2017 remains flat – 451 TA (the same level as in 2016, 12 less than in 2014).

4.1.1.2 Number of staff requested (EU Contribution)

As described in the 2017-2020 Multi-Annual Programming document the 2017 Work programme is the last operational step to be followed in order to translate the strategy into concrete actions. The Agency will assure that all its core operational activities are carried on with specific attention to the stakeholders and to the overall efficiency. It is expected that the organisation is able to respond promptly and efficiently to safety issues and for this reason the proposed actions are: 1) Safety Management: implementation of a risk based safety management system at European level; implementation of an IT suite to support data analysis; 2) Safety Promotion: enhance the Safety promotion

concept in order to effectively support regulation an oversight; 3) Regulation: development of regulation supporting new technologies an new business areas; increase the efficiency of processes in order to better control risks without over-regulating; oversight of the European Aviation System; expand the cross-domain assessment capabilities in the context of inspections to Member States; extension of Continuous Monitoring Programme to all authorised Third Country Operators; enhance the SAFA database to obtain more relevant and accurate data; 4) International Cooperation: support the EU Commission in the negotiations for the BASA with China and Japan.

The Agency will apply a reduction in the subsidy funding posts in order to comply the requested reduction. Although the agency requests to receive 2 new subsidy posts for the TCO/RPAS activities, the Agency is still committed to perform the requested reduction, which brings the level of the subsidy posts from 225 in 2016 to 223 in 2017 to which 5 posts must be added in line with the Legislative Financial Statement. The total posts for 2017 is therefore 228.

4.1.2 Vacancy rate as of end 2015

4.1.2.1 Vacancy rate as of end 2015 (Fees and charges)

0% (Total Agency 0%)

4.1.2.2 Vacancy rate as of end 2015 (EU Contribution)

0% (Total Agency 0%)

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.3.1 Standard abatement ('abatement forfaitaire') applied (Fees and charges)

N/A

4.1.3.2 Standard abatement ('abatement forfaitaire') applied (EU Contribution)

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.4.1 Salary assumption for calculating salary line (% applied) (Fees and charges)

The evolution of salaries for 2017 has been calculated based on the following assumptions:

Average annual salary adjustment:	1,70%
Inflation related salary adjustment	1,0%
Automatic seniority-related salary adjustment	2,1%
Career Development/Promotion	0,6%
Average vacancy rate	-2,0%

4.1.4.2 Salary assumption for calculating salary line (% applied) (EU Contribution)

The same evolution of salaries for 2017 has been used as for fee-financed posts (see above).

4.1.5 Correction coefficient used

4.1.5.1 Correction coefficient used (Fees and charges)

96.6

4.1.5.2 Correction coefficient used (EU Contribution)

96.6

4.1.6 Exchange rate used (if applicable)

4.1.6.1 Exchange rate used (if applicable) (Fees and charges)

N/A

4.1.6.2 Exchange rate used (if applicable) (EU Contribution)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees and charges)

The 2017 takes into account 451 TA as in 2016. The Agency did not apply any increase in the tariffs to absorb the pension contribution that is fully paid by the Agency since 2016. The increase between 2016 and 17 reflects the salary evolution and the limited turnover.

4.2.1.2 Title 1 (EU Contribution)

The staff costs reflect the reduction of 2 posts following the 2% reduction and the 2 posts received for new tasks as foreseen in the MFF 2014 – 2020, as well as the addition of 5 TA posts and 4 CA posts under the revised legislative statement.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees and charges)

The decrease between 2016 and 2017 in Title 2 is marked by two events. The one off costs for the move to the new building terminate in 2016 and the IT reorganisation starts to impact the operational costs that are decreasing.

4.2.2.2 Title 2 (EU Contribution)

The decrease between 2016 and 2017 in Title 2 is marked by two events. The one off costs for the move to the new building terminate in 2016 and the IT reorganisation starts to impact the operational costs that are decreasing.

4.2.3 Title 3

4.2.3.1 Title 3 (Fees and charges)

The operational expenditures remain in global stable. The savings in several lines are used to guarantee enough funds to continue the IT investments.

4.2.3.2 Title 3 (EU Contribution)

The operational expenditure globally increases by EUR 1 114 000. The request will be used to continue the IT investments in strategic activities for the aviation safety.

4.3 Ad hoc grants and delegation agreements

4.3.1 Ad hoc grants and delegation agreements (Fees and charges)

N/A

4.3.2 Ad hoc grants and delegation agreements (UE Contributions)

The Agency is signing contracts with the EU Commission (DG DEVCO, DG MOVE, DG FPI, DG NEAR and EU Delegations) to perform technical cooperation projects and promote/improve the level of aviation safety. To this end, the Agency receives “earmarked” funds to finance the projects activities. These projects may take different contractual forms but have been done mostly through grants.

The following projects are foreseen during 2016 and 2017:

- Budget allocated by DG DEVCO and the Delegation of the European Union to Thailand to assist them in the implementation of the AATIP project (Association of Southeast Asian Nations (ASEAN) Air Transport Integration), which supports the achievement of a safe and sustainable ASEAN Single Aviation Market: in total EUR 4.7 million spread over 4 years. The contract was signed in November 2012 for 4 years and will end in November 2016. The amount of EUR 3 548 376 has already been paid by the Commission. Budget appropriation for 2016: EUR 1 200 000.
- Budget allocated by DG DEVCO and the ACP Secretariat to assist them in the implementation of the SIASA or SATA project (Support to the Improvement of Aviation Safety in Africa), which provides support to the sub-Saharan states in improving their implementation of ICAO safety standards through regulation development activities, training and workshop sessions: in total EUR 2.7 million spread over 45 months. Amount already paid by the Commission: EUR 2 294 054. The contract was signed in January 2013 and will end in July 2017. Budget appropriation for 2016: EUR 400 000. Planned budget appropriation for 2017: EUR 300 000.
- Budget allocated by DG DEVCO for the implementation of the project DCA Zambia: in total EUR 177 140 spread over 2 years. The contract ends in December 2016. Budget appropriation for 2016: EUR 70 000.

- Budget allocated by DG DEVCO & the EU Delegation in Central African Republic for the implementation of the CEMAC project, which provides support to Central African countries in the setup of a regional safety oversight organisation, including the training of inspectors and an aerodrome rehabilitation study: in total EUR 2.7 million spread over 3 years. The contract was signed in August 2013 for 36 months. Amount already paid by the Commission EUR 717 231. Budget appropriation for 2016: EUR 1 500 000. The contract will end in August 2016 but might be extended.
- Safety List Budget allocated by DG MOVE: EUR 850 000 up to end 2016. Budget appropriation for 2016: EUR 600 000.
- Budget allocated by DG DEVCO & the EU Delegation of Malawi to assist the country in the implementation of the MALAWI project, which provides support to the Malawi authorities in the enhancement of their regulatory oversight: total of EUR 2.5 million spread over 3 years. The project was signed in December 2013 and will end in June 2017 (including a planned extension of 6 months). Budget appropriation for 2016: EUR 700 000. Planned budget appropriation for 2017: EUR 200 000.
- Budget allocated by DG MOVE for the ENP project (European Neighbourhood Policy) to contribute to the implementation of aviation safety in the ENP region and to promote awareness and involvement of ENP countries in the work of EASA in the interest of aviation safety. The project was signed in December 2013 for 24 months and came to an end in January 2016. Final accounts are being prepared based on the full amount paid by the Commission: EUR 418 000.
- Budget allocated by DG DEVCO for the EUROMED Aviation Safety Project to promote the harmonisation of safety standards, rules and procedures between the EU and Mediterranean partner states: in total EUR 2 million for 2 years. The contract was signed in January 2015 and will end mid 2017 (including a planned extension of 6 months). The full pre-financing amount of EUR 2 million was paid by the Commission. Budget appropriation for 2016: EUR 1 000 000. Planned budget appropriation for 2017 EUR 400 000.
- Budget allocated by DG DEVCO to project TRACECA (Transport Corridor Europe-Caucasus-Asia): in total EUR 2.5 million spread over 3 years. The contract ended in May 2015. Awaiting for the Commission's agreement on final accounts.
- Budget allocated by DG DEVCO for the TRACECAIII project (Transport Corridor Europe-Caucasus-Asia) to help the civil aviation authorities to adjust to ICAO and EU standards: in total EUR 5 million spread over 4 years. The contract was signed in December 2015. Budget appropriation for 2016: EUR 1 200 000, planned budget appropriation for 2017: EUR 1 000 000.
- Budget allocated by DG NEAR for the IPAI project (Instrument for Pre-accession Assistance) in South Eastern Europe to support the development of a framework for aviation safety in the region in line with the EU civil aviation. The project was signed in July 2015 for 24 months and for a total value of EUR 500 000. Budget appropriation for 2016: EUR 350 000, planned budget appropriation for 2017: EUR 150 000.
- Budget allocated by DG FPI for the EU CHINA project to develop European aviation interest (policy, standards, services and products) in China. The project was signed in September 2015 for 5 years for a total value of EUR 10 million. Budget appropriation for 2016: EUR 2 500 000, planned budget appropriation for 2017: EUR 2 500 000.
- Budget allocated by DG FPI for the SOUTH ASIA project to develop European aviation interest (policy, standards, services and products) in South Asia. The project is under negotiation. It may be signed in the course of 2016 for 4 years and for a total of EUR 7 500 000. Estimated appropriation for 2016: EUR 1 500 000 to be readjusted later in the year. Planned budget appropriation for 2017 EUR 2 500 000.
- A new project AATIP II might be signed end of 2016 with DG DEVCO for 4 years with a total value of EUR 5 million (under negotiation). The project will be funded through DEVCO ARISE+ programme, which will be in a

certain way the follow-up to the AATIP project and will focus on supporting the ASEAN regional integration. Planned budget appropriation for 2017: EUR 1 300 000.

- A new FPI funded project which will focus on other FPI-orientated interests such as developing the States' capacity for safety oversight in line with EU regulations, support of an EU-ASEAN comprehensive agreement, the environment etc. Estimated total value EUR 7.5 million over 4 years. Estimated budget appropriation for 2017: EUR 1 000 000.
- A new Safety List framework contract is currently under negotiation with an estimated signature in June 2016 with DG MOVE, for a total value of EUR 850 000 over 4 years. Planned budget appropriation for 2017: EUR 600 000.
- A new project ZAMBIA II might be signed in 2017 with DG DEVCO for an estimated total value of EUR 5.9 million over 5 years, planned budget appropriation for 2017: EUR 1 500 000.
- A new project SIASA II might be signed in 2017 with DG DEVCO for 5 years and a total value of EUR 5 million, planned appropriation budget for 2017: EUR 700 000.

Each contract is managed by a project team involving in most cases a Project Manager, an Operational Manager and Project Assistant. In 2015, 11.403 hours have been booked under SAP by the project team members.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Koln Triangle, Ottoplatz1, Cologne	
Surface area (in square metres) Of which office space Of which non-office space	18.645 16 095 2 551	
Annual rent (in EUR)	6 662 083	Figure includes estimated increase of building charges (€0.89/m ² /month) and rent indexation of 3.5122% applied as of 01.01.2014. Rentable area valid as of 1st June 2012
Type and duration of rental contract	Rental 15.05.2004-30.06.2016	
Host country grant or support	Not in 2015	
Present value of the building	Not applicable	

	Name, location and type of building	Other Comment
Information to be provided per building:	Ave deCortenbergh100; 1040 Brussels	Permanent office planned for the 1st of March 2012 available Figure includes building charges which fluctuate on an annual basis
Surface area (in square metres) Of which office space Of which non-office space	944,39 540,66 403,73	Non-office space consists of meeting rooms on level 0.
Annual rent (in EUR)	330.609	
Type and duration of rental contract	Rental 01.03.2012-28.02.2021	
Host country grant or support	No	
Present value of the building	Not applicable	

New building

	Name, location and type of building	Other Comment
Information to be provided per building:	Neue Direktion Köln, Konrad-Adenauer-Ufer 3, Cologne	
Surface area (in square metres) Of which office space Of which non-office space	21.300 18.927 2.373	

	Name, location and type of building	Other Comment
Annual rent (in EUR)	6.984.530	
Type and duration of rental contract	Rental 01.07.2016-30.06.2036 with 2 months handover period (01.05.2016-30.06.2016)	
Host country grant or support	Not in 2016 - 2020	
Present value of the building	Not applicable	

5.1.2 Building project in the planning phase

The Agency will move to a new headquarters in Cologne by 30.06.2016. The project has been approved by the Council (06.06.2013) and the European Parliament (27.06.2013).

5.1.3 Building projects submitted to the European Parliament and the Council

See above.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Brussels	12 months VAT free for certain types of purchases (electrical goods, furniture, car etc.) based on the same agreement between the EC and the Belgium Minister of Foreign Affairs	Access to the Crèche of the European institutions (access granted based on the institution contribution & parental contribution)
In the absence of a European School in Cologne, EASA signed service contracts with international schools in the Cologne area	EASA is paying the school fees for children attending primary and secondary school in the international schools with which a service contract has been signed within the limit of a ceiling established per school year (EUR 12.928 for primary school and EUR 15.665 for secondary school for the school year 2015-2016)	
Agreement between EASA & KVB (the company offering public transport services in the Cologne area)	EASA staff members can benefit (as any other employees working for a company located in the Cologne area) from a cheaper price for the public transport season ticket. The cost of the season ticket is deducted on a monthly basis from the EASA employees' salaries who have subscribe via EASA for the public transport season ticket	
FWC between EASA and a relocation company	EASA staff members can benefit from the services of a relocation company (once for 20hrs) to help them finding an accommodation and settling in the Cologne area.	

5.3 European schools

There is no European School Type 2 in the Cologne area, however due to the lack of a European School EASA has signed service contracts with 5 international schools in the Cologne area and is financing the school fees of children attending primary and secondary schools within the established ceilings of EUR 12 928 per child in primary school and EUR 15 665 per child in secondary school. These ceilings were established for the 2015-2016 school year and are revised on a yearly basis.

5.4 Evaluation

In line with the Basic Regulation, the Management Board received the findings of the evaluation. The next step was for the MB to issue recommendations regarding changes to this Regulation, the Agency and its working practices to the Commission who in turn may forward them, together with its own opinion as well as appropriate proposals, to the European Parliament and to the Council. This was done in Q3 2013 and included an action plan with a timetable. Both the findings and the recommendations of the evaluation were made public.

A new evaluation of the Agency is expected to be carried out by end 2018.

<https://www.easa.europa.eu/system/files/dfu/Article%2062%20Report.pdf>

2.2.1.1.7 European Maritime Safety Agency - EMSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation 1406/2002	27/06/2002	The Agency has been created to ensure a high, uniform and effective level of maritime safety, maritime security within the limits of the tasks defined in the Regulation, prevention of pollution and response to pollution by ships within the Community. The Agency provides the Member States and the Commission with the technical and scientific assistance needed and with a high level of expertise, in order to help them to apply Community legislation properly in the above-mentioned fields, to monitor its implementation and to evaluate the effectiveness of the measures in place.
Regulation 1644/2003	22/07/2003	The amendments refer to the right of access to documents, implementation and control of the budget.
Regulation 724/2004	31/03/2004	Maritime security (limited to ship, relevant companies and Recognised Security Organisations) and response to pollution by ships have been included within the mandate of the Agency. A specific new task, "to support with additional means in a cost efficient way the national pollution response actions in case of accidental or deliberate pollution caused by ships, upon request" has been added.
Regulation 100/2013 amending Regulation 1406/2002	15/01/2013	The revised objectives of the Agency are defined as "ensuring a high, uniform and effective level of maritime safety, maritime security, prevention of, and response to, pollution caused by ships as well as response to marine pollution caused by oil and gas installations. To that end, the Agency shall cooperate with the Member States and the Commission and provide them with technical, operational and scientific assistance in the relevant fields of activities. It is also stressed that by providing its assistance, the Agency shall, where appropriate, contribute to the overall efficiency of maritime traffic and maritime transport as set out in this Regulation, so as to facilitate the establishment of a European maritime transport space without barriers. The agency's tasks are divided in core and ancillary tasks.
Regulation 911/2014 multiannual funding for the action of EMSA in the field of response to marine pollution caused by ships and oil and gas installations (replacing Regulation 2038/2006)	23/07/2014	The Regulation lays down the arrangements for the financial contribution of the Union to the budget of the European Maritime Safety Agency for the implementation of the tasks assigned to it in the field of response to marine pollution caused by ships and oil and gas installations, pursuant to Articles 1 and 2 of Regulation (EC) No 1406/2002.
Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EC) No 1406/2002	15/12/2015	The purpose of this legislative proposal reinforcing European co-operation on coastguard functions is to improve cooperation and coordination between the relevant EU agencies in order to enhance synergies between their respective services, thus allowing them to provide more efficient and cost effective multipurpose services to national authorities carrying out coastguard functions. This legislative proposal forms part of a set of measures proposed by the Commission to reinforce the protection of Europe's external borders, including European cooperation on coastguard function, which also includes proposals for a Regulation establishing a European Border and Coast Guard Agency and to amend Council Regulation (EC) No 768/2005 establishing a European Fisheries Control Agency. The substantive amendments in this proposal are identical to the proposed provisions on European coastguard cooperation in the proposal for a Regulation establishing a European Border and Coast Guard Agency and to the proposed amendments to Council Regulation (EC) No 768/2005.

.2 Seat

Lisbon, Portugal

1.3 Budget Line

06 02 03 01 : European Maritime Safety Agency

06 02 03 02 : European Maritime Safety Agency — Anti-pollution measures

06 02 53 : Completion of anti-pollution measures

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	144	138	139	152
Establishment plan posts : AST	63	63	63	60
Establishment plan posts : AST/SC				
Total Establishment plan posts	207	201	202	212
Contract Agents	30	27	33	33
Seconded National Experts	18	14	18	18
TOTAL STAFF	255	242	253	263

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues EMSA

REVENUES	2016		2017	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
EU contribution	53 379 372	54 253 413	72 358 565	63 857 014
Other Revenue	1 842 507	1 866 631	2 401 550	2 166 907
TOTAL REVENUES	55 221 879	56 120 044	74 760 115	66 023 921

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements EMSA

REVENUES	2016		2017	
	Revenues estimated by the agency		Budget Forecast	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Ad hoc grants	0	0	4 000 000	4 000 000
Delegation agreements	6 459 000	6 459 000	6 771 000	6 771 000
TOTAL REVENUES	6 459 000	6 459 000	10 771 000	10 771 000

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	20 932 800	20 932 800	22 334 000	22 334 000
Title 2 - Infrastructure and operating expenditure	3 959 787	3 959 787	4 133 695	4 133 695
Title 3 - Operational expenditure	8 729 292	8 727 457	25 492 420	19 311 094
Title 4 - Anti-pollution measures	21 600 000	22 500 000	22 800 000	20 245 132
Title 5 - Project Financed Actions	p.m.	p.m.	p.m.	p.m.
TOTAL EXPENDITURE	55 221 879	56 120 044	74 760 115	66 023 921

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		2		1		1		1
AD 13	1	3		1	1	3	1	4	1	4
AD 12	1	9	1	7	1	10	1	10	1	10
AD 11		13		5		14		14		14
AD 10	1	17	1	18	1	18	1	19	1	19
AD 9		28		26		28		28		28
AD 8	1	24		31	1	24		29		29
AD 7		24		16		24		26		26
AD 6		18	1	24		12		12		12
AD 5		2		5				5		5
AD TOTAL	4	140	3	135	4	135	3	149	3	149
AST 11										
AST 10		1				1		1		1
AST 9				1						
AST 8		1				1		1		1
AST 7		2		2		4		4		4
AST 6		7		5		11		15		15
AST 5		17		16		18		20		20
AST 4		19		20		16		16		16
AST 3		16		15		12		3		3
AST 2				4		0				
AST 1						0				
AST TOTAL		63		63		63		60		60
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	4	203	3	198	4	198	3	209	3	209

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	207		201		202		212		212	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015 (1)	2016 estimate	Draft Budget 2017 estimate (2)(3)
Function Group IV	6	5	9	11
Function Group III	4	3	5	4
Function Group II	18	17	17	16
Function Group I	2	2	2	2
TOTAL	30	27	33	33

(1) 2015: 2 Offer letters for two contract agent positions have also been sent but have not been included. The Agency had 7 additional project financed CAs (4 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the implementation of the SAFEMED III programme, and 1 CA for the implementation of the TRACECA programme).

(2) 2016: the Agency will have, subject to signature of relevant agreements, 7 additional project financed CAs (4 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the implementation of the SAFEMED III programme, and 1 CA for the implementation of the TRACECA programme).

(3) 2017: the Agency will have, subject to signature of relevant agreements, 8 additional project financed CAs (4 CAs for the implementation of a SLA with FRONTEX, 2 CAs for the project for assistance in the Mediterranean Sea, 2 CAs for the project for assistance to the eastern EU neighbouring countries (Black and Caspian Sea).

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	18	14	18	18

3 Financial Resources

3.1 Revenues

3.1.1 General Revenues

3.1.1.1 Commitment appropriations

REVENUES	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	318 062	300 000	300 000	300 000	0,00%
2 EU CONTRIBUTION	52 248 359	53 379 372	72 358 565	72 358 565	35,56%
- Of which assigned revenues deriving from previous years' surpluses	1 773 677	2 230 340	961 831	961 000	-56,91%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 499 098	1 411 713	1 970 550	1 970 550	39,59%
- Of which EEA/EFTA (excl. Switzerland)	1 499 098	1 411 713	1 970 550	1 970 550	39,59%

REVENUES	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	126 617	130 794	131 000	131 000	0,16%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	7 214 556	p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	61 406 692	55 221 879	74 760 115	74 760 115	35,38%

3.1.1.2 Payment appropriations

REVENUES	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	318 062	300 000	300 000	300 000	0,00%
2 EU CONTRIBUTION	49 417 166	54 253 413	63 857 014	63 857 014	17,70%
- Of which assigned revenues deriving from previous years' surpluses	1 773 677	2 230 340	961 831	961 000	-56,91%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 415 012	1 435 837	1 735 907	1 735 907	20,90%
- Of which EEA/EFTA (excl. Switzerland)	1 415 012	1 435 837	1 735 907	1 735 907	20,90%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	126 617	130 794	131 000	131 000	0,16%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	4 629 622	p.m.	p.m.	p.m.	
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	55 906 479	56 120 044	66 023 921	66 023 921	17,65%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

3.1.2.1 Commitment appropriations

REVENUES	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	1 558 188	0	4 000 000	4 000 000	∞%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	0	6 459 000	6 771 000	6 771 000	4,83%
TOTAL	1 558 188	6 459 000	10 771 000	10 771 000	66,76%

3.1.2.2 Payment appropriations

REVENUES	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	784 357	0	4 000 000	4 000 000	∞%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	0	6 459 000	6 771 000	6 771 000	4,83%
TOTAL	784 357	6 459 000	10 771 000	10 771 000	66,76%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	20 170 267	20 932 800	22 334 000	22 334 000	6,69%
Salaries & allowances	18 654 927	19 762 800	21 089 000	21 089 000	6,71%
- Of which establishment plan posts	18 654 927	19 762 800	21 089 000	21 089 000	6,71%
- Of which external personnel					
Expenditure relating to Staff recruitment	175 576	275 000	410 000	410 000	49,09%
Mission expenses	88 595	75 000	70 000	70 000	-6,67%
Socio-medical infrastructure	20 824	15 000	20 000	20 000	33,33%
Training	299 145	310 000	260 000	260 000	-16,13%
External Services					
Receptions, events and representation	26 200	30 000	25 000	25 000	-16,67%
Social welfare	905 000	465 000	460 000	460 000	-1,08%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 649 898	3 959 787	4 133 695	4 133 695	4,39%
Rental of buildings and associated costs	3 056 443	3 211 205	3 255 500	3 255 500	1,38%
Information, communication technology and data processing	1 177 227	307 587	447 000	447 000	45,32%
Movable property and associated costs	88 984	66 500	52 500	52 500	-21,05%
Current administrative expenditure	113 854	132 500	122 500	122 500	-7,55%
Postage / Telecommunications	96 390	131 995	146 195	146 195	10,76%
Meeting expenses	117 000	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 845 204	8 729 292	25 492 420	25 492 420	192,03%
Information Services & Databases	4 916 004	4 303 942	4 632 820	4 632 820	7,64%
Information and Communication	161 546	200 000	175 000	175 000	-12,50%
Operational Workshops & Training	1 362 444	1 408 100	1 369 600	1 369 600	-2,73%
Studies in support of the Agency's operations	311 422	623 250	473 000	473 000	-24,11%
Operational missions	567 000	630 000	655 000	655 000	3,97%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
L.R.I.T.	1 526 788	1 564 000	1 637 000	1 637 000	4,67%
European Border and Coastguard Package			16 550 000	16 550 000	100%
Title 4 - Anti-pollution measures	20 526 766	21 600 000	22 800 000	22 800 000	5,56%
Pollution Response Services	16 055 883	15 046 500	16 854 800	16 854 800	12,02%
CleanSeaNet	4 164 657	6 224 700	5 800 000	5 800 000	-6,82%
Co-operation & Co-ordination and Information	306 226	328 800	145 200	145 200	-55,84%
Title 5 - Project Financed Actions	8 772 745	p.m.	p.m.	p.m.	
Maritime Information Services	220 163	p.m.	p.m.	p.m.	
Assistance to Candidate and ENP Countries	1 609 188	p.m.	p.m.	p.m.	
FRONTEX SLA	5 658 704	p.m.	p.m.	p.m.	
CleanSeaNet Services to Third Parties	551 827	p.m.	p.m.	p.m.	
COPERNICUS		p.m.	p.m.	p.m.	
EQUASIS	571 720	p.m.	p.m.	p.m.	
THETIS Modules	161 143	p.m.	p.m.	p.m.	
TOTAL	62 964 880	55 221 879	74 760 115	74 760 115	35,38%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	19 999 693	20 932 800	22 334 000	22 334 000	6,69%
Salaries & allowances	18 580 608	19 762 800	21 089 000	21 089 000	6,71%
- Of which establishment plan posts	18 580 608	19 762 800	21 089 000	21 089 000	6,71%
- Of which external personnel					
Expenditure relating to Staff recruitment	143 496	275 000	410 000	410 000	49,09%
Mission expenses	84 376	75 000	70 000	70 000	-6,67%
Socio-medical infrastructure	16 775	15 000	20 000	20 000	33,33%
Training	267 982	310 000	260 000	260 000	-16,13%
External Services					
Receptions, events and representation	9 154	30 000	25 000	25 000	-16,67%
Social welfare	897 302	465 000	460 000	460 000	-1,08%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 929 173	3 959 787	4 133 695	4 133 695	4,39%
Rental of buildings and associated costs	2 925 258	3 211 205	3 255 500	3 255 500	1,38%
Information, communication technology and data processing	761 264	307 587	447 000	447 000	45,32%
Movable property and associated costs	8 937	66 500	52 500	52 500	-21,05%
Current administrative expenditure	77 803	132 500	122 500	122 500	-7,55%
Postage / Telecommunications	53 234	131 995	146 195	146 195	10,76%
Meeting expenses	102 677	110 000	110 000	110 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 198 915	8 727 457	19 311 094	19 311 094	121,27%
Information Services & Databases	4 173 453	4 272 127	5 142 494	5 142 494	20,37%
Information and Communication	160 564	200 000	175 000	175 000	-12,50%
Operational Workshops & Training	1 052 459	1 418 100	1 353 600	1 353 600	-4,55%
Studies in support of the Agency's operations	654 645	663 230	313 000	313 000	-52,81%
Operational missions	531 247	630 000	655 000	655 000	3,97%
L.R.I.T.	1 626 547	1 544 000	1 742 000	1 742 000	12,82%
European Border and Coastguard Package			9 930 000	9 930 000	100%
Title 4 - Anti-pollution measures	19 149 076	22 500 000	20 245 132	20 245 132	-10,02%
Pollution Response Services	15 155 382	17 699 232	14 574 587	14 574 587	-17,65%
CleanSeaNet	3 881 114	4 274 171	5 381 343	5 381 343	25,90%
Co-operation & Co-ordination and Information	112 580	526 597	289 202	289 202	-45,08%
Title 5 - Project Financed Actions	5 413 979	p.m.	p.m.	p.m.	
Maritime Information Services	208 021	p.m.	p.m.	p.m.	
Assistance to Candidate and ENP Countries	784 357	p.m.	p.m.	p.m.	
FRONTEX SLA	3 464 574	p.m.	p.m.	p.m.	
CleanSeaNet Services to Third Parties	452 777	p.m.	p.m.	p.m.	
COPERNICUS		p.m.	p.m.	p.m.	
EQUASIS	459 400	p.m.	p.m.	p.m.	
THETIS Modules	44 850	p.m.	p.m.	p.m.	
TOTAL	56 690 836	56 120 044	66 023 921	66 023 921	17,65%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 961 831

4 Justification of needs

Commission Assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM (2013)519 of 10.7.2013 and of the Proposal for a Regulation amending Regulation (EC) No 1406/2002 establishing a European Maritime Safety Agency COM(2015) 667.

Human Resources

The level of human resources requested by the Agency is consistent with Commission Communication COM (2013)519 and the Commission Proposal COM (2015) 667. The required staff reduction (- 4 statutory posts for the redeployment pool) will be implemented through redeployment and internal mobility with no impact on the delivery of operational activities while the Agency will increase in parallel its staff with 14 new posts to implement the new task related to European cooperation on Coast Guard functions. With this staff level the Agency will continue to perform the tasks that have been assigned to it in the 2013 revised founding Regulation and will also develop new activities in cooperation with the new European Border and Coast Agency and the European Fisheries Control Agency with which synergies will be sought. The level of CAs and SNEs remains stable. There is a slight increase in the number of CAs projects-financed (from 7 to 8 CAs – 4 CAs for Frontex related activities, 2 for SAFEMED and 2 for TRACECA, projects funded by DG NEAR)) and their number has been approved for each project.

Financial Resources

The Agency request for financial resources complies with the ceiling that has been fixed in COM (2013)519 and the additional resources contained in COM (2015) 667. The appropriations requested for titles 1 & 2 (staff and infrastructure) are necessary to fulfil the Agency legal obligations and to implement the new tasks. For title 3 'traditional operational activities' (without anti-pollution activities and without projects), the Commission approves the increase in expenditure related to information services and databases in line with the priority to develop digital integrated maritime services. The Commission also approves the increase in workshops and operational missions (visits and inspections) which sustain the assistance provided by the Agency to the Commission and the Member States. These expenses are fully justified with regard to the mandate of the Agency and the new methodology on visits approved by the Board. The decrease in the studies line does not reflect a permanent trend but the fact that a major effort on some environmental studies come to an end. Regarding the new task related to European cooperation on Coast Guard functions, the Commission can approve the envisaged breakdown of expenditure which reflects the increased services to be provided by the Agency in support of the needs of EU agencies and national authorities for maritime surveillance, based on new technologies space-based and Remotely Piloted Aircraft Systems (RPAS).

For title 4 'anti-pollution measures', the figures are in line with the Commission proposal on multiannual funding for EMSA in the field of response to pollution (COM(2013)174) and will allow to maintain the CleanSeaNet service and the network of vessels on stand-by, equipment stockpiles and dispersants capability as well as to continue developing the Equipment Assistance Service.

For title 5 'projects-financed actions', the amounts are assessed separately for each project outside of the main subsidy.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In the Communication on the programming of human and financial resources for decentralised agencies for 2014-2020, the Agency has been requested for 2017 to implement a cut of -4 statutory posts in its establishment plan, notwithstanding the Agency's enlarged mandate as from 2013. The Agency plans still to perform the relevant tasks with the remaining 198 posts, through internal mobility and redeployment and creation of task forces as relevant.

Furthermore, in line with the Commission's proposal on the European border and coastguard package, the Agency will need an additional 14 posts in 2017. Therefore, for the tasks planned in 2017, as outlined in the Draft EMSA Single Programming Document 2017-2019, Section III: Work Programme 2017, the Agency requests an establishment plan of 212 posts. The level of contract agents (CAs) and seconded national experts (SNEs) financed by annual fresh credits has been kept stable: 33 CAs and 18 SNEs.

4.1.2 Vacancy rate as of end 2015

The staff reductions expected for 2016 (- 5 posts) was gradually planned well in advance through natural turnover. As a result, five posts were already earmarked in the 2015 establishment plan for the cut of 2016. This set the target of occupation of the establishment plan for 2015 at 202 (instead of 207). Against that target, the vacancy rate related to the establishment plan at the end of 2015 was 1.98%

4.1.3 Standard abatement ('abatement forfaitaire') applied

0.5%. Against 197 posts which is the target as from 1.01.2017: 0.5% for the existing establishment plan. For the additional increase of 14 posts in line with the Commission's proposal on the European Border and Coastguard package as from June 2017 the vacancy rate is unknown at this stage.

4.1.4 Salary assumption for calculating salary line (% applied)

Vacancy rate: 0.5% in the light of the reduction of the establishment plan. Annual adjustment and correction coefficient impact: 2% for 2017.

4.1.5 Correction coefficient used

79.2% weighting for Portugal at 01/2016.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Under this Title the Agency will need EUR 22 334 000 (EUR 1 034 000 related to the European border and coastguard package). The amount will cover the costs of salaries and allowances of 212 statutory staff (permanent and temporary agents) (198 + 14 related to the European border and coastguard package) and 51 non statutory staff (18 national experts and 33 contract agents). Training actions (languages, specific training, personal and professional development) are charged against this title. The social measure for ensuring the access to multilingual tuition as well as other social measures for specific needs has been increased compared to 2016 (+6.7%) mainly due to staff increases related to the European border and coastguard package. In the event of a positive increase of the coefficient corrector for Portugal and/or a significant annual adjustment increase, additional funding for Title 1 might be required.

4.2.2 Title 2

Under this Title the Agency will need EUR 4 030 281 (86 000 related to the European border and coastguard package). The main entry under this title is the rental and associated costs for the Agency's premises, with EUR 3 255 500 allocated to Chapter 2.0. This Title has been increased compared to 2016 (+1.8%) due to the European border and coastguard package. EUR 131 000 will be covered with miscellaneous revenue.

4.2.3 Title 3

Under Title 3 "Operational Expenditure" the Agency will need EUR 25 589 933 in commitment appropriations and EUR 19 408 608 in payment appropriations (EUR 16 550 000 and EUR 9 930 000 respectively, related to the European border and coastguard package). The Agency's "Draft Single Programming Document 2017-2019, Section III Work Programme 2017" gives a full picture of relevant activities.

Under Title 3 the Agency runs the following major projects in compliance with its Founding Regulation and its Annual Work Programme:

a) Maritime Applications and related projects: SafeSeaNet system, for monitoring the movement of ships along the EU coasts based on AIS information, including regional servers for the Baltic, the North and the Mediterranean Sea; EU LRIT (Long Range Identification and Tracking) Cooperative Data Centre for monitoring the movement of ships under EU flags and along EU coasts through satellite ; LRIT IDE (Long Range Identification and Tracking International Data Exchange); THETIS, the information system to support the inspection regime foreseen by the recast Directive on Port State Control; MaKC (Maritime Knowledge Centre) an operating platform supporting the EMSA PSC training programme, including the Distant Learning Package, a series of distance learning modules about different aspects of PSC practice and other relevant legislation; RuleCheck, a documentation reference tool for Port State Control Officers; STCW Information System, to collect information in the field of education, training and certification of seafarers; CleanSeaNet Service, a satellite-based monitoring tool; MAR-ICE Service for marine intervention in chemical emergencies; IMDatE (Integrated Maritime Data Environment); EMCIP (European Marine Casualty Information Platform), which includes a database related to marine casualty investigation and a number of analytical tools Satellite AIS and maritime surveillance projects, including testing the potential of RPAS (remotely piloted airborne systems).

b) Maritime Databases: Marine Equipment Database and Maritime Information and Statistics ('MARINFO').

c) In addition, under this Title the Agency assists the Commission in the implementation of relevant EU legislation through a programme of inspections and visits, technical assistance through horizontal analyses, studies, reports, and offers an extensive programme of training to Member States.

Under a new Chapter 3.9 for tasks related to the European border and coastguard package, the Agency will indicatively need EUR 16 550 000 in commitment and EUR 9 930 000 in payment appropriations.

Under Chapter 3.1 Information Services & Databases: the Agency will need in total EUR 4 632 820 for commitment appropriations distributed as follows:

a) EUR 1 470 000 for ICT (Hardware, Software, Hosting requirements, Business Continuity Facility): the Agency hosts not only its own internal processes, applications and systems (under Title 2 funding), but is also a major ICT hosting centre for European and Global maritime applications with stringent 24/7 Service Level Agreements (99.9% availability with maximum 2 hours downtime) for its most critical Maritime Applications. EMSA runs production, pre-production, training and test environments for all of its major applications and operates, maintains and continually enhances 2 Data Centres; 11 Maritime Applications; 16 External Services, 2 Maritime Databases; processes and systems for the MSS; associated servers, storage arrays, network, data backup and synchronisation equipment;

advanced server virtualization, monitoring, encrypted communications and ICT security systems. This needs to be supported in terms of annual software and hardware maintenance costs for the existing systems and equipment; hosting and telecommunications costs for the external EMSA Business Continuity Facility and service costs for the 24/7 monitoring and incident management processes. In addition annual growth forecasts for total number of users, traffic per user and database growth needs to be covered by extra hardware and software licenses. In 2017 some EMSA equipment and systems will need replacement to keep up with the fast-changing technological environment.

b) EUR 773 000 for the maintenance and enhancements of the following tools: STCW Information System, EMCIP, RuleCheck, Maritime Knowledge Centre (including the Distant Learning Package), Horizontal Analysis and the Marine Equipment Database.

c) EUR 635 000 for the maintenance and upgrading of THETIS in response to developments foreseen in the port State control framework and ship inspection support.

d) EUR 257 000 for acquiring relevant data in the field of maritime information and statistics.

e) 820 333 EUR for Maintenance & Development in the field of SSN maritime applications.

f) 560 000 EUR for development of the new ICT Architecture for the SafeSeaNet ecosystem (i.e. Common Graphical User Interface for the maritime applications, further integration of SafeSeaNet and IMDatE and reference databases).

g) EUR 56 000 will be devoted to Equasis.

“Information and Communication” expenses, including “Operational Translations”, have been reduced by EUR 25 000 compared to 2016.

“Operational workshops & Trainings” has an increase of +EUR 90 500 compared to 2016. This will allow carrying out some additional workshops in the field of Places of Refuge and the possible workshop in line with the recently adopted Methodology for Visits to Member States.

“Studies”, has a decrease of EUR 150 250 compared to 2016. The studies in the environmental field will be completed in 2016.

The budget allocated in Chapter 3.6, “Operational Missions”, will be increase by EUR 55 000. The Agency will continue to carry out visits and inspections to monitor the implementation of EU legislation on request of the Commission (Recognised Organisations, Systems for Maritime education, training and certification of seafarers, Port State Control, Maritime Security, Vessel Traffic Monitoring, Marine Equipment, Sulphur Directive).

Under Chapter 3.7 “LRIT”, the needs in commitment appropriations for 2017 for LRIT will increase by EUR 73 000.

Under the new Chapter 3.9 “European border and coastguard package” the envisaged breakdown is as follows:

EUR 800 000 for “Data analysis, fusion and sharing”; EUR 12 950 000 for “RPAS operations”; EUR 500 000 for “Satellite communications”; EUR 2 000 000 “Provision of Satellite AIS”; EUR 230 000 for “Capacity building” and EUR 70 000 for “Missions” related to the above activities.

4.2.4 Title 4

Title 4 “Anti-Pollution Measures” (APM): the proposal for appropriations is based on the relevant figures put forward by the Commission Communication of 2013 detailing the multiannual funding for the action of the European Maritime Safety Agency in the field of response to pollution caused by ships and oil and gas installations: EUR 22 800 000 in commitment appropriations and EUR 20 245 132 in payment appropriations.

This will allow the Agency in 2017 to provide:

- *Operational pollution response services (EUR 16 854 800 in CA):*

Manage the current stand-by oil spill response vessels’ service, including supervision of vessel and equipment performance as well as crew capability for oil pollution response and renew the contracts covering the Bay of Biscay, Southern Atlantic Coast, Western Mediterranean Sea, and Central Mediterranean Sea for an additional 4-year period.

Manage the equipment assistance service (EAS) for the North Sea, and the Baltic Sea and Contract a new equipment assistance service in the southern part of Europe (e.g. in the Mediterranean Sea).

Manage the existing dispersant stockpiles.

Organise the participation of EMSA’s pollution response services in regional and national ship source and offshore installation oil pollution response exercises, using the mobilisation procedure of the ERCC.

Implement improvement projects to adapt and/or upgrade the existing capabilities of the vessel network and equipment.

Replace or overhaul ageing or obsolete oil spill response equipment.

Coordinate the mobilisation procedures for pollution response services in case of requests for assistance.

Provide expertise to Member States or the Commission in case of pollution incidents.

- *Services related to Clean SeaNet and illegal discharges (EUR 5 800 000):*

Provide a satellite image based service to support identification and pursuit of ships making unlawful discharges, and to respond to large-scale marine pollution incidents based on satellite imagery and other available sensors.

Cooperation and Information actions related to Pollution Preparedness and Response (EUR 145 200 in CA):

Implement the HNS Action Plan to ensure the proper operation, maintenance and development of the MAR-ICE service and the MAR-CIS database.

Cooperate with coastal States and existing relevant regional cooperation arrangements in coordination with the Commission, in order to facilitate the exchange of best practice and develop added value projects.

Provide technical assistance to coastal States regarding pollution preparedness and response to contribute to relevant work of technical bodies of the International Maritime Organization (IMO), and relevant Regional Agreements.

These amounts are in line with the ceilings in the relevant Commission's proposal for multi annual funding of APM.

Item	Anti-pollution measures	Commitment appropriations	Payment appropriations
4100	APM – Pollution response Services, of which: At sea oil recovery service network Platforms Missions	16 854 800 16 643 300 131 500 80 000	14 574 587 14 373 087 131 500 70 000
4200	APM – CleanSeaNet, of which: CleanSeaNet activities Meetings Missions	5 800 000 5 685 000 80 000 35 000	5 381 343 5 266 343 80 000 35 000
4300	APM – Cooperation & Coordination and Information, of which: Cooperation & Coordination and Information Meetings Missions	145 200 27 000 98 200 20 000	289 202 171 002 98 200 20 000
Total		22 800 000	20 245 131

4.2.5 Title 5

See point 4.3 Ad hoc grants and delegation agreements.

Title 5 Project Financed Actions represents project financing earmarked for specific actions entered as p.m.

4.3 Ad hoc grants and delegation agreements

The Agency has the following agreements in place:

- Grant Agreement with DG NEAR for the management of the SAFEMED III Project (total budget of EUR 3 000 000 from 16.6.2013 to 15.6.2016, to be extended from 16.6.2016 to 15.3.2017).
- Grant Agreement with DG NEAR for the management of the TRACECA II Project for the Black and Caspian Sea (total budget of EUR 850 000 from 16.6.2014 to 15.06.2016, to be extended from 16.6.2016 to 31.7.2016).
- Delegation Agreement between the European Commission (DG-GROW) and the Agency as Entrusted Entity to organise and coordinate the Copernicus Security Services for Maritime Surveillance. The indicative amount (in commitments) for 2017 is EUR 6 771 000 (total budget of EUR 40 000 000 from 2015 to 2020).

The Agency expects to have the following agreements in place:

- Grant Agreement with DG NEAR for the management of the SAFEMED IV Project (total budget of EUR 4 000 000 from 16.03.2017 to 15.02.2021).
- Grant Agreement with DG NEAR for the management of the TRACECA III Project for the Black and Caspian Sea (total budget of EUR 4 000 000 from 01.02.2017 to 31.01.2021).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EMSA HQ, Lisbon	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL.
Surface area (in square metres)	10 667 m ²	
Of which office space	10 180 m ²	
Of which non-office space	486,84 m ²	

	Name, location and type of building	Other Comment
Annual rent (in EUR)	1 870 000	
Type and duration of rental contract	Lease Agreement signed on 01/05/2009 for a period of 25 years	
Host country grant or support	n/a	
Present value of the building	n/a	
	Name, location and type of building	Other Comment
Information to be provided per building:	Conference Centre (shared with EMCDDA), Lisbon	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL
Surface area (in square metres) Of which office space Of which non-office space	2 116,26 m ²	
Annual rent (in EUR)	310 000	
Type and duration of rental contract	Lease Agreement signed on 01/05/2009 for a period of 25 years	
Host country grant or support	n/a	
Present value of the building	n/a	
	Name, location and type of building	Other Comment
Information to be provided per building:	Palacete (shared with EMCDDA), Lisbon	Including indexation. Calculations based on SLA with EMCDDA and Lease Agreement between EMCDDA and APL
Surface area (in square metres) Of which office space Of which non-office space	1 933,9 m ²	
Annual rent (in EUR)	96 000	
Type and duration of rental contract	Service Level Agreement with EMCDDA	
Host country grant or support	n/a	
Present value of the building	n/a	
	Name, location and type of building	Other Comment
Information to be provided per building:	Underground parking (101 spots), Lisbon	Including indexation. Calculations based on SLA with EMCDDA and lease agreement with APL
Surface area (in square metres) Of which office space Of which non-office space	n/a	
Annual rent (in EUR)	145 000	
Type and duration of rental contract	Lease agreement	Lease Agreement signed on 01/05/2009 for a period of 25 years
Host country grant or support	n/a	
Present value of the building	n/a	
	Name, location and type of building	Other Comment
Information to be provided per building:	External parking (49 spots), Lisbon	Current lease agreement with APL temporarily suspended. However APL has reached the agreement with Camera Municipal de Lisboa and it is planned to proceed with construction works of external parking. The definitive date has not been though indicated.
Surface area (in square metres) Of which office space Of which non-office space	n/a	
Annual rent (in EUR)	n/a	

	Name, location and type of building	Other Comment
Type and duration of rental contract	Lease agreement	
Host country grant or support	n/a	
Present value of the building	n/a	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2004, the Protocol between the Government of the Portuguese Republic and EMSA was signed covering the relations between the Agency and Portugal as its host State.</p> <p>Privileges granted;</p> <ul style="list-style-type: none"> - Exemption from direct taxes; - Exemption from purchase tax on goods and services; - Exemption from customs duties and from any taxes on imports and exports; - Exemption from any duties and any import restrictions on vehicles of official use; - Exemption from road tax for vehicles under "special registration" (diplomatic plates); - Exemption from taxation on fuel and lubricants; - Replacement of official vehicles every 5 years; - Special status of the Agency Headquarters (Similar to Diplomatic mission); - Security staff with special authorisation to carry firearms; - Exemption from any charges for Visas and other authorisations for EMSA guests. 	<p>Comparable category of the members of diplomatic corps in Portugal;</p> <ul style="list-style-type: none"> - Exemption from national taxes on earning, salaries and respective payments paid by the Agency; - Immunity from jurisdiction as regards acts carried out by the staff member in official capacity; - Exemption from purchase tax on goods and services (VAT); - Exemption from customs duties and from any taxes on imports and exports (duty-free); - Exemption from any duties and any import restrictions on vehicles of official/private use; - Exemption from road tax for vehicles under "special registration" (diplomatic plates); - Exemption from taxation on fuel and lubricants; - Replacement of official/private vehicles once after 4 years 	<p>Providing access to schools in the mother tongue of the staff member is an issue that the Agency has taken seriously and therefore in 2005 the Agency has adopted a social measure "multilingual tuition for children of EMSA staff" in Lisbon.</p> <p>Under this social measure EMSA currently assists in providing access to English, French, German, Spanish and Swedish schools. The Agency covers the school fees through direct agreements between the Agency and the relevant schools. This social measure is taken upon the Agency's own initiative and is paid by the Agency budget (no involvement of the hosting State).</p> <p>This social measure is not intended to replace the educational allowance, as provided by the Staff Regulations. Educational allowances are duly determined and paid when due.</p>

5.3 European schools

Not Applicable to EMSA, as there are no European Schools in Lisbon.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority by the end of 2008. The evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council and made public. This input was also used by the Commission as a basis to start the revision of the EMSA Founding Regulation proposed in October 2010 (COM (2010) 611).

The main findings of the latest evaluation available are as follows: The overall outcome of the evaluation report was positive and demonstrated that the Agency has added value to the sector in general and in particular to its main stakeholders, the Member States and the Commission. This was confirmed by the revision of the Founding Regulation adopted in 2013, where the mandate of the Agency was extended and new tasks were entrusted to it.

The relevant documents are available at the following link: <http://emsa.europa.eu/who-are-we/admin-board/evaluation-activities.html>

A new evaluation of the agency is expected to be carried out by 2017, in line with article 22 of the revised Founding Regulation. At the same time a new article 22a has been foreseen, with the introduction of a progress report: "By 2 March 2018, and taking into account the evaluation report referred to in Article 22, the Commission shall submit a

report to the European Parliament and the Council setting out how the Agency has undertaken the additional responsibilities assigned by this Regulation with a view to identifying further efficiency gains and, if necessary, the case for modifying its objectives and tasks.”

2.2.1.1.8 European Union Agency for Railways – ERA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC) No 881/2004 (repealed)	29/04/2004	The objectives of the Agency are to contribute, at technical level, to the implementation of the EU legislation aiming at improving the competitive position of the rail sector by reinforcing the interoperability between railways systems and at developing a common approach in terms of safety of the European railways in order to contribute to the creation of a European rail open space, without borders and offering a high level of safety.
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	Regulation 1335/2008 allocates the following new tasks to the Agency: overseeing the mutual recognition, of vehicle authorisation (cross acceptance) including the specification of the parameters to be checked for authorisation to place vehicles in service and a full classification of the equivalence or otherwise of the national rules of the Member States used for vehicle authorisation; providing technical opinions on the equivalence of national rules, refusals of authorisation to place into service, urgent modifications to the TSIs and the conformity of projects with TSIs; recommending a system of certification of the Entity in Charge of Maintenance for railway vehicles; make recommendations on the mechanisms for implementing the Train Drivers (licensing) Directive; drawing up specifications for registers of vehicles, vehicle types, and infrastructure; and managing the European Rail Traffic Management System (ERTMS) change control, version management and test specifications. Evaluating the certification process of ERTMS, the costs and benefits of centralising verification and testing at community level and maintaining consistency between Notified Bodies involved in ERTMS
Regulation (EC) No 454/2011 (Telematic applications for passenger services (TAP TSI))	05/05/2011	These three regulations allocate the following new or permanent tasks to the Agency: ensure the development of new technical specifications for advanced ticketing (ticket on departure and manifest on list), and for intermodal timetables and fares; monitor the development and implementation of the TAP target system (according to delivered master plans) in order to meet the data provision requirements of the European rail passengers' rights regulation 1371/2007. To this and the Agency has to liaise with EU member states and smaller railways/ticket vendors to make them understand how they have to comply with the provisions of Regulation 454/2011; publish various reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions and to inform EC about these changes by managing a web based registry which contains above changes; and assess the compliance of IT solutions developed for the future TAF target system.
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	
Regulation (EC) No 1371/2007)	23/10/2007	
Regulation (EC) No 328/2012 amending regulation 62/2006 (Telematic applications for freight services (TAF TSI))	17/04/2012	These two regulations allocate the following new or permanent tasks to the Agency: review the Regulation 328/2012 in order to ensure that the data provision needs of professional freight customers are met appropriately; monitor the development and implementation of the TAF target system (according to delivered master plans). To this end the Agency has to liaise with EU member states and smaller railways/professional freight customers to make them understand how they have to comply with the provisions of Regulation 328/2012; publish various reference files necessary to implement the future TAF target system; ensure the controlled change of the technical interface descriptions which are mandatory annexes to the Regulation 328/2012 and to inform EC about these changes. To this end ERA manages a web based registry which contains above changes; and assess the compliance of IT solutions developed for the future TAP target system.
Regulation (EC) No 1335/2008 amending regulation 881/2004	16/12/2008	
Council Regulation establishing the	Regulation adopted on	The European Railway Agency shall have observer status on the Governing Board and contribute to the definition and implementation of the S2R Master

Shift2Rail joint undertaking	16/06/2014	Plan, in particular by performing the following advisory tasks: (a) Proposing possible amendments to the S2R Master Plan and to the annual work plans, (...) (b) Proposing (...) technical standards for research, development and validation activities with the view to guaranteeing the interoperability and safety results; (c) Reviewing the common developments for the future system and contributing to defining target systems in regulatory requirements and (d) Reviewing project activities and results (...).
Regulation (EU) N° 2016/796	11/05/2016	The objective of the Agency shall be the following: contribute to the further development and effective functioning of a single European railway area without frontiers, by guaranteeing a high level of railway safety and interoperability, while improving the competitive position of the railway sector; contribute, on technical matters, to the implementation of Union legislation by developing a common approach to safety on the Union rail system and by enhancing the level of interoperability on the Union rail system; follow the development of national railway rules in order to support the performance of national authorities acting in the fields of railway safety and interoperability and to promote the optimisation of procedures; perform the role of Union authority responsible for issuing authorisations for the placing on the market of railway vehicles and vehicle types and for issuing single safety certificates for railway undertakings where provided for by Directive (EU) 2016/797 and Directive (EU) 2016/798; take full account of the process of enlargement of the Union and of the specific constraints relating to rail links with third countries.
Regulation (EU) N° 2016/796	11/05/2016	The agency shall perform the following new tasks: after a three year transition period, ERA will be empowered to issue single EU-wide safety certificates to railway undertakings, and vehicle authorisations for operation in more than one country to train manufacturers, in close cooperation with National Safety Authorities (NSAs); sign cooperation agreements with all NSAs based on a cooperation arrangement framework subject to adoption by the Agency's Management Board; develop a common information and communication platform with a virtual One-Stop-Shop functionality in cooperation with the network of NSAs, on the basis of a draft prepared by the Agency, taking into account the results of a cost-benefit analysis, and will be adopted by the Agency's Management Board; grant pre-approval for European Rail Traffic Management System (ERTMS) infrastructure before this infrastructure being authorised by the NSA, in order to enable seamless cross-border traffic on the European continent; facilitate cooperation between the NSAs, the national investigating bodies and representative bodies from the railway sector acting at Union level in order to promote good practice, the exchange of relevant information and the collection of railway-related data, and to monitor the overall safety performance of the Union rail system.
Directive (EU) 2016/798 on railway safety (Recast)	11/05/2016	
Directive (EU) 2016/797 on the interoperability of the rail system within the European Union (recast)	11/05/2016	
Regulation (EU) N° 2016/796	11/05/2016	The agency shall perform the following new tasks: act as the system authority to ensure the coordinated development of telematics applications in the Union, in accordance with relevant TSIs; define, publish and apply the procedure for managing requests for changes to specifications for telematics applications; set up, maintain and update a register of requests for changes; develop and maintain the technical tools for managing the different versions of specifications for telematics applications and to ensure backward compatibility; assist the Commission in the monitoring of deployment of specifications for telematics applications in accordance with relevant TSIs.
Regulation (EC) No 1371/2007	23/10/2007	

1.2 Seat

Valenciennes and Lille, France

1.3 Budget Line

06 02 04 : European Union Agency for Railways

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	104	98	99	103
Establishment plan posts : AST	33	36	36	36
Establishment plan posts : AST/SC				
Total Establishment plan posts	137	134	135	139
Contract Agents	16	21	30	42
Seconded National Experts	8	3	4	4
TOTAL STAFF	161	158	169	185

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	26 000 000	30 000 000
Other Revenue	695 879	817 000
TOTAL REVENUES	26 695 879	30 817 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	17 483 879	17 483 879	18 300 000	18 300 000
Title 2 - Infrastructure and operating expenditure	2 592 000	2 592 000	2 800 000	2 800 000
Title 3 - Operational expenditure	6 620 000	6 620 000	9 717 000	9 717 000
TOTAL EXPENDITURE	26 695 879	26 695 879	30 817 000	30 817 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12								1		1
AD 11		5		3		6		5		5
AD 10		11		13		14		18		18
AD 9		29		26		31		33		33
AD 8		21		15		20		21		21
AD 7		13		19		13		14		14
AD 6		24		21		14		16		10
AD 5										
AD TOTAL		104		98		99		109		103
AST 11										
AST 10										
AST 9		2		2		3		3		3
AST 8		3		1		4		5		5
AST 7		3		1		4		4		4
AST 6		2		3		3		3		3
AST 5		5		7		7		8		8
AST 4		6		6		6		9		9
AST 3		7		10		6		3		3
AST 2		5		6		3		1		1
AST 1										
AST TOTAL		33		36		36		36		36
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		137		134		135		145		139

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	137		134		135		145		139	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	6	6	15	21
Function Group III	3	5	5	8
Function Group II	4	7	7	10
Function Group I	3	3	3	3
TOTAL	16	21	30	42

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	8	3	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	25 613 000	26 000 000	31 000 000	30 000 000	15,38%
- Of which assigned revenues deriving from previous years' surpluses	954 000	787 000	357 000	357 000	-54,64%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	732 000	695 879	844 800	817 000	17,41%
- Of which EEA/EFTA (excl. Switzerland)	732 000	695 879	844 800	817 000	17,41%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	26 345 000	26 695 879	31 844 800	30 817 000	15,44%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 913 932	17 483 879	18 700 000	18 300 000	4,67%
Salaries & allowances	16 073 946	16 453 879	17 710 000	17 310 000	5,20%
- Of which establishment plan posts	14 868 862	14 743 879	15 750 000	15 010 000	1,80%
- Of which external personnel	1 205 084	1 710 000	1 960 000	2 300 000	34,50%
Expenditure relating to Staff recruitment	203 442	310 000	265 000	265 000	-14,52%
Mission expenses	130 000	140 000	140 000	140 000	0,00%
Socio-medical infrastructure	47 218	35 000	40 000	40 000	14,29%
Training	244 935	300 000	300 000	300 000	0,00%
External Services	211 335	240 000	240 000	240 000	0,00%
Receptions, events and representation	3 056	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 600 842	2 592 000	2 800 000	2 800 000	8,02%
Rental of buildings and associated costs	1 255 230	1 315 000	1 350 000	1 350 000	2,66%
Information, communication technology and data processing	708 134	669 000	700 000	700 000	4,63%
Movable property and associated costs	229 954	212 000	300 000	300 000	41,51%
Current administrative expenditure	240 169	191 000	245 000	245 000	28,27%
Postage / Telecommunications	167 355	205 000	205 000	205 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 592 732	6 620 000	10 344 800	9 717 000	46,78%
TOTAL	26 107 506	26 695 879	31 844 800	30 817 000	15,44%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	16 913 932	17 483 879	18 700 000	18 300 000	4,67%
Salaries & allowances	16 073 946	16 453 879	17 710 000	17 310 000	5,20%
- Of which establishment plan posts	14 868 862	14 743 879	15 750 000	15 010 000	1,80%
- Of which external personnel	1 205 084	1 710 000	1 960 000	2 300 000	34,50%
Expenditure relating to Staff recruitment	203 442	310 000	265 000	265 000	-14,52%
Mission expenses	130 000	140 000	140 000	140 000	0,00%
Socio-medical infrastructure	47 218	35 000	40 000	40 000	14,29%
Training	244 935	300 000	300 000	300 000	0,00%
External Services	211 335	240 000	240 000	240 000	0,00%
Receptions, events and representation	3 056	5 000	5 000	5 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 600 842	2 592 000	2 800 000	2 800 000	8,02%
Rental of buildings and associated costs	1 255 230	1 315 000	1 350 000	1 350 000	2,66%
Information, communication technology and data processing	708 134	669 000	700 000	700 000	4,63%
Movable property and associated costs	229 954	212 000	300 000	300 000	41,51%
Current administrative expenditure	240 169	191 000	245 000	245 000	28,27%
Postage / Telecommunications	167 355	205 000	205 000	205 000	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 592 732	6 620 000	10 344 800	9 717 000	46,78%
TOTAL	26 107 506	26 695 879	31 844 800	30 817 000	15,44%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 357 347.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM (2013) 519 of 10.7.2013).

Human Resources

With a view to the agency's new Regulation (2016/796) of 11.05.2016, the Commission supports the request of ERA for external staff by proposing 12 new contract agents with new tasks linked to the 4th railway package (in particular the creation of an information and communication system with a "one stop shop functionality"). The implementation of the 4th railway package will require the agency either to be operational or fully prepared - depending on the roles - in 2017 as well as performing its current duties in order to achieve the completion of the single European Railway area. The Commission proposes that the establishment plan posts stay at 139 posts in line with COM (2013)519 for 2017.

Financial Resources

The Commission supports EUR 4 million of additional financial resources in 2017 for the implementation of the new tasks given to ERA by its new Regulation (issuing vehicle authorisations and safety certificates, monitoring of national safety authorities, providing training and dissemination of information to stakeholders) and extending the existing tasks (strengthened role in reducing national rules and concerning ERTMS).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

145 Temporary agents (TA), 36 Contract agents (CA) and 4 Seconded national experts (SNE).

The Agency's 2017 budget includes 139 posts according to the Communication COM(2013)519.

The resource needs of ERA necessary for performing the new tasks linked to the 4th Railway package: the creation of the One Stop Shop, the safety occurrence reporting, the shadow running for preparing the issue of safety certificates, vehicle and vehicle type authorisations and approval of ERTMS track-side equipment projects, will exceed the provision of the Communication. It will be necessary to frontload four posts originally planned for 2018 already in 2017 and add two new subsidy financed posts bringing the total number of posts for temporary agents to 145. Already in 2016, the Agency will take up a number of new tasks under the 4th Railway Package without any additional posts. At the same time, the need for contract agents will increase from 30 in 2016 to 36 in 2017. The number of SNE will stay at the same level of 2016.

4.1.2 Vacancy rate as of end 2015

2,19%

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

114.6

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

As a consequence of the new tasks assigned to the agency by its new Regulation and the 4th Railway package, the request for a subsidy for 2017 amounts to EUR 31 million, which is EUR 5 million above the Communication COM (2013)519. The additional funding will cover the expenses for the creation of the One Stop Shop and the safety occurrence reporting, the requested additional subsidy financed posts. Considering the fact that the agency will take over the full responsibility for handling applications in 2019 and start to generate revenue from fees and charges, the entire preparation process will have to be financed through an extraordinary subsidy. In 2017 this extraordinary subsidy has been calculated at EUR 0.9 million.

4.2.1 Title 1

The budget requested for 2017 under Title 1 amounts to EUR 18 700 000 (including expenses for Salaries, Trainees, PMO fees, Sundry recruitment, Administrative missions, Medical service, Training and Representation). The total need foreseen for "Salaries & allowances" is EUR 17 710 000 mainly to cover the salaries for the requested 145 TA posts (EUR 15 950 000); 36 CA posts (EUR 1 800 000) and 4 SNE (EUR 200 000).

4.2.2 Title 2

The Agency requests in Title 2 for 2017 is slightly increased by 8 % compared to 2016.

4.2.3 Title 3

ERA will play a decisive role in the implementation of the 4th Railway Package by giving it new tasks (issuing vehicle authorisations and safety certificates, monitoring of national safety authorities, providing training and dissemination of information to stakeholders) and extending the existing tasks (strengthened role in reducing national rules and concerning ERTMS). In addition, the 4th railway package provides for the Agency new tasks: the creation of an information and communication system with a "one stop shop functionality" and the development of an occurrence reporting system.

The 4th Railway Package foresees a three year transition phase before the ERA can take up its full role as European authority. In close coordination with DG MOVE, the agency has developed a preparation programme to be able to start shadow running from 2018, i.e. to treat in parallel real applications submitted to National Safety Authorities for safety certificates and vehicle (type) authorisations and to take over the full responsibility for treating such applications from 2019. In order to be able to do the shadow running and to be involved with preparing procedures, templates, cooperation agreements etc. staff with the right competences will have to be brought in gradually beginning early 2017.

- One Stop Shop (to be operational by 16 June 2019): ERA should establish and later run an information and communication system with a virtual One Stop Shop functionality. In the future, all applications (treated by the Agency or by a national safety authority) for a single safety certificate, a vehicle (type) authorisation or an ERTMS trackside specification approval must be treated through this new system. The development costs for the One Stop Shop have been estimated at EUR 2,7 million for 2017. It must become operational in 2018 for the shadow running.

- Occurrence reporting: Following the Commission white paper objective of the European Railway system as the world leader for safety, ERA will be involved in the development of new IT tools, to record and share occurrences and safety information. Currently no tool exists at a European level. Based on the experience of EASA, Eurocontrol and EMSA, ERA has estimated a budget of EUR 120 000 for the engagement work, such as workshops, training and on-line tutorials and a joint work with national prosecutors in the field of rail. In addition EUR 500 000 are foreseen for a study into the national legislative obstacles to a positive safety culture. The budget for the development of the tool to support sharing of safety information is estimated at EUR 360 000.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	ERA HQ, Valenciennes, 120 rue Marc Lefrancq	ERA is the only tenant of the building
Surface area (in square metres) Of which office space Of which non-office space	5.250 M ² de Surface Hors Oeuvre Nette (S.H.O.N)	
Annual rent (in EUR)	In 2016: EUR 432 000 HT/year	The new INSEE indicator will be published during the 1st Quarter 2016.
Type and duration of rental contract	1 year with tacit renewal	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

	Name, location and type of building	Other Comment
Information to be provided per building:	Rental of 90 parking places from SAEM Valenciennes	
Surface area (in square metres)	90 parking places	
Annual rent (in EUR)	In 2016: EUR 22 000,00 HT/year	

	Name, location and type of building	Other Comment
Type and duration of rental contract	1 year with tacit renewal	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

	Name, location and type of building	Other Comment
Information to be provided per building:	ERA meeting premises, 299, Boulevard de Leeds – Lille	
Surface area (in square metres)	602,62 M ²	
Annual rent (in EUR)	In 2016: EUR 107 512,00 HT/year	
Type and duration of rental contract	Contract will end on 31/12/2023.	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

	Name, location and type of building	Other Comment
Information to be provided per building:	Rental of 8 parking places from Espace International	
Surface area (in square metres)	8 parking places	
Annual rent (in EUR)	In 2016: EUR 20 080 HT/year	
Type and duration of rental contract	Contract will end on 31/12/2023.	
Host country grant or support	No	
Present value of the building	If the agency owns the building	

5.1.2 Building project in the planning phase

Since the French authorities did not find any alternative and viable solution for the Agency, a new lease agreement for the current Lille premises has been signed.

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
NO SEAT AGREEMENT	NO SEAT AGREEMENT	NO SEAT AGREEMENT
VAT EXEMPTION	DIPLOMATIC STATUS FOR DIRECTOR ONLY	
	PROTOCOL OF PRIVILEGES AND IMMUNITIES APPLICABLE TO STAFF	

5.3 European schools

N/A

5.4 Evaluation

According Article 82 of the new agency's Regulation (EU) 2016/796, a new evaluation of ERA has to be carried out no later than 16 June 2020 and every 5 years thereafter, with the purpose to assess, in particular, the impact, effectiveness and efficiency of the Agency and its working practices, taking into account all relevant work by the Court of Auditors as well as the views and recommendations of relevant stakeholders, including national safety authorities, representatives of the railway sector, social partners and consumer organisations. The evaluation shall address, in particular, any need to amend the mandate of the Agency and the financial implications of any such amendment. By 16 June 2023, the Commission, in order to identify whether improvements are needed, shall assess the functioning of the

dual system for vehicle authorisation and safety certification, the one-stop shop related thereto and the harmonised implementation of ERTMS in the Union. The Commission shall forward the evaluation report together with its conclusions thereon to the European Parliament, the Council and the Management Board. The findings of the evaluation shall be made public.

2.2.1.1.9 European Network and Information Security Agency - ENISA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, concerning the European Union Agency for Network and Information Security (ENISA) and repealing Regulation (EC) No 460/2004, OJ L165/41 of 18.6.2013	21/05/2013	This Regulation establishes a European Union Agency for Network and Information Security to undertake the tasks assigned to it for the purpose of contributing to a high level of network and information security within the Union and in order to raise awareness of network and information security and to develop and promote a culture, of network and information security in society for the benefit of citizens, consumers, enterprises and public sector organisations in the Union, thus contributing to the establishment and proper functioning of the internal market.

1.2 Seat

Heraklion, Greece

1.3 Budget Line

09 02 03 : European Union Agency for Network and Information Security (ENISA)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	32	30	34	34
Establishment plan posts : AST	16	15	14	14
Establishment plan posts : AST/SC				
Total Establishment plan posts	48	45	48	48
Contract Agents	24	22	33	33
Seconded National Experts	3	2	3	10
TOTAL STAFF	75	69	84	91

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 120 000	10 322 000
Other Revenue	917 932	922 679
TOTAL REVENUES	11 037 932	11 244 679

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 334 000	6 334 000	6 598 000	6 598 000
Title 2 - Infrastructure and operating expenditure	1 600 000	1 600 000	1 544 000	1 544 000
Title 3 - Operational expenditure	3 126 564	3 126 564	3 102 679	3 102 679
TOTAL EXPENDITURE	11 060 564	11 060 564	11 244 679	11 244 679

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13										
AD 12		3		2		3		3		3
AD 11				1						
AD 10		5		3		5		5		5
AD 9		9		3		9		10		10
AD 8		7		4		9		19		15
AD 7		6		1		7				
AD 6				14				21		
AD 5		1		1						
AD TOTAL		32		30		34		59		34
AST 11										
AST 10										
AST 9										
AST 8										
AST 7								2		2
AST 6		2		1		3		5		5
AST 5		6		3		5		5		5
AST 4		3		3		1		2		2
AST 3		3		7		3				
AST 2		2		1		2				

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 1										
AST TOTAL		16		15		14		14		14
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		48		45		48		73		48
GRAND TOTAL	48		45		48		73		48	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	7	9	16	28
Function Group III	15	11	15	5
Function Group II	1	1	1	0
Function Group I	1	1	1	0
TOTAL	24	22	33	33

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	3	2	3	10

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	9 155 661	10 120 000	15 240 000	10 322 000	2,00%
- Of which assigned revenues deriving from previous years' surpluses	55 049	50 000	80 000	80 000	60,00%
3 THIRD COUNTRIES CONTRIBUTION	270 288	277 932	416 300	282 679	1,71%

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
(incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)	270 288	277 932	416 300	282 679	1,71%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	616 379	640 000	640 000	640 000	0,00%
5 ADMINISTRATIVE OPERATIONS	21 946				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	1 998				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	10 064 274	11 037 932	16 296 300	11 244 679	1,87%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 923 925	6 334 000	8 963 800	6 598 000	4,17%
Salaries & allowances	4 515 299	5 267 000	6 893 800	5 630 000	6,89%
- Of which establishment plan posts	3 934 063	3 869 000	5 452 800	3 869 000	0,00%
- Of which external personnel	581 236	1 398 000	1 441 000	1 761 000	25,97%
Expenditure relating to Staff recruitment	356 509	195 000	995 000	195 000	0,00%
Mission expenses					
Socio-medical infrastructure	33 040	33 000	60 000	33 000	0,00%
Training	107 940	185 000	200 000	185 000	0,00%
External Services	703 054	424 000	325 000	325 000	-23,35%
Receptions, events and representation					
Social welfare	208 083	230 000	490 000	230 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 427 497	1 600 000	2 179 000	1 544 000	-3,50%
Rental of buildings and associated costs	923 342	1 041 000	1 206 000	1 041 000	0,00%
Information, communication technology and	424 653	446 000	655 000	390 000	-12,56%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
data processing					
Movable property and associated costs	22 551	62 000	232 000	62 000	0,00%
Current administrative expenditure	56 951	51 000	86 000	51 000	0,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 712 851	3 126 564	5 153 500	3 102 679	-0,76%
TOTAL	10 064 273	11 060 564	16 296 300	11 244 679	1,66%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 587 937	6 334 000	8 963 800	6 598 000	4,17%
Salaries & allowances	4 515 299	5 267 000	6 893 800	5 630 000	6,89%
- Of which establishment plan posts	3 934 063	3 869 000	5 452 800	3 869 000	0,00%
- Of which external personnel	581 236	1 398 000	1 441 000	1 761 000	25,97%
Expenditure relating to Staff recruitment	344 130	195 000	995 000	195 000	0,00%
Mission expenses					
Socio-medical infrastructure	22 027	33 000	60 000	33 000	0,00%
Training	36 500	185 000	200 000	185 000	0,00%
External Services	552 878	424 000	325 000	325 000	-23,35%
Receptions, events and representation					
Social welfare	117 103	230 000	490 000	230 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 249 312	1 600 000	2 179 000	1 544 000	-3,50%
Rental of buildings and associated costs	840 379	1 041 000	1 206 000	1 041 000	0,00%
Information, communication technology and data processing	334 415	446 000	655 000	390 000	-12,56%
Movable property and associated costs	21 630	62 000	232 000	62 000	0,00%
Current administrative expenditure	52 888	51 000	86 000	51 000	0,00%
Postage / Telecommunications					
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 555 631	3 126 564	5 153 500	3 102 679	-0,76%
TOTAL	9 392 880	11 060 564	16 296 300	11 244 679	1,66%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 80 396.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources and Financial Resources.

The EU contribution for 2017 is in line with the Commission Communication. The Commission notes the request made by ENISA of additional establishment plan posts to support the implementation of the Network and Information Security Directive (NIS Directive) and other important policy actions linked to the Digital Single Market Strategy, such as the contractual public-private partnership on cybersecurity. The strict limits imposed by the Communication COM(2013)519 do not allow the Commission to support such request for additional resources within the established budgetary constraints but 7 additional national experts are allocated. In the longer term, the issue of the new tasks and the related resources will be addressed in the context of the revision of the Agency's mandate (expiring in 2020).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2017, the Agency will be assigned new tasks related to the implementation of the NIS Directive including the CSIRT Secretariat, the new Telecoms Package, the cPPP for Cyber Security, Connecting Europe Facility (CEF), SMART Digital Service Infrastructure (DSI), National Cyber Security Strategies (NCSS), the Data Protection Regulation and the Digital Single Market Strategy (DSM) adopted in May 2015. ENISA recognizes the importance of cybersecurity and has therefore requested additional 25 temporary agents posts and additional financial resources for 2017 to fully support the EU initiatives outlined above and in particular the NIS Directive.

4.1.2 Vacancy rate as of end 2015

The vacancy rate at the end of 2015 is 7,9%: 45 temporary agents posts were filled, out of 48 authorised establishment plan posts (EPP). One of these vacant posts was filled in March 2016 and the recruitment is on-going for the other two posts. The differences between grades of posts in the establishment plan and actual staff grades at the end of 2015 are due to recruitments at grades lower than the authorised grades in the establishment plan. As a consequence, the Agency has reduced its salary costs. For all posts, ENISA generally publishes vacancy notices at a grade that are typically one or two grade below authorised levels. This approach serves the purpose of allowing for one promotion to take place without having to request additional authorisation for modification of the Establishment Plan.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The Agency applies a 3% abatement rate for its salary costs to factor in the turnover of personnel. Staff turnover is likely to produce surplus in Title 1 "Staff expenditure", because salary costs are estimated based on a full establishment plan. Savings due to staff turnover are directed to Temporary Assistance, in order to recruit interim staff and mitigate the risk of lack of resources. In limited cases of selections of senior management or sensitive posts it is not possible to come up with interim agent based solutions. There are also cases when staff unavailability might effectively lead to increased costs e.g. in case of exercising rights in SR and CEOS, such as maternity leave for a staff member who is replaced by an interim agent or temporary contract agent, or staff in long term sick leave. The Agency seeks to achieve an abatement rate prudently set at 3%, based on past experience (comparison of initial to executed Salaries' appropriations), to mitigate the potential corresponding surplus.

4.1.4 Salary assumption for calculating salary line (% applied)

The salary calculation is based of staff already in service, staff planned to be recruited in the current year, and staff planned to be recruited in the financial year in question (new posts). Calculations are made taking into account the actual individual rights of the staff in service, the actual number months for staff that is due to be recruited and full years for the active staff and the correction coefficient applicable to Greece.

4.1.5 Correction coefficient used

The correction coefficient, that is valid since 01/07/2015, has dropped down to 79,9%, compared to 94,8% in 2013. That constitutes a deterring factor for ENISA to recruit new or retain existing staff. ENISA has raised the issue in 2015 within the Correction Coefficient Working Group established by the Coordination of the Network of EU Agencies.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The increase of the staff costs under title 1 compared to 2016 budget is due to the request for 25 additional TA posts, the yearly salary adjustment (estimated to 1%), the decreased weighting factor for Greece (79,9%), the maturity of staff (reclassifications and steps awarded). The recruitment of additional 25 TA will lead to a steeply increase on 'Salaries & Allowances' and 'Expenditure related to staff recruitment', estimated at EUR 750 000 needed for the recruitment, relevant installation and daily subsistence allowances, as well removal costs (one-off costs). The increase on social medical infrastructure expenditure by 82% (EUR 27 000) is due to an increase of the medical expenditure for annual medical check-ups in the two locations as an outcome of the increase of the staff members of ENISA and the obligatory implementation of the measure without exceptions. The requested higher number of the staff will lead to an increase (estimated at 8%) of the training costs in view of the new tasks of ENISA and in order to keep the knowledge of the staff up-to-date and their high level of expertise. There is a decrease of 23% of the Interim Services compared to 2016 within the 'External Services' as the use of Interim Services is dependent on the recruitment plan implementation during the year. The 113 % increase in the 'Social Welfare' (EUR 120 000) is due to the estimated increase of staff, social measures and new activities for supporting staff well-being and to promote ENISA as an attractive employer.

4.2.2 Title 2

Title 2 covers expenses related to the rental of buildings, movable property, current administrative and ICT expenditure. Since 2013, the Greek Government has been subsidising the hosting needs of ENISA in Heraklion and Athens, for a maximum annual amount of EUR 640 000. The Agency settles the rent with the owners of the buildings. Additional running costs are foreseen in Rental of buildings and running costs, due to the increase of staff and expansion of use of Athens office to its full capacity. ICT & Data processing costs are estimated to increase by EUR 209 000 (+47%) because of one-off investments in PCs and other equipment for the new staff as well as increase in ICT services (including land and mobile telephony costs). Movable property investments will increase by EUR 170 000 (+274%), as one-off investments to office equipment and furniture will be required for the new staff members.

4.2.3 Title 3

The title 3 expenditure is related to the operational activities of ENISA as defined in its Annual Work Programme. The expected increase by 65 % is mainly due to an increase of the Core Operational Department activities by EUR 1 570 000 coupled with an increase in mission costs for the new staff recruited in connection with the recent adoption of the NIS Directive and the other legal framework changes on the 2017 Work Programme and the likely growth of requirements in the area of capacity building.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Heraklion, Office building	The Greek Government subsidises the rent of the office in full. Removal to the new building of ENISA in Heraklion is expected.

	Name, location and type of building	Other Comment
Surface area (in square metres) Of which office space Of which non-office space	2 042 m ² N/A	
Annual rent (in EUR)	EUR 323 555,92	
Type and duration of rental contract	annual lease agreement, renewable	
Host country grant or support	The Greek Government subsidises the rent of the offices in full	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Athens, Office building	New office is occupied as of 01/03/2013 in Marousi, Athens, hosting the Core Operational activities of ENISA. The Greek Government subsidises the rent of the office in full.
Surface area (in square metres) Of which office space Of which non-office space	2 036,38 m ² N/A	
Annual rent (in EUR)	EUR 316 444,08	
Type and duration of rental contract	lease agreement extended until February 2018	
Host country grant or support	The Greek Government subsidises the rent of the offices in full	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Art. 23 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff.	In accordance with Article 23 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013, the protocol No 7 on the privileges and immunities of the European Union annexed to the TEU and the TFEU applies to the Agency and its staff. The Greek Government and ENISA signed a Seat Agreement in April 2005, which was ratified by Greek Law 3572/2007 and is applicable to ENISA and its staff.	A public School of European Education, Type 2, was founded in 2005 by the Greek government in Heraklion – Crete for the children of the staff of ENISA. There is no European School operating in Athens.

5.3 European schools

A public school of European Education, type 2, was founded in 2005 by the Greek government in Heraklion, Crete for the children of ENISA staff. There is no European School operating in Athens. There is a serious concern about the possibility of withdraw the accreditation of the European School in Heraklion due to several issues unsolved for several years and the low quality standard.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority in July 2011.

The main findings of the latest evaluation available are as follows:

Report titled: “The role of ENISA in contributing to a coherent and enhanced structure of network and information security in the EU and internationally”, reference PE 464.432, published on European Parliament web site:

[http://www.europarl.europa.eu/RegistreWeb/search/resultDetail.htm?language=EN&reference=IPOLITRE_ET\(2011\)464432&lg=EN&fragDocu=PAR00?epbox](http://www.europarl.europa.eu/RegistreWeb/search/resultDetail.htm?language=EN&reference=IPOLITRE_ET(2011)464432&lg=EN&fragDocu=PAR00?epbox)

A new evaluation of the agency is expected to be carried out by 20 June 2018 based on the Article 32 of Regulation (EU) No 526/2013 of the European Parliament and of the Council of 21 May 2013.

2.2.1.1.10 Body of European Regulators for Electronic Communications - BEREC

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office (OJ L 337, 18.12.2009, p. 1)	25.11.2009	To provide professional and administrative support to BEREC, to collect, exchange and transmit NRAs information, to disseminate regulatory best practices, to assist the Chair of the Board of Regulators and to set up and provide support to Expert Working Groups.

1.2 Seat

Riga, Latvia

1.3 Budget Line

09 02 04 : Body of European Regulators for Electronic Communications (BEREC) — Office

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	11	10	11	11
Establishment plan posts : AST	4	4	4	3
Establishment plan posts : AST/SC				
Total Establishment plan posts	15	14	15	14
Contract Agents	6	8	8	8
Seconded National Experts	6	4	4	4
TOTAL STAFF	27	26	27	26

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	4 246 000	4 246 000
Other Revenue	0	0
TOTAL REVENUES	4 246 000	4 246 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 402 000	2 402 000	2 301 569	2 301 569
Title 2 - Infrastructure and operating expenditure	260 505	260 505	359 431	359 431
Title 3 - Operational expenditure	1 583 495	1 583 495	1 585 000	1 585 000
TOTAL EXPENDITURE	4 246 000	4 246 000	4 246 000	4 246 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12										
AD 11										
AD 10						1		1		1
AD 9		2		1		1		1		1
AD 8						2		2		2
AD 7		3		3		1		1		1
AD 6				2		2		4		4
AD 5		5		3		3		1		1
AD TOTAL		11		10		11		11		11
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4				2		2		4		3

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 3		4		2		2				
AST 2										
AST 1										
AST TOTAL		4		4		4		4		3
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		15		14		15		15		14
GRAND TOTAL	15		14		15		15		14	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	3	6	6	7
Function Group III	2	1	1	
Function Group II	1	1	1	1
Function Group I				
TOTAL	6	8	8	8

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	6	4	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	4 017 244	4 246 000	4 246 000	4 246 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	519 501	174 458	220 000	220 000	26,10%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)			p.m.		
- Of which EEA/EFTA (excl. Switzerland)			p.m. (2)		
- Of which candidate countries					
4 OTHER CONTRIBUTIONS			p.m. (3)		
5 ADMINISTRATIVE OPERATIONS	6 695 (1)				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	1 397				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	4 023 939	4 246 000	4 246 000	4 246 000	0,00%

(1) EUR 5 298: reimbursement by the Translation Centre of their price stability reserve to all the customers + EUR 1 396.65 of interest generated by funds paid by the Commission in quarter 4/2014, quarter 3/2015.

(2) Subject to the signature of the agreement with EFTA countries.

(3) Voluntary contributions from MS or their NRAs: should the Board of Regulators decide to approve such contributions, a new budgetary contribution will be launched.

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	2 291 114	2 402 000	2 301 569	2 301 569	-4,18%
Salaries & allowances	1 588 710	1 783 500	1 787 500	1 787 500	0,22%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which establishment plan posts	1 095 536	1 283 500	1 212 500	1 212 500	-5,53%
- Of which external personnel	493 174	500 000	575 000	575 000	15,00%
Expenditure relating to Staff recruitment	128 694	46 000	50 000	50 000	8,70%
Mission expenses	226 635	201 000	205 000	205 000	1,99%
Socio-medical infrastructure	3 756	6 000	6 000	6 000	0,00%
Training	82 175	70 000	71 000	71 000	1,43%
External Services	257 768	290 000	177 069	177 069	-38,94%
Receptions, events and representation	3 376	5 500	5 000	5 000	-9,09%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	305 635	260 505	359 431	359 431	37,97%
Rental of buildings and associated costs	76 244	85 405	94 531	94 531	10,69%
Information, communication technology and data processing	155 947	81 900	132 100	132 100	61,29%
Movable property and associated costs	10 437	21 000	14 000	14 000	-33,33%
Current administrative expenditure	35 778	46 900	90 500	90 500	92,96%
Postage / Telecommunications	17 104	21 300	18 300	18 300	-14,08%
Meeting expenses	10 125	4 000	10 000	10 000	150,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure		1 583 495	1 585 000	1 585 000	0,10%
Support to implementation of BEREC WP		761 495	763 000	763 000	0,20%
Horizontal activities (Other support not directly related to BEREC WP)		822 000	822 000	822 000	0,00%
TOTAL	2 596 749	4 246 000	4 246 000	4 246 000	0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	2 291 114	2 402 000	2 301 569	2 301 569	-4,18%
Salaries & allowances	1 588 710	1 783 500	1 787 500	1 787 500	0,22%
- Of which establishment plan posts	1 095 536	1 283 500	1 212 500	1 212 500	-5,53%
- Of which external personnel	493 174	500 000	575 000	575 000	15,00%
Expenditure relating to Staff recruitment	128 694	46 000	50 000	50 000	8,70%
Mission expenses	226 635	201 000	205 000	205 000	1,99%
Socio-medical infrastructure	3 756	6 000	6 000	6 000	0,00%
Training	82 175	70 000	71 000	71 000	1,43%
External Services	257 768	290 000	177 069	177 069	-38,94%
Receptions, events and representation	3 376	5 500	5 000	5 000	-9,09%
Social welfare					
Other Staff related expenditure					

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 2 - Infrastructure and operating expenditure	305 635	260 505	359 431	359 431	37,97%
Rental of buildings and associated costs	76 244	85 405	94 531	94 531	10,69%
Information, communication technology and data processing	155 947	81 900	132 100	132 100	61,29%
Movable property and associated costs	10 437	21 000	14 000	14 000	-33,33%
Current administrative expenditure	35 778	46 900	90 500	90 500	92,96%
Postage / Telecommunications	17 104	21 300	18 300	18 300	-14,08%
Meeting expenses	10 125	4 000	10 000	10 000	150,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 245 656	1 583 495	1 585 000	1 585 000	0,10%
Support to implementation of BEREC WP	575 205	761 495	763 000	763 000	0,20%
Horizontal activities (Other support not directly related to BEREC WP)	670 451	822 000	822 000	822 000	0,00%
TOTAL	3 842 405	4 246 000	4 246 000	4 246 000	0,00%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 220 000.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

The Commission proposes a reduction of 1 establishment plan posts to 14 (15 are requested by the Agency).

Financial Resources

The Commission considers that the financial resources are in line with the activities to be performed by the Agency in 2017.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2017, the request for the establishment plan of the BEREC Office includes 15 Temporary Agents: 11 Agents are in the AD category and 4 in the AST category. At the BEREC Office there are no agents employed in category AST/SC. The Establishment Plan includes the revision of the grades of posts in order to ensure equal opportunities for the staff's career progression.

4.1.2 Vacancy rate as of end 2015

Out of 15 establishment plan posts, 14 posts are occupied as of 31/12/2015.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The amounts entered for salaries in DB 2017 have been calculated on the basis of average costs and taking into account the expected recruitment plan in 2016.

4.1.4 Salary assumption for calculating salary line (% applied)

The methodology applied to calculate the salaries and allowances to BEREC Office staff is based on the average annual costs per employee in each category.

4.1.5 Correction coefficient used

74,2 as from 01/07/2015

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

The requested expenditure under Title 1 have been based on the number of staff actually recruited at the end of 2015 and conversions performed during 2014-2015 and the recruitment and reclassification plans for 2016 and 2017-2019: the Office expects to operate with full staff in 2017. The chapter Salaries and allowances is slightly increased for 2017 due to estimated salary adjustments. Taking into account the actual costs of missions and trainings in 2015, the expenditure on missions and training in 2017 is also slightly increased. The chapter External Services includes interim placement services to cover resource needs in case of sick leaves, operational peaks or in case of unexpected staff turnover, the SLA with ENISA on provision of Internal Control Coordinator assistance and the financing of multi-lingual tuition by international schools available in Latvia for the children of staff. Taking into account the actual and planned number of children, the expenditure for 2017 for that chapter is decreased. The same chapter will be used to cover the additional costs of translation of vacancy notices into 24 EU official languages, if needed.

4.2.2 Title 2

The Office considers to sign a new more cost-efficient contract for renting parking lots for staff and office visitors which is reflected by increase under the Rental of buildings and associated costs. The increase of ICT spending is required for the renewal of part of the Office's computer equipment, acquisition and prolongation of licenses, as well as for paying for external data processing services, including the ABAC annual and hosting fees, fees for maintaining of computer equipment and applications, fees for procurement of external IT services, off-site storage of data (new SLA) as well as for access to DG DIGIT procurement procedures (new SLA). Inclusion of expenditure for the 2 new SLAs is reflected in substantial increase of expenditure on Information and communication technology. Taking into account the actual costs on publications, expenditure under Movable property and associated costs is substantially decreased.

In addition to legal expenses, office supplies and bank charges the chapter 'Current administrative expenditure' includes expenditure related to the BEREC Office budget publications in the Official Journal, translations and/or other language requests and possible costs of outsourcing the services of the Accounting Officer that is reflected in substantial increase of expenditure. Taking into account the actual spending on general meetings in 2015 and the intention to continue organising Open Door days, spending under Meeting expenses is increased.

4.2.3 Title 3

Spending under Support to implementation of BEREC WP is planned to remain almost on the same level in 2017. The estimates are based on the internal assessment of the appropriate budget items to be used for the reimbursement of experts travel, meeting organisation costs and potential costs of market studies. Expenditure under Horizontal activities (other support not directly related to BEREC WP) remains on the level planned for 2016, taking into account potential costs of update and maintenance of the BERECNet collaboration tool, BEREC website and necessity to reply to the request to provide electronic communications regulatory training to BEREC staff members.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Z.A.Meierovicabulv. 14, 2nd floor, Riga, Latvia	Rent
Surface area (in square metres) Of which office space Of which non-office space	772.50 772.50 0	According to the MoU with the Latvian Government the BEREC Office can use in addition to the main surface area 1 covered and 5 open parking spaces in the inner yard of the building free of charge and 2 open air parking spaces in front of the main entrance of the premises free of charge. Upon request the BEREC Office can use additional parking places against payment.
Annual rent (in EUR)	29 331	Monthly rent 2 444.25*12 (since 01.01.2016). The rental price is based on a Regulation determining the state and local government property leasing arrangements, which will cover the lessor's expenditure for the leased object without any profit.
Type and duration of rental contract	Lease agreement with State owned joint-stock company Valsts nekustamie īpašumi, signed on 01/08/2011, effective until 31/03/2021 (with a possibility of renewal)	The monthly rent can be reviewed if new taxes are implemented or existing taxes are increased or starting with the date of change of real estate tax rate or amount. It also can be changed 1 time in 2 years for the next period of lease, in case when planned property management costs have changed and calculated difference of lease fee comprises 2% at least.
Host country grant or support	Not applicable for the programming period	
Present value of the building	Not applicable	The BEREC Office does not own the building

5.1.2 Building project in the planning phase

n/a

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office.	In accordance with Article 23 of the Regulation (EC) No 1211/2009 of the European Parliament and of the Council establishing the Body of European Regulators for Electronic Communications (BEREC) and the Office, the Protocol on the privileges and immunities of the European Communities shall apply to the Office and its staff. In addition, the BEREC Office has signed a Seat Agreement with the Latvian Government, granting diplomatic status to the Administrative Manager and VAT exempt purchases on certain items (e.g. car, furniture, electric and electronic appliances) during the first year to the rest of the staff including SNEs. Special ID cards are also issued.	The conditions of providing European schooling in Riga are subject of the Latvian Government to establish such school in Riga. The Ministry of Education and Science Government is exploring possibilities of establishment of a European School within the public school network in Latvia or within the private school network, in accordance with the Convention defining the Statute of the European Schools and with the programmes of European Schools. Negotiations are in progress since November 2010. BEREC actively supports this plan and contributes to its progress. However, the establishment of a new European School is a long process. In the absence of European Schools in Riga, the Office provides support and makes use of the possibilities afforded by Annex VII of the Staff Regulation. Additionally BEREC continues to explore possibilities and measures facilitating multi-lingual schooling for the children of staff. The basis for such measures is the financial autonomy of

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
		the Office, which would allow to take measures within the framework of the Financial Regulation in order to support staff and cover part of the costs of multi-lingual tuition provided by the international schools available in Latvia

5.3 European schools

n/a

5.4 Evaluation

An evaluation of the agency was submitted to the European Parliament and the Council on 23/04/2013. In 2012, the European Commission initiated an evaluation of the performance of BEREC and the BEREC Office. A study prepared by an external consultant (Price Waterhouse Coopers Luxembourg, PWC) was published by the European Commission in 2013. The evaluation exercise was undertaken one year after granting autonomy to the BEREC Office and the Agency at that period of time was still in start-up phase. Therefore many of the recommendation and observations made are not valid anymore. However, certain findings, especially those pointing out some constraints stemming out from the small size of the Agency still remain valid. For example, most of the staff in the operational units still dedicates substantive part of their working time to administrative activities due to the need for compliance with administrative requirements.

Due to its limited staff the BEREC Office has not nominated yet a dedicated Risk Manager or Head of Control. To compensate this BEREC has signed a SLA with ENISA for sharing the capacity of the Internal Control Coordinator, which has led to the introduction of a risk management process (partially outsourced to ENISA).

2.2.1.1.11 European Banking Authority - EBA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1093/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision N 716/2009/EC and repealing Commission Decision 2009/78/EC	24/11/2010	The European Union decided to establish the European Banking Authority (EBA) with the objectives of preventing regulatory arbitrage, guaranteeing a level playing field, strengthening international supervisory coordination, promoting supervisory convergence and providing advice to the EU institutions in the areas of banking, payments and e-money regulation as well as on issues related to corporate governance, auditing and financial reporting.
Regulation (EU) No 1022/2013 of the European Parliament and of the Council of 22 October 2013 amending Regulation (EU) No 1093/2010 establishing a European Supervisory Authority (European Banking Authority) as regards the conferral of specific tasks on the European Central Bank pursuant to Council Regulation (EU) No 1024/2013.	29/10/2013	Added: together with the compilation of a European supervisory handbook.

1.2 Seat

London, UK

1.3 Budget Line

12 02 04: European Banking Authority (EBA)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	111	109	116	123
Establishment plan posts : AST	9	9	11	11
Establishment plan posts : AST/SC		0		0
Total Establishment plan posts	120	118	127	134
Contract Agents	17	29	31	31
Seconded National Experts	29	13	31	31
TOTAL STAFF	166	160	189	196

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 678 400	15 043 000
Other Revenue	23 385 600	23 847 493
TOTAL REVENUES	38 064 000	38 890 493

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	26 849 000	26 849 000	27 057 536	27 057 536
Title 2 - Infrastructure and operating expenditure	5 923 000	5 923 000	5 922 707	5 922 707
Title 3 - Operational expenditure	5 292 000	5 292 000	5 910 250	5 910 250
TOTAL EXPENDITURE	38 064 000	38 064 000	38 890 493	38 890 493

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16						1		1		1
AD 15		1		1		1		1		1
AD 14		1		1		2		2		2
AD 13		3		3		2		2		2
AD 12		6		6		7		7		7
AD 11		10		10		11		12		12
AD 10		10		10		11		12		11
AD 9		14		14		14		18		15
AD 8		19		18		19		24		20
AD 7		20		23		20		22		21
AD 6		14		16		14		20		16
AD 5		13		7		14		20		15
AD TOTAL		111		109		116		141		123
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6						1		2		1
AST 5		1		4		4		6		4
AST 4		3		2		3		3		3
AST 3		2		2		2		2		2
AST 2		3		1		1		1		1
AST 1										
AST TOTAL		9		9		11		14		11
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		120		118		127		155		134
GRAND TOTAL		120		118		127		155		134

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	12	18	19	19
Function Group III	5	11	12	12
Function Group II		0		0
Function Group I		0		0
TOTAL	17	29	31	31

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	29	13	31	31

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	13 368 087	14 678 400	18 548 800	15 043 000	2,48%
- Of which assigned revenues deriving from previous years' surpluses	1 443 487	113 000		152 496	34,95%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	587 321	646 011	812 298	658 770	1,98%
- Of which EEA/EFTA (excl. Switzerland)	587 321	646 011	812 298	658 770	1,98%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	19 497 496	22 739 589	28 592 902	23 188 723	1,98%
5 ADMINISTRATIVE OPERATIONS	113 972				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	33 566 876	38 064 000	47 954 000	38 890 493	2,17%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 688 773	26 849 000	34 862 000	27 057 536	0,78%
Salaries & allowances	21 375 726	25 353 000	32 779 000	26 319 503	3,81%
- Of which establishment plan posts	17 955 610	21 296 520	27 290 000	22 184 594	4,17%
- Of which external personnel	3 420 116	4 056 480	5 489 000	4 134 909	1,93%
Expenditure relating to Staff recruitment	381 674	383 000	639 000	144 833	-62,18%
Mission expenses	38 914	40 000	51 000	41 763	4,41%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	53 550	73 000	96 000	78 613	7,69%
Training	190 343	242 000	267 000	218 641	-9,65%
External Services	643 813	739 000	995 000	225 522	-69,48%
Receptions, events and representation	4 753	19 000	35 000	28 661	50,85%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	5 270 598	5 923 000	6 573 000	5 922 707	-0,00%
Rental of buildings and associated costs	3 146 653	4 080 000	4 097 000	3 714 418	-8,96%
Information, communication technology and data processing	1 516 476	1 135 000	1 686 000	1 528 560	34,67%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	135 991	79 000	173 000	141 666	79,32%
Postage / Telecommunications	207 470	248 000	243 000	198 988	-19,76%
Meeting expenses		0	5 000	4 533	∞%
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	264 008	381 000	369 000	334 542	-12,19%
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	5 245 583	5 292 000	6 519 000	5 910 250	11,68%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	2 137 155	2 197 000	3 253 000	2 949 232	34,24%
Collection of information, developing and maintenance of a central European database	3 108 428	3 095 000	3 266 000	2 961 018	-4,33%
IT solution assesment	0	0	0	0	◆
TOTAL	33 204 954	38 064 000	47 954 000	38 890 493	2,17%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 688 773	26 849 000	34 862 000	27 057 536	0,78%
Salaries & allowances	21 375 726	25 353 000	32 779 000	26 319 503	3,81%
- Of which establishment plan posts	17 955 610	21 296 520	27 290 000	22 184 594	4,17%
- Of which external personnel	3 420 116	4 056 480	5 489 000	4 134 909	1,93%
Expenditure relating to Staff recruitment	381 674	383 000	639 000	144 833	-62,18%
Mission expenses	38 914	40 000	51 000	41 763	4,41%
Socio-medical infrastructure	53 550	73 000	96 000	78 613	7,69%
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External Services	643 813	739 000	995 000	225 522	-69,48%
Receptions, events and representation	4 753	19 000	35 000	28 661	50,85%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 270 598	5 923 000	6 573 000	5 922 707	-0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Rental of buildings and associated costs	3 146 653	4 080 000	4 097 000	3 714 418	-8,96%
Information, communication technology and data processing	1 516 476	1 135 000	1 686 000	1 528 560	34,67%
Movable property and associated costs					
Current administrative expenditure	135 991	79 000	173 000	141 666	79,32%
Postage / Telecommunications	207 470	248 000	243 000	198 988	-19,76%
Meeting expenses	0		5 000	4 533	100%
Running costs in connection with operational activities					
Information and publishing	264 008	381 000	369 000	334 542	-12,19%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 245 583	5 292 000	6 519 000	5 910 250	11,68%
General Operat. Cost: seminar for NSA, meetings, missions, consultation services, publication	2 137 155	2 197 000	3 253 000	2 949 232	34,24%
Collection of information, developing and maintenance of a central European database	3 108 428	3 095 000	3 266 000	2 961 018	-4,33%
IT solution assesment	0				
TOTAL	33 204 954	38 064 000	47 954 000	38 890 493	2,17%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 381 239.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

It is considered that an additional 28 establishment plan posts cannot be envisaged in view of the current budgetary constraints. However the additional tasks to be undertaken by EBA justify the 7 additional posts envisaged in the Commission Communication on the Programming of human and financial resources for decentralised agencies 2014 – 2020 (COM(2013) 519 final). These will include:

- Tasks mandated in the securitisation area under the Commission's Capital Market Union proposal;
- Update on ITS amending Commission Implementing regulation on liquidity coverage ratio, on additional monitoring metrics and on reporting;
- Increase in data collection to undertake Stress tests and transparency exercises;
- Assist the Commission with an assessment of regulatory and supervisory equivalence of third countries (based on a Call for Advice);
- Continue and extend the work on consistency of the supervisory review and evaluation process by reviews, benchmarking and assessment of supervisory practices covering broad scope of supervisory activities as part of its supervisory convergence mandate;
- Work on the single supervisory handbook, where only two chapters have been developed and the overall project has been delayed, so as to gradually cover the majority of supervisory topics and additional guidelines for achieving supervisory convergence;
- Extend EBA's training programme and range of training tools to contribute to a consistent implementation of the Single Rulebook and building the common supervisory culture.

Financial Resources

The financial resources in Title 1 should be adapted to the 134 posts proposed and take account of the likely difficulty in reaching the number of ENDS planned as well as the 76% END occupancy rate predicted by EBA. In Title 2, items linked to staff numbers, such as "current administrative expenditure" and "postage and telecommunications" should be adapted to the lower number of posts proposed while other items should be granted as requested by the agency. It should be noted that the amount for ICT and data processing in Title 2 includes one-off costs for EBA's Document Management System. Resources for Title 3 should be provided as requested by the agency: these include important IT developments required to fulfil EBA's mandate and expenses related to training for National Supervisory Authorities which is essential to promote the convergence of supervisory practice, a strategic priority of EBA.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EBA's 2017 budget request corresponds to the requested increase of staff by 28 TAs.

The request is justified by the new tasks, the growth of existing tasks and the tasks that the EBA envisages being entrusted.

The EBA's tasks and mandates have experienced recent changes as a result of various legislative developments in the EU financial regulatory environment. The application date of these legislations is still unknown and therefore the work programme will be accordingly amended in the future.

There are new tasks envisaged for the EBA in all three core areas of the EBA's work: regulatory policy, supervisory convergence and risk assessment, as well as in consumer protection and financial innovation. In detail:

Tasks and mandates related to delegated acts in the CRD IV/CRR (Capital Requirements Directive), envisaged reform of the BRRD (Bank Recovery and Resolution Directive) and the DGSD (Deposit Guarantee Schemes Directive);

Envisaged new regulatory mandates coming from the BCBS revision of the trading book, which will require amendments to the CRD IV/CRR;

Envisaged challenges to the EBA's role protecting the integrity of the Single Market in the EU, fostering a common supervisory culture across the EU and ensuring a level playing field for banks in the Single Market with the adoption of the establishment of the Single Supervisory and Resolution Mechanism in the context of the Banking Union;

Envisaged changes arising from the Review of the European System of Financial Supervision;

Tasks mandated in the securitisation area under the Commission's Capital Market Union proposal;

Envisaged bank structural reform measures, to prevent systemic risk, financial stress or failure of large, complex and interconnected credit institutions by preventing excessive risk from trading activities within core credit institutions and by reducing interconnections in the financial sector; and

There are growth and extensions of the EBA's tasks in all three core areas of the EBA's work: regulatory policy, supervisory convergence and risk assessment, as well as in consumer protection and financial innovation. In detail:

The EBA's plans to continue working in the prudential regulatory framework in the European Union to be fully compliant with the Basel III standard. This includes the implementation of the internal ratings-based (IRB) approach for credit risk in light of the permanent partial use exemptions for various types of credit exposures, the concessionary risk weights extended to small and medium-sized enterprise exposures and the counterparty credit risk component;

The EBA, together with the other ESAs, stands ready to assist in any due follow-up to on the European Commission's Financial Conglomerates Directive Evaluation (2011/89/EC amending 2002/87/EC) envisaged in 2016;

The EBA plans to enhance its production of Q&As, given the implementation of the CRDIV-CRR framework and also the BRRD;

Follow up on legislation that becomes applicable in 2016 such as Directive 2014/92/EU on the comparability of fees related to payment accounts;

The EBA expects to start in 2016 an update on ITS amending Commission Implementing regulation on liquidity coverage ratio, on additional monitoring metrics and on reporting that could be prolonged to 2017;

The EBA expects to start in 2016 an update on Anti-Money Laundering reporting together with the other ESAs that could be prolonged to 2017;

Increase in data collection to undertake Stress tests and transparency exercises;

Envisaged additional work on the single supervisory handbook (on the form of guidelines); and

The contributing sample of the memorandum of understanding on sharing Risk Indicators (RIs) will be expanded to incorporate the entry of new parties.

4.1.2 Vacancy rate as of end 2015

Temporary agents: 118 positions occupied of 120, giving a 1.67% vacancy rate.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The EBA does not apply the standard abatement. For 2017, the EBA expects: 95.1% occupancy rate for TA positions. This is based on starting the year with all 127 positions of the 2016 establishment plan filled, then recruiting the +28 positions over the year according to a detailed recruitment plan; 100% occupancy rate for CA positions, as there is no increase in the total number of CA positions; 76% occupancy rate for SNE positions.

4.1.4 Salary assumption for calculating salary line (% applied)

The assumptions underlying the 2017 salary cost (Chapter 11) include: the increase in staffing from 127 TA to 155 TA; the cost of the existing 127 posts is based on the known averages per grade at end 2015 plus the assumed average cost per grade for the seven additional TA posts filled in 2016, also taking into account all the matters mentioned below; the calculation of the cost of the new posts is based on the actual grade per new post and all new posts being occupied by July 2017 (March +5, April +6, May +6, June +6, July +5); the increase in the salary correction coefficient from 166.9 for 2015/2016 to 170.0 for the whole of 2017, giving a budgeted cost of EUR 9 938 000, on the salary weighting budget line. This incorporates the weighting for TA and CA; salary indexation of 1.5%; 12 reclassifications and 53 step increases; the EBA must fund 60% of the employer's pension contributions from its budget, calculated as EUR 1 582 000 in 2017 (for TA and CA); the cost of education contribution (for primary and secondary schools) is included in Chapter 11, with 30 children eligible for primary-level contribution, 17 for secondary and 8 for nursery giving a total budgeted cost of EUR 897 000.

4.1.5 Correction coefficient used

170.0 for whole year. This is aligned with the European Medicines Agency.

4.1.6 Exchange rate used (if applicable)

EUR:GBP rate set at 0.710 for whole year. This is aligned with the European Medicines Agency.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The staffing budget is linked to the requested increase in establishment plan posts. It assumes that all additional staff will be recruited over the course of the year, to reach 155 TA by the end of the year. The assumptions underlying the cost per staff type are listed in 4.1.4 above. As for 2016, the 2017 staff costs include 60% of the employer's pension contribution, with this amount being paid for only by the NCA/EFTA.

4.2.2 Title 2

The following assumptions drive the 2017 expenditure: rental cost is fixed in pounds sterling (GBP); other building costs (predominantly in GBP) are subject to inflationary cost increases; IT costs include a one-off cost of EUR 477 000 for phase III of the EBA's Electronic Document Management System (EDMS) implementation; administrative and postage/telecommunications expenditure is driven to a large extent by the number of staff and rises accordingly; information and publishing costs and cost for website evolutionary changes: the website framework contract will be put out to tender in 2016 which gives some uncertainty as to the cost for this chapter. This line also includes costs for development of factsheets and videos that were not implemented in 2015 due to budgetary constraints.

4.2.3 Title 3

Chapter 31 General operating costs include the costs of training for external entities, EBA-hosted meetings (banking stakeholders group, Board of Supervisors, sub-groups and working groups) and EBA staff missions, where the increase in costs results from an increase in activity/staff, a minor inflation element and an element arising from the EUR:GBP exchange rate as many these costs are denominated in GBP. Furthermore, while in 2015 & 2016 the EBA cut costs on these lines purely due to budgetary constraints - rather than e.g. because of a programmed change in activity driven by the work programme – the 2017 budget request reflects the operational need for mission and meeting activity. Operational mission costs are requested to increase by EUR 140 000 over 2016, bringing their level almost back up to that of 2014, while meeting costs increase by EUR 209 000 due to both increased unit costs and an increase in activity. Chapter 31 also includes subscriptions to data services, where the services are stable but costs have shown a tendency to rise year-on-year with little scope for the EBA to influence the costs given the type of service (e.g. Bloomberg, Moody's). Operational consulting is also included in this chapter and the EBA plans to expand its activity in this area,

for which the budget request increases by EUR 284 000 over the 2016 level, in order to improve internal processes (work cancelled in 2015 and 2016 due to budgetary constraints) and to support the 2017 transparency and benchmarking exercises, in accordance with the Annual Work Programme. Costs of editing and translations increase by EUR 376 000 over 2016, reflecting the postponement of cost from 2016.

Chapter 32 Collection of information, developing and maintenance of a central European database comprises IT costs for ongoing running and further development of the EBA's business and effective solutions i.e. the European supervisory platform (ESP), E-gate, EBA extranet and supervisory colleges. The costs in this area are driven by and aligned with the EBA IT strategy 2015-2017 and include EUR 150 000 of cost related to ESP that were postponed from 2016 due to budgetary restrictions in 2016.

4.3 Ad hoc grants and delegation agreements

None

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Name, location, type of building	1 Canada Square, Canary Wharf, London, E14 5AA	High-rise, multi-tenancy building
Surface area in sq/m	Net office space 44,673 square feet = 4,150 square meters L45-1459 sq/m L46-2691 sq/m	EBA occupies level 46 in its entirety and half of Level 45
Annual rent	£40 per square foot Annual cost = £ 1,786,920	
Type and duration of rental contract	12-year lease	Breakout option after 6th year, after provision of 9 months' notice
Host country grant support	The EBA is benefitting from a diplomatic status, and as such is eligible to 94% discount on business rates	The local borough invoices are sent to Valuation Office Agency (VOA) who settles the invoice on EBA's behalf; subsequently EBA refunds VOA 6% of the total costs
Present value of the building	N/A- EBA is not the owner of 1 Canada Square	

5.1.2 Building project in the planning phase

None.

5.1.3 Building projects submitted to the European Parliament and the Council

None.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Refunds of Value Added Tax (VAT) for purchases of goods and services for the Agency, including vehicles.	Importation of personal effects free of customs duty and VAT; Purchase of a VAT free motor vehicle within 12 months of first entry of the official; Exemption from road tax; Special vehicle registration plates; A diplomatic driving licence, A Foreign and Commonwealth Office issued Identity Card.	The UK has not provided specific/particular education/day care facilities for EBA staff.

5.3 European schools

The EBA does not contribute to the financing of European schools.

5.4 Evaluation

An evaluation of the agency as a component of the European System of Financial Supervisors has been submitted to the Budgetary Authority by 08.08.2012 [COM(2014) 509 final].

The main findings of the latest evaluation available are as follows:

The review shows that the ESAs have overall performed well during their first three years of operations. They have successfully built functioning organisations, started delivering on their mandates and developed their own profiles. Notably by preparing uniform standards and contributing to supervisory convergence and coordination, the ESAs have successfully contributed to shaping the development of a Single Rulebook applicable to all 28 EU Member States and thus to the good functioning of the Single Market.

The ESAs report identifies several areas for improvement which can be implemented by the ESAs and the Commission in the short term and would not require legislative action. In particular, the ESAs should give a higher profile to issues related to consumer/investor protection, and strengthen the focus on supervisory convergence, amongst other things by making better use of peer reviews.

For the longer term, there could be a need to further consider other issues which would imply changes to the legislative framework for the ESAs. Any such future steps would also have to take into account the functioning of the Banking Union which is currently being established. Areas for consideration in the longer term would include:

- The governance of the ESAs, in particular to further improve the capacity of the Board of Supervisors to take decisions in the interest of the EU as a whole.
- A revision of the existing funding arrangements so that the ESAs could fulfil their broad range of tasks, taking into account the EU and national budgetary constraints

2.2.1.1.12 European Insurance and Occupational Pensions Authority - EIOPA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No. 1094/2010 of the European Parliament and the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision 716/2009/EC and repealing Commission Decision 2009/79/EC	24/11/2010	The Authority shall act within the powers conferred by this Regulation and within the scope of Directive 2009/138/EC with the exception of Title IV thereof, of Directives 2002/92/EC, 2003/41/EC, 2002/87/EC, 64/225/EEC, 73/239/EEC, 73/240/EEC, 76/580/EEC, 78/473/EEC, 84/641/EEC, 87/344/EEC, 88/357/EEC, 92/49/EEC, 98/78/EC, 2001/17/EC, 2002/83/EC, 2005/68/EC and, to the extent that those acts apply to insurance undertakings, reinsurance undertakings, institutions for occupational retirement provision and insurance intermediaries, within the relevant parts of Directives 2005/60/EC and 2002/65/EC, including all directives, regulations, and decisions based on those acts, and of any further legally binding Union act which confers tasks on the Authority

1.2 Seat

Frankfurt am Main, Germany

1.3 Budget Line

12 02 05 : European Insurance and Occupational Pensions Authority (EIOPA)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	75	73	78	85
Establishment plan posts : AST	15	13	15	16
Establishment plan posts : AST/SC				
Total Establishment plan posts	90	86	93	101
Contract Agents	35	32	35	35
Seconded National Experts	24	19	27	25
TOTAL STAFF	149	137	155	161

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 461 389	8 946 404
Other Revenue	13 301 111	15 036 911
TOTAL REVENUES	21 762 500	23 983 315

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 258 975	14 258 975	15 404 315	15 404 315
Title 2 - Infrastructure and operating expenditure	3 627 300	3 627 300	3 381 000	3 381 000
Title 3 - Operational expenditure	3 876 225	3 876 225	5 198 000	5 198 000
TOTAL EXPENDITURE	21 762 500	21 762 500	23 983 315	23 983 315

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16						1		1		1
AD 15		1		1		1		1		1
AD 14		1		1		1		1		1
AD 13		3		3		3		3		3
AD 12		5		5		6		8		8
AD 11		7		7		9		12		10
AD 10		8		8		9		12		10
AD 9		8		9		10		14		12
AD 8		11		11		11		14		12
AD 7		12		10		12		12		12
AD 6		9		9		10		11		11
AD 5		10		9		5		4		4
AD TOTAL		75		73		78		93		85
AST 11										
AST 10						1		1		1
AST 9		1		1		1		1		1
AST 8		1		1		2		2		2
AST 7		1		1		2		3		3
AST 6		3		4		3		3		3
AST 5		1		2		2		3		3
AST 4		3		2		2		2		2
AST 3		3		2		2		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 2		2								
AST 1										
AST TOTAL		15		13		15		16		16
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		90		86		93		109		101
GRAND TOTAL	90		86		93		109		101	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	11	9	11	11
Function Group III	6	5	6	6
Function Group II	18	18	18	18
Function Group I			0	0
TOTAL	35	32	35	35

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	24	19	27	25

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	8 206 206	8 461 389	9 211 000	8 946 404	5,73%
- Of which assigned revenues deriving from	227 055	339 389		210 103	-38,09%

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
<i>previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	330 462	367 428	427 307	427 307	16,30%
- Of which EEA/EFTA (excl. Switzerland)	330 462	367 428	427 307	427 307	16,30%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	11 972 940	12 933 683	15 041 693	14 609 604	12,96%
5 ADMINISTRATIVE OPERATIONS	60 720				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	20 570 328	21 762 500	24 680 000	23 983 315	10,20%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 111 098	14 258 975	16 101 000	15 404 315	8,03%
Salaries & allowances	10 853 586	13 017 875	14 699 000	14 413 064	10,72%
- Of which establishment plan posts	8 436 722	10 413 275	11 838 000	11 552 064	10,94%
- Of which external personnel	2 416 864	2 604 600	2 861 000	2 861 000	9,84%
Expenditure relating to Staff recruitment	264 580	261 000	283 000	141 500	-45,79%
Mission expenses	82 220	95 000	100 000	95 266	0,28%
Socio-medical infrastructure	164 174	220 100	244 000	232 450	5,61%
Training	209 392	295 000	295 000	281 035	-4,73%
External Services	535 238	360 000	478 000	239 000	-33,61%
Receptions, events and representation	1 908	10 000	2 000	2 000	-80,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 992 632	3 627 300	3 381 000	3 381 000	-6,79%
Rental of buildings and associated costs	1 879 500	2 423 300	2 273 000	2 273 000	-6,20%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Information, communication technology and data processing	362 387	310 000	330 000	330 000	6,45%
Movable property and associated costs	228 251	150 000	70 000	70 000	-53,33%
Current administrative expenditure	84 065	169 000	173 500	173 500	2,66%
Postage / Telecommunications	151 798	170 000	170 500	170 500	0,29%
Meeting expenses	195 967	290 000	249 000	249 000	-14,14%
Running costs in connection with operational activities					
Information and publishing	90 664	115 000	115 000	115 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 101 900	3 876 225	5 198 000	5 198 000	34,10%
TOTAL	20 205 630	21 762 500	24 680 000	23 983 315	10,20%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 111 098	14 258 975	16 101 000	15 404 315	8,03%
Salaries & allowances	10 853 586	13 017 875	14 699 000	14 413 064	10,72%
- Of which establishment plan posts	8 436 722	10 413 275	11 838 000	11 552 064	10,94%
- Of which external personnel	2 416 864	2 604 600	2 861 000	2 861 000	9,84%
Expenditure relating to Staff recruitment	264 580	261 000	283 000	141 500	-45,79%
Mission expenses	82 220	95 000	100 000	95 266	0,28%
Socio-medical infrastructure	164 174	220 100	244 000	232 450	5,61%
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External Services	535 238	360 000	478 000	239 000	-33,61%
Receptions, events and representation	1 908	10 000	2 000	2 000	-80,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 992 632	3 627 300	3 381 000	3 381 000	-6,79%
Rental of buildings and associated costs	1 879 500	2 423 300	2 273 000	2 273 000	-6,20%
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Movable property and associated costs	228 251	150 000	70 000	70 000	-53,33%
Current administrative expenditure	84 065	169 000	173 500	173 500	2,66%
Postage / Telecommunications	151 798	170 000	170 500	170 500	0,29%
Meeting expenses	195 967	290 000	249 000	249 000	-14,14%
Running costs in connection with operational activities					
Information and publishing	90 664	115 000	115 000	115 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 101 900	3 876 225	5 198 000	5 198 000	34,10%
TOTAL	20 205 630	21 762 500	24 680 000	23 983 315	10,20%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 525 258.

4 Justification of needs

Commission assessment

Human Resources

It is considered that an additional 16 establishment plan posts cannot be envisaged in view of the current budgetary constraints. However the additional tasks to be undertaken by EIOPA justify the 8 additional posts envisaged in the Commission Communication on the Programming of human and financial resources for decentralised agencies 2014 – 2020 (COM(2013) 519 final).

The risk free rate is an important mandated task for EIOPA and determines the discount rates for liabilities for insurance undertakings in the EU, with major financial implications if inaccurate. It is important that EIOPA devotes more staff with specific skills and experience to manage and enhance the underlying methodology and processes. Furthermore, additional investments are required to enhance the robustness of the systems operating to produce the monthly risk free rates.

The provision of sound and timely data and analysis, based on the information it receives from National Competent Authorities (NCAs) is important for the future well-functioning of the insurance and Institutions for Occupational Retirement Provision (IORP) sectors. This requires staff to enhance the tools to collect, manage and process data, and to provide an appropriately secure environment for the collection and processing of the information.

EIOPA will strengthen its support to NCAs in terms of the quality and consistency of supervision and enhance its financial stability analysis.

Financial Resources

The financial resources in Title 1 should be adapted to the 101 posts proposed. Resources for Titles 2 and 3 should be provided as requested by the agency. Title 3 includes important IT developments required to fulfil EIOPA's mandate, in particular the additional investments necessary for a structural automated calculation engine for the Risk Free Rate (see above under Human Resources).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EIOPA is making a request for 16 additional TAs in 2017. Eight of which were already foreseen in the Multi-annual Financial Framework (MFF), as published by the Commission in 2013, whereas the additional eight requested positions are born of additional demands placed on EIOPA after the establishment of the MFF which cannot be covered by reallocation and reprioritisation within the Authority.

Essential to EIOPA's vision for the future well-functioning of the insurance and IORPs sectors is the provision of sound and timely data and analysis, based on the information it receives from National Competent Authorities (NCAs). EIOPA is committed to adding value to all its stakeholders (Members, Commission and public at large). This requires additional staff to enhance the tools to collect, manage and process data, along with the operational delivery of such tasks, including the management of over 80,000 submissions a year alone for Solvency II data.

With all this new confidential and sensitive data, EIOPA must ensure it provides an appropriately secure environment for the collection and processing of the information. Furthermore, its systems need to be appropriately protected from intrusion and cyber-related attacks to gain access to it. EIOPA is therefore requesting additional staff to strengthen its capability in this area.

The risk free rate is an important mandated task for EIOPA and determines the discount rates for liabilities for insurance undertakings in the EU, with major financial implications if inaccurate. It is essential EIOPA devotes sufficient numbers of staff with specific skills and experience to manage and enhance the underlying methodology and processes. Furthermore, additional investments are required to enhance the robustness of the systems operating to produce the monthly risk free rates. Having already allocated existing staff to relevant roles, is a further basis for additional requests.

EIOPA will strengthen the support it provides to NCAs in terms of the quality and consistency of supervision through the development and reporting of indicators based on the data it receives in key areas such as internal models.

Similarly, EIOPA will enhance the robustness, accuracy and usefulness of its financial stability analysis and therefore needs to strengthen its existing teams with experts in internal models, supervision and financial stability.

In the domain of consumer protection, EIOPA must allocate sufficient numbers of experienced and skilled staff to finalise the work on the Insurance Distribution Directive (IDD) along with preparing additional elements such as a report reviewing structure of intermediaries' markets post-transposition and a register of cross-border distributors. Following completion of this work, the additional staff will be reallocated to meet an identified and urgent demand from Members to strengthen at the European level a comprehensive risk-based and preventive framework for conduct of business supervision.

Finally, to ensure EIOPA meets its obligations in terms of Internal Control and delivers against its objective of being an effective and efficient authority with proper governance EIOPA must transition its existing Internal Control Coordinator role, spread across a number of staff into a single and dedicated member of staff.

4.1.2 Vacancy rate as of end 2015

The fulfilment rate of the Establishment Plan in 2015 was 95.6%, with 4 open vacancies at the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

In terms of staff cost, the budget has been calculated based on the assumption that the establishment plan 2016 is fulfilled at the beginning of 2017 and all newcomers will work on average four months in 2017. Salaries and related staff costs have been calculated on the averages remuneration of six staff categories (AST, Experts, Senior Experts, Management, Contract Agents and SNEs) projected into the future. The following increase factors have been also taken into account: salary adjustment 2017: 1.2%; reclassification: 1%; correction coefficient increase: 1%; and SNE allowances increase due to Correction coefficient: 2%.

4.1.5 Correction coefficient used

An increase of 1% on the 2015 correction coefficient (96.6%) has been taken into account to calculate salary costs, considering that it will stay stable in 2016.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Additional staffing and financial resource needs of EIOPA in order to assume its powers and duties allocated by Directive 2009/138/EC (Solvency II) in the field of insurance regulation and supervision, such as for the Extension of the recovery period, the Annual Report on Long Term Guarantees and Equivalence assessments will be freed up within the existing staff and budget allocation of EIOPA. The turn-over rate of 15% for 2015 signals the pressures the organisation is currently under, even with rigorous reprioritisation and cuts of tasks that were carried out in 2015.

Of all the additional tasks granted as introduced by Article 2(82) of Directive 2014/51/EU2 ("Omnibus II"), the RFR, stemming from Article 77e of Omnibus II is the most challenging, both in terms of risks and resources required to execute this task. EIOPA has delivered on this task and an external review, executed end 2015, determined the process to be accurate. However, given the potential impact errors in this process may have, further strengthening of EIOPA's capacities in this area is needed, to establish an automated RFR calculation engine and the proper resources to maintain and manage the process.

4.2.2 Title 2

Title II represents to a large extent fixed costs. For the budget 2017, the costs for infrastructure and general administrative costs have been maintained steady as compared to 2016, although reductions have been taken into account as regards to fitting out premises.

4.2.3 Title 3

The areas of increased budget expenditure is foreseen in building and developing EIOPA's approach to risk based and preventative conduct supervision. Furthermore the increase is necessary to build the supervisory reporting framework and to increase support provided to the National Competent Authorities to achieve convergent and high quality supervision. For the revenue part, the Commission Programming of human and financial resources for decentralised agencies 2014-2020 as reported to the Council and Parliament in July 2013 is used as a basis.

The external review of EIOPA's RFR processes at the end of 2015 noted, based on the reliance on market data providers and existing governance, that while controls and security were effective, these should be further formalised and better integrated into EIOPA's procedures. Ensuring this implies a higher degree of automation to improve robustness of the system and provide additional support to the validation of the input. EIOPA plans to run a project to implement such improvements and then in 2017 will require additional funds to audit the revised and improved processes and systems.

In total, the budget expenditure rises, under EIOPA proposal, by 13.4 % as compared with the 2016 budget, mainly caused by additional operational costs in Title III (increased costs for operational software, consumer testing and external experts). Furthermore due to additional investments needed for a structural RFR automated calculation engine (additional 5 TA resources in Title I and investment costs of 800.000 EUR in operational information and data management in title III).

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Westhafen Tower, Westhafen Platz 1, Frankfurt am Main, Germany	
Surface area (in square metres) Of which office space Of which non-office space	EIOPA occupies per 1 January 2015 5 floors (14th, 25th, 26th, 27th, 28th). 4,261 square meter: office space 50 square meter: basement and parking space	
Annual rent (in EUR)	1,577,739.85 € estimated in 2017	
Type and duration of rental contract	Every building unit (namely the half floors of 25,26 and 27 floor and the whole floors 14 and 28) has a 10-years contract. The contract defines the price per square meter, which is subject to indexation. The contract allows to gradually extending the office space in line with the actual growth of the Authority and real needs for office space. The occupation of the 28th floor in 2014 was the final office extension and provides room for accommodating the increased number of in-house working meetings, for the implementation of an open space policy on the 14th floor and for the fulfilment of the necessary security requirements.	
Host country grant or support	N/A	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

None

5.1.3 Building projects submitted to the European Parliament and the Council

No new project submitted to the European Parliament and the Council – information on building policy was provided on 1st July 2015 according to Article 87 of the EIOPA Financial Regulations.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
EIOPA benefits from the privileges granted by the Protocol of Privileges and Immunities to EU organisations and institutions (mainly from the VAT exemption of supplier invoices).	Headquarter agreement has been signed with Government of the German Federal Republic on 18 October 2011.	

5.3 European schools

Negotiations on an agreement on EIOPA's contribution to the schooling costs of the EIOPA staff children in the European School of Frankfurt are ongoing.

5.4 Evaluation

An evaluation of the agency will be submitted to the Budgetary Authority before 15 June 2017, in line with Art. 43.5 of EIOPA's Founding Regulation and Art.47 of EIOPA's Financial Regulation.

The following link presents the most recent Annual Activity Report of the Authority:

<https://eiopa.europa.eu/publications/annual-reports>

2.2.1.1.13 European Securities and Market Authority - ESMA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1095/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Securities and Markets Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/77/EC	24/11/2010	ESMA is an independent EU Authority that contributes to safeguarding the stability of the European Union's financial system by ensuring the integrity, transparency, efficiency and orderly functioning of securities markets, as well as enhancing investor protection.
Regulation (EU) N° 513/2011 of the European Parliament and of the Council of 11 May 2011 amending Regulation (EC) No 1060/2009 on credit rating agencies	11/05/2011	Grant ESMA competence for the direct supervision of Credit Rating Agencies in the EU.
Regulation (EU) No 648/2012 of the European Parliament and of the Council of 4 July 2012 on OTC derivatives, central counterparties and trade repositories (EMIR)	4/7/2012	Grants ESMA competence for the direct supervision of Trade Repositories in the EU.

1.2 Seat

Paris, France

1.3 Budget Line

12 02 06 : European Securities and Markets Authority (ESMA)

1.4 Human Resource Overview ESMA

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	122	116	127	137
Establishment plan posts : AST	15	13	13	13
Establishment plan posts : AST/SC				
Total Establishment plan posts	137	129	140	150
Contract Agents	32	32	44	50
Seconded National Experts	21	25	21	25
TOTAL STAFF	190	186	205	225

1.5 Financial Resources Overview

1.5.1 Financial Resources Overview ESMA

1.5.1.1 Revenues Overview ESMA

1.5.1.1.1 General revenues ESMA

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 203 000	11 019 552
Other Revenue	29 189 742	31 076 800
TOTAL REVENUES	39 392 742	42 096 352

1.5.1.1.2 Additional EU funding: ad hoc grants and delegation agreements ESMA

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.1.2 Expenditure Overview ESMA

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	20 495 000	20 495 000	22 899 000	22 899 000
Title 2 - Infrastructure and operating expenditure	7 715 000	7 715 000	8 273 000	8 273 000
Title 3 - Operational expenditure	8567095	8567095	9265000	9265000
Title 4 - Delegated tasks	2615647	1743765	1659352	1978117
TOTAL EXPENDITURE	39 392 742	38 520 860	42 096 352	42 415 117

1.5.2 Financial Resources Overview (Fees from CRA and TR)

1.5.2.1 Revenues Overview (Fees from CRA and TR)

1.5.2.1.1 General revenues (Fees from CRA and TR)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	10 548 293	11 799 281

1.5.2.1.2 Additional EU funding: ad hoc grants and delegation agreements (Fees from CRA and TR)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2.2 Expenditure Overview (Fees from CRA and TR)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 135 819	6 135 819	7 610 521	7 610 521
Title 2 - Infrastructure and operating expenditure	2 214 833	2 214 833	2 647 360	2 647 360
Title 3 - Operational expenditure	2 197 641	2 197 641	1 541 400	1 541 400
Title 4 - Delegated tasks	0	0	0	0
TOTAL EXPENDITURE	10 548 293	10 548 293	11 799 281	11 799 281

1.5.3 Financial Resources Overview (EU, NCA, NCA for delegated tasks)

1.5.3.1 Revenues Overview (EU, NCA, NCA for delegated tasks)

1.5.3.1.1 General revenues (EU, NCA, NCA for delegated tasks)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	10 203 000	11 019 552
Other Revenue	18 641 449	19 277 519
TOTAL REVENUES	28 844 449	30 297 071

1.5.3.1.2 Additional EU funding: ad hoc grants and delegation agreements (EU, NCA, NCA for delegated tasks)

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.3.2 Expenditure Overview (EU, NCA, NCA for delegated tasks)

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	14 359 181	14 359 181	15 288 479	15 288 479
Title 2 - Infrastructure and operating expenditure	5 500 167	5 500 167	5 625 640	5 625 640
Title 3 - Operational expenditure	6 369 454	6 369 454	7 723 600	7 723 600
Title 4 - Delegated tasks	2 615 647	1 743 765	1 659 352	1 978 117
TOTAL EXPENDITURE	28 844 449	27 972 567	30 297 071	30 615 836

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16						1		1		1
AD 15		1		1		1		1		1
AD 14		1		1						
AD 13						2		2		2
AD 12		3		2		4		5		5

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts (1)	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 11		5		3		7		8		8
AD 10		6		1		10		13		13
AD 9		14		16		22		26		26
AD 8		27		26		29		28		28
AD 7		27		36		24		26		26
AD 6		22		14		17		14		14
AD 5		16		16		10		13		13
AD TOTAL		122		116		127		137		137
AST 11										
AST 10										
AST 9		1								
AST 8								1		1
AST 7						2		2		2
AST 6		1				2		3		3
AST 5		2		2		4		4		4
AST 4		6		1		4		3		3
AST 3		2		6		1				
AST 2		3		1						
AST 1				3						
AST TOTAL		15		13		13		13		13
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		137		129		140		150		150
GRAND TOTAL		137		129		140		150		150

(1) In addition, 3 TAs on unpaid leave on personal grounds, and as of 31.12.2015, 7 new TAs accepted an offer of employment (known newcomers).

2.2 External personnel ESMA

2.2.1 Contract Agents ESMA

Contract agents	Authorised 2015	Recruited as of 31/12/2015 (1)	2016 estimate	Draft Budget 2017 estimate
Function Group IV	16	13	16	23
Function Group III	4	18	28	27
Function Group II	12	1		
Function Group I				
TOTAL	32	32	44	50

(1) Furthermore, as of 31.12.2015, 8 new CAs accepted an offer of employment (known newcomers).

2.2.2 Seconded National Experts ESMA

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	21	25	21	25

3 Financial Resources

3.1 Financial Resources ESMA

3.1.1 Revenues ESMA

3.1.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	9 752 297	10 548 293	11 799 281	11 799 281	11,86%
2 EU CONTRIBUTION	9 703 423	10 203 000	11 019 552	11 019 552	8,00%
- Of which assigned revenues deriving from previous years' surpluses	1 583 423	0		175 555	∞%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	402 076	446 968	481 220	481 220	7,66%
- Of which EEA/EFTA (excl. Switzerland)	402 076	446 968	481 220	481 220	7,66%
- Of which candidate countries	0	0	0	0	∞%
4 OTHER CONTRIBUTIONS	14 153 059	15 733 282	16 938 947	16 938 947	7,66%
5 ADMINISTRATIVE OPERATIONS	20 748	0			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	0	0	300 000	300 000	∞%
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS	2 708 547	2 461 199	1 557 352	1 557 352	-36,72%
TOTAL	36 740 150	39 392 742	42 096 352	42 096 352	6,86%

3.1.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.1.2 Expenditure ESMA

3.1.2.1 Commitment appropriations ESMA

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 119 572	20 495 000	22 899 000	22 899 000	11,73%
Salaries & allowances	16 991 732	19 382 000	21 560 000	21 560 000	11,24%
- Of which establishment plan posts	16 325 638	19 082 000	21 260 000	21 260 000	11,41%
- Of which external personnel	666 094	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	325 522	354 000	350 000	350 000	-1,13%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	380 377	405 000	675 000	675 000	66,67%
Training	414 825	340 000	300 000	300 000	-11,76%
External Services	0	0	0	0	◆
Receptions, events and representation	7 116	14 000	14 000	14 000	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	8 457 058	7 715 000	8 273 000	8 273 000	7,23%
Rental of buildings and associated costs	4 638 759	4 940 000	5 500 000	5 500 000	11,34%
Information, communication technology and data processing	2 361 842	1 820 000	2 120 000	2 120 000	16,48%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	1 456 457	955 000	653 000	653 000	-31,62%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	6 942 604	8 567 095	9 265 000	9 265 000	8,15%
Training for a common supervisory culture	89 920	140 000	130 000	130 000	-7,14%
Collection of information : IT projects	4 657 432	5 350 000	5 950 000	5 950 000	11,21%
Legal advice	900	200 000	200 000	200 000	0,00%
Access to data for Economic Research	635 448	517 095	450 000	450 000	-12,98%
Mission expenses, travel and incidental expenses	758 530	900 000	910 000	910 000	1,11%

EXPENDITURE	Commitment appropriations ESMA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Communications	384 453	590 000	820 000	820 000	38,98%
Meeting expenses	352 755	500 000	500 000	500 000	0,00%
Services on operational matters	63 166	370 000	305 000	305 000	-17,57%
Title 4 - Delegated tasks	1 194 999	2 615 647	1 659 352	1 659 352	-36,56%
TOTAL	34 714 233	39 392 742	42 096 352	42 096 352	6,86%

3.1.2.2 Payment appropriations ESMA

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 119 571	20 495 000	22 899 000	22 899 000	11,73%
Salaries & allowances	16 991 732	19 382 000	21 560 000	21 560 000	11,24%
- Of which establishment plan posts	16 325 638	19 082 000	21 260 000	21 260 000	11,41%
- Of which external personnel	666 094	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	325 521	354 000	350 000	350 000	-1,13%
Mission expenses	255 868	288 732	459 000	459 000	58,97%
Socio-medical infrastructure	124 509	116 268	216 000	216 000	85,78%
Training	414 825	340 000	300 000	300 000	-11,76%
External Services	0	0	0	0	◆
Receptions, events and representation	7 116	14 000	14 000	14 000	0,00%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	8 457 058	7 715 000	8 273 000	8 273 000	7,23%
Rental of buildings and associated costs	4 638 759	4 940 000	5 500 000	5 500 000	11,34%
Information, communication technology and data processing	2 361 842	1 820 000	2 120 000	2 120 000	16,48%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	1 456 457	955 000	653 000	653 000	-31,62%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	6 942 603	8 567 095	9 265 000	9 265 000	8,15%
Training for a common supervisory culture	89 920	140 000	130 000	130 000	-7,14%
Collection of information : IT projects	4 657 431	5 350 000	5 950 000	5 950 000	11,21%
Legal advice	900	200 000	200 000	200 000	0,00%
Access to data for Economic Research	635 448	517 095	450 000	450 000	-12,98%
Mission expenses, travel and incidental expenses	758 530	900 000	910 000	910 000	1,11%
Communications	384 453	590 000	820 000	820 000	38,98%
Meeting expenses	352 755	500 000	500 000	500 000	0,00%
Services on operational matters	63 166	370 000	305 000	305 000	-17,57%

EXPENDITURE	Payment appropriations ESMA				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 4 - Delegated tasks	1 194 999	1 743 765	1 978 117	1 978 117	13,44%
TOTAL	34 714 231	38 520 860	42 415 117	42 415 117	10,11%

3.2 Financial Resources (Fees from CRA and TR)

3.2.1 Revenues (Fees from CRA and TR)

3.2.1.1 General revenues (Fees from CRA and TR)

REVENUES	General revenues (Fees from CRA and TR)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	9 752 297	10 548 293	11 799 281	11 799 281	11,86%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS					
TOTAL	9 752 297	10 548 293	11 799 281	11 799 281	11,86%

3.2.1.2 Additional EU funding: ad hoc grants and delegation agreements (Fees from CRA and TR)

REVENUES	Additional EU funding: ad hoc grants and delegation agreements (Fees from CRA and TR)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2.2 Expenditure (Fees from CRA and TR)

3.2.2.1 Commitment appropriations (Fees from CRA and TR)

EXPENDITURE	Commitment appropriations (Fees from CRA and TR)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 672 147	6 135 819	7 610 521	7 610 521	24,03%
Salaries & allowances	5 303 174	5 816 297	7 182 041	7 182 041	23,48%
- Of which establishment plan posts	5 132 383	5 730 173	7 086 041	7 086 041	23,66%
- Of which external personnel	170 791	86 124	96 000	96 000	11,47%
Expenditure relating to Staff recruitment	106 553	101 627	112 000	112 000	10,21%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	124 509	116 268	216 000	216 000	85,78%
Training	135 785	97 608	96 000	96 000	-1,65%
External Services	0	0	0	0	◆
Receptions, events and representation	2 126	4 019	4 480	4 480	11,47%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	2 526 705	2 214 833	2 647 360	2 647 360	19,53%
Rental of buildings and associated costs	1 385 916	1 418 182	1 760 000	1 760 000	24,10%
Information, communication technology and data processing	705 645	522 488	678 400	678 400	29,84%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	435 144	274 163	208 960	208 960	-23,78%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	1 760 506	2 197 641	1 541 400	1 541 400	-29,86%
Training for a common supervisory culture	16 073	0	0	0	◆
Collection of information : IT projects	1 141 438	1 523 000	826 000	826 000	-45,76%
Legal advice	269	57 416	64 000	64 000	11,47%
Access to data for Economic Research	0	0	0	0	◆
Mission expenses, travel and incidental expenses	317 372	258 374	291 200	291 200	12,70%
Communications	118 164	134 928	128 000	128 000	-5,13%
Meeting expenses	105 392	117 703	131 200	131 200	11,47%
Services on operational matters	61 798	106 220	101 000	101 000	-4,91%
Title 4 - Delegated tasks	0	0	0	0	◆
TOTAL	9 959 358	10 548 293	11 799 281	11 799 281	11,86%

3.2.2.2 Payment appropriations (Fees from CRA and TR)

EXPENDITURE	Payment appropriations (Fees from CRA and TR)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 672 147	6 135 819	7 610 521	7 610 521	24,03%
Salaries & allowances	5 303 174	5 816 297	7 182 041	7 182 041	23,48%
- Of which establishment plan posts	5 132 383	5 730 173	7 086 041	7 086 041	23,66%
- Of which external personnel	170 791	86 124	96 000	96 000	11,47%
Expenditure relating to Staff recruitment	106 553	101 627	112 000	112 000	10,21%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	124 509	116 268	216 000	216 000	85,78%
Training	135 785	97 608	96 000	96 000	-1,65%
External Services	0	0	0	0	◆
Receptions, events and representation	2 126	4 019	4 480	4 480	11,47%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	2 526 705	2 214 833	2 647 360	2 647 360	19,53%
Rental of buildings and associated costs	1 385 916	1 418 182	1 760 000	1 760 000	24,10%
Information, communication technology and data processing	705 645	522 488	678 400	678 400	29,84%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	435 144	274 163	208 960	208 960	-23,78%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	1 760 505	2 197 641	1 541 400	1 541 400	-29,86%
Training for a common supervisory culture	16 073	0	0	0	◆
Collection of information : IT projects	1 141 437	1 523 000	826 000	826 000	-45,76%
Legal advice	269	57 416	64 000	64 000	11,47%
Access to data for Economic Research	0	0	0	0	◆
Mission expenses, travel and incidental expenses	317 372	258 374	291 200	291 200	12,70%
Communications	118 164	134 928	128 000	128 000	-5,13%
Meeting expenses	105 392	117 703	131 200	131 200	11,47%
Services on operational matters	61 798	106 220	101 000	101 000	-4,91%
Title 4 - Delegated tasks	0	0	0	0	◆
TOTAL	9 959 357	10 548 293	11 799 281	11 799 281	11,86%

3.2.3 Budget Outturn (Fees from CRA and TR)

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0

3.3 Financial Resources (EU, NCA, NCA for delegated tasks)

3.3.1 Revenues (EU, NCA, NCA for delegated tasks)

3.3.1.1 General revenues (EU, NCA, NCA for delegated tasks)

REVENUES	General revenues (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	9 703 423	10 203 000	11 019 552	11 019 552	8,00%
- Of which assigned revenues deriving from previous years' surpluses	1 583 423	0		175 555	∞%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	402 076	446 968	481 220	481 220	7,66%
- Of which EEA/EFTA (excl. Switzerland)	402 076	446 968	481 220	481 220	7,66%
- Of which candidate countries	0	0	0	0	∞%
4 OTHER CONTRIBUTIONS	14 153 059	15 733 282	16 938 947	16 938 947	7,66%
5 ADMINISTRATIVE OPERATIONS	20 748	0			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	0	0	300 000	300 000	∞%
7 CORRECTION OF BUDGETARY IMBALANCES					
8 CONTRIBUTION FOR DELEGATED TASKS	2 708 547	2 461 199	1 557 352	1 557 352	-36,72%
TOTAL	26 987 853	28 844 449	30 297 071	30 297 071	5,04%

3.3.1.2 Additional EU funding: ad hoc grants and delegation agreements (EU, NCA, NCA for delegated tasks)

REVENUES	Additional EU funding: ad hoc grants and delegation agreements (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.3.2 Expenditure (EU, NCA, NCA for delegated tasks)

3.3.2.1 Commitment appropriations (EU, NCA, NCA for delegated tasks)

EXPENDITURE	Commitment appropriations (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 447 425	14 359 181	15 288 479	15 288 479	6,47%
Salaries & allowances	11 688 558	13 565 703	14 377 959	14 377 959	5,99%
- Of which establishment plan posts	11 193 255	13 351 827	14 173 959	14 173 959	6,16%

EXPENDITURE	Commitment appropriations (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which external personnel	495 303	213 876	204 000	204 000	-4,62%
Expenditure relating to Staff recruitment	218 969	252 373	238 000	238 000	-5,70%
Mission expenses	0	0	0	0	◆
Socio-medical infrastructure	255 868	288 732	459 000	459 000	58,97%
Training	279 040	242 392	204 000	204 000	-15,84%
External Services	0	0	0	0	◆
Receptions, events and representation	4 990	9 981	9 520	9 520	-4,62%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	5 930 353	5 500 167	5 625 640	5 625 640	2,28%
Rental of buildings and associated costs	3 252 843	3 521 818	3 740 000	3 740 000	6,20%
Information, communication technology and data processing	1 656 197	1 297 512	1 441 600	1 441 600	11,10%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	1 021 313	680 837	444 040	444 040	-34,78%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	5 182 098	6 369 454	7 723 600	7 723 600	21,26%
Training for a common supervisory culture	73 847	140 000	130 000	130 000	-7,14%
Collection of information : IT projects	3 515 994	3 827 000	5 124 000	5 124 000	33,89%
Legal advice	631	142 584	136 000	136 000	-4,62%
Access to data for Economic Research	635 448	517 095	450 000	450 000	-12,98%
Mission expenses, travel and incidental expenses	441 158	641 626	618 800	618 800	-3,56%
Communications	266 289	455 072	692 000	692 000	52,06%
Meeting expenses	247 363	382 297	368 800	368 800	-3,53%
Services on operational matters	1 368	263 780	204 000	204 000	-22,66%
Title 4 - Delegated tasks	1 194 999	2 615 647	1 659 352	1 659 352	-36,56%
TOTAL	24 754 875	28 844 449	30 297 071	30 297 071	5,04%

3.3.2.2 Payment appropriations (EU, NCA, NCA for delegated tasks)

EXPENDITURE	Payment appropriations (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 447 424	14 359 181	15 288 479	15 288 479	6,47%
Salaries & allowances	11 688 558	13 565 703	14 377 959	14 377 959	5,99%
- Of which establishment plan posts	11 193 255	13 351 827	14 173 959	14 173 959	6,16%
- Of which external personnel	495 303	213 876	204 000	204 000	-4,62%
Expenditure relating to Staff recruitment	218 968	252 373	238 000	238 000	-5,70%
Mission expenses	255 868	288 732	459 000	459 000	58,97%

EXPENDITURE	Payment appropriations (EU, NCA, NCA for delegated tasks)				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	0	0	0	0	◆
Training	279 040	242 392	204 000	204 000	-15,84%
External Services	0	0	0	0	◆
Receptions, events and representation	4 990	9 981	9 520	9 520	-4,62%
Social welfare	0	0	0	0	◆
Other Staff related expenditure	0	0	0	0	◆
Title 2 - Infrastructure and operating expenditure	5 930 353	5 500 167	5 625 640	5 625 640	2,28%
Rental of buildings and associated costs	3 252 843	3 521 818	3 740 000	3 740 000	6,20%
Information, communication technology and data processing	1 656 197	1 297 512	1 441 600	1 441 600	11,10%
Movable property and associated costs	0	0	0	0	◆
Current administrative expenditure	1 021 313	680 837	444 040	444 040	-34,78%
Postage / Telecommunications	0	0	0	0	◆
Meeting expenses	0	0	0	0	◆
Running costs in connection with operational activities	0	0	0	0	◆
Information and publishing	0	0	0	0	◆
Studies	0	0	0	0	◆
Other infrastructure and operating expenditure	0	0	0	0	◆
Title 3 - Operational expenditure	5 182 098	6 369 454	7 723 600	7 723 600	21,26%
Training for a common supervisory culture	73 847	140 000	130 000	130 000	-7,14%
Collection of information : IT projects	3 515 994	3 827 000	5 124 000	5 124 000	33,89%
Legal advice	631	142 584	136 000	136 000	-4,62%
Access to data for Economic Research	635 448	517 095	450 000	450 000	-12,98%
Mission expenses, travel and incidental expenses	441 158	641 626	618 800	618 800	-3,56%
Communications	266 289	455 072	692 000	692 000	52,06%
Meeting expenses	247 363	382 297	368 800	368 800	-3,53%
Services on operational matters	1 368	263 780	204 000	204 000	-22,66%
Title 4 - Delegated tasks	1 194 999	1 743 765	1 978 117	1 978 117	13,44%
TOTAL	24 754 874	27 972 567	30 615 836	30 615 836	9,45%

3.3.3 Budget Outturn (EU, NCA, NCA for delegated tasks)

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 438 888.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

Additional tasks to be undertaken by ESMA, as outlined by the agency, justify the 10 additional posts envisaged in the Commission Communication on the Programming of human and financial resources for decentralised agencies 2014 – 2020 (COM(2013) 519 final). It should be noted that the proposed regulation on securitisation under the Commission's Capital Markets Union proposal envisages 3 additional posts, which will be accommodated within the 10 planned in the 2013 Communication. It should also be noted that of the 150 posts proposed, 49 would be funded by fees charged to supervised entities (Credit Rating Agencies and Trade Repositories). The posts are required for the following activities:

Activities	Sub-activities	Additional Establishment Plan posts
		2017 proposal
Supervisory convergence	EU IT projects	1
	AIFMD/EMIR/Securities Financing Transactions Regulation/Position limits/Securitisation	2
Assessing risks	Data analysis/Data quality	2
Single Rulebook	Securitisation	1
Direct supervision	Enforcement	1
	Supervision (including legal support)	2
	Organisational support in line with additional tasks (Security/Planning)	1
Total additional posts		10

Financial Resources

Resources should be provided as requested by the agency. Title 1 provides for the proposed increase in staff; Title 2 includes a provision for additional meeting room and open plan office space which is justified on the grounds set out by the agency; and Title 3 includes important IT developments required to fulfil ESMA's mandate and expenses related to training for National Supervisory Authorities, which is essential to promote the convergence of supervisory practice.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The proposed Securitisation Regulation would require ESMA to draft regulatory technical standards, develop and maintain a list of all securitisations on its website, promote supervisory co-operation and convergence in the interpretation and application of the STS (Securitisation) criteria, and publish a joint ESA (European Supervisory Authorities) report on the implementation of the STS requirements and on the functioning of the system of STS notification and the functioning of the market.

The proposed Prospectus Regulation would require ESMA to draft a number of regulatory and implementing technical standards, to upgrade its existing prospectus register to enable investors to access and compare EU prospectuses from a single location and to allow ESMA to draw up an annual report on prospectuses approved in the EU, and to further promote supervisory co-operation and convergence.

Growth in existing tasks (2017):

ESMA's 2016-2020 Strategic Orientation foresees an evolution in ESMA's work from building the single rulebook towards ensuring that it is applied in a consistent manner across the European Union. In line with the shift to supervisory convergence and risk monitoring work additional posts will be needed in data quality, IT, supervisory convergence, and supervision.

Direct supervision: based on past experience it is assumed that there will be two new CRA registrations per year in 2016 and 2017 and therefore two additional CRAs to supervise every year. 2017 will also be the first year of the implementation of the supervisory strategy for fees charged by CRAs, following the finalisation of the strategy in 2016. In addition, the current trend of a significant increase in ongoing legal and ultimately enforcement work related to CRA and TR supervision is expected to continue into 2016 and 2017 when the volume of legal work is expected to increase by 50% and then 25% respectively.

Supervisory convergence: As the number of running IT systems continues to grow (more than 20 running systems requiring support for daily operations and service desk, as well as corrective, preventive and adaptive application maintenance), it is necessary that the staff managing them increase in proportion. In particular, the number of new systems delivered over the past years has increased significantly ESMA's IT maintenance costs, which will represent in the following three years approximately 60% of the overall IT budget (including the delegated projects). That trend is due to the substantial growth in the number of systems that ESMA is currently running, i.e. approximately 22 systems requiring support for daily operations, as well as corrective, preventive and adaptive software maintenance.

Assessing risks: ESMA's strategy emphasises ESMA's intention to strengthen its capabilities to identify and assess risks to investors, related to financial innovation and financial stability in the EU in the area of securities markets. By 2017, ESMA will start having large sets of data to manage. This presents challenges in terms of ensuring that the collected data is robust and can be relied upon for analysis. Additional staff are needed to ensure that risk analysis can be based on good quality data and strong analysis to become a real input for policy making, supervision and convergence activity.

Single rulebook: Although in general the amount of single rulebook work is predicted to decrease, in particular areas (notably related to the proposed regulations on Securitisation and Prospectus) there will be an increase in rulemaking activity in 2017 onwards.

4.1.2 Vacancy rate as of end 2015

There are eight vacant posts on the establishment plan at the end of 2015 and the selection procedures are on-going. This is due to unsuccessful selection procedures, internal staff members succeeding at external selection procedures and the turnover rate.

4.1.3 Standard abatement ('abatement forfaitaire') applied

A linear phase-in for the budgeted salary costs for the requested new staff has been applied.

4.1.4 Salary assumption for calculating salary line (% applied)

Salaries have been calculated using the average salary from November 2015 with 0,86% applied over the year for step changes and 0,74% for promotions. Salary increases have been assumed to be 1% each in July 2016 and in July 2017. A vacancy rate of 3% has been assumed.

4.1.5 Correction coefficient used

The correction coefficient for France is assumed to remain stable at 16.8%.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.1.1 Title 1 (Fees from CRA and TR)

The staffing budget is linked to the increase in Establishment Plan posts to implement ESMA's accumulation of new tasks. This increase in planned posts and a revision of the calculation of the overheads costs account for approximately EUR 2 000 000. It should also be noted that ESMA's proposed funding balance for 2017 is such that, out of the 150 proposed Establishment Plan posts, 49 Temporary Agents would be financed by fees charged to supervised entities (Credit Rating Agencies and Trade Repositories), 60 from contributions from National Competent Authorities and 41 from the EU budget. According to the provisions of the Staff Regulations, since 2016 ESMA, as an authority partly financed from the general budget of the EU, shall pay the part of the employers' pension contributions corresponding to the proportion of its revenues without the EU subsidy versus its total revenues. Consequently, in order to cover this cost EUR 1 700 000 will be added to ESMA's salary budget in 2017; this amount will not be funded by the EU subsidy.

4.2.1.2 Title 1 (EU, NCA, NCA for delegated tasks)

See section 4.2.1.1

The total budget in Title 1, deducted by the part funded by the fee income and by the amount of the pension contribution, will be covered by the EU subsidy and by the National Competent Authority contribution on the basis of the proportion 40/60.

4.2.2 Title 2

4.2.2.1 Title 2 (Fees from CRA and TR)

Title 2 includes an additional EUR 700 000 compared to 2016. Approximately EUR 300 000 of this increase is due to the projected staff growth and the basic infrastructure to support their everyday working needs. The remainder is to finance the rental of an additional floor in the current premises at 103 Grenelle from 2017 onwards. The extension of space is needed to increase the availability of meeting space (which at 80% average capacity becomes congested at peak times), as well as for ESMA's growing staff. The additional floor will allow for another 30 working places within open plan offices, as well as increasing meeting room capacity by 25%.

4.2.2.2 Title 2 (EU, NCA, NCA for delegated tasks)

See section 4.2.2.1

4.2.3 Title 3

4.2.3.1 Title 3 (Fees from CRA and TR)

The main drivers for the increase in the requested budget for title 3 is to allow the following activities: 1) a training programme for National Competent Authorities to promote convergence in supervisory practices; 2) an ESMA conference, to be financed by an entrance fee to the conference; and 3) ESMA's IT activity, which contains the following programmes:

The Markets Programme, which contains the following projects: MiFIR / MiFID II: database of the national position management measures and position limits in force, publication of opinions regarding compatibility of CAs' proposed position limits, OTR and tick sizes applicable to relevant instruments; MAR: register of Directors' dealings; CSDR: all requirements stemming from that regulation; SSR: markets and primary dealers register.

The Transparency Programme, which includes notably EEAP and ESEF databases.

The Corporate Programme: in line with ESMA's 2016-2020 Strategic Orientation, the Corporate Programme includes a proposal to extend ESMA's document management system to National Competent Authorities and / or other supervisory entities through a 'Workgroup and College tools' initiative starting in 2017. This would improve efficiency for working groups with their drafting and, when used in conjunction with other IT tools such as video conferencing and remote screen sharing, should cut travel costs, as there would be less need for groups to meet physically.

Maintenance: a significant part of the operational expenditure budget has to be allocated to the maintenance of the current systems, which is unavoidable given the number of systems ESMA is now running. In addition, due to the necessity to renew the framework contract agreements for Infrastructure Hosting an additional €600,000 has been budgeted to allow for a possible transfer to a new datacentre should the current provider not win the call for tender.

It is to be noted that the IT Work Programme contains identified projects that cannot be estimated yet, given the lack of information on them. No specific amount has been allocated to them. The list of these projects is presented on page 30 of the IT Strategy and contains amongst others: Benchmarks Regulation, UCITS V, Securitisation Regulation, Money Markets Funds Regulation, Prospectus 3, etc.

4.2.3.2 Title 3 (EU, NCA, NCA for delegated tasks)

See section 4.2.3.1

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	103 rue de Grenelle, Paris France	
Surface area (in square metres) Of which office space Of which non-office space	5 304	
Annual rent (in EUR)	€ 3 557 473,08	This is the contractual amount for the rent to which is then applied the nationally set rate of inflation, capped in ESMA's rental contract to 3%.
Type and duration of rental contract	Lease expiring on 31 December 2020	
Host country grant or support	None	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

ESMA is currently studying the possibility to rent an additional floor in its current premises, to allow for additional external meeting rooms and additional office space. The extension would represent approximately an additional EUR 400 000 per year in the rental budget of ESMA and has been discussed and approved in principle in Q4 2015 by ESMA's Management Board.

5.1.3 Building projects submitted to the European Parliament and the Council

In September 2012, ESMA submitted a report to the European Parliament and Council detailing its request to sign a lease for an additional 2.208 sqm in their premises at 103 rue de Grenelle for the period of 2013 to 2020. On 10 October 2012, the European Parliament's Budget Committee issued a favourable opinion on this request.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	The Headquarters agreement of ESMA is not signed yet with the French government. ESMA concluded an agreement at technical level at the end of 2015 on a text for the Headquarters agreement. The signature is planned in the course of 2016.	

5.3 European schools

Not applicable

5.4 Evaluation

An evaluation of the agency as a component of the European System of Financial Supervisors has been submitted to the Budgetary Authority by 08.08.2014 [COM(2014) 509 final]. The main findings of the latest evaluation available are as follows:

The review shows that the ESAs have overall performed well during their first three years of operations. They have successfully built functioning organisations, started delivering on their mandates and developed their own profiles. Notably by preparing uniform standards and contributing to supervisory convergence and co-ordination, the ESAs have successfully contributed to shaping the development of a single rulebook applicable to all 28 EU Member States and thus to the good functioning of the Single Market.

The ESAs report identifies several areas for improvement which can be implemented by the ESAs and the Commission in the short term and would not require legislative action. In particular, the ESAs should give a higher profile to issues related to consumer/investor protection, and strengthen the focus on supervisory convergence, amongst other things by making better use of peer reviews.

For the longer term, there could be a need to further consider other issues which would imply changes to the legislative framework for the ESAs. Any such future steps would also have to take into account the functioning of the Banking Union which is currently being established. Areas for consideration in the longer term would include:

- The governance of the ESAs, in particular to further improve the capacity of the Board of Supervisors to take decisions in the interest of the EU as a whole.
- A revision of the existing funding arrangements so that the ESAs could fulfil their broad range of tasks, taking into account the EU and national budgetary constraints.

2.2.1.1.14 Agency for the Cooperation of Energy Regulators - ACER

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 713/2009 of the European Parliament and of the Council.	13 July 2009	<p>Mission: The purpose of the Agency is to assist National Regulatory Authorities (NRAs) in exercising, at Union level, the regulatory tasks that they perform in the Member States and, where necessary, to coordinate their action.</p> <p>Tasks:</p> <ul style="list-style-type: none"> - Complement and coordinate the work of the NRAs, - Participate in the creation of European network rules, - Take, under certain conditions, binding individual decisions on terms and conditions for access and operational security for cross border infrastructure, - Give advice on various energy related issues to the European institutions, and - Monitor and report developments of the energy markets.
Regulation (EU) No 838/2010 of the European Parliament and of the Council	23 September 2010	<p>Regulation on Inter-TSO Compensation Mechanism:</p> <ul style="list-style-type: none"> - The Agency is required to formulate a proposal to the EU Commission on the annual cross-border infrastructure compensation sum for the ITC mechanism.
Regulation (EU) No 1227/2011 of the European Parliament and of the Council on wholesale energy market integrity and transparency	25 October 2011	<p>The Agency shall:</p> <ul style="list-style-type: none"> - Cooperate with the NRAs, ESMA, competent financial authorities of the Member States and where appropriate with national competition authorities to monitor the wholesale energy markets and their activities - Assess the operation and transparency of different categories of market places and ways of trading - Make recommendations to the Commission as regards market rules, records of transactions, orders to trade, standards and procedures which could improve market integrity and the functioning of the internal market.
Regulation (EU) No 347/2013 of the European Parliament and of the Council on guidelines for trans-European energy infrastructure and repealing Decision No 1364/2006/EC and amending Regulation (EC) No 713/2009, (EC) No 714/2009 and (EC) No 715/2009	17 April 2013	<p>The Agency shall:</p> <ul style="list-style-type: none"> - Assist the NRAs in assessing the consistent application of the Projects of Common Interest (PCI) identification criteria/Cost-Benefit Analysis (CBA) methodology, and evaluate their cross border relevance within a region, and in presenting such an assessment to the Regional Groups; - Provide an opinion (within three months) on the draft regional lists of the (electricity and gas) PCIs and on the consistent application of the criteria and the cost-benefit analysis across regions; - Provide an opinion to the Commission and the Member States on the methodologies, for a harmonised energy system-wide CBA at Union-wide level proposed by ENTSOs; - Participate in the monitoring of the implementation of the PCIs; - Facilitate the sharing of good practices and providing recommendations on incentives and common methodology to evaluate the incurred higher risks of investments in electricity and gas transmission.

1.2 Seat

Ljubljana, Slovenia

1.3 Budget Line

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1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	39	39	54	53
Establishment plan posts : AST	15	15	15	15
Establishment plan posts : AST/SC				
Total Establishment plan posts	54	54	69	68
Contract Agents	15	20	19	19
Seconded National Experts	8	4	4	4
TOTAL STAFF	77	78	92	91

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	15 872 582	13 272 160
Other Revenue	0	0
TOTAL REVENUES	15 872 582	13 272 160

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	8 211 815	8 211 815	7 987 189	7 987 189
Title 2 - Infrastructure and operating expenditure	2 693 770	2 693 770	2 318 826	2 318 826
Title 3 - Operational expenditure	4 966 997	4 966 997	2 966 145	2 966 145
TOTAL EXPENDITURE	15 872 582	15 872 582	13 272 160	13 272 160

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15						1		1		1
AD 14		1		1						
AD 13										
AD 12										
AD 11		4		4		5		5		5
AD 10										
AD 9		2		2		2		2		2
AD 8		6		6		10		13		10
AD 7		6		6		10		11		10
AD 6		7		7		7		11		7
AD 5		13		13		19		37		18
AD TOTAL		39		39		54		80		53
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		1		1		1		1		1
AST 4		1		1		1		2		1
AST 3		13		13		13		19		13
AST 2										
AST 1										
AST TOTAL		15		15		15		22		15
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		54		54		69		102		68

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	54		54		69		102		68	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	9	9	13	13
Function Group III		5		
Function Group II	6	6	6	6
Function Group I				
TOTAL	15	20	19	19

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	8	4	4	4

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	10 712 876	15 872 582	19 866 138	13 272 160	-16,38%
- Of which assigned revenues deriving from previous years' surpluses	415 000	708 000	752 000	752 000	6,21%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	10 712 876	15 872 582	19 866 138	13 272 160	-16,38%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 104 396	8 211 815	12 668 389	7 987 189	-2,74%
Salaries & allowances	5 585 345	7 513 545	11 429 314	7 413 545	-1,33%
- Of which establishment plan posts	4 496 722	6 364 651	9 300 250	6 264 651	-1,57%
- Of which external personnel	1 088 623	1 148 894	2 129 064	1 148 894	0,00%
Expenditure relating to Staff recruitment	40 000	50 000	182 400	25 000	-50,00%
Mission expenses	107 473	156 626	182 169	110 744	-29,29%
Socio-medical infrastructure		50 000	61 741	50 000	0,00%
Training	123 938	200 000	324 050	160 000	-20,00%
External Services	170 589	147 900	336 000	147 900	0,00%
Receptions, events and representation	11 623	15 000	16 615	12 000	-20,00%
Social welfare	16 428	27 744	40 000	17 000	-38,73%
Other Staff related expenditure	49 000	51 000	96 100	51 000	0,00%
Title 2 - Infrastructure and operating expenditure	2 281 615	2 693 770	3 766 601	2 318 826	-13,92%
Rental of buildings and associated costs	960 408	981 713	1 211 710	981 713	0,00%
Information, communication technology and data processing	453 666	454 010	1 023 518	454 010	0,00%
Movable property and associated costs	157 992	163 121	302 500	163 121	0,00%
Current administrative expenditure	143 130	144 820	178 251	144 820	0,00%
Postage / Telecommunications	65 600	91 850	102 000	65 600	-28,58%
Meeting expenses	177 591	226 830	253 922	177 591	-21,71%
Running costs in connection with operational activities	31 257	175 000	164 700	40 000	-77,14%
Information and publishing					
Studies	291 971	456 426	530 000	291 971	-36,03%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	2 326 866	4 966 997	3 431 148	2 966 145	-40,28%
TOTAL	10 712 877	15 872 582	19 866 138	13 272 160	-16,38%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				VAR 2017/2016 (%)
	Executed Budget 2015	Budget 2016	Draft Budget 2017		
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 981 090	8 211 815	12 668 389	7 987 189	-2,74%
Salaries & allowances	5 558 146	7 513 545	11 429 314	7 413 545	-1,33%
- Of which establishment plan posts	4 469 523	6 364 651	9 300 250	6 264 651	-1,57%
- Of which external personnel	1 088 623	1 148 894	2 129 064	1 148 894	0,00%
Expenditure relating to Staff recruitment	38 311	50 000	182 400	25 000	-50,00%
Mission expenses	102 668	156 626	182 169	110 744	-29,29%
Socio-medical infrastructure		50 000	61 741	50 000	0,00%
Training	77 817	200 000	324 050	160 000	-20,00%
External Services	141 569	147 900	336 000	147 900	0,00%
Receptions, events and representation	7 406	15 000	16 615	12 000	-20,00%
Social welfare	11 043	27 744	40 000	17 000	-38,73%
Other Staff related expenditure	44 130	51 000	96 100	51 000	0,00%
Title 2 - Infrastructure and operating expenditure	1 491 094	2 693 770	3 766 601	2 318 826	-13,92%
Rental of buildings and associated costs	882 035	981 713	1 211 710	981 713	0,00%
Information, communication technology and data processing	140 800	454 010	1 023 518	454 010	0,00%
Movable property and associated costs	157 030	163 121	302 500	163 121	0,00%
Current administrative expenditure	106 244	144 820	178 251	144 820	0,00%
Postage / Telecommunications	48 102	91 850	102 000	65 600	-28,58%
Meeting expenses	95 149	226 830	253 922	177 591	-21,71%
Running costs in connection with operational activities	18 056	175 000	164 700	40 000	-77,14%
Information and publishing					
Studies	43 678	456 426	530 000	291 971	-36,03%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	963 320	4 966 997	3 431 148	2 966 145	-40,28%
TOTAL	8 435 504	15 872 582	19 866 138	13 272 160	-16,38%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 752 426

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

The Commission supports a total of 68 establishment plan posts. This corresponds to the level of posts foreseen in the Communication for 2017 (53 posts) plus the reinforcement of 15 posts received in 2016.

Financial Resources

The Commission supports a total budget of EUR 13 272 160 for ACER in 2017. This amount is based on the financial resources foreseen for ACER in 2017 in the Communication (EUR 11 492 000) to which a 2% penalty is applied to

take account of ACER's budget under-execution in 2015. A total amount of EUR 2 010 000 is added for the 15 additional posts received in 2016 (calculated on a full-year basis using average costs for 2017).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency has identified that in order to efficiently perform its allocated tasks a total of 33 additional temporary staff and 14 contract agents are required. These are justified as follows:

- Additional 19 staff members for the implementation of Regulation (EU) 1227/2011 on energy market integrity and transparency: The basic monitoring activities already included in the Commission's proposal require a larger number of highly qualified staff than those envisaged in the legislative financial statement of REMIT to enable the Agency to assume an active role in monitoring wholesale energy markets in the Union. The most resource intensive activity will be the analysis of the data reported by market participants and other reporting parties and collected by the Agency. This analysis would inevitably have to be performed by highly qualified experts, who will have to cover trading in all 28 Member States, in energy commodity markets and in energy derivatives, on organised markets and over-the-counter. Therefore, an effective approach to market monitoring would require 12 additional officers and 2 assistants for market surveillance and analytics tasks. Publication of data by the Agency (Article 12 of REMIT) requires 5 additional staff members (2 IT officers and 3 IT assistants).

- Additional 10 staff members in the Electricity and Gas Departments for the implementation of Regulation (EU) No 347/2013 on Guidelines for Trans-European Energy Infrastructure (TEN-E Regulation) : The Agency was assigned 5 staff members for the TEN-E Regulation tasks in 2014, compared to the 14 identified by the Agency as necessary to perform these tasks. The Agency is already striving to maximise synergies with the existing TSO Cooperation teams (4 staff members) which are already responsible, inter alia, for preparing the Agency's opinions on the TYNDPs, the consistency across various plans, the winter and summer supply outlooks of the ENTSOs, the scenario developments and European supply adequacy outlook components of the TYNDP, as well as many other tasks of complex nature. In 2014 the Agency already started to monitor the consistency of the network development planning at different geographical level (EU-wide, regional, national). In 2015, the Agency started to monitor the implementation of individual projects of common interest (PCIs). In total, more than 1000 electricity and gas investments were monitored in the Agency's Opinions published in 2014. The Agency assesses that effective monitoring of PCIs will require additional resources, estimated in 5 additional infrastructure officer positions, 3 in the Electricity Department and 2 in the Gas Department. The Agency has initiated preliminary assessment of an information management and data support system designed to service infrastructure-related tasks. To enable the set-up and proper functioning of such a system, at least 2 additional IT experts will be needed, one in each of the Gas and Electricity Departments. The Agency has the responsibility to decide on investment requests, including on cross-border cost allocation, if the involved NRAs cannot agree on them within six months of the requests being submitted, or upon a joint request by these NRAs. Two additional infrastructure officers are necessary, on average, allocated to this task, one in each of the Gas and Electricity Departments as it is expected that the number of referrals of cross-border cost allocation decisions will increase.

- Additional 4 staff members for horizontal services: The increase in staff numbers and the substantial expansion in the activities of the Agency, including in new areas (REMIT and TEN-E) require some addition to the resources available for central and support functions in the following areas: Legal Advice, Communication and Stakeholders Relations, Procurement and IT Support.

Contract agents: The Agency envisages a total number of 33 contract agents for 2017. Out of these, 13 positions are the result of previously converted SNE positions and a long-term one, which entails the performance of administrative, advisory and equivalent technical tasks, and 20 positions for support and reinforce specific time-limited projects.

Seconded National Experts: No change is expected in the number of SNEs in 2017 compared to 2016.

4.1.2 Vacancy rate as of end 2015

Agency's vacancy rate at end of 2015 was 0 %.

4.1.3 Standard abatement ('abatement forfaitaire') applied

For the 2017 budgetary year it is estimated that all recruitment procedures started during 2016 would be finalised and the occupancy rate would be 100% at the beginning of 2017.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

The used correction coefficient for Slovenia is 81.2%.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The 54.27% increase requested for 2017 compared to 2016 is mainly related to increased staff expenditure (remuneration) for the 33 additional staff requested as from January 2017. This staff is necessary to ensure the implementation of Regulation (EU) 1227/2011 on energy market integrity and transparency (REMIT) (19 additional staff), Regulation (EU) No 347/2013 on Guidelines for Trans-European Energy Infrastructure (TEN-E) (10 additional staff) and 4 additional staff to support the increased volume of horizontal services. It shall be noted that Title 1 also contains the salaries costs of the additional five staff approved in 2016 but for which financial resources were allocated for only half-year. The proportionate increase in the level of staff-related costs within Title 1 reflects the expected level of expenditure for additional human resources requested and includes also the additional expenses with salaries indexation and increase in the number of staff children for which the Agency contributes to their schooling.

4.2.2 Title 2

The 39.83% increase requested for 2017 compared to 2016 is mainly based on the increase in the following expenditures: EUR 577 298 for rental office space related to additional staff recruited during 2016 and requested for 2017 and a higher need for development of IT applications, ICT services and support using intra-muros along with a gradual replacement of IT infrastructure; EUR 76 074 for qualitative studies in support of operational activities in the areas of monitoring methodology, capacity mechanism evaluation, retail markets, EU electricity market model, ICT study and expert consultations; EUR 79 700 for an investment of into information security given the sensitivity of data dealt with by the Agency and EUR 79 700 for legal costs estimated for possible appeals against the Agency's opinion.

4.2.3 Title 3

The decrease of 30.92% foreseen for 2017 compared to 2016 mainly relates to the two-year cycle of REMIT associated expenditure of the hosting fees. This however, has been offset with some increases in the following areas: EUR 66 400 increase in the operational missions costs due to higher activity volume and higher staff number within the operational departments which implies an increase by 22,100 EUR for workshops and workgroup meeting; EUR 103 500 increase in the expenditure linked to redesign of the Agency's website for a better communication between the Agency and its stakeholders and to ensure the security of the confidential data exchanged and EUR 223 848 increase of the translation costs as result from the new requirement of EPSO translations in all 24 official EU languages for all vacancy notices and other translations of the Agency's documents.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	TR3, Ljubljana, offices	
Surface area (in square metres)	3 926,98sqm	
Of which office space	3 401,62sqm	
Of which non-office space	525,36sqm	
Annual rent (in EUR)	791 207,93	In addition: Parking spaces, utilities,

	Name, location and type of building	Other Comment
		security, insurance, cleaning and maintenance costs paid depending on actual consumption and estimated for 2017 at a total of 389 000 EUR
Type and duration of rental contract	Negotiated contract for the period 01/02/2014 – 31/01/2019	
Host country grant or support	None	
Present value of the building	Not applicable	

5.1.2 Building project in the planning phase

Not applicable to ACER.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable to ACER

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<ul style="list-style-type: none"> - inviolability of premises and archives - facilitations for communication Security -direct exemption from taxes 	<ul style="list-style-type: none"> - Staff immunity from Slovenian jurisdiction regarding acts carried out in the official capacity - Exemption from national taxes on salaries and wages - Staff exemption from import taxes and duties on personal effects in the first year - Exemption from social security contribution towards Slovenian schemes - Director and Heads of Departments holding Diplomatic status 	<ul style="list-style-type: none"> - Proposed establishment of schooling facilities for children of agency's staff members and transitional solutions - Government of Slovenia committed to establish a European School in Slovenia. The Agency to pay school fees, on the basis of established references, in the interim period.

5.3 European schools

At this moment no European school is available in Ljubljana, Slovenia. Initiative of the Slovenian government towards establishment of a European school is ongoing.

5.4 Evaluation

An evaluation of the agency in accordance with article 34 of Regulation (EC) 713/2009 has been undertaken by the European Commission with the evaluation report issued on 22 January 2014. The conclusions of the evaluation were as follows: 'Since its establishment, ACER has become a credible and respected institution playing a prominent role in the EU regulatory arena. Overall, ACER has focused on the right priorities. Its annual work programmes and annual activity reports are useful tools to set priorities and report transparently on results achieved. The Commission encourages ACER to plan its activities realistically against the background of available resources, to carry out a mid-term review where appropriate, to include key performance indicators in its Annual Activity Report and to establish a multi-annual programme. Going forward, the Commission encourages ACER to strengthen further its regulatory control over the ENTSOs, including on the implementation of the network codes and Ten Years Network Development Plans. ACER should also play an active role as an arbiter when NRAs disagree or adopt incompatible approaches on cross-border issues, which impede a smooth functioning of the internal energy market.

The governance corresponds to the set up foreseen in the ACER Regulation. The Commission welcomes the positive contribution made by NRAs whilst encouraging some NRAs to take a more active role in the valuable work undertaken by the Agency' Working Groups. Also, as they gain experience, ACER's staff could play a more prominent role in the Working Groups, including by chairing the Groups, as well as in stakeholders' events.

As regards ACER's communication and transparency, the Commission notes in general positive results of the public consultations and important efforts successfully undertaken to improve these aspects. In terms of potential improvements, there is a consensus that ACER's website needs to become more informative and user-friendly.'

A new evaluation of the agency is expected to be carried out by 2018 – 'at least every four years' in accordance with article 34 of Regulation (EC) 713/2009.

2.2.1.2 Decentralised Agency of heading 2 - Sustainable growth: natural resources

2.2.1.2.1 European Environment Agency – EEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) No. 1210/90	07/05/1990	Establishment of the European Environment Agency and the European environment information and observation network - established the objective of the EEA, 10 tasks to be carried out to achieve the objective, and priority areas of work
Council Regulation 933/1999	29/04/1999	Following a first evaluation of the Agency as required in the Founding Regulation: the objective of the EEA was revised to include reference to sustainable development, some of the tasks were revised slightly, three new tasks were added, one priority area of work was added, enabling the management board to be extended to representatives on non-EU countries, the election of a bureau delegated to take executive decisions on behalf of the management board was enabled, an article listing possible further tasks for the Agency was deleted, and requirements for two further evaluations of the Agency were specified.
Regulation (EC) No 1641/2003 of the European Parliament and of the Council (L 245 1 29.9.2003)	22/07/2003	Revised Article 6 to indicate that Regulation (EC) No 1049/2001 of the European Parliament and of the Council of 30 May 2001 regarding access to European Parliament, Council and Commission documents shall apply to documents held by the Agency.

1.2 Seat

Copenhagen, Denmark

1.3 Budget Line

07 02 06 : European Environment Agency

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	65	62	65	63
Establishment plan posts : AST	68	66	65	64
Establishment plan posts : AST/SC				
Total Establishment plan posts	133	128	130	127
Contract Agents	66	61	66	66
Seconded National Experts	20	18	20	20
TOTAL STAFF	219	207	216	213

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	36 309 240	36 309 240
Other Revenue	5 499 994	5 380 066
TOTAL REVENUES	41 809 234	41 689 306

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants		
Delegation agreements	11 000 000	11 000 000
TOTAL REVENUES	11 000 000	11 000 000

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	23 702 234	23 702 234	23 496 381	23 496 381
Title 2 - Infrastructure and operating expenditure	4 197 000	4 197 000	4 197 000	4 197 000
Title 3 - Operational expenditure	13 910 000	13 910 000	13 995 925	13 995 925
TOTAL EXPENDITURE	41 809 234	41 809 234	41 689 306	41 689 306

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		2		2		2		2
AD 13		2		1	1	3	1	3	1	3
AD 12	1	10	1	8		11		12		12
AD 11		10		9		10		11		11
AD 10		10		6		10		11		11
AD 9		10		8		10		11		11
AD 8		10		10		10		8		8
AD 7		7		10		7		4		3
AD 6		2		7						
AD 5										
AD TOTAL	1	64	1	61	1	64	1	63	1	62
AST 11		3				3		3		3
AST 10		3		2		3		4		4

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 9	2	8	1	2	3	8	3	9	3	9
AST 8	1	10	2	7		10		10		10
AST 7		10		9		10		10		10
AST 6		10		6		10		10		10
AST 5		10		11		10		12		12
AST 4		8		13		7		5		3
AST 3		2		7		1				
AST 2		1		5						
AST 1				1						
AST TOTAL	3	65	3	63	3	62	3	63	3	61
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	4	129	4	124	4	126	4	126	4	123
GRAND TOTAL	133		128		130		130		127	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	38	37	38	38
Function Group III	10	11	10	11
Function Group II	18	13	18	17
Function Group I				
TOTAL	66	61	66	66

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	20	18	20	20

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	36 309 240	36 309 240	36 728 260	36 309 240	0,00%
- Of which assigned revenues deriving from previous years' surpluses	1 422 873	752 386	1 142 835	1 142 835	51,89%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	4 163 125	4 203 235	4 203 235	4 109 158	-2,24%
- Of which EEA/EFTA (excl. Switzerland)	1 036 125	1 076 235	1 076 235	982 158	-8,74%
- Of which candidate countries	3 127 000	3 127 000	3 127 000	3 127 000	0,00%
4 OTHER CONTRIBUTIONS (1)	1 245 941	1 296 759	1 295 831	1 270 908	-1,99%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	41 718 306	41 809 234	42 227 326	41 689 306	-0,29%

(1) Contribution of Switzerland

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	7 438 168				
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)		11 000 000	11 000 000	11 000 000	0,00%
TOTAL	7 438 168	11 000 000	11 000 000	11 000 000	0,00%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 882 657	23 702 234	23 896 046	23 496 381	-0,87%
Salaries & allowances	21 110 019	21 987 234	22 225 145	21 825 480	-0,74%
- Of which establishment plan posts	16 441 842	17 217 234	17 318 799	16 919 134	-1,73%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which external personnel	4 668 177	4 770 000	4 906 346	4 906 346	2,86%
Expenditure relating to Staff recruitment	289 389	250 000	250 000	250 000	0,00%
Mission expenses	655 000	700 000	700 000	700 000	0,00%
Socio-medical infrastructure	821 029	760 000	715 901	715 901	-5,80%
Training					
External Services					
Receptions, events and representation					
Social welfare	7 220	5 000	5 000	5 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 219 735	4 197 000	4 197 000	4 197 000	0,00%
Rental of buildings and associated costs	3 391 164	3 215 000	3 215 000	3 215 000	0,00%
Information, communication technology and data processing					
Movable property and associated costs	208 002	270 000	270 000	270 000	0,00%
Current administrative expenditure	397 559	460 000	460 000	460 000	0,00%
Postage / Telecommunications	6 728	12 000	12 000	12 000	0,00%
Meeting expenses					
Running costs in connection with operational activities	206 282	230 000	230 000	230 000	0,00%
Information and publishing					
Studies					
Other infrastructure and operating expenditure	10 000	10 000	10 000	10 000	0,00%
Title 3 - Operational expenditure	14 349 396	13 910 000	14 134 280	13 995 925	0,62%
Resources	13 603 150	13 095 000	13 058 068	13 058 068	-0,28%
Strategic Actions	746 246	815 000	1 076 212	937 857	15,07%
TOTAL	41 451 788	41 809 234	42 227 326	41 689 306	-0,29%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 882 657	23 702 234	23 896 046	23 496 381	-0,87%
Salaries & allowances	21 110 019	21 987 234	22 225 145	21 825 480	-0,74%
- Of which establishment plan posts	16 441 842	17 217 234	17 318 799	16 919 134	-1,73%
- Of which external personnel	4 668 177	4 770 000	4 906 346	4 906 346	2,86%
Expenditure relating to Staff recruitment	289 389	250 000	250 000	250 000	0,00%
Mission expenses	655 000	700 000	700 000	700 000	0,00%
Socio-medical infrastructure	821 029	760 000	715 901	715 901	-5,80%
Training					
External Services					
Receptions, events and representation					
Social welfare	7 220	5 000	5 000	5 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 219 735	4 197 000	4 197 000	4 197 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Rental of buildings and associated costs	3 391 164	3 215 000	3 215 000	3 215 000	0,00%
Information, communication technology and data processing					
Movable property and associated costs	208 002	270 000	270 000	270 000	0,00%
Current administrative expenditure	397 559	460 000	460 000	460 000	0,00%
Postage / Telecommunications	6 728	12 000	12 000	12 000	0,00%
Meeting expenses					
Running costs in connection with operational activities	206 282	230 000	230 000	230 000	0,00%
Information and publishing					
Studies					
Other infrastructure and operating expenditure	10 000	10 000	10 000	10 000	0,00%
Title 3 - Operational expenditure	14 349 396	13 910 000	14 134 280	13 995 925	0,62%
Resources	13 603 150	13 095 000	13 058 068	13 058 068	-0,28%
Strategic Actions	746 246	815 000	1 076 212	937 857	15,07%
TOTAL	41 451 788	41 809 234	42 227 326	41 689 306	-0,29%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 1 142 835.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission indicated that the ultimate objective was to reach 124 establishment posts in 2018, at the end of the 5 year period. The revision of the EEA Regulation will not be completed in 2017 and therefore there is no justification for changing the objective at this stage. In order to reach this objective, the Agency needs to reduce its number of establishment posts by 2% in 2017, which translates into a reduction of 3 posts compared to 2016. The number of establishment posts should therefore be 127 in 2017, with the target of 124 to be reached in 2018.

Financial Resources

In line with the Commission Communication for 2017.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

Temporary agents

The EEA has requested 130 establishment plan posts.

Contract Agents and Seconded National Experts

The number of Contract Agents financed by core funds is expected to stay stable. Variations in the number of non-core Contract Agents may occur depending upon the grant and delegations agreements made with the Commission, and the numbers are only indicative. The number of Seconded National Experts is presently projected to be constant in the period. However, the EEA is registering a significant drop in the number of national experts being put forward by many member countries. The Agency cannot therefore be sure that it will be able to attract the appropriate candidates to be able to maintain the present number of national experts, putting increased pressure on the overall staff resources.

4.1.2 Vacancy rate as of end 2015

The EEA has held back from filling all vacant temporary agent posts as it was clear in the beginning of 2015 that the Commission was proposing additional cuts to the EEA establishment table. Taking the required 2016 reduction of 3 posts into account, the real vacancy rate is below 2 %. With relatively low turn-over rates, and possible further cuts in staff numbers in coming years, the EEA expects the vacancy rate to remain very low.

For Contract Agents the vacancy level at the end of 2015 was heavily influenced by delays in concluding two grant agreements with the European Commission (relating to the European Neighbourhood Initiative and Instrument for Pre-Accession) that generate 9 contract agent positions included in the 'authorised' figure in the table. The contracts were, however, concluded so late in the year that it was not possible to initiate recruitment procedures. Taking this into account, the real number of vacancies at the end of the year was 4, corresponding to 7 %. In 2016 the number of contract agent positions is forecasted to be 70. There is a higher turn-over of Contract Agents as the EEA does not offer indefinite contracts to this category of staff. The EEA expects, however, to be able to keep the vacancy rate well below 10 %. Seconded National Experts stay typically up to four years in the Agency. In recent years it has been increasingly difficult to maintain a constant intake of Seconded National Experts and it is expected that it will be difficult to keep the vacancy rate below 10 %.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n/a

4.1.4 Salary assumption for calculating salary line (% applied)

1% salary adjustment increase

4.1.5 Correction coefficient used

Weighting factor unchanged compared to 2015

4.1.6 Exchange rate used (if applicable)

DKK exchange rate budgeted as unchanged

4.2 Financial Resources - Agency request

4.2.1 Title 1

The increase stemming from the expected salary adjustments related to 2015 and 2016 are included in the draft budget for 2017. Given the uncertainties on these salary adjustments, a potential deficit on budget lines for temporary agent salary will have to be covered by scaling down on recruitment of Contract Agents and Seconded National Experts or by identifying reductions in title 3 (or possibly a combination hereof). The increase in Title 1 expenditures is kept to a minimum by lowering the amount set aside for Seconded National Experts and missions.

4.2.2 Title 2

Expenditure in Title 2 reflects what is considered to be the minimum expenses needed to run essential office facilities and has been kept at nominally the same level since 2014. The 2 % expected inflation rate reductions will be found via efficiency gains or lowering of maintenance costs.

4.2.3 Title 3

Title 3 is the available residual when having secured the expected funds required to finance staff and administrative expenditures. A slight increase compared to previous years is expected for 2017.

4.3 Ad hoc grants and delegation agreements

No new grants or delegation agreements foreseen

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Kongens Nytorv 6 (KN6) Kongens Nytorv 8 (KN8)	Down-town (centrally located) late 19th century buildings – earlier hosting banks and headquarters of Danish companies. EEA is the only tenant in KN6 while only renting a part of KN8
Surface area (in square metres) Of which office space Of which non-office space	KN6 7200m ² ; KN8 2740m ² KN6 6000m ² ; KN8 2460m ² KN6 1000m ² ; KN8 280m ²	
Annual rent (in EUR)	KN6: EUR 1.600.000 KN8: EUR 650.000 Total: EUR 2.250.000	
Type and duration of rental contract	Duration of current contracts until 2018 for both buildings	
Host country grant or support	None	
Present value of the building	Not applicable	

5.1.2 Building project in the planning phase

none

5.1.3 Building projects submitted to the European Parliament and the Council

none

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency has diplomatic status	Agency staff are registered with Danish Protocol. Senior management have full diplomatic status	Agency statutory staff receive education allowances in line with the Staff regulations
The Agency has exemption from VAT. The Agency pays the VAT on Danish invoices then claims it back from the Danish Protocol.	Externally recruited Agency staff can buy one car free of VAT and registration tax within a period of one year as from the date of taking up duties	No specific privileges. Agency staff have access to day care facilities within Denmark but this can be problematic due to staff being registered via Protocol rather than normal CPR system

5.3 European schools

The European School (ES) continues its phased opening in Copenhagen. Following the accreditation of the school in September 2015 and with the first pupil of an EEA staff member now in attendance it was agreed with DG HR in Brussels that at this point a SLA was not necessary and the EEA would deal directly with Copenhagen Kommune with regards to the payment of fees. The first payment has been made for the 2015/2016 academic year. Furthermore, the launch of the build for the dedicated school premises in the Carlsberg district of Copenhagen was held on 27/11/2015 and it is hoped that this build will remain on schedule and the full school will open as expected in Autumn 2018.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority on 5 April 2013.

The main findings of the latest evaluation available are as follows:

“... the evaluation finds that the EEA and Eionet are well established and well-functioning structures, delivering comprehensive and reliable outputs which, to a large extent, satisfy stakeholders' needs. The qualitative assessment

inherent in this evaluation indicates that the EEA continues to be the most effective and efficient solution to providing credible information on the state of the European environment.”

“The analysis on findings regarding the efficiency dimension, documents the fact that the EEA network is efficient in the sense that cooperative relations are strong and solid and efforts have been made to optimise the functioning and work flows in relation to reporting of data. Stakeholders consider that the EEA and the Eionet provide value for money. The evaluation indicates that the EEA runs a modern and efficient network organisation, with limited potential for optimisation in regard to administrative tasks and key operational work flows. Administrative costs are lower than for similar agencies. Cooperation and working relations, between the different organisational units within the network are regarded as efficient and positive. There is a general consensus on this between stakeholders, and the EEA's performance is, in this respect, highly appreciated.”

A new evaluation of the agency is expected to be carried out by 2018.

2.2.1.2.2 European Fisheries Control Agency - EFCA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 768/2005 amended by Council Regulation n° 1224/2009	26 April 2005 amended on 20 November 2009	<p>To coordinate control and inspection by Member States relating to the control and inspection obligations of the EU; to coordinate the deployment of the national means of control and inspection pooled by the Member States concerned in accordance with this Regulation; to assist Member States in reporting information on fishing activities and control and inspection activities to the Commission and third parties; in the field of its competences, to assist Member States to fulfil their tasks and obligations under the rules of the Common Fisheries Policy; to assist Member States and the Commission in harmonising the application of the Common Fisheries Policy throughout the EU; to contribute to the work of Member States and the Commission on research into and development of control and inspection techniques; to contribute to the coordination of inspector training and the exchange of experience between Member States; to coordinate the operations to combat illegal, unreported and unregulated fishing in conformity with EU rules; to assist in the uniform implementation of the control system of the common fisheries policy, including in particular: 1) Organisation of operational coordination of control activities by Member States for the implementation of specific control and inspection programmes, control programmes related to illegal, unreported and unregulated (IUU) fishing and international control and inspection programmes; 2) Inspections as necessary to fulfil the Agency's tasks.</p> <p>Among other competences, after the amendment of the EFCA establishing Regulation by Council Regulation (EC) No 1224/2009:</p> <p>1) Operational coordination by the Agency shall cover control of all activities covered by the Common Fisheries Policy; 2) Officials of the Agency may be assigned in international waters as Union inspectors; 3) The Agency may acquire, rent or charter the equipment that is necessary for the implementation of the joint deployment plans; 4) The Agency shall, where appropriate: (a) issue manuals on harmonised standards of inspections; (b) develop guidance material reflecting the best practices in the field of control of the Common Fisheries Policy, including on the training of control officials, and update this on a regular basis; (c) provide the Commission with the necessary technical and administrative support to carry out its tasks; 5) With due regard to the different legal systems in the individual Member States, the Agency shall facilitate cooperation between Member States and between them and the Commission in the development of harmonised standards for control in accordance with EU legislation and taking into account best practices in Member States and agreed international standards; 6) Upon a notification by the Commission or of its own initiative shall set up an Emergency Unit, where a situation involving direct, indirect or potential serious risk to the Common Fisheries Policy is identified, and the risk cannot be prevented, eliminated, or reduced by existing means or cannot adequately be managed; 7) The Agency shall contribute to the implementation of the EU Integrated Maritime Policy, and in particular conclude administrative agreements with other bodies in matters covered by Council Regulation (EC) No 768/2005 after approval by the Administrative Board.</p>
Commission Decision 2009/988/EU	18 December 2009	<p>By this Decision, the Commission designated the EFCA as the body to carry out certain tasks under the IUU Regulation. These tasks are as follows: transmit notifications, with copy to the Commission, on denials of landing or transhipment authorisations by third country vessels to flag State(s) and, if appropriate copies of these notifications, to Regional Fisheries Management Organisations in accordance with Article 11(3) of Regulation (EC) No 1005/2008; upon request from the Commission, provide for the conduct of on-the-spot audits, alone or in cooperation with the Commission, to verify the effective implementation of agreed</p>

		cooperation arrangements with third countries in accordance with Article 20(4), second subparagraph (c) of Regulation (EC) No 1005/2008; communicate to Member States and flag States, with copy to the Commission, additional information submitted by the Member States to the Commission which is relevant for the establishment of the European Union IUU vessel list in accordance with Article 25(2) of Regulation (EC) No 1005/2008; transmit sightings reports to all Member States, with copy to the Commission, and, if appropriate, to the Executive Secretary of the relevant Regional Fisheries Management Organisation in accordance with Article 48(4) of Regulation (EC) No 1005/2008; transmit to the Executive Secretary of the relevant Regional Fisheries Management Organisation, with copy to the Commission, information from a Member State in response to a sighting report on one of its vessels from a contracting party to that Regional Fisheries Management Organisation in accordance with Article 48(5) of Regulation (EC) No 1005/2008.
Regulation (EU) No 1380/2013 of the European Parliament and of the Council	11 December 2013	This regulation adopted the new Common Fisheries Policy. As regards the Agency: the Union shall, including through the Agency, cooperate with third countries and international organisations dealing with fisheries, including Regional Fisheries Management Organisations, to strengthen compliance with measures, especially those to combat IUU fishing, in order to ensure that measures adopted by such international organisations are strictly adhered to; control and enforcement of the CFP shall in particular be based on and shall include cooperation and coordination between Member States, the Commission and the Agency; the Agency may assist the expert group on compliance meetings as an observer.
Regulation (EC) 1386/2007 of the Council	22 October 2007	This Regulation empowers the Agency to coordinate the surveillance and inspection activities for the EU in the NAFO Regulatory Area and to draw up, in cooperation with the Member States a plan for the EU participation in the international Scheme of control (art. 41).
Commission Implementing Regulation (EU) 433/2012	23 May 2012	This Regulation, in line with the Regulation (EU) 1236/2010 of the European Parliament and the Council, and in relation with the fishing activities in the NEAFC Regulatory Area, designs the Agency to: coordinate the surveillance and inspection activities of the EU in the area; prepare a plan in cooperation with Member States for the EU participation in the International Scheme of control; and receive and prepare reports on inspection and infringement in the NEAFC area.

1.2 Seat

Vigo, Spain

1.3 Budget Line

11 06 64 : European Fisheries Control Agency

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	22	22	22	31
Establishment plan posts : AST	30	30	29	30
Establishment plan posts : AST/SC				
Total Establishment plan posts	52	52	51	61
Contract Agents	5	5	7	7
Seconded National Experts	4	7	2	2
TOTAL STAFF	61	64	60	70

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	9 217 000	17 113 000
Other Revenue	0	0
TOTAL REVENUES	9 217 000	17 113 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 149 000	6 149 000	7 949 000	7 949 000
Title 2 - Infrastructure and operating expenditure	1 330 000	1 330 000	1 496 000	1 496 000
Title 3 - Operational expenditure	1 738 000	1 738 000	7 668 000	7 668 000
TOTAL EXPENDITURE	9 217 000	9 217 000	17 113 000	17 113 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14										
AD 13		2		2		2		2		2
AD 12		2		2		2		3		3
AD 11										
AD 10		3		3		3		3		3
AD 9		6		6		6		6		6
AD 8		5		5		5		14		14
AD 7		1		1		2		2		2

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 6		2		2		1				
AD 5										
AD TOTAL		22		22		22		31		31
AST 11										
AST 10		7		7		7		7		7
AST 9		3		3		3		3		3
AST 8		3		3		3		3		3
AST 7		8		8		8		8		8
AST 6		2		2		2		2		2
AST 5		6		6		6		6		6
AST 4								1		1
AST 3		1		1						
AST 2										
AST 1										
AST TOTAL		30		30		29		30		30
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		52		52		51		61		61
GRAND TOTAL	52		52		51		61		61	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate (1)	Draft Budget 2017 estimate
Function Group IV			2	2
Function Group III	2	2	2	2
Function Group II	3	3	3	3
Function Group I				
TOTAL	5	5	7	7

(1) The final budget 2016 included in total 5 CA following decision of the Administrative Board of EFCA in October 2015 Decision No 15-II-7 concerning the adoption of the MSPP 2016-2018

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate (1)	Draft Budget 2017 estimate
TOTAL	4	7	2	2

(1) The final budget 2016 included in total 2 SNEs following decision of the Administrative Board of EFCA in October 2015 Decision No 15-II-7 concerning the adoption of the MSPP 2016-2018.

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	9 217 000	9 217 000	17 113 000	17 113 000	85,67%
- Of which assigned revenues deriving from previous years' surpluses	260 000	147 235	92 000	92 000	-37,51%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	9 217 000	9 217 000	17 113 000	17 113 000	85,67%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)		p.m.	p.m.	p.m.	
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL		p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 089 195	6 149 000	7 949 000	7 949 000	29,27%
Salaries & allowances	5 790 233	5 810 000	7 204 000	7 204 000	23,99%
- Of which establishment plan posts	5 257 174	5 225 000	6 474 000	6 474 000	23,90%
- Of which external personnel	533 059	585 000	730 000	730 000	24,79%
Expenditure relating to Staff recruitment	91 689	110 000	470 000	470 000	327,27%
Mission expenses	81 000	85 000	103 000	103 000	21,18%
Socio-medical infrastructure	15 000	15 000	18 000	18 000	20,00%
Training	105 809	120 000	144 000	144 000	20,00%
External Services					
Receptions, events and representation	497	2 000	2 000	2 000	0,00%
Social welfare	4 967	7 000	8 000	8 000	14,29%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 348 048	1 330 000	1 496 000	1 496 000	12,48%
Rental of buildings and associated costs	294 013	311 400	392 000	392 000	25,88%
Information, communication technology and data processing	515 960	350 000	488 000	488 000	39,43%
Movable property and associated costs	45 693	31 600	75 000	75 000	137,34%
Current administrative expenditure	22 582	22 000	54 000	54 000	145,45%
Postage / Telecommunications	56 811	65 000	0 (1)	0	-100,00%
Meeting expenses	71 634	62 000	72 000	72 000	16,13%
Running costs in connection with operational activities	274 044	433 000	360 000	360 000	-16,86%
Information and publishing	67 311	55 000	55 000	55 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 742 025	1 738 000	7 668 000	7 668 000	341,20%
TOTAL	9 179 268	9 217 000	17 113 000	17 113 000	85,67%

(1) Under the 2017 budget structure for EFCA, it is proposed to allocate the telecommunication expenses to the ICT expenditure, and the postal charges to the current administrative expenditure.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 033 318	6 149 000	7 949 000	7 949 000	29,27%
Salaries & allowances	5 771 490	5 810 000	7 204 000	7 204 000	23,99%
- Of which establishment plan posts	5 252 413	5 225 000	6 474 000	6 474 000	23,90%
- Of which external personnel	519 077	585 000	730 000	730 000	24,79%
Expenditure relating to Staff recruitment	91 689	110 000	470 000	470 000	327,27%
Mission expenses	70 366	85 000	103 000	103 000	21,18%
Socio-medical infrastructure	8 029	15 000	18 000	18 000	20,00%
Training	86 450	120 000	144 000	144 000	20,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
External Services					
Receptions, events and representation	327	2 000	2 000	2 000	0,00%
Social welfare	4 967	7 000	8 000	8 000	14,29%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 071 127	1 330 000	1 496 000	1 496 000	12,48%
Rental of buildings and associated costs	267 862	311 400	392 000	392 000	25,88%
Information, communication technology and data processing	376 937	350 000	488 000	488 000	39,43%
Movable property and associated costs	35 790	31 600	75 000	75 000	137,34%
Current administrative expenditure	21 927	22 000	54 000	54 000	145,45%
Postage / Telecommunications	51 385	65 000	0 (1)	0	-100,00%
Meeting expenses	71 634	62 000	72 000	72 000	16,13%
Running costs in connection with operational activities	214 100	433 000	360 000	360 000	-16,86%
Information and publishing	31 492	55 000	55 000	55 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 397 418	1 738 000	7 668 000	7 668 000	341,20%
TOTAL	8 501 863	9 217 000	17 113 000	17 113 000	85,67%

(1) Under the 2017 budget structure for EFCA, it is proposed to allocate the telecommunication expenses to the ICT expenditure, and the postal charges to the current administrative expenditure.

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 92 674.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM (2013) 519 of 10.7.2013) and of the Commission proposal for an amendment of EFCA founding regulation (COM (2015) 669 of 15.12.2015).

Human Resources

The request (61 establishment plan posts) is in conformity with the Commission Communication and the Commission proposal. The level of external staff authorised remains at the level of 2016.

Financial Resources

The request (EU contribution of EUR 17 113 000) is in conformity with the Commission Communication and the Commission proposal.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

An amendment to EFCA's founding regulation has been proposed by the Commission (COM (2015) 669 of 15 December 2015) for the assignment of new tasks to the agency and is now being examined by the legislative authority. EFCA will cooperate with the European Border and Coastguard Agency and European Maritime Safety Agency to support national authorities carrying out coastguard functions. The financial statement linked to this initiative includes additional 12 posts in 2017, which leads to a total of 61 temporary agents, taking other staff cuts into account (2 posts).

EFCA has estimated a total of 11 contract agents, and 7 seconded national experts for 2017, subject to budget availability.

4.1.2 Vacancy rate as of end 2015

The vacancy rate for Temporary Agents on 31/12/2015 was 0 (all 52 posts were filled). One additional post has been removed from the establishment plan as from 01/01/2016 (Total of 51 posts). The foreseen five contract agents have been completely filled as well and there are no vacancies on 31.12.2015.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimations on salary expenditures are based on the current staffing situation and entitlement status extrapolated for the future period, and the recruitment plan aiming for full occupancy.

4.1.5 Correction coefficient used

EFCA has estimated its salary budget for 2017 considering the current correction coefficient with an increase of 2%.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The expenditure under Title I has been estimated taking in consideration a full occupation of the 61 posts indicated under the draft establishment plan for 2017. This includes the costs related to the new TA staff being recruited under the European Coast Guard tasks assigned to EFCA starting in 2017. The significant increase in chapter 12 (expenditure related to recruitment and installation) corresponds to the installation costs of the new staff, which is mainly concentrated in the 1st and 2nd years of new staff contracts. Both administrative missions and training cost estimation has been increased by around 20% for an increase in needs due to new staff.

4.2.2 Title 2

The rental/maintenance of the building agreement was renegotiated in 2013, which allowed for savings in 2014 and 2015 under chapter 20. In the case of 2017, EFCA estimates an increase in rental costs due to the office space needed for the new staff being recruited. The increase in chapter 21 (now named ICT and Telecommunications) is due to 2 main reasons: 1) The telecommunications budget lines has been moved from chapter 24 to 21 (around €60,000); 2) The increase in staff will require an investment in new ICT equipment and licenses, as well as the telecommunication consumption starting in 2017. The cost in movable property and current administrative expenditure has been increased to accommodate the purchase of furniture and conditioning of office space due to the increase in staff. Please note that EFCA has eliminated chapter 24 (Postage / Telecommunications) from its budget structure, following a rationalisation of the budget structure for 2017. Although the regular supplementary Services (all SLAs and agreements with the Commission and other agencies; PMO, ABAC, e-Prior, Sysper, etc..) will in fact increase in 2017, it shows a decrease in comparison to 2016, due to the particular expenditure in 2016 budget concerning contract for the 5 year evaluation of EFCA.

4.2.3 Title 3

EFCA has acquired further responsibilities within the context of the European Coast Guard, which will increase the operational budget in 2017 by €5.9 million. This increase is composed mainly by the following new elements: 1) Sharing of assets and other capabilities, mainly the chartering of control means; 2) Sharing information generated by fusing and analysing data in systems hosted or accessible to agencies; 3) Other activity such as Capacity Building, surveillance and communication services. The chapter related to "Acquisition of Means" will remain as p.m.

4.3 Ad hoc grants and delegation agreements

There are 3 initiatives at the moment for providing EFCA with ad-hoc grants:

- Pilot project for the collaboration in the EU coast guard capacity. DG MARE Budget 2016 includes 750 000 euro for this purpose. The derogation to allow EFCA to receive part of this budget as an ad-hoc grant is currently being processed.

- EFCA's financial regulation was amended so that the agency may receive ad hoc grants for capacity building, monitoring, control and surveillance activities in third countries. Further to COM Decision to authorise EFCA to derogate to agency's financial regulation (C(2015) 9572 of 17 December 2015), DG DEVCO showed its willingness to provide EFCA with such a grant. The amounts and specific details are still under discussion.

- Pilot project for modernising fisheries controls through the use of innovative European systems. DG MARE Budget includes 500 000 euro for this purpose in 2016. EFCA could receive part of this sum in order to help Member States' authorities in their verification of catch certificates by providing them access to electronic data sources offering information on movements of fishing vessels flagged in Member States and in third countries that caught products destined for export to the European Union.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Odriozola Building Av. Garcia Barbón, 4 – 36201 Vigo (Pontevedra) Spain	Occupation of 7 floors (2nd to 7th) + Main entrance on ground floor. Main surface of ground floor occupied by a private bank
Surface area (in square metres) of which office space of which non-office space	Total surface area: ~ 3.200 m ² Office spaces and meeting rooms: ~ 2.900 m ² Ancillary surfaces (circulations, toilets, cafeteria, archives, etc.): ~ 300 m ²	
Annual rent (in EUR)	Rent (and building annex costs) 7th floor rent agreement + security arrangement on basement (with owner): 52.680,00 € Building general maintenance agreement (with owner): 10.500,00 €	
Type and duration of rental contract	7th floor: yearly renewable (tacitly) Security arrangement: for the duration of the occupation of the building by EFCA Building maintenance: yearly renewable (tacitly)	
Host country grant or support	Rent of floors 2 to 6, main entrance and 23 parking spaces paid directly by Spanish Authorities to the building owner.	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

There is an increase of staff foreseen in 2017 (subject to final approval by the EP and Council of the amendment of EFCA's founding regulation). EFCA would amend the current agreement with the owner of the building to include the conditions related to the occupation of the 1st floor to accommodate the additional staff.

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exempted (invoices >300€)	VAT exempted for vehicle purchases, special ID cards	

5.3 European schools

n/a

5.4 Evaluation

In line with Art.39 of the Founding Regulation of the Agency, a 5 year external independent evaluation of the Agency was commissioned by the EFCA Administrative Board for the period 2007-2011. The next 5 year external independent evaluation for the period 2012-2016 is scheduled to start in 2016.

The evaluation 2007-2011 assessed the impact of the Founding Regulation, the utility, relevance and effectiveness of the Agency and its working practices. It assessed the extent to which it has contributed to the achievement of a high level of compliance with rules made under the Common Fisheries Policy. The evaluation results were presented in March 2012.

The Report indicates that the overall assessment of the governance and performance of the EFCA has been positive. On the whole, governance arrangements have worked well. Considering the agency's limited resources, its operation in the politically sensitive environment of fisheries policy, and current Member State budget constraints, performance against the evaluation criteria of relevance, and effectiveness, impact and sustainability, can be considered promising. The Evaluation also commended the agency for its administrative efficiency.

The Administrative Board has issued recommendations to the Commission regarding changes to the Founding Regulation, the Agency and its working practices. Both the evaluation findings and recommendations were forwarded by the Commission to the European Parliament and the Council, and were made public (http://www.efca.europa.eu/pages/home/docs_basicdocs.htm).

The recommendations issued by the Administrative Board have been taken into consideration in the multiannual and annual work programmes of the Agency. A summary of the main developments under each recommendation is provided in the annual reports of the Agency.

2.2.1.3 Decentralised Agency of heading 3 - Security and citizenship

2.2.1.3.1 European Centre for Disease Prevention and Control - ECDC

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 851/2004 of the European Parliament and of the Council	21/04/2004	<p>ECDC Vision: A future where all citizens of the EU enjoy the best protection from communicable diseases that the “state of the art” prevention and control measures allow, through the use of evidence based methods applied by Member States and the EU system in a mutually supportive manner.</p> <p>Article 3 of the Founding Regulation defines the mission, tasks and modes of operations of ECDC. The essence of this Article is: that ECDC’s current mission should concentrate on communicable diseases (and outbreaks of unknown origin); that ECDC should be a proactive centre of excellence as regards information and scientific knowledge on all aspects of communicable diseases that relate to their detection, prevention and control; that ECDC; last but not least, should be an agent of change , by actively supporting the whole EU system and its Member States in their efforts to strengthen their capacity to improve CD Prevention and Control.</p> <p>Further on the regulations stipulates that (article 3): ‘In order to enhance the capacity of the EU and the Member States to protect human health through the prevention and control of human disease, the mission of the Centre shall be to identify, assess and communicate current and emerging threats to human health from communicable diseases. In the case of other outbreaks of illness of unknown origin which may spread within or to the EU, the Centre shall act on its own initiative until the source of the outbreak is known. In the case of an outbreak which clearly is not caused by a communicable disease, the Centre shall act only in cooperation with the competent authority upon request from that authority. In pursuing its mission the Centre shall take full account of the responsibilities of the Member States, the Commission and other EU agencies, and of the responsibilities of international organisations active within the field of public health, in order to ensure comprehensiveness, coherence and complementarity of action’.</p> <p>Within the field of its mission, the Centre shall: search for, collect, collate, evaluate and disseminate relevant scientific and technical data; provide scientific opinions and scientific and technical assistance including training; provide timely information to the Commission, the Member States, EU agencies and international organisations active within the field of public health; coordinate the European networking of bodies operating in the fields within the Centre’s mission, including networks arising from public health activities supported by the Commission and operating the dedicated surveillance networks; and exchange information, expertise and best practices, and facilitate the development and implementation of joint actions.</p>
COM/2008/741 SEC 2008 2792	13/11/2008	The report of the external evaluation confirms that ECDC succeeded in establishing itself as a centre of scientific excellence and that it brings added value in the prevention and control of communicable diseases....

1.2 Seat

Stockholm, Sweden

1.3 Budget Line

17 03 10 : European Centre for Disease Prevention and Control

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	131	112	129	127
Establishment plan posts : AST	59	59	57	55
Establishment plan posts : AST/SC				
Total Establishment plan posts	190	171	186	182
Contract Agents	100	99	100	100
Seconded National Experts	5	2	5	5
TOTAL STAFF	295	272	291	287

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	56 766 000	56 766 000
Other Revenue	1 481 650	1 426 000
TOTAL REVENUES	58 247 650	58 192 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants		0
Delegation agreements		0
TOTAL REVENUES		0

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 722 265	32 722 265	30 115 000	30 115 000
Title 2 - Infrastructure and operating expenditure	7 244 000	7 244 000	9 795 000	9 795 000
Title 3 - Operational expenditure	18 281 385	18 281 385	18 282 000	18 282 000
TOTAL EXPENDITURE	58 247 650	58 247 650	58 192 000	58 192 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		6				7		8		7
AD 13		5		1		6		7		6
AD 12		8		4		10		12		10
AD 11		14		4		16		18		16
AD 10		22		5		23		24		23
AD 9		25		10		25		25		32
AD 8		18		33		19		18		18
AD 7		18		1		16		13		13
AD 6		14		14		6		1		1
AD 5				40						
AD TOTAL		131		112		129		127		127
AST 11		3				2		2		2
AST 10		1				3		4		4
AST 9		2				3		4		4
AST 8		6				7		8		8
AST 7		10		4		11		12		12
AST 6		15		2		16		16		16
AST 5		17		12		14		9		9
AST 4		5		28		1				
AST 3										
AST 2				5						
AST 1				8						
AST TOTAL		59		59		57		55		55
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		190		171		186		182		182

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	190		171		186		182		182	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	49	49	49	50
Function Group III	37	38	37	38
Function Group II	11	10	11	10
Function Group I	3	2	3	2
TOTAL	100	99	100	100

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	5	2	5	5

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	53 391 906	56 766 000	56 766 000	56 766 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	0	3 083 000	5 079 000	5 079 000	64,74%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 583 285	1 481 650	1 426 000	1 426 000	-3,76%
- Of which EEA/EFTA (excl. Switzerland)	1 583 285	1 481 650	1 426 000	1 426 000	-3,76%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	54 975 191	58 247 650	58 192 000	58 192 000	-0,10%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)			0	0	100%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)			0	0	100%
TOTAL			0	0	100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	30 372 185	32 722 265	30 115 000	30 115 000	-7,97%
Salaries & allowances	26 468 220	29 017 265	26 795 000	26 795 000	-7,66%
- Of which establishment plan posts	20 490 593	22 342 949	20 735 000	20 735 000	-7,20%
- Of which external personnel	5 977 627	6 674 316	6 060 000	6 060 000	-9,20%
Expenditure relating to Staff recruitment	451 986	385 000	370 000	370 000	-3,90%
Mission expenses	656 140	700 000	650 000	650 000	-7,14%
Socio-medical infrastructure	99 277	170 000	100 000	100 000	-41,18%
Training	385 109	400 000	400 000	400 000	0,00%
External Services	2 256 685	2 010 000	1 765 000	1 765 000	-12,19%
Receptions, events and representation	54 768	40 000	35 000	35 000	-12,50%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 901 930	7 244 000	9 795 000	9 795 000	35,22%
Rental of buildings and associated costs	3 190 983	3 277 000	3 277 000	3 277 000	0,00%
Information, communication technology and data processing	3 013 325	2 897 000	4 555 000	4 555 000	57,23%
Movable property and associated costs	51 088	129 000	1 063 000	1 063 000	724,03%
Current administrative expenditure	172 056	330 000	330 000	330 000	0,00%
Postage / Telecommunications	215 604	251 000	261 000	261 000	3,98%
Meeting expenses	258 874	360 000	309 000	309 000	-14,17%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	17 701 076	18 281 385	18 282 000	18 282 000	0,00%
TOTAL	54 975 191	58 247 650	58 192 000	58 192 000	-0,10%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	30 372 185	32 722 265	30 115 000	30 115 000	-7,97%
Salaries & allowances	26 468 220	29 017 265	26 795 000	26 795 000	-7,66%
- Of which establishment plan posts	20 490 593	22 342 949	20 735 000	20 735 000	-7,20%
- Of which external personnel	5 977 627	6 674 316	6 060 000	6 060 000	-9,20%
Expenditure relating to Staff recruitment	451 986	385 000	370 000	370 000	-3,90%
Mission expenses	656 140	700 000	650 000	650 000	-7,14%
Socio-medical infrastructure	99 277	170 000	100 000	100 000	-41,18%
Training	385 109	400 000	400 000	400 000	0,00%
External Services	2 256 685	2 010 000	1 765 000	1 765 000	-12,19%
Receptions, events and representation	54 768	40 000	35 000	35 000	-12,50%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 901 930	7 244 000	9 795 000	9 795 000	35,22%
Rental of buildings and associated costs	3 190 983	3 277 000	3 277 000	3 277 000	0,00%
Information, communication technology and data processing	3 013 325	2 897 000	4 555 000	4 555 000	57,23%
Movable property and associated costs	51 088	129 000	1 063 000	1 063 000	724,03%
Current administrative expenditure	172 056	330 000	330 000	330 000	0,00%
Postage / Telecommunications	215 604	251 000	261 000	261 000	3,98%
Meeting expenses	258 874	360 000	309 000	309 000	-14,17%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	17 701 076	18 281 385	18 282 000	18 282 000	0,00%
TOTAL	54 975 191	58 247 650	58 192 000	58 192 000	-0,10%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 5 079 603.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission accepts the request of the ECDC as it is in line with the July 2013 Communication.

Financial Resources

The Commission accepts the request of the ECDC as it is in line with the July 2013 Communication. The ECDC directly applied the 2% reduction in staff, being 4 Temporary Agents (2 AD and 2 AST), and also applied the penalties due to the level of the 2015 budget implementation. However, the ECDC will be moving in early 2018 and preparatory works are already necessary in 2017. Therefore, The Commission is proposing to maintain the 2017 subsidy at the level of the July 2013 Communication, i.e. EUR 56.76 million, earmarking some EUR 2 million for the new building costs.

The 2015 outturn of EUR 5.1 million is to be deducted from the 2017 contribution, so the net contribution will be EUR 51.7 million.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The budget 2017 is forecasted to accommodate the salaries and salary related costs of the implemented establishment plan and the Centre's contract staff. The reductions of four posts are taken into account in the forecast for 2017 (two AD and 2 AST).

4.1.2 Vacancy rate as of end 2015

With 171 posts filled on 31.12.2015 (including offers accepted) and considering the establishment plan of 186 posts on 1.1. 2016, the Centre's vacancy rate on that date is 8%. Please note that 2 vacancies will not be filled as they are foreseen for reduction in 2017. Taking this into consideration, the Centre's vacancy rate is effectively 7%. Furthermore, the pending appointment, and subsequent vacancy, of the Director influenced a delay in a number of senior post recruitments, which is the reason for an increase in the vacancy rate compared to the previous year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

The significant decrease in Title 1, compared to 2016, accommodates the future building project of the Centre, which will have a significant impact on the budget in 2017/ 2018. The majority of the decrease will occur in budget line 1190 Weightings applied to remunerations, whilst the impact on this budget line remains an unknown and unpredictable macro-economic part of the ECDC's budget planning and its execution. This is due to the fact that the correction coefficient applied to the salaries in Sweden, is driven, to a large extent, by the fluctuations of the Swedish krona.

4.2.2 Title 2

The significant increase in this title foreseen in the budget for 2017 is due to the fact that ECDC plans to move to new premises in early 2018. Therefore, the budget in 2017 is increased in the following chapters: Chapter 21 Information and communication technology: ECDC is aiming to relocate to new premises early 2018 and therefore the equipment turnover lifecycle of many ICT Backend infrastructure systems, has been aligned and prolonged for an exchange by the end of 2017. This will lead to an investment peak of ICT infrastructure and related services for the new building of EUR 1 695 000 in 2017. At the same time ECDC will no longer invest in the current premises, thus the relative increase for hardware and other equipment will be approx. EUR 1,25 million for the new building. Chapter 22 Movable property and associated costs An increase is foreseen for EUR 964 450 in 2017 due to the need to purchase new furniture.

4.2.3 Title 3

The amount of Title 3 will remain at the same level. Title 3 will be used to implement ECDC work programme activities through external procurements, grants and meetings.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	<p>Main Building 5.974 sqm - Office space: 2.607 sqm - Non office space 3.367 sqm</p> <p>New Building: 2.355 sqm - Office space: 1.240 sqm - Non office space 1.115 sqm</p> <p>Guest House: 744 sqm - Office space: 378 sqm - Non office space 366 sqm</p> <p>Mobile Office: 394 sqm - Office space: 250 sqm - Non office space 144 sqm</p>	<p>Office space includes: offices, meeting rooms, boardroom, auditorium and EOC.</p> <p>Non-office space includes: corridors, stairs, toilettes, storage areas, server rooms, technical rooms, canteen, cafeteria and basement.</p>
Surface area (in square metres) Of which office space Of which non-office space	<p>Total surface: 9.467 sqm - Office space: 4.475 sqm - Non office space 4.992 sqm</p>	
Annual rent (in EUR)	1.772.521,73 €	Actual amount paid in 2015. The contract is signed in local currency (16.472.124,00 SEK in 2015 including indexation)
Type and duration of rental contract	Expiration date 31/5/18 with automatic renewal for 3 years if no termination notice by 31/7/16.	
Host country grant or support	No. Host Country doesn't grant any support.	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

There is a building project ongoing aiming at moving to new premises in the spring 2018. The expected future total surface will be between 7500 and 8500 sqm.

5.1.3 Building projects submitted to the European Parliament and the Council

The new building project has been submitted to the Budget Committees of the European Parliament and of the Council. It has received a favourable opinion from both committees in June 2016.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency enjoys the privileges stipulated in the Protocol on the Privileges and Immunities of the European Communities (Articles 1 to 4 of the Protocol)	<p>Articles 12 to 16 of the Protocol on the Privileges and Immunities of the European Communities are applicable to the staff of the Centre. This includes:</p> <p>1) Immunity from jurisdiction as regards acts carried out by them in their official capacity. 2) Exemption from regulations restricting immigration and formalities for the registration of foreigners. 3) Right to import household effects from their last country of residence or from the country of which they are nationals The Director of the Centre and the Deputy to the Director</p>	Family members of staff have access to day care/education in accordance with Swedish legislation.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	together with their families are granted the immunities and privileges accorded to heads of diplomatic missions and members of their families.	

5.3 European schools

There are a number of alternatives regarding international schooling within the region where the Centre is situated (international schools, German, British, French, Finnish schools). There is no European school in Stockholm. Public schools, whether Swedish or international, are free of charge. Private school fees are high; although national grants per student reduce fees. However, the private International School situated in the Stockholm City Centre charges very high fees and the double educational allowance only covers a minimal part of the fees of this school. There has been no special agreement set with any particular school. It should be noted that the seat agreement between the Centre and the Swedish government provides for the possibility to consider a European section or school.

5.4 Evaluation

ECDC's Founding Regulation requires the Centre to organise external evaluations every five years to assess how well it is performing its mission. The Second Independent External Evaluations of ECDC, conducted by a consortium led by the Rome-based consultancy Economisti Associati, was concluded during 2014. The period looked at in the evaluation was 2008–2012, therefore progress made in 2013–2014 was not taken into account.

The report was discussed in the Management Board and the Board adopted a set of recommendations for action in response to the evaluation in its meeting in June 2015. Based on the evaluation and the recommendations of the Board, ECDC developed an action plan for the implementation of actions. The action plan was approved by the Management Board in November 2015.

The external evaluation is available on ECDC website:

<http://www.ecdc.europa.eu/en/aboutus/Key%20Documents/ECDC-external-evaluation-2014.pdf>

2.2.1.3.2 European Food Safety Authority - EFSA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 178/2002	28/01/2002	<p>EFSA is the cornerstone of the EU system of risk assessment for food and feed safety. Its scientific advice on existing and emerging risks underpins the policies and decisions of risk managers in the European Institutions and EU Member States with the objective of protecting consumer health. The Authority's most critical commitment is to provide objective, transparent and independent advice and clear communication grounded in the most up-to-date scientific methodologies, information and data available. The Authority is committed to the core standards of scientific excellence, openness, transparency, independence and responsiveness.</p> <p>EFSA brings together Europe's best available experts in risk assessment in the field of food and feed safety, who act in an independent capacity for an autonomous, self-governed organisation to provide the European EU, Member States and the European Parliament with scientific advice of the highest standard.</p> <p>EFSA works closely with national food safety agencies and in open consultation with its stakeholders, proactively seeking input, ensuring the transparency of its procedures and exchanging information with international partners. This puts EFSA in a strong position to assist risk managers in developing coordinated and international approaches based on comprehensive and current analysis.</p> <p>EFSA is a responsive and reliable source of support for decision makers. Through its Scientific Committee, Scientific Panels and Working Groups, the Authority undertakes to respond quickly and proactively to urgent issues and emerging risks and EFSA's programming will continue to evolve and adapt in line with the priorities and needs of risk managers.</p> <p>Communicating on risks associated with the food chain is a key part of EFSA's mandate. EFSA, in close cooperation with the European Commission, strives to ensure all interested parties receive timely, reliable, objective and meaningful information based on the results of its scientific work, in liaison with national food safety authorities and stakeholders, and taking account of the needs of different audiences.</p> <p>EFSA will continue working independently, openly and transparently to deliver the best possible scientific advice and therefore contribute to strengthening the European food and feed safety system.</p>

1.2 Seat

Parma, Italy

1.3 Budget Line

17 03 11 : European Food Safety Authority

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	237	217	230	227
Establishment plan posts : AST	100	110	100	96
Establishment plan posts : AST/SC				
Total Establishment plan posts	337	327	330	323
Contract Agents	110	103	125	125
Seconded National Experts	20	16	15	15
TOTAL STAFF	467	446	470	463

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	77 164 000	78 533 000
Other Revenue	2 076 848	2 123 800
TOTAL REVENUES	79 240 848	80 656 800

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	40 244 000	40 244 000	40 244 000	40 244 000
Title 2 - Infrastructure and operating expenditure	9 915 666	9 915 666	9 915 350	9 915 350
Title 3 - Operational expenditure	29 254 334	29 080 391	29 264 693	30 497 450
TOTAL EXPENDITURE	79 414 000	79 240 057	79 424 043	80 656 800

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		2		1		2		2		2
AD 13		2		1		2		2		2
AD 12	1	15		5	1	15	1	16	1	16
AD 11		11		5		11		11		11
AD 10	1	16		8	1	16	1	17	1	17
AD 9	1	41		26	1	42	1	42	1	42
AD 8		53		59		54		54		54
AD 7	1	59	4	46	1	57	1	56	1	56
AD 6	1	21	1	45	1	17	1	15	1	15
AD 5		11		16		8		6		6
AD TOTAL	5	232	5	212	5	225	5	222	5	222
AST 11										
AST 10										
AST 9										
AST 8		3				3		3		3
AST 7		4		2		4		4		4
AST 6		8		1		9		9		9
AST 5		29		15		30		30		30
AST 4		29		35		26		23		23
AST 3		25		19		25		25		25
AST 2		2		35		3		2		2
AST 1				3						
AST TOTAL		100		110		100		96		96
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	5	332	5	322	5	325	5	318	5	318

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	337		327		330		323		323	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	60	66	74	75
Function Group III	9	4	12	13
Function Group II	40	32	38	36
Function Group I	1	1	1	1
TOTAL	110	103	125	125

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	20	16	15	15

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	76 545 921	77 164 000	78 533 000	78 533 000	1,77%
- Of which assigned revenues deriving from previous years' surpluses	918 000	1 089 000	738 000	738 000	-32,23%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	2 202 400	2 076 848	2 123 800	2 123 800	2,26%
- Of which EEA/EFTA (excl. Switzerland)	2 202 400	2 076 848	2 123 800	2 123 800	2,26%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	83 347				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	83 347				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	78 831 668	79 240 848	80 656 800	80 656 800	1,79%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	600 000				
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	600 000				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	39 437 578	40 244 000	40 244 000	40 244 000	0,00%
Salaries & allowances	34 423 457	35 537 000	35 566 000	35 566 000	0,08%
- Of which establishment plan posts	28 677 262	28 416 000	28 489 547	28 489 547	0,26%
- Of which external personnel	5 746 195	7 121 000	7 076 453	7 076 453	-0,63%
Expenditure relating to Staff recruitment	674 234	499 000	530 000	530 000	6,21%
Mission expenses	201 000	235 000	235 000	235 000	0,00%
Socio-medical infrastructure	228 624	288 000	298 000	298 000	3,47%
Training	813 413	850 000	810 000	810 000	-4,71%
External Services	2 025 350	1 720 000	1 640 000	1 640 000	-4,65%
Receptions, events and representation	1 851	5 000	5 000	5 000	0,00%
Social welfare	1 069 649	1 110 000	1 160 000	1 160 000	4,50%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	11 844 042	9 915 666	9 915 350	9 915 350	-0,00%
Rental of buildings and associated costs	7 406 212	5 485 800	5 485 484	5 485 484	-0,01%
Information, communication technology and data processing	3 461 702	3 222 772	3 222 772	3 222 772	0,00%
Movable property and associated costs	105 419	65 000	65 000	65 000	0,00%
Current administrative expenditure	255 838	350 000	350 000	350 000	0,00%
Postage / Telecommunications	519 313	678 000	678 000	678 000	0,00%
Meeting expenses	93 406	102 094	102 094	102 094	0,00%
Running costs in connection with operational activities					
Information and publishing	2 152	12 000	12 000	12 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	28 222 696	29 254 334	29 264 693	29 264 693	0,04%
Regulated Products					
Risk Assessment	16 762 837	15 038 589	17 670 000	17 670 000	17,50%
Scientific Cooperation & Strategy					
Communication	2 726 362 (1)	4 718 000	2 814 179	2 814 179	-40,35%
Operational support	8 733 497	9 497 745	8 780 514	8 780 514	-7,55%
TOTAL	79 504 316	79 414 000	79 424 043	79 424 043	0,01%

(1) The amounts for the years include expense on cooperation

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	38 480 426	40 244 000	40 244 000	40 244 000	0,00%
Salaries & allowances	34 405 842	35 537 000	35 566 000	35 566 000	0,08%
- Of which establishment plan posts	28 677 262	28 416 000	28 489 547	28 489 547	0,26%
- Of which external personnel	5 728 580	7 121 000	7 076 453	7 076 453	-0,63%
Expenditure relating to Staff recruitment	562 292	499 000	530 000	530 000	6,21%
Mission expenses	183 084	235 000	235 000	235 000	0,00%
Socio-medical infrastructure	169 523	288 000	298 000	298 000	3,47%
Training	484 393	850 000	810 000	810 000	-4,71%
External Services	1 613 132	1 720 000	1 640 000	1 640 000	-4,65%
Receptions, events and representation	1 351	5 000	5 000	5 000	0,00%
Social welfare	1 060 809	1 110 000	1 160 000	1 160 000	4,50%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	9 161 188	9 915 666	9 915 350	9 915 350	-0,00%
Rental of buildings and associated costs	6 052 790	5 485 800	5 485 484	5 485 484	-0,01%
Information, communication technology and data processing	2 518 918	3 222 772	3 222 772	3 222 772	0,00%
Movable property and associated costs	73 044	65 000	65 000	65 000	0,00%
Current administrative expenditure	109 451	350 000	350 000	350 000	0,00%
Postage / Telecommunications	317 769	678 000	678 000	678 000	0,00%
Meeting expenses	87 064	102 094	102 094	102 094	0,00%
Running costs in connection with operational activities					
Information and publishing	2 152	12 000	12 000	12 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	22 745 214	29 080 391	30 497 450	30 497 450	4,87%
Regulated Products					
Risk Assessment	14 632 180	16 428 246	18 880 000	18 880 000	14,92%
Scientific Cooperation & Strategy					
Communication	1 956 097 (1)	3 154 400	2 836 936	2 836 936	-10,06%
Operational support	6 156 937	9 497 745	8 780 514	8 780 514	-7,55%
TOTAL	70 386 828	79 240 057	80 656 800	80 656 800	1,79%

(1) The amounts for 2015, 2016 and 2017 include expense on cooperation as well.

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 738 000.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission accepts the request of EFSA as it is in line with the July 2013 Communication.

Financial Resources

The Commission accepts the request of the EFSA as it is in line with the July 2013 Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A 2 % reduction in the establishment plan is incorporated (-7 posts). The number of CA's and SNE's does not vary by comparison to 2016 where five additional Contractual Agents were substituted to 5 SNE's.

4.1.2 Vacancy rate as of end 2015

3.0 % (4.1 % in 2014) on the establishment plan.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

1.0 % assumption re salary indexation in 2017

4.1.5 Correction coefficient used

Incorporation of the reduction from 100.4 % to 99.4 % of the weighting coefficient for Italy.

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

In 2017, it is expected that the 7 posts staff reduction in the establishment plan and the reduction of the weighting coefficient will partly be counterbalanced by (i) the salary inflation rate (EUR 0.73 million), (ii) the contractual agents (EUR 0.07 million). The budget requested under Title I will therefore be kept at the same level than 2016.

4.2.2 Title 2

The budget in Title II (Infrastructure) will remain at the same level than the 2016 budget. The EUR 9.91 million mainly covers building acquisition costs (EUR 1.8 million) and IT expenditure dedicated to the implementation of the IT Strategy aiming at reducing the number of in-house applications towards increased reliance on off-the-shelf software's an investment in telecommunication equipment easing tele-conferences.

4.2.3 Title 3

Title 3 commitment appropriations remain at the same level than in 2016 (EUR 29.26 million). However the budget resources dedicated to the scientific cooperation program and the cooperation with Member States were increased by EUR 0.8 million and the operational cost decreased by the same amount. The appropriations under Title III are differentiated appropriations. Whereas in previous years the payment appropriations needed were lower than the commitment appropriations, for 2017 the payment appropriations needed under Title 3 will be higher than the commitment appropriations by EUR 1.23 million. This reflects the differentiated nature of the payment credits linked to the scientific cooperation program and will enable the Agency to honour its obligations from past and current years.

4.3 Ad hoc grants and delegation agreements

The agreement between EFSA and DG DEVCO regarding the European Neighbourhood Program was extended to 2017. An amount of EUR 0.6 million was received in October 2015 to cover 26 months agreement. EFSA has since years implemented activities and received grants from DG NEAR to support the Pre-accession programme in the field of food and feed safety. As a natural continuation of these activities, EFSA now participates and supports the ENPI programme managed by DG DEVCO by implementing the EU Neighbourhood Policy in the fields under its remit.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EFSA Seat, Parma, office building	EFSA Seat was acquired on 19 December 2011.
Surface area (in square metres) Of which office space Of which non-office space	27.500 m ² total 14.200 m ² office space 1.600 m ² restaurant / kitchen 11.700 m ² Parking, basement and technics	
Annual rent (in EUR)	1.8 M€	
Type and duration of rental contract	25 years, ending December 2036	
Host country grant or support	0	
Present value of the building	26.76 M€	Capital value remaining due at 31/12/2015

5.1.2 Building project in the planning phase

n/a

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
In the Seat Agreement Italy committed to apply to the Authority the privileges and immunities provided for in the Protocol on the privileges and immunities of the European Communities, signed in Brussels on 8 April 1965	The Executive Director of the Authority and members of the senior management team, their spouse and dependent family members are granted privileges and immunities, facilities and concessions granted by the Italian Government to members of equivalent rank in the diplomatic corps in Italy	
The Authority, its assets and funds, wherever they may be, are immune – in the performance of the official activities – from any form of legal proceedings and are not the subject of any administrative or legal measure of constraint	Staff is exempt from national taxes on salaries, wages and emoluments paid by the Authority	
The premises and the buildings used by the Authority as well as the archives are inviolable	Staff is immune from legal proceedings in respect of acts performed by them in the exercise of official duties	

5.3 European schools

A contribution to the EU accredited School in Parma worth EUR 1.03 million, was paid from the EFSA's budget in 2015. The amount to be paid in 2016 and 2017 has been increased in the draft budget to cover a foreseen slight increase in the number of pupils and children.

5.4 Evaluation

An evaluation of the agency has been submitted to the Budgetary Authority in September 2012 by the international auditors Ernst and Young.

The report noted the high quality of EFSA's scientific outputs and risk communication activities. Drawing upon the conclusions and recommendations of the external evaluation report and taking into account the results of the consultation with EFSA's staff, Advisory Forum, Scientific Committee and Stakeholders, the Management Board

identifies four key areas which it wishes to be further acted upon as a matter of priority during the period 2013-2017: ensure long-term sustainability of EFSA's operations; increase trust by continuing to ensure independence and enhancing transparency and openness; further enhance EU risk assessment capacity, and strengthen clarity and accessibility of EFSA Communication. For each of these areas, the Management Board specified in December 2012 the directions on which it wishes EFSA to focus its efforts. This document is readily available on EFSA web page under the Management Board section. EFSA will describe the practical implementation of the recommendations of the Management Board in its Multiannual Plan 2014-2016. The Management Board will monitor the progress on the implementation of these recommendations in particular in the context of the annual and multiannual management plan life cycles.

The final report delivered in September 2012 was sent to the Budgetary Authority on 5 September 2012. A new evaluation of the agency is expected to be carried out in 2017, the results are to be submitted to the European Parliament and the Council in 2018.

2.2.1.3.3 European Medicines Agency - EMA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EC) No 2309/93/EC amended to Regulation (EC) 726/2004 of the European Parliament and the Council of 31 March 2004	24/08/1993	Founding Regulation: In order to promote the protection of human and animal health and of consumers of medicinal products throughout the Community, and in order to promote the completion of the internal market through the adoption of uniform regulatory decisions based in scientific criteria concerning the placing on the market and the use of medicinal products, the objectives of the Agency shall be to provide the Member States and the institutions of the Community with the best possible scientific advice on any question relating to the evaluation of the quality, the safety, and the efficacy of medicinal products for human and veterinary use, which is referred to it in accordance with the provisions of the Community legislation relating to medicinal products.
Council Regulation (EC) No 297/95 of 10 February 1995	15/02/1995	Regulation on fees payable to the European Medicines Agency laying down the fee levels and its implementation for centrally authorised medicinal products.
Regulation (EC) No 141/99 of European Parliament and the Council of 16 December 1999	20/01/2000	Orphan Medicines Regulation laying down a Community procedure for the designation of medicinal products as orphan medicinal products and to provide incentives for the research, development and placing on the market of designated orphan medicinal products.
Directive 2001/82/EC of European Parliament and the Council of 6 November 2001	28/11/2001	Community code relating to veterinary medicinal products
Directive 2001/83/EC of European Parliament and the Council of 6 November 2001	28/11/2001	Community code relating to medicinal products for human use
Directive 2004/24/EC of the European Parliament and the Council of 31 March 2004	30/04/2004	Traditional herbal medicinal products Directive amending, as regards traditional herbal medicinal products, Directive 2001/83/EC on the Community code relating to medicinal products for human use
Commission Regulation (EC) No 2049/2005 of 15 December 2005	16/12/2005	SME Regulation laying down rules regarding the payment of fees to, and the receipt of administrative assistance from, the European Medicines Agency by micro, small and medium-sized enterprises
Regulation (EC) No 1901/2006 of the European Parliament and the Council of 12 December 2006	26/01/2007	Paediatrics Regulation laying down rules concerning the development of medicinal products for human use in order to meet the specific therapeutic needs of the paediatric population, without subjecting the paediatric population to unnecessary clinical or other trials and in compliance with Directive 2001/20/EC.
Commission Regulation 658/2007 of 14 June 2007	15/06/2007 applicable from 04/07/2007	Financial penalties for infringement of certain obligations in connection with marketing authorisations granted under Regulation (EC) No 726/2004.
Regulation (EC) No 1394/2007 of the European Parliament and the Council of 13 November 2007	30/12/2007	Advance Therapies Regulation laying down specific rules concerning the authorisation, supervision and pharmacovigilance of advanced therapy medicinal products.
Commission Regulation 1234/2008 of 24 November 2008	01/01/2009 applicable from 01/01/2010	Variations Regulation laying down provisions concerning the examination of variations to the terms of the following marketing authorisations for medicinal products for human use and veterinary medicinal products.
Regulation (EC) No 470/2009 of the European Parliament and the Council of 6 May 2009	16/06/2009 applicable from 05/07/2009	Community procedures for the establishment of residue limits of pharmacologically active substances in foodstuff of animal origin.

Commission Regulation (EC) No 668/2009	24/07/2009	Implementing Regulation (EC) No 1349/2007 with regards to the evaluation and certification of quality and non-quality data relating to advanced therapies medicinal products [...] developed by SMEs.
Regulation (EU) No 1235/2010 of the European Parliament and the Council of 15 December 2010	01/01/2011 applicable from 02/07/2012	Pharmacovigilance Regulation amending Regulation (EC) No 726/2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use .. and Regulation (EC) No 1394/2007 on advanced therapy medicinal products.
Directive 2011/62/EC of the European Parliament and the Council of 8 June 2011 amending Directive 2001/83/EC	21/07/2011 applicable for EMA from 28/12/2012	Anti-falsification Directive on the Community code relating to medicinal products for human use, as regards the prevention of the entry into the legal supply chain of falsified medicinal products
Regulation (EU) No 536/2014 of the European Parliament and the Council of 16 April 2014	Applicable not earlier than 28 May 2016	Regulation on Clinical trials on medicinal products for human use, and repealing Directive 2001/20/EC
Regulation (EU) No 658/2014 of the European Parliament and the Council of 15 May 2014	15/05/2014 applicable from 26/08/2014	Pharmacovigilance Fee Regulation laying down fees payable to the European Medicines Agency for the conduct of pharmacovigilance activities in respect of medicinal products for human use.

1.2 Seat

London, UK

1.3 Budget Line

17 03 12 01 : Union contribution to the European Medicines Agency

17 03 12 02 : Special contribution for orphan medicinal products

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	340	333	343	340
Establishment plan posts : AST	259	254	259	256
Establishment plan posts : AST/SC				
Total Establishment plan posts	599	587	602	596
Contract Agents	130	156	160	168
Seconded National Experts	50	33	25	35
TOTAL STAFF	779	776	787	799

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	26 425 000	37 241 680
Other Revenue	298 286 000	297 635 000
TOTAL REVENUES	324 711 000	334 876 680

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	122 674 000	122 674 000	129 071 680	129 071 680
Title 2 - Infrastructure and operating expenditure	49 577 000	49 577 000	47 318 000	47 318 000
Title 3 - Operational expenditure	152 460 000	152 460 000	158 487 000	158 487 000
TOTAL EXPENDITURE	324 711 000	324 711 000	334 876 680	334 876 680

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		4		3		4		4		4
AD 14		6		5		6		6		6
AD 13		9		9		9		11		11
AD 12		42		37		42		40		40
AD 11		37		36		38		40		40
AD 10		40		39		44		43		43
AD 9		36		36		37		42		42
AD 8		52		51		54		53		53
AD 7		52		51		54		61		61
AD 6		36		36		37		37		37
AD 5		26		30		18		3		3
AD TOTAL		340		333		343		340		340
AST 11		2		2		2		2		2
AST 10		5		5		5		6		6
AST 9		7		6		7		7		7
AST 8		16		16		16		16		16

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7		19		18		19		19		19
AST 6		39		38		39		43		43
AST 5		42		41		43		43		43
AST 4		49		50		49		52		52
AST 3		43		41		47		45		45
AST 2		37		37		32		23		23
AST 1						0				
AST TOTAL		259		254		259		256		256
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		599		587		602		596		596
GRAND TOTAL		599		587		602		596		596

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	48	55	64	67
Function Group III	13	20	15	16
Function Group II	69	81	81	85
Function Group I			0	0
TOTAL	130	156	160	168

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	50	33	25	35

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	251 490 173	276 961 000	291 017 000	291 017 000	5,08%
2 EU CONTRIBUTION	33 380 215	26 425 000	40 029 000	37 241 680	40,93%
- Of which assigned revenues deriving from previous years' surpluses	1 499 357	1 950 000	12 766 680	12 766 680	554,70%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	554 458	676 000	1 105 000	763 000	12,87%
- Of which EEA/EFTA (excl. Switzerland)	554 458	676 000	1 105 000	763 000	12,87%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	255 138	249 000	265 000	265 000	6,43%
5 ADMINISTRATIVE OPERATIONS	1 135 183	1 090 000	1 090 000	1 090 000	0,00%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT	17 303 622	19 310 000	4 500 000	4 500 000	-76,70%
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	304 118 789	324 711 000	338 006 000	334 876 680	3,13%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	103 650 672	122 674 000	132 201 000	129 071 680	5,22%
Salaries & allowances	94 091 431	102 365 000	111 622 000	108 492 680	5,99%
- Of which establishment plan posts	87 773 034	96 413 000	104 562 000	101 432 680	5,21%
- Of which external personnel	6 318 397	5 952 000	7 060 000	7 060 000	18,62%
Expenditure relating to Staff recruitment					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Mission expenses	623 414	640 000	650 000	650 000	1,56%
Socio-medical infrastructure	782 736	870 000	880 000	880 000	1,15%
Training					
External Services	5 105 420	6 962 000	6 541 000	6 541 000	-6,05%
Receptions, events and representation	137 167	40 000	38 000	38 000	-5,00%
Social welfare	528 383	483 000	505 000	505 000	4,55%
Other Staff related expenditure	2 382 121	11 314 000	11 965 000	11 965 000	5,75%
Title 2 - Infrastructure and operating expenditure	49 421 885	49 577 000	47 318 000	47 318 000	-4,56%
Rental of buildings and associated costs	30 262 654	28 244 000	25 904 000	25 904 000	-8,28%
Information, communication technology and data processing	16 522 169	18 023 000	17 911 000	17 911 000	-0,62%
Movable property and associated costs	1 337 402	1 785 000	1 915 000	1 915 000	7,28%
Current administrative expenditure	1 144 954	1 288 000	1 346 000	1 346 000	4,50%
Postage / Telecommunications	108 228	183 000	185 000	185 000	1,09%
Meeting expenses	46 478	54 000	57 000	57 000	5,56%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	142 081 620	152 460 000	158 487 000	158 487 000	3,95%
Meetings	7 993 130	9 435 000	9 808 000	9 808 000	3,95%
Evaluation of medicines	107 951 698	119 142 000	124 002 000	124 002 000	4,08%
Translation expenses	3 741 676	5 270 000	5 279 000	5 279 000	0,17%
Studies and consultants	8 150 848	7 862 000	8 553 000	8 553 000	8,79%
Information and publication	14 244 268	10 751 000	10 845 000	10 845 000	0,87%
TOTAL	295 154 177	324 711 000	338 006 000	334 876 680	3,13%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	103 650 672	122 674 000	132 201 000	129 071 680	5,22%
Salaries & allowances	94 091 431	102 365 000	111 622 000	108 492 680	5,99%
- Of which establishment plan posts	87 773 034	96 413 000	104 562 000	101 432 680	5,21%
- Of which external personnel	6 318 397	5 952 000	7 060 000	7 060 000	18,62%
Expenditure relating to Staff recruitment					
Mission expenses	623 414	640 000	650 000	650 000	1,56%
Socio-medical infrastructure	782 736	870 000	880 000	880 000	1,15%
Training					
External Services	5 105 420	6 962 000	6 541 000	6 541 000	-6,05%
Receptions, events and representation	137 167	40 000	38 000	38 000	-5,00%
Social welfare	528 383	483 000	505 000	505 000	4,55%
Other Staff related expenditure	2 382 121	11 314 000	11 965 000	11 965 000	5,75%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 2 - Infrastructure and operating expenditure	49 421 885	49 577 000	47 318 000	47 318 000	-4,56%
Rental of buildings and associated costs	30 262 654	28 244 000	25 904 000	25 904 000	-8,28%
Information, communication technology and data processing	16 522 169	18 023 000	17 911 000	17 911 000	-0,62%
Movable property and associated costs	1 337 402	1 785 000	1 915 000	1 915 000	7,28%
Current administrative expenditure	1 144 954	1 288 000	1 346 000	1 346 000	4,50%
Postage / Telecommunications	108 228	183 000	185 000	185 000	1,09%
Meeting expenses	46 478	54 000	57 000	57 000	5,56%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	142 081 620	152 460 000	158 487 000	158 487 000	3,95%
Meetings	7 993 130	9 435 000	9 808 000	9 808 000	3,95%
Evaluation of medicines	107 951 698	119 142 000	124 002 000	124 002 000	4,08%
Translation expenses	3 741 676	5 270 000	5 279 000	5 279 000	0,17%
Studies and consultants	8 150 848	7 862 000	8 553 000	8 553 000	8,79%
Information and publication	14 244 268	10 751 000	10 845 000	10 845 000	0,87%
TOTAL	295 154 177	324 711 000	338 006 000	334 876 680	3,13%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 12 766 680.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission accepts the request of EMA as it is in line with the July 2013 Communication.

Financial Resources

The Commission accepts the request of EMA as it is in line with the July 2013 Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The Agency's post request for 2017 is in line with the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013, i.e. 596 posts (593 as per communication + 3 extra posts provided through the budgetary procedure 2016). This represents a reduction of 6 posts compared to 2016. Taking account of the continuous increase in fee related workload, EMA requests an increase in Contract Agents by 23 FTEs, partially compensated by a decrease in seconded National Experts by -5 FTEs. Overall this makes for an increase in staff of 1.5% versus an increase in fee-related activities and income of +4.5%.

	2014 Outturn	2015 Outturn	2016 Budget	2017 DB
	€'000	€'000	€'000	€'000
Total revenue	271,786	304,119	324,711	337,671
- of which fees+charges and other	222,945	254,679	280,677	301,808
- change in fee revenue (excluding inflationary impact)	10.8%	14.2%	10.2%	7.5%
Staff				
TA	580	587	602	596
CA	116	156	145	168
SNE	18	33	40	35
Total	714	776	787	799
Increase n/n-1	3.3%	8.6%	1.5%	1.5%

4.1.2 Vacancy rate as of end 2015

As at the end of 2015 the Agency occupied 587 of the 599 established posts for the year with Temporary Agents resulting in a vacancy rate of 2.0%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

For 2017 the Agency expects four retirements resulting in a standard abatement of 0.7%.

4.1.4 Salary assumption for calculating salary line (% applied)

In calculating the salary lines for 2017, a 1% increase in basic salaries was assumed and an overall 100% occupancy for all posts throughout the year 2017 taking account of an occupancy rate of 98.0% as of 31.12.2015. Furthermore, progression in step was calculated with an assumed impact of 3.6%.

4.1.5 Correction coefficient used

In calculating the London weighting a factor of 170.0 throughout 2017 was assumed, extrapolated from the variance applied from July 2015.

4.1.6 Exchange rate used (if applicable)

In calculating staff, as well as infrastructure related GBP expenditure, an exchange rate of 0.71 GBP per 1 Euro was assumed

4.2 Financial Resources - Agency request

4.2.1 Title 1

Overall increase of EUR 6.4 million (+5.2%) over 2016. This increase relates to: 1) an increase in salary costs for existing staff (allowing for step-increases and promotions as outlined in the multi-annual programming document) including an adjustment in London weighting (see 4.1.4/4.1.5); 2) 100% occupancy on all 596 posts, 168 FTE Contact Agents and 35 FTE National Experts making for 12 additional staff; 3) miscellaneous additional cost, e.g. crèche and schooling.

4.2.2 Title 2

Overall decrease of EUR 2,259,000 (-4.6%) compared to 2016, which is mainly due to an expected further decrease in building infrastructure costs.

4.2.3 Title 3

Increase of EUR 6,027,000 (+4.0%) compared to 2016. The allocations allow for: increased payments to National Competent Authorities (NCA) for the evaluation of medicines in line with the current scale of fees/payments; maintaining provisions for operational database development at 2016 levels (budget line 3105 EUR 10.6 million).

4.3 Ad hoc grants and delegation agreements

EMA has some minor grant agreements with IMI (Innovative Medicines Initiative) and FP7 programmes totalling EUR 265,000 in 2017. The respective grants agreements were signed before the revised Framework Financial Regulation came into force (01.01.2014) whereby grants can only be received if allowed for in the founding regulation. EMA does not have a provision in its founding regulation for such grants and therefore will not sign any further grant agreements until its founding regulation is amended. The remaining grants, due to minor amounts are treated as 'current budget' (C1) and are adopted by the Board as part of the budgetary procedure and from 01.01.2016 are no longer treated as assigned revenue.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Basement, promenade and 1st – 10th floor, 30 Churchill Place, London, E14 5EU, Multi-tenant office building	
Surface area (in square metres) Of which office space Of which non-office space	26,450 - 18,448 - 8,002	The building is a multi-tenanted office premises and EMA occupies parts of the basement, ground and promenade levels and level 1 through to level 10
Annual rent ((in EUR at GBP 0.71/EUR))	EUR 22.1 million - Rent: EUR 17,060,000 - Service Charge: EUR 5,059,000	Rent and service charges as per PDB2017 excluding level 10, which will become payable from 2018. Average annual rent and charges (over 25 year) calculated at the exchange rate at the time of EP/Council submission (5.1.3 below) amount to EUR 19.5 million.
Type and duration of rental contract	The lease term is 25 years from 1 July 2014	
Host country grant or support	none	
Present value of the building	Not applicable	EMA is tenant of occupied floors

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

The financial impact of 'Project 2014' over the term of the lease, including Basement to Level 10 is estimated to be EUR 565,218,810, compared to the initial EUR 554,600,000 which corresponds to an annual impact of EUR 424,752, in line with the information provided the European Commission in January 2015 in regards to Preliminary Draft Budget 2016. Please note that the Euro values are based on a GBP/EUR exchange rate of GBP 0.858117/EUR which corresponds with the European Parliament buildings questionnaire submitted by the Agency in April 2011.

The fitting out of the office floor on Level 10, of 30 Churchill Place, which is part of the overall 'Project 2014' Agency relocation project, and which is included in the total surface area above, was completed and the Agency took possession of the floor in September 2015 as envisaged.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education day care
Agency has diplomatic status	Staff do not pay national taxes on their Union salary	UK European School is 103 km from Agency's location and therefore not suitable for Agency's staff. In 2008 the Agency introduced a model for payment of part of the school fees

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education day care
Agency can recover VAT, IPT and Airport Departure Tax from the host country	Internationally recruited staff can buy a tax-free vehicle within the first 12 months of taking up service subject to payment of residual VAT on its sale.	Agency does not have a day care facility but provides financial contribution using the same rules as EC for nursery contribution to European School kindergarten.
Agency pays reduced business rates (equivalent of Council tax)	Internationally recruited staff are exempt from road tax for up to 2 cars.	

5.3 European schools

Not applicable, there is no European School in London.

5.4 Evaluation

In 2009 the European Commission commissioned an assessment of the European Medicines Agency to evaluate the effectiveness and efficiency of the system dedicated to the provision of marketing authorisations for human and veterinary medicinal products fulfilling the basic requirements of quality, safety and efficacy. The evaluation was conducted by Ernst & Young between January and December 2009 and the final report was published on the Pharmaceuticals website on 7 April 2010 (at the time of DG ENTR).]

In general the study provides firm evidence from an independent external consultant on very good performance of the Agency. Since its creation in 1993, the EMA has made considerable progress in maintaining an effective European authorisation system for human and veterinary medicinal products and gained great consideration from all stakeholders, at European as well as at international level. EMA opinions are considered of a very high quality from a scientific point of view and the Agency has become a leading actor in establishing international standards. EMA Secretariat together with 44 National Competent Authorities create an effective network providing for the most relevant experts.

The evaluation led to both strategic and operational recommendations to optimise the system and remove the possible barriers that prevent from an efficient and sustainable functioning of the Agency.

A new evaluation of the agency is expected to be carried out by 2019.

2.2.1.3.4 *European Agency for the Management of Operational Cooperation at the External Borders - FRONTEX*

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 2007/2004	26/10/2004	<p>Main tasks:</p> <p>1. The Agency shall perform the following tasks: (a) coordinate operational cooperation between Member States in the field of management of external borders; (b) assist Member States on training of national border guards, including the establishment of common training standards; (c) carry out risk analyses; (d) follow up on the development of research relevant for the control and surveillance of external borders; (e) assist Member States in circumstances requiring increased technical and operational assistance at external borders; (f) provide Member States with the necessary support in organising joint return operations; (g) deploy Rapid Border Intervention Teams to Member States.</p>
Regulation (EC) No 863/2007	11/07/2007	<p>1. This Regulation establishes a mechanism for the purposes of providing rapid operational assistance for a limited period to a requesting Member State facing a situation of urgent and exceptional pressure, especially the arrival at points of the external borders of large numbers of third-country nationals trying to enter the territory of the Member State illegally, in the form of Rapid Border Intervention Teams (hereinafter referred to as teams). This Regulation also defines the tasks to be performed and powers to be exercised by members of the teams during operations in a Member State other than their own.</p> <p>2. This Regulation amends Regulation (EC) No 2007/2004 as a result of the establishment of the mechanism referred to in paragraph 1 and with a view to defining the tasks to be performed and powers to be exercised by border guards of the Member States participating in joint operations and pilot projects in another Member State.</p>
Regulation (EU) No 1168/2011	25/10/2011	<p>1. This Regulation (EU) No 1168/2011 of 25 October 2011 amends Council Regulation (EC) No 2007/2004 establishing a European Agency for the Management of Operational Cooperation at the External Borders of the Member States of the European Union.</p> <p>2. This Regulation amends the existing Frontex Regulation by adding the following new tasks to Frontex: Assessment of the capacity of Member States to face threats and pressure at the external borders; Set up European Border Guard Teams that are deployed during joint operation, pilot projects and rapid interventions; Organisation of joint return operations; Develop and operate information systems, including the Information and Coordination Network established by Council Decision 2005/267/EC; Provide necessary assistance to the development and operation of EUROSUR and, as appropriate, of CISE; Draw up and develop a Code of Conduct applicable to all operations coordinated by Frontex; Draw up and develop a Fundamental Rights Strategy; Establish and facilitate a Consultative Forum for fundamental rights; Designate a Fundamental Rights Officer.</p> <p>Furthermore, the existing tasks are developed as follows:</p> <p>Frontex shall be ready to acquire or lease technical equipment for external</p>

Decision	Date	Mission/ Tasks / Functions
		border control on its own or in co-ownership with a Member State; Frontex shall draw up an operational plan for each of its operational activities; Frontex shall be ready to receive seconded guest officers from Member States; Frontex shall take necessary initiatives to ensure that all border guards and other personnel shall have received training in relevant laws, including fundamental rights and access to international protection; Frontex shall proactively monitor and contribute to the R&D activities relevant to the control and surveillance of external borders; Frontex shall develop and operate an information system capable of exchanging classified information and personal data; Frontex shall be ready to process personal data for its risk analysis and joint return operations purposes as well as for transmission to EUROPOL and other EU law enforcement agencies; Frontex shall be ready to deploy/receive liaison officers in/from third countries as well as to launch and finance assistance projects in those countries.

1.2 Seat

Warsaw, Poland

1.3 Budget Line

18 02 03 : European Agency for the Management of Operational Cooperation at the External Borders (Frontex)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	157	95	157	262
Establishment plan posts : AST	70	54	68	90
Establishment plan posts : AST/SC				
Total Establishment plan posts	227	149	225	352
Contract Agents	87	79	87	147
Seconded National Experts	78	80	78	141
TOTAL STAFF	392	308	390	640

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	238 686 000	281 267 000
Other Revenue	15 349 000	17 953 000
TOTAL REVENUES	254 035 000	299 220 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants	p.m.	p.m.
Delegation agreements	p.m.	p.m.
TOTAL REVENUES	p.m.	p.m.

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	30 636 000	30 636 000	43 321 000	43 321 000
Title 2 - Infrastructure and operating expenditure	14 502 000	14 502 000	14 713 000	14 713 000
Title 3 - Operational expenditure	208 897 000	208 897 000	241 186 000	241 186 000
Title 4 - Earmarked Expenditure	p.m.	p.m.	p.m.	p.m.
TOTAL EXPENDITURE	254 035 000	254 035 000	299 220 000	299 220 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		0		1		1		1
AD 14		1		1		1		1		1
AD 13		4		4		4		4		4
AD 12		11		8		11		11		11
AD 11		8		8		8		8		8
AD 10		6		7		6		6		6
AD 9		8		2		8		8		8
AD 8		55		46		55		55		55
AD 7		29		9		29		29		29
AD 6		21		7		21		21		21
AD 5		13		3		13		13		118
AD TOTAL		157		95		157		157		262
AST 11										
AST 10										
AST 9										
AST 8		5		4		5		5		5
AST 7		11		10		11		11		11
AST 6		15		11		14		15		15
AST 5		20		20		20		18		18

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4		14		5		14		11		11
AST 3		5		4		4		5		30
AST 2										
AST 1										
AST TOTAL		70		54		68		65		90
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		227		149		225		222		352
GRAND TOTAL	227		149		225		222		352	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	18	19	18	59
Function Group III	46	37	46	65
Function Group II	10	10	10	10
Function Group I	13	13	13	13
TOTAL	87	79	87	147

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	78	80	78	141 (1)

(1) The 2017 draft budget request consists of 86 SNE posts requested by the agency. 55 SNE posts have been added by the Commission to arrive at the total of 141 SNE posts which are foreseen in the proposed Regulation on the European Border and Coast Guard (COM(2015)671). The recruitment of the additional SNE staff will not start before the adoption of the Regulation.

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	133 528 000	238 686 000	238 686 000	281 267 000	17,84%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	8 726 000	15 249 000	15 488 000	17 853 000	17,08%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	8 726 000	15 249 000	15 488 000	17 853 000	17,08%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	3 707 157				
5 ADMINISTRATIVE OPERATIONS	655 458	100 000	100 000	100 000	0,00%
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>		100 000	100 000	100 000	0,00%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	146 616 615	254 035 000	254 274 000	299 220 000	17,79%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	707 157	p.m.	p.m.	p.m.	
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	3 000 000	p.m.	p.m.	p.m.	
TOTAL	3 707 157	p.m.	p.m.	p.m.	

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	21 214 967	30 636 000	30 636 000	43 321 000	41,41%
Salaries & allowances	19 831 147	28 350 000	28 350 000	40 350 000	42,33%
<i>- Of which establishment plan posts</i>	13 062 548	19 850 000	19 850 000	27 850 000	40,30%
<i>- Of which external personnel</i>	6 768 599	8 500 000	8 500 000	12 500 000	47,06%
Expenditure relating to Staff recruitment	76 861	286 000	286 000	731 000	155,59%
Mission expenses	374 000	500 000	500 000	500 000	0,00%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	4 594	70 000	70 000	70 000	0,00%
Training	212 999	460 000	460 000	700 000	52,17%
External Services	714 695	950 000	950 000	950 000	0,00%
Receptions, events and representation					
Social welfare	671	20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	8 437 422	14 502 000	14 713 000	14 713 000	1,45%
Rental of buildings and associated costs	2 336 269	5 355 000	5 355 000	5 355 000	0,00%
Information, communication technology and data processing	4 252 782	6 890 000	6 890 000	6 890 000	0,00%
Movable property and associated costs	171 988	163 000	163 000	163 000	0,00%
Current administrative expenditure	792 509	825 000	925 000	925 000	12,12%
Postage / Telecommunications	73 000	80 000	80 000	80 000	0,00%
Meeting expenses	389 026	515 000	515 000	515 000	0,00%
Running costs in connection with operational activities					
Information and publishing	421 848	674 000	785 000	785 000	16,47%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	113 464 818	208 897 000	208 925 000	241 186 000	15,46%
Joint Operations	95 685 328	119 795 000	119 795 000	134 795 000	12,52%
Risk Analysis, Situation Center & EUROSUR	10 961 045	13 680 000	13 680 000	13 680 000	0,00%
Training	3 377 000	5 000 000	5 000 000	5 000 000	0,00%
Research and Development; EUROSUR	884 562	1 100 000	1 100 000	1 100 000	0,00%
Pooled resources	1 345 000	1 100 000	1 100 000	11 100 000	909,09%
Miscellaneous operational activities	906 232	1 070 000	1 070 000	1 070 000	0,00%
Supporting operational activities	305 651	40 000	60 000	60 000	50,00%
Return Support		66 557 000	66 560 000	66 560 000	0,00%
Third countries and EU cooperation		555 000	560 000	7 821 000	1309,19%
Title 4 - Earmarked Expenditure	2 987 739	p.m.	p.m.	p.m.	
External Relations	87 739	p.m.	p.m.	p.m.	
Copernicus	2 900 000	p.m.	p.m.	p.m.	
TOTAL	146 104 946	254 035 000	254 274 000	299 220 000	17,79%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	21 069 227	30 636 000	30 636 000	43 321 000	41,41%
Salaries & allowances	19 831 071	28 350 000	28 350 000	40 350 000	42,33%
- Of which establishment plan posts	13 062 548	19 850 000	19 850 000	27 850 000	40,30%
- Of which external personnel	6 768 523	8 500 000	8 500 000	12 500 000	47,06%
Expenditure relating to Staff recruitment	61 115	286 000	286 000	731 000	155,59%
Mission expenses	339 204	500 000	500 000	500 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	1 594	70 000	70 000	70 000	0,00%
Training	178 728	460 000	460 000	700 000	52,17%
External Services	656 844	950 000	950 000	950 000	0,00%
Receptions, events and representation					
Social welfare	671	20 000	20 000	20 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 230 782	14 502 000	14 713 000	14 713 000	1,45%
Rental of buildings and associated costs	2 132 178	5 355 000	5 355 000	5 355 000	0,00%
Information, communication technology and data processing	1 693 188	6 890 000	6 890 000	6 890 000	0,00%
Movable property and associated costs	150 671	163 000	163 000	163 000	0,00%
Current administrative expenditure	594 692	825 000	925 000	925 000	12,12%
Postage / Telecommunications	56 184	80 000	80 000	80 000	0,00%
Meeting expenses	310 021	515 000	515 000	515 000	0,00%
Running costs in connection with operational activities					
Information and publishing	293 848	674 000	785 000	785 000	16,47%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	73 243 203	208 897 000	208 925 000	241 186 000	15,46%
Joint Operations	61 047 974	119 795 000	119 795 000	134 795 000	12,52%
Risk Analysis, Situation Center & EUROSUR	8 055 787	13 680 000	13 680 000	13 680 000	0,00%
Training	1 892 329	5 000 000	5 000 000	5 000 000	0,00%
Research and Development; EUROSUR	539 443	1 100 000	1 100 000	1 100 000	0,00%
Pooled resources	862 344	1 100 000	1 100 000	11 100 000	909,09%
Miscellaneous operational activities	645 910	1 070 000	1 070 000	1 070 000	0,00%
Supporting operational activities	199 416	40 000	60 000	60 000	50,00%
Return Support		66 557 000	66 560 000	66 560 000	0,00%
Third countries and EU cooperation		555 000	560 000	7 821 000	1309,19%
Title 4 - Earmarked Expenditure	61 668	p.m.	p.m.	p.m.	
External Relations	61 668	p.m.	p.m.	p.m.	
Copernicus	0	p.m.	p.m.	p.m.	
TOTAL	99 604 880	254 035 000	254 274 000	299 220 000	17,79%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4 Justification of needs

Commission assessment

In addition to the 2017 EU contribution proposed by Frontex (EUR 238,686 million), the Commission requests an amount of EUR 42,581 million in light of the proposed Regulation (COM(2015) 671 on the European Border and Coast Guard has been adopted, which brings the total subsidy to EUR 281,267 million as set out in the legislative financial statement (LFS) accompanying the proposal for the Borders Package, which is expected to be adopted in the course of 2016.

The Commission supports the number of posts requested in Frontex' establishment plan and the number of external personnel posts which correspond to the staff numbers for the year 2017 set out in the LFS on the proposed Regulation on the Borders Package. The recruitment of the additional posts will not start before the adoption of the Regulation.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

For 2017 no new staff was included in the Establishment plan adopted by Frontex Management Board on the 26 November 2015. The proposed number of temporary agents (TA) posts takes into account the level foreseen in the 2016 Budget (225 posts), minus the staff reduction of 3 TA posts which were initially foreseen in the COM(2013)519.

4.1.2 Vacancy rate as of end 2015

In 2015 Frontex observed vacancy rate of 25%, which comes from the fact that Frontex has been granted additional posts: 76 TA, 4 contract agents (CA) and 8 seconded national experts (SNE) in June and November 2015. The recruitments were launched in the 3rd and 4th quarter of 2015 and have not been finalized before the end of the year.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The calculation of staff expenditure is based on an average per person per month and a number of agent's man-months worked in the agency in 2016 corrected for annual adjustments and by reclassification amounts.

4.1.5 Correction coefficient used

74.1%

4.1.6 Exchange rate used (if applicable)

4.1522

4.2 Financial Resources - Agency request

4.2.1 Title 1

The expenditure for salaries & allowances remains at the same level as 2016 and includes the usual yearly indexing, the estimated correction coefficient factor, the 1% reduction policy and the entire use of the Frontex establishment plan (TA) as well as external personnel (CA & SNE). Additional new posts: 76 TA, 4 CA and 8 SNE were granted in June and November 2015. The recruitment for some of them has already been initiated in 2015 and continues in 2016 as well as using the reserve lists to fill new posts. The number of TA was reduced by 3 posts in 2017 compared to 2016 following the Communication on programming of human and financial resources for decentralized agencies 2014-2020. The proposed Regulation on the European Border and Coast Guard foresees a staff increase which will result in an increase of staff expenditure.

The expenditure for recruitment of replacement and new positions will be similar to the level for 2016. The expenses for administrative missions remain at similar levels since the introduction of ABB and activities of a direct nature (i.e. Information and Transparency, External Relations or Fundamental Rights Officer). The main part of the expenses for Socio-medical infrastructure is related to the medical services. Frontex staff has the opportunity to have an annual medical check as this is mandatory according to the Staff Regulations. The other staff related expenditure cover training, translation, costs related to trainees and supplementary interim services in addition to the costs related to Service Level Agreements. A non-significant amount for special assistance grants is included Social welfare expenses.

4.2.2 Title 2

The total budget foreseen for Title 2 in 2017 is similar to 2016.

The rental of building and associated expenditure stays at similar level as 2016. The rent for the Agency in the new premises is increased compared to 2015 due to the end of the rent free period. The data processing and telecommunications expenses stay at similar level as 2016 and much higher than in 2015 due to increased needs of additional staff, business as usual maintenance and licenses, purchase of new software and hardware for projects like

disaster recovery system, intranet etc. The movable property, current administrative and postal expenses stay at the similar level as 2016. The non-operational meetings costs are related to Management Board meetings and associated costs such as interpretation services, as well as for the meetings and activities of the Consultative Forum. The budget for information & transparency is related to communication initiatives and activities and press office.

4.2.3 Title 3

As from 2016 the joint return operations will be under the responsibility of the new unit Return Support within the Operations division. Another new unit, International and European cooperation, has also been created within the Capacity Building division. The budget structure has been amended accordingly with additional chapters for Return support and for International and European cooperation.

The largest part of Frontex budget is allocated to Joint Operations to further strengthen the Member States capabilities. This enables better focusing on the main routes of irregular migration and to enhance the impact of border management on fighting crime at the external borders. The focus is to be placed on coordination of joint activities that sustain operational presence in the areas at the external borders exposed to specific and disproportionate pressure as assessed by risk analysis and Eurosur impact assessments as well as facing significant security concerns and other uncertainties. Frontex will focus on reinforcing the strategic operations in the Mediterranean and will implement the “hotspot” approach in the front line MSs and shall support the operation of the EURTFs.

The chapter for Risk Analysis, Situation Center and EUROSUR includes EUROSUR in the mainstream activities of Frontex. In 2017 EUROSUR will continue, as a common framework for the exchange of information and for the cooperation between Member States and between Member States and Frontex, to improve situational awareness and to increase reaction capability at the external borders of the Member States of the Union. Risk analysis and the Situation Center, in addition to the further development of service tasks will be engaged in providing considerable input into EUROSUR. The Agency will continue providing and consolidating the platforms for the exchange of information and knowledge, it will perform reporting, analysis and evaluations including fundamental rights aspect for joint operations. It will also gradually develop and implement the processing of information also containing personal data for the purpose of risk analysis and of transmission to MSs and EU law enforcement agencies.

The budget for training covers the development of the common standards for general education of border guards, training for members of the EBGT, the implementation of the common standards and tools for specialised training and integrating fundamental rights in training design as an underpinning element.

Research & Development (R&D) activities will drive the process of the harmonisation of border control and the development of standards - both operational and technical.

Pooled Resources: The focus will be placed on planning of resources for JO and negotiations with MS, the further development and management of the EBGT pool and the technical equipment pool.

The budget for Miscellaneous Operational Activities covers the costs for the 2017 European Day for Border Guards, the maintenance of non EUROSUR related operational systems and support to FSC systems (FOSS, FMM, Ops room). Supporting Operational Activities include the activities of Frontex Fundamental Rights Officer, which include the enhancement of the strategic cooperation with Frontex’ Consultative Forum on Fundamental Rights.

Return support: Focuses on the intensified response to the migratory situation by increased assistance and coordination of Joint Return Operations with MS. Operational support on return to Member States in all aspects of pre-return assistance, return operations, training and capacity building, focusing on bottlenecks, needs and other factors relevant to successful return. The work will focus on nationals of those third countries that are a priority to return.

International and European cooperation: The main area of activity is to support the MSs’ Border Management Services by strengthened effective cooperation with partners in the field of internal security and the detection/prevention of cross-border crime (EU Policy Cycle) and strengthened effective cooperation with partners in the field of migration management and return, and the deployment of Liaison Officers to third countries in justified cases.

4.3 Ad hoc grants and delegation agreements

- DG DEVCO Eastern Partnership IBM: Regional Capacity Building Project up to EUR 4.5 million for three years starting in 2014. Grant signed on 20 June 2014.

- ENPI – EU Agencies programme: Subcontractor to EASO. Cooperation agreement signed on 8 July 2014, total budget EUR 54 694.

- IPA grant agreement 2015/369-697: -Regional support to protection-sensitive migration management in the Western Balkans and Turkey. Grant signed on 22 December 2015. Total budget EUR 5.5 million, implementation period of 36 months from 1/1/2016.

- The Copernicus Delegation Agreement 2015-2020 with the European Commission, represented by DG GROW, was signed on 10 November 2015, entrusting Frontex the service component implementation tasks, in line with Regulation

(EU) 377/2014 establishing the Copernicus Programme. The indicative budget amounts to EUR 47.6 million, implemented until 31/12/2020.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Warsaw Spire, Warsaw, office space	
Surface area (in square metres) Of which office space Of which non-office space	15,020 sqm(gross) 14,604.94 sqm(gross) 416 sqm	
Annual rent (in EUR)	3,796,587.96 EUR	
Type and duration of rental contract	Lease agreement commences on 01/01/2015 for 10 years	
Host country grant or support	N/A	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	CCE, Brussels, office space	
Surface area (in square metres) Of which office space Of which non-office space	54sqm(gross) 54sqm N/A	
Annual rent (in EUR)	2016: 28,000 EUR	
Type and duration of rental contract	Lease agreement expires on 31/12/2016	
Host country grant or support	N/A	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	HCG tower, Piraeus, office space	
Surface area (in square metres) Of which office space Of which non-office space	735sqm(gross) 460sqm 275sqm	
Annual rent (in EUR)	0 EUR	
Type and duration of rental contract	Office space provided by Greek government	
Host country grant or support	Yes	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Catania, Via Transito angolo piazza Maravigna, part of building loaned for the seat of EURFT	
Surface area (in square metres) Of which office space Of which non-office space	600 sqm 550 sqm 50 sqm	
Annual rent (in EUR)	0 EUR	
Type and duration of rental contract	Office space provided by the Italian Municipality authorities based on Free	

	Name, location and type of building	Other Comment
	Loan Agreement concluded for duration of 3 years, expires in December 2018	
Host country grant or support	Yes	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

The proposed Regulation on the European Border and Coast Guard foresees a staff increase which will have an impact on office space resulting in an increase of expenditure in building-related appropriations.

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
1. Discussions on a Headquarters agreement (or seat agreement) with the Polish Government are still pending. First contacts started 2005.	<p>1. Polish Government promised a symbolic Diplomatic Status to the Executive Director (ED) and his Deputy. This Status has not been fully implemented. Taking into account that a new Executive Director took up his duties on 16 January 2015, the Ministry of Foreign Affairs of Poland was addressed with a request to provide the promised status.</p> <p>2. Protocol on Privileges and immunities is incorporated in Polish law. In practice the implementation of the provision are problematic.</p> <p>3. Upon request, the host Member States' Ministry of Foreign Affairs issues a special certificate (card) to Frontex staff members.</p>	<p>1. Polish Government reimburses the schooling costs for staff (except for Polish nationals). Polish Government has informed Frontex that it has no intention to establish a European School in Poland.</p>

5.3 European schools

5.4 Evaluation

On 28 October 2015 Frontex' Management Board adopted its recommendations from the evaluation based on the Art 33 and forwarded them to the European Commission beginning of November 2015.

The evaluation confirmed that Frontex's activities have been very effective in supporting the coordination and organisation of Joint Return Operations and high quality and accurate risk analyses have been carried out on time by Frontex. The Agency's mandate to assess the capacity (in terms of equipment and resources) of Member States to respond to challenges, threats and pressure at their external borders is not being carried out. Frontex has contributed to achieving an efficient, high and uniform level of border control at the EU external borders. The Agency has provided a clear added value to the border management activities of Member States. Evidence suggests that efficient working practices and procedures are in place in the area of operational cooperation. Cooperation between the Agency and the Member States with regard to operational activities is generally effective and the establishment of the NFPoC's is contributing to a more streamlined cooperation. The Agency has been particularly effective in the provision of assistance to Member States' training of national border guards. Frontex has also fulfilled its mandate in the area of research and development, with all stakeholders rating very positively the Agency's ability to disseminate information on research developments to the Commission and Member States. In terms of pooled resources, the OPERA tool was assessed to have contributed positively to the effectiveness of its management and in general respondents assessed that the procedures in place for the rapid deployment of human resources were working well. Frontex's activities have contributed to improving the capacity of European border guards, as well as access to relevant technical and human resources for operations at the external borders, and knowledge and development of technical equipment for border surveillance and control. Overall, there is a centralised and structured approach and system in place guiding the internal working procedures in the capacity building division.

In line with its mandate, Frontex has started to implement the horizontal activities stipulated in the Regulation. This includes the development and establishment of EUROSUR, which is now operational. Another horizontal activity relates to inter-agency cooperation and the evaluation has evidenced that collaboration with EASO, Europol, EU-LISA and FRA was an important milestone in the work of the Agency. Frontex has contributed to an improved integration of

external border management through the Agency's horizontal activities. For example, the established ICT platforms (including the ECN) facilitate information exchange between the MSs and the Agency, thus contributing to more informed border management activities. The appointment of the Fundamental Rights Officer and the establishment of the Consultative Forum have contributed to ensuring and promoting the respect of fundamental rights in the Agency's activities.

2.2.1.3.5 European Police Office - EUROPOL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Decision 2009/371/JHA establishing the Europol Police Office (Europol)</p>	<p>06/04/2009</p>	<p>Objective (Article 3): “The objective of Europol shall be to support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating organised crime, terrorism and other forms of serious crime affecting two or more Member States”.</p> <p>Competence (Article 4): “Europol’s competence shall cover organised crime, terrorism and other forms of serious crime as listed in the Annex to the Europol Decision affecting two or more Member States in such a way as to require a common approach by the Member States owing to the scale, significance and consequences of the offences.” “Europol’s competence shall also cover related criminal offences”.</p> <p>Tasks (Articles 5 and 10): Europol shall establish and maintain a computerised system to allow the input, access and analysis of data. The Europol computerised system has three principal components: 1) an information system; 2) an analysis system and 3) an index system.</p> <p>Europol supports Member States by: facilitating the exchange of information between Member States’ and third partners; providing operational analysis in support of operations; generating strategic reports (e.g. threat assessments) and crime analysis on the basis of information and intelligence supplied by Member States and third parties; providing expertise and technical support for investigations and operations carried out within the European Union, under the supervision and the legal responsibility of the Member States concerned.</p> <p>Europol is also active in promoting crime analysis and harmonisation of investigative techniques within the Member States.</p>
<p>Regulation (EU) 2016/794 of the European Parliament and of the Council of 11 May 2016 on the European Union Agency for Law Enforcement Cooperation (Europol) and replacing and repealing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA</p>	<p>Adopted on 11/05/2016, entry into force 01/05/2017</p>	<p>Objective and competence (Article 3 Europol Regulation):</p> <ul style="list-style-type: none"> - Europol shall support and strengthen action by the competent authorities of the Member States (MS) and their mutual cooperation in preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime which affect a common interest covered by a Union policy. - Europol's objectives shall also cover related criminal offences. <p>Tasks (Article 10 Europol Regulation):</p> <p>I(a) collect, store, process, analyse and exchange information, including criminal intelligence; (b) notify the Member States, via the national units established or designated without delay of any information and connections between criminal offences concerning them; (c) coordinate, organise and implement investigative and operational actions to support and strengthen actions by the competent authorities of the Member States, that are carried out: (i) jointly with the competent authorities of the Member States; or (ii) in the context of joint investigation teams and, where appropriate, in liaison with Eurojust; (d) participate in joint investigation teams, as well as propose that they be set up; (e) provide information and analytical support to Member States in connection with major international events; (f) prepare threat assessments, strategic and operational analyses and general situation reports; (g) develop, share and promote specialist knowledge of crime prevention methods, investigative procedures and technical and forensic methods, and provide advice to Member States; (h) support Member States' cross-border information exchange activities, operations and investigations, as well as joint investigation teams, including by providing operational, technical and financial support; (i) provide specialised training and assist Member States in organising training, in coordination with the European Union Agency for Law Enforcement Training (CEPOL); (j) cooperate with the Union bodies established on the basis of Title V of the TFEU and with OLAF, in particular through exchanges of information and by providing them</p>

		<p>with analytical support in the areas that fall within their competence; (k) provide information and support to EU crisis management structures and missions established on the basis of the TEU; (l) develop Union centres of specialised expertise for combating certain types of crime, in particular the European Cybercrime Centre; (m) support Member States' actions in preventing and combating forms of crime</p> <p>2. provide strategic analyses and threat assessments to assist the Council and the Commission in laying down strategic and operational priorities of the Union for fighting crime and assisting in their operational implementation</p> <p>3. provide strategic analyses and threat assessments to assist the efficient and effective use of the resources available at national and Union level for operational activities and the support of those activities.</p> <p>4. act as the Central Office for combating euro counterfeiting in accordance with Council Decision 2005/511/JHA. Europol shall also encourage the coordination of measures carried out to fight euro counterfeiting by the competent authorities of the Member States or in the context of joint investigation teams, where appropriate in liaison with Union bodies and the authorities of third countries.</p> <p>5. shall not apply coercive measures in carrying out its tasks.”</p>
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1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

18 02 04 : European Police Office (Europol)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	435	455	465	487
Establishment plan posts : AST	44	28	40	33
Establishment plan posts : AST/SC	4			
Total Establishment plan posts	483	483	505	520
Contract Agents	110	114	125	132
Seconded National Experts	40	45	60	71
TOTAL STAFF	633	642	690	723

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	100 242 000	112 608 613
Other Revenue	0	0
TOTAL REVENUES	100 242 000	112 608 613

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants		
Delegation agreements		
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	63 124 500	63 124 500	70 606 500	70 606 500
Title 2 - Infrastructure and operating expenditure	8 436 500	8 436 500	8 436 500	8 436 500
Title 3 - Operational expenditure	28 681 000	28 681 000	33 565 613	33 565 613
TOTAL EXPENDITURE	100 242 000	100 242 000	112 608 613	112 608 613

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14				1		1		1		1
AD 13		3		2		3		5		5
AD 12		7		7		9		11		11
AD 11		15		12		15		17		17
AD 10		16		11		25		30		30
AD 9		69		50		52		61		61
AD 8		94		86		106		97		97
AD 7		108		100		109		130		120
AD 6		98		176		127		160		139
AD 5		24		9		17		5		5
AD TOTAL		435		455		465		518		487
AST 11										
AST 10										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 9										
AST 8						1		2		2
AST 7		3		3		4		5		5
AST 6		8		4		8		6		6
AST 5		11		6		8		8		8
AST 4		20		10		14		8		8
AST 3		2		3		3		3		3
AST 2				2		2		1		1
AST 1										
AST TOTAL		44		28		40		33		33
AST/SC 6										
AST/SC 5										
AST/SC 4		1								
AST/SC 3		1								
AST/SC 2		1								
AST/SC 1		1								
AST/SC TOTAL		4								
TOTAL		483		483		505		551		520
GRAND TOTAL	483		483		505		551		520	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	19	18	24	30
Function Group III	75	75	82	83
Function Group II	16	21	19	19
Function Group I	0	0		0
TOTAL	110	114	125	132

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	40	45	60	71

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	94 860 000	100 242 000	117 196 000	112 608 613	12,34%
- Of which assigned revenues deriving from previous years' surpluses		2 582 000	897 000	897 000	-65,26%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	694 044				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	66 894				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	95 554 044	100 242 000	117 196 000	112 608 613	12,34%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	500 000				
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	7 000 000				
TOTAL	7 500 000				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	58 245 373	63 124 500	69 730 700	70 606 500	11,85%
Salaries & allowances	52 963 668	56 474 500	62 428 700	62 342 500	10,39%
- Of which establishment plan posts	46 571 877	49 609 500	55 496 700	54 433 500	9,72%
- Of which external personnel	6 391 791	6 865 000	6 932 000	7 909 000	15,21%
Expenditure relating to Staff recruitment	1 161 977	1 310 000	1 535 000	1 310 000	0,00%
Mission expenses	285				

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	956 308	991 000	1 012 000	991 000	0,00%
Training	236 452	325 000	400 000	325 000	0,00%
External Services	956 729	1 340 000	1 275 000	1 340 000	0,00%
Receptions, events and representation	89 954	68 000	68 000	68 000	0,00%
Social welfare					
Other Staff related expenditure	1 880 000	2 616 000	3 012 000	4 230 000	61,70%
Title 2 - Infrastructure and operating expenditure	10 302 420	8 436 500	8 284 500	8 436 500	0,00%
Rental of buildings and associated costs	5 768 420	4 071 500	4 302 500	4 071 500	0,00%
Information, communication technology and data processing	2 370 567	1 522 500	1 522 500	1 522 500	0,00%
Movable property and associated costs	618 286	760 000	610 000	760 000	0,00%
Current administrative expenditure	322 065	443 500	320 500	443 500	0,00%
Postage / Telecommunications	356 117	554 000	554 000	554 000	0,00%
Meeting expenses	858 200	1 085 000	975 000	1 085 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	8 765				
Title 3 - Operational expenditure	31 307 762	28 681 000	39 180 800	33 565 613	17,03%
Operations	5 028 391	5 776 700	10 511 500	10 661 313	84,56%
Operational information technology	17 312 267	18 794 300	22 229 300	18 794 300	0,00%
Telecommunication costs for operational activities	1 688 609	1 140 000	1 140 000	1 140 000	0,00%
Seconded National Experts (Operational)	2 310 420	2 700 000	5 000 000	2 700 000	0,00%
EPCC / COSI	165 232	170 000	200 000	170 000	0,00%
Heads of Europol national Units	83 800	100 000	100 000	100 000	0,00%
Operational expenditure related to subsidies and grants	4 409 043				
Operational expenditure related to research and development projects	310 000				
TOTAL	99 855 555	100 242 000	117 196 000	112 608 613	12,34%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	57 616 341	63 124 500	69 730 700	70 606 500	11,85%
Salaries & allowances	52 963 668	56 474 500	62 428 700	62 342 500	10,39%
- Of which establishment plan posts	46 571 877	49 609 500	55 496 700	54 433 500	9,72%
- Of which external personnel	6 391 791	6 865 000	6 932 000	7 909 000	15,21%
Expenditure relating to Staff recruitment	1 061 951	1 310 000	1 535 000	1 310 000	0,00%
Mission expenses	285				
Socio-medical infrastructure	770 912	991 000	1 012 000	991 000	0,00%
Training	110 410	325 000	400 000	325 000	0,00%
External Services	759 466	1 340 000	1 275 000	1 340 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Receptions, events and representation	75 169	68 000	68 000	68 000	0,00%
Social welfare					
Other Staff related expenditure	1 874 480	2 616 000	3 012 000	4 230 000	61,70%
Title 2 - Infrastructure and operating expenditure	6 068 531	8 436 500	8 284 500	8 436 500	0,00%
Rental of buildings and associated costs	2 653 345	4 071 500	4 302 500	4 071 500	0,00%
Information, communication technology and data processing	1 728 917	1 522 500	1 522 500	1 522 500	0,00%
Movable property and associated costs	438 274	760 000	610 000	760 000	0,00%
Current administrative expenditure	285 143	443 500	320 500	443 500	0,00%
Postage / Telecommunications	256 567	554 000	554 000	554 000	0,00%
Meeting expenses (1)	697 520	1 085 000	975 000	1 085 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	8 765 (2)				
Title 3 - Operational expenditure	24 342 105	28 681 000	37 580 800	33 565 613	17,03%
Operations	4 683 885	5 776 700	8 911 500	10 661 313	84,56%
Operational information technology	12 863 250	18 794 300	22 229 300	18 794 300	0,00%
Telecommunication costs for operational activities	893 777	1 140 000	1 140 000	1 140 000	0,00%
Seconded National Experts (Operational)	2 308 819	2 700 000	5 000 000	2 700 000	0,00%
EPCC / COSI	164 748	170 000	200 000	170 000	0,00%
Heads of Europol national Units	83 381	100 000	100 000	100 000	0,00%
Operational expenditure related to subsidies and grants	3 344 245				
Operational expenditure related to research and development projects					
TOTAL	88 026 977	100 242 000	115 596 000	112 608 613	12,34%

(1) The expenditure relates to the statutory expenditure (Management Board, Joint Supervisory Body, Internal auditor)

(2) Relates to the EU contribution for ECD (Fund source C5)

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 897 850.

4 Justification of needs

Commission assessment

Human Resources:

Regarding the additional establishment plan posts and external personnel requested by Europol as compared to 2016, the Commission partially supports the request as follows: 1) 25 additional TA posts (including 5 TAs for IRU), 5 SNEs and 5 CAs for the ECTC. In view of the EU response to the terrorist threat, the Commission proposes to maintain the level of staff of the ECTC as authorised in amending budget 1/2016; 2) 14 additional TA posts, 2 CAs and 6 SNEs which on the basis of the revised legislative financial statement of the agency are foreseen to support the ECTC as from 2017; and 3) 8 additional TA posts for the European Cybercrime Centre (EC3) contributing to one of the three priorities of the European Agenda on Security.

Financial Resources:

The Draft Budget 2017 proposal takes into account EUR 100 242 000 for 2017 from the revised legislative financial statement (LFS) to the proposal for a new Regulation of Europol; EUR 4 090 000 (amending budget 1/2016) and associated staff expenses of EUR 6 126 613 foreseen in the revised LFS for the year 2017 (of which: EUR 1 242 000 for additional establishment and external personnel posts, calculated on the basis of 6 months and EUR 4 884 613 for the financial support of the EU Policy Cycle (EMPACT + IT for FIU.net) plus EUR 536 000 for the 8 additional EC3 posts (calculated on the basis of 6 months). Finally EUR 1 614 000 have been added to support the 2017 increase in expenditure related to European Schools (see point 5.3).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In 2015 EUROPOL received 33 additional posts in response to the migration crisis in order to disrupt trafficking networks as well as to strengthen further the efforts to detect and request the removal of internet content used by traffickers to attract migrants and refugees.

In the new agency's Regulation (applicable from 2017) Europol has been given a number of new tasks, as well as having existing ones strengthened. Notably, Europol has the competence and task to “coordinate, organise and implement investigative and operational action jointly with the Member states.” Additionally, Europol’s ability to finance any activity of Member States has been established for any type of crime (rather than only Euro-counterfeiting), including the financing of JITs. This includes any operational or technical support and the provision of training. New tasks also include the ability to establish new Union centres of expertise, such as EC3, European Counter Terrorism Centre (ECTC) and European Migrant Smuggling Centre (EMSC), strengthening Europol’s central role for the Union. This includes the provision of information to EU crisis management structures and missions, which was not possible before. A specific mandate has also been provided for all cybercrime related aspects, including the IRU and the making of referrals.

The ECTC will be the central hub in the fight against terrorism in the EU and will support the EU Member States by providing operational and analytical capacity for investigations and cooperation with international partners. Most of the resources will be dedicated to operational support, thus the staffing levels for the ECTC should allow for operational staff to be deployed throughout the EU, for 24/7 support by Europol to investigations on-the-spot. In the wake of the latest terrorist attacks, the level of information submitted to EUROPOL and requests for support from Member States have increased steeply, which creates a significant additional workload in the agency. Ahead of the creation of the ECTC, EUROPOL had already made a significant redeployment effort so as to allocate 37 temporary agents, 2 contract agents and 5 seconded national experts to counter-terrorist activities. Following the adoption of amending budget 1/2016 the agency got a further reinforcement of the staffing levels of the ECTC: 25 additional establishment plan posts, 5 CAs and 5 SNEs, of which 20 TAs and 5 SNEs to reinforce operations and 5 TAs and 5 CAs for ICT support along with additional appropriations for the remuneration of new staff (EUR 2,0 million) calculated on the basis of an average of six months' presence in 2016

In terms of the European Cybercrime Centre (EC3), cybercrime increases rapidly and affects Member States that face great difficulties in tackling phenomena like child online sexual exploitation (CSE) and payment card fraud and increasingly turn to Europol’s EC3 for assistance.

Finally, with the new integrated data management concept Europol has received the legal preconditions for a system which is almost unique in the EU as concerns its flexibility. Europol will now be allowed to process data based on the purpose of the processing, rather than on the actual cases/topic itself.

The detailed agency request for 80 additional posts is as follows: 21 posts for the European Cybercrime Centre (EC3); 6 posts for the Financial Intelligence Unit (FIU.NET), 15 posts for the EU Internet Referral Unit (EU IRU); 12 posts for the EU Migrant Smuggling Centre (EMSC); 21 posts for the EU Counter Terrorism Centre (ECTC); and 5 posts for ICT. Taking into account 9 posts to be reduced in view of the staff reduction target, this leads to a net requested increase of 71 posts.

4.1.2 Vacancy rate as of end 2015

0%. No established posts were vacant at the end of 2015 (offer letters sent counted as posts filled).

4.1.3 Standard abatement ('abatement forfaitaire') applied

No vacancy rate has been applied.

4.1.4 Salary assumption for calculating salary line (% applied)

2016: 2.4%; 2017: 0%. For the 71 new posts requested in 2017, salaries are calculated for 9 months only.

4.1.5 Correction coefficient used

7.8% weighting coefficient for the Netherlands for 2016 and 2017 (current applicable coefficient for The Netherlands).

4.1.6 Exchange rate used (if applicable)

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 69 730 700 and represents 59% of the total budget. The 10% increase of the expenditure under Title 1 in 2017 compared to 2016 is largely resulting from the requested higher number of Temporary Agents (net increase of 71 TA posts compared to 2016) for strengthening of the staffing in the priority areas (e.g. cybercrime, counter terrorism, migration and ICT). In those areas the draft estimate 2017 envisages an increase of Europol staff from 480 (2016) to 551 (2017) including a staff cut of 9 posts in line with the Commission requirement. An amount of EUR 5 800 000 is foreseen for the extra staff expenditure for new posts compared to the budget 2016. Other costs for the existing staff and activities under Title 1 are in line with the budget 2016 with a small increase for unavoidable extra expenditure compared to 2016. As a consequence of the increase in operational activities (already in 2015 and 2016 and planned to continue in 2017) considerable costs for support services are planned, especially for security guards, hospitality service and audio-video support for meetings.

4.2.2 Title 2

The estimated expenditure under Title 2 amounts to EUR 8 284 500 which is 2% lower compared to the budget 2016. The budget under Title 2 is primarily planned for building related running costs (EUR 4 712 500), administrative ICT costs (EUR 1 986 500), other administrative expenditure (EUR 610 500) and for statutory expenditure (e.g. Management Board, Joint Supervisory Body and Internal Audit Function) amounting to EUR 975 000. A significant part of the budget for the building (EUR 2 963 000) is foreseen for the implementation of the service level agreement with the Host State. This concerns the contractual maintenance to retain the current service environment and business continuity of the headquarters and involved infrastructure. Part of the budget is also reserved for the continuation of the implementation of business initiatives started in 2015/2016. The ICT costs and IT services will remain at the same level of during 2016. The budget for the Management Board is estimated based on the current level without any extra translation. The budget for the Joint Supervisory Body is envisaged for translation and interpretation costs, amounting to EUR 390 000.

4.2.3 Title 3

Title 3 represent 33 % of the total budget of the agency and covers all Work Programme activities with a direct link to the core tasks. The estimated expenditure for 2017 amounts to EUR 39 180 800 (including EUR 4 000 000 for the EMPACT Delegation Agreement) and represents an increase of 37 % (22% excluding EMPACT) compared to 2016. The following breakdown of the main activities under Title 3 is provided: Operations (excluding EMPACT): more than EUR 789 000 increase of the expenditure for operational meetings, missions, operational equipment and training provided to third parties; EMPACT: EUR 4 000 000 envisaged for continuation of the current activities covered by the Delegation Agreement; ICT services: development and maintenance of operational ICT systems, the development of core systems (SIENA, EIS, EAS and EPE), including significant work to adapt these systems to Europol's new legal framework, as well as, ICT and network security. Seconded National Experts: costs for 60 existing SNEs and 56 extra SNEs for EMSC and EU IRU, including in relation to new tasks stemming from the Council Conclusions of 20 November 2015; High level external stakeholder meetings: costs for the meeting of the Heads of Europol national Units (HENU) and the annual Police Chiefs Convention.

4.3 Ad hoc grants and delegation agreements

- EMPACT Delegation Agreement (European Multidisciplinary Platform against Criminal Threats): Implementation continues in 2017. The agreement has been signed in December 2014 between Europol and DG Home for an amount of EUR 7 000 000. It entrusts Europol with support tasks during a portion of the policy cycle 2014-2017 for EMPACT activities prioritised by JHA Council and as laid down in the Operational Action Plans (OAPs).

- Grant agreement with the European Union Intellectual Property Office (EUIPO): Implementation continues in 2016. The agreement with EUIPO was concluded in November 2015 authorising Europol with a series of activities in the course of 2016. An amount of EUR 500 000 has been cashed to facilitate activities and cooperation amongst national authorities competent to fight against Intellectual Property Rights infringements.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Headquarters, Eisenhowerlaan 73, 2517 KK, The Hague Netherlands	The Dutch Government Buildings Agency is owner of the building. Europol is responsible for the user related elements which are linked to the business of the organisation e.g. catering, ICT, Security and AV systems.
Surface area (in square metres) Of which office space Of which non-office space	Gross floor space building is 32.500 m2.	Number of workplaces is 850.
Annual rent (in EUR)	NA	Building provided free of charge by the Host State
Type and duration of rental contract	Lease Agreement is valid for 20 years and was signed on 1 March 2011	Lease Agreement Europol New Headquarters, reference EDOC-#471788.
Host country grant or support	Host State support by providing and maintaining the accommodation.	The maintenance regarding the owner related elements.
Present value of the building	NA	

5.1.2 Building project in the planning phase

Europol started a multi-year project together with the Host State (who owns the building) to adjust the AV installations of the meeting rooms and the cabling from analogue to digital. This is a considerable sized project which is foreseen to be implemented over a number of years 2016-2018. In addition, Europol is together with the Host State working on a strategic housing roadmap to ensure future alignment of available workplaces with the long term resource expectations.

5.1.3 Building projects submitted to the European Parliament and the Council

N.A.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Article 51(2) Europol Decision: The Protocol on Privileges and Immunities of the European Communities shall apply to Europol. The Protocol is supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol.	Article 51(1) Europol Decision: The Protocol on Privileges and Immunities of the European Communities and Regulation (Euratom, ECSC, EEC) No 546/69 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on Privileges and Immunities of the Communities apply (as amended by a specific Council Regulation adopted on 27.11.2008: Council doc. # 14921/08 COR 4) shall apply to the Director and Deputy Directors of Europol and to Europol staff. The Protocol and Regulation are supplemented by the Agreement of 15 October 1998 between the Kingdom of the Netherlands and Europol concerning the Headquarters of Europol, which is itself supplemented regarding staff privileges and immunities by an exchange of Notes Verbales of 24 October 2007.	Europol staff members can benefit from the ordinary rules regarding tax benefits linked to day care expenses just as any other residents of the Netherlands.

5.3 European schools

Based on the Mandate and Service agreement with the European Commission concerning the funding of the "Type II European schools", the Commission partially subsidises the expenditure for the school fees for the European School The Hague (ESH). The current assumption is that expenditure for the European school will continue to grow until all classes are established. The estimate takes into account that most of the children for new staff will be placed in the European school, therefore the percentage of registered children will grow. It is anticipated that 63% of all eligible pupils will be registered in the school year 2017/2018. This estimate is calculated based on 326 children in the European school. The financial effect of the contribution for the European school results in a slightly lower education allowance and a considerably higher ESH contribution (EUR 2 303 000). The difference in the costs is foreseen to be subsidised by the Commission as a separate extra community subsidy (+EUR 1 614 000). It should, however, be clear that not all of the additional expenses incurred as a consequence of having the ESH will be covered by extra subsidy from the Commission. For the calculation of the extra subsidy the Commission approach is to compare the maximum costs for education allowances with the school fees for the expected pupils for ESH and then the Commission will provide extra subsidy for the difference. However, in practise under the previous calculations (without considering ESH) Europol never had the maximum expenses because the actual education allowances claimed were often well below the maximum.

5.4 Evaluation

The last evaluation on the implementation of the Europol Council Decision and of the Europol's Activities was sent to Parliament in June 2012. It is published on Europol's webpage:

<https://www.europol.europa.eu/content/publication/evaluation-implementation-europol-council-decision-and-europol%E2%80%99s-activities-1677>

The evaluation found very positive views amongst our stakeholders about the work of Europol, with interviewees claiming that Europol is playing an increasingly relevant operational role. There was near unanimity among interviewees and focus group participants that the support provided by Europol has added value to Member States' law enforcement. Interviewees highlighted in particular, Europol's liaison officers network, our analytical work and intelligence products, Europol's data bases and systems, funding of operational meetings, and Europol's expertise and technical support as services which are perceived to add value to Member States and make the support offered by Europol unique. The evaluation supported the impact assessment which the Commission conducted with a view to elaborate its proposal for the Europol Regulation.

Art.68 of the New Regulation of Europol stipulates that by 1 May 2022 and every five years thereafter, the Commission shall ensure that an evaluation assessing, in particular, the impact, effectiveness and efficiency of the agency and of its working practices is carried out. The evaluation may address the possible need to modify the structure, operation, field of action and tasks of Europol, and the financial implications of any such modification.

2.2.1.3.6 European Union Agency for Law Enforcement Training – CEPOL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Decision 2005/681/JHA	20/09/2005	Provide training sessions, based on common standards, for senior police officers; contribute to the preparation of harmonised programmes for the training of middle ranking police officers in the field and police officers in the field with regard to cross-border cooperation between police forces in Europe, and help set up appropriate advance training programmes as well as develop and provide training for trainers; provide specialists training for police officers playing a key role in combating cross-border crime, with a particular focus on organised crime; disseminate best practice and research findings; develop and provide training to prepare police forces of the European Union for participation in non-military crisis management; develop and provide training for police authorities from candidate countries, including training for police officers with a key role; facilitate relevant exchanges and secondments of police officers in the context of training; develop an electronic network to provide backup for CEPOL in the performance of its duties, ensuring that the necessary security measures are put in place; enable the senior police officers of the Member States to acquire relevant language skills.
Delegation Agreement Ref. No. MED 2007/140-901	11/06/2007	Reinforce the efficiency of police regional and sub-regional co-operation in the field of fight against terrorism and other major forms of organised crime, drugs trafficking and trafficking in human beings; the various forms of support to this criminal activities (trafficking in weapons and bacteriological substance, financial crime, notably money laundering and cybercrime) should altogether be dealt with, via: reinforcement of professional capacities of specialised senior professionals (heads or deputy heads of operational units, senior police officers belonging to this units) of the fight against terrorism and other major forms of organised crime in partner countries; consolidation of the network that these specialised senior professionals and their counterparts of the EU started to constitute in the framework of Euromed Police I; reinforcement of the professional capacity of the heads or deputy heads of or police training departments of MEDA countries (in order to facilitate the dissemination of the best police techniques).
Specific Agreement No. JLS/2008/ISEC/FPA/C2/030 for the action entitled: CEPOL Exchange Programme for Senior Law Enforcement Officers	24/06/2009	To provide opportunities for multilateral exchanges on specific topics for senior police officers and police trainers among 22 Member States and 1 candidate country with the aim to improve cross-border police cooperation in Europe through the reinforcement of professional networks as well as the understanding of police practice and training in other European countries and the exchange of knowledge, experience and best practices.
Regulation (EU) 2015/2219 of the European Parliament and of the Council replacing and repealing Council Decision 2005/681/JHA	25/11/2015	Prepare multi-annual strategic training needs analyses and multi-annual learning programmes; support, develop, implement and coordinate training activities and learning products; potentially support, enhance and complete training activities and learning products by the operation of an electronic network; support Union missions and capacity-building in third countries; promote the mutual recognition of law enforcement training in Member States and the recognition by Member States of training provided at Union level with due regard to the principle of subsidiarity; engage in communication activities on its own initiative in the fields within its mandate.

1.2 Seat

Budapest, Hungary

1.3 Budget Line

18 02 05 : European Union agency for law enforcement training (CEPOL)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	16	15	17	20
Establishment plan posts : AST	11	11	11	11
Establishment plan posts : AST/SC				
Total Establishment plan posts	27	26	28	31
Contract Agents	10	9	10	10
Seconded National Experts	10	9	6	6
TOTAL STAFF	47	44	44	47

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	8 641 000	9 280 000
Other Revenue	0	0
TOTAL REVENUES	8 641 000	9 280 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	1 650 700	549 300

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 662 800	3 662 800	3 800 656	3 800 656
Title 2 - Infrastructure and operating expenditure	441 000	441 000	452 000	452 000
Title 3 - Operational expenditure	4 537 200	4 537 200	5 027 344	5 027 344
Title 4 - Operational expenditure	1 650 700	1 650 700	549 300	549 300
TOTAL EXPENDITURE	10 291 700	10 291 700	9 829 300	9 829 300

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13		1		1		1		1		1
AD 12										
AD 11						1		2		2
AD 10		2		2		2		2		2
AD 9		3		3		2		1		1
AD 8										
AD 7		1		1		2		2		2
AD 6						3		6		6
AD 5		9		8		6		5		6
AD TOTAL		16		15		17		19		20
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6						1		1		1
AST 5		2		2		2		3		3
AST 4		2		2		4		6		6
AST 3		7		7		4		1		1
AST 2										
AST 1										
AST TOTAL		11		11		11		11		11
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		27		26		28		30		31

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	27		26		28		30		31	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	0	0	0	0
Function Group III	2	2	2	2
Function Group II	7	7	7	7
Function Group I	1	0	1	1
TOTAL	10	9	10	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	10	9	6	6

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	8 471 000	8 641 000	8 813 000	9 280 000	7,39%
- Of which assigned revenues deriving from previous years' surpluses	793 000	230 000	559 000	559 000	7,16%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	8 471 000	8 641 000	8 813 000	9 280 000	7,39%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	300 000	1 650 700	549 300	549 300	-66,72%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	300 000	1 650 700	549 300	549 300	-66,72%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 418 844	3 662 800	3 733 656	3 800 656	3,76%
Salaries & allowances	2 529 605	2 940 000	2 998 800	3 065 800	4,28%
- Of which establishment plan posts	1 861 351	2 243 000	2 287 860	2 354 860	4,99%
- Of which external personnel	668 254	697 000	710 940	710 940	2,00%
Expenditure relating to Staff recruitment	40 000	40 000	40 000	40 000	0,00%
Mission expenses	24 795	35 000	35 000	35 000	0,00%
Socio-medical infrastructure	364 854	407 800	415 956	415 956	2,00%
Training	40 000	40 000	40 000	40 000	0,00%
External Services	409 400	195 000	198 900	198 900	2,00%
Receptions, events and representation	3 190	3 000	3 000	3 000	0,00%
Social welfare	7 000	2 000	2 000	2 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	435 771	441 000	452 000	452 000	2,49%
Rental of buildings and associated costs	28 790	38 000	40 000	40 000	5,26%
Information, communication technology and data processing	297 070	290 000	295 000	295 000	1,72%
Movable property and associated costs	19 737	14 500	15 000	15 000	3,45%
Current administrative expenditure	83 895	87 000	90 000	90 000	3,45%
Postage / Telecommunications	6 279	11 500	12 000	12 000	4,35%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 236 212	4 537 200	4 627 344	5 027 344	10,80%
Bodies and organs	193 600	234 000	238 680	238 680	2,00%
Courses and seminars	2 975 152	3 173 200	3 236 664	3 636 664	14,61%
Other programme activities	673 461	940 000	958 000	958 000	1,91%
Evaluation	86 125				

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Missions	164 000	140 000	143 000	143 000	2,14%
Other operational activities	143 874	50 000	51 000	51 000	2,00%
Title 4 - Operational expenditure	300 000	1 650 700	549 300	549 300	-66,72%
Expenditure relating to persons working for CT MENA project	300 000	816 000	269 620	269 620	-66,96%
Travel		422 000	141 964	141 964	-66,36%
Equipment and supplies		6 900	2 300	2 300	-66,67%
Local office		10 800	3 600	3 600	-66,67%
Other costs, services		195 000	65 000	65 000	-66,67%
Other					
Indirect costs		116 700	38 933	38 933	-66,64%
Provision for contingency reserve		83 300	27 883	27 883	-66,53%
Taxes					
TOTAL	8 390 827	10 291 700	9 362 300	9 829 300	-4,49%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 138 391	3 662 800	3 733 656	3 800 656	3,76%
Salaries & allowances	2 514 273	2 940 000	2 998 800	3 065 800	4,28%
- Of which establishment plan posts	1 846 442	2 243 000	2 287 860	2 354 860	4,99%
- Of which external personnel	667 831	697 000	710 940	710 940	2,00%
Expenditure relating to Staff recruitment	10 274	40 000	40 000	40 000	0,00%
Mission expenses	19 793	35 000	35 000	35 000	0,00%
Socio-medical infrastructure	357 665	407 800	415 956	415 956	2,00%
Training	34 129	40 000	40 000	40 000	0,00%
External Services	198 257	195 000	198 900	198 900	2,00%
Receptions, events and representation	2 751	3 000	3 000	3 000	0,00%
Social welfare	1 249	2 000	2 000	2 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	223 316	441 000	452 000	452 000	2,49%
Rental of buildings and associated costs	21 740	38 000	40 000	40 000	5,26%
Information, communication technology and data processing	153 056	290 000	295 000	295 000	1,72%
Movable property and associated costs	8 955	14 500	15 000	15 000	3,45%
Current administrative expenditure	33 921	87 000	90 000	90 000	3,45%
Postage / Telecommunications	5 644	11 500	12 000	12 000	4,35%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 3 - Operational expenditure	3 346 808	4 537 200	4 627 344	5 027 344	10,80%
Bodies and organs	153 837	234 000	238 680	238 680	2,00%
Courses and seminars	2 392 053	3 173 200	3 236 664	3 636 664	14,61%
Other programme activities	471 019	940 000	958 000	958 000	1,91%
Evaluation	86 125	0	0	0	◆
Missions	151 387	140 000	143 000	143 000	2,14%
Other operational activities	92 387	50 000	51 000	51 000	2,00%
Title 4 - Operational expenditure	2 255	1 650 700	549 300	549 300	-66,72%
Expenditure relating to persons working for CT MENA project	2 255	816 000	269 620	269 620	-66,96%
Travel		422 000	141 964	141 964	-66,36%
Equipment and supplies		6 900	2 300	2 300	-66,67%
Local office		10 800	3 600	3 600	-66,67%
Other costs, services		195 000	65 000	65 000	-66,67%
Other		0	0	0	◆
Indirect costs		116 700	38 933	38 933	-66,64%
Provision for contingency reserve		83 300	27 883	27 883	-66,53%
Taxes					
TOTAL	6 710 770	10 291 700	9 362 300	9 829 300	-4,49%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 559 215.

4 Justification of needs

Commission assessment

Training is an essential component of policy implementation but also an essential accompanying measure in the EU response to address the migratory crisis and the heightened terrorist threat. CEPOL is requested to design tailor-made trainings on migration issues, on top of their traditional catalogue, in order to help law enforcement national authorities to be better prepared to the challenge of tackling smugglers or disrupt human trafficking. Therefore it does carry out frontline tasks. That is the reason why the Commission puts forward the request to reinforce CEPOL's operational capacity by one temporary agent post, on top of the number of posts set out in the legislative financial statement, and financial resources corresponding to a series of trainings especially dedicated to tackle migration challenges.

Human Resources

The Commission supports the request of CEPOL, which is in line the new legal basis of the agency and requests additionally 1 TA post and corresponding appropriations (EUR 67 000, calculated on the basis of 6 months) for developing and organizing courses for law enforcement officers to better cope with the migration crisis. The Commission proposes to maintain the number of external staff at the same level of 2016.

Financial Resources

The Commission supports the EU-contribution requested by CEPOL. In order to meet the objectives of the Law Enforcement Training Scheme (LETS), the Commission agrees that an amount of EUR 400 000 is added with the purpose of increasing the number of law enforcement officials trained (i.e. offering counterterrorism courses to a wider group of law enforcement officers). Since an average 3-day activity for 28 participants costs approximately EUR 33 000, EUR 400 000 would allow the participation of 300 to 350 additional law enforcement agents to migration and counter-terrorism courses.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

2017 will be the first full year during which CEPOL operates already under the new legal mandate. The new legal basis expands certain existing tasks (such as the provision of training to prepare officials for participation into EU missions and providing or supporting capacity building projects in third countries) and brings new tasks such as the Law Enforcement Training Scheme (LETS) and strategic/regular training needs assessments. The new legal framework will also entail the revision of the existing internal governance structures and the possible creation of new bodies such as a Scientific Committee, thereby presumably having an impact on workload and workflows.

The new legal mandate includes a total of 3 new positions for LETS. One additional post is foreseen already from 2016 (1 AD 6 – legal advisor), in line with the recommendation of the European Ombudsman who acknowledged the lack of a legal officer as a vulnerability within the agency. As from 2017 two additional AD5 posts become available. These posts will be assigned once CEPOL has adapted its structure to the requirements of the new legal situation.

The Internal Audit Service in their ICT Risk Assessment concluded that the limited IT staff (currently 2 TA AST officers) and the lack of a proper back up function represents a risk of discontinuity of the IT services. Similarly, the HR function has at present only 1 TA AST and 1 CA FGII position. Relevance of e-learning training has grown considerably since the establishment of the agency. In order to maintain and develop this area the agency needs to invest not only into the resources dedicated to e-learning, but into supporting functions, e.g. maintenance and development of its online platform (e-NET). It is a full time job that currently is dispersed among several staff members thus affecting business continuity and coherency.

By the end of 2015 a new task has been delegated to CEPOL via grant agreement with the European Commission. The project aims at building the capacity of relevant law enforcement services and other relevant personnel in the target countries by offering tailored made learning and training activities in the field of counter-terrorism. The total value of the agreement is EUR 2.49 million, which includes a provision for human resources to manage the project. Four contract agents will be recruited early 2016, who will work for CEPOL during the duration of the project (18 months).

4.1.2 Vacancy rate as of end 2015

At the end of 2015 one TA post has not been filled, the offer letter has been sent to the candidate in January 2016. The secretary (FG I) post the recruitment was unsuccessful and had to be re-launched. The recruitment process is ongoing.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Whilst 2% abatement rate is normal, CEPOL foresees no abatement in 2017. A standard abatement within the normal range is associated with efficiencies achieved through the successful implementation of mature processes. 2017 salaries are calculated on the assumption that all authorised posts under the Establishment Plan will be filled.

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

The correction coefficient for Hungary applied during the first semester of 2016 is applied (69.0%), although some flexibility is foreseen, as the highly fluctuating exchange rate of the Hungarian currency can have an impact both on the correction coefficient, as on the actual salary payments.

4.1.6 Exchange rate used (if applicable)

NA- the budget is established in euro.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Higher turnover is expected than in 2016, although in the planning for recruitment cost this is not reflected. Mission expenses are kept at a reduced level. Training reflects a reduced amount of funds available to ensure the prioritisation of appropriations for budget lines where spend is non-discretionary. Mandatory language training with views of the promotion is considered. External services reflect the costs for existing service level agreements with the EU institutions and provision for interim services. Socio-medical infrastructure covers mainly the cost for international schools and nursery for the children of CEPOL staff members and the cost of the school transportation in line with the

corresponding decision of the Governing Board. Medical expenses, staff committee costs and social contact between staff are kept at a very limited level.

4.2.2 Title 2

The small increase in Title 2 compared to 2016 is due to the fact that some major investments (Enterprise Content Management system and certification ISO 9001 of CEPOL management system) were initiated already in 2015. In 2016 the CEPOL management system will be prepared for external certification in line with ISO 9001 requirements. The Hungarian authorities cover the rental and some utility expenses related to the building during 10 years.

4.2.3 Title 3

Title 3 budget represents a small increase (2%) in 2017 compared to 2016. The year 2017 will be the first year in which CEPOL operates under the new legal mandate. Recent key documents such as the European Law Enforcement Training Scheme (LETS) read in conjunction with the European Agendas on Security and Migration, the European Union Counter-Terrorism Strategy, and the Cybersecurity Strategy constitute de facto a new European law enforcement training policy framework. In the new European Agenda on Internal Security training is identified for the first time as a key supporting action. CEPOL will continue to support the EU Member States tackle these challenges with tailor-made, specific training activities. Given the wider target group established by the new legal basis, joint, multi-disciplinary trainings are likely to increase following the entry into force of the new CEPOL regulation in July 2016. To facilitate achievement of this goal CEPOL delivers training in using the following channels at EU level through a variety of training and learning activities, thus contributing to building bridges between law enforcement professionals:

- Residential training: CEPOL organises 80 - 85 residential activities a year, annually bringing together, on average, 3000 police officers and experts. It is expected to train 9,000 law enforcement officers in 2016-2018.

- E-learning training opportunities (online modules, online course and webinars) are in constant increase (9491 participants trained in 2015 compared to 7912 participants trained in 2014, 5556 participants in 2013, and 3626 participants in 2012). It is expected to train 35,000 law enforcement officers in 2016-2018.

- Common Curricula and training manuals/materials, dedicated to training harmonisation across the EU, are high in demand and would improve awareness of cross border cooperation tools at the entry level of the law enforcement forces.

- European Police Exchange Programme (EPEP): Priority topics for EPEP are fully aligned with the EU policy areas, such as the EU Policy Cycle and security threats identified by Serious and Organised Crime Threat Assessment (SOCTA). It is expected to train 1,200 law enforcement officers in 2016-2018 through EPEP.

- CEPOL's current mandate in the area of research and science is limited to monitoring and dissemination of the research materials, bulletins (2-3 times per year), e-library, annual CEPOL conference. CEPOL has been building a pool of experts through Lecturers, Researchers and Trainers Database since 2013. By the end of 2015 the database had 359 trainers registered deployed through the National Contact Points. Moreover, CEPOL is able to assist other training institutions, organisations with expert data.

- CEPOL monitors the efficiency and effectiveness of the agency through the use of Key Performance Indicators.

- By the end of 2016, CEPOL can reasonably be expected to be implementing the changes on its visual/corporate identity deriving from the new legal framework following a re-branding exercise. CEPOL will focus on developing communications materials that are web-friendly (for use of public website and e-Net). The new e-Net shall also encompass a specific space hosting the database of training activities organised by the JHA agencies.

- External relations: CEPOL has already extended its learning and training offer to Third Countries, in particular those countries with an EU accession perspective (Candidate and potential Candidate Countries) as well as European Neighbourhood Policy (ENP) partners and specifically those belonging to the Eastern Partnership. In 2016 the agency will operationalise further its external relations efforts towards the MENA and MEDA regions, via specific projects such as the EU/MENA Counter Terrorism Training project and the EUROMED project. Efforts will be intensified with regard to Strategic Partners of the European Union, such as the United States of America. CEPOL has launched or concluded negotiations for the stipulation of Working Arrangements with all countries which will have achieved Candidate Country status by 2015, and has expanded its reach to more Eastern Partnership countries within the ENP. Engagement with Third Countries other than Candidates and ENP partners shall be primarily pursued through ad-hoc projects funded outside of the main Community subsidy and shall nevertheless be undertaken only after a careful assessment of available resources.

4.3 Ad hoc grants and delegation agreements

- The Middle East and North Africa Programme (MENA) – the overall objective is to sustain institutional capacity in Turkey, Lebanon, Jordan and Tunisia to prevent, investigate and prosecute terrorism offences. The project is worth

EUR 2.49 million over a span of 18 months – currently to end by 17 May 2017, and is funded under the Instrument Contributing to Stability and Peace (IcSP) managed by the Service for Foreign Policy Instruments. The activities will be based on CEPOL’s tried-and-tested learning methodologies and training products such as specialist residential training courses and staff exchange programmes, adjusted to the particular realities of the target countries.

- EUROMED Police IV: this project is aiming to provide tailored capacity building in priority areas based on the identification of needs and promote the sharing of existing good practices and expertise. In 2016 CEPOL will be involved in setting up and making available the dedicated e-NET space and implementation of a study visit. Exchange visits will be organised in the following year.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	CEPOL Headquarters 1066 Budapest Ó utca 27, Hungary	
Surface area (in square metres) Of which office space Of which non-office space	2,123.23 m2 (footing area) 978.03 m2 1,145.3 m2	
Annual rent (in EUR)	0	
Type and duration of rental contract	According to the host agreement signed between CEPOL and the Hungarian authorities, Hungary provides accommodation for CEPOL for 10 years free of charge as from 1 September 2014.	
Host country grant or support	Office accommodation is currently provided for free by the Hungarian authorities in accordance with the signed host agreement. Hungary covers also the utility fees, maintenance of the building, the security and reception services. However, CEPOL pays for telephony and internet services.	
Present value of the building	NA	

5.1.2 Building project in the planning phase

N.A.

5.1.3 Building projects submitted to the European Parliament and the Council

N.A.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
CEPOL can request the reimbursement of incurred VAT in line with the HQ agreement signed and the applicable Hungarian regulations	CEPOL staff, with the exception of Hungarian nationals are issued a special identity card similar to those issued for members of diplomatic corps of the Member States of the EU in Hungary. CEPOL Staff is entitled to enjoy the privileges and immunities, exemptions and facilities granted by Hungary to members of the diplomatic corps of the Member States of the European Union in Hungary. The Protocol of privileges and immunities applies to the Director of CEPOL and the staff of its Secretariat, with the exception of staff seconded from the Member States and Hungarian nationals. Staff is entitled to reimbursement of VAT in	There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years. CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
	<p>accordance with the relevant rules foreseen for resident officials of international organizations in Hungary, up to 300.000 HUF of value of VAT/year during the first 2 years of employment in Hungary.</p> <p>Staff – with the exception of Hungarian nationals – are entitled to import from their last country of residence or from the country of which they are nationals, free of duty and without prohibitions or restrictions, within 12 months from the date of establishment of normal place of residence in the customs territory of the European Union, furniture and personal effect, including motor vehicles, that shall be registered under diplomatic plates.</p>	<p>provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be:</p> <ul style="list-style-type: none"> - the registration and attendance fees - the school transportation costs. <p>All other costs are excluded, in conformity with Commission Decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.</p>

5.3 European schools

There is no European School in Budapest or at a reasonable distance from Budapest. Also, there is no European section in a national school. On the basis of current information, this situation is not foreseen to change in the coming years. This would lead to the situation where staff members of CEPOL are disadvantaged for not being able to avail their children with education in their mother tongue compared to staff members of other EU institutions and bodies where there is a European school close to their place of employment. It would also be extremely difficult to promote geographical balance among the staff of agency if there would not be a facility to provide schooling of the children of staff in a different language than Hungarian. Based on these considerations, the CEPOL Governing Board decided that CEPOL shall pay the school fees. As a consequence, the school shall be considered as non-fee paying and the staff member concerned shall not receive the education allowance provided for in Article 3 of Annex VII of the Staff Regulations. The costs covered by CEPOL shall be: registration and attendance fees and school transportation costs. All other costs are excluded, in conformity with Commission decision C (2004)131-53-2004 on general implementing provisions for the grant of the education allowance.

5.4 Evaluation

An evaluation of the agency was submitted to the Budgetary Authority by the 22nd of March 2011.

The mail fundings of the latest evaluations are as follows: In terms of conclusions and recommendations it is worth noting that the evaluators have found a break in the Agency's development in 2009. From 2006 to 2009, CEPOL has reasonably well delivered outputs, however, with deficiencies in the functioning of the Secretariat. Following a management change in 2009, identified deficiencies were addressed, and CEPOL has assumed a more forward looking and strategic stance on its development. The main recommendations are: clarify the CEPOL intervention logic; streamline governance and rationalise structures; strengthen the CEPOL Secretariat; merge capacity building for law enforcement; assess Member State engagement with CEPOL; concentrate capacity building efforts and measure results and impacts.

The full evaluation report can be found on the CEPOL website:

http://www.cepol.europa.eu/fileadmin/website/newsroom/publications/CEPOL_5_Year_Evaluation.pdf

A new evaluation of the agency has been carried out by 2015. Results will be available 2016.

2.2.1.3.7 European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice - EU_LISA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 1077/2011 of the European Parliament and of the Council of 25 October 2011 establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice	OJ L 286, 1.11.2011, p.1	Tasks of the Agency as defined in Articles 1 to 9 of the establishing Regulation: the operational management of SIS II, VIS and Eurodac; certain tasks related to the communication infrastructure (namely: supervision, security and coordination of relations between Member States and the provider) and tasks related to monitoring, reporting and statistics related to these systems; tasks relating to the training on the technical use of these systems and training of experts on the technical aspects of SIS II in the framework of Schengen evaluation; tasks relating to the preparation, development, operational management of new large-scale information systems, if so provided by relevant legislative instruments and training on the technical use of these systems, as appropriate; monitoring of research; carrying out of pilot schemes (upon the specific and precise request of the Commission).

1.2 Seat

The seat of the Agency is in Tallinn, Estonia. The tasks relating to development and operational management of IT systems are carried out in Strasbourg, France (technical site). A backup site is installed in Sankt Johann im Pongau, Austria.

1.3 Budget Line

18 02 07 : European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice ('eu-LISA')

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	75	73	74	88
Establishment plan posts : AST	45	44	44	43
Establishment plan posts : AST/SC				
Total Establishment plan posts	120	117	118	131
Contract Agents	8	12	12	27
Seconded National Experts	6	6	8	9
TOTAL STAFF	134	135	138	167

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	80 022 000	153 334 200
Other Revenue	2 245 949	0
TOTAL REVENUES	82 267 949	153 334 200

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	15 241 848	15 241 848	14 987 100	14 987 100
Title 2 - Infrastructure and operating expenditure	11 372 000	11 372 000	9 748 000	9 748 000
Title 3 - Operational expenditure	55 654 101	55 654 101	128 599 100	65 637 700
TOTAL EXPENDITURE	82 267 949	82 267 949	153 334 200	90 372 800

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15								1		1
AD 14		1		1		1		1		1
AD 13		2		2		2		2		2
AD 12		3		3		3		3		3
AD 11		1		1		3		4		4
AD 10		5		5		5		6		6
AD 9		6		6		9		10		10
AD 8		10		10		12		17		17
AD 7		16		16		13		17		17
AD 6		11		11		14		13		13
AD 5		20		18		12		14		14
AD TOTAL		75		73		74		88		88
AST 11										
AST 10										
AST 9						1		1		1
AST 8		1		1		1		2		2

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7		1		1		2		3		3
AST 6		4		4		6		8		8
AST 5		12		12		12		12		12
AST 4		11		11		12		14		14
AST 3		15		14		10		3		3
AST 2		1		1		0				
AST 1										
AST TOTAL		45		44		44		43		43
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		120		117		118		131		131
GRAND TOTAL		120		117		118		131		131

(1) The draft 2017 request includes 14 additional establishment plan posts (5AST and 9AD) which are foreseen in the Commission's amended proposal for a Regulation on the establishment of an EU Entry Exit system and 2 additional establishment plan posts (2AD) foreseen in the Commission's proposal for a Regulation on the establishment of Eurodac for the comparison of fingerprints.

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	6	11	10 (1)	23
Function Group III	2	1	2 (2)	4
Function Group II	0	0		0
Function Group I	0	0		0
TOTAL	8	12	12	27

(1) Estimate for 2016: 23 CA FG IV posts. See section 4.1.1.

(2) Estimate for 2016: 4 CA FG III posts. See section 4.1.1.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	6	6	8	9

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	67 262 000	80 022 000	153 334 200	153 334 200	91,62%
<i>- Of which assigned revenues deriving from previous years' surpluses</i>					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	643 800	2 245 949			-100%
<i>- Of which EEA/EFTA (excl. Switzerland)</i>	643 800	2 245 949			-100%
<i>- Of which candidate countries</i>					
4 OTHER CONTRIBUTIONS	3 350 423				
5 ADMINISTRATIVE OPERATIONS					
<i>- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)</i>					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	71 256 223	82 267 949	153 334 200	153 334 200	86,38%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)	2 967 493				
TOTAL	2 967 493				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 974 375	15 241 848	14 987 100	14 987 100	-1,67%
Salaries & allowances	11 799 586	13 860 848	13 632 100	13 632 100	-1,65%
<i>- Of which establishment plan posts</i>	10 883 150	12 547 000	12 672 100	12 672 100	1,00%
<i>- Of which external personnel</i>	916 436	1 313 848	960 000	960 000	-26,93%
Expenditure relating to Staff recruitment	25 249	35 000	100 000	100 000	185,71%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Mission expenses	300 000	300 000	300 000	300 000	0,00%
Socio-medical infrastructure	427 264	646 000	550 000	550 000	-14,86%
Training	422 276	400 000	405 000	405 000	1,25%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	18 254 188	11 372 000	9 748 000	9 748 000	-14,28%
Rental of buildings and associated costs	13 761 369	4 985 000	3 100 000	3 100 000	-37,81%
Information, communication technology and data processing	1 725 201	1 550 000	1 100 000	1 100 000	-29,03%
Movable property and associated costs	46 561	200 000	100 000	100 000	-50,00%
Current administrative expenditure	232 762	385 000	468 000	468 000	21,56%
Postage / Telecommunications	28 500	35 000	20 000	20 000	-42,86%
Meeting expenses	226 219	280 000	240 000	240 000	-14,29%
Running costs in connection with operational activities					
Information and publishing	460 121	400 000	1 820 000	1 820 000	355,00%
Studies					
Other infrastructure and operating expenditure	1 773 455	3 537 000	2 900 000	2 900 000	-18,01%
Title 3 - Operational expenditure	39 095 804	55 654 101	128 599 100	128 599 100	131,07%
Share System Infrastructure	962 913	7 032 000	15 169 300	15 169 300	115,72%
SIS II	2 164 747	10 708 931	4 925 000	4 925 000	-54,01%
VIS	24 156 159	30 882 134	36 355 000	36 355 000	17,72%
EDAC	5 630 822	3 204 884	17 520 000	17 520 000	446,67%
External Support Services related to Core Systems	1 921 954	2 546 152	3 518 800	3 518 800	38,20%
Meeting and Missions related to Core Services	510 110	540 000	428 000	428 000	-20,74%
Training related to operations	781 606	740 000	1 566 000	1 566 000	111,62%
New Systems	2 967 493		49 117 000	49 117 000	100%
TOTAL	70 324 367	82 267 949	153 334 200	153 334 200	86,38%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	12 702 845	15 241 848	14 987 100	14 987 100	-1,67%
Salaries & allowances	11 799 586	13 860 848	13 632 100	13 632 100	-1,65%
- Of which establishment plan posts	10 883 150	12 547 000	12 672 100	12 672 100	1,00%
- Of which external personnel	916 436	1 313 848	960 000	960 000	-26,93%
Expenditure relating to Staff recruitment	21 867	35 000	100 000	100 000	185,71%
Mission expenses	294 777	300 000	300 000	300 000	0,00%
Socio-medical infrastructure	367 649	646 000	550 000	550 000	-14,86%
Training	218 966	400 000	405 000	405 000	1,25%
External Services					

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	9 215 651	11 372 000	9 748 000	9 748 000	-14,28%
Rental of buildings and associated costs	7 457 055	4 985 000	3 100 000	3 100 000	-37,81%
Information, communication technology and data processing	914 509	1 550 000	1 100 000	1 100 000	-29,03%
Movable property and associated costs	23 294	200 000	100 000	100 000	-50,00%
Current administrative expenditure	171 381	385 000	468 000	468 000	21,56%
Postage / Telecommunications	26 316	35 000	20 000	20 000	-42,86%
Meeting expenses	127 357	280 000	240 000	240 000	-14,29%
Running costs in connection with operational activities					
Information and publishing	141 757	400 000	1 820 000	1 820 000	355,00%
Studies					
Other infrastructure and operating expenditure	353 982	3 537 000	2 900 000	2 900 000	-18,01%
Title 3 - Operational expenditure	37 456 452	55 654 101	65 637 700	65 637 700	17,94%
Share System Infrastructure	962 394	4 050 000	9 400 000	9 400 000	132,10%
SIS II	5 631 827	9 383 931	6 500 000	6 500 000	-30,73%
VIS	23 155 478	34 132 134	35 000 000	35 000 000	2,54%
EDAC	2 926 912	4 279 884	7 809 600	7 809 600	82,47%
External Support Services related to Core Systems	2 198 349	2 508 152	4 000 100	4 000 100	59,48%
Meeting and Missions related to Core Services	434 174	560 000	428 000	428 000	-23,57%
Training related to operations	629 139	740 000	750 000	750 000	1,35%
New Systems	1 518 179		1 750 000	1 750 000	100%
TOTAL	59 374 948	82 267 949	90 372 800	90 372 800	9,85%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget: EUR 0.

4 Justification of needs

Commission assessment

Human Resources:

The Commission supports the number of posts requested in eu-LISA's establishment plan, 131 Temporary agents (TA) and the number of external personnel posts, 27 contract agents (CA) and 9 seconded national experts (SNE) in 2017. The requested number of TA posts is in line with the number set out for the year 2017 (115 posts) in the Communication COM (2013) 519. The draft 2017 budget request includes 16 additional TA posts which are foreseen in Legislative financial statement (LFS) part of the Commission's amended proposal for a Regulation on the establishment of an EU Entry Exit system (EES) and the EURODAC recast proposal. The recruitment of these additional posts will not start before the adoption of the Regulation.

Financial Resources:

The Commission supports the Union contribution requested by eu-LISA (EUR 153 334 200), with the exception of the contribution requested under Title 3 for the technical design and implementation of the core business systems network

test platform of the shared system infrastructure, where only the costs for a study, EUR 150 000 (instead of EUR 500 000) can be taken into account.

Consequently, the EU contribution for 2017 includes the following amounts:

- EUR 83 541 200 for the support of the currently planned agency activities which is below the amount (EUR 84 742 000) determined for the year 2017 in the Communication COM (2013) 519;
- EUR 56 445 000 for the development of the EES (as per the corresponding LFS accompanying the proposal);
- EUR 11 598 000 for the upgrade of the Eurodac System (as per the corresponding LFS accompanying the proposal);
- EUR 1 750 000 for the development of the Dublin allocation IT System (as per the corresponding LFS accompanying the proposal).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

A) Temporary agents (TA)

For the planning of the human resources in 2017 eu-LISA took into account the Commission position outlined in Communication COM (2013) 519. In this context the establishment plan of eu-LISA decreases between 2016-2018 from 120 Temporary Agent (TA) posts to 118 in 2016 and to 115 posts in 2017.

At the same time, an increase in the establishment plan from 115 to 131 posts is foreseen for the additional human resources required for the new tasks the Agency is expected to be entrusted with, depending on the adoption of the following legal basis:

- Entry/Exit System (14 posts): Regulation of the European Parliament and of the Council establishing an Entry/Exit System (EES) to register entry and exit data and refusal of entry data of third country nationals crossing the external borders of the Member States of the European Union and determining the conditions for access to the EES for law enforcement purposes and amending the eu-Lisa Regulation (EU) No 1077/2011.

- EURODAC recast (2 posts): Regulation of The European Parliament and of the Council on the establishment of 'Eurodac' for the comparison of fingerprints for the effective application of [Regulation (EU) No 604/2013 establishing the criteria and mechanisms for determining the Member State responsible for examining an application for international protection lodged in one of the Member States by a third-country national or a stateless person], for identifying an illegally staying third-country national or stateless person and on requests for the comparison with Eurodac data by Member States' law enforcement authorities and Europol for law enforcement purposes (recast).

In summary, the changes in the establishment plan in 2017 are due to: 1) a decrease by 3 posts (1 AD 5 and 2 AST 3); 2) the estimates of the posts for the reclassification exercise in 2017; 3) an increase by 14 posts for the Entry/Exit System and by 2 posts for EURODAC recast described above. This gives in total 131 posts (88 AD and 43 AST).

B) Contract agents (CA)

The number of CA posts requested and authorised in the draft budget 2016 was 12 CA posts. During the course of 2015 when the discussions about the budget 2016 were still ongoing, the Management Board in response to the tasks undertaken by eu-LISA and reflected in its Work Programme, as well as in preparation for new tasks pending the adoption of the legal basis, authorised additional 12 CA posts for 2016, thus increasing the total number of the CA posts to 24. In May 2016 the Agency decided to create by the decision of the Executive Director 3 additional CA posts to address the needs and workload in the Corporate Services Sector and in the General Coordination Unit. Therefore, in 2017 the estimated number of the CA posts is 27 and this number is requested by the Agency for the budget estimate.

C) Seconded national experts (SNE)

In March 2016 the Management Board authorised 1 additional SNE posts for the SIS II AFIS project. Therefore in 2017 eu-LISA plans to increase the number of the SNEs from 8 to 9 posts.

4.1.2 Vacancy rate as of end 2015

Vacancy rate at 31.12.2015 was 2.5% for 120 posts in the establishment plan (Temporary Agents): 2 TA posts were not re-filled due to the planned cuts of staff to be implemented in 2016. There were no vacant posts at the end of 2015 for the Contract Agents or the Seconded National Experts.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

Figures under Title 1 have been calculated using historical data from 2015 of the TAs, CAs and SNEs deployed in Tallinn and Strasbourg. Figures for 2017 each grade and step were based on the establishing plan for 2016, planned promotions and the application of the correction coefficients.

4.1.5 Correction coefficient used

eu-LISA applies as of 1 July 2015 the following correction coefficient on the salaries for the posts assigned in Tallinn: 78%; Strasbourg: 114.6% and Brussels: 100%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

4.2.1 Title 1

Overall, the staff expenses (excluding additional staff for new tasks) would not significantly differ from the 2016 budget. The expenditure for 115 TA (after the mandatory reduction of 3 TAs) in Title 1 has been calculated taking into account actual costs (using historical data from 2015 PMO salary calculations) of the TAs deployed in Tallinn and Strasbourg. In the costs assessment of the following data have been taken into account the basic salary based on grades and steps in 2016, 2015 correction coefficient in Strasbourg and Tallinn, the actual allowances paid in 2016 (family, expatriation, school, annual leave etc.) and the expected promotion level allowed by Staff Regulations. As regards approved external personnel appropriations (27 CAs and 9 SNEs), calculations are based on standard salary calculations provided by PMO and historical data concerning entitlements for allowances from 2016 (basic salary with relevant grades and all applicable allowances paid in 2015). Additional appropriations of EUR 1,9 million as foreseen for Title 1 conditional on the adoption of the Regulations establishing an Entry/Exit System (EES) and Eurodac.

Expenditure for recruitment: linked with the proposed preparations for the Smart Borders package, the Agency is expected to organise a substantial number of recruitment procedures in order to establish reserve lists, resulting in an increase of expenses to EUR 100,000 compared to EUR 35,000 in the 2016 budget.

Mission expenses cover missions for the staff mainly between Strasbourg, Tallinn and Brussels.

Socio-medical infrastructure: the expenses have been allocated to cover annual medical check-up for staff, nursery allowance, costs of European Schools in Strasbourg and Tallinn and welfare activities.

Training of staff would remain at the same level of 2016 and covers learning and development activities on soft, administrative and managerial skills related to the development of eu-LISA statutory staff.

4.2.2 Title 2

Rental of buildings and associated costs: all running costs for premises in Tallinn (Headquarters), Strasbourg (technical site) and St. Johann im Pongau (backup site) are estimated as substantially unchanged compared to previous years. Such expenditure will cover costs of electricity, utilities, and other expenditure in accordance with the technical agreement in force with the Austrian Government. The reallocation to the new premises in Tallinn and Strasbourg are foreseen to take place in 2017, therefore the following costs are included EUR 50,000 for the establishment of corporate and other services (e.g. refurbishment, catering, meeting, cleaning, waste disposal, supplies, post, logistics) in the new building in Tallinn and EUR 200,000 for the relocation from old to new premises in Tallinn. In total EUR 3,1 million is requested to cover all expenditure related to premises in all three locations.

Information, communication technology and data processing costs include the costs for further development and maintenance of corporate IT infrastructure (intranet and extranet platforms, electronic document management systems, corporate IT services), corporate network adaptations in both Strasbourg and Tallinn.

Regular administrative costs consist of movable property costs including furniture and other technical equipment; current administrative cost, EUR 268,000 of which are subject to the adoption of the legal basis for EES and EUR 240,000 for timely administrative support for the Management Board Meetings and other corporate related meetings. Furthermore it is foreseen that eu-Lisa will to the Data Protection Officers' Network meeting in 2017.

Information and publication costs include the costs for the coordination of the eu-LISA's annual large-scale awareness event/conference for stakeholders on 2017 key topics and the expenditures for publication and translation of documents; implementation of the integrated communication campaign on the system and new tasks of eu-LISA, events management; implementation of the social media strategy; visibility events; media outreach actions; HQ-related visibility actions and website upgrade.

Other infrastructure and operating expenditure includes two subdivisions:

a. External support services: consultancies, staff augmentation and interim services:

TYPE OF EXTERNAL SUPPORT	PLANNED BUDGET	PURPOSE
ADMINISTRATIVE SUPPORT	305,000	Administrative support related to e.g. Advisory Groups, Management Board, annual planning and reporting, internal communication
CONSULTANCY	90,000	Development of a Health and Safety policy document and implementation of a related horizontal activities roadmap; 360 degree evaluation is performed in the Agency
IT SUPPORT	1,105,000	Establishment of corporate and other services in the new building in Tallinn. Further development of intranet and extranet platforms, electronic document management system. Improvement of the network, system and security architecture of the Agency for corporate use; Creation of eu-LISA e-library
TOTAL	1,500,000	

b. Corporate Security: EUR 1,4 million is requested to assure physical security to eu-LISA, and for the implementation of an EU-R information exchange system, which is required to handle EU-restricted information.

4.2.3 Title 3

In 2017 eu-LISA aims to ensure 24x7 availability and stability of the systems under its management. At the same time the Agency will continue to increase added value of the systems and services provided to the Member States through their evolutions. The following projects are essential for the Agency in order to improve efficiency of its operations and management of the systems under its responsibility:

SHARED SYSTEM INFRASTRUCTURE		
PROJECT	PLANNED BUDGET	PURPOSE
Management of unplanned changes to the Core Business System (CBS)	EUR 200,000	This budget will allow the Agency to finance the management of any decided changes.
Conducting unified network study	EUR 510,400	Study on the possibilities for improvement of the communication infrastructure for CBS, pilot implementation and the later purchase of network equipment and service implementation.
Improvement of eu-LISA process and service model based on ITIL Best Practice and the integrated ITSM tool	EUR 150,000	This activity will mainly focus on enhancing the process integration of eu-LISA in the framework of ITSM in order to better serve stakeholders and reach higher levels of process maturity.
Definition and implementation of a maturity assessment model	EUR 270,000	The Agency plans to deliver a study, which will aim to establish a baseline of the state of the play for the core service management processes and model, to identify existing gaps and to develop a roadmap for achieving certification in ISO 20000.
Technical design and implementation of the CBS network test platform	EUR 500,000	The expenditure is required for receiving consultancy for the study, the later purchase of hardware equipment, software licences and integration/implementation services
Implementation of the 2nd phase of shared backup Infrastructure (after phase 1 completion in 2016)	EUR 2,470,000	A centralised backup infrastructure will optimise eu-LISA's backup management procedures. The 1st phase of the project (started in 2016) will put in place the basic but scalable backup infrastructure with minimum capacity, necessary to host backup of shared infrastructure and one CBS (namely EURODAC). The 2nd phase (to be delivered in 2017), includes purchase of backup infrastructure.
Implementation of the 2nd phase of shared services (after phase 1 completion in 2016)	EUR 2,915,900	As to rationalise eu-LISA's datacentre infrastructure management, Common Shared Services (CSS) platform shall be put into service. Based on CSS study completed in 2015, the Common Shared Infrastructure implementation is foreseen for January 2017 at the latest.
Implementation of the advanced reporting tool study	EUR 300,000	In order to fully comply with industry standards for IT Service Management (ITSM/ITIL) the Agency's operational model needs to be enhanced and evolved.
Introduction of Advanced Network Statistics	EUR 100,000	This system will allow eu-LISA to measure and evaluate real performance of the communication infrastructure used by all CBSs (to be finally achieved in 2019 after completion).

SHARED SYSTEM INFRASTRUCTURE		
PROJECT	PLANNED BUDGET	PURPOSE
Operate, manage and maintain the backup data centre and backup operational site in St. Johann / Pongau	EUR 825,000	This activity is required to enable the backup data centre for providing the possibility to cover 24/ 7 daily operations of IT Infrastructure of CBS, including operational management, release and change management, incident and problem management and resolution, maintenance activities and facilitation of projects execution.
Implementation of centralized business continuity management platform software	EUR 10,000	To ensure the resilience of core business processes and systems to disaster, business continuity management platform software will be needed. This envisages the installation and putting into operation of a server-based software platform.
Implementation of SIEM on the CBS	EUR 400,000	Development of the security monitoring processes and implementation of the infrastructure for security information and events management (SIEM)
Implementation of Technical Security Control Architecture Roadmap	EUR 400,000	To protect the Agency's systems from emerging threads through adaptive technical security architecture and to provide assurance that the Agency's core business systems will effectively protect the information they handle and will function as they need to.
Operate and improve the Security & Continuity Management System Framework	EUR 350,000	It includes e.g. audit of the SMS; annual external security assessment; business continuity exercising and testing; licence renewals; and maintenance of physical security measures.
Entry/Exit System - specific objective No 3 - Network	EUR 6,118,000	Subject to adaption of Regulation of the European Parliament and of the Council establishing an Entry/Exit System (EES)

SIS II		
PROJECT	PLANNED BUDGET	PURPOSE
SIS II test services and maintenance	EUR 230,000	Test services to provide to Member States (environments set-up, test scenario design, test execution or consultancy) based on demand.
Increase SIS II capacity/performance system/fine-tune functionalities (project finalisation)	EUR 105,000	Finalisation by providing the fine-tuning of the system. Minimum 100 million records will be fine-tuned with small implementations as needed.
Operational Management of SIS II	EUR 4,590,000	Including e.g. the continued provision of 24/7 application management services, maintenance and MS user assistance, as well as patching, release management and change management.

Visa Information System (VIS) and Biometric Matching System (BMS): Major evolutions of the BMS/VIS systems have been performed by eu-Lisa in 2014 and 2015 in order to ensure that VIS and BMS can adapt to business needs and legislative changes (Visa Code Plus EiO, integration of VIS Mail into VIS, Visa Code recast). In 2017, the main outstanding aspects to be addressed are the fine-tuning of the transactional distribution of both systems and increase of the BMS database along with the optimisation of the functioning of the systems. Significant amount of additional hardware, software and maintenance services have been included in the budget of the associated projects which will result in higher costs of maintenance services for BMS/VIS under the new VIS/BMS Framework contract for the Maintenance in working order (MWO).

Visa Information System (VIS) and Biometric Matching System (BMS)		
PROJECT	PLANNED BUDGET	PURPOSE
Tuning of BMS transactional throughput	EUR 3,000,000	This activity aims at refining, aligning and optimising the BMS with the VIS transactional distribution with the actual use of Member States National systems.
BMS 2 database increase	EUR 8,800,000	The BMS database is expected to host 60 million records by December 2018. To avoid any technical resource shortage in the future, the storage capacity needs to be increased.
Implementation of VIS/BMS end-to-end testing platform	EUR 6,150,000	VIS and BMS do share currently neither end-to end testing strategy nor tools. This action aims implementing a global and representative VIS/BMS test database, unlike to the current situation where two VIS and BMS databases coexist.

Visa Information System (VIS) and Biometric Matching System (BMS)		
PROJECT	PLANNED BUDGET	PURPOSE
Operational Management of BMS	EUR 6,000,000	This activity ensures that BMS provides the required services (including continued provision of 24/7 application management services, adaptive and corrective maintenance, supervision of maintenance and user assistance) to the Member states in the necessary quality without interruptions. In 2015 and 2016, BMS has been undergoing major capacity improvements e.g. : BMS 2.1, increasing transactional throughput capacity up to 250% on synchronous and 650% on asynchronous channels and BMS 2.2, increasing Data Base capacity from 24M to 60M records.
Operational Management of VIS	EUR 7,500,000	The activity ensures that the VIS provides the required services (including continued provision of 24/7 application management services, adaptive and corrective maintenance, supervision of maintenance and user assistance) to the Member states in the necessary quality without interruptions. Since 2013, VIS has undergone many major capacity and structural evolutions e.g.: VIS Evolution, increasing transactional throughput capacity from 60.000 transactions per hour to 450.000 transactions per hour and VIS Database Increase from 40M to 100 M records.
Tuning of VIS transactional throughput	EUR 4,000,000	This activity aims at refining, aligning and optimising the VIS with the BMS transactional distribution with the actual use of Member States National systems.
Data Warehouse (DWH) analysis and design to improve automates reporting and statistics generation	EUR 905,000	DWH Software development, including documented standard report VIS-BMS DWH specific functional requirements, VIS-BMS logical /physical data model, VIS-BMS ETL design document, Implementation, test and deployment of the VIS-BMS Data Warehouse.

EURODAC		
PROJECT	PLANNED BUDGET	PURPOSE
Implementation of EURODAC evolutions (network migration)	EUR 190,000	The activity is required for the network provider to migrate to the dedicated network infrastructure (following Art. 3 RECAST Reg. 603/2013) for the optimal functioning of EURODAC system.
Implementation of EURODAC evolutions (capacity upgrade)	EUR 600,000	The activity is required for a dedicated increase and aligns the system capacity with the business needs, including a capacity upgrade of the number of records stored in the central system. Capacity evolutions planned for implementation during 2016 will allow reaching a capacity of 7,000,000 records in the database and additional capacity upgrade will be needed in 2017.
Operational Management of EURODAC	EUR 5,400,000	This activity ensures that the EURODAC system operates as required, MS receive a reliable service of the EURODAC system (including e.g. the continued provision of 24/7 application management services, adaptive and corrective maintenance, the supervision of maintenance and MS user assistance). A new MWO contract for three years will be awarded.
EURODAC Recast	EUR 11,330,000	Subject to adoption of Regulation of the European Parliament and of the Council on the establishment of 'Eurodac' for the comparison of fingerprints

External support services related to core systems (VIS/BMS, SIS II, EURODAC): for tasks that could not be covered by the Agency's staff due to the limitation in the human resources or the specialised nature of the qualifications required. However the tasks of these external services providers are linked with the upgrading and improving of the system's capabilities, they will not be directly assigned to the systems.

Meetings and missions related to operations: for organising periodic statutory meetings per Advisory Group (AG) and other expenses related to the activity of AG members and operational mission to BCU.

Training related to operations: internal trainings and trainings for the Members States. Eu-LISA provides training on the technical use of the large scale IT systems to experts from Member States (National IT operators, SIRENE, Schengen evaluators).

New systems: include expenses for Entry/Exit System with two specific objectives: Development and Maintenance of the Central System which are subject to adoption of the legal basis for Entry/Exit System and Dublin Allocation IT System for the registration and automatic allocation of asylum applicants.

Payment appropriations:

Title 3 comprises differentiated appropriations, the level of carry forwards from previous financial years amount to EUR 66.2 million. Due to multi-annual nature of the contracts 81,7 % of 2016 commitment appropriations in Title 3 have been carried forward from 2015 to 2016.

4.3 Ad hoc grants and delegation agreements

Based on information currently available to the Agency, no grants or delegation agreements are foreseen in 2017.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	European Union House, Rävala 4, Tallinn, Estonia	eu-LISA is occupying the ground floor, 1st and 6th floor of the building
Surface area (in square metres)	Surface area (in square meters) - 1443.5 m2 (6th floor) and 378 m2 (0 and 1st floor)	
Of which office space	601,8 m2 (6th floor) and 200,6 m2 (0 and 1st floor)	
Of which non-office space	841,7 m2 (6th floor) and 177,4 m2 (0 and 1st floor)	
Annual rent (in EUR)	0 EUR (6th floor); 0 EUR (0 and 1st floor)	eu-LISA reimburses only the running costs
Type and duration of rental contract	Memorandum of understanding (6th floor) until new HQ is ready, 30 months' rent contract (0 and 1st floor)	
Host country grant or support	EE pays for rent	Rent is paid by Estonian Ministry of Interior
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	18 Rue de la Faisanderie 67100 Strasbourg, France	n/a
Surface area (in square metres)	2,3805 m2	
Of which office space	806 m2	
Of which non-office space	1.574 m2	
Annual rent (in EUR)	HOME-2012-LISA/CT/EUROMODULES/ 2013/SC1: 10790 EUR (LISA-2014-NP-15: 21000 EUR)	
Type and duration of rental contract	HOME-2012 LISA/CT/EUROMODULES/2013/SC1: 4 containers - 36 months LISA-2014-NP-15: 5 containers - 10 months	HOME-2012-LISA/CT/ EUROMODULES/2013/SC1: until 30 April 2016 LISA-2014-NP-15: until 29/02/2016
Host country grant or support	FR granted entire premises for 1 EUR in 29/05/2013	
Present value of the building	Building value: 731,000 € (net book value as of 31/12/2014). Land value: 1,490,000 € (book value as of 31/12/2014)	

	Name, location and type of building	Other Comment
Information to be provided per building:	Austrian Central Federal Back-Up Center in Sankt Johann im Pongau, Austria	Back-up facility
Surface area (in square metres)	626 m2	
Of which office space	223 m2	
Of which non-office space	403 m2	
Annual rent (in EUR)	EUR 591,328	Operational expenditure
Type and duration of rental contract	Indefinite Operational Agreement with Republic of Austria	
Host country grant or support	N/A	
Present value of the building	Data not available	

	Name, location and type of building	Other Comment
Information to be provided per building:	eu-LISA liaison office, 20 avenue d'Auderghem, 1040 Brussels: 62 m2 (two offices on 2nd floor)	
Surface area (in square metres)	626 m2	
Of which office space	223 m2	
Of which non-office space	403 m2	
Annual rent (in EUR)	EUR 12,385	
Type and duration of rental contract	Rent agreement 12+12+12 months' rent contract (until 31/12/2016)	
Host country grant or support	N/A	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

Building project Strasbourg

In March 2013 eu-LISA's Management Board decided to fully upgrade the existing data centre in Strasbourg which houses the CUs of eu-LISA's large scale IT systems; and construct a new independent office building to accommodate existing and future forecasted eu-LISA staff members, as well as welfare and training facilities. The upgrade and construction project foresees to: build an office building of three floors (projected space 3,728sqm); refurbish existing main building (1,497sqm, built in 1992), where large scale IT systems are housed; construct a new energy building of two stores to house vital energy back-up systems (projected space 488 sqm) and construct a new security gatehouse in line with up to date standards (projected space of 99 sqm); With this project, overall current surface area of 1.365 sqm will expand to 5.812 sqm, including several highly specific technical or operational areas. A design & build tender was awarded to a general contractor that just started the design development phase in this 2015. According to the implementation schedule, the project shall be finalised by 2017. The value of this contract is to be financed completely by the budget of the Agency.

Building project Tallinn

There is a decision for a new building for a permanent headquarters of eu-LISA in Tallinn, Estonia. The building is expected to be completed by end of 2017. The project expenses are covered by the Estonian Government confirmed by a Government decision. The project is in the architectural concept design evaluation phase. The plot of the construction site is 5,628 sqm. The covered net surface of the building is approximately 3,000 sqm, with a possibility to extend the area by 2,000 sqm in the future.

5.1.3 Building projects submitted to the European Parliament and the Council

The Agency formally submitted its building project file referred to by section 5.1.2 to the Budgetary Authority on 1 April 2015. The project was approved after extensive discussion in the European Parliament.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
ESTONIA: seat agreement ratified on 18 February 2015, entered into force in March 2015	ESTONIA: seat agreement ratified on 18 February 2015, entered into force in March 2015	European School functioning as of September 2013
FRANCE: n/a (seat agreement awaiting ratification)	FRANCE: n/a (seat agreement awaiting ratification)	European School in Strasbourg
AUSTRIA: in accordance with EU protocol of privileges and immunities	n/a (no permanent staff)	n/a (no permanent staff)

5.3 European schools

Children of eu-LISA staff attend the European Schools in Tallinn or in Strasbourg. In 2014 eu-LISA signed an agreement with the European Commission for the financial contribution to the European Schools in Tallinn and Strasbourg (estimated at EUR 325,000 for the school year 2016/2017 compared to EUR 275 000 for the previous year). In both locations there are also other possibilities to obtain the education based on an international curriculum or on a well-recognised national curriculum in the international sections of the city's secondary schools and international colleges. These options are used by the eu-LISA staff members for their children in some cases. In order to assist the staff whose children are younger than 4 years and for whom the European Schools cannot provide its care, eu-LISA

introduced in 2014 a nursery allowance for the reimbursement of the costs related to the provision of the day care for small children. The budget estimate for 2016 and 2017 is EUR 150 000.

5.4 Evaluation

First evaluation of the Agency as per art 31 of the ER is underway. Final Report from contractor to the Commission is expected by mid-February and findings presented to the MB of the Agency in March. Final Report will be followed by impact assessment of the Commission regarding suggested changes to the establishing Regulation of the Agency is expected second half of 2016.

2.2.1.3.8 European Asylum Support Office - EASO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 439/2010 of the European Parliament and of the Council establishing a European Asylum Support Office	19 May 2010	<p>EASO's mission is to contribute to the implementation and development of the CEAS by providing support and facilitating, coordinating and strengthening practical cooperation among Member States as an independent centre of expertise on asylum.</p> <p>In order to fulfil its mission, EASO's main tasks are: providing practical and technical support to Member States and the EU Institutions; providing operational support to Member States that have specific needs and to Member States subject to particular pressure on their asylum and reception systems caused by sudden and extra-ordinary situations of arrivals on their territory; and providing scientific input for EU policymaking and legislation in all areas having a direct or indirect impact on asylum and migration.</p>

1.2 Seat

Valetta, Malta

1.3 Budget Line

18 03 02 : European Asylum Support Office (EASO)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	71	48	73	107
Establishment plan posts : AST	18	13	18	48
Establishment plan posts : AST/SC				
Total Establishment plan posts	89	61	91	155
Contract Agents	23	21	27	41
Seconded National Experts	12	11	12	11
TOTAL STAFF	124	93	130	207

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	19 438 600	66 206 000
Other Revenue	1 390 000	1 295 000
TOTAL REVENUES	20 828 600	67 501 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	550 000	455 000

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	9 126 500	9 126 500	19 865 320	19 865 320
Title 2 - Infrastructure and operating expenditure	3 703 100	3 703 100	7 185 120	7 185 120
Title 3 - Operational expenditure	6 609 000	6 609 000	39 155 560	39 155 560
TOTAL EXPENDITURE	19 438 600	19 438 600	66 206 000	66 206 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15						1		1		1
AD 14		1								
AD 13										
AD 12								4		4
AD 11		1				1		1		1
AD 10		8		4		9		5		5
AD 9		9		2		8		8		8
AD 8		10		5		10		10		10
AD 7		28		21		28		32		42
AD 6		5		6		5		12		12
AD 5		9		10		11		24		24
AD TOTAL		71		48		73		97		107
AST 11										
AST 10										
AST 9										
AST 8										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 7										
AST 6										
AST 5								3		3
AST 4		6		2		6		6		6
AST 3		6		6		6		14		24
AST 2		1		1		2		3		3
AST 1		5		4		4		2		12
AST TOTAL		18		13		18		28		48
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		89		61		91		125		155
GRAND TOTAL		89		61		91		125		155

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	10	9	12	18
Function Group III	8	8	10	18
Function Group II	3	3	3	4
Function Group I	2	1	2	1
TOTAL	23	21	27	41

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	12	11	12	11

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	11 948 688	19 438 600	26 144 950	66 206 000	240,59%
- Of which assigned revenues deriving from previous years' surpluses		906 759			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	145 587	840 000	840 000	840 000	0,00%
- Of which EEA/EFTA (excl. Switzerland)	145 587	840 000	840 000	840 000	0,00%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	430 861	550 000	455 000	455 000	-17,27%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	12 525 136	20 828 600	27 439 950	67 501 000	224,08%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	430 861	550 000	455 000	455 000	-17,27%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	430 861	550 000	455 000	455 000	-17,27%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 533 271	9 126 500	11 223 750	19 865 320	117,67%
Salaries & allowances	4 560 691	7 816 500	9 593 750	16 367 600	109,40%
- Of which establishment plan posts	3 379 762	6 121 500	7 708 750	13 680 060	123,48%
- Of which external personnel	1 180 929	1 695 000	1 885 000	2 687 540	58,56%
Expenditure relating to Staff recruitment	274 283	340 000	485 000	1 005 794	195,82%
Mission expenses	145 910	90 000	100 000	263 050	192,28%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Socio-medical infrastructure	138 167	325 000	400 000	802 201	146,83%
Training	54 892	160 000	230 000	605 016	278,14%
External Services	358 336	390 000	410 000	808 506	107,31%
Receptions, events and representation	992	5 000	5 000	13 153	163,06%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 937 077	3 703 100	5 916 200	7 185 120	94,03%
Rental of buildings and associated costs	971 434	1 527 000	3 221 200	2 995 915	96,20%
Information, communication technology and data processing	816 517	752 000	902 400	1 573 766	109,28%
Movable property and associated costs	121 078	120 000	231 000	507 646	323,04%
Current administrative expenditure	923 568	1 105 100	1 326 000	1 788 047	61,80%
Postage / Telecommunications	104 480	199 000	235 600	319 746	60,68%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	6 076 799	6 609 000	9 845 000	39 155 560	492,46%
Support for the CEAS implementation	469 809	890 000	1 300 000	2 119 654	138,16%
Support for MS practical cooperation	2 687 008	3 670 000	4 800 000	5 430 818	47,98%
Support for MS under particular pressure	2 807 832	1 929 000	3 560 000	31 268 445	1520,97%
Cooperation with partners and stakeholders	112 150	120 000	185 000	336 643	180,54%
TOTAL	14 547 147	19 438 600	26 984 950	66 206 000	240,59%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	5 344 611	9 126 500	11 223 750	19 865 320	117,67%
Salaries & allowances	4 560 691	7 816 500	9 593 750	16 367 600	109,40%
- Of which establishment plan posts	3 379 762	6 121 500	7 708 750	13 680 060	123,48%
- Of which external personnel	1 180 929	1 695 000	1 885 000	2 687 540	58,56%
Expenditure relating to Staff recruitment	216 644	340 000	485 000	1 005 794	195,82%
Mission expenses	127 322	90 000	100 000	263 050	192,28%
Socio-medical infrastructure	118 671	325 000	400 000	802 201	146,83%
Training	36 946	160 000	230 000	605 016	278,14%
External Services	283 345	390 000	410 000	808 506	107,31%
Receptions, events and representation	992	5 000	5 000	13 153	163,06%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 840 494	3 703 100	5 916 200	7 185 120	94,03%
Rental of buildings and associated costs	784 246	1 527 000	3 221 200	2 995 915	96,20%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Information, communication technology and data processing	301 610	752 000	902 400	1 573 766	109,28%
Movable property and associated costs	74 199	120 000	231 000	507 646	323,04%
Current administrative expenditure	621 811	1 105 100	1 326 000	1 788 047	61,80%
Postage / Telecommunications	58 628	199 000	235 600	319 746	60,68%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 763 584	6 609 000	9 845 000	39 155 560	492,46%
Support for the CEAS implementation	411 432	890 000	1 300 000	2 119 654	138,16%
Support for MS practical cooperation	2 662 635	3 670 000	4 800 000	5 430 818	47,98%
Support for MS under particular pressure	1 564 461	1 929 000	3 560 000	31 268 445	1520,97%
Cooperation with partners and stakeholders	125 056	120 000	185 000	336 643	180,54%
TOTAL	11 948 689	19 438 600	26 984 950	66 206 000	240,59%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 0.

4 Justification of needs

Commission assessment

The Commission Proposal COM (2016)271 on the Regulation of the European Council and Parliament on the European Agency for Asylum and repealing Regulation 439/2010 provides for enhanced roles of EASO, which becomes a fully-fledged Agency that would facilitate the proper functioning of the Common European Asylum System. The agency will be in charge of a dedicated monitoring mechanism to assess the compliance of Member States with the asylum standards. It will also ensure a more harmonised assessment of international protection applications across the EU, based on the criteria defined by the Qualification Directive. At the same time, the Agency will have at its disposal an asylum intervention pool of experts which the Member States would be obliged to contribute to and will be able to intervene, including on its own initiative, in support of Member States who have not taken necessary remedial actions or who face disproportionate pressure on their asylum/reception systems. EASO 's new legal base is expected to enter into force as of 2017, therefore the 2017 Agency budget should cover the new activities and staff needs. Consequently, the Commission proposes an EU contribution for 2017 of EUR 66,206 million and an increase in the staff number by 77 (64 establishment plan posts + 13 external staff) which is in line with the legislative financial statement for the Agency's new legal basis (Commission Proposal COM (2016)271).

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EASO will require more resources in the period of 2017 – 2019 to fulfil its mandate. The consolidation of the increase in 2016 would imply 41 new positions in 2017, mostly allocated to the operational Units, resulting in an establishment plan of 177 staff members (97 AD positions and 28 AST positions). A limited number of additional staff is requested to ensure sufficient capacity for the support services, e.g. management of the additional building space, events, security and financial management.

EASO intends to increase the number of staff devoted to Operational support, including the support in the Hotspots (+10) to duly cope with the growing support needs generated by the completion of the set-up of all the planned Hotspots in order to respond to particular pressure on the asylum and reception systems, also by deploying experts in

the form of Asylum Support Teams and further development of the ICT system for operations, the Asylum Intervention Pool (AIP). Other key areas in which EASO intends to reinforce the staff in view of their intensification, already happening in 2016 include: Permanent Support (+9 posts) to EU+ countries with the aim of enhancing their capacities to implement the Common European Asylum System (CEAS) with particular emphasis on Training (+4 posts) including the implementation of a new e-learning platform and the accreditation of the Training Curriculum aiming to achieve an International Sectoral Qualification for asylum officials; External Dimension (+4 posts) for strengthening its cooperation with, and increase its support to Third countries, (including Western Balkans and Turkey, North Africa) in particular for the Resettlement activities (+3 posts).

4.1.2 Vacancy rate as of end 2015

In 2016 all additional Establishment Plan posts, allocated to EASO through the amendments to the 2015 EU Budget and through the 2016 EU Budget, are expected to be filled, most of them by the summer of 2016. Almost 90% of these posts have been allocated to the operational units aiming at maximising, firstly, the availability of resources for the hotspots and, secondly, the strengthening of operational and capacity building support. In terms of forward planning and status of the recruitment, it should be noted that out of the 30 additional posts, 10 have already been filled. Another 11 posts are expected to be appointed in spring and the remaining 9 posts to be appointed in the summer of 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

84.5%

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

Staff expenditures: Since EASO is growing; more resources can be allocated to operational units and less to support activities. This trend is clearly observable with the allocation of the 30 additional posts to EASO: almost nine out of ten (86.8%) of the new posts have been secured for the Operational Units. This ratio may even improve, as EASO is taking measures to make the internal organisation more efficient with the introduction of electronic HR, the paperless workflow for financial and procurement processes and the automation of missions.

4.2.2 Title 2

Infrastructure and Operating expenditures: The increased expenditures from 2016 to 2017 for infrastructure and running costs are mainly related to the building expansion necessary to house the additional staff. EASO is also facing considerable shortages in administrative appropriations (Title 2 of the budget) due to the extended Relocation Communication Plan 2016 requested by the Management Board of EASO and to the obligation to translate all the vacancy notices in all EU official languages. It is to be noted that the request for the current administrative expenditures 2017 does not take into account the obligation to translate in all EU languages all the Vacancy Notices, so this Chapter may require adjustments upwards.

4.2.3 Title 3

Operational expenditures: Following the adoption of the European Agenda on Migration, there is an intensification of the operational activities of EASO as from 2015 given the increased demand for support from the Member States as well as from EU Institutions both pulled by the migration flows towards EU. The expenditure for operational support (including Hotspots activities) are dramatically increasing in 2016 and the trend of 2017 is not expected to invert.

The initial budget allocated for Operations in 2016 was EUR 6.6 million, of which more than 90% has been already committed to cover the increasing costs to provide operational support to the Member States. EASO is therefore facing a concerning shortage in Title 3. EASO is consequently requesting a budgetary increase, for a total amount of EUR 6.52 million out of which EUR 4.37 million to cover additional operational activities mainly for Operational Support.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	EASO Premises, Winemakers Wharf, Valletta Harbour	
Surface area (in square metres) Of which office space Of which non-office space	EASO is currently renting one Block, Block A, in a compound with other 2 and it comprises of 3,159.88m ² internal office space and 570.46m ² of external areas with a total area is 3,730.34sm In addition, there are 28 parking spaces in the garage situated at Level -1 of the building.	
Annual rent (in EUR)	Currently the annual rent for Block A stands at EUR 51,082.03 per month (€557,029.29 per annum) and the Maintenance Fee amounts to EUR 55,955.10 per annum.	
Type and duration of rental contract	The lease agreement entered into force on 19 June 2011 and shall terminate on the 18 June 2020, subject to possible extensions by mutual consent of the parties, for further periods of three (3) years each. EASO officially moved into the building on 3 September 2012.	
Host country grant or support	The Maltese Government gave support in kind to the value of circa EUR 500,000	
Present value of the building	N/A (building on a lease)	

5.1.2 Building project in the planning phase

EASO premises presently constitute Block A of a compound with Blocks B and C currently occupied by the Maltese Transport Authority, Malta Transport (MT). Block A comprises of 3,159.88 sqm internal office space including a business centre and meeting rooms and 570.46 sqm of external areas with a total area of 3,730.34 sqm. In addition, there are 28 parking spaces in the garage situated at Level -1 of the building. Following the decision taken by the Budgetary Authority for responding to the unprecedented migratory pressure of 2015, EASO staff will almost duplicate in 2016. Additional 46 staff members are expected to be recruited in the first half of this year and the total number of staff will go from 90 to 136 units (+51%). Furthermore, EASO will expand and intensify its activities bringing about a consequential increase in the number of other temporary occupiers of its premises (experts attending meetings, external contractors etc.). In this light, in 2016 EASO needs to expand its premises to the adjacent Block C (approximately 800sqm) which will be rented out to EASO in the same leasing conditions as Block A. Block C can be taken over in the first quarter of 2016. Since Block C alone will not be sufficient, once all recruitments will be completed, EASO intends to negotiate with Malta for the leasing of Block B too. This building consists of an area of 5,465 sqm, it is currently occupied by Malta and can be taken over at the latest in early 2017.

5.1.3 Building projects submitted to the European Parliament and the Council

In line with Art. 88.4.d of the Framework Financial Regulation and Article 203 of the Financial Regulation, EASO has submitted the building project for the renting of Block B to European Parliament and the Council. The EASO's project for the expansion of its premises was approved in June 2016.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Protocol on the Privileges and Immunities of the European Union.	Protocol on the Privileges and Immunities of the European Union.	Decision of the Management Board of the European Asylum Support Office of 29 November 2013 on the Agency

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Seat Agreement between the Government of Malta and the European Asylum Support Office.	The Seat Agreement between the Government of Malta and the European Asylum Support Office.	contribution to schooling fees for children attending a private/international school in Malta and the EASO contribution to support their integration in the local environment.

5.3 European schools

The Agency has signed agreements with private/international schools in Malta to compensate for the current lack of a European School within a perimeter of 50km from EASO's headquarters. In this sense, the Management Board adopted in November 2013 a Decision on the EASO contribution to school fees for children attending a private/international school in Malta and to support their integration in the local environment. The Decision foresees the provision of adequate financial support to staff members whose children attend these schools, also taking into account the development of a sustainable and balanced approach between the financial support to the annual school fees and the Agency's expenditure

5.4 Evaluation

In accordance to Article 46 of the EASO Regulation, an independent external evaluation of its achievements has been initiated. Group interviews with EASO staff, the Members of the EASO Management Board, and EU stakeholders, online surveys targeting national stakeholders, EASO expert and trainers pools, members of courts and tribunals have been completed and case studies have been carried out in the 6 selected Member States. The final evaluation report was submitted at the end of December 2015 and will be soon available on EASO's website. On the basis of the findings of this evaluation an action plan will be established and its implementation will be monitored throughout 2016.

2.2.1.3.9 European Monitoring Centre for Drugs and Addiction - EMCDDA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EEC) 302/93	08/02/1993	The Council Regulation (EEC) N° 302/93, through which the EMCDDA was created, entered into force on 31 October 1993 and was repealed by the recast Regulation (EC) No 1920/2006.
Recast Regulation (EC) 1920/2006	12/12/2006	<p>The EMCDDA's mission is to provide the EU and its Member States with factual, objective, reliable and comparable information at European level on drugs, drug addiction and their consequences.</p> <p>To achieve its objectives, the Centre shall perform the following tasks: a) collection and analysis of existing data; b) improvement of data-comparison methods; c) dissemination of data; d) cooperation with European and international bodies and organisations and with third countries; e) identification of new developments and changing trends.</p> <p>The recast Regulation stipulates that the Centre shall focus on the following priority areas: monitoring the state of the drugs problem and emerging trends; monitoring the solutions applied to drug related problems; assessing the risks of new psychoactive substances and maintaining a rapid information system; and developing tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies.</p> <p>The EMCDDA's tasks were extended through the recast Regulation. The collection, registration and analysis work must also cover data on emerging trends in poly drug use, including the combined use of licit and illicit psychoactive substances, but also information on best practices in the Member States and the exchange of such practices among them. The EMCDDA was also required to develop tools and instruments to facilitate the Member States' and the Commission's monitoring and evaluation of their respective drugs policies and strategies.</p> <p>The scope of the Centre's technical assistance was extended to certain non-Community countries such as the candidates for EU accession or the countries of the Western Balkans.</p> <p>Lastly, the recast Regulation introduced several organisational changes, such as the reference to the Vice-Chairperson of the Management Board and the Executive Committee, a new composition and selection procedure for the Scientific Committee.</p>

1.2 Seat

Lisbon, Portugal

1.3 Budget Line

18 06 02 : European Monitoring Centre for Drugs and Drug Addiction (EMCDDA)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	53	48	52	51
Establishment plan posts : AST	27	26	27	26
Establishment plan posts : AST/SC				
Total Establishment plan posts	80	74	79	77
Contract Agents	27	25	30	33
Seconded National Experts	1	1	1	1
TOTAL STAFF	108	100	110	111

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	14 794 000	15 135 600
Other Revenue	599 963	671 564
TOTAL REVENUES	15 393 963	15 807 164

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	9 321 199	9 321 199	9 421 199	9 421 199
Title 2 - Infrastructure and operating expenditure	1 724 289	1 724 289	1 724 289	1 724 289
Title 3 - Operational expenditure	4 348 475	4 348 475	4 661 676	4 661 676
TOTAL EXPENDITURE	15 393 963	15 393 963	15 807 164	15 807 164

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1		1		1		1		1
AD 14		1				1		1		1
AD 13	1	2	1	3	1	2	1	2	1	2
AD 12	4	10	3	6	4	10	4	11	4	11
AD 11	3	10		5	2	10	1	11	1	11
AD 10		14		3		14		13		13
AD 9		7	1	3		7		7		6
AD 8			1	8						

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 7				8						
AD 6				4						
AD 5				1						
AD TOTAL	8	45	6	42	7	45	6	46	6	45
AST 11	1				1		1		1	
AST 10		2		1		2		3		3
AST 9	1	7		3	1	7	1	7	1	7
AST 8	2	7	1	1	2	7	2	7	2	7
AST 7	1	6	1	2	1	6	1	5		5
AST 6				4						
AST 5			1	8						
AST 4				2						
AST 3				1						
AST 2			1							
AST 1										
AST TOTAL	5	22	4	22	5	22	5	22	4	22
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	13	67	10	64	12	67	11	68	10	67
GRAND TOTAL	80		74		79		79		77	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	2	2	5	7
Function Group III	9	8	9	10
Function Group II	13	12	13	13
Function Group I	3	3	3	3
TOTAL	27	25	30	33

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	1	1	1	1

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	14 794 000	14 794 000	14 794 000	15 135 600	2,31%
- Of which assigned revenues deriving from previous years' surpluses	151 000	70 360	54 000	54 000	-23,25%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	544 006	599 963	660 963	671 564	11,93%
- Of which EEA/EFTA (excl. Switzerland)	394 006	389 963	389 963	400 564	2,72%
- Of which candidate countries	150 000	210 000	271 000	271 000	29,05%
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	2 581 837 (1)				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	20 945				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	17 919 843	15 393 963	15 454 963	15 807 164	2,68%

(1) Includes Income from sale of EMCDDA building (EUR 2 500 000), Internal assigned revenue (EUR 19 278.58), Interest generated by funds paid to EMCDDA (EUR 20 944.90) and Misc. revenue (EUR 41 614).

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 159 160	9 321 199	9 538 567	9 421 199	1,07%
Salaries & allowances	9 024 996	9 204 199	9 409 567	9 304 199	1,09%
- Of which establishment plan posts	7 814 694	8 013 195	8 173 563	7 873 195	-1,75%
- Of which external personnel	1 210 302	1 191 004	1 236 004	1 431 004	20,15%
Expenditure relating to Staff recruitment	37 028	27 000	27 000	27 000	0,00%
Mission expenses					
Socio-medical infrastructure					
Training	65 736	90 000	90 000	90 000	0,00%
External Services	31 400	0	12 000		-100%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 855 783	1 724 289	1 479 621	1 724 289	0,00%
Rental of buildings and associated costs	4 018 350	949 132	704 464	949 132	0,00%
Information, communication technology and data processing	575 683	458 751	458 751	458 751	0,00%
Movable property and associated costs	134 713	119 960	119 960	119 960	0,00%
Current administrative expenditure	100 005	148 953	148 953	148 953	0,00%
Postage / Telecommunications	4 982	10 400	10 400	10 400	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	22 050	37 093	37 093	37 093	0,00%
Title 3 - Operational expenditure	4 138 265	4 348 475	4 436 775	4 661 676	7,20%
Information and Publishing	558 832	701 625	701 250	701 250	-0,05%
Studies	469 222	421 504	474 804	484 917	15,04%
REITOX	2 069 945	2 228 537	2 228 537	2 228 537	0,00%
Mission expenses	249 748	289 559	289 559	289 559	0,00%
Meeting expenses	505 274	701 250	736 625	709 813	1,22%
Receptions and events	1 490	6 000	6 000	6 000	0,00%
Expenditure IPA and ENP1 projects	283 754			241 600	100%
Expenditure related to IPA projects	95 904			241 600	100%
Expenditure related to ENP1 projects	187 850				
TOTAL	18 153 208	15 393 963	15 454 963	15 807 164	2,68%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	9 096 519	9 321 199	9 538 567	9 421 199	1,07%
Salaries & allowances	9 022 497	9 204 199	9 409 567	9 304 199	1,09%
- Of which establishment plan posts	7 812 195	8 013 195	8 173 563	7 873 195	-1,75%
- Of which external personnel	1 210 302	1 191 004	1 236 004	1 431 004	20,15%
Expenditure relating to Staff recruitment	24 865	27 000	27 000	27 000	0,00%
Mission expenses					
Socio-medical infrastructure					
Training	48 337	90 000	90 000	90 000	0,00%
External Services	820	0	12 000		-100%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	4 449 295	1 724 289	1 479 621	1 724 289	0,00%
Rental of buildings and associated costs	3 919 418	949 132	704 464	949 132	0,00%
Information, communication technology and data processing	346 851	458 751	458 751	458 751	0,00%
Movable property and associated costs	76 306	119 960	119 960	119 960	0,00%
Current administrative expenditure	89 574	148 953	148 953	148 953	0,00%
Postage / Telecommunications	4 761	10 400	10 400	10 400	0,00%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	12 385	37 093	37 093	37 093	0,00%
Title 3 - Operational expenditure	4 074 891	4 348 475	4 436 775	4 661 676	7,20%
Information and Publishing	662 725	701 625	701 250	701 250	-0,05%
Studies	387 108	421 504	474 804	484 917	15,04%
REITOX	2 095 889	2 228 537	2 228 537	2 228 537	0,00%
Mission expenses	256 991	289 559	289 559	289 559	0,00%
Meeting expenses	470 877	701 250	736 625	709 813	1,22%
Receptions and events	7 396	6 000	6 000	6 000	0,00%
Expenditure IPA and ENP1 projects	193 905			241 600	100%
Expenditure related to IPA projects	83 513			241 600	100%
Expenditure related to ENP1 projects	110 392				
TOTAL	17 620 705	15 393 963	15 454 963	15 807 164	2,68%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 54 436.

4 Justification of needs

Commission assessment

In light of "Commission Communication on human and financial resources for decentralized agencies 2014-2020" (COM(2013)519) of 10.7.2013.

EMCDDA operates the EU Early Warning System (EWS) and risk assessment mechanism which serves both public health and control actions to be taken at EU and Member State levels. Taking into account the need for development of a database on new psychoactive substances (NPS), the Commission proposes that the EU contribution (EUR 14 794 000) foreseen for 2017 in COM (213)519 to be reinforced by EUR 341 600, of which EUR 241 600 correspond to the estimated cost in 2017 for the new data base on NPS (the total costs are EUR 676 400, spread over 4 years (2017-2020), whereas EUR 100 000 cover part of the salary costs for 3 new contract agents (the other part will be covered via internal redeployment). As a consequence the total level of the EU contribution to EMCDDA for 2017 will be increased to EUR 15 135 600.

As regards human resources, the Commission considers that the total number of establishment plan posts must be reduced from 79 to 77 posts as set out in the Commission Communication for 2017. However, 3 additional contract agents are proposed for the NPS database, leading to a total of 33 for 2017.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

EMCDDA assumes as a baseline the Communication (COM(2013) 519). No additional staff is requested for 2017, remaining the number of authorized posts in the budget 2016 (79) constant. EMCDDA will have to revise at short-medium term the possible needs for supplementary resources to cope with new tasks (namely concerning new psychoactive substances and European School Survey Project on Alcohol and Other Drugs (ESPAD)). Additional tasks have been assigned to EMCDDA but still not formalised in the EMCDDA founding Regulation (monitoring and responding to new psychoactive substances (NPS), the strength of the Early Warning System, the gradual development and implementation of a technical and procedural framework for risk communication, the scaling up of the support provided by the EMCDDA to the ESPAD group. The workload linked to existing tasks i.e. the monitoring of new drugs that changed dramatically in the past few years due to the massive increase of the number of new drugs appearing on the market, the preparation for the risk assessment of a new drug and additional involvement in the work to produce the risk assessments, performed by the Scientific Committee, the resources required by the European Data Base on New Drugs (EDND).

4.1.2 Vacancy rate as of end 2015

7.5%

4.1.3 Standard abatement ('abatement forfaitaire') applied

N.A.

4.1.4 Salary assumption for calculating salary line (% applied)

N.A.

4.1.5 Correction coefficient used

July 2015 - June 2016: 79.2%

4.1.6 Exchange rate used (if applicable)

N.A.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Title 1 expenditure covers the salary costs for all staff in post and the estimated supplementary expenditure needed to meet the usual adjustments required in 2017, in accordance with the applicable Staff Regulations (namely: automatic staff progression to the next step; annual adjustment of the correction coefficient affecting EU staff remuneration, pursuant to the variation of the cost of living; estimated staff promotions, in accordance with the applicable rates).

EMCDDA fully deploys the assigned human resources, by assuming the “statu quo” in its establishment plan, i.e. that the EMCDDA 2017 establishment plan provides for the same number of authorised posts as in 2016 (79 posts), taking into account that the EMCDDA has already exceeded the 5% reduction of its authorised posts (compared to the reference year of 2013).

4.2.2 Title 2

The Title 2 expenditure meets the estimated costs of the administrative and IT support services and supplies required for the effective management and functioning of the EMCDDA’s premises and infrastructures, pursuant to the conditions resulting from the contracts in force and taking into account the automatic revision of prices, as resulting from the variation of the inflation rate, as well as the efficiency gains entailed by the rationalisation of internal processes, the reduction of costs for utilities and services and the possible further synergies with EMSA.

4.2.3 Title 3

The Title 3 expenditure ensures the effective execution of planned operational activities and projects to implement the EMCDDA work programme for 2017, in accordance with the priorities defined for these activities and projects. The EMCDDA 2017 co-financing to the Reitox national focal points is at the same conditions as in 2016.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	CaisdoSodré, Lisbon, office building, rented	Pursuant to an agreement with the Portuguese State, since 2009 an area of 673.25sqm was sublet to the latter for the use of the Jaques Delors European Information Centre (JDEIC since 2009). In late 2011 the Portuguese authorities announced their intention to relocate JDEIC’s offices elsewhere and to unilaterally terminate the sub-lease contract at the stake. The early termination of the contract ending March 2012 was accepted under the condition that the Ministry would pay rent and utilities for the areas till the end of 2012 and cover the refurbishment costs. EMCDDA advertised the available space in various real estate agencies and put up signs on the building. Several proposals for sub-renting have been received during 2012-2014. Since the end of 2015 negotiations are on-going with MAOC N for renting the building at a reduced price as a temporary solution
Surface area (in square metres) Of which office space Of which non-office space	6 520 + 90 parking spaces foreseen, currently 61rented 5 846 674	
Annual rent (in EUR)	EUR 272,085.96.	Pursuant to the agreement reached in 2015 with the landlord for the payment of the rent for the lease of the current premises in the next years, the annual amount of this rent was adjusted as follows: EUR 272,085.96 for 2017 EUR 305,421.96 for 2018 EUR 589,689.96 for 2019 EUR 955,889.96 for 2020 EUR 1,072,089.96 from 2021 until the end of the 25 years lease contract in force.

	Name, location and type of building	Other Comment
Type and duration of rental contract	Rental for 25 years with option to buy	
Host country grant or support	The Host county supported the installation by providing the office furniture for the headquarters.	
Present value of the building	N.A.	

5.1.2 Building project in the planning phase

No new buildings projects are foreseen in 2017. EMCDDA sold its former headquarters (the Santa Apolonia premises) in 2015 and is looking for a new sub-tenant for the area formerly occupied by the JDEIC at Cais do Sodré in order to reduce its rental costs. Since the end of 2015 negotiations are on-going with MAOC N for renting the building at a reduced price as a temporary solution.

5.1.3 Building projects submitted to the European Parliament and the Council

EMCDDA submitted all concrete offers received for the rental or sale of its former headquarters (the Santa Apolonia premises). The last offer from a public organization was received and presented to the EP at the end of 2014. This offer was for sale of the building for a value of EUR 2.5 million. The budget authority approved the operation which was concluded in January 2015. In line with the project approved by the EU budget authority for the lease of the current EMCDDA headquarters, the proceeds of this operation were used to reschedule the payment of the rent due pursuant to the contract in force for the 25-years-lease of these headquarters.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Portuguese Government granted the EMCDDA with diplomatic status by means of the conclusion of a seat agreement on 26th June 1996 (Protocol between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon). Through this Agreement, which entered into force in May 1998, the Portuguese Government applies the Protocol on the Privileges and Immunities of the European Communities to the EMCDDA, exempting the agency from payment of all national, regional or municipal rates and taxes as regards the fixed assets it owns or rents, as well as from customs duties and from any other taxes, prohibitions or restrictions on goods of any kind which it imports or exports in the exercise of its official business (VAT, etc.)	Protocol on the Privileges and Immunities of the European Communities is applicable to EMCDDA staff. The Protocol concluded between the Portuguese Government and the EMCDDA regarding the functioning of the agency in Portugal and the installation of its headquarters in Lisbon, grants the EMCDDA staff the privileges and immunities, exemptions and facilities recognised by the Portuguese State to members of a comparable category of the diplomatic corps in Portugal. As a consequence EMCDDA staff is entitled to purchase furniture and /or household aids VAT free. This exemption does not cover expenditure for food supplies and beverages, property works, including materials, water; gas; electricity, food and beverages services; hotels or similar services, fixed line telephone services. Limited exemption is granted from the payment of the Portuguese tax and VAT on the purchase and registration of vehicles.	There is no European or accredited school that can be attended free of charge in the area where the EMCDDA has its seat. As per the Memorandum of Understanding signed in 2004 by the Portuguese Government, the EMCDDA and EMSA concerning the common premises of the two agencies in Lisbon, the Portuguese Government committed itself to do its utmost (jointly with EMSA and EMCDDA) to find the best possible solution for providing schooling for the children of EMSA and EMCDDA staff. In this context it agreed to pursue either the establishment of a European School in Lisbon or the signature of partial agreements between the European School Board and the main international schools in the Lisbon area. However, difficulties have been encountered for the implementation of this solution.

5.3 European schools

N.A.

5.4 Evaluation

As per article 23 of the Recast Regulation, the Commission shall initiate an external evaluation of the Centre every 6 years and forward the evaluation report to the European Parliament, the Council and the Management Board of EMCDDA. The last external evaluation of the agency was completed in June 2012 with the following main findings:

As stated in the overall conclusions and recommendations, the EMCDDA has performed well during the 2007-12 period in its mission of providing the EU and Member States with factual, objective, reliable and comparable information at the European level on drugs and drug addiction and their consequences. This overall conclusion is supported by the evidence from a number of different sources including the survey work.

In relation to the tasks set out in EMCDDA's 2006 'recast' Regulation, the evaluation findings are generally positive. Firstly in relation to its role of providing 'factual, objective, reliable and comparable information at the European level concerning drugs and drugs addiction, and their consequences', the EMCDDA has performed strongly. In addition to the demand-side, progress was made to improve the understanding of the supply-side of the drugs problem.

EMCDDA also performed well in relation to the second task defined for it in the 2006 Regulation, namely to 'collect, register and analyse information on emerging trends'. During the period under review, the upward trend in new psychoactive substances being detected has accelerated but the EMCDDA has kept pace with developments through its Early Warning System and related activities, providing useful information to the Commission and Member States that has been used to shape policy responses. Feedback from the research on EMCDDA's performance in relation to the third task set out in the recast Regulation, identifying best practices in Member States and facilitating and exchange of such practices between them' is not as positive compared with the other tasks. EMCDDA's fourth task ('to promote cooperation with other European and international bodies and with third countries') has been successfully promoted.

The result of the aforementioned evaluation can be found at the following web link:

<http://www.emcdda.europa.eu/html.cfm/index184823EN.html>

A new evaluation of the agency is expected to be carried out by 2018.

2.2.1.3.10 European Union Agency for Fundamental Rights - FRA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No 168/2007	15/02/2007	Collect, record, analyse and disseminate relevant, objective, reliable and comparable information and data, including results from research and monitoring communicated to it by Member States, Union institutions as well as bodies, offices and agencies of the Union, research centres, national bodies, non-governmental organisations, third countries and international organisations and in particular by the competent bodies of the Council of Europe; develop methods and standards to improve the comparability, objectivity and reliability of data at European level, in cooperation with the Commission and the Member States; carry out, cooperate with or encourage scientific research and surveys, preparatory studies and feasibility studies, including, where appropriate and compatible with its priorities and its annual work programme, at the request of the European Parliament, the Council or the Commission; formulate and publish conclusions and opinions on specific thematic topics, for the Union institutions and the Member States when implementing Community law, either on its own initiative or at the request of the European Parliament, the Council or the Commission; publish an annual report on fundamental rights issues covered by the areas of the Agency's activity, also highlighting examples of good practice; publish thematic reports based on its analysis, research and surveys; develop a communication strategy and promote dialogue with civil society, in order to raise public awareness of fundamental rights and actively inform about its work.
Council decision No 252/2013/EU of 11 March 2013 establishing a Multiannual Framework for 2013-2017 for the European Union Agency for Fundamental Rights	11/03/2013	The Agency shall, in accordance with Article 3 of Regulation (EC) No 168/2007, carry out the tasks defined in Article 4(1) of Regulation (EC) No 168/2007 within following thematic areas: access to justice; victims of crime, including compensation to victims of crime; information society and, in particular, respect for private life and protection of personal data; Roma integration; judicial cooperation, except in criminal matters; rights of the child; discrimination based on sex, race, colour, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation; immigration and integration of migrants, visa and border control and asylum; and racism, xenophobia and related intolerance.

1.2 Seat

Vienna, Austria

1.3 Budget Line

33 02 06 : European Union Agency for Fundamental Rights (FRA)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	46	44	48	46
Establishment plan posts : AST	27	27	26	26
Establishment plan posts : AST/SC				
Total Establishment plan posts	73	71	74	72
Contract Agents	29	28	31	32
Seconded National Experts	9	8	9	9
TOTAL STAFF	111	107	114	113

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	21 359 000	22 567 000
Other Revenue	0	0
TOTAL REVENUES	21 359 000	22 567 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	11 799 000	11 799 000	12 079 000	12 079 000
Title 2 - Infrastructure and operating expenditure	2 232 000	2 232 000	2 510 000	2 510 000
Title 3 - Operational expenditure	7 328 000	7 328 000	7 978 000	7 978 000
TOTAL EXPENDITURE	21 359 000	21 359 000	22 567 000	22 567 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14		1		1		1		1		1
AD 13		2		1		2		2		2
AD 12		10				10		10		8
AD 11				5						
AD 10		14		2		14		14		12
AD 9		11		5		11		11		11
AD 8		1		9		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 7		4		14		5		7		7
AD 6		2		7		3		2		3
AD 5										
AD TOTAL		46		44		48		49		46
AST 11										
AST 10		1				1		1		1
AST 9		3				3		3		3
AST 8		3		3		3		4		3
AST 7		7		4		6		6		6
AST 6		12		3		12		12		12
AST 5				8						
AST 4		1		7		1		1		1
AST 3				2						
AST 2										
AST 1										
AST TOTAL		27		27		26		27		26
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		73		71		74		76		72
GRAND TOTAL		73		71		74		76		72

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	18	9 (1)	19	20
Function Group III	9	14	10	10
Function Group II	2	5	2	2
Function Group I				
TOTAL	29	28	31	32

(1) plus 1 CA FG IV recruited as of 01.09.2015 under flexibility provided under the financial regulations.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	9	8	9	9

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	21 229 000	21 359 000	23 586 000	22 567 000	5,66%
- Of which assigned revenues deriving from previous years' surpluses	74 500	156 946	104 245	104 000	-33,74%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	254 819				
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 483 819	21 359 000	23 586 000	22 567 000	5,66%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 176 568	11 799 000	12 021 000	12 079 000	2,37%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Salaries & allowances	9 727 411	10 179 000	10 423 000	10 517 000	3,32%
- Of which establishment plan posts	7 462 830	7 894 000	8 121 000	8 162 000	3,39%
- Of which external personnel	2 264 581	2 285 000	2 302 000	2 355 000	3,06%
Expenditure relating to Staff recruitment	96 530	100 000	42 000	42 000	-58,00%
Mission expenses	157 000	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	48 633	60 000	60 000	60 000	0,00%
Training	215 626	240 000	240 000	240 000	0,00%
External Services	56 406	65 000	65 000	65 000	0,00%
Receptions, events and representation	4 372	5 000	5 000	5 000	0,00%
Social welfare	844 890	900 000	936 000	900 000	0,00%
Other Staff related expenditure	25 700	50 000	50 000	50 000	0,00%
Title 2 - Infrastructure and operating expenditure	2 282 187	2 232 000	2 536 000	2 510 000	12,46%
Rental of buildings and associated costs	1 353 606	1 131 000	1 426 000	1 400 000	23,78%
Information, communication technology and data processing	677 755	807 000	819 000	819 000	1,49%
Movable property and associated costs	72 148	36 000	39 000	39 000	8,33%
Current administrative expenditure	37 417	57 000	57 000	57 000	0,00%
Postage / Telecommunications	124 266	160 000	156 000	156 000	-2,50%
Meeting expenses	6 746	11 000	9 000	9 000	-18,18%
Running costs in connection with operational activities					
Information and publishing					
Studies	10 249	30 000	30 000	30 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 157 836	7 328 000	9 029 000	7 978 000	8,87%
Freedoms	1 802 412	1 140 000	2 770 000	2 166 000	90,00%
Equality	3 672 787	1 434 000	1 159 000	1 159 000	-19,18%
Justice	1 040 001	2 850 000	2 535 000	2 535 000	-11,05%
Horizontal operational activities	1 182 167	1 435 000	1 800 000	1 600 000	11,50%
Bodies of the Agency and consultation mechanisms	460 469	365 000	510 000	365 000	0,00%
Reserve for Title 3		104 000	255 000	153 000	47,12%
TOTAL	21 616 591	21 359 000	23 586 000	22 567 000	5,66%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	11 176 568	11 799 000	12 021 000	12 079 000	2,37%
Salaries & allowances	9 727 411	10 179 000	10 423 000	10 517 000	3,32%
- Of which establishment plan posts	7 462 830	7 894 000	8 121 000	8 162 000	3,39%
- Of which external personnel	2 264 581	2 285 000	2 302 000	2 355 000	3,06%
Expenditure relating to Staff recruitment	96 530	100 000	42 000	42 000	-58,00%
Mission expenses	157 000	200 000	200 000	200 000	0,00%
Socio-medical infrastructure	48 633	60 000	60 000	60 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Training	215 626	240 000	240 000	240 000	0,00%
External Services	56 406	65 000	65 000	65 000	0,00%
Receptions, events and representation	4 372	5 000	5 000	5 000	0,00%
Social welfare	844 890	900 000	936 000	900 000	0,00%
Other Staff related expenditure	25 700	50 000	50 000	50 000	0,00%
Title 2 - Infrastructure and operating expenditure	2 282 187	2 232 000	2 536 000	2 510 000	12,46%
Rental of buildings and associated costs	1 353 606	1 131 000	1 426 000	1 400 000	23,78%
Information, communication technology and data processing	677 755	807 000	819 000	819 000	1,49%
Movable property and associated costs	72 148	36 000	39 000	39 000	8,33%
Current administrative expenditure	37 417	57 000	57 000	57 000	0,00%
Postage / Telecommunications	124 266	160 000	156 000	156 000	-2,50%
Meeting expenses	6 746	11 000	9 000	9 000	-18,18%
Running costs in connection with operational activities					
Information and publishing					
Studies	10 249	30 000	30 000	30 000	0,00%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	8 157 836	7 328 000	9 029 000	7 978 000	8,87%
Freedoms	1 802 412	1 140 000	2 770 000	2 166 000	90,00%
Equality	3 672 787	1 434 000	1 159 000	1 159 000	-19,18%
Justice	1 040 001	2 850 000	2 535 000	2 535 000	-11,05%
Horizontal operational activities	1 182 167	1 435 000	1 800 000	1 600 000	11,50%
Bodies of the Agency and consultation mechanisms	460 469	365 000	510 000	365 000	0,00%
Reserve for Title 3		104 000	255 000	153 000	47,12%
TOTAL	21 616 591	21 359 000	23 586 000	22 567 000	5,66%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 104 245.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes to carry forward to 2017 the 2 additional posts authorised during the 2016 budget procedure, on top of the 70 posts foreseen in the Commission Communication for 2017, leading to a total number of 72 temporary agents. The Commission proposes to temporarily add 1 contract agent (32 CA in total) to carry out the studies indicated below. The Commission is of the opinion that the level of 9 SNEs should be maintained for 2017.

Financial Resources

FRA is requested by EU institutions to provide a fundamental rights-based analysis of key developments in the field of migration and asylum, as regards the integration of migrants and refugees. This includes reports, guidance papers on

asylum, input on the integration of third country nationals, and their descendants, in core areas of social life, such as employment, education and societal participation, and in particular in respect of the rights of children.

The Commission proposes to increase the operational resources of FRA by EUR 1 million, on top of the financial programming for 2017, in order to fund the following 3 studies: "Social inclusion and migrant participation in society", aimed at implementing an online survey of persons of migrant descent aged 15-25 in all EU Member States on social inclusion and societal participation; "Responding to a fundamental rights emergency – the long-term impact of responses to the 2015 asylum/migration crisis", aimed at communicating research findings and providing fundamental rights expertise to EU institutions and bodies in areas such as asylum, border management, return and readmission, as well as Schengen evaluations and migrant integration; and "Raising awareness of Fundamental Rights", to emphasise awareness-raising activities with specific target audiences in line with the Agency's strategic priorities issues on migration and integration.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

FRA is facing a significant growth in additional tasks stemming from requests of EU institutions to provide a fundamental rights-based analysis of key developments in the field of migration and asylum; in particular, those relating to the on-going asylum/migration crisis, which has immediate and long-term fundamental rights implications. In addition, following the adoption in May 2015 of the European Agenda on Migration, issues relating to the integration of migrants, which represents a core area of the Agency's work, will be included under ESI funds (ERDF and ESF) for various actions with a fundamental rights component; including improving access to services and to the labour market, inclusive education, and inter-cultural exchanges involving host and immigrant communities. In parallel, the Agency is increasingly being asked to provide expertise in areas addressed by the European Agenda on Security; such as FRA's work, together with other Agencies, on the use of biometrics with respect to border management, and the possible introduction of ECRIS for third country nationals.

In order to cope effectively and efficiently with the increased work load in these areas, and to fulfil its objective to provide EU institutions and Member States with evidence-based assistance and expertise, as well as raising awareness on relevant issues, the Agency requests for 2017 two temporary agent posts and two contract agent posts, as follows:

- 1 AD7 post in the Freedoms & Justice department in order to expand its pool of experienced legal experts in the field of asylum and migration to be able to respond at short notice to the growing number of requests from EU institutions, Member States and EU agencies for the Agency to provide expert input in these fields. The proposed grade for this post is in line with the importance of the tasks to be undertaken.

- 1 AD6 post in the Equality and Citizens' Rights department in order to expand its pool of experienced social experts in the field of integration and participation in society of migrants and their descendants to increase in-house capacity to respond at short notice to the growing number of requests from EU institutions, Member States and EU agencies in the area of social inclusion, including racism and ethnic discrimination. The proposed grade for this post is in line with the importance of the tasks to be undertaken.

- 1 contract agent FGIV in the Freedoms & Justice department to support the Agency's work on issues related to the European Agenda on Migration and the related field of internal security, conducting research, engaging with stakeholders and raising awareness. The proposed function group for this position is in line with the importance of the tasks to be undertaken.

- 1 contract agent FGIV in the Equality and Citizens' Rights department to support work on social inclusion, conducting research, engaging with stakeholders and raising awareness. The proposed function group for this position is in line with the importance of the tasks to be undertaken.

4.1.2 Vacancy rate as of end 2015

2.7% (71 posts out of 73 were occupied)

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

2% increase was applied on the current salary grid.

4.1.5 Correction coefficient used

The current correction coefficient was used.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

The EU contribution requested exceeds the amount foreseen in the financial programming 2014-2020 by 10%. The Agency's EU contribution remained the same for the last five years with the exception of an increase of EUR 130,000 for 2016. This additional 10% intends to cover part of the regular inflation that occurred since 2013, the salary increase that took place last year as well as the increase that is expected to take place in 2016 and the one for 2017. This increase will guarantee the smooth functioning of the Agency, allowing it to maintain the operational activities at the same level compared to the previous years.

4.2.1 Title 1

Title I amounts to €12 021 000, which corresponds to a 2% increase compared to 2016. This increase refers mainly to the increase of the salaries and the two additional Contract Agent posts that have been estimated. The remainder of Title I is estimated based on actual needs taking into consideration, where appropriate, the 2015 executed budget, the total number of staff and their family situation.

4.2.2 Title 2

The Agency expects to receive on a yearly basis the amount of EUR 244,000 from the Austrian Authorities for the rent subsidy of the given financial year. Since this contribution is based on an exchange of letters and not on a legal basis (e.g. seat agreement), the Agency budgets the full rent cost for each year. The subsidy is only entered in the year's budget once it is cashed. However, it is used in the next financial year. This is the reason for the increase of 14% for Title 2 compared to 2016. Provided that the 2016 rent subsidy will be cashed, the actual difference of Title II will be 2.7%.

4.2.3 Title 3

The Agency prepared its draft Annual Work Programme (AWP) for 2017 after having consulted its stakeholders (Council of Europe, Scientific Committee, NHRBs, NLOs and Fundamental Rights Platform). Based on this AWP the estimate of expenditure under Title III amounts to EUR 9 029 000, representing 38% of the overall budget for the financial year 2016. This percentage is higher compared to 2016 (+34%).

- Immigration and integration of migrants, visa and border control and asylum: the FRA will build on the work done in previous years and use the results of its research findings to inform policy making processes at the EU level as well as operational activities of relevant EU agencies.

- Repetition of FRA surveys to look at trends over time: this will also allow for the formulation and identification of indicators in key fundamental rights areas, in line with the priorities of the EU institutions and Member States.

- Racism, discrimination on all grounds and Roma Integration: FRA will continue to collect and analyse systematically data on manifestations of racism, xenophobia, related intolerance and ethnic discrimination in the EU, including through updating relevant sections of the annual report. In regard to Roma integration FRA will continue its multi-annual Roma Programme.

Rights of the Child: following-up on its past work, in particular concerning research on child-friendly justice, on child protection systems and minimum age requirements, as well as on child poverty, FRA will focus on collecting data on child protection issues, especially concerning children in vulnerable situations, such as children in migration, children with disabilities and others.

- Access to justice including judicial cooperation: the dissemination of the Agency's handbook on access to justice (launched in 2016) will continue in 2017. The Agency's criminal justice-related projects as a whole are expected to lead to further research in the area of criminal procedural rights, including by responding to requests from the EU institutions in relation to relevant draft legislation. In addition, the FRA's Fundamental Rights Survey will begin fieldwork data collection in 2017.

- Victims of crime including compensation to victims of crime: preliminary findings from the project on the 'Rights of crime victims to have access to justice', as well as preliminary results from the SELEX II project (on victims of severe forms of labour exploitation) will be available in 2017. In addition, results from the EU-MIDIS II survey will be

available in 2017, which will provide a unique sub-dataset on minorities' experiences as victims of crime, including their reporting practices (covering selected immigrant and ethnic minority groups in the 28 EU Member States).

- Information Society and, in particular, respect for private life and protection of personal data: the Agency will assess further how fundamental rights are protected in the digital world and the challenges attached to the digital revolution. 2017 activities will build on a 2016 stakeholder meeting that will ensure complementarity with FRA's work alongside other key actors in this field - such as EDPS.

4.3 Ad hoc grants and delegation agreements

No grants and delegation agreements have been estimated for 2017.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Schwarzenbergplatz11, Vienna, AT-1040, Austria	None
Surface area (in square metres) Of which office space Of which non-office space	5,546 3,704 1,842	It should be noted that the offices are accommodated in an old building which is renovated keeping its original layout and does not provide any luxurious modern amenities. In addition, the price per m2 for the net office space (i.e. 3704 m2) is on the lower end of the average prices in central Vienna areas.
Annual rent (in EUR)	804.245,85	None
Type and duration of rental contract	Lease agreement for an initial period of 10 years with the provision for an indefinite period.	None
Host country grant or support	Based on a letter of intent received from the Austrian authorities, the host country will subsidise the rent by 1/3 with a threshold of 244,000 EUR.	None
Present value of the building	N/A	None

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Agency is recognised as an international organisation.	The Director, the Heads of Department, the Heads of Sector and two designated senior staff members with grades AD9 or above, have been granted diplomatic status. They can claim VAT reimbursement and register tax free vehicles every two years.	In the absence of a European School and a European Sector In Austria the Agency has adopted two social measures: one regarding financial support to nursery schools and day care centres, and another one to Multilingual tuition for children of the Agency staff In Vienna.
The Agency enjoys VAT exemption.	The Agency's staff members (the remaining Temporary Agents and all Contract Agents) can register a tax free vehicle every four years.	-

Agency privileges	Privileges granted to staff	
No other privileges are offered to the Agency.	The Agency has been granted access to the UN Commissary, where staff members can purchase certain tax free items up to a limit based on their annual salary in accordance with the UN conditions.	-

5.3 European schools

N/A

5.4 Evaluation

In accordance with article 30.3 of FRA's Founding Regulation, in 2012 the Agency undertook an independent external evaluation of its achievements during the first five years of operations on the basis of terms of reference issued by the Management Board in agreement with the Commission.

The main findings of the latest evaluation available are as follows:

Effectiveness: FRA has clearly fulfilled its mandate in addressing the needs for full respect of fundamental rights in the framework of European Union law. Based on the findings, FRA fulfils to a high extent its mandate to collect record and analyse relevant, objective, reliable and comparable information and data relating to fundamental rights issues in the European Union and its Member States. The quality of FRA's publications is generally considered to be very good by stakeholders. Concerning FRA's role in providing input to the legislative process at the European level, there were several voices in support of an increased role for the agency in providing opinions on future legislation on a more regular basis.

Utility: the evaluation findings point towards a clearly favourable assessment in terms of the FRA's ability to effectively help institutions, bodies, offices and agencies of the Union to ensure full respect of fundamental rights in the framework of the Union law. Survey, interviews and case studies strongly suggest that FRA has been successful in meeting the needs of EU level stakeholders. In terms of the extent to which FRA publications on project results have been taken into account by relevant EU the evaluation shows a mixed result: while contribution was assessed as high at the EU-level, the results were much less positive at the national and local level.

Added value: FRA is considered to be in a unique role as a provider of comparative, EU-wide studies. The agency is acknowledged for concentrating on topics that are not covered by other similar actors, and its position as an independent EU Agency gives their work additional backing.

Coordination and coherence: FRA has established effective procedures for coordination and cooperation which ensure coherence of policies and activities with stakeholders at all levels. The European Commission, the European Parliament and the relevant EU agencies all expressed positive views with regards to collaboration with FRA. The results of the evaluation indicate that FRA is engaging fairly well with non-governmental organisations and institutions of civil society.

Efficiency: the evaluation findings show an overall positive evolution in terms of FRA's internal organisation, operations and working practices towards efficiency since its establishment until present. Overall, the evaluation findings show that FRA has developed into a well-functioning organisation, with adequate management structures, planning procedures and control systems. The adequate functioning of the agency has been confirmed by the Internal Audit Services and Court of Auditors, who express overall trust in the agency's procedures and systems in the audit reports.

The Management Board, in agreement with the Commission, agreed that the procurement procedure for the next external evaluation will take place in 2016. The final report is expected to be available in 2017.

2.2.1.3.11 European Institute for Gender Equality - EIGE

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Regulation (EC)1922/2006 of the European Parliament and of the Council on establishing a European Institute for Gender Equality	20/12/2006	<p>The Institute's mission is to become the European competence centre on gender equality issues.</p> <p>The Institute has been designed to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions and the authorities of the Member States, which cannot be sufficiently achieved by the Member States and can therefore, by reason of the scale of the action, be better achieved at EU level, the EU may adopt measures, in accordance with the principle of subsidiarity as set out in Article 5 of the Treaty. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve those objectives.</p> <p>The Institute shall collect, analyse and disseminate relevant objective, comparable and reliable information as regards gender equality, including results from research and best practice. The Institute shall set up and coordinate a European Network on Gender Equality, involving the centres, bodies, organisations and experts dealing with gender equality and gender mainstreaming.</p> <p>The Institute shall make information on gender mainstreaming available to public and private organisations; and provide information to the EU Institutions on gender equality and gender mainstreaming in the accession and candidate countries</p>

1.2 Seat

Vilnius, Lithuania

1.3 Budget Line

33 02 07 : European Institute for Gender Equality (EIGE)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	23	22	22	21
Establishment plan posts : AST	6	6	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	29	28	28	27
Contract Agents	9	10	10	10
Seconded National Experts	6	3	5	5
TOTAL STAFF	44	41	43	42

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	7 628 000	7 628 000
Other Revenue	0	0
TOTAL REVENUES	7 628 000	7 628 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 288 000	3 288 000	3 000 000	3 000 000
Title 2 - Infrastructure and operating expenditure	1 040 000	1 040 000	1 100 000	1 100 000
Title 3 - Operational expenditure	3 300 000	3 300 000	3 528 000	3 528 000
TOTAL EXPENDITURE	7 628 000	7 628 000	7 628 000	7 628 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14								1		
AD 13		1		1		1				1
AD 12								1		1
AD 11		1		1		1				
AD 10		1		1		1		1		1
AD 9		2		2		3		3		3

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 8		5		3		5		3		3
AD 7		4		2		4		5		5
AD 6		3		7		4		5		5
AD 5		6		5		3		2		2
AD TOTAL		23		22		22		21		21
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2		1		2		2		2
AST 6				1						
AST 5		3		3		4		4		4
AST 4		1		1						
AST 3										
AST 2										
AST 1										
AST TOTAL		6		6		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		29		28		28		27		27
GRAND TOTAL		29		28		28		27		27

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	3	4	3	3
Function Group III	4	4	5	5
Function Group II	2	2	2	2
Function Group I				
TOTAL	9	10	10	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	6	3	5	5

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	7 628 000	7 628 000	7 628 000	7 628 000	0,00%
- Of which assigned revenues deriving from previous years' surpluses	164 000	101 000		170 080	68,40%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	47 075				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	6 022				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	7 675 075	7 628 000	7 628 000	7 628 000	0,00%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	215 000				
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	215 000				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 034 551	3 288 000	3 000 000	3 000 000	-8,76%
Salaries & allowances	2 652 599	2 968 900	2 679 000	2 679 000	-9,76%
- Of which establishment plan posts	1 989 063	2 314 700	2 103 000	2 103 000	-9,15%
- Of which external personnel	663 536	654 200	576 000	576 000	-11,95%
Expenditure relating to Staff recruitment	58 724	19 900	19 900	19 900	0,00%
Mission expenses	37 401	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	25 450	28 700	31 600	31 600	10,10%
Training	92 471	72 200	72 200	72 200	0,00%
External Services	157 858	149 000	148 000	148 000	-0,67%
Receptions, events and representation	10 048	9 300	9 300	9 300	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 065 480	1 040 000	1 100 000	1 100 000	5,77%
Rental of buildings and associated costs	512 299	532 500	551 600	551 600	3,59%
Information, communication technology and data processing	263 600	189 400	203 400	203 400	7,39%
Movable property and associated costs	45 103	45 100	45 100	45 100	0,00%
Current administrative expenditure	32 382	31 700	31 600	31 600	-0,32%
Postage / Telecommunications					
Meeting expenses	168 339	201 300	208 300	208 300	3,48%
Running costs in connection with operational activities	18 995	20 000	20 000	20 000	0,00%
Information and publishing	24 762	20 000	40 000	40 000	100,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 695 894	3 300 000	3 528 000	3 528 000	6,91%
Translations and interpretation costs	252 900	180 000	180 000	180 000	0,00%
Operational Missions	107 530	115 000	115 000	115 000	0,00%
Comparable and reliable data and indicators on GE	1 324 076	2 262 000	2 480 000	2 480 000	9,64%
Implementing GE and mainstreaming	733 171				
Resource and Documentation Centre	350 974	723 000	753 000	753 000	4,15%
Awareness raising, networking and communication	532 159				
Effective organisation and bodies of EIGE	395 084	20 000			-100%
TOTAL	7 795 925	7 628 000	7 628 000	7 628 000	0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 034 551	3 288 000	3 000 000	3 000 000	-8,76%
Salaries & allowances	2 652 599	2 968 900	2 679 000	2 679 000	-9,76%
- Of which establishment plan posts	1 989 063	2 314 700	2 103 000	2 103 000	-9,15%
- Of which external personnel	663 536	654 200	576 000	576 000	-11,95%
Expenditure relating to Staff recruitment	58 724	19 900	19 900	19 900	0,00%
Mission expenses	37 401	40 000	40 000	40 000	0,00%
Socio-medical infrastructure	25 450	28 700	31 600	31 600	10,10%
Training	92 471	72 200	72 200	72 200	0,00%
External Services	157 858	149 000	148 000	148 000	-0,67%
Receptions, events and representation	10 048	9 300	9 300	9 300	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 065 480	1 040 000	1 100 000	1 100 000	5,77%
Rental of buildings and associated costs	512 299	532 500	551 600	551 600	3,59%
Information, communication technology and data processing	263 600	189 400	203 400	203 400	7,39%
Movable property and associated costs	45 103	45 100	45 100	45 100	0,00%
Current administrative expenditure	32 382	31 700	31 600	31 600	-0,32%
Postage / Telecommunications					
Meeting expenses	168 339	201 300	208 300	208 300	3,48%
Running costs in connection with operational activities	18 995	20 000	20 000	20 000	0,00%
Information and publishing	24 762	20 000	40 000	40 000	100,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	3 695 894	3 300 000	3 528 000	3 528 000	6,91%
Translations and interpretation costs	252 900	180 000	180 000	180 000	0,00%
Operational Missions	107 530	115 000	115 000	115 000	0,00%
Comparable and reliable data and indicators on GE	1 324 076	2 262 000	2 480 000	2 480 000	9,64%
Implementing GE and mainstreaming	733 171				
Resource and Documentation Centre	350 974	723 000	753 000	753 000	4,15%
Awareness raising, networking and communication	532 159				
Effective organisation and bodies of EIGE	395 084	20 000			-100%
TOTAL	7 795 925	7 628 000	7 628 000	7 628 000	0,00%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 170 080.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The proposal of EIGE is consistent with the Commission Communication.

Financial Resources

The proposal of EIGE is consistent with the Commission Communication.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

In view of the staff cuts no additional Temporary Agents requested. The number of posts in the Establishment Plan is set at 27.

4.1.2 Vacancy rate as of end 2015

The Institute's Establishment Plan was at 100 % by the end of 2015, indicating a vacancy rate of 0 %.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The following assumptions were made for 2017: 1) an Establishment Plan of 27 posts plus 10 Contract Agent posts, 5 SNE positions and 7 trainee posts; 2) a country coefficient of 69.0 % and an inflation rate of 2 %; 3) Staff whose contracts terminated/not extended entitled to: double resettlement allowance (2 basic salaries), travel out and removal costs reimbursement; 4) new staff (2 TA and 3 CA yet to be filled in 2016) with the following profile: expatriate, married, with 1 child, receiving education allowance; 5) a natural turnover of 3 new staff members (TAs) expected (entitled to installation allowance (single basic salary taken), daily allowances for 7 months and removal costs reimbursement); 6) the IPA CA post was not taken into account.

4.1.5 Correction coefficient used

69.0 %

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

Title 1 appropriations relate to Staff expenditure as foreseen in the Establishment Plan for 2017, as well as external personnel, training, missions of administrative nature. At the level of 100% occupancy of the Establishment Plan, this Title also takes into account career development possibilities for staff (in step and also in grade).

4.2.2 Title 2

Title 2 covers rent and relevant premises services, IT, movable property, current administrative expenditure and some other operations' related services. The external meeting expenses are intended mainly to cover the cost of organisation of meetings for Management Board (with relevant interpretation services) and Experts' Forum.

4.2.3 Title 3

Appropriations under Title 3 are intended to cover preparation and implementation of the Single Programming Document 2017-2019, as well as translations and operational missions required for the performance of the activities of the Institute, under its mandate. The implementation of the SPD 2017-2019 is to be performed by carrying out studies and collecting of data, statistics, information and good practices on various topics related to gender equality, the organisation of conferences, experts meetings and meeting with stakeholders, and EIGE's work in consolidating its Resource and Documentation Centre.

4.3 Ad hoc grants and delegation agreements

N/A

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	The House of EU, Gedimino pr. 16, Vilnius, Lithuania	Multipurpose building
Surface area (in square metres) Of which office space Of which non-office space	2052.13 1780.79 271.34	
Annual rent (in EUR)	379,127.82	Paid in 2015
Type and duration of rental contract	Lease contract for 5+5 years	Contract signed on 27/11/2012
Host country grant or support	No	Host country granted the premises for the first 2 years
Present value of the building	N/A	The agency does not own the building

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

N/A

5.3 European schools

N/A

5.4 Evaluation

An external evaluation of the Institute has been submitted to the European Parliament and the Council by the European Commission in December 2015. The final report is also available through EIGE's website, following this link: <http://eige.europa.eu/about-eige/documents-registry/external-evaluation-european-institute-gender-equality>

EIGE's external evaluation was carried out according to the following evaluation criteria: relevance and sustainability; governance and efficiency; effectiveness; impact and added value; coherence and coordination.

The evaluation covered the period from early 2010 when EIGE gained its administrative and financial independence to late 2014 when the evaluation field work started. On relevance and sustainability, the evaluation foremost shows that all of EIGE's activities outlined in the Institute's mid-term and annual work programmes are fully consistent with EIGE's mandate and in line with priorities on gender equality set in numerous EU policy documents. They also retain relevance for the post-2015 European gender equality policy context. Concerning governance and efficiency, EIGE is on par, and in some cases, more advanced than other European agencies of a similar size and age. The evaluation findings show that EIGE has succeeded in establishing its administrative procedures, which include procurement, financial, and HR procedures. Overall, EIGE's distribution between administrative and operational staff is adequate; however the Institute has to deal with resource pressures. As concerns the agency's effectiveness, overall, EIGE was effective in timely delivery of planned outputs during the evaluation period. However, there was a lack of consistency in how the Institute was planning and reporting its outputs. Setting up a performance measurement system was an important task that EIGE undertook during the first years of its operation, but the system is not yet comprehensive and needs further development. On impact and added value, the evaluation concluded that EIGE is the only organisation within the EU architecture that has a separate specific focus on gender equality and that offers unique outputs and services that are relevant to the development and implementation of EU policies. Among the organisations providing information on gender equality in the EU identified by stakeholders surveyed, EIGE is the most central actor playing an important role in disseminating such information. Concerning coherence and coordination, findings suggest that there was no duplication of EIGE's work with the activities of other national, European or international actors working in the field. Specific formal precautions were taken to avoid duplications.

2.2.1.3.12 The European Union's Judicial Cooperation Unit - EUROJUST

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
2002/187/JHA (OJ: L 63/1 06/03/2002)	28/02/2002	Eurojust's tasks comprise the coordination of multilateral investigations and prosecutions and improving and facilitating judicial cooperation in criminal matters.
2003/659/JHA (OJ L 245/44 29/09/2003)	18/06/2003	Amending Decision 2002/187/JHA on some specific provisions regarding the adoption of the budget. Tasks and objectives remain the same.
2009/426/JHA (OJ L 138/14 04/06/2009)	16/12/2008	Amending Decision 2002/187/JHA on the strengthening of Eurojust. Important changes are made, including on tasks and objectives of Eurojust.

1.2 Seat

The Hague, The Netherlands

1.3 Budget Line

33 03 04 : The European Union's Judicial Cooperation Unit (Eurojust)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	80	78	83	83
Establishment plan posts : AST	125	122	120	115
Establishment plan posts : AST/SC				
Total Establishment plan posts	205	200	203	198
Contract Agents	15	23	15	15
Seconded National Experts	35	23	35	35
TOTAL STAFF	255	246	253	248

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	43 539 737	47 704 237
Other Revenue	0	0
TOTAL REVENUES	43 539 737	47 704 237

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants	0	
Delegation agreements	0	
TOTAL REVENUES	0	0

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	18 864 895	18 864 895	19 004 895	19 004 895
Title 2 - Infrastructure and operating expenditure	16 478 686	16 478 686	20 006 186	20 006 186
Title 3 - Operational expenditure	8 196 156	7 696 156	8 693 156	8 193 156
TOTAL EXPENDITURE	43 539 737	43 039 737	47 704 237	47 204 237

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		1		1		1		1		1
AD 12										
AD 11										
AD 10		7		7		9		9		9
AD 9		9		9		8		11		10
AD 8		20		19		22		23		23
AD 7		20		19		27		28		27
AD 6		18		18		12		9		9
AD 5		4		4		3		3		3
AD TOTAL		80		78		83		85		83
AST 11										
AST 10										
AST 9		1		1		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 8										
AST 7										
AST 6		1		1		2		4		3
AST 5		17		14		19		27		24
AST 4		48		48		62		55		54
AST 3		42		42		25		22		22
AST 2		16		16		11		11		11
AST 1										
AST TOTAL		125		122		120		120		115
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		205		200		203		205		198
GRAND TOTAL		205		200		203		205		198

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	7	7	7	7
Function Group III	3	7	3	3
Function Group II	3	9	3	3
Function Group I	2	0	2	2
TOTAL	15	23	15	15

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	35	23	35	35

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	33 818 351	43 539 737	53 371 473	47 704 237	9,56%
- Of which assigned revenues deriving from previous years' surpluses	410 000	591 000	597 000	597 000	1,02%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	54 588				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	4 320				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	33 872 939	43 539 737	53 371 473	47 704 237	9,56%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 722 242	18 864 895	19 959 482	19 004 895	0,74%
Salaries & allowances	17 722 321	18 216 061	18 484 955	17 870 019	-1,90%
- Of which establishment plan posts	16 850 643	17 469 448	18 234 955	17 620 019	0,86%
- Of which external personnel	871 678	746 613	250 000	250 000	-66,52%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Expenditure relating to Staff recruitment	162 035	90 000	60 000	60 000	-33,33%
Mission expenses	60 535	47 500	67 500	67 500	42,11%
Socio-medical infrastructure	90 519	100 000	228 550	100 000	0,00%
Training	308 488	200 334	260 334	210 139	4,89%
External Services	306 289	188 000	201 500	188 000	0,00%
Receptions, events and representation	4 454	5 000	21 500	5 000	0,00%
Social welfare	67 601	18 000	33 000	81 500	352,78%
Other Staff related expenditure (7)	0	0	602 143	422 737	∞%
Title 2 - Infrastructure and operating expenditure	7 553 984	16 478 686	22 793 302	20 006 186	21,41%
Rental of buildings and associated costs (6)	4 606 518	6 503 000	8 859 000	6 119 469	-5,90%
Information, communication technology and data processing	1 512 029	1 489 702	1 124 217	1 124 217	-24,53%
Movable property and associated costs	55 140	53 500	99 500	53 500	0,00%
Current administrative expenditure	72 645	82 700	83 700	82 000	-0,85%
Postage / Telecommunications	110 915	146 584	171 685	172 000	17,34%
Meeting expenses					
Running costs in connection with operational activities (1)					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (2)	1 196 737 (3)	8 203 200 (4)	12 455 200 (5)	12 455 000	51,83%
Title 3 - Operational expenditure	7 493 604	8 196 156	10 618 689	8 693 156	6,06%
Meetings, seminars, training and representation	2 284 816	1 945 000	2 789 000	2 139 000	9,97%
Operational and experts missions	1 740 252	1 722 000	2 255 296	1 722 000	0,00%
Public relations and publications	161 223	225 000	380 500	225 000	0,00%
Data and documentation expenditure	2 087 528	2 633 256	3 018 493	2 633 256	0,00%
Translation case work	86 500	105 500	530 000	405 500	284,36%
EJN projects, meetings and representation expenses	522 688	400 000	464 000	400 400	0,10%
JSB meetings and representation expenses	26 673	30 400	46 400	33 000	8,55%
JITs grants, meetings and other expenses	511 961	1 055 000	1 055 000	1 055 000	0,00%
Genocide meetings and other expenses	71 963	80 000	80 000	80 000	0,00%
TOTAL	33 769 830	43 539 737	53 371 473	47 704 237	9,56%

(1) All Title 1 and Title 2 expenditures are considered 'running costs in connection with operational activities' at Eurojust. Without the direct and indirect support of the administration (covered by Title 1 and Title 2 costs) operational activities will not be possible, in full.

(2) Including costs related to the New Eurojust Premises project, of which in total €18.4 million is covered by the 'ring fenced' budget.

(3) Of which €189,998 stems from the 'Ring-fenced' budget

(4) Of which €6,980,000 stems from the 'Ring-fenced' budget

(5) Of which €11,130,000 stems from the 'Ring-fenced' budget

(6) Including extra-ordinary costs required for (a) the move to and new operating costs (100% for Eurojust - no longer benefitting from ICC arrangement) for the New Premises (April-December 2017) including related consultancy costs; and (b) the final costs for occupying (and leaving) the Interim Premises (January-March 2017)

(7) Concerns EU School subsidy

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	18 722 242	18 864 895	19 959 482	19 004 895	0,74%
Salaries & allowances	17 722 321	18 216 061	18 484 955	17 870 019	-1,90%
- Of which establishment plan posts	16 850 643	17 469 448	18 234 955	17 620 019	0,86%
- Of which external personnel	871 678	746 613	250 000	250 000	-66,52%
Expenditure relating to Staff recruitment	162 035	90 000	60 000	60 000	-33,33%
Mission expenses	60 535	47 500	67 500	67 500	42,11%
Socio-medical infrastructure	90 519	100 000	228 550	100 000	0,00%
Training	308 488	200 334	260 334	210 139	4,89%
External Services	306 289	188 000	201 500	188 000	0,00%
Receptions, events and representation	4 454	5 000	21 500	5 000	0,00%
Social welfare	67 601	18 000	33 000	81 500	352,78%
Other Staff related expenditure (7)	0	0	602 143	422 737	∞%
Title 2 - Infrastructure and operating expenditure	7 553 984	16 478 686	22 793 302	20 006 186	21,41%
Rental of buildings and associated costs (6)	4 606 518	6 503 000	8 859 000	6 119 469	-5,90%
Information, communication technology and data processing	1 512 029	1 489 702	1 124 217	1 124 217	-24,53%
Movable property and associated costs	55 140	53 500	99 500	53 500	0,00%
Current administrative expenditure	72 645	82 700	83 700	82 000	-0,85%
Postage / Telecommunications	110 915	146 584	171 685	172 000	17,34%
Meeting expenses					
Running costs in connection with operational activities (1)					
Information and publishing					
Studies					
Other infrastructure and operating expenditure (2)	1 196 737 (3)	8 203 200 (4)	12 455 200 (5)	12 455 000	51,83%
Title 3 - Operational expenditure	7 493 604	7 696 156	10 118 689	8 193 156	6,46%
Meetings, seminars, training and representation	2 284 816	1 945 000	2 789 000	2 139 000	9,97%
Operational and experts missions	1 740 252	1 722 000	2 255 296	1 722 000	0,00%
Public relations and publications	161 223	225 000	380 500	225 000	0,00%
Data and documentation expenditure	2 087 528	2 633 256	3 018 493	2 633 256	0,00%
Translation case work	86 500	105 500	530 000	405 500	284,36%
EJN projects, meetings and representation expenses	522 688	400 000	464 000	400 400	0,10%
JSB meetings and representation expenses	26 673	30 400	46 400	33 000	8,55%
JITs grants, meetings and other expenses (8)	511 961	555 000	555 000	555 000	0,00%
Genocide meetings and other expenses	71 963	80 000	80 000	80 000	0,00%
TOTAL	33 769 830	43 039 737	52 871 473	47 204 237	9,68%

(1) All Title 1 and Title 2 expenditures are considered 'running costs in connection with operational activities' at Eurojust. Without the direct and indirect support of the administration (covered by Title 1 and Title 2 costs) operational activities will not be possible, in full.

(2) Including costs related to the New Eurojust Premises project, of which in total €18.4 million is covered by the 'ring fenced' budget.

(3) Of which €189,998 stems from the 'Ring-fenced' budget

(4) Of which €6,980,000 stems from the 'Ring-fenced' budget

(5) Of which €11,130,000 stems from the 'Ring-fenced' budget

(6) Including extraordinary costs required for the move to and new operating costs (100% for Eurojust, no longer benefiting from ICC arrangement) for the New Premises (April-December 2017) including related consultancy costs; and the final costs for occupying (and leaving) the Interim Premises (January-March 2017). (7) Concerns European School subsidy

(8) Since 2016 Eurojust applies differentiated appropriations for JIT's grants; payments for 2016/2017 are expected to be €500K less than then commitments

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 597 649.

4 Justification of needs

Commission assessment

Human Resources

The Commission proposes to carry forward to 2017 the 3 additional posts authorised during the 2016 budget procedure, on top of the 195 posts foreseen in the Commission Communication for 2017, leading to a total number of 198 temporary agents. The Commission proposes to maintain the number of contract agents and seconded national experts stable at the 2016 level (i.e. 15 and 35).

Financial Resources

The Commission proposes the following reinforcements for EUROJUST amounting to EUR 4 124 237, on top of the financial programming for 2017 (EUR 43 580 000):

- Title 1: the additional appropriations agreed in the 2016 budget for salary adjustments and the European schools can be carried forward to 2017 (EUR 1 517 737). Moreover, EUR 402 000 are foreseen for the salary costs of the 3 additional temporary agents carried forward from 2016.

- Title 2: Eurojust needs additional credits for the current building, the move to the new premises and the operating and security costs at the new premises, which will be higher than originally anticipated.

The costs for the current building relate to the fact that the ICC has moved out in December 2015, leaving Eurojust to finance costs previously covered by this Court, in particular regarding security services and utility costs. The costs related to the old buildings and the move are one-off costs only linked to 2017. Based on the amount agreed in 2016, a prorata on 3 months basis can be accepted since Eurojust will leave the current building at the end of the first quarter 2017 (EUR 457 500). Additional costs for the security of the new building can be supported for a total amount of EUR 750 000, covering additional security personnel, training of new guards and investments in technical systems.

- Title 3: Eurojust's operational workload is increasing rapidly, which increases the need both for staff and for operational meetings. The Commission supports the increase of the number of operational meetings and other related operational costs, including translation of case work (EUR 497 000). The additional amount of 500.000 EUR agreed in 2016 for JIT grants can be carried forward to 2017.

Overall, this leads to a total EU contribution of EUR 47 704 237 in commitment appropriations.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

As regards Temporary Agents, Eurojust will implement by end 2016 the final post reduction of 1% required to achieve the 5% target agreed by the Budgetary Authorities. 4% of the deductions have already been achieved in 2014 and 2015 (8 posts in total). The Commission has cut a further two posts from the 2016 Establishment Plan at AST2 level but also added 2 new posts at AD5 and AD6 level. In addition, Eurojust has been granted for 2016 an additional post of a Liaison Officer at AD6 level dealing with Migration issues. The main responsibilities of this post are to support Eurojust's operational and strategic projects and activities pertaining to migrants' smuggling. This post has also been added to the 2017 Draft Establishment Plan. In addition, Eurojust requests 1 additional post Cybercrime Network Officer (AD6) 1 additional post (1x AD6) to enable the conversion from Contract Agent status in support the increased/ongoing of JITs grant administration within the JITs Network Secretariat. Eurojust requests a total of 24.5 FTE Contract Agents for 2017. This includes contractual obligations for 14 Contract Agents currently employed at Eurojust. Eurojust is also planning to extend 8 Contract Agents whose contracts expire before or during 2017 based on current workload assumptions and increasing casework. 6 of these Contract Agents are tasked with project/legal/support work related to the core operations of Eurojust and 2 assist with extra workload in the Administration.

In addition to temporary agents, contract agents and seconded national experts, EUROJUST's non-statutory staff is composed of Prosecutors, Judges and Police Officers of equivalent competence, working at the National Desks and performing core operational activities of Eurojust. The number of non-statutory staff amounts to 69 as at 31 December 2014. Moreover, there are 2 Liaison Prosecutors (USA and Norway). There is a prospect that three more Liaison Prosecutors will join in 2015 from Switzerland, Liechtenstein and Moldova. Non-statutory staff are appointed by and paid for by the Member States. A slight increase in the use of external service providers will be required to facilitate the development of the new premises for the period 2016-2017. In 2018, the use of external service providers should return

to the normal levels. Eurojust continues to limit its dependency on interim staff and works towards exceptional use only in case of risks to business continuity for administrative support at the National Desks where absences/vacancies have to be temporarily filled with specific language requirements.

4.1.2 Vacancy rate as of end 2015

2.4% (including offer letters sent).

4.1.3 Standard abatement ('abatement forfaitaire') applied

2% vacancy rate applied to account for turnover and part time incumbency of posts. Eurojust applies in 2017 a lower vacancy rate (2% for staff and 20% for SNE's) to assure sufficient funds to covers the required staff capacity. These additional funds are needed to ensure the recruitment of the approved staff capacity in full (and for SNE's as projected) in order to meet the increasing demand for cases, coordination meetings and other activities. Without these additional funds, Eurojust will be handicapped with forced vacancies and a lack of staff to achieve its objectives.

4.1.4 Salary assumption for calculating salary line (% applied)

98%: a vacancy rate of 2% is used for estimating the salary costs for 2017.

4.1.5 Correction coefficient used

7.8% Correction coefficient used as in 2016 (not increased 1.5% for 2017).

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - Agency request

The draft budget of 2017 reflects the requirements of Eurojust, a demand driven agency.

Budget Title	Budget 2016	Draft 2017	Increase in €	Increase in %	Justification
Title 1 : staff costs	18,865	19,959	1,095	6%	new posts, top up vacancy rate
Title 2: Cost for Infrastructure (excl. new premises project)	9,499	11,663	2,165	23%	higher operating costs new building and final costs interim premises
Title 3: Operational Costs	8,196	10,619	2,423	30%	increase operational work (coordination meetings)
Common baseline (or 'Regular' Budget)	36,560	42,241	5,682	16%	
New premises project (Title 2)	6,980	11,130	4,150	59%	as per MFF
Absolute baseline comparisson	43,540	53,371	9,832	23%	

It should be noted that the costs for the New Premises budget in 2017 (EUR 11.1 million) are consistent with the MFF projections and will represent the final tranche of the of the EUR 18.4 million envelope. The draft budget for 2017 however deviates with the adjusted Commission's Financial Programming. The differences are caused by:

- the amending budgets for 2014 and 2015 and related cumulative effect of the staff costs adjustments in 2016 and 2017;
- the extraordinary (and unforeseen) costs related to the early departure of our co-tenant (the ICC) from the Interim Premises; the higher operating costs for the New Premises (2017);
- the significantly increased investments for JIT's funding since the 2014 baseline was established in the Programming.

4.2.1 Title 1

Increase staff costs and contractual obligations: the foreseen additional post related to one cybercrime network officer is included in the DB2017 as one new AD6 post, budgeted for 25% of 2017. The DB2017 provides for the requested

conversion of one contract agent post to a new temporary agent post (AD6) for JITs grant management since this work becomes recurrent in nature (not project related where a CA would be acceptable). As a result, the (obligatory) increases in Title 1 expenditures are based on the following (revised) budget assumptions: 205 TA's / 24.5 CA's - Vacancy rate of 2% (reduced from 4% in 2016). Based on the feedback from the Commission, no provision is made for salary indexation and the expected correction in 2017 (initially estimated at 1.9% and 1.5%). However, an amending budget procedure may be required (again) in 2017 in case the Commission does not support the indexation and correction coefficient adjustment for the DB2017. These additional funds are needed to deal with the increasing workload and adjusted budget assumptions and to ensure recruitment of the approved staff capacity as stated in the Establishment Plan in full. Five additional Contract Agents were requested in 2015 related to the sole occupancy of the interim premises, following the relocation of the co-tenant (International Criminal Court (ICC)) at the end of 2015. The additional five posts are required to assume tasks previously performed and financed by ICC staff (at the cost benefit of Eurojust) in order to ensure business continuity and the maintenance of minimum security and safety standards in accordance with prevailing threat assessments. These additional Contract Agents will be required for the period (2016-2Q 2017) with the additional task to restore the interim premises to their original state prior to Eurojust's relocation. As these Contract Agents will not be needed for the whole of 2017, their FTE aggregate requested is 2.5. A slight increase in the use of external service providers (consultancy) has been required to facilitate the development of the new premises for the period 2016-2017. In 2018, the use of external service providers should return to the normal levels. Eurojust continues to limit its dependency on interim staff and works towards exceptional use only in case of risks to business continuity for administrative support at the National Desks where absences/vacancies have to be temporarily filled with specific language requirements.

4.2.2 Title 2

EUR 22 793 302, of which EUR 11 130 000 is from the 'ring-fenced' budget for Eurojust's New premises. The draft budget 2017 is exceptionally high due to the final stage of the New Premises project (increase of €4.1 million), the move to the new premises in 2017, the higher operating costs for the new premises and the additional costs caused by the sole occupation of the Arc and Haagse Veste building (not part of the New Premises budget envelope, but covered by Eurojust's 'Regular' budget).

4.2.3 Title 3

EUR 10 618 689, of which EUR 1 000 000 is reserved for grants related to JITs (differentiated appropriations).

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

The exceptional situation relating to Eurojust Premises require additional budget in 2017 for EUR 2 392 000 to cover: (A) the move to the New Premises and the higher operating costs (April-December 2017) and (B) the final costs for occupying (and leaving) the Interim Premises (January-March 2017).

Justification A - move to the New Premises and the higher operating costs (April-December 2017): Eurojust intends to keep the 'service levels' for staff and visitors of Eurojust the same as the current minimum required standard to perform day-to-day work. However, the move to the new premises will have an (budgetary) impact to cost of utilities and maintenance services. Reasons for this are: 1) a bigger building (in total more m² for office space, meeting rooms and shared areas) and another layout of the ICT infrastructure and security measurements. 2) Eurojust can no longer benefit from the generous agreement to pay only 30% of the maintenance and utility costs (70% was paid by the ICC) but will have to pay the actual costs. It needs to be highlighted that these exploitation costs are NOT included in the separate 'ring-fenced' budget envelope of EUR 18.4 million provided for the realization of the New Premises project. The details of these additional costs for building exploitation have not yet been officially communicated to the Commission. Overall, this extraordinary (and exceptional) situation forces Eurojust to increase its Title 2 expenditures considerably: the annual (estimated) increase of our Title 2 costs will amount EUR 2.4 million for each year from 2018 onwards, compared to the baseline used for the MFF calculations (as reflected in Eurojust budgets for period 2013-2015).

Eurojust can off-set part of the additional exploitation costs by using the freed-up consultancy costs that will stop with the finalization of the building project (approx. EUR 1.3 million per year as of 2018) which are currently funded from Eurojust's 'Regular' budget. Nevertheless, without adjusting the MFF 2014-2020 accordingly, Eurojust will have find an additional €1.1 million to €1.4 million per year (or in total another of €3.7 million for 2018-2020) from its 'regular' budget for this change in building operating costs due to the move to the New Premises.

Justification B - final costs for occupying (and leaving) the Interim Premises (January-March 2017): Eurojust plans to leave the Interim Premises in April 2017, so the costs for building maintenance, security and utilities for both the Arc and the Haagse Veste building (including the parking area) for the period January-March 2017 need to be included in the 2017 budget. Not only the costs for the security of the Arc building, lease, maintenance and utilities of office space occupied by Eurojust need to be paid, but also the costs for use of the common areas, which were previously shared with and paid by our co-tenant. Eurojust can no longer benefit from the generous agreement to pay only 30% of the utility costs (70% was paid by the ICC) but will have to pay the actual costs.

Current building(s)

	Name, location and type of building	Name, location and type of building	Name, location and type of building	Other Comment
Information to be provided per building:	Maanweg 174, The Hague, B-wing	Saturnusstraat 9, The Hague, floors 6-8	New Premises, Johan de Wittlaan	
Surface area (in square metres)	8,694 m2	6,104 m2	18,515 m2	
Of which office space	6,763 m2	3,786 m2	Parking 8,450 m2	
Of which non-office space	1,931 m2	2,318 m2		
Annual rent (in EUR)	No rental costs incurred - Service/maintenance costs EUR 100,000 (est.)	No rental costs incurred - Service/maintenance costs EUR 140,000 (est.)	EUR 2,713,678 prices 2015	Service costs New Premises: EUR 550,000.00 year
Type and duration of rental contract	Lease – ends 31/07/17	Lease – ends 31/07/17	Lease – 20 years	In 2017 all 3 premises are in use by Eurojust, Maanweg 174 and Saturnusstraat 9 until end of lease expected 31/07/17. Eurojust pays rent only for New Premises and service/maintenance costs for all 3 premises in 2017
Host country grant or support	n.a.	n.a.	n.a.	
Present value of the building	n.a.	n.a.	n.a.	

5.1.2 Building project in the planning phase

The plan for Eurojust New premises has already been approved (see item 5.1.3 below for details).

5.1.3 Building projects submitted to the European Parliament and the Council

On 8 July 2010, the Minister of Justice proposed on behalf of the Host State (Kingdom of the Netherlands), that the future permanent accommodation for Eurojust will be located in the "The Hague International Zone at J.W. Frisolaan". On 30 November 2010 the College of Eurojust issued a mandate for the Programme for new premises for Eurojust. On 2 May 2011 the Council discussed the Eurojust Information Note on the New Premises and did not form an opinion. On 24 May 2011, the European Parliament Budget Committee discussed the Eurojust Information Note on the new premises and did not form an opinion. Subsequently, on 30 June 2011, a cooperation agreement was signed between Eurojust and the Host State, contractually detailing the financial, qualitative and organisational agreements and delivery for the New Premises, which is planned for January 2017.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The privileges and immunities of the agency are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on	The privileges and immunities of Eurojust post-holders are based on the Seat Agreement between Eurojust and the Netherlands, the Agreement on Privileges and	Eurojust staff receives an education allowance regarding the school fees staff has to pay for the

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>Privileges and Immunities between Eurojust and the Netherlands and on Protocol No7 annexed to the EU Treaty.</p> <p>Within the scope of its official activities, Eurojust is exempt from: import taxes and duties, motor vehicle tax, tax on passenger motor vehicles and motorcycles, value added tax paid on goods and services supplied on a recurring basis or involving considerable expenditure, excise duties included in the price of alcoholic beverages and hydrocarbons such as fuel oils and motor fuels, real property transfer tax, insurance tax, energy tax, tax on water mains, and any other taxes or duties of a substantially similar character as the taxes provided for above.</p> <p>The Host State authorities exercise, as far as it is within their competence, and to the extent requested by the Administrative Director on behalf of the College, the respective powers to ensure that the Headquarters is supplied, on fair conditions and on equitable terms, with the necessary services including, among others, electricity, water, sewerage, gas, post, telephone, telegraph, local transportation, drainage, collection of refuse, fire protection and snow removal from public streets.</p> <p>The Dutch Government shall permit Eurojust to communicate freely and without a need for special permission, for all official purposes, and shall protect the right of Eurojust to do so. Eurojust and its National Members shall have the right to use codes and to dispatch and receive official correspondence and other official communications by courier or in sealed bags which shall have the same privileges and immunities as diplomatic couriers and bags.</p>	<p>Immunities between Eurojust and the Netherlands and on Protocol No7 annexed to the EU Treaty. Two different regimes apply:</p> <p>National Members, Deputies and Assistants as well as the Administrative Director and Heads of Units/Services and their family members are granted 'AO' status by the host state. This status provides for certain VAT exemptions, exemption from excise duties for alcoholic beverages, tobacco products and fuel.</p> <p>'AO' status also provides for exemption from tax on cars and motorcycles (BPM) as well as the road tax (MRB) for two cars registered on the post-holder's name at the same time.</p> <p>Other staff members, which are granted 'BO' status by the host state, are entitled to exemption from BPM and MRB for one car registered on their name during the first ten years from the date of taking up employment. 'BO' status also grants an exemption from excise duties for motor vehicle fuel for the first year of taken up employment at Eurojust.</p> <p>Eurojust post-holders are exempted from VAT for the purchase of cars. For staff members with 'BO' status, this exemption applies only for one car at the same time during the first ten years of taking up employment.</p> <p>Eurojust post-holders enjoy an exemption from import duties for durable goods. For staff members with 'BO' status, this exemption only applies at the time of their first installation which has been defined as the first year after taking up employment.</p> <p>Additional exemptions include, inter alia, duties in relation to water authority charges, municipal tax on second homes, dog licences and tax for installations on public land or water.</p>	<p>education of its children. Day-care or financial assistance for day-care costs is not provided as this is private matter of staff members.</p> <p>Eurojust reimburses in full the tuition fees of staff whose children are studying at the European school in The Hague.</p>

5.3 European schools

Eurojust's estimates on the number of children of Eurojust staff that will attend the school are based on the actual circumstances of the staff. This estimate is further based on the gradually widening provision for the European School of the Hague (ESH) and a conservative extrapolation of the actual situation of staff in the current 2015/16 school year, rather than an assumption all children will attend. This projection includes reductions for inter alia children residing outside of the Hague and Dutch nationals unlikely to use ESH, known withdrawals of children from ESH, children benefitting from school allowances of the organisations of the other parent and children for which there is currently no language stream at ESH. Based on the estimated number of children, Eurojust is making the necessary budgetary provisions for the reimbursement of the fees to the Commission as per the process explained in the MSA. Based on the latest projection of the staffing situation a provision of EUR 602 143 is included in the 2017 budget to cover expenditures related to the European School subsidy arrangements.

5.4 Evaluation

Article 41a of the Council Decision 2009/426/JHA on the strengthening of Eurojust required that the College of Eurojust shall commission an independent external evaluation of the implementation of the 2008 Eurojust Council Decision and the activities carried out by Eurojust every five years. In line with Article 41a, Eurojust appointed the consulting firm EY (formerly Ernst & Young) to conduct the independent external evaluation in July 2014. The evaluation ran from September 2014 to June 2015 and was conducted in three sequential phases. The final phase of the evaluation was focused on processing and analysing the data collected and formulating conclusions and recommendations, which resulted in the EY Final Report on the Evaluation of the Eurojust Council Decision and the activities carried out by Eurojust, issued on 30 June 2015. By end 2015 the College of Eurojust had approved the

internal Action Plan for the implementation of the Recommendations of the Evaluation of Eurojust under Article 41a of the Eurojust Council Decision, which includes Actions to be put in place across the organisation during 2016 and 2017.

Link of Evaluation Report on Eurojust's website:

<http://www.eurojust.europa.eu/doclibrary/eurojust-framework/ejlegalframework/evaluation%20of%20the%20implementation%20of%20the%20eurojust%20council%20decision%20-%20final%20report/evaluation%20of%20the%20implementation%20of%20the%20eurojust%20council%20decision%20-%20final%20report.pdf>

2.2.1.4 Decentralised Agency of heading 4 - Global Europe

2.2.1.4.1 European Training Foundation - ETF

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 1360/90	07/05/1990	<p>Provide assistance in the definition of training needs and priorities; act as a clearing house to provide information on current initiatives and future needs in the training field, and provide a framework through which offers of assistance can be channelled</p> <p>On the basis of (a) and (b) above: examine the scope for joint ventures of training assistance; fund the design and the preparation of such projects; implement, at the request of the Commission or of the eligible countries in cooperation with the governing board, vocational training programmes; for activities and projects which are funded by the Foundation: arrange for the appropriate public and/or private bodies with a proven training record and the necessary expertise to design, prepare, implement and/or manage projects on a flexible, decentralized basis; in collaboration with the Commission, assist in the monitoring and evaluation of the overall effectiveness of training assistance to the eligible countries; disseminate information and encourage exchanges of experience, with a focus on the countries of Central and Eastern Europe designated as eligible for economic aid by the Council in Regulation (EEC) No 3906/89 or in any subsequent relevant legal act.</p>
Council Regulation (EC) No.2063/90	27/07/1994	Addition to ETF's geographic scope to include the independent States of the former Soviet Union and Mongolia which are the beneficiaries of the programme to assist economic reform and recovery under Regulation (Euratom, EEC) No 2053/93 or in any subsequent relevant legal act.
Council Regulation (EC) No.1572/98	17/07/1998	Addition to ETF's geographic scope to include the Mediterranean non-member countries and territories which are the beneficiaries of the financial and technical measures to accompany the reform of their economic and social structures pursuant to Regulation (EC) No 1488/96 or any subsequent relevant legal act.
Council Regulation (EC) No. 2666 /2000	05/12/2000	Addition to ETF's geographic scope to include Albania, Bosnia-Herzegovina; Croatia; the Federal Republic of Yugoslavia, the Former Yugoslav Republic of Macedonia.
Council Regulation (EC) No. 1648/2003	18/06/2003	Amending Regulation (EEC) No 1360/90 establishing a European Training Foundation, in order to adapt it to the new Financial regulations of ETF.
Council Regulation (EC) No 1339/2008	16/12/2008	<p>Recast of Council Regulation (EC) No 1339/2008 establishing a European Training Foundation.</p> <p>Recasting of ETF Regulation in line with external assistance instruments, greater flexibility in geographic scope, and human capital development.</p> <p>The ETF's functions under the recast regulation are to: provide information, policy analyses and advice on human capital development issues in partner countries; promote knowledge and analysis of skills needs in national and local labour markets; support relevant stakeholders in partner countries in building capacity in human capital development; facilitate the exchange of information and experience among donors engaged in human capital development reform in partner countries; support the delivery of EU assistance to partner countries in the field of human capital development; disseminate information and encourage networking and the exchange of experience and good practice between the EU and partner countries and amongst partner countries in human capital development issues; contribute, at the Commission's request, to the analysis of the overall effectiveness of training assistance to the partner countries.</p>

1.2 Seat

Torino, Italy

1.3 Budget Line

04 03 14 : European Training Foundation (ETF)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	60	59	59	57
Establishment plan posts : AST	32	31	31	31
Establishment plan posts : AST/SC				
Total Establishment plan posts	92	90	90	88
Contract Agents	39	39	39	40
Seconded National Experts	1	0	1	1
TOTAL STAFF	132	129	130	129

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	20 145 000	20 144 000
Other Revenue	0	0
TOTAL REVENUES	20 145 000	20 144 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	13 867 400	13 867 400	13 942 500	13 942 500
Title 2 - Infrastructure and operating expenditure	1 589 100	1 589 100	1 633 700	1 633 700
Title 3 - Operational expenditure	4 688 500	4 688 500	4 567 800	4 567 800
TOTAL EXPENDITURE	20 145 000	20 145 000	20 144 000	20 144 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13		6		0		6		6		6
AD 12		12		8		13		14		14
AD 11		8		9		9		7		7
AD 10		4		5		6		8		8
AD 9		12		12		12		13		13
AD 8		8		7		7		5		5
AD 7		9		14		5		3		3
AD 6				2						
AD 5				1						
AD TOTAL		60		59		59		57		57
AST 11						2		3		3
AST 10		4		1		4		5		5
AST 9		9		7		9		8		8
AST 8		6		4		6		6		6
AST 7		4		5		4		4		4
AST 6		4		3		4		4		4
AST 5		5		4		2		1		1
AST 4				3						
AST 3				4						
AST 2										
AST 1										
AST TOTAL		32		31		31		31		31
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		92		90		90		88		88

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	92		90		90		88		88	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	7	8	8	9
Function Group III	23	21	24	24
Function Group II	9	10	7	7
Function Group I				
TOTAL	39	39	39	40

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	1	0	1	1

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	20 143 234	20 145 000	20 144 000	20 144 000	-0,00%
- Of which assigned revenues deriving from previous years' surpluses	198 234	189 000			-100%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	9 042				
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)	9 042				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	20 152 276	20 145 000	20 144 000	20 144 000	-0,00%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)	402 019				
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL	402 019				

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 144 963	13 867 400	13 942 500	13 942 500	0,54%
Salaries & allowances	12 349 319	13 002 000	13 065 000	13 065 000	0,48%
- Of which establishment plan posts	10 411 362	10 752 000	10 725 000	10 725 000	-0,25%
- Of which external personnel	1 937 957	2 250 000	2 340 000	2 340 000	4,00%
Expenditure relating to Staff recruitment	15 892	29 000	29 000	29 000	0,00%
Mission expenses	93 000	150 000	150 000	150 000	0,00%
Socio-medical infrastructure	24 260	35 000	35 000	35 000	0,00%
Training	234 184	250 000	250 000	250 000	0,00%
External Services	403 600	368 000	380 000	380 000	3,26%
Receptions, events and representation	3 851	8 400	8 500	8 500	1,19%
Social welfare	20 857	25 000	25 000	25 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 955 631	1 589 100	1 633 700	1 633 700	2,81%
Rental of buildings and associated costs	728 447	617 600	662 750	662 750	7,31%
Information, communication technology and data processing	932 963	675 200	665 550	665 550	-1,43%
Movable property and associated costs	120 943	87 100	91 200	91 200	4,71%
Current administrative expenditure	62 064	83 000	83 000	83 000	0,00%
Postage / Telecommunications	19 127	26 200	31 200	31 200	19,08%
Meeting expenses	92 087	100 000	100 000	100 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	5 030 083	4 688 500	4 567 800	4 567 800	-2,57%
Operational Expenses	812 256	789 500	729 500	729 500	-7,60%
Priority Actions	3 498 127	3 138 000	3 188 300	3 188 300	1,60%
Operational Missions	719 700	761 000	650 000	650 000	-14,59%
TOTAL	20 130 677	20 145 000	20 144 000	20 144 000	-0,00%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	13 144 963	13 867 400	13 942 500	13 942 500	0,54%
Salaries & allowances	12 349 319	13 002 000	13 065 000	13 065 000	0,48%
- Of which establishment plan posts	10 411 362	10 752 000	10 725 000	10 725 000	-0,25%
- Of which external personnel	1 937 957	2 250 000	2 340 000	2 340 000	4,00%
Expenditure relating to Staff recruitment	15 892	29 000	29 000	29 000	0,00%
Mission expenses	93 000	150 000	150 000	150 000	0,00%
Socio-medical infrastructure	24 260	35 000	35 000	35 000	0,00%
Training	234 184	250 000	250 000	250 000	0,00%
External Services	403 600	368 000	380 000	380 000	3,26%
Receptions, events and representation	3 851	8 400	8 500	8 500	1,19%
Social welfare	20 857	25 000	25 000	25 000	0,00%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 955 631	1 589 100	1 633 700	1 633 700	2,81%
Rental of buildings and associated costs	728 447	617 600	662 750	662 750	7,31%
Information, communication technology and data processing	932 963	675 200	665 550	665 550	-1,43%
Movable property and associated costs	120 943	87 100	91 200	91 200	4,71%
Current administrative expenditure	62 064	83 000	83 000	83 000	0,00%
Postage / Telecommunications	19 127	26 200	31 200	31 200	19,08%
Meeting expenses	92 087	100 000	100 000	100 000	0,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	4 751 748	4 688 500	4 567 800	4 567 800	-2,57%
Operational Expenses	804 151	789 500	729 500	729 500	-7,60%
Priority Actions	3 203 261	3 138 000	3 188 300	3 188 300	1,60%
Operational Missions	744 336	761 000	650 000	650 000	-14,59%
TOTAL	19 852 342	20 145 000	20 144 000	20 144 000	-0,00%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): EUR 373 397.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

The Commission proposes to reduce ETF's establishment plan in 2017 by 2 posts to 88. The increased number of Contract Agents results from transition of one existing local agent post into a contract agent. Overall, the staff number remains the same.

Financial Resources

The EU contribution to ETF in 2017 is kept stable at the level authorised for 2016.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The ETF will cut two posts in 2017 as outlined in the ETF Programming Document 2017-2020 on its way to reaching the foreseen number of posts in 2018. The ETF will continue all endeavours to increase the share of available posts allocated to operational activities to meet the challenge of more for less.

4.1.2 Vacancy rate as of end 2015

At the end of 2015, two temporary agent posts were vacant compared to the 2015 establishment plan (i.e. 90 occupied out of 92 available posts) in preparation of the cut of two posts in 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

n.a.

4.1.4 Salary assumption for calculating salary line (% applied)

The Agency's Title 1 budget request is based on the following main assumptions: all available posts for 2017 are expected to remain filled throughout 2017; 2 % increase in salary cost for 2016 as well as for 2017 (i.e. backdated salary adaptations).

4.1.5 Correction coefficient used

The correction coefficient is expected to remain unchanged in 2017 at 99,4.

4.1.6 Exchange rate used (if applicable)

n.a.

4.2 Financial Resources - Agency request

4.2.1 Title 1

The Agency request for 2017 is based upon a forecast which foresees a slight increase of Title 1 mainly linked to an increase of salary cost for Contract Agents, a limited increase in cost of interim services and a small reserve for possible higher costs for the reception services.

4.2.2 Title 2

The Agency request for Title 2 would allow the ETF to cover its usual running/infrastructure expenses at the same level as in 2016 and allow a small increase for mandatory improvements.

4.2.3 Title 3

The ETF operations for 2017 continues the goals set in the ETF 2014-20 strategy and the ETF mid term objectives and strategic projects set in the Single Programming Document 2017-2020.

In 2017, ETF will apply a number of principles to ensure its operations are effective and in line with EU strategy. These reflect in particular EU priorities towards partner countries; differentiation according to the context of each partner country; focus on a limited number of actions each bringing a critical mass of resources to achieve results; ownership as regards the partner countries' readiness to take action on HCD reform; and expected impact/added-value from ETF actions.

More specifically, as regards prioritisation, ETF will give high priority to Enlargement countries and those Eastern Partnership countries with Association Agreements (Georgia, Republic of Moldova and Ukraine) and those countries with Association Agreements and an advanced cooperation status in the Neighbourhood South (Jordan, Morocco and Tunisia). Other Neighbourhood countries will have medium level priority, while the countries of Central Asia will be given low priority and engaged in regional rather than bilateral ETF activities. Russia has a low level of priority due to

its specific status and the context of EU-Russia cooperation. ETF will provide support to EU assistance and to the Torino Process in all partner countries regardless of priority.

Finally, according to EU priorities and ETF analysis of the overall needs of partner countries for human capital reform, ETF has proposed in addition a framework of thematic areas of intervention for 2017:

Effective policy making in VET for economic development and social cohesion. This area of intervention will focus on increasing the effectiveness of policy making in VET by supporting partner countries towards evidence informed policy making at system level along all stages of the policy cycle and moving towards better multilevel governance in VET.

Modernisation of VET for employability and competitiveness. This area of intervention will focus on supporting country efforts towards the improvement of their qualifications and VET provision. Specific emphasis will be given to the modernisation of qualifications, work based learning, professional development of teachers and trainers in VET, quality assurance policies and mechanisms, mechanisms for the identification of present and future skills needs and opportunities for efficient use of migrant skills.

Transition to work. Given the priority that is given to fighting unemployment and inactivity in all partner countries this area of intervention will focus on the development and implementation of comprehensive policies that support an improved transition to employment, with a particular focus on youth, low skilled, jobseekers and other vulnerable groups.

In terms of budget, Title 3 sees a slight drop of 2,6 % compared with the 2016 budget as the ETF accommodates slightly higher costs in Title 1 and 2 within an overall stable budget envelope. However, the direct investment in ETF operational projects has been slightly increased despite this constraint.

4.3 Ad hoc grants and delegation agreements

Currently no confirmed plans.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Villa Gualino, Torino, Italy	The ETF also has a liaison office in Brussels (PLB3)
Surface area (in square metres)	6 000 m ²	23
Of which office space	2 175 m ²	
Of which non-office space	3 825 m ²	
Annual rent (in EUR)	1	17 000
Type and duration of rental contract	3 years	
Host country grant or support	No	
Present value of the building	n.a.	

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>*Local premises have been provided by local authorities on the basis of a convention that provides for the following main essential points: ETF to participate in the costs of refurbishing the premises to be used, ETF to pay a symbolic rent, ETF to participate in the costs of maintenance of the building.</p> <p>*General support is provided by Italy against unauthorised access or other forms of disturbances to the premises.</p> <p>*ETF is authorised to install and operate communications systems.</p> <p>* ETF official communication is not subject to any restrictions or to confidentiality breaches.</p> <p>*The Protocol of privileges and immunities is applicable to ETF.</p> <p>*ETF, its assets and funds may not be subject to administrative or legal measures of constraints.</p> <p>*Premises (including temporary premises) and buildings are inviolable, except in case of emergencies/fire.</p> <p>*ETF, its assets and funds are exempt from taxes or direct duties, except for taxes on public services.</p> <p>*ETF is exempt from the payment of VAT for all purchases of goods or services or for imports above 300 EUR.</p> <p>*ETF imports and exports are exempted from customs duties, taxes, prohibitions or restrictions.</p> <p>*Goods transported as hand baggage are treated as diplomatic luggage.</p> <p>*ETF vehicles are exempted from any taxes, duties or fees or from any import prohibitions/ restrictions.</p> <p>*ETF exempted from payment social security and health insurance contributions on salaries paid to staff, except for local agents.</p>	<p>*Staff immune from legal proceedings for acts performed in the exercise of their official duties.</p> <p>*Staff exempted from taxes on salaries and emoluments paid by ETF.</p> <p>*Staff, spouses and dependent members of family not subject to immigration restrictions or registration formalities for foreigners.</p> <p>*For currency exchange staff has the same privileges as officials of equal rank in the diplomatic missions.</p> <p>*Staff, spouses and dependent members of family receive assistance for repatriation in the event of international crises.</p> <p>*Staff may, duty-free and without prohibitions and restrictions, import from the country of their last residence or the country of which they are nationals, when they first take up their post, for a period of one year from their appointment for a maximum of two shipments, their own furniture and personal effects, including a vehicle bought at the conditions of that country, which will be registered in special series.</p> <p>*Staff may export, in the year following the date of cessation of their duties, without prohibitions and restrictions, their own furniture and personal effects, including vehicles.</p> <p>*The ETF Director, spouse and dependent members of family, is granted privileges and immunities, facilities and concessions granted by the Italy to members of equivalent rank in the diplomatic corps in Italy.</p> <p>*Staff, who is not permanently resident in Italy at the time of their appointment, may purchase a motor vehicle free from taxes and charges for the period of their residence in Italy.</p> <p>*Italy issues a special identity card to staff, spouses and dependent members of family to prove that the holder is ETF staff and enjoys privileges and immunities.</p>	<p>none</p>

5.3 European schools

There is no European school in Torino.

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the European Parliament and the Council by the end of 2016. The main findings of the latest evaluation available from PPMI (2012) External Evaluation of the European Training Foundation (page 83) are as follows:

General: ETF's actions are relevant both thematically and procedurally to beneficiary needs, and the ETF is flexible in addressing these needs. ETF strikes a good balance between flexibility and strategic planning. Its actions are mainly focused on the strategic, and less on the operational level. Stakeholders have different perceptions on the ETF relevance and favour different types of interventions.

Coherence: ETF actions are coherent internally and with broader EU/ most partner countries' objectives. Clear hierarchy between strategic and operational ETF objectives was lacking. No specific translation of strategic objectives into action in planning documents.

Effectiveness: ETF highly effective in provision and dissemination of information, analyses and policy advice; capacity building; and networking and knowledge transfer. Information dissemination has improved but is not known by all key stakeholders. Capacity building was a central objective of the ETF, but its effectiveness is not clear since definitions and modes of interventions were not clearly established.

Efficiency and cost-effectiveness: Trade-offs necessary between flexibility towards partner country needs and strategic clarity. Strained resources when ETF tried to respond to demand for more intensive and focused support in partner countries in the South and East Mediterranean undergoing political transition. ETF has a useful performance indicators system, but focused only on immediate outputs.

Added value: overall, the ETF performs very well, given its wide mandate and limited resources. Added value to the partner countries work in VET and HCD: ETF as a "unique" source of all VET and HCDv experience/data.

2.2.1.5 Decentralised Agency of heading 5 - Administrative expenditure of the institutions

2.2.1.5.1 Translation Centre for the Bodies of the European Union - CDT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) No. 2965/94	28/11/1994	To meet the translation needs of the other decentralised Community Agencies
Council Regulation (EC) No. 2610/95	30/10/1995	The active participation of the Centre in inter institutional cooperation
Council Regulation (EC) No. 1645/2003	18/06/2003	Rational response to the translation needs of a large number of European agencies and offices

1.2 Seat

Luxembourg, Luxembourg

1.3 Budget Line

31 01 10 : Translation Centre for the Bodies of the European Union

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	135	132	134	133
Establishment plan posts : AST	65	63	63	61
Establishment plan posts : AST/SC				1
Total Establishment plan posts	200	195	197	195
Contract Agents	24	23	35	35
Seconded National Experts		0		0
TOTAL STAFF	224	218	232	230

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	50 785 400	49 371 200

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	25 264 100	25 264 100	25 817 500	25 817 500
Title 2 - Infrastructure and operating expenditure	7 048 000	7 048 000	7 079 700	7 079 700
Title 3 - Operational expenditure	18 174 000	18 174 000	16 474 000	16 474 000
Title 10 - Reserves	299 300	299 300		
TOTAL EXPENDITURE	50 785 400	50 785 400	49 371 200	49 371 200

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14	1	1				1		1		1
AD 13							1		1	
AD 12	11	6	3	6	12	7	14	8	14	8
AD 11	10	7	11	6	10	6	10	4	10	4
AD 10	7	5	7	4	7	4	5	6	5	6
AD 9	2	12	3	7	5	11	4	12	4	12
AD 8	9	10	12	6	7	14	6	18	6	18
AD 7	2	21	1	19	2	21	4	18	4	18
AD 6	3	25	4	22	2	25	1	22	1	21
AD 5		3	0	21	0	0				
AD TOTAL	45	90	41	91	45	89	45	89	45	88
AST 11										
AST 10										
AST 9	2	1	2		3		4		4	
AST 8	4		4	1	3	2	2	1	2	1
AST 7	2	3	2	2	2	2	3	3	3	3
AST 6	1	3	1	3	1	2		4		4
AST 5	2	13	1	10	2	16	2	18	2	18

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4	3	16	3	16	2	15	2	13	2	13
AST 3		8		11		8		8		8
AST 2		7		7		5		2		1
AST 1										
AST TOTAL	14	51	13	50	13	50	13	49	13	48
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2								1		1
AST/SC 1										
AST/SC TOTAL								1		1
TOTAL	59	141	54	141	58	139	58	139	58	137
GRAND TOTAL	200		195		197		197		195	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	11	11	19	19
Function Group III	4	6	7	7
Function Group II	9	6	9	9
Function Group I	0	0	0	0
TOTAL	24	23	35	35

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL		0		0

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES (1)	39 449 687	43 665 900	43 495 100	43 495 100	-0,39%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS (1)	1 832 490	5 297 400	802 000	802 000	-84,86%
5 ADMINISTRATIVE OPERATIONS	695 257	574 650	635 850	635 850	10,65%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES		1 247 450	4 438 250	4 438 250	255,79%
TOTAL	41 977 434	50 785 400	49 371 200	49 371 200	-2,78%

(1) In 2017, revenue under Title 1 includes the forecast revenue from all clients. In 2016 and 2015, the revenue from the EU institutions was recorded under title 4-Other contributions.

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 926 843	25 264 100	25 817 500	25 817 500	2,19%
Salaries & allowances	22 127 758	24 035 700	24 744 700	24 744 700	2,95%
- Of which establishment plan posts	20 756 794	21 969 900	22 840 000	22 840 000	3,96%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
<i>- Of which external personnel</i>	1 370 964	2 065 800	1 904 700	1 904 700	-7,80%
Expenditure relating to Staff recruitment	154 568	494 100	359 900	359 900	-27,16%
Mission expenses	125 100	108 500	129 100	129 100	18,99%
Socio-medical infrastructure	274 737	309 400	313 800	313 800	1,42%
Training	168 431	231 500	228 100	228 100	-1,47%
External Services					
Receptions, events and representation	786	2 500	2 500	2 500	0,00%
Social welfare	75 463	82 400	39 400	39 400	-52,18%
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 472 976	7 048 000	7 079 700	7 079 700	0,45%
Rental of buildings and associated costs	3 051 382	3 060 600	3 064 000	3 064 000	0,11%
Information, communication technology and data processing	2 735 363	3 199 000	3 281 000	3 281 000	2,56%
Movable property and associated costs	118 735	79 600	78 400	78 400	-1,51%
Current administrative expenditure	209 454	253 700	263 700	263 700	3,94%
Postage / Telecommunications	197 942	188 600	186 600	186 600	-1,06%
Meeting expenses	10 802	23 000	25 000	25 000	8,70%
Running costs in connection with operational activities	98 933	126 000	106 000	106 000	-15,87%
Information and publishing	21 865	42 500	50 000	50 000	17,65%
Studies	28 500	75 000	25 000	25 000	-66,67%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 316 723	18 174 000	16 474 000	16 474 000	-9,35%
External translation services	12 625 557	16 710 000	14 980 000	14 980 000	-10,35%
Expenditure relating to interinstitutional cooperation	729 409	750 000	900 000	900 000	20,00%
Expenditure linked to the e-Cdt programme	961 757	714 000	594 000	594 000	-16,81%
Title 10 - Reserves		299 300			-100%
TOTAL	43 716 542	50 785 400	49 371 200	49 371 200	-2,78%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	22 926 843	25 264 100	25 817 500	25 817 500	2,19%
Salaries & allowances	22 127 758	24 035 700	24 744 700	24 744 700	2,95%
<i>- Of which establishment plan posts</i>	20 756 794	21 969 900	22 840 000	22 840 000	3,96%
<i>- Of which external personnel</i>	1 370 964	2 065 800	1 904 700	1 904 700	-7,80%
Expenditure relating to Staff recruitment	154 568	494 100	359 900	359 900	-27,16%
Mission expenses	125 100	108 500	129 100	129 100	18,99%
Socio-medical infrastructure	274 737	309 400	313 800	313 800	1,42%
Training	168 431	231 500	228 100	228 100	-1,47%
External Services					
Receptions, events and representation	786	2 500	2 500	2 500	0,00%
Social welfare	75 463	82 400	39 400	39 400	-52,18%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	6 472 976	7 048 000	7 079 700	7 079 700	0,45%
Rental of buildings and associated costs	3 051 382	3 060 600	3 064 000	3 064 000	0,11%
Information, communication technology and data processing	2 735 363	3 199 000	3 281 000	3 281 000	2,56%
Movable property and associated costs	118 735	79 600	78 400	78 400	-1,51%
Current administrative expenditure	209 454	253 700	263 700	263 700	3,94%
Postage / Telecommunications	197 942	188 600	186 600	186 600	-1,06%
Meeting expenses	10 802	23 000	25 000	25 000	8,70%
Running costs in connection with operational activities	98 933	126 000	106 000	106 000	-15,87%
Information and publishing	21 865	42 500	50 000	50 000	17,65%
Studies	28 500	75 000	25 000	25 000	-66,67%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	14 316 723	18 174 000	16 474 000	16 474 000	-9,35%
External translation services	12 625 557	16 710 000	14 980 000	14 980 000	-10,35%
Expenditure relating to interinstitutional cooperation	729 409	750 000	900 000	900 000	20,00%
Expenditure linked to the e-Cdt programme	961 757	714 000	594 000	594 000	-16,81%
Title 10 - Reserves		299 300			-100%
TOTAL	43 716 542	50 785 400	49 371 200	49 371 200	-2,78%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): Not applicable

4 Justification of needs

Commission assessment

Human Resources

In line with the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013), the EU draft budget 2017 foresees 195 posts as opposed to the 197 posts requested by the Translation Centre (see point 4.1.1. below).

Financial Resources

The Centre is self-financed and does not require any contribution from the Commission section of the budget.

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

For 2017 the Centre requests the same 197 establishment plan posts approved for the budget 2016. The planned number of contract staff for 2017 is 35, same as in 2016.

4.1.2 Vacancy rate as of end 2015

The vacancy rate for officials and temporary staff for 2015 stood at 2.5%. All currently vacant posts are important for the proper functioning of the Centre and will be filled as soon as possible.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

The Centre's budget includes the possibility for annual salary adjustments in accordance with Staff Regulations. It has been estimated at 1.8% per year.

4.1.5 Correction coefficient used

Not applicable

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - Agency request

4.2.1 Title 1

The budget for Title 1 in 2017 reaches EUR 25.8 million (2.2% higher than for 2016), an increase of EUR 0.6 million. The Centre's budget includes the possibility for annual salary adjustments, estimated at 1.8% per year, as well as the biennial step advancements and promotions. The budget is based on an establishment staff plan of 197 posts, the same as in 2016, while taking into account factors such as the turnover ratio and work pattern.

4.2.2 Title 2

The budget for Title 2, 'Buildings, equipment and miscellaneous operation expenditure' in 2017 reaches EUR 7.1 million. It has been increased by 0.4% compared with the budget for 2016 (+ 9.4% compared with the outturn for 2015). The main reason for the increase compared with 2015 is that the Centre rents additional office space as of December 2015, which leads to higher building-related expenditure. The actual level of the building-related charges is still not known despite several actions by the Centre to solve this issue. The Centre estimates that the charges will be higher compared to when the contract was signed in 2013. The Centre receives a grant from the Luxembourg Government in support of the rental charges. This grant is recorded as revenue, and does not affect expenditure in Title 2. It should be underlined that the expenditure in Title 2 includes expenditure for the hosting of a data centre for ERA and for the office space that is sublet to the Commission. In conformity with the principle of universality, the revenue received from ERA and the Commission is recorded as revenue.

4.2.3 Title 3

The budget for Title 3, 'Operational expenditure', covers the outsourcing of translation services, interinstitutional cooperation, and the eCdT programme. It reaches EUR 16.5 million in 2017 and has been decreased by 9.4% compared with the budget for 2016 and by 1.7% compared with the outturn for 2015. The budget for external translation services constitutes the main part of the title's budget (91% in 2017). The forecast for interinstitutional cooperation in 2017 is EUR 0.9 million (20% higher than in 2016), reflecting expenditure for a new IATE tool (IATE 2). These changes are matched by changes in the revenue the Centre receives for its services within the context of interinstitutional cooperation. The development of the eCdT programme is forecast to incur expenditure of EUR 0.6 million in 2017. This expenditure corresponds fully to the amount transferred into revenue from the 'Reserve for exceptional investments'.

4.3 Ad hoc grants and delegation agreements

Not applicable

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Bâtiment DROSBACH 12E, rue Guillaume Kroll L-1882 Luxembourg Luxembourg	Offices
Surface area (in square metres) Of which office space Of which non-office space	7 117.72 6 166.88 950.84	Since 1 December 2015 the Centre has rented additional office space in the same building. The Centre is occupying 224.24 m2 of the 1013.88 m2. The remaining 789.65 m2 of office space is sublet to the Commission as of 1 January 2016.
Annual rent (in EUR)	EUR 1 877 429.21	Rent in 2016 as of 1 January 2016
Type and duration of rental contract	Rent contract is eight years. Amendment to the initial contract is six years.	
Host country grant or support	Grant from the Government of Luxembourg of EUR 243 250 per year	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

Not applicable

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
<p>In July 2014 the Centre signed a headquarters agreement with the host country, the Grand Duchy of Luxembourg. The Centre, its property, funding and assets enjoy immunity in Luxembourg from every form of judicial process. The Centre's premises are inviolable.</p> <p>Within the scope of its official activities, the Centre, its assets, income, property and its operations and transactions authorised by Regulation (EC) No 2965/94 are exempt from all forms of taxation, present and future.</p>	<p>Every 36 months, officials and other servants (temporary and contract staff) may purchase a car with a temporary exemption of VAT in the Grand Duchy of Luxembourg or in another EU Member State. The staff member must have a contract with the Centre (or an Institutions in Luxembourg) of at least six months and live in Luxembourg. The exemption is granted subject to reimbursement of the VAT relating to the selling of the previous car purchase with temporary VAT exemption. If the staff member leaves the Centre or moves abroad, he/she will have to reimburse VAT on the car.</p>	<p>The Centre's staff benefit from all the facilities available to staff of the other institutions located in Luxembourg, namely: the European Parliament nurseries, private nurseries, after-school childcare and the Study Centre managed by the European Commission's Office for Infrastructure and Logistics (OIL), the European Schools, international schools, the French secondary school, the Luxembourg state nursery and primary schools, Luxembourg secondary schools and training centres and the University of Luxembourg.</p> <p>The Centre applies all the provisions laid down in the Staff Regulations (annex VII) and in the general implementing rules regarding the education allowance. The education allowance is paid by the Centre and is granted to officials, temporary and contract staff, and to other beneficiaries legally entitled to this allowance</p>

5.3 European schools

The staff members of the Centre have access to the two European schools in Luxembourg.

5.4 Evaluation

After the initial evaluation following the set-up phase of the Centre, there have been no regular evaluations of the Centre. However, the Centre is audited on an annual basis by the Court of Auditors and by the Internal Audit Service of the Commission.

2.2.2 Fully self-financed decentralised agencies

2.2.2.1 Single Resolution Board - SRB

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Regulation (EU) No 806/2014 on the Single Resolution Mechanism	15/07/2015	The Board will carry out specified tasks concerning the preparation for the resolution of credit institutions and their actual resolution where they are failing or likely to fail.
Commission proposal COM (2015) 586 on the establishment of a European Deposit Insurance Scheme.	24/11/2015	The proposal envisages the establishment of a European Deposit Insurance Scheme (EDIS) to be managed by the Single Resolution Board.

1.2 Seat

Brussels Belgium

1.3 Budget Line

12 02 07 : Single Resolution Board (SRB)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	83	73	155	275
Establishment plan posts : AST	22	16	51	50
Establishment plan posts : AST/SC	17	12	24	25
Total Establishment plan posts	122	101	230	350
Contract Agents	2			
Seconded National Experts	6	7	25	25
TOTAL STAFF	130	108	255	375

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution		
Other Revenue	11 856 919 625	6 289 666 000
TOTAL REVENUES	11 856 919 625	6 289 666 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	25 235 000	25 235 000	49 625 000	49 625 000
Title 2 - Infrastructure and operating expenditure	12 801 000	12 801 000	14 421 000	14 421 000
Title 3 - Operational expenditure	18 964 000	18 964 000	25 620 000	25 620 000
Title 4 - Single Resolution Fund	11 799 919 625	11 799 919 625	6 200 000 000	6 200 000 000
TOTAL EXPENDITURE	11 856 919 625	11 856 919 625	6 289 666 000	6 289 666 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14										
AD 13										
AD 12		7		5		7		8		8
AD 11		3				3		5		5
AD 10		9		4		9		12		12
AD 9		9				15		20		20
AD 8		22		26		36		100		100
AD 7		5				10		50		50
AD 6		21		38		70		50		50
AD 5		7				5		30		30
AD TOTAL		83		73		155		275		275
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		2				2		2		2
AST 6		2				2		2		2
AST 5		6				6		6		6

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 4				1		4		8		8
AST 3		12		15		32		32		32
AST 2						5				
AST 1										
AST TOTAL		22		16		51		50		50
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3		9				9		10		10
AST/SC 2										
AST/SC 1		8		12		15		15		15
AST/SC TOTAL		17		12		24		25		25
TOTAL		122		101		230		350		350
GRAND TOTAL	122		101		230		350		350	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	2			
Function Group III				
Function Group II				
Function Group I				
TOTAL	2			

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	6	7	25	25

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	21 829 111	11 856 919 625	6 289 666 000	6 289 666 000	-46,95%
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	21 829 111	11 856 919 625	6 289 666 000	6 289 666 000	-46,95%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 512 901	25 235 000	49 625 000	49 625 000	96,65%
Salaries & allowances	6 537 063	19 608 000	43 930 000	43 930 000	124,04%
- Of which establishment plan posts	6 171 153	18 278 000	42 290 000	42 290 000	131,37%
- Of which external personnel	365 910	1 330 000	1 640 000	1 640 000	23,31%
Expenditure relating to Staff recruitment	520 458	2 305 000	468 000	468 000	-79,70%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Mission expenses	1 482	45 000	45 000	45 000	0,00%
Socio-medical infrastructure	157 934	2 270 000	3 286 000	3 286 000	44,76%
Training	107 143	410 000	651 000	651 000	58,78%
External Services	188 507	585 000	1 233 000	1 233 000	110,77%
Receptions, events and representation	314	12 000	12 000	12 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	5 121 871	12 801 000	14 421 000	14 421 000	12,66%
Rental of buildings and associated costs	826 419	4 987 200	4 712 000	4 712 000	-5,52%
Information, communication technology and data processing	3 422 273	5 415 800	7 659 000	7 659 000	41,42%
Movable property and associated costs	674 427	1 085 000	700 000	700 000	-35,48%
Current administrative expenditure	58 584	873 000	990 000	990 000	13,40%
Postage / Telecommunications	140 168	440 000	360 000	360 000	-18,18%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	1 589 712	18 964 000	25 620 000	25 620 000	35,10%
Plenary and executive sessions of the Board	33 387	36 000	60 000	60 000	66,67%
Appeal panel	17 104	255 000	357 000	357 000	40,00%
Communication, publication, translation	172 210	500 000	2 330 000	2 330 000	366,00%
Operational mission expenses	306 218	850 000	1 250 000	1 250 000	47,06%
Operation meetings expenses	33 504	50 000	50 000	50 000	0,00%
IT tools		4 300 000	3 800 000	3 800 000	-11,63%
Support activities to the Fund	66 500	5 773 000	7 273 000	7 273 000	25,98%
Studies and consultancy	951 805	6 700 000	10 000 000	10 000 000	49,25%
Other operation expenditure	8 984	500 000	500 000	500 000	0,00%
Crisis contingency					
Title 4 - Single Resolution Fund		11 799 919 625	6 200 000 000	6 200 000 000	-47,46%
Usage of the Fund within Resolution Schemes					
Investments		11 780 943 041	6 200 000 000	6 200 000 000	-47,37%
Interest paid on loans in accordance with SRM Article 72(1)					
Interest paid on loans in accordance with SRM Articles 73 and 74					
Bank and other financial charges		18 976 584			-100%
Negative budget result from previous year					
Refunds					
TOTAL	14 224 484	11 856 919 625	6 289 666 000	6 289 666 000	-46,95%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	7 074 243	25 235 000	49 625 000	49 625 000	96,65%
Salaries & allowances	6 537 063	19 608 000	43 930 000	43 930 000	124,04%
- Of which establishment plan posts	6 171 153	18 278 000	42 290 000	42 290 000	131,37%
- Of which external personnel	365 910	1 330 000	1 640 000	1 640 000	23,31%
Expenditure relating to Staff recruitment	384 155	2 305 000	468 000	468 000	-79,70%
Mission expenses		45 000	45 000	45 000	0,00%
Socio-medical infrastructure	11 839	2 270 000	3 286 000	3 286 000	44,76%
Training	30 364	410 000	651 000	651 000	58,78%
External Services	110 508	585 000	1 233 000	1 233 000	110,77%
Receptions, events and representation	314	12 000	12 000	12 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 515 827	12 801 000	14 421 000	14 421 000	12,66%
Rental of buildings and associated costs	746 279	4 987 200	4 712 000	4 712 000	-5,52%
Information, communication technology and data processing	723 222	5 415 800	7 659 000	7 659 000	41,42%
Movable property and associated costs	31 422	1 085 000	700 000	700 000	-35,48%
Current administrative expenditure	13 365	873 000	990 000	990 000	13,40%
Postage / Telecommunications	1 539	440 000	360 000	360 000	-18,18%
Meeting expenses					
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	948 543	18 964 000	25 620 000	25 620 000	35,10%
Plenary and executive sessions of the Board	10 197	36 000	60 000	60 000	66,67%
Appeal panel		255 000	357 000	357 000	40,00%
Communication, publication, translation	152 126	500 000	2 330 000	2 330 000	366,00%
Operational mission expenses	213 055	850 000	1 250 000	1 250 000	47,06%
Operation meetings expenses	29 192	50 000	50 000	50 000	0,00%
IT tools		4 300 000	3 800 000	3 800 000	-11,63%
Support activities to the Fund		5 773 000	7 273 000	7 273 000	25,98%
Studies and consultancy	543 973	6 700 000	10 000 000	10 000 000	49,25%
Other operation expenditure		500 000	500 000	500 000	0,00%
Crisis contingency					
Title 4 - Single Resolution Fund		11 799 919 625	6 200 000 000	6 200 000 000	-47,46%
Usage of the Fund within Resolution Schemes					
Investments		11 780 943 041	6 200 000 000	6 200 000 000	-47,37%
Interest paid on loans in accordance with SRM Article 72(1)					
Interest paid on loans in accordance with SRM Articles 73 and 74					
Bank and other financial charges		18 976 584			-100%
Negative budget result from previous year					

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Refunds					
TOTAL	9 538 613	11 856 919 625	6 289 666 000	6 289 666 000	-46,95%

3.3 Budget Outturn

First estimate of the 2015 surplus that should reduce contributions to be levied for 2017: EUR 7 733 557.

4 Justification of needs

Commission assessment

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

The SRB is still in its start-up phase. The Agency became fully operational on 1 January 2016. In the first year, growth concentrated on corporate services, to be able to put in place all necessary processes for the SRB as an autonomous EU Agency, and gradually build up operational capacity. It is estimated that the Agency will reach cruising speed by the end of 2017.

A quantitative estimate of staffing needs until end 2017 has been carry out, with an approximate target of 350 establishment plan posts in total. Strategic decisions concerning the shape of the SRB are in progress, in order to be able to fine-tune the necessary skills and competencies needed in the Agency, and confirm or potentially modify the quantitative and qualitative estimates in place. The workload has been progressively increasing and will keep doing so, as the SRB has now full resolution powers.

The staffing needs of the Agency reflect the need for a successful implementation of the Agency's mission, which is to ensure an orderly resolution of failing banks with minimum impact on the real economy and on public finances of the participating Member States and beyond.

4.1.2 Vacancy rate as of end 2015

The SRB achieved a vacancy rate of 8%.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The salary costs are estimated on the assumption that the existing reserve lists will contribute to the quick and efficient recruitment for the additional posts. It is also assumed that since the Agency is in its start-up phase, rather low turnout should be expected. The annual indexation is assumed to be 2.4%.

4.1.5 Correction coefficient used

The Agency is seated in Brussels and no correction coefficient is applicable.

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - Agency request

4.2.1 Title 1

The proposed amount for Title 1 appropriations is EUR 49 625 000 which represents an increase of 97% compared to 2016. Salaries cover approximately 89% of the total budget, while other staff related expenditure is 11%.

The needs for Chapter 11 amount to EUR 43 930 000 representing an increase of approximately 116%. It is to be noted that as a self-financed Agency, the budget of the SRB also includes the employer's part of the pension contribution. The increase compared to the 2016 budget is mainly due to the participation in the 2017 payroll for 12 months of almost all staff members, whereas in 2016, which is a recruitment intensive year, an average of 6 months in the payroll has been assumed.

As the majority of the recruitment procedures will have been undertaken in 2016, the budgeted expenditure decreases by EUR 1 837 000 to EUR 468 000, i.e. a decrease of 80%.

The budget for external services increases by EUR 648 000 and amounts to EUR 1 233 000, i.e. an increase of 111% compared to 2016. This increase stems from the provision for interim staff, mainly in view covering staff absences for medical reasons or due to maternity leaves, together with being prepared for seasonal increases in workload. In addition, the administrative costs of processing staff matters by the PMO increases corresponding to the increase in staff numbers.

As the SRB is a self-financed Agency, it bears the costs of the European schools and crèches, which is estimated at EUR 2 925 000.

4.2.2 Title 2

Title 2 on infrastructure and operating expenditure amounts to EUR 14 421 000 which represents an increase of 13% compared to 2016, mainly reflecting the increase in outsourced ICT services.

4.2.3 Title 3

Title 3 on operation expenditure amounts to EUR 25 620 000 which represents an increase of 35% compared to 2016. The majority of the operational budget is used for outsourced services related to the core business of the Resolution and for support actions to the Fund.

The second most costly item is operation IT tools and Communications and Translations. The IT needs are related to the development of acquisition of IT tools specialised for the calculation and invoicing of the contributions as well as for investment purposes. In addition, the ECB support will be financed from the same budget item. The Communication activities to be financed in 2017 include amongst others the further development and maintenance of the SRB website and intranet, the external communication support during an eventual crisis, the translation of numerous documents, the organisation of events, the acquisition of promotional material and the printing and publication of documents. Finally, funds are reserved to cover the expenses related to business travelling and for potential appeals.

4.2.4 Title 4

The amount for Title 4 - the Single Resolution Fund - amounts to EUR 6 200 000 000. The full amount of the contribution will be invested in accordance with the investment strategy of the SRB.

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Treurenberg (T-22)	
Surface area (in square metres) Of which office space Of which non-office space	Gross: 9812 m2 Office: 4064m2 Non-office: 5748m2	
Annual rent (in EUR)	2,834,416.86 (including maintenance)	
Type and duration of rental contract	Usufruct for 15 years (until 2031)	
Host country grant or support	N/A	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Protocol No 7 on the Privileges and Immunities of the European Union annexed to the TEU and to the TFEU shall apply to the Board and its staff		

5.3 European schools

In reference to Commission Decision C (2013) 4886 of 0/1/08/2013 and the additional agreement signed between SRB and the European Commission on 27/5/2015 in respect to the allocation of costs related to the European Schools, the SRB's draft budget for 2017 includes the European School contribution amounts related to the SRB's staff pupils.

5.4 Evaluation

In accordance with the SRM Article 94, by 31 December 2018, and every three years thereafter, the Commission shall publish a report on the application of the SRM.

2.2.2.2 Community Plant Variety Office - CPVO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation 2100/94	27/07/1994	EU Plant Variety Rights

1.2 Seat

Angers, France

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	13	13	13	14
Establishment plan posts : AST	33	32	32	30
Establishment plan posts : AST/SC				
Total Establishment plan posts	46	45	45	44
Contract Agents				
Seconded National Experts				
TOTAL STAFF	46	45	45	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	15 450 000	15 852 000

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 430 000	6 430 000	6 700 000	6 700 000

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 3 - Operational expenditure				
Title 2 - Infrastructure and operating expenditure	1 880 000	1 880 000	2 110 000	2 110 000
TOTAL EXPENDITURE	8 310 000	8 310 000	8 810 000	8 810 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14	1	1		1	1	1	1	1	1	1
AD 13			1							
AD 12	1	1		1	1	1	1	1	1	1
AD 11		1	1	1		1		1		1
AD 10					1	1	2	1	2	1
AD 9	2	1	2	1	1	1	2	1	2	1
AD 8		2		1		2		1		1
AD 7		2		3		1		2		2
AD 6										
AD 5		1		1		1				
AD TOTAL	4	9	4	9	4	9	6	8	6	8
AST 11										
AST 10	1	3			3	3	1	3	1	3
AST 9	2	4	3	5		3		3		3
AST 8	1			1	1	1	1	1	1	1
AST 7	1	2	1	1	1	1	1	1	1	1
AST 6	1	8	1	4	1	8	1	8	1	8
AST 5		5	1	6		6		6		6
AST 4		2		6		2		2		2
AST 3		1		1		1		1		1
AST 2		2		1		1		1		1
AST 1				1						
AST	6	27	6	26	6	26	4	26	4	26

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	10	36	10	35	10	35	10	34	10	34
GRAND TOTAL	46		45		45		44		44	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV				
Function Group III				
Function Group II				
Function Group I				
TOTAL				

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	12 663 224	14 114 000	15 597 709	15 597 709	10,51%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS	176 231	362 000	262 000	262 000	-27,62%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	1 140 336	974 000	-7 709	-7 709	-100,79%
TOTAL	13 979 791	15 450 000	15 852 000	15 852 000	2,60%

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 154 322	6 430 000	6 700 000	6 700 000	4,20%
Salaries & allowances	5 723 858	5 980 000	6 250 000	6 250 000	4,52%
- Of which establishment plan posts	5 723 858	5 980 000	6 250 000	6 250 000	4,52%
- Of which external personnel					
Expenditure relating to Staff recruitment					
Mission expenses	239 999	240 000	240 000	240 000	0,00%
Socio-medical infrastructure					
Training	98 904	100 000	100 000	100 000	0,00%
External Services					
Receptions, events and representation	3 031	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure	88 530	100 000	100 000	100 000	0,00%
Title 2 - Infrastructure and operating expenditure	1 398 219	1 880 000	2 110 000	2 110 000	12,23%
Rental of buildings and associated costs	201 824	300 000	600 000	600 000	100,00%
Information, communication technology and data processing	539 293	850 000	700 000	700 000	-17,65%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Movable property and associated costs	54 869	70 000	70 000	70 000	0,00%
Current administrative expenditure	37 811	70 000	70 000	70 000	0,00%
Postage / Telecommunications	76 500	90 000	100 000	100 000	11,11%
Meeting expenses	388 790	250 000	320 000	320 000	28,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	99 132	250 000	250 000	250 000	0,00%
TOTAL	7 552 541	8 310 000	8 810 000	8 810 000	6,02%

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	6 154 322	6 430 000	6 700 000	6 700 000	4,20%
Salaries & allowances	5 723 858	5 980 000	6 250 000	6 250 000	4,52%
- Of which establishment plan posts	5 723 858	5 980 000	6 250 000	6 250 000	4,52%
- Of which external personnel					
Expenditure relating to Staff recruitment	98 904	100 000	100 000	100 000	0,00%
Mission expenses	239 999	240 000	240 000	240 000	0,00%
Socio-medical infrastructure					
Training					
External Services					
Receptions, events and representation	3 031	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure	88 530	100 000	100 000	100 000	0,00%
Title 2 - Infrastructure and operating expenditure	1 398 219	1 880 000	2 110 000	2 110 000	12,23%
Rental of buildings and associated costs	201 824	300 000	600 000	600 000	100,00%
Information, communication technology and data processing	539 293	850 000	700 000	700 000	-17,65%
Movable property and associated costs	54 869	70 000	70 000	70 000	0,00%
Current administrative expenditure	37 811	70 000	70 000	70 000	0,00%
Postage / Telecommunications	76 500	90 000	100 000	100 000	11,11%
Meeting expenses	388 790	250 000	320 000	320 000	28,00%
Running costs in connection with operational activities					
Information and publishing					
Studies					
Other infrastructure and operating expenditure	99 132	250 000	250 000	250 000	0,00%
TOTAL	7 552 541	8 310 000	8 810 000	8 810 000	6,02%

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013.

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2015

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	3 Boulevard Foch, Angers	Presidency, Legal, Logistics
Surface area (in square metres)	590	Non-Office space includes main meeting room, canteen, servers rooms.
Of which office space	204	
Of which non-office space	386	
Annual rent (in EUR)	None – Owned	

	Name, location and type of building	Other Comment
Type and duration of rental contract	N/A	
Host country grant or support	7 855 000 FFR (EUR 1.2 million)	
Present value of the building		

	Name, location and type of building	Other Comment
Information to be provided per building:	3 bis Bvd Foch, Angers	Administration Unit, IT
Surface area (in square metres)	279	
Of which office space	177	
Of which non-office space	102	
Annual rent (in EUR)	None – Owned	
Type and duration of rental contract	N/A	
Host country grant or support	None	
Present value of the building		

	Name, location and type of building	Other Comment
Information to be provided per building:	9 Bvd Foch, Angers	Technical Unit, Denominations
Surface area (in square metres)	596	
Of which office space	371	
Of which non-office space	225	
Annual rent (in EUR)	None – Owned	
Type and duration of rental contract	N/A	
Host country grant or support	None	
Present value of the building		

5.1.2 Building project in the planning phase

There are currently no plans to purchase new buildings. Refurbishment of 3 bis Boulevard Foch should take place in 2017.

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

The Basic Regulation of the CPVO refers to the Protocol on Privileges and Immunities of the European Communities. The CPVO does not have a Seat Agreement with the French Government. The CPVO has made numerous attempts to secure a Seat Agreement with the French Government. Unfortunately, such efforts have not resulted in any meaningful interaction or engagement from the French Government. The CPVO will continue to seek such an agreement.

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

5.4 Evaluation

An ex-post evaluation on the external communication policy and activities of the CPVO will take place in the last quarter of 2016. The evaluation report will be available early 2017 and presented to the CPVO AC during its 2017 spring meeting, together with an action plan. This action plan will be implemented in 2017 and probably during the following years, according to the time schedule foreseen and the types of activities concerned

2.2.2.3 European Union Intellectual Property Office - EUIPO

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission / Tasks / Functions
Council Regulation (EC) No 207/2009 of 26 February 2009 on the Community trade mark (codified version) (Text with EEA relevance), as amended by Regulation (EU) 2015/2424 of the European Parliament and of the Council of 16 December 2015 amending Council Regulation (EC) No 207/2009 on the Community trade mark and Commission Regulation (EC) No 2868/95 implementing Council Regulation (EC) No 40/94 on the Community trade mark, and repealing Commission Regulation (EC) No 2869/95 on the fees payable to the Office for Harmonization in the Internal Market (Trade Marks and Designs) (Text with EEA relevance)	OJ L 78, 24.3.2009, p. 1–42 OJ L 341, 24.12.2015, p. 21–94	<p>The European Union decided to establish the Office for Harmonisation in the Internal Market "OHIM" to administer the registration of Community trademarks and designs in order to improve the creation of a single market and to harmonize the protection of industrial property within the European Union.</p> <p>Community trademarks and designs are industrial property titles which enjoy uniform protection throughout the entire area of the Community.</p> <p>Council Regulation (EC) No 40/94 of 20 December 1993 on the Community trade mark is the founding legal act of OHIM. Regulation no 40/94 has been substantially amended several times. In the interests of clarity the EU legislator decided to codify the text of Regulation (EC) No 40/94 as further amended into one single act. Council Regulation (EC) No 207/2009 is the result of the codification procedure.</p> <p>On 23 March 2016, the name of the Office became EUIPO, the European Union Intellectual Property Office (Article 2 EUTMR). The tasks of EUIPO are defined in Article 123b EUTMR as follows:</p> <ul style="list-style-type: none"> (a) administration and promotion of the EU trade mark system established in this Regulation; (b) administration and promotion of the European Union design system established in Council Regulation (EC) No 6/2002 (21); (c) promoting convergence of practices and tools in the fields of trade marks and designs, in cooperation with the central industrial property offices in the Member States, including the Benelux Office for Intellectual Property; (d) the tasks referred to in Regulation (EU) No 386/2012 of the European Parliament and of the Council (22); (e) the tasks conferred on it under Directive 2012/28/EU of the European Parliament and of the Council (23). <p>The Office shall cooperate with institutions, authorities, bodies, industrial property offices, international and non-governmental organisations in relation to the tasks conferred on it in paragraph 1.</p> <p>The Office may provide voluntary mediation services for the purpose of assisting parties in reaching a friendly settlement.</p>
Council Regulation (EC) No 6/2002 of 12 December 2001 on Community designs	OJ L 003 05.01.2002 p. 1 – 24	A unified system for obtaining a Community design to which uniform protection is given with uniform effect throughout the entire territory of the Community would further the objectives of the Community as laid down in the Treaty on the Functioning of the European Union. This Regulation extended the scope of the Office to Community Designs. The first community Design applications were filed in 2003.
Regulation (EU) No 386/2012 of the European Parliament and of the Council of 19 April 2012 on entrusting the Office for Harmonization in the Internal Market (Trade Marks and Designs) with tasks related to the enforcement of intellectual property rights, including the assembling of public and private-sector representatives as a European Observatory on Infringements of Intellectual Property Rights (Text with EEA relevance)	OJ L 129, 16.5.2012, p. 1–6	Following a proposal by the Commission, which was backed by the European Parliament and the Council, the Observatory was entrusted fully to OHIM on 5 June 2012. EUIPO now also covers matters relating to the enforcement of intellectual property rights.
DIRECTIVE 2012/28/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 October 2012 on certain	OJ L 299, 27.10.2012, p. 5–	Considering in particular the pan-European dimension, and in order to avoid duplication of efforts, it is appropriate to make provision for the creation of a single online database for the Union containing such

permitted uses of orphan works (Text with EEA relevance)	12	<p>information and for making it available to the public at large in a transparent manner.</p> <p>Under Regulation (EU) No 386/2012 (4), the Office is entrusted with certain tasks and activities, financed by making use of its own budgetary means, aimed at facilitating and supporting the activities of national authorities, the private sector and the Union institutions in the fight against, including the prevention of, infringement of intellectual property rights.</p> <p>In particular, pursuant to point (g) of Article 2(1) of that Regulation, those tasks include providing mechanisms which help to improve the online exchange of relevant information between the Member States' authorities concerned and fostering cooperation between those authorities. It is therefore appropriate to rely on the Office to establish and manage the European database containing information related to orphan works referred to in this Directive.</p>
Proposal for a directive of the European Parliament and of the Council on the protection of undisclosed know-how and business information (trade secrets) against their unlawful acquisition, use and disclosure (COM(2013)0813 – C7-0431/2013 – 2013/0402(COD))	Act adopted by Council after Parliament's 1st reading, on 26/05/2016 Awaiting signature of act	Pursuant to Article 16(1) of the proposed Directive, five years after the date of adoption of this Directive, the European Union Intellectual Property Office, in the context of the activities of the European Observatory on Infringements of Intellectual Property Rights, shall prepare an initial report on the litigation trends regarding the unlawful acquisition, use or disclosure of trade secrets pursuant to the application of this Directive.

1.2 Seat

Alicante, Spain

1.3 Budget Line

Not applicable

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	256	222	255	
Establishment plan posts : AST	580	509	572	
Establishment plan posts : AST/SC		0		
Total Establishment plan posts	836	731	827	792
Contract Agents		54	98	101
Seconded National Experts	71	63	71	73
TOTAL STAFF	907	848	996	966

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	417 526 818	400 189 712

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
TOTAL REVENUES	3 745 205	

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	100 523 268	100 523 268	111 917 445	111 917 445
Title 2 - Infrastructure and operating expenditure	86 819 135	86 819 135	93 236 046	93 236 046
Title 3 - Operational expenditure	43 595 563	43 595 563	53 011 123	53 011 123
Title 4 - EU Funded Projects	3 745 205	3 745 205		
Title 5 - Offsetting to Member States				
Title 10 - Other expenditure	186 588 852	186 588 852	142 025 098	142 025 098
TOTAL EXPENDITURE	421 272 023	421 272 023	400 189 712	400 189 712

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency (1)		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16		1		1		1		1		
AD 15		3		1		3		3		
AD 14	22	23	3	4	22	23	27	24		
AD 13	32	7	22	5	36	7	34	8		
AD 12	30	14	26	10	26	14	26	20		
AD 11	12	33	15	14	12	33	9	26		
AD 10	3	7	7	5	3	7	7	9		
AD 9	11	12	9	9	12	12	11	11		
AD 8	3	9	5	9	4	9	6	8		
AD 7		7	3	13	10	4	13	3		
AD 6	27		34	26	17		11			
AD 5			1							
AD TOTAL	140	116	125	97	142	113	144	113		

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency (1)		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST 11	27	7	5		29	7	35	8		
AST 10	32	7	13		31	7	27	7		
AST 9	38	22	46	4	43	22	55	26		
AST 8	70	20	35	5	64	20	59	19		
AST 7	41	17	53	5	45	17	57	25		
AST 6	100	43	82	9	94	49	79	52		
AST 5	33	66	55	23	33	60	25	49		
AST 4	3	22	10	32	3	22	11	9		
AST 3	26	6	42	69	26		18			
AST 2			1	20						
AST 1										
AST TOTAL	370	210	342	167	368	204	366	195		
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	510	326	467	264	510	317	510	308		
GRAND TOTAL	836		731		827		818		792	

(1) Presented to the Governing Bodies in November 2015

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV		16	38	39
Function Group III		14	36	38
Function Group II		19	19	19
Function Group I		5	5	5
TOTAL	66	54	98	101

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	71	63	71	73

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015 (1)	Budget 2016 (1)	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request (1)	Budget forecast	
1 REVENUE FROM FEES AND CHARGES	405 840 339	417 526 818	400 189 712	400 189 712	-4,15%
2 EU CONTRIBUTION					
- Of which assigned revenues deriving from previous years' surpluses					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)					
- Of which EEA/EFTA (excl. Switzerland)					
- Of which candidate countries					
4 OTHER CONTRIBUTIONS					
5 ADMINISTRATIVE OPERATIONS					
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
TOTAL	405 840 339	417 526 818	400 189 712	400 189 712	-4,15%

(1) Includes balance from previous financial year: 2015: EUR 189 768 920 (actual); 2016: EUR 161 419 439 (forecast); 2017: EUR 159 887 067 (forecast).

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)		3 745 205			-100%
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL		3 745 205			-100%

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request (3)	Budget forecast	
Title 1 - Staff expenditure	92 918 677	100 523 268	111 917 445	111 917 445	11,33%
Salaries & allowances	85 727 495	92 141 539	99 139 728	99 139 728	7,60%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request (3)	Budget forecast	
- Of which establishment plan posts	78 051 792	83 649 159	90 756 167	90 756 167	8,50%
- Of which external personnel	7 675 703	8 492 380	8 383 561	8 383 561	-1,28%
Expenditure relating to Staff recruitment	476 154	761 801	769 419	769 419	1,00%
Mission expenses	1 304 836	1 336 890	1 387 916	1 387 916	3,82%
Socio-medical infrastructure	362 065	318 000	321 180	321 180	1,00%
Training	420 737	810 038	827 652	827 652	2,17%
External Services					
Receptions, events and representation	23 485	39 000	39 390	39 390	1,00%
Social welfare	3 934 308	4 000 000	8 305 000	8 305 000	107,62%
Other Staff related expenditure	669 597	1 116 000	1 127 160	1 127 160	1,00%
Title 2 - Infrastructure and operating expenditure	96 900 217	86 819 135	93 236 046	93 236 046	7,39%
Rental of buildings and associated costs	23 064 151	13 769 081	19 430 805	19 430 805	41,12%
Information, communication technology and data processing	42 648 491	35 396 950	38 305 028	38 305 028	8,22%
Movable property and associated costs	4 548 089	2 525 500	3 501 055	3 501 055	38,63%
Current administrative expenditure	7 870 434	12 480 860	11 658 920	11 658 920	-6,59%
Postage / Telecommunications	2 775 125	2 535 000	2 820 350	2 820 350	11,26%
Meeting expenses	4 056 615	6 032 600	5 388 030	5 388 030	-10,68%
Running costs in connection with operational activities					
Information and publishing					
Studies (2)	11 937 312	14 079 144	12 131 858	12 131 858	-13,83%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	36 270 537	43 595 563	53 011 123	53 011 123	21,60%
Communication, promotion and integration	18 034 526	7 326 866	5 305 795	5 305 795	-27,58%
Expenditure regarding the community trademark and design registration procedure	18 236 011	18 935 500	18 788 050	18 788 050	-0,78%
Expenditure resulting from special functions carried out by the office (1)					
EU Cooperation		17 333 197	28 917 278	28 917 278	66,83%
Title 4 - EU Funded Projects		3 745 205			-100%
Title 5 - Offsetting to Member States					
Title 10 - Other expenditure	140 883 180	186 588 852	142 025 098	142 025 098	-23,88%
TOTAL	366 972 611	421 272 023	400 189 712	400 189 712	-5,00%

(1) Title 3 should be: Expenditure resulting from the special functions carried out by the Office. It is not a separate sub-title.

(2) The sub-title should be: Studies, surveys and consultations

(3) EUIPO's contribution is for information purposes as it is fully self-financed agency. EUIPO's Budget 2016 and Draft Budget 2017 were established and approved in November 2015 without taking into consideration the foreseen reduction of revenue as a consequence of the reform of the Office's basic regulation (which contains a new fees structure) and the potential impact of costs coming from the implementation of the second strategic plan 2016-2020, to be presented to the new governing bodies resulting from the above mentioned reform during their spring 2016 session. As a consequence, a Supplementary Amended Budget 2016 and the corresponding 2017 Financial Outlook will be presented in June 2016 to the Office's budgetary authority.

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request (3)	Budget forecast	
Title 1 - Staff expenditure	92 918 677	100 523 268	111 917 445	111 917 445	11,33%
Salaries & allowances	85 727 495	92 141 539	99 139 728	99 139 728	7,60%
- Of which establishment plan posts	78 051 792	83 649 159	90 756 167	90 756 167	8,50%
- Of which external personnel	7 675 703	8 492 380	8 383 561	8 383 561	-1,28%
Expenditure relating to Staff recruitment	476 154	761 801	769 419	769 419	1,00%
Mission expenses	1 304 836	1 336 890	1 387 916	1 387 916	3,82%
Socio-medical infrastructure	362 065	318 000	321 180	321 180	1,00%
Training	420 737	810 038	827 652	827 652	2,17%
External Services					
Receptions, events and representation	23 485	39 000	39 390	39 390	1,00%
Social welfare	3 934 308	4 000 000	8 305 000	8 305 000	107,62%
Other Staff related expenditure	669 597	1 116 000	1 127 160	1 127 160	1,00%
Title 2 - Infrastructure and operating expenditure	96 900 217	86 819 135	93 236 046	93 236 046	7,39%
Rental of buildings and associated costs	23 064 151	13 769 081	19 430 805	19 430 805	41,12%
Information, communication technology and data processing	42 648 491	35 396 950	38 305 028	38 305 028	8,22%
Movable property and associated costs	4 548 089	2 525 500	3 501 055	3 501 055	38,63%
Current administrative expenditure	7 870 434	12 480 860	11 658 920	11 658 920	-6,59%
Postage / Telecommunications	2 775 125	2 535 000	2 820 350	2 820 350	11,26%
Meeting expenses	4 056 615	6 032 600	5 388 030	5 388 030	-10,68%
Running costs in connection with operational activities					
Information and publishing					
Studies (2)	11 937 312	14 079 144	12 131 858	12 131 858	-13,83%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	36 270 537	43 595 563	53 011 123	53 011 123	21,60%
Communication, promotion and integration	18 034 526	7 326 866	5 305 795	5 305 795	-27,58%
Expenditure regarding the community trademark and design registration procedure	18 236 011	18 935 500	18 788 050	18 788 050	-0,78%
Expenditure resulting from special functions carried out by the office (1)					
EU Cooperation		17 333 197	28 917 278	28 917 278	66,83%
Title 4 - EU Funded Projects		3 745 205			-100%
Title 5 - Offsetting to Member States					
Title 10 - Other expenditure	140 883 180	186 588 852	142 025 098	142 025 098	-23,88%
TOTAL	366 972 611	421 272 023	400 189 712	400 189 712	-5,00%

(1) Title 3 should be: Expenditure resulting from the special functions carried out by the Office. It is not a separate sub-title.

(2) The sub-title should be: Studies, surveys and consultations

(3) EUIPO's contribution is for information purposes as it is fully self-financed agency. EUIPO's Budget 2016 and Draft Budget 2017 were established and approved in November 2015 without taking into consideration the foreseen reduction of revenue as a consequence of the reform of the Office's basic regulation (which contains a new fees structure) and the potential impact of costs coming from the implementation of the second strategic plan 2016-2020, to be presented to the new governing bodies resulting from the above mentioned reform during their spring 2016 session. As a consequence, a Supplementary Amended Budget 2016 and the corresponding 2017 Financial Outlook will be presented in June 2016 to the Office's budgetary authority.

3.3 Budget Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as assigned revenue): N/A.

4 Justification of needs

Commission assessment

In light of the Commission Communication to establish a programming of human and financial resources for decentralised agencies 2014-2020 (COM(2013)519 of 10.7.2013).

Human Resources

Financial Resources

4.1 Human Resources - Agency Request

4.1.1 Number of staff requested

4.1.2 Vacancy rate as of end 2015

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - Agency request

4.2.1 Title 1

4.2.2 Title 2

4.2.3 Title 3

4.3 Ad hoc grants and delegation agreements

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:		
Surface area (in square metres) Of which office space Of which non-office space		
Annual rent (in EUR)		
Type and duration of rental contract		

	Name, location and type of building	Other Comment
Host country grant or support		
Present value of the building		

5.1.2 Building project in the planning phase

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care

5.3 European schools

5.4 Evaluation

2.2.3 Other bodies

2.2.3.1 European Institute of Innovation and Technology – EIT

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
REGULATION (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 March 2008	The EIT's mission is to contribute to sustainable European economic growth and competitiveness by reinforcing the innovation capacity of the Member States and the Union in order to address major challenges faced by European society. It shall do this by promoting synergies and cooperation among, and integrating, higher education, research and innovation of the highest standards, including by fostering entrepreneurship.
REGULATION (EU) No 1292/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EC) No 294/2008 establishing the European Institute of Innovation and Technology	11 December 2013	
DECISION No 1312/2013/EU OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on the Strategic Innovation Agenda of the European Institute of Innovation and Technology (EIT): the contribution of the EIT to a more innovative Europe	11 December 2013	
REGULATION (EU) No 1291/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC	11 December 2013	The financial contribution from Horizon 2020 to the EIT is implemented in accordance with the Regulation of the European Parliament and of the Council establishing the Horizon 2020 as well as the Regulation laying down the rules for the participation and dissemination in "Horizon 2020". The EIT funding to the KICs will cover "KIC added value activities".

1.2 Seat

Budapest, Hungary

1.3 Budget Line

15 03 05 : European Institute of Innovation and Technology (EIT) — integrating the knowledge triangle of higher education, research and innovation

15 03 53 : Completion line European Institute of Innovation and Technology

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	34	26	34	36
Establishment plan posts : AST	5	4	5	5
Establishment plan posts : AST/SC				
Total Establishment plan posts	39	30	39	41
Contract Agents	20	19	22	22
Seconded National Experts	1	1	2	2
TOTAL STAFF	60	50	63	65

1.5 Financial Resources Overview

1.5.1 Revenues Overview

1.5.1.1 General revenues

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	252 321 265	301 321 295
Other Revenue	32 711 178	21 057 800
TOTAL REVENUES	285 032 443	322 379 095

1.5.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
Ad hoc grants		
Delegation agreements		
TOTAL REVENUES		

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 112 163	5 112 163	5 511 000	5 511 000
Title 2 - Infrastructure and operating expenditure	1 488 280	1 488 280	1 455 000	1 455 000
Title 3 - Operational expenditure	278 432 000	265 868 461	315 413 095	321 281 000
TOTAL EXPENDITURE	285 032 443	272 468 904	322 379 095	328 247 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1				1		1		1
AD 13										
AD 12										
AD 11		1		1		1		1		1
AD 10								1		1
AD 9		5		4		7		8		8

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 8		8		5		6		5		5
AD 7		5		5		9		13		13
AD 6		14		11		10		7		7
AD 5										
AD TOTAL		34		26		34		36		36
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5		1		1		1		1		1
AST 4		2				3		3		3
AST 3		2		3		1		1		1
AST 2										
AST 1										
AST TOTAL		5		4		5		5		5
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		30		39		41		41
GRAND TOTAL	39		30		39		41		41	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	6	4	8	6
Function Group III	8	9	8	10
Function Group II	6	6	6	6
Function Group I				
TOTAL	20	19	22	22

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	1	1	2	2

3 Financial Resources

3.1 Revenues

3.1.1 General revenues

REVENUES	General revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	228 434 116 (6)	252 321 265	301 321 295	301 321 295	19,42%
- Of which assigned revenues deriving from previous years' surpluses	0	2 727 184	894 506	894 506	-67,20%
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	13 126 695	10 660 914	12 721 734	12 721 734	19,33%
- Of which EEA/EFTA (excl. Switzerland) (7)	7 461 202	6 140 831	8 201 651	8 201 651	33,56%
- Of which candidate countries	5 665 493	4 520 083	4 520 083	4 520 083	0,00%
4 OTHER CONTRIBUTIONS	1 560 000				
5 ADMINISTRATIVE OPERATIONS	721 809 (2)	263 239 (3)			-100%
- Of which interest generated by funds paid by the Commission by way of the EU contribution (FFR Art. 58)					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES	6 787 569 (1)	21 787 025 (4)	8 336 066 (5)	8 336 066	-61,74%
TOTAL	250 630 189	285 032 443	322 379 095	322 379 095	13,10%

(1) Cancelled appropriations re-entered in the budget

(2) Operational operations

(3) Operational operations

(4) cancelled appropriations re-entered in the budget

(5) cancelled appropriations re-entered in the budget

(6) The amount committed by the Commission as EU Subsidy corresponds to EUR 253.782.375, 00. The amount indicated here corresponds to the amount committed by the EIT including bank interest received. The difference between both amounts corresponds to the amount of credits cancelled and re-entered and carry over to next years (for details see point 6.2.1 table) in accordance with EIT Financial Rules.

(7) The percentages used for EFTA calculation are the following: 2.89% for 2015; 2.73% for 2016 and 2.73% has been used for 2017 as estimated.

3.1.2 Additional EU funding: ad hoc grants and delegation agreements

REVENUES	Additional EU funding: ad hoc grants and delegation agreements				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
ADDITIONAL EU FUNDING STEMMING FROM AD HOC GRANTS (FFR Art.7)					
ADDITIONAL EU FUNDING STEMMING FROM DELEGATION AGREEMENTS (FFR Art.8)					
TOTAL					

3.2 Expenditure

3.2.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 776 916	5 112 163	5 511 000	5 511 000	7,80%
Salaries & allowances	3 088 082	3 843 060	4 200 000	4 200 000	9,29%
- Of which establishment plan posts	2 464 436	3 143 060	3 440 000	3 440 000	9,45%
- Of which external personnel	623 646	700 000	760 000	760 000	8,57%
Expenditure relating to Staff recruitment	50 000	45 000	35 000	35 000	-22,22%
Mission expenses	200 000	250 000	265 000	265 000	6,00%
Socio-medical infrastructure	51 500	102 800	110 000	110 000	7,00%
Training	60 553	90 000	96 000	96 000	6,67%
External Services	326 106	777 303	800 000	800 000	2,92%
Receptions, events and representation	675	4 000	5 000	5 000	25,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	811 362	1 488 280	1 455 000	1 455 000	-2,24%
Rental of buildings and associated costs	38 330	128 000	130 000	130 000	1,56%
Information, communication technology and data processing	364 582	465 000	450 000	450 000	-3,23%
Movable property and associated costs	106	62 750	50 000	50 000	-20,32%
Current administrative expenditure	234 274	356 750	360 000	360 000	0,91%
Postage / Telecommunications					
Meeting expenses	171 243	431 780	430 000	430 000	-0,41%
Running costs in connection with operational activities					
Information and publishing	2 827 (1)	44 000 (2)	35 000 (3)	35 000 (4)	-20,45%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	246 041 910	278 432 000	315 413 095	315 413 095	13,28%
Grants	243 171 060	275 000 000	310 132 095	310 132 095	12,78%
Knowledge and innovation Communities	931 328	1 375 000	1 941 000	1 941 000	41,16%
Communication	192 346	397 000	400 000	400 000	0,76%
Strategy and stakeholder relations	1 747 176	1 660 000	2 940 000	2 940 000	77,11%
TOTAL	250 630 188	285 032 443	322 379 095	322 379 095	13,10%

(1) this item included also the studies

(2) this item includes also the studies

(3) This item includes also the studies

(4) this item includes also the studies

3.2.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
Title 1 - Staff expenditure	3 747 132	5 112 163	5 511 000	5 511 000	7,80%
Salaries & allowances	3 200 820	3 843 060	4 200 000	4 200 000	9,29%
- Of which establishment plan posts	2 577 174	3 143 060	3 440 000	3 440 000	9,45%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Agency request	Budget forecast	
- Of which external personnel	623 646	700 000	760 000	760 000	8,57%
Expenditure relating to Staff recruitment	50 000	45 000	35 000	35 000	-22,22%
Mission expenses	190 871	250 000	265 000	265 000	6,00%
Socio-medical infrastructure	39 533	102 800	110 000	110 000	7,00%
Training	27 435	90 000	96 000	96 000	6,67%
External Services	237 799	777 303	800 000	800 000	2,92%
Receptions, events and representation	674	4 000	5 000	5 000	25,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	770 918	1 488 280	1 455 000	1 455 000	-2,24%
Rental of buildings and associated costs	9 508	128 000	130 000	130 000	1,56%
Information, communication technology and data processing	442 616	465 000	450 000	450 000	-3,23%
Movable property and associated costs	106	62 750	50 000	50 000	-20,32%
Current administrative expenditure	203 475	356 750	360 000	360 000	0,91%
Postage / Telecommunications					
Meeting expenses	112 886	431 780	430 000	430 000	-0,41%
Running costs in connection with operational activities					
Information and publishing	2 327 (1)	44 000 (1)	35 000 (1)	35 000 (1)	-20,45%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	219 024 035	265 868 461	321 281 000	321 281 000	20,84%
Grants	216 730 818	263 189 961	316 000 000	316 000 000	20,07%
Knowledge and innovation Communities	1 016 168	999 000	1 941 000	1 941 000	94,29%
Communication	140 967	587 000	400 000	400 000	-31,86%
Strategy and stakeholder relations	1 136 082	1 092 500	2 940 000	2 940 000	169,11%
TOTAL	223 542 085	272 468 904	328 247 000	328 247 000	20,47%

(1) This item includes also the studies

3.3 Budget Outturn

First estimate of the 2015 surplus that remains within the EIT: EUR 894 505.

4 Justification of needs

Commission assessment

Human Resources

The number of additional human resources requested by the EIT in the framework of the 2017 draft budget comply with the increase of the staff foreseen in the Legislative Financial Statement.

Financial Resources

The financial resources requested by the EIT corresponds to the financial profile as foreseen in the MFF, to gradually expand its portfolio of KICs in accordance with the EIT's Strategic Innovation Agenda (SIA), Building on the existing three plus two KICs, in the period 2016 to 2018, three new KICs will be set up in two waves (subject to the positive outcome of the EIT review and evaluation in 2017), leading up to a total portfolio of eight KICs.

4.1 Human Resources - EIT request

4.1.1 Number of staff requested

Since its establishment, the EIT has suffered from high staff turnover and vacancy rates. The following underlying reasons have been identified: 1) structural under-staffing of the EIT: as confirmed by the European Court of Auditors in their recent performance audit report on the EIT, the amount of grant managed per person at the EIT is significantly higher than for any other EU research grant programme. While the budget managed per staff member under FP7 is between 12.5 and 20.4 million euro, the EIT project officers manage annual grants up to 90 million euros. This is without considering the monitoring of the complementary funding of KICs as the EIT finances a maximum of 25% of a KIC's budget. The resulting high workload and responsibility has a serious effect on staff turnover affecting the core business of the EIT. 2) structural "under-grading" of posts at the EIT: as identified by the Internal Audit Capability of the EIT in its report, the grades of staff at the EIT are systematically lower than the average grades in other EU agencies. For example, while the average grade of Heads of Administration is AD 12 in other EU agencies, the Head of Administration has an AD 9 grade at the EIT. Similarly, while the average grade of a Head of Finance is AD 10, the same post is AD 7 at the EIT. The EIT has only one staff with a grade above AD 9 (the Interim Director). The low grades at the EIT seriously hamper the recruitment and retention of talented staff. 3) no possibility to offer permanent contracts: pursuant to the provisions of the EIT Founding Regulation, the EIT personnel shall be employed under fixed-term contracts. The lack of permanent contracts and resulting job insecurity add to the difficulties experienced in staff retention. 4) low correction co-efficient applied to salaries: The correction co-efficient has declined steadily over the past four years (currently 69%), while the cost of living in Budapest, in particular as regards housing, has not decreased. Staff departing has repeatedly quoted in exit interviews the unattractive salary package as one of the main reasons for leaving the EIT. In addition, the EIT's tasks have been growing significantly over the past years. While the EIT managed three Knowledge and Innovation Communities (KICs) from 2010 to 2014, two new KICs started their operations in 2014 and further two will be designated in 2016. The amount of grant managed by the EIT has increased from 16 million euros (2010) to 275 million euros, while the number of beneficiaries (KIC Partners) has increased from 73 (2010) to more than 800. In addition, the EIT has taken on board new activities to develop its strong innovation potential, related to the Regional Innovation Scheme, the preparation for an Impact Fund to support KICs' Financial Sustainability and outreach and synergies with other programmes. All these new activities, including the implementation of the Regional Innovation Scheme, supporting KICs to reach financial sustainability and creating synergies and complementarities with other programmes stem from the relevant EIT legal basis, i.e. EIT Founding Regulation and Strategic Innovation Agenda.

The number of posts available to the EIT has not followed the significant growth of activities. New tasks and Growth of existing tasks: given the challenges the EIT has to face in the coming years, two additional posts are requested. The main expected trends are as follows: according to the EIT's Strategic Innovation Agenda (SIA), as of 2016 the EIT will gradually expand its portfolio of KICs. Building on the existing three plus two KICs, in the period 2016 to 2018, three new KICs will be set up in two waves (subject to the positive outcome of the EIT review and evaluation in 2017), leading up to a total portfolio of eight KICs. The annual budget of the EIT will increase significantly during the 2014-2020 MFF. The budget managed by the EIT will more than double from 2014 to 2020. Consequently, the workload and staffing needs of operational staff in both Partnership Management and Policy & Communications units will significantly rise. In particular, to maintain the proper level of assurance for the significant budget increase and also considering the enhanced legal framework with the amended Framework Partnership Agreement, the EIT will have to enhance its capacity on checks and controls of both transactions and systems, while there will be a more significant workload on monitoring the implementation of activities and achievement of results as well. Added to this, as the first three KICs continue to step up and widen their portfolio of results and impact, the result-oriented monitoring will have to be enforced. Efforts to move towards financial sustainability and to better monitor the complementary funding provided to KICs will increase the challenges ahead. The EIT will also be faced with an increasing complexity and workload as the Institute grows and as a consequence of the full financial autonomy, which was requested in 2015 with the objective of being achieved in 2016. In this context, it is foreseen to set up a fourth unit responsible for Finance and Assurance issues. As a result, the expected growth of the Institute's human resources needs reflects the development of activities already taking place and foreseen to take place in the next years. Without adequate staff increase, the EIT will not be able to ensure sound management of the funds allocated to it and risks increased error rates and ensuing reputation problems for both the EIT and the EU in general. In terms of profiles, the two additional staff requested (1 AD6 KIC finance officer and 1 AD6 KIC project officer) will focus mainly and respectively on the financial and on the operational management of the new KICs. It is important to underline that the management of a single KIC grant agreement implies a deep follow up of the partners of the KIC (e.g. each one of the current 800 KIC Partners submits a separate cost report after the implementation of the grant agreement).

4.1.2 Vacancy rate as of end 2015

At the end of 2015, 50 posts (30 temporary agents and 19 contract agents and 1 Seconded National Expert) have been filled. Altogether 10 new staff members took up duties at the EIT and 8 left in 2015, bringing the total number of staff to 50. Compared to the 48 at the end of 2014, this means an increase of 4.2 % from 2014 to 2015.

4.1.3 Standard abatement ('abatement forfaitaire') applied

The salary costs are based on the assumption that all the employees have been recruited by end of 2016 at the latest. The standard abatement applied is 2%.

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation is based on the actual staff costs at the EIT and the salary categories defined by the Staff Regulations. The budget appropriations covering staff expenditure for year 2017 are based on the estimate of a total of 43 temporary agents (37 AD and 6 AST) and 23 contract agents and 2 SNEs. The results of the appraisal and promotion for Temporary Agents and Contractual Agents have been taken also into account, in the assumption that the necessary steps are implemented by the EIT.

4.1.5 Correction coefficient used

The correction coefficient applied is the rate of year 2016, namely 69,0%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - EIT Request

4.2.1 Title 1

It is estimated that there will be an increase of 7.8% (from EUR 5,1 million to EUR 5,5 million) in the total staff expenditure compared to 2016. Salaries and allowances: due to the requested increase in the staff number, the salary costs of the EIT will increase by 9,3% from EUR 3,8 million to EUR 4,2 million. The phasing in of staff in EIT will only be completed in 2017. Expenditure relating to staff recruitment: because of the new post planned in 2017, an amount of EUR 35 000 (- 22% compared to 2016) for recruitment expenses including any unforeseen turnover. The EIT has established a recruitment policy by constituting reserve lists. This will allow a decrease in the recruitment costs associated to the recruitment of additional staff. Mission expenses: + 6% due to the proposed staff increase. Socio-medical infrastructure: +7% as more staff members will be eligible for annual medical check-ups and probably more staff members will bring their family to Hungary. The number of beneficiaries covered by the socio-medical schemes will be consequently expanded. However, the framework contract to be launched for medical check-ups should allow some economies of scale. Training cost will increase by 6,67% due to the need for the EIT staff to follow appropriate training linked with the tasks to be carried out. External services: + 2,9%, since full-time IT support was not foreseen in 2016. However, with a view to increasing the resources available at the Institute, the EIT has established rules for having in-house trainees for specific assignments of three to six months and interim staff for 1 to 6 months. Based on the needs assessment and taking into account the financial impact of the policy, 6 trainees and 3 interim staff are requested for the whole Institute in 2017. Representation: the increase of 25% is related to the increased number of stakeholder visits and meetings to the headquarters.

4.2.2 Title 2

The overall Title 2 infrastructure and operating expenditure is foreseen to decrease slightly. This decrease of 2,2% reflects the fact that the EIT's current offices will have been set-up by 2016, with only maintenance and running cost rather than acquisition as the main items of expenditure. Additionally, IT related investments due to replacement of end-of-life server infrastructure and desktop PCs in 2015-2016 do not foresee any major expenditure in 2017.

4.2.3 Title 3

Title 3 Operational expenditure will increase by 13,2%, which corresponds with the increase in the budget to be allocated to the KICs under Chapter 30 (Grants).

Chapter 30: Grants: the major part of the EIT's operational expenditure is spent for KICs grants, corresponding to approximately 98.3% of the Title 3 budget. The amount of commitment appropriations will increase from EUR 275

million to EUR 310 million (+12,8%). In line with the EIT Regulation, seven annual grants will be awarded to the seven designated KICs. The Commission's proposal was based on the life cycle model of the KICs. Throughout their life, KICs experience different development phases with distinct financial needs. For KICs to have a structural impact across the EU, a sufficient size in terms of resources, partners and activities is needed. At the beginning their funding needs were relatively limited, but develop substantially over the years. By 2017, the three KICs designated in 2009 and two new KICs designated in 2014 shall be fully established and implement their core activities, however still dynamically expanding in terms of scope of activities and number of partners. Two new KICs designated in 2016 start the implementation of their first annual Business Plans in 2017. As regards payment appropriations, a pre-financing rate of 68% is calculated for grants that will be awarded in 2017 to the seven KICs. Moreover, the payment of the 2016 balance is estimated at EUR 104,6 million (38% of EUR 275 million).

Chapter 31: Knowledge and Innovation Communities: the KIC related expenses will increase by 41,2% due to the increased number of KICs to be audited, as well as the costs related with the experts needed for the assessment of 2018 KICs business plans and for the assessment of the 2016 final reports. The estimated cost of EUR 1.3 million represents 68% of the total cost of chapter 31. As to the promotion of the Knowledge triangle integration, EIT will implement the improved EIT Label Framework and further develop the EIT entrepreneurship agenda. To further enhance the EIT's impact and to incentivise innovation in new areas of societal challenges, the EIT will focus in 2017 on initiating the preparations of the Call package for one additional KIC in the field of Urban mobility (EIT Urban Mobility), subject to the outcomes of the EIT review. The objective is to have the full documentation for the 2018 KIC call approved by the EIT Governing Board and ready for launch by end 2017. Throughout 2017, the EIT will co-organise EIT Awareness Days with national and regional stakeholders to promote the upcoming Call for KIC.

Chapter 32: EIT's Impact: the significant increase (+77%) in expenses related to activities increasing awareness of the EIT Community contains the following main initiatives: 1) Communication activities (EUR 1 250 000), 2) Alumni activities: EUR 1 230 000, 3) Stakeholder forum: EUR 200 000, 4) Award event and prize: EUR 260 000. The EIT will focus its communications activities in 2017 on increasing the level of awareness and understanding of the EIT Community's activities and achievements among its external stakeholders. The EIT will continue to implement the EIT's Communications Strategy, in particular by increasing its media activities, and will develop a new Communications Strategy by the end of 2017. The EIT will continue to support the development of the EIT Alumni Community by organising the fourth edition of the EIT Alumni Connect event and setting up the EIT Alumni Community Secretariat. In 2017, the EIT will organise the third edition of the EIT Stakeholder Forum bringing together three EIT activities/events: EIT Stakeholder Forum, EIT Awards and the EIT Alumni Connect event. The EIT Stakeholder Forum – INNOVEIT - will be open to representatives of national, regional and local authorities, umbrella organisations and individual entities from higher education, research, business, civil society and cluster organisations. The implementation of the 2017 EIT Awards will comprise a promotional campaign, the preparation of nominees for the pitching sessions, the set-up of a high-level jury for each Award category, the organisation of the Award Ceremony and the implementation of a monetary prize for one winner per category. The total estimated cost of the prize is EUR 115 000. The significant increase of payment appropriations (+169%) reflects the fact that every two years a smaller scale event is organised. In 2016 payment appropriations will be needed to pay the 2016 and the 2017 scale event.

Chapter 33: Simplification, Monitoring and Evaluation: the expenses related to EIT's simplification, monitoring and evaluation activities are slightly increased (+ 0,76%). The EIT will refine and implement its strategy for KICs' financial sustainability designed to ensure a smooth and predictable transition towards their gradual financial independence from the EIT. The main actions requiring financial resources are 1) Conducting a 7-year review of KICs in 2017 with focus on financial sustainability, 2) Feasibility study for new delivery mechanisms (Impact Fund). This Fund would establish a consistent and scalable approach to the funding needs of start-up companies, filling the gap in early stages of their development. To enabling further simplification in EIT's processes, feasibility studies of most promising out of the box ideas will be made. In 2017, a second wave of KPIs emanating from the 2015 KPI will be reviewed. EIT will also contribute to the mid-term review of Horizon 2020 and the mid-term review and evaluation of the EIT.

4.3 Ad hoc grants and delegation agreements

Not applicable.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Infopark, Building E, Budapest, Hungary	
Surface area (in square metres)	2807,3	
1. Of which office space	3. 2309,81	
2. Of which non-office space	4. 497,49	
Annual rent (in EUR)	0	
Type and duration of rental contract	20 years	
Host country grant or support	The Hungarian Government covers the rental fee of the EIT premises during 20 years according with the Host Agreement.	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

Not applicable.

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

5.2 Privileges and immunities

Agency privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
According to the Host Agreement ratified by the Hungarian Parliament on 20 December 2010 (publication reference 2010éviCLXVI.törvény, MagyarKözlöny, 2010évi197szám), which enters in force on 22 January 2011	The Protocol on Privileges and Immunities applies to the EIT staff. The Host agreement provides for other detailed privileges to the EIT staff members, taking into account the specific rules of the host country	The EIT has signed agreements with the following international schools: Deutsche Schule, Britannica International School and Lycee Francais and with the following early childhood centres: and English Kindergarten.

5.3 European schools

Not applicable.

5.4 Evaluation

An evaluation of the agency is expected to be submitted to the European Parliament and the Council by the end of 2017.

As stipulated by the EIT Regulation, the European Commission mandated a contractor to carry out an independent external evaluation of the EIT with particular focus on the EIT's added value, impact and relevance. The final result was published in May 2011. This evaluation was broadly supportive and positive of the EIT's overall mission, concept and first achievements, whilst also highlighting areas for improvement and providing 13 concrete recommendations.

For more detailed information, please see the report in the following address:

http://ec.europa.eu/dgs/education_culture/evalreports/education/2011/eitreport_en.pdf

During 2016, the European Commission will carry out an independent external evaluation of the EIT. The evaluation will assess the EIT's work as identified in the EIT Regulation and Horizon 2020 Regulation, and in particular examine how the EIT fulfils its mission; contribute to improving the work of the EIT and its Knowledge and Innovation Communities (KICs) and provide an evidence base for designing the EIT's future activities and initiatives, including the next EIT Strategic Innovation Agenda (SIA) and for assessing the need for a possible amendment of the EIT Regulation.

The evaluation will cover the entire geographical scope of the EIT and its KICs' activities, including: systemic level impact, exploring how the EIT has affected innovation and knowledge triangle integration in the EU; effectiveness, exploring how successful the EIT has been in progressing towards its objectives; sustainability, exploring how likely the EIT and KIC model is likely to last after the end of the grants period; efficiency, exploring the relationship between the resources used and the changes generated by the EIT and the KICs' work; relevance, exploring the relationship between the wider EU innovation needs and problems and the objectives of EIT's work; EU added value, exploring the EIT's added value compared to innovation interventions at level of member states; coherence, exploring the relation of the EIT's work with the other initiatives in the field of innovation and specific KIC societal challenges.

Moreover, the Horizon 2020 Regulation 1291/2013 calls for the evaluation of the EIT assessing the level of consumption and the efficiency in the use of the funds allocated, the contribution of the EIT and the KICs to the priority "Societal challenges" and the specific objective "Leadership in enabling and industrial technologies", the contribution of the EIT and the KICs to the integration of higher education, research and innovation and the ability of the KICs to integrate relevant new partners where they can provide added value. The evaluation will look at the achievements (at the level of results and progress towards achieving an impact, based, where applicable, on the indicators outlined in Annex II of the specific programme) of the objectives of Horizon 2020 and continued relevance of all related measures, the efficiency and use of resources and Union added-value.

The results of the EIT evaluation will contribute and feed into the Horizon 2020 mid-term evaluation and into the EIT review, both of which are due by the end of 2017. However, to comply with the evaluation requirements of the Horizon 2020 Regulation the EIT evaluation results must be available mid-2017 at the latest. To ensure compliance the two exercises will be joined into one.

2.2.4 Executive Agency

2.2.4.1 Executive Agency of heading 1A - Competitiveness for growth and jobs

2.2.4.1.1 Executive Agency for Small and Medium-sized Enterprises - EASME

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission implementing decision C(2013)9293 of 17/12/2013	OJ L 341 of 18/12/2013	Establishment of the 'Executive Agency for Small and Medium-sized Enterprises' repealing Decisions 2004/20/EC and 2007/372/EC
Commission decision C(2013)9414 of 23/12/2013	23/12/2013	Delegation of powers to the Executive Agency for Small and Medium-sized Enterprises (EASME)
Commission decision C(2014)4636 of 11/07/2014	11/07/2014	Amending Commission Decision C(2014)4636 of 11 July 2014 as regards the delegation of powers to the Executive Agency for Small and Medium-sized Enterprises with a view to performance of tasks linked to the implementation of the Union programme in the field of maritime policy and fisheries
Commission decision C(2014)6944 of 2/10/2014	2/10/2014	Amending Decision(2013)9414 adding the 'Fast Track to Innovation' pilot scheme.

1.2 Seat

Brussels, Belgium

1.3 Budget Line

02 01 06 01 : Executive Agency for Small and Medium-sized Enterprises — Contribution from Competitiveness of enterprises and small and medium-sized enterprises (COSME)

07 01 06 01 : Executive Agency for Small and Medium-sized Enterprises — Contribution from LIFE

08 01 06 03 : Executive Agency for Small and Medium-sized Enterprises — Contribution from Horizon 2020

11 01 06 01 : Executive Agency for Small and Medium-sized Enterprises — Contribution from European Maritime and Fisheries Fund (EMFF)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	32	28	33	33
Temporary Agents (recruited by the executive agency)	65	59	75	77
Total Establishment plan posts	97	87	108	110
Contract Agents	297	286	329	337
Seconded National Experts	0		0	
TOTAL STAFF	394	373	437	447

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	41 082 842	44 647 553

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
2. EEA/EFTA contribution (excl. Switzerland)	653 323	776 867
3. Participation of candidate countries and/or third countries		
TOTAL	41 736 165	45 424 420

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	28 780 985	28 780 985	32 621 768	32 621 768
Title 2 - Infrastructure and operating expenditure	5 009 000	5 009 000	7 726 062	7 726 062
Title 3 - Programme support expenditure	5 060 081	5 060 081	5 076 590	5 076 590
TOTAL EXPENDITURE	38 850 066	38 850 066	45 424 420	45 424 420

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the fourth year of its operational lifetime and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Horizon 2020		X	
Horizon 2020: Completion of CIP IEE I			X
Horizon 2020: Completion of CIP IEE II			X
Horizon 2020: Completion of CIP Eco-Innovation			X
COSME		X	
COSME: Completion of EIP			X
LIFE		X	
EMFF		X	
Horizon 2020		X	

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of CIP (Prior to 2007)						
32 04 54 - Completion of the 'Intelligent energy — Europe' programme (2003 to 2006)						
Operational Budget	0	0	-	p.m.	p.m.	p.m.

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
Completion of Competitiveness and Innovation Framework Programme						
02 02 51 - Completion of former activities in the competitiveness and entrepreneurship domain						
Operational Budget	83 293	41 370 052	p.m.	6 200 000	p.m.	700 000
<i>Of which managed by the executive agency</i>	p.m.	26 987 297	p.m.	120 000	p.m.	p.m.
02 04 53 - Completion of Competitiveness and Innovation Framework Programme — Innovation part (2007-2013)						
Operational Budget	0	35 832 868	p.m.	27 600 000	p.m.	17 460 000
<i>Of which managed by the executive agency</i>	p.m.	14 235 926	p.m.	16 800 000	p.m.	7 000 000
32 04 53 - Completion of the 'Intelligent energy — Europe' programme (2007 to 2013)						
Operational Budget	47 160	69 121 824	p.m.	97 431 406	p.m.	41 355 116
<i>Of which managed by the executive agency</i>	p.m.	50 400 551	p.m.	45 000 000	p.m.	30 000 000
Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME)						
02 02 01 - Promoting entrepreneurship and improving the competitiveness and access to markets of Union enterprises						
Operational Budget	112 002 239	93 267 205	110 264 720	47 905 000	119 820 000	140 000 000
<i>Of which managed by the executive agency</i>	98 903 672	86 210 033	98 865 000	47 532 221	100 000 000	134 407 848
02 02 02 - Improving access to finance for small and medium-sized enterprises (SMEs) in the form of equity and debt						
Operational Budget	200 036 997	98 610 258	172 842 972	100 000 000	167 030 000	120 000 000
<i>Of which managed by the executive agency</i>	37 000	8 777	650 000	599 460	650 000	649 416
European Maritime and Fisheries Fund (EMFF)						
11 06 61 - Fostering the development and implementation of the Union's integrated maritime policy						
Operational Budget	32 536 296	16 922 129	38 426 980	30 491 000	38 426 980	27 656 608
<i>Of which managed by the executive agency</i>	27 333 726	5 610 834	32 147 000	9 351 133	33 721 000	22 000 000
11 06 62 01 - Scientific advice and knowledge						
Operational Budget	8 046 944	21 347 262	8 680 015	14 000 000	9 070 000	6 900 000

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	2 396 944	502 619	1 700 000	2 263 918	3 956 000	1 300 000
11 06 62 02 - Control and enforcement						
Operational Budget	14 877 084	19 325 916	15 510 967	32 700 000	15 510 000	25 000 000
<i>Of which managed by the executive agency</i>	10 520 000	p.m.	10 200 000	p.m.	12 011 000	p.m.
The Framework Programme for Research and Innovation (Horizon 2020)						
02 04 02 01 - Leadership in space						
Operational Budget	173 445 698	75 195 752	159 792 893	147 533 544	179 406 948	172 900 000
<i>Of which managed by the executive agency</i>	11 730 100	5 615 787	14 384 065	11 358 036	12 265 161	15 204 790
02 04 02 03 - Increasing innovation in small and medium-sized enterprises (SMEs)						
Operational Budget	35 108 705	14 682 707	35 738 414	18 500 000	35 426 341	46 810 000
<i>Of which managed by the executive agency</i>	35 003 205	14 529 302	35 738 414	16 681 728	34 780 493	46 808 738
02 04 03 01 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	76 077 049	31 435 274	75 016 498	62 200 000	82 703 328	54 380 000
<i>Of which managed by the executive agency</i>	74 577 749	30 165 475	64 058 839	60 978 386	79 158 960	53 430 967
02 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	3 072 783	3 499 241	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	1 371 762	608 421	p.m.	p.m.	p.m.	p.m.
05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products						
Operational Budget	106 243 729	22 374 079	214 205 269	79 277 928	237 123 857	121 648 169
<i>Of which managed by the executive agency</i>	11 850 700	2 783 623	19 142 854	9 163 561	15 516 402	16 648 170
05 09 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	1 195 927	43 283	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system						
Operational Budget	142 843 911	89 643 517	110 916 737	127 796 246	102 781 794	103 235 669
<i>Of which managed by the executive agency</i>	16 076 500	7 283 503	19 586 757	16 552 343	16 480 093	19 458 431
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	6 854 173	1 185 771	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
08 02 02 01 - Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing						
Operational Budget	519 248 106	297 035 900	504 175 361	407 929 917	556 192 455	415 708 687
<i>Of which managed by the executive agency</i>	37 119 461	24 388 422	48 134 671	41 092 590	41 682 079	48 852 197
08 02 03 01 - Improving lifelong health and well-being						
Operational Budget	588 228 532	373 709 857	524 745 272	299 890 040	530 484 227	448 768 061
<i>Of which managed by the executive agency</i>	59 648 317	37 605 703	48 401 141	70 945 005	43 804 147	56 465 632
08 02 03 02 - Securing sufficient supplies of safe, healthy and high quality food and other bio-based products						
Operational Budget	97 048 684	79 239 788	142 233 804	89 735 746	185 315 580	160 057 663
<i>Of which managed by the executive agency</i>	27 948 159	9 486 827	23 557 675	24 860 388	35 140 660	30 540 197
08 02 03 03 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	322 852 090	155 567 029	335 369 074	242 548 217	321 697 313	227 788 241
<i>Of which managed by the executive agency</i>	23 330 800	11 889 969	29 067 264	24 513 212	24 335 637	28 417 264
08 02 03 04 - Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless						
Operational Budget	164 157 496	177 192 708	331 555 393	174 476 315	374 512 012	327 823 067
<i>Of which managed by the executive agency</i>	37 528 500	17 465 669	50 744 914	40 413 723	43 122 749	48 280 076
08 02 03 05 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	306 477 897	113 159 661	284 530 369	150 855 696	311 465 457	239 716 355

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	253 160 653	94 578 077	256 428 069	150 855 696 (1)	249 342 938	204 672 328
08 02 03 06 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	121 198 032	58 563 128	112 411 389	117 834 666	115 787 740	106 192 615
<i>Of which managed by the executive agency</i>	13 237 400	1 519 723	9 784 240	8 500 482	8 176 774	11 144 745
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	154 714 699	36 233 714	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	15 762 250	3 333 002	p.m.	p.m.	p.m.	p.m.
09 04 02 01 - Leadership in information and communications technology						
Operational Budget	877 465 113	556 102 550	723 681 812	799 548 750	779 380 777	842 250 000
<i>Of which managed by the executive agency</i>	60 876 000	29 613 927	75 813 370	64 867 448	64 246 082	75 585 784
09 04 03 01 - Improving lifelong health and well-being						
Operational Budget	135 691 299	68 429 298	118 188 002	142 332 732	112 415 266	110 408 000
<i>Of which managed by the executive agency</i>	2 600 000	p.m.	20 052 565	6 086 894	12 167 819	13 712 904
09 04 03 02 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	42 935 845	16 982 895	36 564 471	47 093 653	47 214 020	40 538 000
<i>Of which managed by the executive agency</i>	4 720 000	412 880	3 700 988	3 287 180	2 920 276	4 077 105
09 04 03 03 - Fostering secure European societies						
Operational Budget	53 355 278	24 826 612	45 791 092	50 080 000	49 556 358	42 673 000
<i>Of which managed by the executive agency</i>	975 000	p.m.	6 789 643	2 093 644	4 380 415	4 755 476
09 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	33 884 108	3 276 120	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	2 000 000	p.m.	p.m.	p.m.	p.m.	p.m.
18 05 03 01 - Fostering secure European societies						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	153 976 213	70 551 731	136 092 171	114 789 343	149 923 837	149 485 193
<i>Of which managed by the executive agency</i>	10 316 300	5 095 000	11 979 397	9 948 789	9 899 737	12 248 439
18 05 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	3 072 783	3 499 241	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	p.m.	p.m.	p.m.	p.m.	p.m.	p.m.
32 04 03 01 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	337 631 657	129 415 866	324 676 361	256 130 706	359 734 448	303 284 894
<i>Of which managed by the executive agency</i>	136 751 341	66 348 148	120 763 944	121 496 297	127 032 026	141 634 894
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	5 315 563	7 353 273	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	4 059 658	228 000	p.m.	p.m.	p.m.	p.m.
Programme for the Environment and Climate Action (LIFE)						
07 02 01 - Contributing to a greener and more resource-efficient economy and to the development and implementation of Union environmental policy and legislation						
Operational Budget	121 815 800	32 641 653	128 831 000	49 000 000	139 399 233	67 000 000
<i>Of which managed by the executive agency</i>	72 000 000	18 527 520	73 000 000	23 500 000	84 000 000	40 700 000
07 02 02 - Halting and reversing biodiversity loss						
Operational Budget	149 372 750	41 484 378	157 206 000	49 000 000	165 584 150	57 000 000
<i>Of which managed by the executive agency</i>	93 500 000	27 666 800	100 000 000	28 740 000	102 000 000	36 400 000
07 02 03 - Supporting better environmental governance and information at all levels						
Operational Budget	51 386 991	22 626 800	55 683 358	40 000 000	59 383 000	50 000 000
<i>Of which managed by the executive agency</i>	26 436 337	9 366 217	28 716 184	14 720 000	32 880 100	22 123 105
34 02 01 - Reducing Union greenhouse gas emissions						
Operational Budget	52 176 870	17 036 756	49 435 000	22 900 000	53 310 000	45 000 000

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	22 382 687	6 036 000	24 000 000	6 040 000	37 000 000	11 049 500
34 02 02 - Increasing the resilience of the Union to climate change						
Operational Budget	41 432 000	11 157 790	48 785 000	22 850 000	51 730 000	19 500 000
<i>Of which managed by the executive agency</i>	29 077 618	5 836 000	32 600 000	8 050 000	40 430 000	12 029 500
34 02 03 - Better climate governance and information at all levels						
Operational Budget	10 905 260	3 774 684	13 502 000	7 250 000	14 162 795	9 500 000
<i>Of which managed by the executive agency</i>	8 463 644	2 292 000	9 500 000	4 940 000	10 600 000	6 456 000
TOTAL operational budget managed by the agency	1 231 695 483	616 632 032	1 269 506 994	891 352 134	1 281 700 548	1 156 053 506

(1) Actual needs 191 064 717, will require a transfer

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the amending decision C(2014)6944 of 2.10.2014.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	22	32	33	33	34	34	35
Temporary Agents (recruited by the agency)	55	65	75	77	82	86	91
Executive Agency's total Establishment Plan Posts	77	97	108	110	116	120	126
Contract Agents (*)	231	297	329	337	352	365	376
Total staff of the agency							
Seconded National Experts(SNE)							
Total staff of the Executive agency	308	394	437	447	468	485	502

(*) Estimated full time equivalent units (FTE) on the basis of average costs

NB. As of 2018, 6 posts should be deducted from the total (1 Seconded Official less and 5 Contract Agents) due to deduction of 6 FTE for EFSI in 2016 but not visible before due to 6 additional FTE for LIFE..

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 14	4	4	4	4
AD 13	11	6	7	7
AD 12	10	1	5	6
AD 11	5	6	6	6
AD 10	8	1	8	6
AD 9	10	11	12	13
AD 8	7	8	15	10
AD 7	8	5	10	10
AD 6	7	8	8	10
AD 5	12	23	14	20
AD TOTAL	82	73	89	92
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	2		2	2
AST 6				
AST 5	2	1	4	4
AST 4	8	11	10	9
AST 3	3	2	3	3
AST 2				
AST 1				
AST TOTAL	15	14	19	18
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	97	87	108	110
Of which Officials seconded by the commission	32	28	33	33

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	150	117	154	155
Function Group III	117	122	139	135

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group II	29	45	35	45
Function Group I	1	2	1	2
TOTAL	297	286	329	337

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF				
Financed from participation of candidate countries and/or third countries				

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0		0	

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG GROW	8	8	0	0
Total	8	8	0	0

Source: Legislative financial statement accompanying the communication to the Commission C(2207)2234 of 23.05.2007

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG GROW (posts)	16	16	0	0
DG ENV (posts)	6.5	6.5	0	0
DG MOVE/ENER (posts)	2.5	2.5	0	0

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
Total	25	25	0	0

Source: Legislative financial statement accompanying the communication to the Commission C(2007)2234 of 23.05.2007

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
All parent DGs (posts)	93	4	26	25
All parent DGs (external personnel)	52.2	14.9	11.2	11.2
Total	145.2	18.9	37.2	36.2

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG RTD (external personnel)	8	1	3	1
DG CNECT (external personnel)	11	1	2	3
Total	19	2	5	4

Source: Specific financial statement accompanying the amending decision C(2014)6944 on delegating the Fast Track Innovation pilot scheme

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG 1			
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II). This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG CNECT	2	2	2
DG ENER	5	5	5
DG GROW	11	11	11
DG ENV	3	2	2
DG MARE	4	4	4
DG RTD	9	9	9
Total	34	33	33

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013; Amending decision C(2014)6944 extending the mandate by the Fast Track Innovation pilot scheme

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013; Amending decision C(2014)6944 extending the mandate by the Fast Track Innovation pilot scheme.)	2014	2015	2016	2017	2018	2019	2020
	31 148 000	42 829 000	48 141 000	51 222 000	56 468 000	61 336 000	64 430 000
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-28)	31 148 000	36 406 747	41 082 842	45 424 420			
Budget after transfers and / or amending budgets (EUR-28)		36 817 560					
Operating budget of the agency							
Initial Budget (EUR-28)	27 476 150	37 443 138	39 134 135				
Budget after transfers and /or amending budgets (EUR-28)	24 484 000	36 388 228	38 850 066				

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (2)	Budget (1)	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
02 01 06 01	COSME	8 047 977	8 786 033	9 023 563	2,70%
07 01 06 01	LIFE2020	5 608 850	4 471 642	5 285 822	18,21%
08 01 06 03	H2020	20 825 577	24 877 409	27 390 168	10,10%
11 01 06 01	EMFF2020	1 905 824	2 947 758	2 948 000	0,01%
Sub Total		36 388 228	41 082 842	44 647 553	8,68%
2. EEA/EFTA contribution (excl.Switzerland)					
Budget Line	Programme				
02 01 06 01	COSME		7 907	8 129	2,81%
08 01 06 03	H2020		645 416	768 738	19,11%
Sub Total			653 323	776 867	18,91%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
02 01 06 01	COSME				
08 01 06 03	H2020				
Sub Total					
TOTAL REVENUES		36 388 228	41 736 165	45 424 420	8,84%

(1) The final budget adopted by the steering committee of EASME for 2016 amounts in total to EUR 39 134 135. EASME foresees to consume only EUR 7 789 817 of the budget voted for budget line 02 01 06 01.

(2) All fund sources

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	23 218 766	28 780 985	32 621 768	13,34%
Remunerations, Allowances and Charges	21 617 394	27 507 015	31 315 936	13,85%
- <i>Of which establishment plan posts</i>	7 860 646	10 505 302	12 313 778	17,21%
- <i>Of which external personnel</i>	13 756 748	17 001 713	19 002 158	11,77%
Professional Development and Social expenditure	1 601 372	1 273 970	1 305 832	2,50%
Title 2 - Infrastructure and operating expenditure	7 811 552	5 009 000	7 726 062	54,24%
Building expenditure	5 667 963	2 200 000	5 542 562	151,93%
ICT expenditure	1 843 455	1 997 000	1 870 000	-6,36%
Movable Property and Current Operating expenditure	300 134	812 000	313 500	-61,39%
Title 3 - Programme support expenditure	8 792 297	5 060 081	5 076 590	0,33%
Programme Management expenditure	8 792 297	5 060 081	5 076 590	0,33%
Common Support Services expenditure				
TOTAL	39 822 615	38 850 066	45 424 420	16,92%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	22 794 603	28 780 985	32 621 768	13,34%
Remunerations, Allowances and Charges	21 558 781	27 507 015	31 315 936	13,85%
- <i>Of which establishment plan posts</i>	7 860 646	10 505 302	12 313 778	17,21%
- <i>Of which external personnel</i>	13 698 135	17 001 713	19 002 158	11,77%
Professional Development and Social expenditure	1 235 822	1 273 970	1 305 832	2,50%
Title 2 - Infrastructure and operating expenditure	6 632 142	5 009 000	7 726 062	54,24%
Building expenditure	5 023 207	2 200 000	5 542 562	151,93%
ICT expenditure	1 424 059	1 997 000	1 870 000	-6,36%
Movable Property and Current Operating expenditure	184 876	812 000	313 500	-61,39%
Title 3 - Programme support expenditure	4 733 842	5 060 081	5 076 590	0,33%
Programme Management expenditure	4 733 842	5 060 081	5 076 590	0,33%
Common Support Services expenditure				
TOTAL	34 160 587	38 850 066	45 424 420	16,92%

4.4 Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 680 165.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of FTEs requested for 2017 is in accordance with the adjusted Specific Financial Statement and reflects the agreements with respective services as detailed below.

As of 2015 and 2016, following the adoption of the decision of transferring the management of Fast Track for Innovation pilot scheme to EASME in October 2014, the additional human resources needed for FTI were compensated by redeployment from other programmes managed by other agencies, DGs but also EASME itself. The redeployment within EASME was done from staff allocated to H2020: Secure and efficient energy (-1 Contract agent as from 2016) and Climate action and resource efficiency (-1 Contract Agent as from 2015 and a further -1 Contract Agent as from 2016).

Furthermore, as of 2016 the number of staff allocated to LIFE increased by the additional 6 FTE attributed to that programme (1 seconded official and 5 CAs) as well as the reduction of 6 FTE for EFSI (1 seconded official and 5 CAs). The latter were deducted from H2020 programmes managed by the EASME (-1 FTE in Innovation in SMEs; -0.5 EFT in H2020 Energy; -1 FTE in Climate action; -1 FTE in Eco-Innovation; -1 FTE in SME Instrument; -1 FTE in FTI and -0.5 in the legacy programme of IEE II managed under H2020).

These arrangements allow EASME to respect the budget neutrality and remain within the overall staff numbers as foreseen in SFS: 447 total staff of which 337 contract agents and 110 temporary agents of which 33 seconded officials.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

N/A

5.1.3 Salary assumption for calculating salary lines (% applied)

The calculation of the salaries is based on the exact HR recruitment plan set up at the Agency for 2017. The salary estimations have been calculated by grade both for Temporary agents and Contractual agents (with a breakdown between basic salary and allowances) based on the actual expenses incurred over the period "January 2015 – January 2016". The salary increase for 2016 and 2017 has been calculated based on 2.4% - corresponding to the evolution of the cost of living for 2015. On top of the predicted salary costs a reserve of 3,5% was added to cover transport costs, promotions and annual travel expenses.

5.1.4 Vacancy rate as of end 2015

The Agency continued growing in 2015 and counted 373 staff on 31.12.2015 (28 seconded officials, 59 temporary agents, 286 contract agents). This amounts to 95% of 394 staff foreseen by the establishment plan for 2015 and represents a growth of 32% reached within 12 months compared to 283 by the end of 2014. Out of 297 posts for contract agents only 11 remained unfilled by the end of 2015 mainly due to the difficulties finding specialised staff in fields of Energy, Raw Materials and Maritime affairs. The new CAST for Executive Agencies was launched only late summer thus allowing to conduct the selections late in 2015. Therefore, the recruitment of these candidates can be expected only beginning of 2016. For what concerns temporary agents, 10 posts remained unfilled at the end of the year of which 4 were seconded posts. It was agreed with the Parent DGs to postpone these recruitments to 2016. For the remaining 6 posts the recruitment was either on-going at the end of 2015 or the arrival of the selected candidate was planned for early 2016.

5.1.5 Standard abatement('abatement forfaitaire')

The standard abatement applied takes into account the time to recruit as well as possible turnover plus part time and full time absences (part time work, time credits and parental leave. The HR KPI is 95% of posts filled by the end of the year. The agency will be in stable phase, very few new posts, recruitment for which can be planned well in advance so that new staff arrives early in the year. Gaps in filling posts might be due to deferrals between departures and arrivals. Therefore, we assume that the occupation rate will be the same as the number of posts filled by the end of the year (95%).

5.2 Financial Resources

5.2.1 Title I

The request for Title I in DB 2017 is EUR 32.621 million compared to the amount of EUR 28.571 million foreseen in the Specific Financial Statement accompanying the revised mandate extension. The difference of EUR 4.05 million results from: 1) the basis used for the calculation of the salaries: whereas the estimates included in the SFS were based on the average cost per staff member (whether official/temporary agent or contract agent), the estimates included in the DB2017 estimates are based on the average salaries calculated from the real salaries paid in 2015; 2) the recruitment of high grade of seconded officials, the indexation and the subsequent increase of the average salaries, calculated on the bases of the real salaries paid during 2015.

5.2.2 Title 2

The Financial Statement accompanying the revised mandate extension foresaw an amount of EUR 9.970 million for this title, whereas the DB 2017 requests EUR 7.726 million. The difference of EUR 2.244 million is mainly due to the variation of the estimates for the costs for the building: the estimates in title 2 are now calculated on the basis of real costs for the building, under the assumption that the agency would get additional office space in COV2 during 2016. These estimates are lower than those used for the calculation of the cost for the building in the revised Specific Financial Statement (SFS) of October 2014. In addition, the agency will order less furniture compared to the previous years.

5.2.3 Title 3

The Financial Statement accompanying the revised mandate extension foresaw EUR 12.682 million, whereas the DB 2017 requests EUR 5.076 million. The difference of EUR 7.606 million is mainly due to the re-organisation of the LIFE budget: the SFS included a provision to finance a services contract for evaluation and monitoring. This approach has been revised in DB2016 resulting in a transfer of appropriations to the operational lines to manage the monitoring of the actions and the reinforcement of title 1 of the administrative budget by a transfer from title 3 to proceed to the evaluation of the calls by in-house staff. The initial estimates in the SFS for all the programmes were based on assumptions and extrapolations from previous programmes. The elements available in 2013 and revised during 2014 did not allow for more real based calculations.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden 2 (COV2) Place Rogier 16 1210 Brussels Building 2	
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	For COV2: 15 162m ² 1. 14 525m ² 2. 637 m ²	
Annual rent (in EUR)	For COV2 – 2 991 950€	For COV2 - The rent does not cover rent charges and related costs.
Type and duration of rental contract	For COV2 - Usufruct contract for a period of 15 years.	
Host country grant or support	N/A	N/A
Present value of the building	N/A	N/A

6.1.2 Building project in the planning phase

N.A.

6.1.3 Building projects submitted to the European Parliament and the Council

N.A.

6.2 Evaluation

The third evaluation of the agency, covering the period January 2011-December 2013 2013 was commissioned by DG GROW and was conducted by Technopolis. On 30 June 2015, the final report was submitted to the Commission. The Steering Group of the evaluation approved the final report on 3rd of July 2015. The Agency's Steering Committee endorsed the final report (and a follow-up action plan) in its meeting on 16 October 2015.

The main findings of the latest evaluation available are as follows:

1. Effectiveness:

The findings from the evaluation show that EACI assumed full responsibility for the implementation of the programmes delegated to it, both in terms of official procedures as well as day-to-day practices. In general, EACI

effectively and efficiently implemented the programmes entrusted to it. The Agency was effective in communicating and promoting its programmes and results. EACI implemented sound and reliable financial management systems and procedures. The Agency had implemented an effective and thorough recruitment policy. The evaluation shows that the Agency implemented and maintained a reliable internal control system, which was in line with the standards and regulations of the European Commission. The Agency provided its services to parent DGs and beneficiaries in a timely and accurate manner. A few areas for improvement were identified, most of them related to the Enterprise Europe Network (EEN) in particular. Some stakeholders felt that the Agency did not always have a real understanding of the complexities and realities that the EEN's partners faced 'in the field' (e.g. difficulties in applying standardised project management tools, reporting and IT tools across Member States and regions).

2. Efficiency:

The findings from the evaluation show that the Agency had carried out its tasks and responsibilities in an efficient way, both in terms of cost-effectiveness and in terms of organisational efficiency. The results of the Cost-Benefit Analysis (CBA) suggest considerable cost savings associated to the Agency compared to the hypothetical scenario of 'no delegation'. The findings of this evaluation confirmed that the Agency had achieved a fair level of simplicity and flexibility of procedures. Both parent DGs and staff of the Agency itself were satisfied with the flow of information between the two bodies.

3. Utility:

The evaluation findings were positive in relation to the utility of the Agency. The results show that EACI had indeed allowed the relevant DGs to reduce its time spent on operational tasks and thus to focus more on political tasks.

2.2.4.1.2 Innovation and Networks Executive Agency - INEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
TEN-T EA Commission decision 2007/60/EC of 26/10/2006	OJ L32 of 06/02/2007	Management of EU operational programmes in the field of trans-European transport networks
TEN-T EA Commission decision 2008/593/EC of 11/07/2008	OJ L190 of 18/07/2008	Extension of mandate to the management of TEN-T 2007 - 2013 programmes
INEA Commission decision 2013/801/EU of 23/12/2013	OJ L352 of 24/12/2013	Establishment of the Innovation and Networks Executive Agency

1.2 Seat

Brussels, Belgium

1.3 Budget Line

06 01 06 01 : Innovation and Networks Executive Agency — Contribution from Connecting Europe Facility (CEF)

06 01 06 03 : Innovation and Networks Executive Agency — Contribution from the Cohesion Fund

08 01 06 04 : Innovation and Networks Executive Agency — Contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	21	19	24	23
Temporary Agents (recruited by the executive agency)	38	37	39	45
Total Establishment plan posts	59	56	63	68
Contract Agents	138	130	184	199
Seconded National Experts	0	0	0	0
TOTAL STAFF	197	186	247	267

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	24 013 018	24 704 259
2. EEA/EFTA contribution (excl.Switzerland)	153 241	165 401
3. Participation of candidate countries and/or third countries	108 137	119 339
TOTAL	24 274 396	24 988 999

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	17 262 396	17 262 396	19 012 000	19 012 000
Title 2 - Infrastructure and operating expenditure	5 415 000	5 415 000	4 234 000	4 234 000
Title 3 - Programme support expenditure	1 597 000	1 597 000	1 743 000	1 743 000
TOTAL EXPENDITURE	24 274 396	24 274 396	24 989 000	24 989 000

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the 11th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Completion of trans-European networks programme			X
Completion of Marco Polo II programme			X
Connecting Europe Facility (CEF)/ Transport		X	
Connecting Europe Facility (CEF)/ Energy		X	
Connecting Europe Facility (CEF)/ ICT		X	
Horizon 2020 / Societal challenges - Transport		X	
Horizon 2020 / Societal challenges - Energy		X	

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Connecting Europe Facility (CEF)						
06 02 01 01 - Removing bottlenecks, enhancing rail interoperability, bridging missing links and improving cross-border sections						
Operational Budget	599 261 377	650 378 543	1 092 004 777	582 450 000	1 174 293 698	428 362 267
<i>Of which managed by the executive agency</i>	591 973 905	646 073 853	1 090 639 777	568 250 000	1 146 392 768	414 000 000
06 02 01 02 - Ensuring sustainable and efficient transport systems						
Operational Budget	45 687 776	31 432 386	68 547 064	31 045 375	59 776 865	43 209 743

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	37 210 681	31 125 372	67 767 064	28 500 000	56 776 865	42 500 000
06 02 01 03 - Optimising the integration and interconnection of transport modes and enhancing interoperability						
Operational Budget	296 005 193	128 931 658	203 641 042	92 100 505	360 321 493	83 988 294
<i>Of which managed by the executive agency</i>	205 912 282	124 424 472	175 191 042	77 500 000	337 299 493	76 000 000
09 03 03 - Promoting interoperability, sustainable deployment, operation and upgrading of trans-European digital service infrastructures, as well as coordination at European level						
Operational Budget	83 492 781	19 311 737	103 130 661	60 166 409	104 596 108	72 500 000
<i>Of which managed by the executive agency</i>	46 859 279	6 748 585	66 241 604	18 575 900	36 990 168	36 744 865
32 02 01 01 - Further integration of the internal energy market and the interoperability of electricity and gas networks across borders						
Operational Budget	116 504 490	18 082 786	182 235 000	43 223 000	217 403 954	34 765 600
<i>Of which managed by the executive agency</i>	115 554 000	18 056 591	181 235 000	42 752 000	217 403 954	33 382 000
32 02 01 02 - Enhancing Union security of energy supply						
Operational Budget	115 554 000	18 281 591	182 235 000	43 223 000	217 403 954	26 032 000
<i>Of which managed by the executive agency</i>	115 554 000	18 281 591	181 985 000	43 223 000	217 403 954	25 982 000
32 02 01 03 - Contributing to sustainable development and protection of the environment						
Operational Budget	115 555 000	17 781 591	182 235 818	43 223 000	217 404 002	26 531 000
<i>Of which managed by the executive agency</i>	115 555 000	17 781 591	181 835 818	42 752 000	217 404 002	25 982 000
Contribution from CF to CEF						
06 02 01 04 - Connecting Europe Facility (CEF) — Cohesion Fund allocation						
Operational Budget	1 215 764 778	392 349 009	2 372 380 457	378 659 459	1 588 194 081	377 581 583
<i>Of which managed by the executive agency</i>	1 215 764 778	387 045 036	2 371 380 457	375 000 000	1 588 194 081	376 000 000
Completion Marco Polo 2						
06 02 52 - Completion of Marco Polo programme						
Operational Budget	0	9 433 671	p.m.	19 185 423	p.m.	8 135 000

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>		8 811 680		17 200 000		8 000 000
Completion of Trans-European transport network						
06 02 51 - Completion of trans-European networks programme						
Operational Budget	0	477 142 041	p.m.	630 340 000	p.m.	340 000 000
<i>Of which managed by the executive agency</i>	0	344 758 587		576 000 000		320 000 000
The Framework Programme for Research and Innovation (Horizon 2020)						
06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system						
Operational Budget	142 843 911	89 643 517	110 916 737	127 796 246	102 781 794	103 235 669
<i>Of which managed by the executive agency</i>	125 763 911	63 900 074	70 086 635	83 000 000	70 086 635	76 604 000
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	6 854 173	1 185 771	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
08 02 03 03 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	322 852 090	155 567 029	335 369 074	242 548 217	321 697 313	227 788 241
<i>Of which managed by the executive agency</i>	260 507 843	135 638 219	208 861 920	153 452 947	265 137 832	165 954 444
08 02 03 04 - Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless						
Operational Budget	164 157 496	177 192 708	331 555 393	174 476 315	374 512 012	327 823 067
<i>Of which managed by the executive agency</i>	114 684 296	147 588 969	256 085 715	126 558 690	327 586 878	272 033 486
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	154 714 699	36 233 714	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
32 04 03 01 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	337 631 657	129 415 866	324 676 361	256 130 706	359 734 448	303 284 894

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	173 245 550	50 950 000	149 654 434	120 947 824	177 766 962	137 800 000
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	5 315 563	7 353 273	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
TOTAL operational budget managed by the agency	3 118 585 525	2 001 184 620	5 000 964 466	2 273 712 361	4 658 443 592	2 010 982 795

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013, taking account of Commission decision C(2014)6944 of 2.10.2014 on the implementation of the Fast Track to Innovation pilot scheme by EASME and the subsequent adjustment of INEA resources.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	17	22	26	26	26	26	26
Temporary Agents (recruited by the agency)	32	34	40	45	47	50	53
Executive Agency's total Establishment Plan Posts	49	56	66	71	73	76	79
Contract Agents (*)	113	131	196	211	219	227	238
Seconded National Experts (SNE)							
Total staff of the Executive Agency	162	187	262	282	292	303	317

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14 (1)	5	5	7	7
AD 13	9	9	9	9
AD 12	3	3	5	5
AD 11	4	3	4	4
AD 10	3	3	3	4
AD 9	4	3	4	5

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 8	8	8	8	9
AD 7	10	9	10	12
AD 6	3	3	2	2
AD 5	2	2	1	1
AD TOTAL	51	48	53	58
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	1	1	1	1
AST 6				
AST 5	2	2	2	2
AST 4	2	2	2	3
AST 3	3	3	5	4
AST 2				
AST 1				
AST TOTAL	8	8	10	10
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	59	56	63	68
Of which Officials seconded by the commission	21	19	24	23

(1) The establishment plan accepts the following ad personam appointment: 1 AD 14 official may become AD 15.

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	65	62	99	110
Function Group III	45	37	55	58
Function Group II	28	31	30	31
Function Group I	0	0		0
TOTAL	138	130	184	199

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF	0	0	0	0
Financed from participation of candidate countries and/or third countries	0	0	4	5

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG MOVE (posts)	32	32	0	0
DG MOVE (external personnel)	6	6	0	0
Total	38	38	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2006)5032 of 19.10.2006

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
All parent DGs (posts)	95	14	17	18
All parent DGs (external personnel)	46.9	13.8	8.8	6.8
Total	141.9	27.8	25.8	24.8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG 1			
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II). This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG MOVE	14	13	13
DG ENER	3	3	3
DG RTD	6	5	5
DG CNECT	3	3	2
Total	26	24	23

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013, which was further amended following the delegation of the Horizon 2020 Fast Track to Innovation pilot scheme)	2014	2015	2016	2017	2018	2019	2020
	14.176.000	18.381.000	24.440.000	26.251.000	27.204.000	28.194.000	29.392.000
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-28)	14.176.457	19.777.921	24.013.018				
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							
Initial Budget (EUR-28)	14.176.000	19.777.921	24.013.018				
Budget after transfers and / or amending budgets (EUR-28)	13.431.000	18.247.838					

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
06 01 06 01	CEF	13 372 921	15 010 344	14 252 226	-5,05%
06 01 06 03	CF_DET	1 213 701	4 153 472	5 100 512	22,80%
08 01 06 04	H2020	3 789 378	4 849 202	5 351 521	10,36%
Sub Total		18 376 000	24 013 018	24 704 259	2,88%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
06 01 06 01	CEF		20 858	19 304	-7,45%
08 01 06 04	H2020		132 383	146 097	10,36%
Sub Total			153 241	165 401	7,94%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
08 01 06 04	H2020		108 137	119 339	10,36%
Sub Total			108 137	119 339	10,36%
TOTAL REVENUES		18 376 000	24 274 396	24 988 999	2,94%

(1) All fund sources

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	13 915 626	17 262 396	19 012 000	10,14%
Remunerations, Allowances and Charges	13 128 933	16 086 000	17 842 000	10,92%
- Of which establishment plan posts	6 473 684	6 864 000	7 566 000	10,23%
- Of which external personnel	6 655 249	9 222 000	10 276 000	11,43%
Professional Development and Social expenditure	786 693	1 176 396	1 170 000	-0,54%
Title 2 - Infrastructure and operating expenditure	3 275 170	5 415 000	4 234 000	-21,81%
Building expenditure	2 293 473	4 122 000	3 099 000	-24,82%
ICT expenditure	733 087	1 158 000	984 000	-15,03%
Movable Property and Current Operating expenditure	248 610	135 000	151 000	11,85%
Title 3 - Programme support expenditure	983 280	1 597 000	1 743 000	9,14%
Programme Management expenditure	983 280	1 597 000	1 743 000	9,14%
Common Support Services expenditure				
TOTAL	18 174 076	24 274 396	24 989 000	2,94%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	13 512 073	17 262 396	19 012 000	10,14%
Remunerations, Allowances and Charges	13 023 778	16 086 000	17 842 000	10,92%
- <i>Of which establishment plan posts</i>	6 473 684	6 864 000	7 566 000	10,23%
- <i>Of which external personnel</i>	6 550 094	9 222 000	10 276 000	11,43%
Professional Development and Social expenditure	488 295	1 176 396	1 170 000	-0,54%
Title 2 - Infrastructure and operating expenditure	2 641 286	5 415 000	4 234 000	-21,81%
Building expenditure	2 063 995	4 122 000	3 099 000	-24,82%
ICT expenditure	510 810	1 158 000	984 000	-15,03%
Movable Property and Current Operating expenditure	66 481	135 000	151 000	11,85%
Title 3 - Programme support expenditure	486 283	1 597 000	1 743 000	9,14%
Programme Management expenditure	486 283	1 597 000	1 743 000	9,14%
Common Support Services expenditure				
TOTAL	16 639 642	24 274 396	24 989 000	2,94%

4.4 Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 421 094.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2017, financed by the EU general budget, is 267. The Specific Financial Statement accompanying the Commission Implementing Decision 2013/801/EU establishing the INEA, which received a positive opinion of the CEA on 22 November 2013, foresaw 282 staff. Due to the proposed creation of the European Fund for Strategic Investment (EFSI), this was subsequently reduced to 267. The 20 additional staff requested for 2017 consists of 5 temporary agents (to reach a total of 68) and 15 contract agents (to reach a total of 199).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The staff expenditure budget for 2017 also includes the cost of recruitment of 1 additional contract agent financed on appropriations accruing from contributions from EEA/EFTA countries for their participation in Marco Polo II, CEF – ICT and Horizon 2020 activities delegated to the Agency. This is on top of the 4 contractual agents that have already been assigned to INEA on the 2016 staffing.

5.1.3 Salary assumption for calculating salary lines (% applied)

The staff expenditure budget for 2017 covers a full year salary for temporary and contract staff authorised for 2016 (including the EFTA posts, i.e. for 251 staff). It covers also the salaries of 9 months for 5 additional temporary posts and 16 contract agents (15 additional contract agents requested under the 2017 staffing plan and 1 covered by the contribution from EEA/EFTA countries). The staff expenditure budget has been calculated on the basis of the average wage costs by function group and grade. A 3 % vacancy rate and an increase in salaries for selected staff that may benefit from a reclassification to a higher grade have also been taken into account.

5.1.4 Vacancy rate as of end 2015

At the end of 2015 the vacancy rate was 7,5 % of which 2,5 % for seconded officials. This relatively high vacancy rate was due to the absence of valid EPSO reserve lists for contractual agent positions during the major part of 2015.

5.1.5 Standard abatement('abatement forfaitaire')

Selection procedures will be organised in 2016 to allow an early recruitment of new staff in 2017 to cope with the increasing workload of the Agency in particular for front loaded activities. New staff is budgeted on the basis of a 9-month average. Besides, the occupation rate throughout 2017 is estimated at 97 % and therefore a vacancy rate of 3 % has been incorporated in the salary calculations.

5.2 Financial Resources

5.2.1 Title 1

The increase in the budget for Title 1 by 10,14 %, or EUR 1 750 000, is mainly due to 'Chapter 11 - Remuneration allowances and charges'. It results mainly from the increase in staffing, i.e. 5 additional temporary agents and 16 additional contract agents as well as from extending the coverage for the staff increase from 2016 from 9 months to 12 months.

5.2.2 Title 2

The decrease in the budget for Title 2 by 21,81 %, or EUR 1 181 000, results mainly from 'Chapter 21 - Building expenditure' for which the budget based on the current premises is lower than the 2016 estimated budget which was based on the assumption that the Agency would be relocated in new premises in 2016. Additionally, the costs of IT related Service Level Agreements have been reduced.

5.2.3 Title 3

The increased budget for Title 3 by 9,14 %, or EUR 146 000, stems mainly from the increasing budget for ex-post controls of beneficiaries of the CEF programme.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	W910, Brussels	
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	7746 square metres for office space 351 square metres for archive space + 65 parking places	
Annual rent (in EUR)	EUR 1 415 000	
Type and duration of rental contract	Rent – 01/11/2010 to 31/12/2018	
Host country grant or support	No	
Present value of the building	N/A	

6.1.2 Building project in the planning phase

A limited extension within the existing premises of the Agency is foreseen in 2017 to accommodate the new staff to be recruited during that year.

6.1.3 Building projects submitted to the European Parliament and the Council

Not applicable.

6.2 Evaluation

An evaluation of the TEN-T Executive Agency, the predecessor of INEA, has been submitted to the European Parliament and the Council by 18 November 2015. The main findings of the latest evaluation available covering the period between April 2011 and December 2013 are as follows:

The overall conclusion of the evaluation is that, between 2011 and 2013, the TEN-T Executive Agency produced a good performance when delivering the tasks and functions delegated to it by the Commission under the relevant Commission decision, the EU financial regulation and the relevant legislation and regulations. The agency delivered its mandated tasks efficiently and effectively over that period (April 2011 to December 2013). There is no doubt that the Agency significantly contributed to the operational enhancement and visibility of the Commission's action in TEN-T across Europe. There is clear evidence that the level of service offered by the Agency has improved compared to what it had been before the Agency was created. It received overwhelmingly positive feedback on its performance from all stakeholders concerned.

This evaluation finds that the Agency added value to the management and implementation of the TEN-T Programme by fulfilling all its objectives, being efficient, having adopted a well-functioning structure and strong control procedures. In addition, the Agency was proactive in identifying and making savings. Its recruitment procedures and motivated staff also ensured high quality of execution of the TEN-T Programme implementation even during the busiest and most challenging year of 2013. The Agency allowed the Commission to focus on policy and institutional tasks and very transparent cooperation between both entities ensured that there was no overlap as well as the Commission being able to maintain its competence, knowledge and control of the implementation of the Programme. In addition the Agency was the most cost-effective option available for the implementation of the TEN-T Programme, with the result of the cost benefit analysis significantly in favour of the external Agency option. This option allowed savings of €8.8 million of European budget compared to having the Programme implemented in-house.

2.2.4.1.3 European Research Council Executive Agency - ERCEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission decision 2008/37EC of 14/12/2007	OJ L9 of 12/01/2008	Creation of the agency managing the Ideas Specific Programme in the framework of the 7th Framework Programme for research
Commission implementing decision C(2013)9048 of 17/12/2013	OJ L346 of 20/12/2013	Establishing the European Research Council Executive Agency and repealing Decision 2008/37/EC

1.2 Seat

Brussels, Belgium

1.3 Budget Line

08 01 06 01 : European Research Council Executive Agency — contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	20	19	20	20
Temporary Agents (recruited by the executive agency)	88	89	92	98
Total Establishment plan posts	108	108	112	118
Contract Agents	290	291	319	334
Seconded National Experts	15	11	16	16
TOTAL STAFF	413	410	447	468

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	40 981 475	45 122 000
2. EEA/EFTA contribution (excl. Switzerland)	1 118 794	1 231 831
3. Participation of candidate countries and/or third countries	495 876	596 169
TOTAL	42 596 145	46 950 000

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	31 655 145	31 655 145	35 638 000	35 638 000

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 2 - Infrastructure and operating expenditure	7 400 000	7 400 000	7 645 000	7 645 000
Title 3 - Programme support expenditure	3 541 000	3 541 000	3 667 000	3 667 000
TOTAL EXPENDITURE	42 596 145	42 596 145	46 950 000	46 950 000

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the 10th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
FP7-IDEAS Specific Programme			X
H2020-Excellent Science		X	

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations (1)	Commitment appropriations	Payment appropriations (2)	Commitment appropriations	Payment appropriations
Completion of Seventh Framework Programme for research, technological development and demonstration activities						
08 02 51 - Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)						
Operational Budget	10 499 511	2 554 883 741	p.m.	1 867 645 867	p.m.	1 169 097 029
<i>Of which managed by the executive agency</i>		781 250 829 (1)		706 938 806		507 389 625
The Framework Programme for Research and Innovation (Horizon 2020)						
08 02 01 01 - Strengthening frontier research in the European Research Council						
Operational Budget	1 706 365 096	426 606 975	1 622 722 376	591 884 144	1 736 471 644	934 538 152
<i>Of which managed by the executive agency</i>	1 706 320 096	426 596 993	1 622 677 376	591 839 144	1 736 431 645	934 488 152
TOTAL operational budget managed by the agency	1 706 320 096	1 207 847 822	1 622 677 376	1 298 777 950	1 736 431 645	1 441 877 777

(1) C1 + C4 + C5 credits with EFTA

(2) 2016 and 2017 : without EFTA (voted credits: only C1)

3 Human Resources

3.1 Staffing when created / extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	15	20	20	20	20	20	20
Temporary Agents (recruited by the agency)	85	88	92	98	102	107	113
Executive Agency's total Establishment Plan Posts	100	108	112	118	122	127	133
Contract Agents (*)	279	291	319	333	343	360	369
Seconded National Experts (SNE)	10	14	16	17	19	21	27
Total staff of the Executive Agency	389	413	447	468	484	508	529

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14 (1)	7	3	6	6
AD 13	6	10	7	9
AD 12	4	4	3	2
AD 11	4	2	4	3
AD 10	2	2	2	10
AD 9	24	27	27	43
AD 8	37	35	42	23
AD 7	16	14	11	6
AD 6	7	11	10	16
AD 5	1			
AD TOTAL	108	108	112	118
AST 11				
AST 10				
AST 9				
AST 8				
AST 7				
AST 6				
AST 5				
AST 4				
AST 3				
AST 2				
AST 1				

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AST TOTAL				
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	108	108	112	118
Of which Officials seconded by the commission	20	19	20	20

(1) The establishment plan accepts the following appointments 'ad personam': one AD14 official may become AD15

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	78	83	96	114
Function Group III	113	113	125	125
Function Group II	96	92	94	92
Function Group I	3	3	4	3
TOTAL	290	291	319	334

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF				
Financed from participation of candidate countries and/or third countries	12	7	14	14

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	15	11	16	16

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
All parent DGs (posts)	104	29	18	19
All parent DGs (external personnel)	52.2	14.9	9.2	8.8
Total	156.2	43.9	27.2	27.8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG RTD	20	0	0	5
Total	20	0	0	5

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG 1			
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG RTD	22	20	20
Total	22		

Source: Specific financial accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	39,415	39,809	43,013	45,122	46,681	48,926	51,005
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-28)	39,415	37,573	40,981				
Budget after transfers and / or amending budgets (EUR-28)	35,064	37,573					
Operating budget of the agency							
Initial Budget (EUR-28)	36,397	37,573	40,981				
Budget after transfers and / or amending budgets(EUR-28)	35,249	37,573					

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08 01 06 01	H2020	38 677 409	40 981 475	45 122 000	10,10%
Sub Total		38 677 409	40 981 475	45 122 000	10,10%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
08 01 06 01	H2020		1 118 794	1 231 831	10,10%
Sub Total			1 118 794	1 231 831	10,10%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
08 01 06 01	H2020		495 876	596 169	20,23%
Sub Total			495 876	596 169	20,23%
TOTAL REVENUES		38 677 409	42 596 145	46 950 000	10,22%

(1) All fund sources

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	29 242 617	31 655 145	35 638 000	12,58%
Remunerations, Allowances and Charges	27 603 346	29 866 145	33 721 000	12,91%
- <i>Of which establishment plan posts</i>	<i>12 414 525</i>	<i>13 250 000</i>	<i>14 800 000</i>	<i>11,70%</i>
- <i>Of which external personnel</i>	<i>15 188 821</i>	<i>16 616 145</i>	<i>18 921 000</i>	<i>13,87%</i>
Professional Development and Social expenditure	1 639 271	1 789 000	1 917 000	7,15%
Title 2 - Infrastructure and operating expenditure	6 719 124	7 400 000	7 645 000	3,31%
Building expenditure	4 361 727	4 734 000	5 118 000	8,11%
ICT expenditure	2 194 349	2 441 500	2 328 000	-4,65%
Movable Property and Current Operating expenditure	163 048	224 500	199 000	-11,36%
Title 3 - Programme support expenditure	3 379 828	3 541 000	3 667 000	3,56%
Programme Management expenditure	3 379 828	3 541 000	3 667 000	3,56%
Common Support Services expenditure				
TOTAL	39 341 569	42 596 145	46 950 000	10,22%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	28 880 660	31 655 145	35 638 000	12,58%
Remunerations, Allowances and Charges	27 474 252	29 866 145	33 721 000	12,91%
- <i>Of which establishment plan posts</i>	<i>12 414 525</i>	<i>13 250 000</i>	<i>14 800 000</i>	<i>11,70%</i>
- <i>Of which external personnel</i>	<i>15 059 727</i>	<i>16 616 145</i>	<i>18 921 000</i>	<i>13,87%</i>
Professional Development and Social expenditure	1 406 408	1 789 000	1 917 000	7,15%
Title 2 - Infrastructure and operating expenditure	6 060 688	7 400 000	7 645 000	3,31%
Building expenditure	4 032 122	4 734 000	5 118 000	8,11%
ICT expenditure	1 900 780	2 441 500	2 328 000	-4,65%
Movable Property and Current Operating expenditure	127 786	224 500	199 000	-11,36%
Title 3 - Programme support expenditure	1 921 908	3 541 000	3 667 000	3,56%
Programme Management expenditure	1 921 908	3 541 000	3 667 000	3,56%
Common Support Services expenditure				
TOTAL	36 863 256	42 596 145	46 950 000	10,22%

4.4 Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 467 663.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

By the end of 2017 the ERCEA expects 118 temporary agents – 20 seconded TA (TA-S) and 98 external TA (TA-E), 334 contract agents (CA) and 16 seconded national experts (SNE). These figures are in line with the Specific financial statement accompanying the mandate extension, except as regards the split between CA (+1) and SNE (-1).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

No additional CA is expected to be financed from the participation of candidate countries and/or third countries in addition to the 14 CAs recruited in 2015 and 2016.

5.1.3 Salary assumption for calculating salary lines (% applied)

The salary calculation was made on the basis of the same percentage of salary adjustment as in 2015, i.e. +2,4%.

5.1.4 Vacancy rate as of end 2015

A vacancy rate of 1,9% was taken into account which was the actual rate on 31/12/2015.

5.1.5 Standard abatement('abatement forfaitaire')

It is expected that the 21 additional staff in 2017 are recruited during the first semester. As it has been the case in 2015 and 2016, recruitment preparations start in the previous year so that new staff can enter into service in the first months of the year.

5.2 Financial Resources

5.2.1 Title 1

Title I (EUR 35,6 million) increases by 12,6% compared to the 2016 budget. This increase is not only due to 21 additional recruitments planned for 2017, but also to salary adjustments of 2,4% in 2016 and 2017. Moreover the level of Title I in the 2016 budget will need to be increased in the 2016 amending budget, possibly compensated by third country contribution still to be received on the ERCEA administrative budget. If the draft budget 2017 were compared to the needs for 2016 as re-estimated end of 2015, the increase would only be of 9%.

5.2.2 Title 2

Title II increases by 3,3% corresponding to an increase of 8,1% on buildings due to additional office space, partially compensated by a decrease of 4,6% on IT mainly due to the replacement of equipment in 2016.

5.2.3 Title 3

The 3,6% increase on Title III corresponds to the normal increase of a growing organisation (missions, communication, operational related IT costs).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden (COV2) Place Rogier, 16 BE – 1210 ST JOSSE-TEN-NOODE	
Surface area (in square metres)	13.501	
1. Of which office space	-13.501	
2. Of which non-office space	- 0	
Annual rent (in EUR)	2.990.316,58	
Type and duration of rental contract	Usufruct contract (15 years)	
Host country grant or support	No	
Present value of the building	NA	

6.1.2 Building project in the planning phase

An additional floor in the same building has been progressively occupied by ERCEA staff replacing DG RTD staff moving to another building.

6.1.3 Building projects submitted to the European Parliament and the Council

N/A

6.2 Evaluation

An evaluation of the operation of the agency has been carried out by an external contractor, namely the Public Policy Management Institute (PPMI) from Lithuania and has been submitted to the European Parliament, the Council and the Court of Auditors by the end of April 2016.

The main question of the evaluation was to identify what has been achieved in terms of financial savings, improved services and other efficiency gains by delegating operational tasks to the Agency; the evaluation did not assess the scientific results of the programmes managed by the ERCEA. It covered the period from July 2012 – July 2015 and the main findings of the evaluation are as follows:

The Agency performed in an effective, highly efficient and cost-effective way in implementing its tasks, achieved its objectives and produced the planned outputs during the reference period. By very efficiently managing the delegated programmes and achieving good results in terms of KPIs, the ERCEA proved to be a cost-effective solution as compared to the in-house scenario, resulting in savings to the EU budget.

Based on the results of the cost-benefit analysis and the evaluation, the initial identification of tasks entrusted to the Agency and the produced savings are still valid for justifying the outsourcing. The evaluation report includes a set of recommendations to address remaining issues, e.g. not sufficiently developed information flows or feedback loops for policy-making processes or the use of Horizon 2020 corporate IT tools for the management of ERC grants and the running of ERCEA's business processes.

2.2.4.1.4 Research Executive Agency - REA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission Decision 2008/46/EC of 14/12/2007	OJ L11 of 15/01/2008	Creation of the Agency managing certain areas of the People, Capacities and Cooperation Specific EU Programmes within the framework of the 7th Framework Programme for RTD (Decision 1982/2006/EC) in application of Council Regulation (EC) No 58/2003.
Commission Decision 2013/778/EU of 2013/12/13 establishing Research Executive Agency and repealing Decision 2008/46/EC	JO L 346, 20/12/2013	Establishing the Research Executive Agency and repealing Decision 2008/46/EC. 1 The Agency is entrusted within the Specific Programme Implementing Horizon 2020 (H2020), with implementing parts of Part I "Excellent science"; Part II "Industrial leadership"; Part III "Societal challenges"; Part III a "Spreading excellence and widening participation"; Part III b "Science with and for society". 2 The Agency is entrusted, within the framework of the Seventh Framework Programme (FP7), with implementing the legacy of parts of: the activities "Research for SMEs" and "Research for SME associations" of the Capacities Specific Programme; the "Space" and "Security" themes of the Cooperation Specific Programme; the People Specific Programme. 3 The Agency is responsible for the following tasks related to implementing parts of the above-mentioned programmes: (a) managing some stages of programme implementation and some phases in the lifetime of specific projects on the basis of the relevant work programmes adopted by the Commission, where the Commission has empowered it to do so in the instrument of delegation; (b) adopting the instruments of budget execution for revenue and expenditure and carrying out all the operations necessary for the management of the programme, where empowered by the Commission in the instrument of delegation; (c) providing support in programme implementation where empowered by the Commission in the instrument of delegation 4 The Agency is responsible for providing administrative and logistical support services as defined in the instrument of delegation.
Commission Decision C(2015)8754 of 14/12/2007		Amending the instruments of delegation (Decision C(2013)9418).

1.2 Seat

Brussels, Belgium

1.3 Budget Line

08 01 06 02 : Research Executive Agency — Contribution from Horizon 2020

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	38	36	40	42
Temporary Agents (recruited by the executive agency)	119	118	123	125
Total Establishment plan posts	157	154	163	167
Contract Agents	473	464	486	503
Seconded National Experts	0	0	0	0
TOTAL STAFF	630	618	649	670

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	59 972 046	62 627 224
2. EEA/EFTA contribution (excl. Switzerland)	1 637 237	1 709 723
3. Participation of candidate countries and/or third countries	1 301 207	1 581 998
TOTAL	62 910 490	65 918 945

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	45 001 575	45 001 575	48 034 635	48 034 635
Title 2 - Infrastructure and operating expenditure	9 468 020	9 468 020	9 603 000	9 603 000
Title 3 - Programme support expenditure	8 440 895	8 440 895	8 281 310	8 281 310
TOTAL EXPENDITURE	62 910 490	62 910 490	65 918 945	65 918 945

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the 9th year (FP7 mandate) and 4th year (H2020 mandate) of its operational life time and manages the following programmes

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Space research 02 04 51			X
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "Cooperation" Specific Programme / Security research 18 05 51			X

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
The Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) FP 7 / "People" Specific Programme 15 03 51			X
The Seventh Framework Programme / "Capacities" Specific Programme / Capacities - Research for the benefit of small and medium-sized enterprises (SMEs) 08 02 51			X
Horizon 2020 "Excellent science – Strengthening research in FET – Future and emerging technologies"		X	
Horizon 2020 "Excellent science – Marie Skłodowska-Curie actions – Generating new skills and innovation"		X	
Horizon 2020 "Industrial leadership – Leadership in Space"		X	
Horizon 2020 "Societal challenges – Improving food security, developing sustainable agriculture and forestry, marine and maritime and inland water research, and the bioeconomy"		X	
Horizon 2020 "Societal challenges – Fostering inclusive, innovative and reflective European societies"		X	
Horizon 2020 "Societal challenges – Fostering secure societies"		X	
Horizon 2020 "Spreading excellence and widening participation – Exploiting the potential of Europe's talent pool"		X	
Horizon 2020 "Science with and for society"		X	
Horizontal activities of Horizon 2020		X	
Contracting and Paying of Expert Evaluators for all Horizon 2020		X	

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Seventh Framework Programme for research, technological development and demonstration activities						
02 04 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	29 584	1 513 118	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>		368 830				
02 04 51 - Completion of previous research framework programmes — Seventh Framework Programme — EC (2007 to 2013)						
Operational Budget	42 725	98 244 432	p.m.	85 800 000	p.m.	27 300 000
<i>Of which managed by the executive agency</i>	31 646	53 116 248		21 600 817	0	13 699 883

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
08 02 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	2 166 986	81 893 512	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	0	1 160 534				
08 02 51 - Completion of previous research framework programme — Seventh framework programme — EC indirect action (2007 to 2013)						
Operational Budget	10 499 511	2 554 883 741	p.m.	1 867 645 867	p.m.	1 169 097 029
<i>Of which managed by the executive agency</i>	1 399 625	75 758 920	0	27 650 291	0	11 715 063
15 03 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	0	15 143 738	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	0	15 143 738				
15 03 51 - Completion of previous research framework programme — Seventh Framework Programme (2007 to 2013)						
Operational Budget	252 465	247 324 658	p.m.	304 000 000	p.m.	90 229 291
<i>Of which managed by the executive agency</i>	252 465	243 829 659	0	294 462 295	0	90 000 000
18 05 50 02 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)						
Operational Budget	99 041	5 202 669	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>		4 373 729				
18 05 51 - Completion of previous research framework programmes — Seventh framework programme — EC (2007 to 2013)						
Operational Budget	48 180	112 087 638	p.m.	120 800 000	p.m.	55 468 066
<i>Of which managed by the executive agency</i>	47 860	68 152 882	0	57 900 315	0	43 916 827
The Framework Programme for Research and Innovation (Horizon 2020)						
02 04 02 01 - Leadership in space						
Operational Budget	173 445 698	75 195 752	159 792 893	147 533 544	179 406 948	172 900 000
<i>Of which managed by the executive agency</i>	76 914 832	48 229 658	94 777 023	83 506 606	76 608 586	77 289 983
02 04 02 03 - Increasing innovation in small and medium-sized enterprises (SMEs)						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	35 108 705	14 682 707	35 738 414	18 500 000	35 426 341	46 810 000
<i>Of which managed by the executive agency</i>	105 500	119 675		92		
02 04 03 01 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	76 077 049	31 435 274	75 016 498	62 200 000	82 703 328	54 380 000
<i>Of which managed by the executive agency</i>	340 500	353 225		5 275		
02 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	3 072 783	3 499 241	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	760 000	745 481				
05 09 03 01 - Securing sufficient supplies of safe and high quality food and other bio-based products						
Operational Budget	106 243 729	22 374 079	214 205 269	79 277 928	237 123 857	121 648 169
<i>Of which managed by the executive agency</i>	94 393 029	19 590 456	179 170 155	63 706 991	221 531 044	105 000 000
05 09 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	1 195 927	43 283	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	1 195 927	43 283				
06 03 03 01 - Achieving a resource-efficient, environmentally-friendly, safe and seamless European transport system						
Operational Budget	142 843 911	89 643 517	110 916 737	127 796 246	102 781 794	103 235 669
<i>Of which managed by the executive agency</i>	444 500	461 060		53 440		
06 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	6 854 173	1 185 771	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	138 000	56 471				
08 02 01 03 - Strengthening European research infrastructures, including e-infrastructures						
Operational Budget	193 681 145	153 244 367	183 905 321	83 564 914	200 959 521	244 123 783
<i>Of which managed by the executive agency</i>	97 758	121 048		116 573		

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
08 02 02 01 - Leadership in nanotechnologies, advanced materials, laser technology, biotechnology and advanced manufacturing and processing						
Operational Budget	519 248 106	297 035 900	504 175 361	407 929 917	556 192 455	415 708 687
<i>Of which managed by the executive agency</i>	2 149 300	2 259 000		30 300		
08 02 02 02 - Enhancing access to risk finance for investing in research and innovation						
Operational Budget	410 836 506	409 849 268	329 381 199	337 572 482	400 331 277	358 772 793
<i>Of which managed by the executive agency</i>	0	0				
08 02 02 03 - Increasing innovation in small and medium-sized enterprises (SMEs)						
Operational Budget	34 105 056	4 869 367	36 120 567	31 169 883	42 032 876	1 226 502
<i>Of which managed by the executive agency</i>	390 000	389 400	175 217	268 158	48 671	48 671
08 02 03 01 - Improving lifelong health and well-being						
Operational Budget	588 228 532	373 709 857	524 745 272	299 890 040	530 484 227	448 768 061
<i>Of which managed by the executive agency</i>	2 880 920	4 174 127		1 428 988		
08 02 03 02 - Securing sufficient supplies of safe, healthy and high quality food and other bio-based products						
Operational Budget	97 048 684	79 239 788	142 233 804	89 735 746	185 315 580	160 057 663
<i>Of which managed by the executive agency</i>	50 105 636	65 947 727	100 851 747	37 520 071	146 604 624	117 608 170
08 02 03 03 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	322 852 090	155 567 029	335 369 074	242 548 217	321 697 313	227 788 241
<i>Of which managed by the executive agency</i>	1 077 632	1 058 432		19 200		
08 02 03 04 - Achieving a European transport system that is resource-efficient, environmentally friendly, safe and seamless						
Operational Budget	164 157 496	177 192 708	331 555 393	174 476 315	374 512 012	327 823 067
<i>Of which managed by the executive agency</i>	823 952	882 607		67 346		
08 02 03 05 - Achieving a resource-efficient and climate change resilient economy and a sustainable supply of raw materials						
Operational Budget	306 477 897	113 159 661	284 530 369	150 855 696	311 465 457	239 716 355
<i>Of which managed by the executive agency</i>	1 339 773	1 322 373		230 580		

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
08 02 03 06 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	121 198 032	58 563 128	112 411 389	117 834 666	115 787 740	106 192 615
<i>Of which managed by the executive agency</i>	63 596 274	32 044 912	50 861 482	72 255 800	61 715 176	43 174 554
08 02 04 - Spreading excellence and widening participation						
Operational Budget	95 923 167	68 963 813	105 470 711	47 808 292	123 492 850	103 460 005
<i>Of which managed by the executive agency</i>	67 366 962	46 201 481	87 193 317	21 936 539	108 632 800	91 244 828
08 02 05 - Horizontal activities of Horizon 2020						
Operational Budget	47 450 000	20 100 000	109 162 522	79 820 088	114 734 030	104 622 798
<i>Of which managed by the executive agency (1)</i>	0	0	51 659 690	49 077 193	56 925 922	56 662 123
08 02 06 - Science with and for society						
Operational Budget	56 466 691	21 834 153	53 497 266	40 461 390	58 457 571	54 171 621
<i>Of which managed by the executive agency</i>	51 502 886	17 450 000	42 892 724	34 698 103	53 202 441	44 709 304
08 02 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	154 714 699	36 233 714	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	6 294 892	1 827 958				
09 04 01 01 - Strengthening research in future and emerging technologies						
Operational Budget	243 726 534	106 488 107	215 400 890	244 098 326	322 099 260	216 700 000
<i>Of which managed by the executive agency</i>	65 726 534	43 660 000	86 036 357	51 563 107	110 956 050	62 471 820
09 04 01 02 - Strengthening European research infrastructure, including e-infrastructure						
Operational Budget	108 386 200	100 058 865	97 889 261	95 000 000	108 536 406	100 482 000
<i>Of which managed by the executive agency</i>	500 184	511 163				
09 04 02 01 - Leadership in information and communications technology						
Operational Budget	877 465 113	556 102 550	723 681 812	799 548 750	779 380 777	842 250 000
<i>Of which managed by the executive agency</i>	4 955 554	5 548 021		49 500		

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
09 04 03 01 - Improving lifelong health and well-being						
Operational Budget	135 691 299	68 429 298	118 188 002	142 332 732	112 415 266	110 408 000
<i>Of which managed by the executive agency</i>	1 759 588	1 760 116				
09 04 03 02 - Fostering inclusive, innovative and reflective European societies						
Operational Budget	42 935 845	16 982 895	36 564 471	47 093 653	47 214 020	40 538 000
<i>Of which managed by the executive agency</i>	34 238 779	13 985 367	25 455 076	40 698 344	36 162 757	22 029 089
09 04 03 03 - Fostering secure European societies						
Operational Budget	53 355 278	24 826 612	45 791 092	50 080 000	49 556 358	42 673 000
<i>Of which managed by the executive agency</i>	45 655 896	23 429 179	35 257 471	36 260 099	45 001 460	35 568 967
09 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	33 884 108	3 276 120	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	20 696 035	2 404 325				
15 03 01 01 - Marie Skłodowska-Curie actions — generating, developing and transferring new skills, knowledge and innovation						
Operational Budget	773 308 606	562 235 255	768 895 200	508 490 935	820 241 594	700 365 833
<i>Of which managed by the executive agency</i>	772 199 837	560 735 059	737 175 119	488 176 631	816 703 981	715 000 000
15 03 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	52 290 166	4 421 739	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	52 252 478	3 487 698				
18 05 03 01 - Fostering secure European societies						
Operational Budget	153 976 213	70 551 731	136 092 171	114 789 343	149 923 837	149 485 193
<i>Of which managed by the executive agency</i>	81 350 222	50 174 305	80 769 008	53 264 772	86 197 477	86 157 988
18 05 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	3 072 783	3 499 241	p.m.	p.m.	p.m.	p.m.

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
<i>Of which managed by the executive agency</i>	2 684 474	1 140 416				
32 04 03 01 - Making the transition to a reliable, sustainable and competitive energy system						
Operational Budget	337 631 657	129 415 866	324 676 361	256 130 706	359 734 448	303 284 894
<i>Of which managed by the executive agency</i>	1 821 000	1 869 119		33 881		
32 04 50 01 - Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)						
Operational Budget	5 315 563	7 353 273	p.m.	p.m.	p.m.	p.m.
<i>Of which managed by the executive agency</i>	115 000	29 082				
TOTAL operational budget managed by the agency	1 507 605 450	1 413 916 764	1 572 274 386	1 436 581 307	1 820 290 989	1 616 297 270

(1) The REA manages most of the Expert Evaluators of H2020. Until 2015, this meant that the REA had to manage credits under H2020 budget lines including those where no programme implementation tasks are entrusted to the REA (02.040203, 02.040301, 06.030301, 08.020103, 08.020201, 08.020202, 08.020301, 08.020303, 08.020304, 08.020305, 09.040102, 09.040201, 09.040301 and 32.040301). As from 2016, the credits related to the Expert Evaluators of H2020 are “merged” under a “single” budget line: 08 02 05. The REA still has to manage some payment appropriations in 2016, enabling it to pay open outstanding commitments, which is the reason for the small amounts still implemented under the above mentioned budget lines.

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013, as last amended by the Commission Decision C(2015)8754 of 11 December 2015.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	28	50	52	55	58	60	64
Temporary Agents (recruited by the agency)	117	108	115	116	120	122	127
Executive Agency's total Establishment Plan Posts	145	158	167	171	178	181	191
Contract Agents (*)	435	473	503	513	533	544	573
Seconded National Experts (SNE)	-	-	-	-	-	-	-
Total staff of the Executive Agency	580	630	670	684	711	725	764

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015 (1)	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015 (1)	Authorised under the EU Budget	Draft Budget Request
AD 14	5	3	5	6
AD 13	11	9	11	11
AD 12	6	6	6	9
AD 11	6	4	7	8
AD 10	6	9	9	12
AD 9	7	9	15	19
AD 8	20	24	23	28
AD 7	24	27	31	33
AD 6	28	19	31	29
AD 5	34	32	15	2
AD TOTAL	147	142	153	157
AST 11				
AST 10				
AST 9	1		1	2
AST 8	2	2	2	2
AST 7		1		2
AST 6	4	1	4	2
AST 5	2	5	2	2
AST 4	1	3	1	
AST 3				
AST 2				
AST 1				
AST TOTAL	10	12	10	10
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	157	154	163	167
Of which Officials seconded by the commission (2)	38	36	40	42

(1) The establishment plan accepts the following 'ad personam' appointments: seconded officials may occupy a post in the establishment plan of the executive agency at a higher grade provided that such higher grade corresponds to their own grade at the Commission. This exception applies only to seconded officials.

(2) The delegation of the 2014-2020 programmes to EAs will increase the number of positions of responsibility in the agencies. These positions are as a general rule occupied by Commission officials seconded in the interest of the service. The staff establishment plans of the agencies have been revised to reflect the current grade structure of the seconded officials. The Commission staff that will be seconded on a post of responsibility in the agencies is unknown at this stage. Therefore, the grade structure of the additional seconded officials is based on the average grade structure of the existing middle and senior management at the Commission.

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	110	102	135	161
Function Group III	172	165	196	217
Function Group II	184	190	150	120
Function Group I	7	7	5	5
TOTAL	473	464	486	503

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF	0	0	0	0
Financed from participation of candidate countries and/or third countries	19	0	23	27

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG RTD (posts)	38	38	0	0
DG RTD (contract agents)	79	79	0	0
Total	117	117	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2007)6262 of 07.12.2007

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
All parent DGs (posts)	104	29	18	19
All parent DGs (external personnel)	52.2	14.9	9.2	8.8
Total	156.2	43.9	27.2	27.8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
CHAFEA (external personnel)	1	0	0	1
DG HOME (external personnel)	1	0	0	0
DG JUST (external personnel)	1	0	0	0
Total	3	0	0	1

Source: Specific financial statement accompanying C(2015)8754 of 11.12.2015

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG 1			
Total			

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences executives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG RTD	24	18	19 (*)
DG EAC	5	6	6
DG GROW	4	4	4
DG CNECT	7	9	10
DG AGRI	1	1	1
DG HOME	2	2	2
Total	43	40	42

(*) 1 frozen post loaned by DG RTD to DG AGRI

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013, as last amended by the Commission Decision C(2015)8754 of 11 December 2015)	2014	2015	2016	2017	2018	2019	2020
	56,369	60,471	63,514	64,087	65,608	66,790	70,438
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-28)	56,369	57,579	59,972				
Budget after transfers and / or amending budgets (EUR-28)	49,704	52,763					
Operating budget of the agency							
Initial Budget (EUR-28)	56,369	57,579	59,972				
Budget after transfers and / or amending budgets (EUR-28)	49,704	52,763					

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
08 01 06 02	H2020	54 456 062	59 972 046	62 627 224	4,43%
Sub Total		54 456 062	59 972 046	62 627 224	4,43%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
08 01 06 02	H2020		1 637 237	1 709 723	4,43%
Sub Total			1 637 237	1 709 723	4,43%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
08 01 06 02	H2020		1 301 207	1 581 998	21,58%
Sub Total			1 301 207	1 581 998	21,58%
TOTAL REVENUES		54 456 062	62 910 490	65 918 945	4,78%

(1) All fund sources. The EU contribution to the executive agency is EUR 52 763 250 and EFTA contribution is EUR 1 692 812.

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	37 584 248	45 001 575	48 034 635	6,74%
Remunerations, Allowances and Charges	35 194 088	42 680 575	45 673 635	7,01%
- <i>Of which establishment plan posts</i>	14 595 739	17 360 000	18 650 000	7,43%
- <i>Of which external personnel</i>	20 598 349	25 320 575	27 023 635	6,73%
Professional Development and Social expenditure	2 390 160	2 321 000	2 361 000	1,72%
Title 2 - Infrastructure and operating expenditure	8 800 701	9 468 020	9 603 000	1,43%
Building expenditure	5 989 997	6 373 000	6 533 000	2,51%
ICT expenditure	2 474 698	2 570 260	2 547 000	-0,90%
Movable Property and Current Operating expenditure	336 006	524 760	523 000	-0,34%
Title 3 - Programme support expenditure	7 803 491	8 440 895	8 281 310	-1,89%
Programme Management expenditure	1 867 081	2 167 885	2 028 000	-6,45%
Common Support Services expenditure	5 936 410	6 273 010	6 253 310	-0,31%
TOTAL	54 188 440	62 910 490	65 918 945	4,78%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	36 967 393	45 001 575	48 034 635	6,74%
Remunerations, Allowances and Charges	34 971 072	42 680 575	45 673 635	7,01%
- <i>Of which establishment plan posts</i>	14 595 739	17 360 000	18 650 000	7,43%
- <i>Of which external personnel</i>	20 375 333	25 320 575	27 023 635	6,73%
Professional Development and Social expenditure	1 996 321	2 321 000	2 361 000	1,72%
Title 2 - Infrastructure and operating expenditure	7 471 363	9 468 020	9 603 000	1,43%
Building expenditure	5 443 854	6 373 000	6 533 000	2,51%
ICT expenditure	1 834 038	2 570 260	2 547 000	-0,90%
Movable Property and Current Operating expenditure	193 471	524 760	523 000	-0,34%
Title 3 - Programme support expenditure	6 325 559	8 440 895	8 281 310	-1,89%
Programme Management expenditure	734 857	2 167 885	2 028 000	-6,45%
Common Support Services expenditure	5 590 702	6 273 010	6 253 310	-0,31%
TOTAL	50 764 315	62 910 490	65 918 945	4,78%

4.4 Outturn

The 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 218 513.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The total number of staff requested by the Agency for 2017, financed by the EU general budget is 670. This is 14 FTE lower than in the plan outlined in the Financial statement accompanying the Commission Implementing Decision establishing the Research Executive Agency (mandate for 2014-2024) (C(2013)8909 of 13 December 2013) which received a positive opinion of the CREA on 22 November 2013, as last amended by the Commission Decision C(2015)8754 of 11 December 2015 (see table 3.1). The Agency's initial staff number for 2016 was reduced due to a more limited operational budget that was planned to be managed by the Agency and was set at 649 FTEs. However, as

the budget effectively delegated for 2016 is higher than projected at the time the draft budget 2016 was proposed, the Agency was allowed to recruit 5 additional contract agents in 2016 (in Full time Equivalent – FTE), which brings the maximum number for 2016 to 654 FTE (i.e. 649 + 5). This will be financed within the Agency's current budget for 2016 and will therefore not impact on the authorised subsidy from the EU Budget of 2016. Therefore, the total staff to be recruited by the Agency in 2017 (670 FTE) is 16 FTE in addition to the staffing level of 2016. This consists of 2 seconded Commission officials (to reach a total of 42), 2 externally recruited temporary agents (to reach a total of 125) and 12 contract agents (to reach a total of 503).

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

The staff expenditure budget 2017 also includes the cost of recruitment of 27 contract agents financed from Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020), i.e. an increase of 4 contract agents between 2016 and 2017. The additional operational budget from “Third Parties” contributions that will be managed by the Agency is estimated at 5.0% of the European Union’s budget delegated to the Agency. Therefore, the REA budgets for 27 additional contract agents, representing 5.0% of the staff planned to manage the EU budget for H2020 delegated to the Agency in 2017 (5.0% of 532 staff related to the management of H2020 out of a total staff of 670).

5.1.3 Salary assumption for calculating salary lines (% applied)

The Agency's budget for 2017 covers a full year salary for temporary and contract staff authorised for 2016 (i.e. for 672 staff authorised to which have been added 7 additional contract staff as referred to under section 5.1.1). It covers also the additional salaries for 4 additional temporary posts and 10 additional contract agents authorised under the staffing plan of 2017 as well as 4 additional contract agents financed exclusively with Third Parties appropriations. In the first nine months of 2017 the REA will fill the positions provided under the 2017 staff plan. With respect to temporary agents, this will be made possible by 2 additional secondments of officials from the Commission and 2 recruitments from selections for various profiles to be organised in 2016 for which a sufficient number of candidates will be put on the list. In addition, a 4.2% average vacancy rate has been taken into account. The Agency budgets for salaries on the basis of the average wage costs by function group and grade. An increase in salaries has also been budgeted for selected staff that may benefit from a reclassification to a higher grade, effective as of 1 January 2017; from changing to a higher function group after successfully passing a selection procedure; and from the automatic advancement to a higher step.

5.1.4 Vacancy rate as of end 2015

On 31 December 2015, the vacancy rate of the REA was 4.8% of the total authorised staff (both temporary and contract staff). This is mainly caused by an intensive inter-agency staff mobility, as other agencies grow in line with an increased delegation of programmes by the Commission, as well as delays in recruitment of contract staff due to the launch of a new Contract Agent selection procedure under the control of EPSO.

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate throughout 2017 is estimated at 95.8% in 2017 (see 5.1.3).

5.2 Financial Resources

5.2.1 Title 1

The increase in the budget for Title 1 by + 6.7% (+ EUR 3,033,060) is mainly due to: Chapter 11 (+ EUR 2 993 060, or + 7.0%), which reflects the annual increase in salary expenditure (see 5.1.3.), including a salary adjustment of 2.4% from 2016 to 2017, for the entire year 2017, and of 2.0% for the second half of 2017. For new secondments of Commission officials to posts of responsibility, the grade structure of the additional seconded officials is based on the average grade structure of the existing middle and senior management at the Commission. Chapter 12 (+ EUR 40 000, or + 1.7%), which is mainly due to the increase of professional development and social expenditure, and inflation. This increase is less than proportional to the staff increase (see 5.1.).

5.2.2 Title 2

The increase in the budget for Title 2 (+ EUR 134 980, or + 1.4%) is essentially due to Chapter 21 (+ EUR 160 000, or + 2.5%), which is mainly due to the increase of the REA usufruct instalment and to the increase of market prices for the building expenses via contractors and via Service-Level Agreements e.g. with OIB and HR.DS.

5.2.3 Title 3

The decrease in the budget for Title 3 by (- EUR 159 585, or -1.9%) is mostly due to a decrease in budget for Chapter 31 (- EUR 139 885, or -6.5%), which finances the implementation of the REA Audit Strategy in 2017 where the number of externalised ex-post audits are decreasing in comparison with 2016 since this activity, relating to FP7 legacy management only, is phasing out. Ex-post audit activity for the H2020 programme has been centralised in DG RTD's Common Support Centre, which delivers ex-post audit services to all European Commission services implementing H2020).

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Covent Garden building	
Surface area (in square metres) <ul style="list-style-type: none">• Of which office space• Of which non-office space	16 589 m ² <ul style="list-style-type: none">• 15 890 m²• 699 m²	
Annual rent (in EUR)	3 583 607,35 (for 2016)	
Type and duration of rental contract	Usufruct convention from 24.09.2008 to 23.09.2023	
Host country grant or support	No	
Present value of the building	The Agency does not own the building	

6.1.2 Building project in the planning phase

As of 2014, the European Commission Office for Infrastructure and Logistics (OIB) offers the Agency additional space, not covered by the usufruct contract referred to above, to accommodate staff increases resulting from its extended mandate for the period 2014-2020. As such, the Agency's needs for office space become integrated into the Commission's middle and long term real estate planning. Already in 2015, one additional floor has been given to the REA by the OIB. This additional floor is under the European Commission's Usufruct and the REA pays back its contribution via its Service Level Agreement (SLA) with the OIB. The same should happen for additional office space that will be made available in 2016 and 2017.

6.1.3 Building projects submitted to the European Parliament and the Council

Not applicable

6.2 Evaluation

An external evaluation of the agency's performance over the period July 2012-July 2015 has been finalised in February 2016 and the results have been presented to the Steering Committee of 23 February 2016. The external evaluation of the REA was undertaken by an external consultant contracted by DG RTD in its capacity as main parent Directorate-General of the Agency. The main findings of the latest evaluation available are as follows:

Overall, the REA is performing well. It has been efficient and effective in managing its extended mandate compared to the previous evaluation. The REA's extended mandate included a number of additional programmes that the Agency was not entrusted with during FP7. On top of the actions it managed under FP7, the Agency was entrusted with the management of the programmes: "FET Open" of the specific objective "Future and emerging technologies"; the

Societal challenges 2 and 6 and the Specific objectives “Spreading excellence and widening participation” and “Science with and for Society”, and with the provision of administrative and logistical support services to all entities involved in Horizon 2020 and validation services to the programmes for health/consumer protection, competitiveness and innovation, education, culture and citizenship. Although there is scope for further improvement, feedback from key stakeholders and grant beneficiaries is generally positive with regard to the REA's performance. This survey of the REA's beneficiaries indicated a high level of satisfaction with its performance. As many as 82% of respondents were very satisfied or satisfied with the services provided by the Agency.

The main conclusions of the analysis of the “external evaluation of the REA” can be summarised as follows:

The mandate of the REA, which includes programme management and delivery of the central support services, remains highly relevant to the Commission and the Agency's applicants/beneficiaries in the remaining part of the programming period (from mid-2015 to 2020). The initial identification of tasks entrusted to the Agency and the produced savings are still valid for justifying the outsourcing. This judgement was based on the cost-benefit analysis which assessed the issues identified in article 3 of Regulation 58/2003 and indicated significant advantages of the executive agency scenario without finding any major drawbacks of externalisation.

The evaluation also revealed that the delegation of operational tasks to the REA has been very successful. The Agency performed in an effective, efficient and cost-effective way in implementing the tasks delegated to the Agency during the period 2012-2015. First, the Agency was effective in achieving the programme objectives and reaching key performance indicators. Second, its performance was efficient during the reference period in terms of the ratio between the administrative and operational budget and budget ‘per head’. Third, the executive agency scenario allowed achieving substantial cost savings to the EU budget.

The surveys of the REA's beneficiaries and experts indicated a high level of satisfaction with its performance. A combination of the high satisfaction with the Agency's services, the effective execution of the tasks delegated to the Agency and its low project management costs points to high value for money generated by the Agency's performance in the period 2012-2015.

Savings resulting from delegating tasks to the REA have been significant as the costs of the executive agency scenario were much lower than the costs of the in-house scenario. In 2012-2015 the actual cost savings of the executive agency scenario constituted 21% compared to the in-house scenario. By comparing the savings initially estimated in the SFS with the actual savings from the delegation of tasks to the REA we found that the actual savings were 24% higher than the initial LFS estimations (€53.4 million compared to the initial estimation of €43.1 million) during the 2012-2015 period. As forecast in the financial statements and ex-ante CBA, the savings of the executive agency scenario primarily resulted from a higher share of CAs employed in the Agency and the lower overall number of staff.

2.2.4.1.5 Executive Agency for Education, Audiovisual and Culture - EACEA

1 Overview

1.1 Creation/modification (legal base)

Decision				Date		Mission/ Tasks / Functions
Commission 14/01/2005	Decision	2005/56/EC	of	OJ L24 27/01/2005	of	Management of EU operational programmes in the field of education, audio-visual and culture
Commission 08/02/2007	Decision	2007/114/EC	of	OJ L49 17/02/2007	of	Extension of mandate: new generation of programmes in the field of education, audio-visual and culture, as well as certain education strands of external programmes
Commission 12/06/2008	Decision	2008/629/EC	of	OJ L205 01/08/2008	of	Extension of mandate to the MEDIA II programmes
Commission 09/10/2008	Decision	2008/785/EC	of	OJ L269 10/10/2008	of	Extension of mandate to the Tempus programmes and ICI projects
Commission 20/04/2009	Decision	2009/336/EC	of	OJ L101 21/04/2009	of	Extension of mandate to the Erasmus Mundus II programme
Commission 2012/797/EU of 18/12/2012	Implementing Decision			OJ L349 19/12/2012	of	Extension of mandate to Media Mundus and adjustment of Youth in Action, Erasmus Mundus, Tempus and e-twinning(LLP)
Commission 2013/776/EU of 18/12/2013	Implementing Decision			OJ L343 19/12/2013	of	Management of EU operational programmes in the field of education, audio-visual and culture

1.2 Seat

Brussels, Belgium

1.3 Budget Line

15 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from Erasmus+
 15 01 06 02 : Education, Audiovisual and Culture Executive Agency — Contribution from Creative Europe
 18 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the programme ‘Europe for citizens’
 19 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the Partnership Instrument
 21 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from Development Cooperation Instruments (DCIs)
 22 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from the Instrument for Pre-accession Assistance
 22 01 06 02 : Education, Audiovisual and Culture Executive Agency — Contribution from European Neighbourhood Instrument (ENI)
 23 01 06 01 : Education, Audiovisual and Culture Executive Agency — Contribution from EU Aid Volunteers initiative

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	34	32	34	34
Temporary Agents (recruited by the executive agency)	76	73	76	76
Total Establishment plan posts	110	105	110	110
Contract Agents	331	314	332	332
Seconded National Experts	0	0	0	0
TOTAL STAFF	441	419	442	442

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	47 435 100	47 081 000
2. EEA/EFTA contribution (excl.Switzerland)	1 137 340	1 149 669
3. Participation of candidate countries and/or third countries	1 447 730	1 760 331
TOTAL	50 020 170	49 991 000

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	32 970 000	32 970 000	34 235 000	34 235 000
Title 2 - Infrastructure and operating expenditure	11 066 170	11 066 170	10 659 000	10 659 000
Title 3 - Programme support expenditure	6 241 000	6 241 000	5 480 000	5 480 000
TOTAL EXPENDITURE	50 277 170	50 277 170	50 374 000	50 374 000

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the 12th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Erasmus+		X	
Creative Europe		X	
Europe for Citizens		X	
EU Aid Volunteers		X	
Media Mundus			X
MEDIA 2007			X
Lifelong Learning			X
Culture 2007			X
Youth in Action			X

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Europe for Citizens 2007-13			X
Agreements with USA and Canada in the field of education			X
Tempus III and IV			X
Erasmus Mundus I and II			X
ICI bilateral projects			X
Western Balkans Youth			X

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Europe for Citizens						
18 04 01 01 - Europe for citizens — Strengthening remembrance and enhancing capacity for civic participation at the Union level						
Operational Budget	22 188 205	11 909 382	22 977 000	18 650 000	23 231 000	22 760 000
<i>Of which managed by the executive agency</i>	22 188 205	11 272 519	22 874 481	18 450 000	23 231 000	22 760 000
Completion of Europe for Citizens						
18 04 51 - Completion of Europe for citizens programme (2007 to 2013)						
Operational Budget	0	4 978 724	p.m.	2 800 000	p.m.	500 000
<i>Of which managed by the executive agency</i>	-	4 478 724	-	593 488	-	500 000
Completion of Culture						
15 04 51 - Completion of programmes/actions in the field of culture and language						
Operational Budget	0	17 740 990	-	10 822 000	p.m.	2 547 311
<i>Of which managed by the executive agency</i>	-	17 341 359	-	10 822 000	-	2 547 311
Completion of Development Cooperation Instrument						
21 02 51 02 - Cooperation with developing countries in Latin America						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	111 400	272 577 246	-	237 700 000	p.m.	110 000 000
<i>Of which managed by the executive agency</i>	-	11 494 973	-	16 796 783	-	5 226 175
21 02 51 03 - Cooperation with developing countries in Asia, including Central Asia and the Middle East						
Operational Budget	40 229	645 138 730	-	570 761 524	p.m.	392 352 245
<i>Of which managed by the executive agency</i>	-	22 539 389	-	27 717 716	-	7 308 279
21 02 51 08 - Geographical cooperation with Africa, Caribbean and Pacific states						
Operational Budget	0	258 191 423	-	267 200 000	p.m.	260 218 059
<i>Of which managed by the executive agency</i>	-	2 417 880	-	4 159 806	-	1 223 810
Completion of European Neighbourhood and Partnership Instrument						
22 04 51 - Completion of actions in the area of European Neighbourhood Policy and relations with Russia (prior to 2014)						
Operational Budget	317 417	1 001 199 472	-	950 000 000	p.m.	950 000 000
<i>Of which managed by the executive agency</i>						
Completion of Cooperation with industrialised and other high-income countries and territories						
19 05 51 - Completion of actions in the field of relations and cooperation with industrialised third countries (2007 to 2013)						
Operational Budget	0	13 317 523	p.m.	9 908 800	p.m.	7 045 000
<i>Of which managed by the executive agency</i>	-	564 787	-	6 363 155	-	2 485 646
Completion of Cooperation with industrialised and other high-income countries and territories						
21 09 51 01 - Asia						
Operational Budget	0	13 517 755	-	9 212 441	p.m.	10 468 000
<i>Of which managed by the executive agency</i>	-	1 215 435	-	3 299 878	-	1 045 605
21 09 51 02 - Latin America						
Operational Budget	0	6 827 966	-	10 560 000	p.m.	13 042 515
<i>Of which managed by the executive agency</i>	-	1 405 980	-	3 872 008	-	1 319 935

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
21 09 51 03 - Africa						
Operational Budget	0	521 220	-	1 279 916	p.m.	1 282 693
<i>Of which managed by the executive agency</i>	-	384 840	-	1 279 916	-	558 320
Completion of Instrument for Pre-Accession Assistance						
04 05 51 - Completion of actions (prior to 2014) — Instrument for Pre-Accession Assistance — Human resources development						
Operational Budget	0	64 547 800	p.m.	65 000 000	p.m.	50 000 000
<i>Of which managed by the executive agency</i>						
13 05 01 01 - Instrument for Structural Policies for Pre-accession (ISPA) — Completion of other previous projects (2000 to 2006)						
Operational Budget	0	35 997 313	p.m.	64 152 000	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
13 05 01 02 - Instrument for Structural Policies for Pre-accession — Closure of pre-accession assistance relating to eight applicant countries						
Operational Budget	0	23 844 271	p.m.	7 392 000	p.m.	p.m.
<i>Of which managed by the executive agency</i>						
13 05 02 - Instrument for Pre-Accession Assistance (IPA) — Completion of regional development component (2007 to 2013)						
Operational Budget	0	257 187 641	p.m.	355 465 255	p.m.	172 258 377
<i>Of which managed by the executive agency</i>						
13 05 03 02 - Completion of cross-border cooperation (CBC) and participation of candidate and potential candidate countries in Structural Funds' transnational and interregional cooperation programmes — Contribution from Heading 4						
Operational Budget	0	19 737 670	p.m.	28 450 050	p.m.	6 569 630
<i>Of which managed by the executive agency</i>						
22 02 51 - Completion of former pre-accession assistance (prior to 2014)						
Operational Budget	264 126	644 477 079	p.m.	499 872 531	p.m.	363 275 973
<i>Of which managed by the executive agency</i>						
Completion of Action programme in the field of lifelong learning						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
15 02 51 - Completion line for lifelong learning, including multilingualism						
Operational Budget	3 900 000	86 517 985	-	31 300 000	p.m.	6 050 944
<i>Of which managed by the executive agency</i>	-	68 492 005	-	31 300 000	-	6 050 944
Completion of Support for the European audiovisual sector						
09 05 51 - Completion of former MEDIA programmes						
Operational Budget	0	22 583 395	-	5 471 576	p.m.	395 416
<i>Of which managed by the executive agency</i>	-	22 583 395	-	2 537 573	-	395 416
Completion of Youth in Action						
15 02 53 - Completion line for youth and sport						
Operational Budget	0	3 142 733	-	500 000	p.m.	p.m.
<i>Of which managed by the executive agency</i>	-	1 806 434	-	500 000	-	0
Creative Europe Programme						
09 05 01 - MEDIA subprogramme — Operating transnationally and internationally and promoting transnational circulation and mobility						
Operational Budget	107 383 126	73 565 270	100 712 000	98 115 407	107 118 000	101 000 000
<i>Of which managed by the executive agency</i>	107 383 126	73 565 270	100 712 000	95 063 856	107 118 000	101 000 000
15 04 01 - Strengthening the financial capacity of SMEs and small and very small organisations in the European cultural and creative sectors, and fostering policy development and new business models						
Operational Budget	9 459 523	6 789 708	23 829 000	22 133 220	30 932 000	14 176 893
<i>Of which managed by the executive agency</i>	5 095 704	4 763 290	6 500 001	5 600 000	6 500 000	6 043 413
15 04 02 - Culture sub-programme — Supporting cross-border actions and promoting transnational circulation and mobility						
Operational Budget	55 011 809	34 054 546	52 827 000	45 000 000	54 350 000	43 430 071
<i>Of which managed by the executive agency</i>	49 866 809	29 292 997	45 012 000	38 357 250	47 050 000	26 613 417
Development Cooperation Instrument (DCI)						
21 02 09 - Pan-Africa programme to support the Joint Africa-European Union Strategy						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	101 404 040	19 549 037	93 468 616	53 691 844	109 203 732	124 670 461
<i>Of which managed by the executive agency</i>	-	-	10 000 000	3 067 200	10 000 000	3 067 200
21 02 20 - Erasmus+ — Contribution from the development cooperation instrument (DCI)						
Operational Budget	96 212 671	43 156 741	105 000 000	61 455 647	108 922 928	93 812 842
<i>Of which managed by the executive agency</i>	71 497 265	19 813 910	83 017 646	45 168 493	84 788 245	61 006 392
The Union Programme for Education, Training, Youth and Sport (Erasmus+)						
15 02 01 01 - Promoting excellence and cooperation in the European education and training area and its relevance to the labour market						
Operational Budget	1 546 251 810	1 465 258 950	1 457 638 273	1 503 812 182	1 701 963 700	1 579 766 641
<i>Of which managed by the executive agency</i>	186 362 287	104 660 137	225 623 124	163 961 491	282 040 325	206 627 394
15 02 01 02 - Promoting excellence and cooperation in the European youth area and the participation of young people in European democratic life						
Operational Budget	199 473 409	183 489 699	172 700 000	171 249 596	201 400 000	198 855 087
<i>Of which managed by the executive agency</i>	21 592 358	16 326 849	24 915 260	18 660 766	24 817 891	22 547 961
15 02 02 - Promoting excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide						
Operational Budget	40 948 251	36 729 596	37 074 900	33 676 900	37 505 000	33 741 803
<i>Of which managed by the executive agency</i>	40 510 427	36 407 708	37 074 900	33 676 900	37 005 000	33 241 803
15 02 03 - Developing the European dimension in sport						
Operational Budget	22 927 324	21 020 617	30 000 000	28 000 000	36 000 000	31 169 036
<i>Of which managed by the executive agency</i>	21 156 787	19 908 216	30 000 000	22 593 744	33 604 400	28 773 436
European Neighbourhood Instrument (ENI)						
22 04 01 01 - Mediterranean countries — Human rights, good governance and mobility						
Operational Budget	51 000 000	8 261 312	144 000 000	63 310 000	173 000 000	68 000 000
<i>Of which managed by the executive agency</i>						
22 04 02 01 - Eastern Partnership — Human rights, good governance and mobility						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Operational Budget	173 128 000	12 958 447	194 700 000	82 830 000	214 000 000	97 000 000
<i>Of which managed by the executive agency</i>						
22 04 20 - Erasmus+ — Contribution from the European Neighbourhood Instrument (ENI)						
Operational Budget	93 346 424	55 621 054	95 410 000	75 481 736	102 415 000	96 647 388
<i>Of which managed by the executive agency</i>	48 352 037	10 992 213	50 485 612	31 551 754	57 830 954	44 204 648
EU Aid Volunteers initiative (EUAV)						
23 04 01 - EU Aid Volunteers initiative — Strengthening the Union's capacity to respond to humanitarian crises						
Operational Budget	6 568 000	2 871 575	16 885 000	13 200 000	20 972 000	22 678 550
<i>Of which managed by the executive agency</i>	6 150 000	2 466 724	16 460 000	12 775 000	20 435 000	22 113 550
Instrument for Pre-accession Assistance (IPA II)						
22 02 04 01 - Multi-country programmes, regional integration and territorial cooperation						
Operational Budget	311 039 725	72 492 626	326 700 614	130 967 662	320 292 285	224 547 358
<i>Of which managed by the executive agency</i>	-	1 386 695	3 000 000	2 259 068	3 000 000	2 931 000
22 02 04 02 - Erasmus+ — Contribution from the Instrument for Pre-accession Assistance (IPA)						
Operational Budget	32 039 116	23 044 240	32 035 349	27 877 772	33 061 715	33 087 700
<i>Of which managed by the executive agency</i>						
Partnership instrument for cooperation with third countries (PI)						
19 05 01 - Cooperation with third countries to advance and promote Union and mutual interests						
Operational Budget	102 722 662	15 864 056	105 652 000	59 400 000	112 899 000	84 191 000
<i>Of which managed by the executive agency</i>	3 000 000	2 422 179	3 000 000	2 115 000	3 000 000	2 400 000
19 05 20 - Erasmus+ — Contribution from the Partnership Instrument						
Operational Budget	12 055 728	10 998 531	14 730 000	13 417 336	15 600 000	14 628 006
<i>Of which managed by the executive agency</i>	900 000	79 650	1 068 761	408 941	1 124 467	524 437

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations (1)	Payment appropriations (1)	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
TOTAL operational budget managed by the agency	584 055 005	488 083 558	659 743 785	602 951 786	741 545 282	612 516 092

(1) All fund sources.

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	34	34	34	34	34	34	34
Temporary Agents (recruited by the agency)	74	76	76	76	76	76	76
Executive Agency's total Establishment Plan Posts	108	110	110	110	110	110	110
Contract Agents (*)	328	331	331	331	331	331	332
Seconded National Experts (SNE)							
Total staff of the Executive Agency	436	441	441	441	441	441	442

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	4	2	5	5
AD 13	8	8	6	8
AD 12	3	2	7	5
AD 11	8	10	10	12
AD 10	12	10	11	11
AD 9	19	17	16	14
AD 8	6	9	8	8
AD 7	6	7	6	8
AD 6	10	8	9	7
AD 5	5	3	3	3
AD TOTAL	81	76	81	81
AST 11	1		1	1
AST 10	1	1	1	2
AST 9	1	2	1	

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AST 8	1	1	1	1
AST 7			3	3
AST 6	2	1	2	5
AST 5	10	10	10	9
AST 4	9	7	4	5
AST 3	4	7	6	3
AST 2				
AST 1				
AST TOTAL	29	29	29	29
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	110	105	110	110
Of which Officials seconded by the commission	34	32	34	34

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	100	71	100	100
Function Group III	154	158	155	155
Function Group II	70	80	70	70
Function Group I	7	5	7	7
TOTAL	331	314	332	332

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF	2	2	2	4
Financed from participation of candidate countries and/or third countries	20	20	20	20

2 additional contract agents financed under the 11th EDF will be added in 2016 when the Agency will present a revised budget to the Steering Committee. They were not included in the initial 2016 budget adopted by the Steering Committee as the third amendment of the delegation act had not been yet adopted.

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0	0	0

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG EAC (posts)	12	12	0	0
DG EAC (external personnel)	18	18	0	0
Total	30	30	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2004)5399 of 21.12.2004

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG EAC (posts)	3	3	0	0
Total	3	3	0	0

Source: Legislative financial statement accompanying the Commission Decision C(2008)5582 of 09.10.2008

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG EAC (posts)	3	3	0	0
DG EAC (external personnel)	3.5	3.5	0	0
DG DEVCO (external personnel)	22	22	0	0
Total	28.5	28.5	0	0

Source: Legislative financial statement accompanying the Commission Implementing Decision C(2012)9474 of 18.12.2012

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG EAC (external personnel)	1	1	0	0
Total	1	1	0	0

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG DEVCO (external personnel)	1	0	1	0
Total	1	0	1	0

Source: Specific financial statement accompanying the Commission Decision C(2016)401 of 01.02.2016

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG EAC	27	0	0
DG CNECT	4	0	0
DG DEVCO	1	0	0
Total	32	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II).

This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG EAC	32	30	30
DG CNECT	0	2	2
DG COMM	2	0	0
DG HOME	0	2	2
Total	34	34	34

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CEA on 22.11.2013, taking account of the Commission's reorganisation in 2015 and the shift of programmes from DG EAC and DG COMM to DG CNECT and DG HOME, thus becoming parent DGs of EACEA.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	47,542	47,615	47,933	48,184	48,330	48,468	48,506
Agency contribution as voted by the Budgetary Authority							
Initial Budget (EUR-28)	47,542	47,615	47,435				
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							
Initial Budget (EUR-28)	47,542	46,923	47,435				
Budget after transfers and / or amending budgets (EUR-28)	44,809	44,423					

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (2)	Budget (1)	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
15 01 06 01	E4A	25 767 300	26 112 100	25 615 000	-1,90%
15 01 06 02	CREA	12 244 000	12 199 000	12 176 000	-0,19%
18 01 06 01	CIT2020	2 067 000	2 203 000	2 203 000	0,00%
19 01 06 01	PI	163 000	230 000	194 000	-15,65%
21 01 06 01	DCI2020	1 967 340	2 332 000	2 650 000	13,64%
22 01 06 01	IPA2	844 067	820 000	789 000	-3,78%
22 01 06 02	ENI	2 857 593	2 550 000	2 415 000	-5,29%
23 01 06 01	EUAV	878 000	989 000	1 039 000	5,06%
Sub Total		46 788 300	47 435 100	47 081 000	-0,75%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
15 01 06 01	E4A		720 690	706 974	-1,90%
15 01 06 02	CREA		333 030	332 405	-0,19%
21 01 06 01	DCI2020		39 080	53 158	36,02%
22 01 06 01	IPA2		10 460	13 442	28,51%
22 01 06 02	ENI		34 080	43 690	28,20%
Sub Total			1 137 340	1 149 669	1,08%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
15 01 06 01	E4A		1 189 000	1 129 831	-4,98%
15 01 06 02	CREA		258 730	425 000	64,26%
18 01 06 01	CIT2020				
19 01 06 01	PI				
21 01 06 01	DCI2020			84 000	100%

REVENUES		2015	2016	2017	VAR
22 01 06 01	IPA2			29 600	100%
22 01 06 02	ENI			91 900	100%
Sub Total			1 447 730	1 760 331	21,59 %
TOTAL REVENUES		46 788 300	50 020 170	49 991 000	-0,06%

(1) EDF contribution is not included (EUR 257 000 in 2016 and EUR 383 000 in 2017)

(2) Amount includes EFTA and R0 for 2015 executed budget

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	31 804 682	32 970 000	34 235 000	3,84%
Remunerations, Allowances and Charges	30 156 756	31 257 000	32 517 000	4,03%
- Of which establishment plan posts	11 183 151	11 805 000	12 345 000	4,57%
- Of which external personnel	18 973 605	19 452 000	20 172 000	3,70%
Professional Development and Social expenditure	1 647 926	1 713 000	1 718 000	0,29%
Title 2 - Infrastructure and operating expenditure	8 499 264	11 066 170	10 659 000	-3,68%
Building expenditure	5 138 457	6 957 170	7 030 000	1,05%
ICT expenditure	3 099 438	3 490 000	3 305 000	-5,30%
Movable Property and Current Operating expenditure	261 369	619 000 (1)	324 000	-47,66%
Title 3 - Programme support expenditure	5 670 737	6 241 000	5 480 000	-12,19%
Programme Management expenditure	5 670 737	6 241 000	5 480 000	-12,19%
Common Support Services expenditure				
TOTAL	45 974 683	50 277 170	50 374 000	0,19%

(1) increase to cover the removal cost

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015 (1)	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	31 256 118	32 970 000	34 235 000	3,84%
Remunerations, Allowances and Charges	29 874 428	31 257 000	32 517 000	4,03%
- Of which establishment plan posts	11 179 151	11 805 000	12 345 000	4,57%
- Of which external personnel	18 695 277	19 452 000	20 172 000	3,70%
Professional Development and Social expenditure	1 381 690	1 713 000	1 718 000	0,29%
Title 2 - Infrastructure and operating expenditure	7 204 850	11 066 170	10 659 000	-3,68%
Building expenditure	4 834 934	6 957 170	7 030 000	1,05%
ICT expenditure	2 205 192	3 490 000	3 305 000	-5,30%
Movable Property and Current Operating expenditure	164 724	619 000	324 000	-47,66%
Title 3 - Programme support expenditure	2 851 094	6 241 000	5 480 000	-12,19%
Programme Management expenditure	2 851 094	6 241 000	5 480 000	-12,19%
Common Support Services expenditure				
TOTAL	41 312 062	50 277 170	50 374 000	0,19%

(1) difference between amounts committed in 2015 and not paid in 2015 have been carried over to 2016 and will be paid at the reception of the invoices

4.4 Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 1 376 498.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

The number of staff requested for 2017 is in line with the Financial Statement accompanying the mandate extension (Commission Decision 2013/776/EU) which received a positive opinion of the CEA on 22 November 2013. One more contract agent was allocated later on in order to manage the Pan-African Programme, as agreed in 2015.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

20 contact agents are financed for 2017 on credits from the participation of candidate countries and/or third countries for the following programmes: Erasmus+ and Creative Europe. In addition, 4 contract agents are financed from the European Development Fund.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salaries for 2017 have been calculated on the basis of 2016 average costs per FTE multiplied by the number of FTE foreseen for 2017. The calculation takes into account the effect of the promotion exercise and a vacancy rate of around 1%, the foreseen end of unpaid leave of 8 contract agents and an indexation rate of 2,4% for 2016 and of 2,4% for 6 months of 2017. Number of FTE foreseen for 2017: 109 TA: 110 TA authorised under EU28 budget * 99% (estimated occupancy rate); 353 CA: 332 CA authorised under EU28 budget * 99% (estimated occupancy rate) + 20 CA under RO budget + 4 CA under EDF budget.

5.1.4 Vacancy rate as of end 2015

On 31 December 2015, the vacancy rate amounted to 5% of the total authorised staff (both for contract and temporary agents). This rate is due to the fact that in 2015 priority was given to internal mobility in accordance with the staff authorised per programme in the framework of the new mandate.

5.1.5 Standard abatement('abatement forfaitaire')

The occupation rate is estimated to be around 99% in 2017 for both contractual and temporary agents. This is a realistic figure considering the usually very low vacancy rate in the Agency when it is at "cruising speed".

5.2 Financial Resources

5.2.1 Title 1

The calculation takes into account the effect of the promotion exercise as well as an indexation rate of 2,4% for 2016 and 2,4% for 6 months in 2017. In addition, it is expected that 8 contract agents who are in unpaid leave in 2016 will reintegrate their posts in 2017. A vacancy rate of 1% is taken into consideration.

5.2.2 Title 2

As the move of the Agency may take place in the last quarter of 2016 or in the first quarter of 2017, the Agency as a precaution has budgeted a double rent + associated rental expenses for a transitional period of 3 months in both 2016 and 2017, in order to implement the move with minimal disruption to operations and in order to take the necessary actions for the refurbishment of buildings as provided in the lease agreements. ICT expenses will decrease in 2017 compared to 2016 as foreseen in the master plan. The removal expenses will be committed at the end of 2016.

5.2.3 Title 3

Meeting and communication expenses have been reduced in order to maintain the stability of the budget. ICT expenses decrease compared to 2016 in accordance with actions foreseen in the IT master plan. Other expenditures on Title 3 are maintained at 2016 level.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Bourg 135-139 (Brussels)	
Surface area (in square metres)	9.280	(General purpose surfaces: archives, meeting rooms, print shop and canteen)
-Of which office space	8.183	
-Of which non-office space	1.097	
Annual rent (in EUR)	1,450,000	
Type and duration of rental contract	Rental agreement until 31/03/2017	
Host country grant or support	No	
Present value of the building	N/A	

	Name, location and type of building	Other Comment
Information to be provided per building:	Bourg 115 (Brussels)	
Surface area (in square metres)	5.934	
1. Of which office space	5.214	
2. Of which non-office space	720	
Annual rent (in EUR)	760,000	
Type and duration of rental contract	Rental agreement until 31/12/2016	
Host country grant or support	No	
Present value of the building	N/A	

6.1.2 Building project in the planning phase

The Agency will move to a new building under the management of the OIB, either in 2016 or 2017.

6.1.3 Building projects submitted to the European Parliament and the Council

6.2 Evaluation

An evaluation of the agency is expected to be submitted to the European Parliament and the Council by 30/04/2017. The third on-going interim evaluation covers the period 1 January 2012 - 31 December 2014.

The main findings of the latest evaluation are available below.

The second interim evaluation of EACEA was designed to provide a description of the activities performed between 1 May 2008 and 31 December 2011, an assessment of the costs and benefits of delegation, an update of the progress made since the previous evaluation and a set of findings and recommendations. In general terms, EACEA substantially achieved its objectives of managing programmes efficiently and simplifying or harmonising processes at key stages.

- Relevance of role, design and set up: EACEA is operating in line with the legal framework, is relevant to the needs of parent DGs and has demonstrated flexibility in responding to increased demands for programme management. There have been improvements in technical and financial expertise as staffing has increased, and although staff turnover is comparatively low, there may be potential to further develop mobility between programme units and open up career pathways with other executive agencies.

- Services to applicants and beneficiaries: EACEA has met the majority of output and budgetary targets. Satisfaction levels amongst applicants and beneficiaries are positive and consistent with previous surveys. Agency staff is seen as responsive although the least positive aspect is the quality of feedback on unsuccessful applications. EACEA has made significant progress in harmonising and simplifying procedures, although there is some demand for further improvements on information provision and materials such as e-forms.

- Costs of programme management: Delegation has been cost-effective compared with in-house management by the Commission and predicted cost savings for 2008-2011 have been exceeded. Staff costs have maintained below 5% of

total programme budgets and, although EACEA's remit and workforce expanded, levels of overall efficiency (per staff member) have been maintained.

- Facilitating better projects and outcomes: Project selection processes are clear and there is no shortage of applications meeting quality thresholds. External experts play a critical role, so procedures (including the impact of remote briefings and appraisal meetings) should remain under review. Financial controls and "technical" monitoring are strong and further improvements are currently being introduced.

- The Commission's political role: Delegation is likely to have a beneficial impact on the ability of Commission's staff to focus in their core responsibilities. Formal coordination and informal collaboration is generally working well and staff in the mirror units has a clear understanding of roles and responsibilities. The information flow between EACEA and parent DGs had improved, although there is potential to further improve the flow of qualitative information from projects.

The Final Report of the second interim evaluation of EACEA is available on the EUROPA website: http://ec.europa.eu/dgs/education_culture/more_info/evaluations/docs/general/eacea2013_en.pdf

2.2.4.2 Executive Agency of heading 3 - Security and citizenship

2.2.4.2.1 Consumer, Health, Agriculture and Food Executive Agency - CHAFEA

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Commission Implementing Decision 2004/858/EC of 15/12/2004	OJ L369 of 16/12/2004	Implementation of public health programme 2003-2008
Commission Implementing Decision 2008/544/EC of 20/06/2008	OJ L173 of 03/07/2008	Extension of mandate / new name (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013 and food safety training measures.
Commission decision 2012/740/EU of 29/11/2012	OJ L331 of 1/12/2012	Extension of mandate (executive agency for health and consumers): completion of public health programme 2003-2008, public health programme 2008-2013, consumer policy 2007-2013; European standardisation and the food safety training measures.
Commission Decision 2013/770/EU of 17/12/2013	OJ L341 of 18.12.2013	Establishing the Consumers, Health and Food Executive Agency and repealing Decision 2004/858/EC
Commission Decision 2014/927/EU of 17/12/2014	OJ L363 of 18.12.2014	Extension of mandate and transforming the 'Consumers, Health and Food Executive Agency' into the 'Consumers, Health, Agriculture and Food Executive Agency'.

1.2 Seat

Luxembourg, Luxembourg

1.3 Budget Line

05 01 06 01 : Consumer, Health, Agriculture and Food Executive Agency — Contribution from the agricultural promotion programme

17 01 06 02 : Consumers, Health, Agriculture and Food Executive Agency — Contribution from the 'Third programme for the Union's action in the field of health (2014-2020)'

17 01 06 03 : Consumers, Health, Agriculture and Food Executive Agency — Contribution in the field of food and feed safety, animal health, animal welfare and plant health

33 01 06 01 : Consumers, Health, Agriculture and Food Executive Agency — Contribution from the Consumer programme

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Temporary Agents (Officials seconded by the Commission)	7	6	8	8
Temporary Agents (recruited by the executive agency)	6	6	7	9
Total Establishment plan posts	13	12	15	17
Contract Agents	38	37	45	48
Seconded National Experts	0	0		0
TOTAL STAFF	51	49	60	65

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
1. EU contribution to the executive agency	8 518 376	9 570 000
2. EEA/EFTA contribution (excl.Switzerland)	161 070	162 840
3. Participation of candidate countries and/or third countries		
TOTAL	8 679 446	9 732 840

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 981 183	4 981 183	5 823 570	5 823 570
Title 2 - Infrastructure and operating expenditure	1 411 353	1 411 353	1 449 000	1 449 000
Title 3 - Programme support expenditure	2 286 910	2 286 910	2 460 270	2 460 270
TOTAL EXPENDITURE	8 679 446	8 679 446	9 732 840	9 732 840

2 Information on the functioning

2.1 Lifecycle EU programmes managed

In 2017, the executive agency is in the 13th year of its operational lifetime and manages the following programmes:

Name of the EU programme	The executive agency is phasing in for this programme	The executive agency is fully operational for this programme	The executive agency is phasing out for this programme
Consumer Programme 2014-2020		X	
Public Health Programme 2014-2020		X	
Food Safety Training Measures covered by Directive 2000/29/EC and Regulation (EC) N° 882/2004		X	
Consumer Programme 2007-2013			X
Public Health Programme 2008-2013			X
The information provision and promotion measures for agricultural products on the internal market and in third countries		X	

2.2 Operational appropriations managed

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Completion of Public Health						
17 03 51 - Completion of public health programmes						
Operational Budget	500	18 914 100	p.m.	16 000 000	p.m.	6 000 000
<i>Of which managed by the executive agency</i>		17 150 292		12 000 000		5 000 000
17 04 51 - Completion of previous measures in food and feed safety, animal health, animal welfare and plant health						
Operational Budget	0	26 007 265	p.m.	15 000 000	p.m.	5 000 000
<i>Of which managed by the executive agency</i>		13 193 367		4 932 809		0
Consumer Programme						
33 04 01 - Safeguarding consumers' interest and improving their safety and information						
Operational Budget	22 519 697	11 974 700	23 102 000	16 500 000	24 132 000	17 300 000
<i>Of which managed by the executive agency</i>	15 069 578	9 119 706	17 000 000	12 150 000	17 000 000	13 750 000
European Agricultural Guarantee Fund (EAGF)						
05 02 10 02 - Promotion measures — Direct payments by the Union						
Operational Budget	1 185 299	783 571	16 000 000	4 337 221	52 500 000	12 037 000
<i>Of which managed by the executive agency</i>			16 000 000	2 708 301	52 500 000	12 037 000
05 02 18 - School schemes						
Operational Budget						
<i>Of which managed by the executive agency</i>						
Food and Feed						
17 04 03 - Ensuring effective, efficient and reliable controls						
Operational Budget	62 069 182	27 364 229	47 401 000	55 250 000	53 558 000	49 500 000
<i>Of which managed by the executive agency</i>	14 685 000	4 337 502	15 500 000	10 500 000	15 500 000	13 500 000
Union action in the field of health (Health Programme)						

	Executed budget 2015		Budget 2016		Draft Budget 2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
17 03 01 - Third programme for the Union's action in the field of health (2014-2020)						
Operational Budget	55 989 006	22 600 369	56 451 000	48 500 000	58 820 000	46 000 000
<i>Of which managed by the executive agency</i>	48 544 785	16 290 475	42 500 000	36 000 000	47 500 000	35 000 000
TOTAL operational budget managed by the agency	78 299 363	60 091 342	91 000 000	78 291 110	132 500 000	79 287 000

3 Human Resources

3.1 Staffing when created extended

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013.

Staff	2014	2015	2016	2017	2018	2019	2020
Temporary Agents (Officials seconded by the Commission)	6	7	8	8	8	8	8
Temporary Agents (recruited by the agency)	6	6	7	9	10	12	12
Executive Agency's total Establishment Plan Posts	12	13	15	17	18	20	20
Contract Agents (*)	38	38	45	49	53	56	59
Seconded National Experts (SNE)							
Total staff of the Executive Agency	50	51	60	66	71	76	79

(*) Estimated full time equivalent units (FTE) on the basis of average costs

3.2 Detailed situation

3.2.1 Establishment plan posts

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 16				
AD 15				
AD 14	1	1	1	1
AD 13	1	1	1	1
AD 12	1	2	3	3
AD 11	3	2	2	2
AD 10				
AD 9				
AD 8	1	1	1	1
AD 7	1			2
AD 6	2	2	2	2

Function group and grade	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
AD 5	1	1	3	3
AD TOTAL	11	10	13	15
AST 11				
AST 10				
AST 9				
AST 8				
AST 7	1	1	1	1
AST 6				
AST 5				1
AST 4	1	1	1	
AST 3				
AST 2				
AST 1				
AST TOTAL	2	2	2	2
AST/SC 6				
AST/SC 5				
AST/SC 4				
AST/SC 3				
AST/SC 2				
AST/SC 1				
AST/SC TOTAL				
TOTAL	13	12	15	17
Of which Officials seconded by the commission	7	6	8	8

3.2.2 Contract Agents financed from the EU budget contribution

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	20	19	24	26
Function Group III	10	10	12	12
Function Group II	8	8	9	10
Function Group I	0	0	0	0
TOTAL	38	37	45	48

3.2.3 Contract Agents financed from external revenues

In addition to the contract agents financed from the EU budget contribution as set out in the table above, the table below shows the number of contract agents financed with contributions paid by (potential) candidate and third countries as well as from the European Development Fund (EDF):

Human Resources financed outside the EU budget	Foreseen in 2015	Recruited as of 31/12/2015	Foreseen in 2016	Foreseen 2017
Financed from EDF	0	0	0	0
Financed from participation of candidate countries and/or third countries	0	0	0	0

3.2.4 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	0	0		0

3.3 Impact of the creation of the Executive Agency on the human resources of the Commission

3.3.1 Freed staff in the parent DG

3.3.1.1 At creation

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at creation)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG SANTE	10	10	0	0
Total	10	10	0	0

Source: Legislative financial statement accompanying the Communication to the Commission C(2004)4839 of 08.12.2004

3.3.1.2 At extension of the mandate of the executive agency

In accordance with Article 12 § 6c of Council Regulation 58/2003, tasks transferred from Commission services to executive agencies free Commission resources for redeployment to other priorities in the annual allocation of human resources. In accordance with the budgetary procedure and the principle of transparency, the Commission informs the Budgetary Authority on the estimated number of posts that could be freed and redeployed.

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG SANTE	12	12	0	0
Total	12	12	0	0

Source: Consolidated data extracted from the Legislative Financial Statement accompanying the Communication to the Commission C(2008)2779 of 13.6.2008, after approval by the Regulatory Committee on Executive Agencies in its meeting of 15.4.2008

Parent DG	Total staff to be freed (as planned at extension)	Staff freed by end 2015	Staff to be freed in 2016	Staff to be freed in 2017
DG AGRI (posts)	6	0	2	3
DG AGRI (external personnel)	3.2	0	3.2	0
Total	9.2	0	5.2	3

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the Committee on Executive Agencies on 22.11.2013

3.3.1.3 Following a decrease of the total number of seconded officials to executive agency ('unfrozen' posts)

Parent DG	Number of posts freed, following a decrease of the total number of seconded officials to the Executive agency		
	By end 2015	In 2016	In 2017
DG1	0	0	0
Total	0	0	0

3.3.2 Frozen posts in the Commission Establishment Plan

In accordance with Article 18 § 2b of Council Regulation 58/2003 and Article 38.c of the Staff Regulations, a number of posts corresponding to the number of Commission officials seconded to executive agencies is without budgetary coverage in the establishment plan of the Commission and generates a corresponding reduction of administrative appropriations in the Commission Budget (see "Abatement agences exécutives" in Draft Budget Working Document II). This number is subject to fluctuations over time, depending on the stage in the lifetime of the executive agency. Moreover, this number can vary also following the application of Article 38.c of the Staff Regulations: "() at the end of every six months, the official concerned may request that his secondment is terminated", and the time span between his/her mobility and his/her replacement.

Parent DG	Number of posts to be frozen (as planned)	Number of posts frozen in 2016	Number of posts frozen in 2017
DG SANTE	6	5	5
DG JUST	0	1	1
DG AGRI	2	2	2
Total	8	8	8

Source: Specific financial statement accompanying the mandate extension which received a positive opinion of the Committee on Executive Agencies on 22.11.2013, but taking account of the Commission reorganisation and of the consequences of the shift of the Consumer programme from DG SANTE to DG JUST, thus becoming parent DG for CHAFEA.

4 Financial Resources

4.1 Indicative contribution table

Indicative EU budget contribution to the agency (as foreseen in the financial statement accompanying the mandate extension which received a positive opinion of the CREA on 22 November 2013)	2014	2015	2016	2017	2018	2019	2020
	7,303	6,421	7,742	8,466	9,059	9,674	9,958
Agency contribution as voted by the budgetary Authority							
Initial Budget (EUR-28)	7,247	7,236	8,518				
Budget after transfers and / or amending budgets (EUR-28)							
Operating budget of the agency							
Initial Budget (EUR-28)	7,247	7,409	8,693				
Budget after transfers and / or amending budgets (EUR-28)							

4.2 Revenues

REVENUES		2015	2016	2017	VAR 2017/2016 (%)
		Executed Budget (1)	Budget	Draft Budget	
1. EU contribution to the executive agency					
Budget Line	Programme				
05 01 06 01	EAGF2020	166 000	1 448 376	2 500 000	72,61%
17 01 06 02	HEALTH	4 332 745	4 209 000	4 209 000	0,00%

REVENUES		2015	2016	2017	VAR
17 01 06 03	FF2020	1 170 000	1 170 000	1 170 000	0,00%
33 01 06 01	CONS	1 740 715	1 691 000	1 691 000	0,00%
Sub Total		7 409 460	8 518 376	9 570 000	12,35%
2. EEA/EFTA contribution (excl. Switzerland)					
Budget Line	Programme				
17 01 06 02	HEALTH		114 906	116 168	1,10%
33 01 06 01	CONS		46 164	46 672	1,10%
Sub Total			161 070	162 840	1,10%
3. Participation of candidate countries and/or third countries					
Budget Line	Programme				
17 01 06 02	HEALTH				
Sub Total					
TOTAL REVENUES		7 409 460	8 679 446	9 732 840	12,14%

(1) All fund sources

4.3 Expenditure

4.3.1 Commitment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	4 176 774	4 981 183	5 823 570	16,91%
Remunerations, Allowances and Charges	3 955 074	4 703 483	5 515 790	17,27%
- Of which establishment plan posts	1 554 769	1 983 600	2 372 112	19,59%
- Of which external personnel	2 400 305	2 719 883	3 143 678	15,58%
Professional Development and Social expenditure	221 700	277 700	307 780	10,83%
Title 2 - Infrastructure and operating expenditure	1 359 775	1 411 353	1 449 000	2,67%
Building expenditure	1 010 072	1 008 500	1 040 000	3,12%
ICT expenditure	173 437	210 000	230 000	9,52%
Movable Property and Current Operating expenditure	176 266	192 853	179 000	-7,18%
Title 3 - Programme support expenditure	1 757 668	2 286 910	2 460 270	7,58%
Programme Management expenditure	1 757 668	2 286 910	2 460 270	7,58%
Common Support Services expenditure				
TOTAL	7 294 217	8 679 446	9 732 840	12,14%

4.3.2 Payment appropriations

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Title 1 - Staff expenditure	4 176 774	4 981 183	5 823 570	16,91%
Remunerations, Allowances and Charges	3 955 074	4 703 483	5 515 790	17,27%
- Of which establishment plan posts	1 554 769	1 983 600	2 372 112	19,59%
- Of which external personnel	2 400 305	2 719 883	3 143 678	15,58%
Professional Development and Social expenditure	221 700	277 700	307 780	10,83%
Title 2 - Infrastructure and operating expenditure	1 359 775	1 411 353	1 449 000	2,67%

EXPENDITURE	EXPENDITURE			
	Executed Budget 2015	Budget 2016	Draft Budget 2017	VAR 2017/2016 (%)
Building expenditure	1 010 072	1 008 500	1 040 000	3,12%
ICT expenditure	173 437	210 000	230 000	9,52%
Movable Property and Current Operating expenditure	176 266	192 853	179 000	-7,18%
Title 3 - Programme support expenditure	1 757 668	2 286 910	2 460 270	7,58%
Programme Management expenditure	1 757 668	2 286 910	2 460 270	7,58%
Common Support Services expenditure				
TOTAL	7 294 217	8 679 446	9 732 840	12,14%

4.4 Outturn

First estimate of the 2015 surplus that should be reimbursed to the EU budget (as general revenue): EUR 330 965.

5 Justification of needs

5.1 Human Resources

5.1.1 Number of staff requested

See Commission Decision and Financial Statement of 19.12.2014 submitted to the Committee for Executive Agencies and the Budgetary Authority accompanying the extension of the mandate and duration of the Consumers, Health, Agriculture and Food Executive Agency. However, in order to contribute financially to the Common Support Services provided by REA, 1 contract agent position shall not be filled by Chafea in 2017 but only as from 2018.

5.1.2 Number of contracts agents financed from the participation of candidate countries and/or third countries

No such agents requested.

5.1.3 Salary assumption for calculating salary lines (% applied)

Salary costs for 2017 were calculated starting from actual salary costs of 2015 by multiplying the total number of posts per staff category times the average actual costs of the respective staff category paid in 2015. According to the Financial Statement; in 2017 the staffing of the Agency shall increase (compared to 2016) with 2 temporary agent posts and 5 contract agent posts. No provision is included for statutory advancement in career for seniority and reclassification of staff in 2016, compared to 2015. Such increases are deemed to be covered by normal staff mobility and the accordingly temporary vacancy of posts as observed during previous years.

5.1.4 Vacancy rate as of end 2015

There was 1 temporary agent position and 1 contract agent position open at 31.12.2015. An employment offer letter was sent out and accepted for both posts before year end 2015, for the newcomers to start on 01.01.2016.

5.1.5 Standard abatement ('abatement forfaitaire')

In view of the low number of open posts in the Agency observed in the past years as well as the available reserve lists for new recruitments, no abatement was applied. As mentioned above, statutory salary increases further to seniority and reclassification of staff are not budgeted for under the item salary assumptions, consequently recruitment shall be delayed accordingly.

5.2 Financial Resources

5.2.1 Title 1

Budget appropriations necessary to cover staff costs (salaries and salary related allowances and charges) and professional development & social expenditure. The latter includes recruitment & entering/leaving the service costs, general/specific & language courses, administrative & medical services as well as social service & related expenditure. For 2017, staff costs for two additional temporary agent positions and 4 contract agent positions were included, albeit with an estimated average delayed recruitment of 3 months and 2 months respectively. For the Health programme, the

2017 budget was made up at the frozen subsidy level of 2016 although in 2017 one additional temporary staff position is provided in the Financial Statement. Consequently, non-salary expenditure (Title 3, programme support expenditure) was reduced to compensate for the Health programme.

5.2.2 Title 2

Budget appropriations related to infrastructure and operating expenditure. It concerns mainly renting of premises, Information & Communication Technologies (ICT) and movable property & operating expenditure. The costs include telecommunication costs, office furniture, translation costs, IT services and other expertise (accounting controls and internal control advice). In 2017, renting of offices via the Office for Infrastructure and Logistics represent 71% of expenditure under this Title. Overall expenditure budgeted under Title 2 was calculated as the multiplication of the actual average cost per staff member used in 2015 times the number of staff members authorised in 2017.

5.2.3 Title 3

Budget appropriations in support of the management of the programmes delegated to the Agency. It concerns travel and subsistence costs (reimbursed to external participants attending technical meetings of the programmes, mainly the Health for growth programme), evaluation & review costs of proposals/project deliverables, data collection & programme management specific IT system expenditure, communication/editing/website/conferencing costs and translation of call for proposals/tenders related documents for all programmes. For Agricultural promotion, a new activity for the Agency as from 2016, 200.000 EUR is budgeted for translation costs of proposals, EUR 140.000 for high level missions including outside Europe. The use of the Horizon 2020 IT Tools is budgeted at a cost of EUR 425.000 as Chafea's contribution to the development and the maintenance of the tools by DG RTD.

6 Other information

6.1 Buildings

6.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Drosbach, Wing A, 3rd Floor 12, rue Guillaume Kroll L-1882 Luxembourg Office Building	
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	1.615 3. 929 4. 686	As from 2015, extra office space is to be hired to accommodate the new unit tasked with the AGRI Promotion activity. For 2017, the budgetary impact of the required extra office space is estimated pro rata the cost per staff member for the already hired staff in 2015. The approach considers that the Agency is obliged to rent the entire office space required in the coming years upfront as from 2016 increasing from 60 permanent staff in 2016, to a maximum of 79 permanent staff members in 2020. In 2017 the Agency shall count 65 permanent staff members. Fitting out costs for the new premises were committed upfront in 2015 for the full number of 79 staff members, without impact on 2016 budgets and onwards.
Annual rent (in EUR)	668.600 € (actual amount paid in 2015) (in addition a one off invoice of 342.000€ for fitting out of the office space is to be considered (budgeted for in 2015).	The amount estimated for 2017 (EUR 1.030.000 €) includes office space for the additional staff of 2017; the annual price indexation of the rent contract is estimated at 2%.
Type and duration of rental contract	Contrat de sous-location via OIL Lifetime of the Agency	
Host country grant or support	None	
Present value of the building	See OIL	

6.1.2 Building project in the planning phase

As provided for by the Commission in its Communication of 18.09.2013 on the delegation of the management of the 2014-2020 programmes to executive agencies, the Agency signed a Service Level Agreement with the Office for Infrastructure and Logistics in Luxembourg to provide for office space in its existing premises as from 2016. The space provides for the number of offices required at full operational capacity of the Agency in 2020 (79 permanent staff members, compared to the 66 staff members in 2017).

6.1.3 Building projects submitted to the European Parliament and the Council

None.

6.2 Evaluation

On 19.08.2013 a Cost benefit Analysis (CBA) was carried out by GFK, confirming the efficiency and productivity gains of CHAFEA which served as a basis to delegating part of the new Public health, Consumers, Feed and Food and Agri promotion programmes to the Agency.

A new evaluation of the agency is expected to be carried out by 2017.

PART II – JOINT UNDERTAKINGS AND JOINT TECHNOLOGY INITIATIVES ('PPP')

3 MAIN BUDGETARY TRENDS 2017

This section on 'main budgetary trends' presents the budgetary and staffing highlights for 2017 for the current 2 joint undertakings and 6 joint technology initiatives (Public-Private Partnerships), in text (section 1.1) and tables (section 1.2). Furthermore, this section presents an overview table on Commission budget implementation for joint undertakings and joint technology initiatives ('PPP bodies') in 2015 (section 1.3), which is followed by an overview table on staffing issues (establishment plan posts, contract agents and seconded national experts actually filled by year-end 2015, section 1.4).

3.1 Budgetary and staffing highlights 2017: joint undertakings and joint technology initiatives ('PPP')

Compared to the 2016 budget, the total EU contribution to the joint undertakings and JTIs requested in the 2017 DB decreases by -4,1 % to EUR 1 186,3 million. This relatively minor decrease is mainly due to the net impact of the following changes to the financial programming for 2017:

- A downward revision of the EU contribution to be entered in the 2017 budget for the ITER-F4E JU, which takes account of a backloading of the profile over the MFF period.
- A downward revision of the EU contribution to be entered in the 2017 budget for the Bio-Based Industries (BBI) JTI, which takes account of a backloading of the profile over the MFF period.
- A frontloading to 2017 of the operational appropriations for SESAR and Shift to Rail, which is partly offset in 2017 from Clean Sky.

The staffing of most of the joint undertakings and JTIs is kept stable at the level authorised in 2016. As planned, however, one additional post is requested for the Innovative Medicines Initiative (IMI) as well as one additional post for Shift to Rail (S2R). This is based on an assessment of the real needs of S2R, and takes into account its particularly small size.

As presented in more detail in the individual budgetary statements (section 2.2), the total staffing of the joint undertakings foreseen for 2017 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2016	2017	2016	2017	2016	2017	2016	2017
322	322	182	183	7	6	511	511

As presented in more detail in the individual budgetary statements (section 2.2), the total staffing of the joint technology initiatives foreseen for 2017 would be as follows:

<i>Authorised establishment plan (posts)</i>		<i>Contract Agents</i>		<i>Seconded National Experts</i>		<i>Total Staff (FTE)</i>	
2016	2017	2016	2017	2016	2017	2016	2017
129	131	55	59	3	3	187	193

3.2 Joint undertaking / joint technology initiative ('PPP') overview table 2017 / 2016

3.2.1 Joint undertakings under Article 208 of the Financial Regulation

(in million EUR)

Name of the Joint Undertaking	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾		Draft budget (DB) 2017			Variation EU contribution 2017 / 2016
				Total revenues of the Joint Undertaking	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking	Of which Joint Undertaking contribution request	EU contribution (DB 2017)	
Single European Sky Air Traffic Management Research 2 Joint Undertaking (SESAR2)		Brussels	2014						
Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure	06 03 07 31				0,000		3,300	3,242	100,0%
Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking	06 03 07 32				60,000		96,670	96,758	61,3%
Total SESAR2				97,823	60,000	108,908	100,000	100,000	66,7%
<i>Authorised establishment plan</i>				39	39		39	39	0
Construction, operation and exploitation of the ITER facilities - European Joint Undertaking for ITER - Fusion for Energy (F4E)		Barcelona	2007						
Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure	32 05 01 01				44,737		48,600	47,547	6,3%
Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)	32 05 01 02				275,475		268,694	271,513	-1,4%
Total F4E				455,840	320,212	564,228	317,294	319,060	-0,4%
<i>Authorised establishment plan</i>				283	283		283	283	0
Total Joint Undertakings				553,663	380,212	673,136	417,294	419,060	10,2%
<i>Authorised establishment plan</i>				322	322		322	322	0

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

(2) This amount includes the appropriations foreseen in the 2016 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs of SESAR is excluded.

3.2.2 Joint technology initiatives under Article 209 of the Financial Regulation

(in million EUR)

Name of the Joint Technology Initiative (JTI)	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾		Draft budget (DB) 2017			Variation EU contribution 2017 / 2016
				Total revenues of the JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the JTI	Of which JTI contribution request	EU contribution (DB 2017) ⁽³⁾	
Shift2Rail (S2R) Undertaking		Brussels	2014						
Shift2Rail (S2R) Joint Undertaking — Support expenditure	06 03 07 33				1,578		1,580	1,580	0,2%
Shift2Rail (S2R) Joint Undertaking	06 03 07 34				44,046		60,043	60,043	36,3%
Total S2R				48,490	45,624	63,246	61,623	61,623	35,1%
<i>Establishment plan</i>				4	4		5	5	1
Innovative Medicines Initiative 2 Joint Undertaking (IMI2)		Brussels	2014						
Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure	08 02 07 31				1,200		1,265	1,265	5,4%
Innovative Medicines Initiative 2 (IMI2) Joint Undertaking	08 02 07 32				197,787		173,798	173,798	-12,1%
Total IMI2				212,732	198,987	188,443	175,063	175,063	-12,0%
<i>Establishment plan</i>				38	38		39	39	1
Bio-Based Industries Joint Undertaking (BBI)		Brussels	2014						
Bio-Based Industries (BBI) Joint Undertaking — Support expenditure	08 02 07 33				1,946		2,285	2,285	17,4%
Bio-Based Industries (BBI) Joint Undertaking	08 02 07 34				156,136		78,889	78,889	-49,5%
Total BBI				165,341	158,083	86,012	81,174	81,174	-48,7%
<i>Establishment plan</i>				13	13		13	13	0
Clean Sky 2 Joint Undertaking (Clean Sky 2)		Brussels	2014						
Clean Sky 2 Joint Undertaking — Support expenditure	08 02 07 35				2,626		3,038	3,038	15,7%
Clean Sky 2 Joint Undertaking	08 02 07 36				194,774		189,833	189,833	-2,5%
Total Clean Sky 2				258,670	197,399	202,265	192,871	192,871	-2,3%
<i>Establishment plan</i>				36	36		36	36	0
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)		Brussels	2014						
Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure	08 02 07 37				0,455		0,055	0,055	-87,9%
Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking	08 02 07 38				102,166		91,990	91,990	-10,0%
Total FCH2				123,906	102,621	99,617	92,045	92,045	-10,3%
<i>Establishment plan</i>				24	24		24	24	0
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)		Brussels	2014						
Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure	09 04 07 31				1,019		1,377	1,377	35,1%
Electronic Components and Systems for European Leadership (ECSEL)	09 04 07 32				153,127		168,038	168,038	9,7%

Name of the Joint Technology Initiative (JTI)	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾		Draft budget (DB) 2017			Variation EU contribution 2017 / 2016
				Total revenues of the JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the JTI	Of which JTI contribution request	EU contribution (DB 2017) ⁽³⁾	
Joint Undertaking									
Total ECSEL				162,507	154,146	180,300	169,415	169,415	9,9%
<i>Establishment plan</i>				<i>14</i>	<i>14</i>		<i>14</i>	<i>14</i>	<i>0</i>
Total Joint Technology Initiatives				971,646	856,860	819,883	772,191	772,191	-9,9%
<i>Establishment plan</i>				<i>129</i>	<i>129</i>		<i>131</i>	<i>131</i>	<i>2</i>

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

(2) This amount includes the appropriations foreseen in the 2016 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs of the previous JTIs is excluded.

(3) The number of establishment plan posts shown for 2016 corresponds to the staffing foreseen in the legislative financial statements accompanying the Commission proposals for the new generation of JTIs, as proposed in July and December 2013. However, the number of posts foreseen for BBI takes account of the need to align the staff mix of this JTI with other comparable JTIs.

3.2.3 Total of joint undertakings and joint technology initiatives

(in million EUR)

Total of the Joint Undertakings and Joint Technology Initiatives	Budget line	Location	Year of creation	Budget 2016 ⁽¹⁾		Draft budget (DB) 2017			Variation EU contribution 2017 / 2016
				Total revenues of the Joint Undertaking / JTI	Of which EU contribution	Revenues ⁽²⁾ estimated by the Joint Undertaking / JTI	Of which Joint Undertaking / JTI contribution request	EU contribution (DB 2017)	
Total Joint Undertakings and Joint Technology Initiatives				1 525,309	1 237,072	1 493,019	1 189,485	1 191,251	-3,7%
<i>Establishment plan</i>					<i>451</i>		<i>453</i>	<i>453</i>	<i>2</i>

(1) Budget 2016 includes amending budget 1 and draft amending budgets 2 and 3.

(2) This amount includes the appropriations foreseen in the 2017 DB, the estimated EFTA contribution and contributions from the participating Member States and from the private sector. The EFTA contribution included for 2017 is calculated on the basis of the 2016 contribution, i.e. 2,73%. The EU contribution frontloaded in 2013 to cover the 2014-2017 running costs is excluded.

3.3 Table Commission budget implementation for joint undertakings and joint technology initiatives ('PPP') 2015

3.3.1 Joint undertakings under Article 208 of the Financial Regulation

(in million EUR)

Name of the Joint Undertaking / PPP	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry over to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
European Joint Undertaking for ITER – Fusion for Energy (F4E)													
European Joint Undertaking for ITER — Fusion for Energy (F4E) — Expenditure on administrative management	08 04 01 01	47,887	47,781	46,858	43,860	2,998	46,753	43,755	2,998	1,028	1,028		
European Joint Undertaking for ITER — Fusion for Energy (F4E)	08 04 01 02	385,428	150,308	358,167	338,355	19,812	123,047	103,235	19,812	27,261	27,261		
European Joint Undertaking for ITER — Fusion for Energy (F4E)	08 04 51	0,085	239,773				239,688	239,688		0,085	0,085		
Total European Joint Undertaking for ITER – Fusion for Energy (F4E)		433,399	437,862	405,025	382,215	22,810	409,488	386,678	22,810	28,374	28,374		
SESAR2 Joint Undertaking													
SESAR2 Joint Undertaking – Support	06 03 07 31												
SESAR2 Joint Undertaking	06 03 07 32	51,470	10,518	51,470	50,000	1,470	10,518	9,490	1,028				
Total SESAR2 Joint Undertaking		51,470	10,518	51,470	50,000	1,470	10,518	9,490	1,028				
Total Joint Undertakings		484,869	448,380	456,495	432,215	24,280	420,006	396,168	23,838	28,374	28,374		

3.3.2 Joint technology initiatives under Article 209 of the Financial Regulation

(in million EUR)

Name of the Joint Technology Initiative (JTI)	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry over to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
Innovative Medicines Initiative (IMI2) Joint Undertaking													
Cooperation — Health — Innovative Medicines Initiative (IMI2) Joint Undertaking	08 02 07 31	0,690	0,690	0,690	0,671	0,020	0,690	0,671	0,020				
Cooperation — Health — Support expenditure for Innovative Medicines Initiative (IMI2) Joint Undertaking	08 02 07 32	217,594	62,000	217,594	211,379	6,215	62,000	60,306	1,694				
Total Innovative Medicines Initiative Joint Undertaking (IMI2)		218,284	62,690	218,284	212,050	6,234	62,690	60,976	1,714				
Clean Sky 2 Joint Undertaking													
Clean Sky2 Joint Undertaking Support	08 02 07 35	1,919	1,914	1,919	1,864	0,055	1,914	1,860	0,055				
Clean Sky2 Joint Undertaking	08 02 07 36	349,973	97,145	349,973	339,978	9,995	97,145	94,371	2,774				
Total Clean Sky2 Joint Undertaking		351,892	99,059	351,892	341,842	10,050	99,059	96,230	2,829				
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)													
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL) — Support expenditure	09 04 07 31	0,810	0,810	0,810	0,786	0,023	0,810	0,786	0,023				
Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)	09 04 07 32	102,455	55,691	102,455	99,074	3,382	55,691	53,893	1,798				
Total Electronic Components and Systems for European Leadership Joint Undertaking (ECSEL)		103,265	56,501	103,265	99,860	3,405	56,501	54,680	1,821				
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)													
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2) — Support expenditure	08 02 07 37	0,481	0,479	0,481	0,467	0,014	0,479	0,466	0,014				
Fuel Cells and Hydrogen 2 Joint Undertaking (FCH2)	08 02 07 38	112,322	29,915	112,322	109,114	3,208	29,915	29,061	0,854				
Total FCH Joint Undertaking (FCH2)		112,803	30,395	112,803	109,581	3,222	30,395	29,527	0,868				
Shift2Rail (S2R) Joint Undertaking													
Shift2Rail (S2R) Joint Undertaking — Support	06 03 07 33	1,352	1,349	1,352	1,314	0,039	1,230	1,192	0,039				0,119

Part II – Joint undertakings and Joint technology initiatives ('PPP')

Name of the Joint Technology Initiative (JTI)	Budget line	Authorised appropriations 2015		Commitments made 2015			Payments made 2015			Carry over to 2016		Appropriations cancelled 2015	
		Commitments	Payments	Total	Of which voted budget	Of which assigned revenues	Total	Of which voted budget	Of which assigned revenues	Commitments	Payments	Commitments	Payments
expenditure													
Shift2Rail (S2R) Joint Undertaking	06 03 07 34	45,334	1,285	45,334	44,039	1,295							1,285
Total Shift2Rail (S2R) Joint Undertaking		46,686	2,634	46,686	45,353	1,333	1,230	1,192	0,039				1,404
Bio-Based Industries Joint Undertaking (BBI)													
Bio-Based Industries Joint Undertaking (BBI) - Support	08 02 07 33	1,459	1,459	1,459	1,412	0,047	1,459	1,412	0,047				
Bio-Based Industries Joint Undertaking (BBI)	08 02 07 34	206,390	18,043	206,390	200,496	5,895	18,043	17,528	0,515				
Total Bio-Based Industries Joint Undertaking (BBI)		207,850	19,502	207,850	201,908	5,942	19,502	18,940	0,562				
Total Joint Technology Initiatives		1040,780	270,782	1040,780	1010,594	30,186	269,378	261,545	7,833				1,404

3.4 Table joint undertaking / joint technology initiative ('PPP') staffing overview 2015

3.4.1 Joint undertakings under Article 208 of the Financial Regulation

Name of the Joint Undertaking	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
European Joint Undertaking for ITER – Fusion for Energy (F4E)	2007	262	252	153	167	7	2	422	421
SESAR2 Joint Undertaking	2014	39	38	0	0	3	0	42	38
Total Joint Undertakings		301	290	153	167	10	2	464	459

3.4.2 Joint technology initiatives under Article 209 of the Financial Regulation

Name of the Joint Technology Initiatives (JTI)	Year of creation	Establishment Plan Posts		Contract Agents		Seconded National Experts (END)		Total personnel	
		Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Authorised 2015	Actually filled 31/12/2015	Budget 2015	Actually filled 31/12/2015
Innovative Medicines Initiative Joint Undertaking - IMI2	2014	35	31	9	7	0	0	44	38
Clean Sky 2 Joint Undertaking – CLEANSKY2	2014	36	34	6	6	0	0	42	40
Electronic Components and Systems for European Leadership Joint Undertaking - ECSEL	2014	14	13	13	15	1	1	28	29
Fuel Cells and Hydrogen Joint Undertaking – FCH2	2014	24	24	2	2	0	0	26	26
Shift 2 rail Joint Undertaking – S2R	2014	4	2	10	8	0	0	14	10
Bio-Based Industries Joint Undertaking - BBI	2014	13	8	9	4	0	0	22	12
Total Joint Technology Initiatives		126	112	49	42	1	1	176	155

4 INDIVIDUAL BUDGETARY FINANCIAL STATEMENTS

4.1 Content of the budgetary financial statements

Similar to the decentralised agency budgetary financial statements, the individual budgetary financial statements include, by joint undertaking, information on the set-up of the joint undertaking, the EU contribution to and the budget of the joint undertaking (revenue and expenditure), the estimated surplus of 2015, the establishment plan, contract agents and Seconded National Experts, buildings, privileges and immunities, and evaluations.

4.2 Individual budgetary financial statements

4.2.1 Joint Undertakings of heading 1A - Competitiveness for growth and jobs

4.2.1.1 SESAR Joint Undertaking - SESAR

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation (EC) 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 64/1, 2.3.2007)	27/02/2007	<p>Established under Article 171 of the Treaty, the Joint Undertaking's aim is to ensure the modernisation of the European air traffic management system by coordinating and concentrating all relevant research and development efforts in the Union. It shall be responsible for the execution of the ATM Master Plan and in particular for carrying out the following tasks:</p> <ul style="list-style-type: none"> - organising and coordinating the activities of the development phase of the SESAR project in accordance with the ATM Master Plan, by combining and managing under a single structure public and private sector funding, - ensuring the necessary funding for the activities of the Development phase of the SESAR Project in accordance with the ATM Master Plan, - ensuring the involvement of the stakeholders of the air traffic management sector in Europe, in particular: air navigation service providers, airspace users, professional staff associations, airports, and manufacturing industry; as well as the relevant scientific institutions or the relevant scientific community, - organising the technical work of research and development, validation and study, to be carried out under its authority while avoiding fragmentation of such activities, - ensuring the supervision of activities related to the development of common products duly identified in the ATM Master Plan and if necessary, to organise specific invitations to tender.
Council Regulation (EC) 1361/2008 amending Regulation (EC) No 219/2007 on the establishment of a joint undertaking to develop the new generation European air traffic management system (SESAR) (OJ L 352/12, 31.12.2008)	16/12/2008	The main changes introduced by this regulation concern the alignment of the SESAR Joint Undertaking (SESAR JU) to the new legal model of the other Joint Undertaking. In particular as of 01.01.2009 the SESAR JU is under the Protocol of Privileges and Immunities of the Community; this implies that the SESAR JU will be VAT exempted as of 16.02.2008 with an important positive effect on the resources available for the research programme
Council Regulation Council Regulation (EU) No 721/2014 of 16 June 2014 amending Regulation (EC) No 219/2007 on the establishment of a Joint Undertaking to develop the new generation European air traffic management system (SESAR) as regards the extension of the Joint Undertaking until 2024.	16/06/2014	The Council Regulation 721/2014 extends the SESAR JU to the 2024 and within the EU Framework "Horizon 2020", entrusts it to launch a new programme, the SESAR 2020, to address the remaining elements of Master Plan step 2, those of step 3 and with Exploratory Research to go beyond this timeframe and concept.

1.2 Seat

Brussels, Belgium

1.3 Budget line

06 03 07 31 : Single European Sky Air Traffic Management Research (SESAR) Joint Undertaking — Support expenditure

06 03 07 32 : Single European Sky Air Traffic Management Research 2 (SESAR2) Joint Undertaking

06 03 51 : Completion of previous research framework programmes — seventh framework programme — EC (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	33	32	33	33
Establishment plan posts : AST	6	6	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	39	38	39	39
Contract Agents			2	2
Seconded National Experts	3		3	3
TOTAL STAFF	42	38	44	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	60 000 000	100 000 000
Other Revenue	37 823 264	8 995 993
TOTAL REVENUES	97 823 264	108 995 993

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	6 191 500	6 191 500	6 195 000	6 195 000
Title 2 - Infrastructure and operating expenditure	3 308 500	3 308 500	3 312 500	3 312 500
Title 3 - Operational expenditure	88 323 264	136 486 105	99 488 493	146 700 429
TOTAL EXPENDITURE	97 823 264	145 986 105	108 995 993	156 207 929

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 13										
AD 12		4		3		4		4		4
AD 11										
AD 10		5		5		5		5		5
AD 9										
AD 8		5		5		5		5		5
AD 7		4		4		4		4		4
AD 6		4		4		4		4		4
AD 5		10		10		10		10		10
AD TOTAL		33		32		33		33		33
AST 11										
AST 10										
AST 9										
AST 8										
AST 7		1		1		1		1		1
AST 6										
AST 5		1		1		1		1		1
AST 4										
AST 3		2		2		2		2		2
AST 2										
AST 1		2		2		2		2		2
AST TOTAL		6		6		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		39		38		39		39		39
GRAND TOTAL		39		38		39		39		39

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV			2	2
Function Group III				
Function Group II				
Function Group I				
TOTAL			2	2

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	3		3	3

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	50 000 000	60 000 000	100 000 000	100 000 000	66,67%
- Of which Administrative (Title 1 and Title 2)			3 241 507	3 241 507	100%
- Of which Operational (Title 3)	50 000 000	60 000 000	96 758 493	96 758 493	61,26%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 470 000	1 638 000	2 730 000	2 730 000	66,67%
- Of which EEA/EFTA (excl. Switzerland) (1)	1 470 000	1 638 000	2 730 000	2 730 000	66,67%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	24 805 098	23 629 183	6 265 993	6 265 993	-73,48%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES		3 247 346			-100%
8 INTERESTS GENERATED	47 839	100 000	0	0	-100,00%
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS (2)	14 533 412	9 208 735	0	0	-100,00%
TOTAL	90 856 349	97 823 264	108 995 993	108 995 993	11,42%

(1) EFTA % used: 2.94% for 2015, 2.73% for 2016 and for 2017 (estimate based on 2016)

(2) Unused appropriations from 2014 of EUR 14.5 million were used to cover 2015 Running Costs of EUR 9.2 million (2015 actual commitments Titles 1&2). The rest, EUR 5.3 million, remained in unused appropriations from 2015 of EUR 9.2 million and were used to cover in part the 2016 Running Costs of EUR 9.5 million (2016 Commitment Appropriations Titles 1&2). The rest of the 2016 Running Costs were covered by Other Contributions.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	51.470.000	61.638.000	102.730.000
FP7	0	0	0
H2020	51.470.000	61.638.000	102.730.000
2. CONTRIBUTIONS FROM INDUSTRY	4.246.362	23.774.490	45.770.922
of which financial contribution	4.246.362	2.345.919	3.132.997
FP7	4.246.362	2.345.919	0
H2020			3.132.997
of which contribution in kind	0	21.428.571	42.637.926
FP7/TEN-T			
H2020	0	21.428.571	42.637.926
3 OTHER MEMBERS CONTRIBUTIONS	84.089.575	84.633.634	3.132.997
of which financial contribution	20.606.575	21.383.264	3.132.997
FP7	20.606.575	21.383.264	0
H2020			3.132.997
of which contribution in kind	63.483.000	63.250.370	p.m.
FP7	63.483.000	63.250.370	0
H2020		p.m.	p.m.
TOTAL CONTRIBUTIONS	139.805.937	148.617.553	108.995.993
FP7	88.335.937	86.979.553	0
Horizon 2020	51.470.000	61.638.000	108.995.993

(*) including EFTA

As far as in kind contributions are concerned, the above table can only give a first estimation of the actual amounts to be validated and accepted by the SJU

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015 (1)	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	5 954 705	6 191 500	6 195 000	6 195 000	0,06%
Salaries & allowances	5 285 000	5 496 500	5 500 000	5 500 000	0,06%
- Of which establishment plan posts	4 925 000	5 196 500	5 200 000	5 200 000	0,07%
- Of which external personnel	360 000	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	15 000	15 000	15 000	15 000	0,00%
Mission expenses	500 000	500 000	500 000	500 000	0,00%
Socio-medical infrastructure					
Training	85 000	85 000	85 000	85 000	0,00%
External Services	60 000	60 000	60 000	60 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	9 705	35 000	35 000	35 000	0,00%
Title 2 - Infrastructure and operating expenditure	3 231 138	3 308 500	3 312 500	3 312 500	0,12%
Rental of buildings and associated costs	950 000	978 500	1 042 500	1 042 500	6,54%
Information, communication technology and data processing	1 270 000	1 271 000	1 200 000	1 200 000	-5,59%
Movable property and associated costs	128 000	100 000	100 000	100 000	0,00%
Current administrative expenditure	367 638	344 000	350 000	350 000	1,74%
Postage / Telecommunications	175 500	195 000	200 000	200 000	2,56%
Meeting expenses	10 000	20 000	20 000	20 000	0,00%
Running costs in connection with operational activities					
Information and publishing	330 000	400 000	400 000	400 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	72 461 274	88 323 264	99 488 493	99 488 493	12,64%
Studies/Development conducted by the SJU	20 991 274	88 323 264	0	0	-100,00%
Studies/Development conducted by the Members	51 470 000	0	99 488 493	99 488 493	∞%
TOTAL	81 647 117	97 823 264	108 995 993	108 995 993	11,42%

(1) The difference between the Revenue Table (3.1) and the Commitments-Expenditure table (3.1.1) of EUR 9.2 million is the actual Budget Outturn in terms of Commitments for the year 2015, which is entered in the Budget Revenues 2016 as 'Unused appropriations of previous years'.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request (1)	Budget forecast	
Title 1 - Staff expenditure	4 927 129	6 191 500	6 195 000	6 195 000	0,06%
Salaries & allowances	4 589 909	5 496 500	5 500 000	5 500 000	0,06%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request (1)	Budget forecast	
- Of which establishment plan posts	4 303 302	5 196 500	5 200 000	5 200 000	0,07%
- Of which external personnel	286 607	300 000	300 000	300 000	0,00%
Expenditure relating to Staff recruitment	5 167	15 000	15 000	15 000	0,00%
Mission expenses	255 896	500 000	500 000	500 000	0,00%
Socio-medical infrastructure					
Training	27 447	85 000	85 000	85 000	0,00%
External Services	39 411	60 000	60 000	60 000	0,00%
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	9 299	35 000	35 000	35 000	0,00%
Title 2 - Infrastructure and operating expenditure	2 446 657	3 308 500	3 312 500	3 312 500	0,12%
Rental of buildings and associated costs	651 910	978 500	1 042 500	1 042 500	6,54%
Information, communication technology and data processing	1 202 458	1 271 000	1 200 000	1 200 000	-5,59%
Movable property and associated costs	21 545	100 000	100 000	100 000	0,00%
Current administrative expenditure	297 337	344 000	350 000	350 000	1,74%
Postage / Telecommunications	105 595	195 000	200 000	200 000	2,56%
Meeting expenses	1 216	20 000	20 000	20 000	0,00%
Running costs in connection with operational activities					
Information and publishing	166 596	400 000	400 000	400 000	0,00%
Studies					
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	105 402 187	136 486 105	146 700 429	146 700 429	7,48%
Studies/Development conducted by the SJU	38 437 800	62 226 072	44 686 487	44 686 487	-28,19%
Studies/Development conducted by the Members	66 964 387	74 260 033	102 013 942	102 013 942	37,37%
TOTAL	112 775 973	145 986 105	156 207 929	156 207 929	7,00%

(1) Estimated EU contribution in 2017 for Title 3 EUR 41 910 145 from 06 03 51, EUR 20 000 000 from 06 02 51 and EUR 65 088 493 from 06 03 07 32.

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 7.370.831.

4 Justification of needs

Commission assessment

Human resources

The SESAR JU's request for additional staff (2 Contract Agents Group IV) is justified in light of the additional administrative burden resulting from the overlapping activities between 2015-2017 related to the closure of the 2007-2016 work programme (SESAR1) and the launching of SESAR 2020 and setting up of the new membership following the extension of the SESAR JU. The extension under the H2020 programme and the new Financial Regulation requires that the SESAR JU to revise and adapt all of its financial rules and processes governing the partnership.

Financial resources

The planned appropriations are adequate to cover the Joint Undertaking's work programmes.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The SESAR JU Staff Establishment Plan constitutes the document adopted by the Administrative Board defining the total number of positions by grade necessary to ensure the sound operational and financial management of the organization and in order to execute its Work programme. Compared to the approved Budget for 2015, the 2016 Draft Budget had foreseen a temporary staff increase of two additional Contract Agents Function Group IV in order to reinforce the resources in the Financial and Legal and the Procurement areas, during the 2016 and 2017 exercises. Following the decision on the extension of the SJU, in the beginning of 2015 the resources needed for the coming years have been assessed, in particular for the final phase of SESAR1 and the simultaneous phase-in of the SESAR 2020. A substantial growth of existing administrative, financial and legal tasks will take place during 2016-2017 with the launching of SESAR 2020, which took place in the last quarter of 2015, and a ramp-up phase that will extend over 2016 and 2017. Simultaneously, the closure of SESAR1 will take place during 2016 and 2017 which will entail actions in strict cooperation with the operations to ensure proper and effective projects closing.

Therefore, in order to secure that the technical and budgetary effort done to successfully close SESAR 1 and start SESAR 2020 is not compromised by a lack of sufficient legal and financial expertise, a temporary reinforcement of the SJU capacities in the Financial, the Legal and the Procurement sectors through the exceptional and temporary support of 2 contractual agents (1 with financial background and 1 with legal background) has been necessary during the 2016 and 2017 exercises and within budget. These staff needs remain in 2017 and could be met by internal redeployment in the sense that almost all positions in the staff establishment plan were filled by end 2015. The positions requested for 2017 remain thus in total 41 (39 Temporary Agents plus 2 Contract Agents), filled in by personnel recruited under the following types of contracts: TAs contracts, for position requesting a long-term duration of the contract within the limit of the existence of the SJU such as for the Chief ATM, the Chief Master Planning as well as some administrative functions such as the Head of Finance and Budget, the Financial Officer, etc. CAs contracts for some administrative support functions as explained above, where the TAs recruitment did not prove to be effective or for short term needs, - Secondments of personnel of the SESAR JU Members to the Joint Undertaking (see below) in accordance with Article 8 of the SJU Statutes, especially where specific highly specialized and technical skills in the SESAR domain are requested for the position. The Administrative Board authorized 3 SNEs positions where Member States' experience is requested.

The decision of the Administrative Board to adopt specific conditions on the secondment of staff of the members listed under Article 1.2 of the SESAR JU Statutes is motivated by the nature of the SESAR JU as public-private partnership, where public and private resources are put together for the achievement of objectives. The secondment from the members constitutes a highly flexible mechanism to attract skilled experts, with contracts with specific duration and a low risk scheme. Compared to 2016 it is estimated that the number of secondments from Members does not change, i.e. one position. With regard to the reduction of 1% of the staff in 2016 and overall of 5% by the end of 2017, the SESAR JU is an organization established for a fixed time, up to 31 December 2024 and consequently all the positions will be freed at that date. The above establishment plan takes into consideration the needs for the final phase of SESAR1 and phase in of the SESAR2020. The SESAR JU will perform a yearly reclassification exercise as previous years (namely 2015 and 2016).

4.1.2 Vacancy rate as of end 2015

The vacancy rate at the end of 2015 was of 2.6%, consisting of: 1 Temporary Agent still vacant. The selection process is planned to be launched in the course of 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A.

4.1.4 Salary assumption for calculating salary line (% applied)

The salaries are based on the Budget 2016 salaries and continue to take into account the recruitment of 2 additional CAs under short term contracts (2 years contract), and assumptions concerning the possible contract change of some staff members due to external mobility as well as an estimated increase related to the costs of life (in particular for the staff seconded from the SESAR JU Members).

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

In line with the explanations under 4.1.1., no increase in the staff level compared to 2016 is foreseen and consequently the costs in Title 1 have been maintained practically at the same level as 2016. Any costs for increase of salaries, reclassifications and contract changes are sufficiently covered by the requested budget (demonstrated also by the lower level of the 2015 executed budget). In 2017 Title 1 expenditure are exclusively funded by the SESAR2020 programme.

4.2.2 Title 2

All budget lines of Title 2 are kept practically at the same level of 2016. An increase of EUR 64.0 thousand for extra Security/Guard costs for the rented SJU premises is in line with the current EU security policy and largely compensated by an appropriate lower level of IT related costs compared to 2016. In 2017 Title 2 expenditure are exclusively funded by the SESAR2020 programme.

4.2.3 Title 3

This Title includes operational activities directly conducted by the SESAR JU (“Studies/developments conducted by the Sesar JU”, i.e. non-Members related operations) and those conducted by its Members (“Studies/developments conducted by the Members”, i.e. Members related operations). A further distinction is made for SESAR1 and SESAR2020 due to the obligation to hold separate accounts for each programme. While for SESAR2020 both Commitment and Payment Appropriations are budgeted, SESAR1 shows only the remaining Payment Appropriations necessary for balancing payments to fully close down the SESAR1 programme.

A) Non-Members related operations (Title 3.1)

For this Budget Line there are no commitment appropriations foreseen for 2017 (neither for SESAR1 nor for SESAR2020). Payments appropriations, on the other hand, amount to EUR 44.7 million for both programmes as follows:

A1) Non-Members related operations SESAR1

Payment appropriations of EUR 25.8 million include final payments against commitments carried forward from previous years (RAL) for operational activities financed by Eurocontrol on behalf of the SJU (EUR 14.6 million), Demonstration activities (EUR 8.9 million) and other contracts (EUR 2.3 million).

A2) Non-Members related operations SESAR2020

For SESAR2020, payment appropriations of EUR 18.9 million include Interim Payments for the first call Exploratory Research committed in 2014s (EUR 3.2 million) and Interim Payments for calls and programme support activities outside of the core programme committed in 2016 (EUR 15.6 million, including Very Large Demonstration Activities, further calls for Exploratory Research, Industrial Support,...). For more details please see supporting documentation.

B) Members related operations (Title 3.3)

For this line, total 2017 commitment appropriations are EUR 99.5 million (exclusively SESAR2020) and EUR 102.0 million for payment appropriations, split as follows:

B1) Members related operations SESAR1

As for the PPP programme activities for SESAR1, final balancing payments of estimated EUR 55.8 million for the conclusion of SESAR1 are foreseen when the final cost claims of all members will have been certified and fully accepted in 2017. This estimation is based on a prudent approach and will be further refined in cooperation with the Members during the first half of 2016.

B2) Members related operations SESAR2020

For SESAR2020, EUR 99.5 of commitment appropriations are foreseen to be fully used for the second instalment of Wave1 approved in the Multiannual Work Programme for SESAR 2020. In terms of payment appropriations, an estimated amount of EUR 46.2 is expected for remaining Pre-Financings of Wave 1 projects. No Interim or Balancing payments to Members are yet foreseen in 2017. For more details please see supporting documentation.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Avenue de Cortenbergh 100 – 1000 Brussels	
Surface area (in square metres) <ul style="list-style-type: none"> • Of which office space • Of which non-office space 	1828 63	Additionally 28 Car Parking Spaces, not measured in surface area
Annual rent (in EUR)	427 925	
Type and duration of rental contract	9 year lease contract	Started 01.03.2016
Host country grant or support		
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT exemption as of 16.10.2008, Administrative Agreement with the Belgian Authorities since 30.03.2009	Protocol of Privileges and Immunities applicable to staff with regard to VAT.	

5.3 Evaluation

A first evaluation of the joint undertaking was submitted to the Budgetary Authority by the end of 2010. A new evaluation of the joint undertaking was carried out mid-2014. The main findings are as follows:

“The activities of the SESAR JU during the period under consideration were in line with the SESAR JU Regulation and focused on the tasks set. No activities outside this remit were identified. It is generally considered that the tasks of the SESAR JU are defined in a broad way. This gives some flexibility, which enables the SJU to adapt to changes in the R&D and ATM environments and to undertake a variety of specific tasks as required in order to fulfil its aim.

Even though the SESAR JU was set up prior to 2010, certain functions/provisions were updated/or established during the reference period 2010-2012 (e.g. staff provisions, internal audit function, implementation of Regulation (EU) 1049/2011). This reflects the fact that the SESAR JU was operationally evolving and adapting to requirements during the period under consideration. As such, it did not bring into question SESAR JU's compliance.

In conclusion, it is found that the SESAR JU set up and operations are relevant and fulfil the requirements of the legal framework.”

<http://ec.europa.eu/transport/facts-fundings/evaluations/doc/2014-06-sju-2nd-midterm-evaluation-report.pdf>

4.2.1.2 Shift 2 rail Joint Undertaking - S2R

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking	16/06/2014	<p>The S2R Joint Undertaking shall have the following as general objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing the Horizon 2020 Framework Programme and in particular part of the Smart, Green and Integrated Transport Challenge under the Societal Challenges pillar of Decision No 743/2013 EU of the Council of 03 December 2013 establishing the Horizon 2020 Specific Programme.</p> <p>(b) to contribute to the achievement of the Single European Railway Area, to a faster and cheaper transition to a more attractive, competitive, efficient and sustainable European rail system, and to a modal shift from road and air to rail, through a comprehensive and co-ordinated approach addressing the research and innovation needs of the rail system and its users. This approach shall cover rolling stock, infrastructure and traffic management for the market segments of freight and of long-distance, regional, local and urban passenger traffic, as well as intermodal links between rail and other modes, providing users with an integrated end-to-end solution for their rail travel and transport needs – from transaction support to en-route assistance.</p> <p>(c) to develop – and ensure the effective and efficient implementation of – a strategic Master Plan (the "S2R Master Plan"), as referred to in clause 1(4) of The Statutes contained in Annex I.</p> <p>(d) to act as a central reference point on rail-related research and innovation actions funded at Union level, ensuring coordination among projects and providing all stakeholders with relevant information.</p> <p>(e) to actively promote the participation and close involvement of all relevant stakeholders from the full rail value chain and from outside the traditional rail industry, in particular: manufacturers of railway equipment (both rolling stock and train control systems) and their supply chain, infrastructure managers, railway operators (both passenger and freight), rail vehicle leasing companies, certifying agencies, professional staff associations, user associations (both passenger and freight), as well as the relevant scientific institutions or the relevant scientific community. The involvement of small and medium sized enterprises (SMEs), as defined in Commission Recommendation 2003/361/EC20, shall be encouraged.</p> <p>2. More specifically, the S2R Joint Undertaking shall seek to develop, integrate, demonstrate and validate innovative technologies and solutions that uphold the strictest safety standards and the value of which can be measured against the following key performance indicators, inter alia:</p> <p>(a) a 50% reduction of the life-cycle cost of the railway transport system, through a reduction of the costs of developing, maintaining, operating and renewing infrastructure and rolling stock, as well as through increased energy efficiency;</p> <p>(b) a 100% increase in the capacity of the railway transport system, to meet increased demand for passenger and freight railway services;</p> <p>(c) a 50% increase in the reliability and punctuality of rail services;</p> <p>(d) the removal of remaining technical obstacles holding back the</p>

Decision	Date	Mission/ Tasks / Functions
		<p>rail sector in terms of interoperability and efficiency, in particular by endeavouring to close points which remain open in Technical Specifications for Interoperability (TSIs) due to lack of technological solutions and by ensuring that all systems and solutions developed by the S2R Joint Undertaking are fully interoperable;</p> <p>(e) the reduction of negative externalities linked to railway transport, in particular noise, vibrations, emissions and other environmental impacts.</p>

1.2 Seat

Brussels, Belgium

1.3 Budget line

06 03 07 33 : Shift2Rail (S2R) Joint Undertaking — Support expenditure

06 03 07 34 : Shift2Rail (S2R) Joint Undertaking

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	4	2	4	5
Establishment plan posts : AST				
Establishment plan posts : AST/SC				
Total Establishment plan posts	4	2	4	5
Contract Agents	10	8	13	16
Seconded National Experts				
TOTAL STAFF	14	10	17	21

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	45 624 000	61 623 000
Other Revenue	2 866 222	3 305 307
TOTAL REVENUES	48 490 222	64 928 307

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	1 253 928	1 253 928	1 732 350	1 732 350
Title 2 - Infrastructure and operating expenditure	1 987 446	1 987 446	1 513 650	1 513 650
Title 3 - Operational expenditure	45 248 848	24 888 492	61 682 307	50 800 000

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
TOTAL EXPENDITURE	48 490 222	28 129 866	64 928 307	54 046 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1				1		1		1
AD 13										
AD 12										
AD 11										
AD 10										
AD 9		2				2		2		2
AD 8		1								
AD 7				1						1
AD 6								1		1
AD 5				1		1				
AD TOTAL		4		2		4		4		5
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		4		2		4		4		5
GRAND TOTAL	4		2		4		4		5	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	5	4	7	9
Function Group III	3	2	3	4
Function Group II	2	2	3	3
Function Group I				
TOTAL	10	8	13	16

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	45 353 000	45 624 000	59 985 399	61 623 000	35,07%
- Of which Administrative (Title 1 and Title 2)	1 313 592	1 577 618	1 579 870	1 579 870	0,14%
- Of which Operational (Title 3)	44 039 408	44 046 382	58 405 529	60 043 130	36,32%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	1 333 379	1 245 535	1 637 601	1 682 307	35,07%
- Of which EEA/EFTA (excl. Switzerland)	1 333 379	1 245 535	1 637 601	1 682 307	35,07%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	(1)	1 620 687 (2)	1 623 000	1 623 000	0,14%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES					

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS					
TOTAL	46 686 379	48 490 222	63 246 000	64 928 307	33,90%

(1) EUR 887 390 relating to a part of the 2015 industry contribution was received in 2015.

(2) Excluding the industry-contribution due in relation to the 2014 budget and part of the 2015 budget

3.2 Financial contributions from EU budget and the other partners and in kind contributions

CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	Budget Forecast
1. Financial contribution from EU	46 686 379	46 869 535	63 305 307
of which financial contribution (Title 1 and 2)	1 352 212	1 620 687	1 623 000
of which contribution in kind (Title 3)	45 334 167	45 248 848	61 682 307
2. Contributions from members other than the Union	887 390	81 260 019	51 390 954
of which financial contribution (Title 1 and 2)	887 390	1 620 687 (1)	1 623 000
of which contribution in kind (Title 3)		79 639 334	49 767 954
TOTAL CONTRIBUTIONS	47 573 769	128 129 554	114 696 261

(1) Excluding the industry-contributions due in relation to the 2014 budget and part of the 2015 budget

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	463 179	1 253 928	1 732 350	1 732 350	38,15%
Salaries & allowances	357 942	1 048 856	1 436 000	1 436 000	36,91%
- Of which establishment plan posts	120 682	439 102	402 000	402 000	-8,45%
- Of which external personnel	237 260	609 754	1 034 000	1 034 000	69,58%
Expenditure relating to Staff recruitment					
Mission expenses	5 519	91 812	48 500	48 500	-47,17%
Socio-medical infrastructure					
Training		33 931	67 850	67 850	99,96%
External Services					
Receptions, events and representation					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Social welfare					
Other Staff related expenditure	99 718	79 329	180 000	180 000	126,90%
Title 2 - Infrastructure and operating expenditure	889 033	1 987 446	1 513 650	1 513 650	-23,84%
Rental of buildings and associated costs	169 778	357 270	300 000	300 000	-16,03%
Information, communication technology and data processing	62 664	136 720	165 000	165 000	20,68%
Movable property and associated costs	257 599	32 933	30 000	30 000	-8,91%
Current administrative expenditure	2 420	55 886	50 000	50 000	-10,53%
Postage / Telecommunications		24 949	30 000	30 000	20,25%
Meeting expenses	24 690	89 816	100 000	100 000	11,34%
Running costs in connection with operational activities	109 378	424 133	400 000	400 000	-5,69%
Information and publishing	109 807	444 092	300 000	300 000	-32,45%
Studies					
Other infrastructure and operating expenditure	152 697	421 647	138 650	138 650	-67,12%
Title 3 - Operational expenditure	45 334 167	45 248 848	60 000 000	61 682 307	36,32%
TOTAL	46 686 379	48 490 222	63 246 000	64 928 307	33,90%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	412 859	1 253 928	1 732 350	1 732 350	38,15%
Salaries & allowances	357 942	1 048 856	1 436 000	1 436 000	36,91%
- Of which establishment plan posts	120 682	439 102	402 000	402 000	-8,45%
- Of which external personnel	237 260	609 754	1 034 000	1 034 000	69,58%
Expenditure relating to Staff recruitment					
Mission expenses	5 519	91 812	48 500	48 500	-47,17%
Socio-medical infrastructure					
Training		33 931	67 850	67 850	99,96%
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure	49 398	79 329	180 000	180 000	126,90%
Title 2 - Infrastructure and operating expenditure	817 446	1 987 446	1 513 650	1 513 650	-23,84%
Rental of buildings and associated costs	194 748	357 270	300 000	300 000	-16,03%
Information, communication technology and data processing	18 965	136 720	165 000	165 000	20,68%
Movable property and associated costs	361 559	32 933	30 000	30 000	-8,91%
Current administrative expenditure	406	55 886	50 000	50 000	-10,53%
Postage / Telecommunications		24 949	30 000	30 000	20,25%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Meeting expenses	20 953	89 816	100 000	100 000	11,34%
Running costs in connection with operational activities	155 718	424 133	400 000	400 000	-5,69%
Information and publishing	28 097	444 092	300 000	300 000	-32,45%
Studies					
Other infrastructure and operating expenditure	37 000	421 647	138 650	138 650	-67,12%
Title 3 - Operational expenditure		24 888 492	50 800 000 (1)	50 800 000	104,11%
TOTAL	1 230 305	28 129 866	54 046 000	54 046 000	92,13%

(1) Additional EUR 20 403 016 requested for budget 2016. In case not available/released in 2016, the new amount for 2017 is EUR 71 203 016.

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 0.

4 Justification of needs

Commission assessment

Human Resources

In order to provide S2R with adequate resources during its start-up phase and to allow S2R JU to achieve the high-level objectives defined in the S2R Master Plan, the staffing capacity of S2R JU needs to be reinforced in 2017. The Commission supports the JU's request for one additional temporary and three additional contract agent posts.

Financial Resources

The additional posts can be financed without an increase of S2R's administrative budget. S2R's request for the administrative budget is in line with the financial programming. As regards S2R's request for operational budget, the Commission supports S2R's request both in terms of commitment and payment appropriations. With regard to the financial programming, EUR 8 million in commitment appropriations are frontloaded to 2017 in order to deliver progress on fundamental research and industrial developments in early years.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

6 additional CA are requested. Please refer to section 4.2.1.

4.1.2 Vacancy rate as of end 2015

At 31 December 2015, there were 4 vacancies in the JU (offer letters sent counted as posts filled) setting the vacancy rate into 29%. This was due to the delayed recruitment of the Executive Director and other staff. The vacant posts were fulfilled by EC staff by ad interim.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

The estimate concerning the fulfilled posts has been calculated on the basis of actual cost. The vacant posts have been calculated by using average Commission costs (TA: EUR 109 000 per year, CA: EUR 47 000 per year) or by S2R JU estimate, whichever higher.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The estimated expenditure under Title 1 amounts to EUR 1 732 350 and represents 53 % of the total administrative budget. A majority of this amount covers the Salaries & allowances of the JU staff. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the members from the industry. The reasons for a difference in estimated budget under Title 1 are connected to the additional 6 contract agents and the increase of Other Staff related expenditure covering costs related to trainees and supplementary interim services, Service Level Agreements, medical services, recruitment and any other expenditure not budgeted separately within the Title.

4.2.2 Title 2

The request is necessary to cover the costs for the year 2017 on housing, administrative and IT support services, supplies and for meetings and audits supporting the JU operations. The financial resources are covered through financial contributions divided equally between the Union (including EFTA contribution) and the members from the industry.

4.2.3 Title 3

Title 3 covers the research and innovation activities conducted by the S2R JU and by its members. The EUR 60 million in terms of commitment appropriations are to be dedicated to the launch of activities under the S2R Annual Work Plan.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

N/A

5.1.2 Building project in the planning phase

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	
Surface area (in square metres)	918 m2	
1. Of which office space		
2. Of which non-office space		
Annual rent (in EUR)	207 000	
Type and duration of rental contract	Until 2024	
Host country grant or support	No	
Present value of the building	N/A	

5.1.3 Building projects submitted to the European Parliament and the Council

n/a

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Shift2Rail JU is granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities	As stated in the article 8 of the Council Regulation setting up the Shift2Rail JU (642/2014 of 16 June 2014), the Protocol on the Privileges and Immunities of the European Union, annexed to the Treaty on European Union the TFEU shall apply to the S2R Joint Undertaking and its staff.	

5.3 Evaluation

An evaluation of the Joint Undertaking is expected to be transmitted to the European Parliament and the Council by 31 December 2017.

4.2.1.3 Innovative Medicines Initiative Joint Undertaking - IMI2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation N° 73/2008 of 20/12/2007 setting up the Joint Undertaking for the implementation of the Joint Technology Initiative on Innovative Medicines(OJ L 30/38)	20/12/2007	<p>The IMI Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular the Theme ‘Health’ of the Specific Programme Cooperation implementing the Seventh Framework Programme. It shall have the objective of significantly improving the efficiency and effectiveness of the drug development process with the long-term aim that the pharmaceutical sector produces more effective and safer innovative medicines. In particular it shall: support ‘pre-competitive pharmaceutical research and development’ in the Member States and countries associated with the Seventh Framework Programme via a coordinated approach to overcome the identified research bottlenecks in the drug development process; support the implementation of the research priorities as set out by the Research Agenda of the Joint Technology Initiative on Innovative Medicines (hereinafter referred to as ‘Research Activities’), notably by awarding grants following competitive calls for proposals;</p> <p>ensure complementarity with other activities of the Seventh Framework Programme; be a public-private partnership aiming at increasing the research investment in the biopharmaceutical sector in the Members States and countries associated to the Seventh Framework Programme by pooling resources and fostering collaboration between the public and private sectors; promote the involvement of small and medium-sized enterprises (SME) in its activities, in line with the objectives of the Seventh Framework Programme.</p>
Council Regulation N° 557/2014 of 6 May 2014 establishing the Innovative Medicines Initiative 2 Joint Undertaking (OJ L 169/54)	06/05/2014	<p>For IMI2 as presented in the Commission proposal:</p> <p>The IMI 2 Joint Undertaking shall have the following objectives:</p> <p>to contribute to the implementation of Regulation (EU) No .../2013/EU the Horizon 2020 Framework Programme, in particular part ... of Decision (EU) No .../2013/EU the Specific Programme implementing the Horizon 2020 Framework Programme, and in particular to improving European citizens' health and wellbeing.</p> <p>to contribute to the objectives of the Joint Technology Initiative on Innovative Medicines, in particular to:</p> <ul style="list-style-type: none"> i) increase the success rate in clinical trials of priority medicines identified by the World Health Organisation; ii) reduce the time to reach clinical proof of concept in medicine development, such as for immunological, respiratory, neurological and neurodegenerative diseases; iii) develop new therapies for diseases for which there is a high unmet need, such as Alzheimer's disease and limited market incentives, such as antimicrobial resistance; iv) develop diagnostic and treatment biomarkers for diseases clearly linked to clinical relevance and approved by regulators; v) reduce the failure rate of vaccine candidates in phase III clinical trials through new biomarkers for initial efficacy and safety checks; vi) improve the current drug development process by providing support for the development of tools, standards and approaches to assess efficacy, safety and quality of regulated health products.

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 31 : Innovative Medicines Initiative 2 (IMI2) Joint Undertaking — Support expenditure

08 02 07 32 : Innovative Medicines Initiative 2 (IMI2) Joint Undertaking

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	29	25	32	33
Establishment plan posts : AST	6	6	6	6
Establishment plan posts : AST/SC				
Total Establishment plan posts	35	31	38	39
Contract Agents	9	7	9	10
Seconded National Experts				
TOTAL STAFF	44	38	47	49

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	202 527 000	178 677 953
Other Revenue	10 205 105	9 693 732
TOTAL REVENUES	212 732 105	188 371 685

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	5 353 000	5 353 000	5 702 000	5 702 000
Title 2 - Infrastructure and operating expenditure	4 192 520	4 192 520	4 127 000	4 127 000
Title 3 - Operational expenditure	203 186 585	196 856 809	178 542 685	197 000 000
TOTAL EXPENDITURE	212 732 105	206 402 329	188 371 685	206 829 000

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12		1		2		1		2		2
AD 11		4		4		4		4		4
AD 10										
AD 9		2		1		2		3		3
AD 8		9		7		9		7		7
AD 7		5		5		5		5		5
AD 6										
AD 5		7		5		10		11		11
AD TOTAL		29		25		32		33		33
AST 11										
AST 10										
AST 9										
AST 8		1		1		1		1		1
AST 7										
AST 6										
AST 5										
AST 4										
AST 3		5		5		5		5		5
AST 2										
AST 1										
AST TOTAL		6		6		6		6		6
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		35		31		38		39		39

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	35		31		38		39		39	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	2	1	2	2
Function Group III	5	5	6	7
Function Group II	2	1	1	1
Function Group I	0			
TOTAL	9	7	9	10

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL				

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	215 799 951	202 527 000	178 677 953	178 677 953	-11,78%
- Of which Administrative (Title 1 and Title 2) (3)	4 420 536	4 740 000 (2)	4 879 953	4 879 953	2,95%
- Of which Operational (Title 3)	211 379 415	197 787 000	173 798 000	173 798 000	-12,13%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	6 234 270	5 432 345	4 779 232	4 779 232	-12,02%
- Of which EEA/EFTA (excl. Switzerland) (1)	6 234 270	5 432 345	4 779 232	4 779 232	-12,02%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	4 440 251	4 772 760	4 914 500	4 914 500	2,97%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	60 555 511				
TOTAL	287 029 983	212 732 105	188 371 685	188 371 685	-11,45%

(1) EFTA contribution for 2015 2.94%, 2016 2.73% and for 2017 has been estimated for 2.73%.

(2) For 2016 an amount of € 32.760 will be transferred from running costs line 08 02 07 31 to Societal challenge line 08.020301-C1-RTD 836 E0 in commitment and in payment appropriations, C1 credits. Note that item OTHER CONTRIBUTIONS will be reduced by the equivalent amount (industry contribution).

(3) An amount of EUR 15 800 000 € was made available in 2013 for the EU contribution to the running costs in CA in 2014-2017. The credits coming from the amount frontloaded in 2013 to cover the running costs of the implementation of IMI1 are in 2014 3 950 000 € in 2015 3 749 951 €, in 2016 3 540 000 € and in 2017 3 614 500 €

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Financial contributions overview	2008-2014 executed	2015	2016	2017	Total
Financial contributions from EU	513,615,970	147,440,251	201,629,569	201,914,500	1,065,600,290
Financial contributions from industry	14,662,775	3,756,573 41	4,772,760	4,914,500	28,106,608
In kind contributions from industry	242,160,350	65,432,240	207,298,195	200,000,000	714,890,785
Total contributions	771,439,095	216,629,064	413,700,524	406,829,000	1,808,597,683

The financial contributions from EU cover the payment appropriations for operational and running costs.

The industry contribution for 2015 represents the amount cashed in IMI bank account as of 31 December 2015.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	4 094 280	5 353 000	5 702 000	5 702 000	6,52%
Salaries & allowances	3 560 120	4 893 000	5 242 000	5 242 000	7,13%
- Of which establishment plan posts	3 286 770	4 393 000	4 722 000	4 722 000	7,49%
- Of which external personnel	273 350	500 000	520 000	520 000	4,00%
Expenditure relating to Staff recruitment	20 000	20 000	20 000	20 000	0,00%
Mission expenses	190 000	190 000	190 000	190 000	0,00%
Socio-medical infrastructure	318 160	230 000	230 000	230 000	0,00%
Training					
External Services					

41 From which EUR 2,220,000 issued and cashed in 2015, 1,348,042 EUR issued in 2015 and cashed in 2016, and 188,531 EUR issued in 2016 and cashed in 2016

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Receptions, events and representation	6 000	20 000	20 000	20 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 603 594	4 192 520	4 127 000	4 127 000	-1,56%
Rental of buildings and associated costs	997 902	660 000	660 000	660 000	0,00%
Information, communication technology and data processing	493 587	560 000	560 000	560 000	0,00%
Movable property and associated costs	203	153 000	153 000	153 000	0,00%
Current administrative expenditure	101 954	123 000	123 000	123 000	0,00%
Postage / Telecommunications	39 837	68 000	68 000	68 000	0,00%
Meeting expenses	110 000	158 000	158 000	158 000	0,00%
Running costs in connection with operational activities	325 921	500 000	500 000	500 000	0,00%
Information and publishing	138 235	625 000	625 000	625 000	0,00%
Studies	795 955	580 000	580 000	580 000	0,00%
Other infrastructure and operating expenditure	600 000	765 520	700 000	700 000	-8,56%
Title 3 - Operational expenditure	279 332 109 (1)	203 186 585	178 542 685	178 542 685	-12,13%
TOTAL	287 029 983	212 732 105	188 371 685	188 371 685	-11,45%

(1) The breakdown of commitment appropriations execution: 203 748 315 EUR out of 226 474 967 EUR 2015 commitment appropriations available.83 281 667 EUR out of 88 794 571 EUR carry overs from 2014.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	3 930 018	5 353 000	5 702 000	5 702 000	6,52%
Salaries & allowances	3 560 099	4 893 000	5 242 000	5 242 000	7,13%
- Of which establishment plan posts	3 286 749	4 393 000	4 722 000	4 722 000	7,49%
- Of which external personnel	273 350	500 000	520 000	520 000	4,00%
Expenditure relating to Staff recruitment	20 854	20 000	20 000	20 000	0,00%
Mission expenses	107 526	190 000	190 000	190 000	0,00%
Socio-medical infrastructure	233 121	230 000	230 000	230 000	0,00%
Training					
External Services					
Receptions, events and representation	8 418	20 000	20 000	20 000	0,00%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 583 952	4 192 520	4 127 000	4 127 000	-1,56%
Rental of buildings and associated costs	998 529	660 000	660 000	660 000	0,00%
Information, communication technology and data processing	439 541	560 000	560 000	560 000	0,00%
Movable property and associated costs	5 039	153 000	153 000	153 000	0,00%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Current administrative expenditure	90 245	123 000	123 000	123 000	0,00%
Postage / Telecommunications	57 038	68 000	68 000	68 000	0,00%
Meeting expenses	103 633	158 000	158 000	158 000	0,00%
Running costs in connection with operational activities	250 533	500 000	500 000	500 000	0,00%
Information and publishing	300 940	625 000	625 000	625 000	0,00%
Studies	707 758	580 000	580 000	580 000	0,00%
Other infrastructure and operating expenditure	630 696	765 520	700 000	700 000	-8,56%
Title 3 - Operational expenditure	134 509 654 (1)	196 856 809	197 000 000	197 000 000	0,07%
TOTAL	142 023 624	206 402 329	206 829 000	206 829 000	0,21%

(1) The breakdown of payment appropriations execution: 99 353 023 EUR out of 151 881 400 EUR 2015 payment appropriations available. 42 675 611 EUR out of 43 530 017 EUR carry over from 2014.

3.4 Budget Outturn

First estimate of the 2015 payment appropriations surplus that remains within the Joint Undertaking: EUR 51 965 481.

4 Justification of needs

Commission assessment

Human Resources

Human resources agreed in line with JU request and LFS.

Financial Resources

The appropriations agreed are adequate to cover the Joint Undertaking's work programme.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

In order to comply with the objectives and obligations established for the new IMI2 JU, it is planned to recruit in 2017 1 additional AD5 compared with the establishment plan approved for 2016. The estimated workload is increasing due to the number of running projects (under IMI1 and IMI2): from 59 in 2015 to 90 in 2016 and 95 in 2017. Project management activities will increase proportionally, for example the number of cost claims on projects will increase from 1450 in 2015 to 2300 in 2016 and 2600 in 2017. At the same time, monitoring of project outputs, results and communication will require additional resources.

4.1.2 Vacancy rate as of end 2015

At the end of 2015, the vacancy rate for the establishment plan posts (TA) was 11.4 % (31 positions filled in out of 35 approved including offer letters sent).

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The following average costs per year are applied by the Joint Undertaking (in EUR): temporary agents: EUR 115 300 (2016), EUR 119 335 (2017); contract agents: EUR 56 800 (2016), EUR 58 788 (2017).

4.1.5 Correction coefficient used

As the IMI Joint Undertaking is seated in Brussels, the country coefficient applied is 100%.

4.1.6 Exchange rate used (if applicable)

Not applicable.

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Title 1 will mostly cover salaries of staff as per staff establishment plan, missions and socio-medical structure including training of staff. The increase in salaries is due to additional post foreseen for 2017 and 3.5% promotion and indexation. The costs of Title 1 are shared 50/50 between the EC and EFPIA.

4.2.2 Title 2

Title 2 will mostly cover rent, IT, office, communication, workshops, experts, meetings and ex-post audits related expenditure. In 2017 Title 2 is foreseen to remain at the same level with 2016. IMI will continue to execute its budget applying principles of sound financial management; in case some areas will require additional budget, this will be covered by efficiency gains obtained in other areas within Title 2. The costs of Title 2 are shared 50/50 between EC and EFPIA.

4.2.3 Title 3

Title 3 covers expenditure related to implementation of the research agenda of IMI JU. There is a decrease of the appropriations in 2017 compared with 2016 (commitment appropriations by 14.4% and payment appropriations by 25.9%) in alignment with the reduction in the general budget of the EC and with IMI budget execution in previous years (below 95%).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	
Surface area (in square metres) Of which office space Of which non-office space	1475 1289 186	
Annual rent (in EUR)	460 000	
Type and duration of rental contract	The same as other JTI occupying the building	
Host country grant or support	none	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

The surface area indicated relates to the space currently occupied by the IMI JU office. In addition to the space to be used exclusively by IMI JU office, the IMI JU has access to a total of 733.4 m2 meeting room facilities. These facilities are shared with the other JUs (ESCEL, CleanSky, FCH and BBI) housed in the same building.

5.1.3 Building projects submitted to the European Parliament and the Council

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
A host agreement has been signed in 2012	As stated in article 8 of the Council Regulation setting up the IMI JU (73/2008 of 20/12/2007) the Protocol on the Privileges and Immunities of the European Communities shall apply to the IMI JU and its staff	

5.3 Evaluation

As per article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent expert, an interim evaluation of the IMI2 Joint Undertaking.

4.2.1.4 Bio-Based Industries Joint Undertaking - BBI

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Council Regulation No 560/2014 of 6 May 2014 establishing the Bio-Based Industries Joint Undertaking (OJ 169/130)	06/05/2014	This Regulation sets up the Bio-based Industries Joint Undertaking (BBI JU) under Article 187 of the Treaty on the Functioning of the European Union (TFEU) for the implementation of the Joint Technology Initiative on Bio-based Industries (BBI JTI).

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 33 : Bio-Based Industries (BBI) Joint Undertaking — Support expenditure

08 02 07 34 : Bio-Based Industries (BBI) Joint Undertaking

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	10	7	10	10
Establishment plan posts : AST	3	1	3	3
Establishment plan posts : AST/SC				
Total Establishment plan posts	13	8	13	13
Contract Agents	9	4	9	9
Seconded National Experts			0	
TOTAL STAFF	22	12	22	22

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	158 082 500	81 174 465
Other Revenue	7 258 967	4 837 970
TOTAL REVENUES	165 341 467	86 012 435

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	2 473 200	2 473 200	2 837 500	2 837 500
Title 2 - Infrastructure and operating expenditure	1 719 511	1 719 511	2 131 947	2 131 947
Title 3 - Operational expenditure	161 148 756	61 790 837	81 042 988	68 713 784

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
TOTAL EXPENDITURE	165 341 467	65 983 548	86 012 435	73 683 231

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13 (4)		1				1		1		1
AD 12										
AD 11		2				2		2		2
AD 10 (1)		2				2		2		2
AD 9										
AD 8 (2)		2				2		2		2
AD 7		2		4		2		2		2
AD 6				2						
AD 5										
AD TOTAL		10		7		10		10		10
AST 11										
AST 10										
AST 9										
AST 8										
AST 7 (3)		3				3		3		3
AST 6										
AST 5										
AST 4				1						
AST 3										
AST 2										
AST 1										
AST TOTAL		3		1		3		3		3
AST/SC 6										
AST/SC 5										
AST/SC 4										

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		13		8		13		13		13
GRAND TOTAL	13		8		13		13		13	

- (1) 2 AD10 posts published as AD7 and filled as AD7
(2) 2 AD8 posts published as AD6 and filled as AD6
(3) 2 AST7 posts published as AST4 and AST2 and filled as AST4 and AST2
(4) 1 AD13 post published as AD7 and to be filled as AD7 in 2016

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	5	3	5	5
Function Group III	4	1	4	4
Function Group II	0		0	
Function Group I				
TOTAL	9	4	9	9

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL			0	

3 Financial Resources

3.1 Revenues

REVENUES (5)	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	201 908 289	158 082 500	81 174 465	81 174 465	-48,65%
- Of which Administrative (Title 1 and Title 2)	1 412 372	1 946 263	2 285 155	2 285 155	17,41%
- Of which Operational (Title 3) (4)	200 495 917	156 136 237	78 889 310	78 889 310	-49,47%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	5 941 622	4 315 652	2 216 063	2 216 063	-48,65%

REVENUES (5)	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
- Of which EEA/EFTA (excl. Switzerland)	5 941 622	4 315 652	2 216 063	2 216 063	-48,65%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS (1)		750 000			-100%
5 ADMINISTRATIVE OPERATIONS (2)	1 572 886	2 193 315	2 621 907	2 621 907	19,54%
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS (3)					
TOTAL	209 422 797	165 341 467	86 012 435	86 012 435	-47,98%

(1) For 2015, 2016 and 2017 this is the contribution from the industry partner to BBI JU operational expenditure

(2) For 2015, 2016 and 2017 this is the contribution from the industry partner to BBI JU support expenditure (deemed to be 50/50). Over this period the industry contribution exceeds EU+EFTA contribution to support expenditure as it contains part of the reimbursement for the 2014 contribution which the industry partner did not provide.

(3) An amendment to the BBI JU 2016 budget will be submitted during the course of 2016 for adoption by the BBI JU Governing Board, to add the C2 reactivations of 2014 and 2015 unused appropriations currently on BBI JU account. The total of €165 341 467 reflects the current BBI JU budget 2016 as voted by the Governing Board in December 2015. The aforementioned reuse of unused appropriations in BBI JU's accounts (to be reactivated in a forthcoming amendment of the BBI JU 2016 budget) are as follows: 2014: operational CAs €1 846 292 (to be reactivated for operational expenditure), 2015: operational CAs €26 000 000 (to be reactivated for operational expenditure), 2015: administrative CAs €1 108 111 (to be reactivated for administrative expenditure), 2015: administrative PAs €1 212 639 (to be reactivated for administrative expenditure)

(4) For 2017 the operational commitment appropriations figure takes into account the intention by the EC to suspend by 50,000,000€ its contribution to BBI JU operational expenditure (from 131,042,988€ to 81,042,988€, EFTA incl.), in accordance to article 4.5 of BBI JU Council regulation, following the Bio-based Industries Consortium not honouring its in-cash contribution to BBI JU operational expenditure in 2014-2016. These 50,000,000€ to be suspended would be made available again in the contribution from the EC to the BBI JU operational expenditure in 2020. Formal budgetary decision at EC level on this partial suspension will be sought during the course of 2016.

(5) For Executed Budget 2015: the figures in this table (and the ones in table 3.2) correspond (for EC incl EFTA part) to what has been executed at EC level for the JU (some commitment appropriations were committed before BBI JU migration in October 2015 and the rest was committed as part of the migration process). Industry contribution is what has been received from industry during 2015. The figures in table 3.1 therefore differ from the ones in table 3.3.1. In table 3.3.1, executed 2015 figures reflect the committing of available commitment appropriations. The main difference between the figures in table 3.1 and 3.3.1 comes from title 3, for which EUR 26 million was decommitted by the BBI JU at end 2015 (out of EUR 100million committed, as the project ranking list for this call only amounted to some EUR 74 million).

3.2 Financial contributions from EU budget and the other partners and in kind contributions

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	207 849 911	162 398 152	83 390 528
2. CONTRIBUTIONS FROM INDUSTRY (BIC)	555 072 886	295 343 315	296 221 907
of which financial contribution to administration (cash)	1 572 886	2 193 315	2 621 907
of which financial contribution to operational costs	0	750 000	p.m.

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
of which contribution (in kind and additional activities)	553 500 000	292 400 000	293 600 000
3 OTHER MEMBERS CONTRIBUTIONS	0	0	0
TOTAL CONTRIBUTIONS	762 922 797	457 741 467	379 612 435

*The amounts include EFTA

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	616 231	2 473 200	2 837 500	2 837 500	14,73%
Salaries & allowances	553 628	2 277 000	2 393 700	2 393 700	5,13%
- Of which establishment plan posts	553 628	2 277 000	2 393 700	2 393 700	5,13%
- Of which external personnel					
Expenditure relating to Staff recruitment	19 061	21 500	259 500	259 500	1106,98%
Mission expenses	9 402	118 200	95 000	95 000	-19,63%
Socio-medical infrastructure	31 640	51 000	79 300	79 300	55,49%
Training					
External Services					
Receptions, events and representation	2 500	5 500	10 000	10 000	81,82%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 307 958	1 719 511	2 131 947	2 131 947	23,99%
Rental of buildings and associated costs	263 023	312 200	307 001	307 001	-1,67%
Information, communication technology and data processing	127 645	125 500	159 846	159 846	27,37%
Movable property and associated costs	20 201	5 300	5 000	5 000	-5,66%
Current administrative expenditure	15 411	28 200	42 000	42 000	48,94%
Postage / Telecommunications	3 912	18 800	5 000	5 000	-73,40%
Meeting expenses	28 812	100 900	139 800	139 800	38,55%
Running costs in connection with operational activities	759 800	728 011	961 300	961 300	32,04%
Information and publishing	89 154	295 600	412 000	412 000	39,38%
Studies		105 000	100 000	100 000	-4,76%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure (1)	180 390 497	161 148 756	81 042 988	81 042 988	-49,71%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
TOTAL	182 314 686	165 341 467	86 012 435	86 012 435	-47,98%

(1) For 2017 the operational commitment appropriations figure takes into account the intention by the EC to suspend by 50,000,000€ its contribution to BBI JU operational expenditure (from 131,042,988€ to 81,042,988€, EFTA incl.) (as reflected in the draft revised BBI JU multi-annual financial programming where EFTA surplus are also removed), in accordance with article 4.5 of BBI JU Council regulation, following the Bio-based Industries Consortium not honouring its in-cash contribution to BBI JU operational expenditure in 2014-2016. These 50,000,000€ to be suspended would be made available again in the contribution from the EC to the BBI JU operational expenditure in 2020. Formal budgetary decision at EC level on this partial suspension will be sought during the course of 2016.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	569 965	2 473 200	2 837 500	2 837 500	14,73%
Salaries & allowances	541 627	2 277 000	2 393 700	2 393 700	5,13%
- Of which establishment plan posts	541 627	2 277 000	2 393 700	2 393 700	5,13%
- Of which external personnel					
Expenditure relating to Staff recruitment	13 908	21 500	259 500	259 500	1106,98%
Mission expenses	6 635	118 200	95 000	95 000	-19,63%
Socio-medical infrastructure	5 747	51 000	79 300	79 300	55,49%
Training					
External Services					
Receptions, events and representation	2 048	5 500	10 000	10 000	81,82%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	533 440	1 719 511	2 131 947	2 131 947	23,99%
Rental of buildings and associated costs	263 023	312 200	307 001	307 001	-1,67%
Information, communication technology and data processing	87 868	125 500	159 846	159 846	27,37%
Movable property and associated costs	20 201	5 300	5 000	5 000	-5,66%
Current administrative expenditure	1 776	28 200	42 000	42 000	48,94%
Postage / Telecommunications		18 800	5 000	5 000	-73,40%
Meeting expenses	28 279	100 900	139 800	139 800	38,55%
Running costs in connection with operational activities (1)	65 846	728 011	961 300	961 300	32,04%
Information and publishing	66 447	295 600	412 000	412 000	39,38%
Studies		105 000	100 000	100 000	-4,76%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure (2)	17 713 972	61 790 837	68 713 784	68 713 784	11,20%
TOTAL	18 817 377	65 983 548	73 683 231	73 683 231	11,67%

(1) As of the BBI JU calls 2015: BBI JU CAs and PAs for the payment of expert evaluators are transferred to REA which raises the corresponding commitments and makes the payments. The REA payment execution figure for 2015 (43 544€) is provided by REA. On top of this, BBI JU executed payments for 22 302€ in 2015 for BBI JU 2014 evaluators that had not been paid by end 2014.

(2) The operational payment forecast for 2017 takes into account the foreseen reactivation of some unused appropriations at BBI JU level from 2014 and 2015 to top-up BBI JU call 2016- to be submitted to the BBI JU Governing Board as an amendment to the BBI JU 2016 budget.

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 2 257 815.

4 Justification of needs

Commission assessment

Human Resources

The Commission considers that the BBI JU's request is consistent with the JUs' needs to fulfil its activities.

Financial Resources

The Commission considers that the BBI JU's request is consistent with the JUs' needs to fulfil its activities.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

13 TAs and 9 CAs have been requested and approved.

4.1.2 Vacancy rate as of end 2015

At end 2015 10 TAs and 4 CAs were in place out of a total establishment plan of 13 TAs and 9CAs. The remaining posts will all be filled by the end of 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

For the TAs the computation was based on average cost, regardless of differences in step/grade amongst TAs. For the 13 TAs this gives a rounded total of EUR1 400 000, and for the 9 CAs EUR 520 000. The sum of EUR 1 920 000 was increased to EUR 2 304 000 to foresee possible promotions as of April 2017. To this amount, EUR 89 700 have been added for other staff related expenditure (e.g. fees for PMO administrative services). Therefore total for staff in active employment is EUR 2 393 700.

4.1.5 Correction coefficient used

N/A

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The main cost in Title 1 is staff in active employment. The budget for 2017 assumes the full level of BBI staff (all recruitment will be completed by the end of 2016) as well as a certain number of promotions.

4.2.2 Title 2

The main costs under Title 2 are expert costs (5 experts for each proposal and each expert can evaluate 8 proposals), building rental and associated charges (2017 costs based on invoices received in early 2016 + indexation + provision for extra parking spaces), communication costs (several events are foreseen – Info Day, Stakeholder Forum, website costs, PR/brochures etc) and studies (service contracts) to cover potential ex-post audits of beneficiaries by external auditors.

4.2.3 Title 3

The requested commitment appropriations will be used for the 2017 call. The requested payment appropriations will be used to pre-finance the call of 2016 as well as the first interim payments for the call of 2014.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	WHITE ATRIUM	Shared with other JUs, all JUs are under the same contract
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	892.5 m2 (3rd floor) + common meeting rooms to all JUs (1st floor, total for all JUs = 733.4 m ²) + common space in archive rooms (total for all JUs = 116 m ²) + 8 parking spaces	
Annual rent (in EUR)	307 001 (incl. provision for charges and some provision for potential works)	
Type and duration of rental contract	Rental , until 2024	
Host country grant or support	BE Vat Exemption	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
VAT Exemption	BE	

5.3 Evaluation

As per Article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the BBI Joint Undertaking

4.2.1.5 Clean Sky 2 Joint Undertaking - CLEANSKY2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation N°- 71/2008 of 20/12/2007- setting up the Clean Sky Joint Undertaking (OJ L 30/1, 04/02/2008)	20/12/2007	<p>The Clean Sky Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme and in particular Theme 7, Transport (including Aeronautics) of the Specific Programme Cooperation. The objectives of the Clean Sky Joint Undertaking shall be the following:</p> <ul style="list-style-type: none"> - accelerating in the EU the development, validation and demonstration of clean Air Transport technologies for earliest possible deployment; - ensuring coherent implementation of European research efforts aiming at environmental improvements in the field of Air Transport; <p>- creating a radically innovative Air Transport System based on the integration of advanced technologies and full scale demonstrators, with the target of reducing the environmental impact of air transport through significant reduction of noise and gaseous emissions, and improvement of the fuel economy of aircrafts;</p> <ul style="list-style-type: none"> - accelerating the generation of new knowledge, innovation and the uptake of research proving the relevant technologies and fully integrated system of systems, in the appropriate operational environment, leading to strengthened industrial competitiveness.
Council Regulation N° 558/2014 of 6 May 2014 establishing the Clean Sky 2 Joint Undertaking (OJ L 169/77)	06/05/2014	<p>The Clean Sky 2 Joint Undertaking shall contribute to the implementation of the Framework Programme for Research and Innovation (2014-2020) (“Horizon 2020”) , and in particular the Smart, Green and Integrated Transport Challenge under Part III — Societal Challenges.</p> <p>The Clean Sky 2 Joint Undertaking shall have the following objectives:</p> <ul style="list-style-type: none"> - to contribute to the finalisation of research activities initiated under Regulation (EC) No 71/2008; - to contribute to improving the environmental impact of aeronautical technologies, including those relating to small aviation, as well as to developing a strong and globally competitive aeronautical industry and supply chain in Europe. <p>This can be realised through speeding up the development of cleaner air transport technologies for earliest possible deployment, and in particular the integration, demonstration and validation of technologies capable of:</p> <ul style="list-style-type: none"> - increasing aircraft fuel efficiency, thus reducing CO 2 emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014; - reducing aircraft NO x and noise emissions by 20 to 30 % compared to ‘state-of-the-art’ aircraft entering into service as from 2014.

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 35 : Clean Sky 2 Joint Undertaking — Support expenditure

08 02 07 36 : Clean Sky 2 Joint Undertaking

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	32	31	32	32
Establishment plan posts : AST	4	3	4	4
Establishment plan posts : AST/SC				
Total Establishment plan posts	36	34	36	36
Contract Agents	6	6	6	6
Seconded National Experts			2	2
TOTAL STAFF	42	40	44	44

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	197 399 440	192 870 699
Other Revenue	61 300 418	9 394 456
TOTAL REVENUES	258 699 858	202 265 155

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	4 500 000	4 777 334	4 590 000	4 590 000
Title 2 - Infrastructure and operating expenditure	2 540 316	4 326 694	2 404 848	2 404 848
Title 3 - Operational expenditure	251 659 542	278 466 864	195 270 307	204 288 888
TOTAL EXPENDITURE	258 699 858	287 570 892	202 265 155	211 283 736

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 13										
AD 12										
AD 11						1		2		2
AD 10		3		3		4		3		3
AD 9		10		10		8		10		10
AD 8		1		1		3		1		1
AD 7		8		5		3		5		5
AD 6		9		9		10		10		10
AD 5				2		2				
AD TOTAL		32		31		32		32		32
AST 11										
AST 10										
AST 9										
AST 8										
AST 7						1		1		1
AST 6		4		1						
AST 5										
AST 4				2		3		3		3
AST 3										
AST 2										
AST 1										
AST TOTAL		4		3		4		4		4
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
		36		34		36		36		36
GRAND TOTAL		36		34		36		36		36

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	1	1	1	1
Function Group III	2	2	2	2
Function Group II	3	3	3	3
Function Group I				
TOTAL	6	6	6	6

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL			2	2

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request (4)	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	341 841 747	197 399 440	192 870 699	192 870 699	-2,29%
- Of which Administrative (Title 1 and Title 2)	1 864 218	2 625 785	3 037 689	3 037 689	15,69%
- Of which Operational (Title 3)	339 977 529	194 773 655	189 833 010	189 833 010	-2,54%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	10 050 147	5 389 005	5 265 370	5 265 370	-2,29%
- Of which EEA/EFTA (excl. Switzerland) (2)	10 050 147	5 389 005	5 265 370	5 265 370	-2,29%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	3 913 761	3 520 158	3 497 424	3 497 424	-0,65%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	116 601	91 764	70 000	70 000	-23,72%
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS	84 783 350	52 299 491 (3)	561 662	561 662 (1)	-98,93%
TOTAL	440 705 606	258 699 858	202 265 155	202 265 155	-21,81%

(1) In 2013 an amount of EUR 9 514 908 EU contribution was made available for the FP7 related administrative costs covering the period 2014-2017. Out of which EUR 376 806 will be implemented in 2017 for FP7 related administrative expenditure and EUR 118 955 will be used for operational expenditure.

(2) EFTA rate used: 2,94% for 2015 and 2,73% for both 2016 and 2017 (estimate based on 2016)

(3) In 2013 an amount of EUR 9 514 908 EU contribution was made available for the FP7 related administrative costs covering the period 2014-2017. Out of which EUR1 289 198 was used in 2015, EUR 822 689 will be implemented in 2016 for FP7 related administrative expenditure and 4 369 156 for operational expenditure.

(4) The Clean Sky 2 JU 2017 commitment appropriations budget - EU Contribution to the operational activities - is reduced by EUR 2.4m as compared to the current financial programming for the line 08 02 07 36.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

The total amount of EU contribution (EFTA included) is EUR 198 136 069, representing the total budget for the Clean Sky 2 Programme. The budget for the closing operations associated to the Clean Sky Programme was already made available to the JU in 2013. EFTA rate used: 2,94% for 2015 and 2,73% for both 2016 and 2017 (estimate based on 2016). The Other contributions of EUR 3 497 424 represent the contribution of Members to the administrative budget of the CS2JU (EUR 3 120 618 for the Clean Sky 2 Programme and EUR 376.806 for the Clean Sky Programme). This amount is included under Title 1 and Title 2 in 2017 revenues. The Unused appropriations of EUR 561 662 represent the estimate of unused Clean Sky Programme (EUR 495.761) from previous years which will cover the remaining administrative (EUR 376.806) and operational (EUR 118.955) costs until completion (reaching EUR 800 million of total funding execution) and of unused Clean Sky 2 Programme (EUR 65.901) administrative budget that will be further re-allocated.

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	351.891.894	202.788.445	198.136.069
FP7	0	0	0
H2020	351.891.894	202.788.445	198.136.069
2. CONTRIBUTIONS FROM INDUSTRY	276.405.909	291.002.025	283.069.397
of which financial contribution	3.913.761	3.520.158	3.497.424
FP7	1.994.735	822.689	376.806
H2020	1.919.026	2.697.469	3.120.618
of which contribution in kind	272.492.148	287.481.867	279.571.973
FP7	67.416.568	68.240.530	22.220.588
H2020	205.075.580	219.241.337	257.351.385

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	4 328 925	4 500 000	4 590 000	4 590 000	2,00%
Salaries & allowances	3 921 607	4 115 000	4 195 000	4 195 000	1,94%
- Of which establishment plan posts	3 776 607	3 970 000	4 050 000	4 050 000	2,02%
- Of which external personnel	145 000	145 000	145 000	145 000	0,00%
Expenditure relating to Staff recruitment	5 000	5 000	5 000	5 000	0,00%
Mission expenses	300 000	320 000	330 000	330 000	3,12%
Socio-medical infrastructure	102 318	60 000	60 000	60 000	0,00%
Training					
External Services					

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	3 404 212	2 540 316	2 404 848	2 404 848	-5,33%
Rental of buildings and associated costs	550 000	565 000	580 000	580 000	2,65%
Information, communication technology and data processing	402 135	172 062	150 300	150 300	-12,65%
Movable property and associated costs	7 026	10 000	4 460	4 460	-55,40%
Current administrative expenditure	52 750	55 000	55 000	55 000	0,00%
Postage / Telecommunications	31 003	40 000	40 000	40 000	0,00%
Meeting expenses	250 762	525 000	310 000	310 000	-40,95%
Running costs in connection with operational activities	1 018 675	555 876	653 876	653 876	17,63%
Information and publishing	480 635	350 000	300 000	300 000	-14,29%
Studies	611 226	267 378	311 212	311 212	16,39%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	432 972 469	251 659 542	195 270 307	195 270 307	-22,41%
Clean Sky 1	75 593 631	35 529 401	168 955	168 955	-99,52%
Clean Sky 2	357 378 838	216 130 141	195 101 352	195 101 352	-9,73%
TOTAL	440 705 606	258 699 858	202 265 155	202 265 155	-21,81%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016 (1)	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	4 407 215	4 777 334	4 590 000	4 590 000	-3,92%
Salaries & allowances	4 056 607	4 205 214	4 150 000	4 150 000	-1,31%
- Of which establishment plan posts	3 876 607	4 000 000	4 050 000	4 050 000	1,25%
- Of which external personnel	180 000	205 214	100 000	100 000	-51,27%
Expenditure relating to Staff recruitment	55 866	55 007	50 000	50 000	-9,10%
Mission expenses	255 227	381 564	330 000	330 000	-13,51%
Socio-medical infrastructure	39 515	135 549	60 000	60 000	-55,74%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	2 475 287	4 326 694	2 404 848	2 404 848	-44,42%
Rental of buildings and associated costs	548 902	566 098	580 000	580 000	2,46%
Information, communication technology and data processing	400 364	398 186	150 300	150 300	-62,25%

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016 (1)	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Movable property and associated costs	0	17 026	4 460	4 460	-73,80%
Current administrative expenditure	32 925	95 047	55 000	55 000	-42,13%
Postage / Telecommunications	37 009	43 968	40 000	40 000	-9,02%
Meeting expenses	200 616	591 878	310 000	310 000	-47,62%
Running costs in connection with operational activities	720 827	1 042 217	653 876	653 876	-37,26%
Information and publishing	272 142	674 808	300 000	300 000	-55,54%
Studies	262 502	897 466	311 212	311 212	-65,32%
Other infrastructure and operating expenditure					
Title 3 - Operational expenditure	178 694 332	278 466 864	204 288 888	204 288 888	-26,64%
Clean Sky 1	109 356 001	64 309 472	22 220 588	22 220 588	-65,45%
Clean Sky 2	69 338 331	214 157 392	182 068 300	182 068 300	-14,98%
TOTAL	185 576 834	287 570 892	211 283 736	211 283 736	-26,53%

(1) The difference in 2016 between the Title 1 and Title 2 in Commitment appropriations (EUR 7.040.316) and in Payment Appropriations (EUR 9.104.028) is due to the fact that in accordance with the Clean Sky 2 JU financial rules, the CSJU only uses differentiated appropriations, including for administrative costs. The CA therefore corresponds to the annual budget of the year 2016, while the PA corresponds to the annual budget of the year 2016 (EUR 7.40.316) plus the payments credits necessary to execute the RAL of the administrative commitments 2015 carried forward to 2016 (EUR 2.063.712). This amount of EUR 2.036.712 arises from the unused appropriations 2015 and will be entered in the estimate of revenue and expenditure in the year 2016 following an amendment subject to the approval of the Governing Board.

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 1 385 534.

4 Justification of needs

Commission assessment

Human Resources

The Clean Sky JU's staff planning is consistent with the JUs' needs to fulfil its activities.

Financial Resources

The appropriations requested are adequate to cover the Joint Undertaking's work programme.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

Human resources agreed in line with JU request and LFS

4.1.2 Vacancy rate as of end 2015

5% at the end of 2015. Out of the 42 posts authorised for the year 2015, 2 are in the process of recruitment. The JU will have the 42 positions filled in beginning of 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable

4.1.4 Salary assumption for calculating salary line (% applied)

1%. The Clean Sky JU has estimated necessary to foresee a small margin of budget (+1% on top of the global envelope of salary mass calculated based on the grade and 100% of staffing) for the annual indexation.

4.1.5 Correction coefficient used

Belgium, 100%

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

In 2016, the JU will have recruited all staff corresponding to the establishment plan, i.e. 42 posts in active employment. As a consequence the Title I budget reaches certain stability while comparing 2016 with 2017. The increase of 2% of 2017 commitment appropriations compared to 2016 budget is due to a short increase of planned mission expenses (technical activities will reach a peak in 2017) as well as small budget envelope for salary indexation (1%).

4.2.2 Title 2

Title 2 expenses will decrease in 2017 (-5%). This is mainly due to the estimated reduction of IT budget (no more IT purchase for new staff), of the meeting expenses as well as the communication budget (new website developed in 2015-2016). The costs for call evaluations and rent are the main consumers of budget.

4.2.3 Title 3

The Title 3, corresponding to the operational expenditures is subdivided into two categories:

1. Clean Sky Programme operational expenditure:

The technical activities associated to the Clean Sky Programme are planned to be finalised by 31/12/2016. This is valid for the grants with Members (GAM) and with Partners (GAP). For this reason the amount of commitment appropriations is zero in 2017. The JU is not planning to sign any further grants after 2016. The payment appropriations amount of EUR 22 million takes into consideration the final payments of the GAM 2016 activities (EUR 17 million) as well as the payments of the last final reporting periods for the GAPs (EUR 5 million).

2. Clean Sky 2 Programme operational expenditure:

Between end 2015 and beginning 2016 the JU has been signing the extension of the multi-annual grant agreement with members (Leaders and Core-Partners) that covers technical activities for 2016 and 2017.

Considering the later, the amount of commitment appropriations of EUR 197.566.872 in 2017 will be mainly allocated to the calls for Partners with the signatures of projects associated to Call 4 (TTG at Q2) and Call 5 (TTG in January 2018) as well as the launch of the Call 6 (the negotiation phase is planned to start in Q4). From the EUR 197 million, an envelope of maximum EUR 90 million is planned to be allocated to the members complementary activities 2017 (mainly for Core-Partners and the Call Wave n°4). The amount of EUR 182.068.300 in payment appropriations 2017 will be partly used for the Partners pre-financing payments (Call 4) and interim payments (Calls 1 and 2). The pre-financing payment rate is estimated between 40% and 45% depending on the average number of reporting periods per project. In 2017, the JU will pay the Leaders and Core-Partners interim payments for the reporting period 2016. As the members grant agreement extension 2016-2017 is signed in 2016, no pre-financing is planned to be paid in 2017 (already released maximum 30 days after the GAM extension signature).

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Toison D'Or 56-60, 1060 Brussels	The building is shared with 5 other JUs (IMI2, FCH2, ECSEL, S2R and BBI)
Surface area (in square metres)	1.624.5 m ²	Office space in the 3rd floor (898.5 m ²) and in the 4th floor (726 m ²).
1. Of which office space		
2. Of which non-office space		

	Name, location and type of building	Other Comment
Annual rent (in EUR)	383 000	
Type and duration of rental contract	Until 2024	Currently signed until 2017 with a possible extension till 2024.
Host country grant or support	No	
Present value of the building	No	

5.1.2 Building project in the planning phase

Not applicable

5.1.3 Building projects submitted to the European Parliament and the Council

Not applicable

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The Clean Sky 2 Joint Undertaking signed with the Belgian authorities the host agreement on the 25th of July 2014; therefore the Protocol on the Privileges and Immunities applies directly.	As stated in the article 18 of the Council Regulation setting up the Clean Sky 2 Joint Undertaking (558/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff	The European Schools will provide for Clean Sky needs

5.3 Evaluation

An evaluation of the Joint Undertaking has been submitted to Budgetary Authority by mid-2014.

The main findings of the latest evaluation available are as follows:

In line with Council Regulation 072/2008, in 2014, the second Interim Evaluation has assessed the quality and efficiency of the JU and the progress towards the objectives. The evaluation was performed by a Panel of five independent experts based on the Terms of Reference, defined by the Directorate General for Research and Innovation of the European Commission.

The interim assessment concluded that the JU has successfully demonstrated the viability of the Public-Private Partnership (PPP) concept for research in aeronautics. CS2JU has been effective in delivering on its main objectives and has been able to reinforce Europe's role for aeronautics R&D. The Panel concluded that the research undertaken within the JU is of high quality. Today, a number of demonstrators are already running or have been tested, and in many cases, the preliminary assessments of the environmental benefits confirm the capability of achieving the targets at completion of the Clean Sky programme.

Regarding the technical progress achieved by the JU, the report stated that in spite of initial delays due to the slow start, the JU has marked satisfactory progress towards meeting the objectives set and has manifested an open non-discriminatory attitude towards a wide community of stakeholders. In particular, there has been an effective strategy (e.g. methods, processes and tools) in launching and managing the Calls for proposals, in selecting the best proposals, managing successfully "Level 2 Like" projects and promoting participation of SMEs and increasing the rate of new entries in the JU and the Calls for Proposals. Also the technical development of the demonstrators is making satisfactory progress. It is noted that by the end of the Clean Sky Programme, the demonstration programmes will allow to provide evidence of integration of several technologies and to indicate the potential benefits in a relevant operational environment.

Regarding the organisation and the efficient use of resources, the report states that overall the governance is efficient in the management of the programme and delivery of calls and projects and the IEG is convinced that the JU has created an effective dialogue between industry including SMEs and the research and academic communities around a common strategic agenda and has successfully implemented it. However, the IEG considers that the existing links with both SESAR and ACARE should be enhanced and it is important to reach a better view within the JU about the airlines, ANSPs and other stakeholders. This request is under process of follow-up at the JU level. On the internal management side, the IEG found that steps for reducing administrative work, increasing the organisation efficiency and enhancing internal and external communication are still required. Notwithstanding the Executive Office has made significant

progress in speeding up processes and reaching operational efficiency, a recommendation is issued that some further adjustments are carried out to improve efficiency. Moreover, now when the JU is well established, the balance of skills towards project management in the Executive Office needs to be improved.

In order to address the points raised in the report, the Independent Expert Group makes a series of recommendations that aim to remove or reduce weaknesses as identified in the current operations of the JU and to improve its effectiveness, efficiency and quality.

A new evaluation of the joint undertaking is expected to be carried out by 2017.

4.2.1.6 Fuel Cells and Hydrogen Joint Undertaking - FCH2

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
Approval by Council Regulation No 521/2008 of 30 May 2008 setting up the FCH Joint Undertaking (OJ L 153/1, 12/06/2008)) and Council regulation 1183/2011 of 14 November 2011 amending Reg 521/2008	30/05/2008	<p>The FCH Joint Undertaking shall contribute to the implementation of the Seventh Framework Programme (2007-2013) of the European Union for research, technology development and demonstration and in particular the 'Cooperation' Specific Programme themes for "Energy", "Nanosciences, Nanotechnologies, Materials and New Production Technologies", "Environment (including Climate Change)", and "Transport (including Aeronautics)".</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> support research, technological development and demonstration (RTD&D) in the Member States and Associated countries in a coordinated manner to overcome the market failure and focus on developing market applications and thereby facilitate additional industrial efforts towards a rapid deployment of fuel cells and hydrogen technologies; support the implementation of the research priorities of the JTI on Fuel Cells and Hydrogen, notably by awarding grants following competitive calls for proposals; aim to encourage increased public and private research investment in fuel cells and hydrogen technologies in the Member States and Associated countries; conclude service and supply contracts necessary for the functioning of the FCH Joint Undertaking; ensure the efficiency and effectiveness of the JTI on Fuel Cells and Hydrogen.
Council Regulation No 559/2014 of 6 May 2014 setting up the FCH 2 Joint Undertaking (OJ L 169/108)	06/05/2014	<p>The FCH Joint Undertaking shall contribute to the objectives of the Joint Technology Initiative on Fuel Cells and Hydrogen, through the development of a strong, sustainable and globally competitive fuel cells and hydrogen sector in the Union.</p> <p>It shall, in particular:</p> <ul style="list-style-type: none"> -reduce the production cost of fuel cell systems to be used in transport applications, while increasing their lifetime to levels competitive with conventional technologies, -increase the electrical efficiency and the durability of the different fuel cells used for power production, while reducing costs, to levels competitive with conventional technologies, -increase the energy efficiency of production of hydrogen from water electrolysis while reducing capital costs, so that the combination of the hydrogen and the fuel cell system is competitive with the alternatives available in the marketplace, and -demonstrate on a large scale the feasibility of using hydrogen to support integration of renewable energy sources into the energy systems, including through its use as a competitive energy storage medium for electricity produced from renewable energy sources.

1.2 Seat

Brussels, Belgium

1.3 Budget line

08 02 07 37 : Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking — Support expenditure

08 02 07 38 : Fuel Cells and Hydrogen 2 (FCH2) Joint Undertaking

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	15	15	15	15
Establishment plan posts : AST	9	9	9	9
Establishment plan posts : AST/SC				
Total Establishment plan posts	24	24	24	24
Contract Agents	2	2	2	2
Seconded National Experts		0	0	0
TOTAL STAFF	26	26	26	26

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	102 621 267	92 045 631
Other Revenue	21 284 669	7 571 336
TOTAL REVENUES	123 905 936	99 616 967

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 248 949	3 248 949	3 247 600	3 247 600
Title 2 - Infrastructure and operating expenditure	2 017 069	2 017 069	1 867 809	1 867 809
Title 3 - Operational expenditure	118 639 918	104 093 400	94 501 558	175 516 223
TOTAL EXPENDITURE	123 905 936	109 359 418	99 616 967	180 631 632

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 13										
AD 12										
AD 11		3		2		2		2		2
AD 10										
AD 9		1		1		3		4		4
AD 8		5		5		5		4		4
AD 7		1		2						
AD 6										
AD 5		4		4		4		4		4
AD TOTAL		15		15		15		15		15
AST 11										
AST 10										
AST 9										
AST 8		1		1		2		2		2
AST 7		3		1				1		1
AST 6				2		2		1		1
AST 5										
AST 4		1		1		3		3		3
AST 3		4		4		2		2		2
AST 2										
AST 1										
AST TOTAL		9		9		9		9		9
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		24		24		24		24		24
GRAND TOTAL	24		24		24		24		24	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	1	1	1	1
Function Group III	1	1	1	1
Function Group II				
Function Group I				
TOTAL	2	2	2	2

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL		0	0	0

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	109 581 000	102 621 267	92 045 631	92 045 631	-10,31%
- Of which Administrative (Title 1 and Title 2)	466 833	454 948	55 406	55 406	-87,82%
- Of which Operational (Title 3)	109 114 167	102 166 319	91 990 225	91 990 225	-9,96%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	3 221 682	2 801 561	2 512 846	2 512 846	-10,31%
- Of which EEA/EFTA (excl. Switzerland) (1)	3 221 682	2 801 561	2 512 846	2 512 846	-10,31%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	4 020 104 (5)	3 034 483	2 401 428	2 401 428	-20,86%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED					
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS (2)	15 761 071 (3)	15 448 625 (4)	2 657 062 (6)	2 657 062	-82,80%
TOTAL	132 583 857	123 905 936	99 616 967	99 616 967	-19,60%

(1) EFTA rate used: 2.94% for 2015, 2.73% for 2016 and 2017 (as estimate using 2016 rate)

(2) An amount of EUR 5,543,416 was made available in 2013 for the EU contribution to the administrative costs for 2014-2017 of which EUR 1,713,333 was implemented in 2014 for 2014 running costs related to FP7, EUR 1,812,844 was implemented in 2015 for 2015 running costs related to FP7, EUR 272,620 is to be implemented in 2016 for the 2016 running costs related to FP7 and EUR 1,744,619 is to be implemented in 2017 for the 2017 running costs related to FP7. These amounts are included in Titles 1 and 2 and also in the total revenues under unused appropriations from previous years.

(3) An amount of EUR 13,948,227 became available in 2015 from unused appropriations from operational costs of 2014. In addition an amount of EUR 1,812,844 became available from the commitment done in 2013 to cover running costs of 2015 under FP7.

(4) Composed of: a) EUR 13,684,458 which become available from 2015 unused appropriations from operational costs. b) EUR 272,620 which become available from the commitment done in 2013 to cover administrative costs of 2016 under FP7. c) EUR 1,491,547 which become available from unused appropriations from 2014 under administrative costs of FP7

(5) Of which: a) Industry contribution to administrative costs: EUR 2,588,692 b) Research contribution to administrative costs: 429,847 c) Revenues from internal assigned revenues: EUR 1,001,565

(6) Of which: a) EUR 866,376 from unused 2015 appropriations from administrative expenses, b) EUR 46,067 from unused 2014 appropriations from administrative expenses and c) EUR 1,744,619 from commitment done in 2013 to cover 2017 administrative expenses under FP7

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Overview of the financial contributions from FCH 2 JU funding members, with a breakdown for each of the years (2015, 2016 and 2017). The amounts refer to commitment appropriations. The actual amount cashed for administrative expenditure however is by EUR 2,304 lower than the commitment appropriations received, reflecting a cut in payment appropriations from the budget of 2015. It is noted that FCH 2 JU requests from the Members to contribute in cash for the payment appropriations and not the commitments. The total amount of EU contribution for 2017 is EUR 96,303,096 (EFTA included) representing the budget for H2020 Programme. The budget for the closing operations associated to the FP7 Programme was already made available to the JU in 2013 (EUR 1,744,619). The EFTA rate used for 2016 and 2017 is 2.73% (estimate based on 2015 level). Industry and Research grouping contributions to administrative costs (Titles 1 and 2) amount to EUR 2,424,462 for 2017. The in-kind contribution for FP7 (IKC) in 2015 amounts to EUR 151,217,009 for Industry Grouping (consisting of: EUR 41,351,626 validated in-kind contribution, EUR 37,974,957 for in-kind contribution received but not validated in 2015 and EUR 71,890,426 for the pro-rata estimation of IKC) and EUR 78,121,461 for Research grouping (consisting of: EUR 30,945,787 validated in-kind contribution, EUR 16,593,566 for in-kind contribution received but not validated in 2015 and EUR 30,582,108 for the pro-rata estimation of IKC). The estimated FP7 contribution to be validated in 2016 is foreseen at EUR 150,144,124 in total. There is no estimation yet for 2017. It is noted that these figures do not correspond to commitment appropriations therefore are not included in the table below. The total financial contribution in 2017 in the table below is EUR 912,442 lower than the revenues table, corresponding to reactivations of unused appropriations from administrative budgets of 2014 and 2015.

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
1. FINANCIAL CONTRIBUTION FROM EU*	114,615,526	105,695,448	96,303,096
Of which FCH 1 (2007-2013)	1,812,844	272,620	1,744,619
Of which FCH 2 (2014-2020)	112,802,682	105,422,828	94,558,477
2. CONTRIBUTIONS FROM INDUSTRY	2,588,692	2,602,321	2,058,529
Of which financial contribution (in cash)	2,588,692	2,602,321	2,058,529
Of which FCH 1 (2007-2013)	2,175,413	2,200,384	2,009,579
Of which FCH 2 (2014-2020)	413,279	401,937	48,950
Of which contribution in kind	-	-	-
Of which FCH 1 (2007-2013)	-	-	-
Of which FCH 2 (2014-2020)	p.m.	p.m.	p.m.
3 CONTRIBUTIONS FROM RESEARCH	429,847	432,163	342,900
Of which financial contribution (in cash)	429,847	432,163	342,900
Of which FCH 1 (2007-2013)	362,569	366,731	334,931
Of which FCH 2 (2014-2020)	67,279	65,432	7,969
Of which contribution in kind	-	-	-

FINANCIAL CONTRIBUTIONS OVERVIEW	2015	2016	2017
	Executed	Estimated by the joint undertaking	As requested by the joint undertaking
Of which FCH 1 (2007-2013)	-	-	-
Of which FCH 2 (2014-2020)	p.m.	p.m.	p.m.
TOTAL CONTRIBUTIONS	117,634,066	108,729,932	98,704,525
Of which FCH 1 (2007-2013)	4,350,826	2,839,735	4,089,129
Of which FCH 2 (2014-2020)	113,283,240	105,890,197	94,615,396

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015 (4)	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 779 761	3 248 949	3 247 600	3 247 600	-0,04%
Salaries & allowances	2 576 736	3 012 773	3 005 972	3 005 972	-0,23%
- Of which establishment plan posts	2 468 343	2 882 773	2 900 428	2 900 428	0,61%
- Of which external personnel	108 393	130 000	105 544	105 544	-18,81%
Expenditure relating to Staff recruitment	39 745	20 000	20 000	20 000	0,00%
Mission expenses	120 000	134 912	136 686	136 686	1,31%
Socio-medical infrastructure	7 446	20 961	17 361	17 361	-17,17%
Training	11 334	34 903	39 981	39 981	14,55%
External Services	19 300 (1)	20 000	22 000	22 000	10,00%
Receptions, events and representation	5 200	5 400	5 600	5 600	3,70%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 671 811	2 017 069	1 867 809	1 867 809	-7,40%
Rental of buildings and associated costs	355 714	318 400	324 400	324 400	1,88%
Information, communication technology and data processing	169 710	181 660	206 595	206 595	13,73%
Movable property and associated costs	7 223	10 368	10 571	10 571	1,96%
Current administrative expenditure	6 324	40 589	43 300	43 300	6,68%
Postage / Telecommunications	10 800	16 500	18 800	18 800	13,94%
Meeting expenses	43 173	63 240	64 505	64 505	2,00%
Running costs in connection with operational activities (2)	338 539	515 000	317 500	317 500	-38,35%
Information and publishing	342 514	400 000	400 000	400 000	0,00%
Studies	21 500	30 000	35 000	35 000	16,67%
Other infrastructure and operating expenditure (3)	376 314	441 312	447 138	447 138	1,32%
Title 3 - Operational expenditure	111 338 659	118 639 918	94 501 558	94 501 558	-20,35%
TOTAL	115 790 231	123 905 936	99 616 967	99 616 967	-19,60%

(1) Includes expenses with other consolidated entities and fees for administrative services rendered.

(2) Includes audit costs

(3) Includes costs for experts (mid-term reviewers and evaluators)

(4) Difference between revenues and expenditure due to unused appropriations: EUR 16,793,626 in total of which EUR 13,684,458 are re-entered in budget 2016 to cover for operational expenses, EUR 2,242,792 from operational expenses will be entered in 2016 budget through amendment and EUR 866,376 are the unused appropriations from the administrative expenses to be re-entered in 2017 to cover for administrative expenses.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 669 789	3 248 949	3 247 600	3 247 600	-0,04%
Salaries & allowances	2 504 351	3 012 773	3 005 972	3 005 972	-0,23%
- Of which establishment plan posts	2 458 631	2 882 773	2 900 428	2 900 428	0,61%
- Of which external personnel	45 720	130 000	105 544	105 544	-18,81%
Expenditure relating to Staff recruitment	34 491	20 000	20 000	20 000	0,00%
Mission expenses	100 276	134 912	136 686	136 686	1,31%
Socio-medical infrastructure	5 642	20 961	17 361	17 361	-17,17%
Training	5 544	34 903	39 981	39 981	14,55%
External Services	16 706	20 000	22 000	22 000	10,00%
Receptions, events and representation	2 779	5 400	5 600	5 600	3,70%
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 109 410	2 017 069	1 867 809	1 867 809	-7,40%
Rental of buildings and associated costs	316 297	318 400	324 400	324 400	1,88%
Information, communication technology and data processing	67 436	181 660	206 595	206 595	13,73%
Movable property and associated costs	2 249	10 368	10 571	10 571	1,96%
Current administrative expenditure	4 408	40 589	43 300	43 300	6,68%
Postage / Telecommunications	1 884	16 500	18 800	18 800	13,94%
Meeting expenses	33 701	63 240	64 505	64 505	2,00%
Running costs in connection with operational activities	168 952	515 000	317 500	317 500	-38,35%
Information and publishing	230 455	400 000	400 000	400 000	0,00%
Studies	21 500	30 000	35 000	35 000	16,67%
Other infrastructure and operating expenditure	262 528	441 312	447 138	447 138	1,32%
Title 3 - Operational expenditure	74 280 358 (3)	104 093 400 (1)(4) (1)(4)	175 516 223 (2)	175 516 223	68,61%
TOTAL	78 059 557	109 359 418	180 631 632	180 631 632	65,17%

(1) Of which EUR 55,455,900 to cover operational costs of FP7 programme EUR 48,637,500 to cover operational costs of H2020 programme

(2) Of which EUR 32,178,026 to cover the operational costs of FP7 programme and EUR 143,338,197 to cover the operational costs of H2020 programme

(3) Of which EUR 44,6 million to cover the operational costs of FP7 programme and EUR 29,6 million to cover the operational costs of H2020 programme

(4) Of which EUR 14,6 million covered from reactivated payment appropriations.

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 16.3 million.

4 Justification of needs

Commission assessment

Human Resources

The FCH JU's staff planning is in line with the JU's needs and their authorised posts. This request is consistent with the JUs' needs to fulfil its activities. No additional recruitments are planned for 2017.

Financial Resources

The Commission considers that FCH JU's request is consistent with the JUs' needs to fulfil its activities.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The FCH 2 JU staff establishment plan approved for 2016 foresees a total number of 24 temporary Agents (TA) and 2 Contract Agents (CA). The JU will not request new posts in 2017.

4.1.2 Vacancy rate as of end 2015

0%

4.1.3 Standard abatement ('abatement forfaitaire') applied

Not applicable.

4.1.4 Salary assumption for calculating salary line (% applied)

The salary assumption was based on detailed computation of each employee step and grade in 2017 taking into account the provision for reclassifications as per the Staff Establishment Plan and applying annual increase rate of 2% to 2016 salary scale figures. Grades and steps used for TAs and CAs reflect their expected situation in 2017.

4.1.5 Correction coefficient used

Not applicable.

4.1.6 Exchange rate used (if applicable)

Not applicable

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

In 2017, budget of Title 1 will remain at the same levels as in 2016. This is due to the fact that 2016 budget is already overstated for salaries and allowances. Therefore, 2017 figures represent a more accurate estimation of the actual needs. Most of the other budget lines include indexation of 2%. The costs of Title 1 are shared 50/50 between the EU and Industry/Research Grouping.

4.2.2 Title 2

A decrease of more than 7% is foreseen in 2017 for Title 2. The main difference compared to 2016 will be a decrease of EUR 197 500 for the audits budget line as due to the FP7 maturity less assignments are foreseen. Most of the other budget lines include an indexation of 2% compared to 2016 costs with the exception of communication (no change) and IT costs for which there will be an increase of 14% reflecting additional costs for replacement of existing infrastructure. The costs of Title 2 are shared 50/50 between the EU and Industry/Research grouping.

4.2.3 Title 3

Title 3, corresponding to the operational expenditure, is divided in two categories:

FP7 programme: There will be no requests for commitment appropriations as the last call took place in 2013. The payment appropriations will cover interim and final payments of FP7 projects. These appropriations are decreased compared to previous years as a reduced number of cost claims are expected.

H2020 programme: The requested commitment appropriations will be used for call 2017. These appropriations may be topped up with any unused operational appropriations stemming from the outcome of call 2016 evaluations.

The requested payment appropriations will be used to pre-finance the projects of call 2016 (foreseen to take place in January 2017) and call 2017 (foreseen to take place in November-December 2017). The 2017 call deadline is scheduled for late April/May and the pre-financing is expected to be paid before 31 November. The pre-financing rate for both calls is estimated at 50%. The significant increase in payment appropriations compared to previous years is due to the fact that 2 pre-financings are foreseen for 2017 (for calls 2016 and 2017). The payment appropriations will also cover interim payments from call 2014, the first interim payments from call 2015 and the contribution to JRC in line with the provisions of the framework contract.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	White Atrium, Brussels	The JU moved to its new premises on 13 January 2011
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	1 154.34 m2 1 136.36 m2 office space 17.98 m2 non-office space	Includes 8 parking places.
Annual rent (in EUR)	232 500	
Type and duration of rental contract	Long term lease (end date: 16.11.2025)	Possibility to end the contract on 31.12.2024
Host country grant or support	No	
Present value of the building	N/A	FCH JU is not the owner of the building

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

Not available

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The FCH 2 JU signed with the Belgian authorities the host agreement on the 24th of April 2015; therefore the Protocol on the Privileges and Immunities applies directly.	As stated in the article 18 of the Council Regulation setting up the Fuel Cells and Hydrogen 2 Joint Undertaking (559/2014 of 06/05/2014) the Protocol on the Privileges and Immunities of the European Communities shall apply to the Clean Sky JU and its staff.	

5.3 Evaluation

According to Article 11 of the Council Regulation setting up Fuel Cells and Hydrogen 2 Joint Undertaking, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the FCH2 Joint Undertaking.

4.2.1.7 Electronic Components and Systems for European Leadership Joint Undertaking - ECSEL

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Regulation (EC) No. 74/2008 on the establishment of ARTEMIS Joint Undertaking to implement a Joint Technology Initiative in Embedded Computing Systems (OJ L 30/52, 04/02/2008).</p> <p>Council Regulation 72/2008 setting up the ENIAC Joint Undertaking (OJ L 30/21, 04/02/2008)</p>	<p>20/12/2007</p> <p>20/12/2007</p>	<p>The ARTEMIS JTI on Embedded Computing Systems will contribute to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ARTEMIS JTI will define and implement a "Research Agenda" for the development of key technologies for embedded computing systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ARTEMIS JTI will address the design, development and deployment of ubiquitous, interoperable and cost-effective, powerful, safe and secure electronic and software systems, delivering reference designs and architectures that offer common architectural approaches for given ranges of applications, middleware that allows seamless connectivity and interoperability and integrated system design methods and tools for rapid development and prototyping. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p> <p>The ENIAC JTI on Nanoelectronics contributes to the implementation of the Seventh Framework Programme and the Theme "Information and Communication Technologies" of the Specific Programme "Cooperation". In particular, the ENIAC JTI defines and implements a "Research Agenda" for the development of key technologies for nanoelectronics based systems across different application areas in order to strengthen European competitiveness and allow the emergence of new markets and societal applications. The ENIAC JTI addresses the design, development and deployment of nanoelectronics components in key lead markets as well as addresses all supporting technologies, processes, design tools, equipment, materials and manufacturing along the whole food chain to produce and design these components and integrate them in larger systems. The JTI also increases the leverage effect of the EU's contribution on R&D effort (national and private) and provides a more efficient and reliable R&D and innovation framework that removes the budget uncertainty, streamlines procedures and shortens time-to-contract.</p>
<p>Council Regulation 561/2014 of 6 May 2014 setting up the ECSEL Joint Undertaking (OJ L 169/152)</p>	<p>06/05/2014</p>	<p>The ECSEL Joint Undertaking shall have the following objectives:</p> <p>(a) to contribute to the implementation of Regulation (EU) No ... establishing the Horizon 2020 — The Framework Programme for Research and Innovation (2014-2020) and in particular part ... of Decision No .../2013/EU of the Council of ... 2013 establishing the Specific Programme implementing Horizon 2020 (2014-2020);</p> <p>(b) to contribute to the development of a strong and globally competitive electronics components and systems industry in the Union;</p> <p>(c) to ensure the availability of electronic components and systems for key markets and for addressing societal challenges, aiming at keeping Europe at the forefront of technology development, bridging the gap between research and exploitation, strengthening innovation capabilities and creating economic and employment growth in the Union;</p> <p>(d) to align strategies with Member States to attract private investment and contribute to the effectiveness of public support by avoiding unnecessary duplication and fragmentation of efforts, and easing participation for actors involved in research and innovation;</p> <p>(e) to maintain and grow semiconductor and smart system manufacturing capability in Europe, including leadership in manufacturing equipment and materials processing;</p> <p>(f) to secure and strengthen a commanding position in design and systems engineering including embedded technologies;</p> <p>(g) to provide access for all stakeholders to a world-class infrastructure for the design and manufacture of electronic components and embedded/cyber-physical and smart systems;</p> <p>(h) to build a dynamic ecosystem involving Small and Medium-Sized Enterprises (SMEs), thereby strengthening existing clusters and nurturing the creation of new clusters in promising new areas.</p>

1.2 Seat

Brussels

1.3 Budget line

09 04 07 31 : Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking — Support expenditure

09 04 07 32 : Electronic Components and Systems for European Leadership (ECSEL) Joint Undertaking

09 04 51 : Completion of the seventh framework programme (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	14	13	14	14
Establishment plan posts : AST				
Establishment plan posts : AST/SC				
Total Establishment plan posts	14	13	14	14
Contract Agents	13	15	16	16
Seconded National Experts	1	1	1	1
TOTAL STAFF	28	29	31	31

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	154 696 200	169 715 000
Other Revenue	7 811 239	7 915 030
TOTAL REVENUES	162 507 439	177 630 030

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	3 100 000	3 100 000	3 200 000	3 200 000
Title 2 - Infrastructure and operating expenditure	2 100 000	2 100 000	2 100 000	2 100 000
Title 3 - Operational expenditure	157 307 439	238 869 130	172 625 030	282 248 648
TOTAL EXPENDITURE	162 507 439	244 069 130	177 925 030	287 548 648

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15										
AD 14		1		1		1		1		1
AD 13										
AD 12						1		1		1
AD 11		4		4		3		3		3
AD 10										
AD 9		2		2		3		3		3
AD 8		7		6		6		6		6
AD 7										
AD 6										
AD 5										
AD TOTAL		14		13		14		14		14
AST 11										
AST 10										
AST 9										
AST 8										
AST 7										
AST 6										
AST 5										
AST 4										
AST 3										
AST 2										
AST 1										
AST TOTAL										
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL		14		13		14		14		14

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	14		13		14		14		14	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	1	0	4	4
Function Group III	7	9	7	7
Function Group II	5	6	5	5
Function Group I				
TOTAL	13	15	16	16

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	1	1	1	1

3 Financial Resources

3.1 Revenues

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
1 REVENUE FROM FEES AND CHARGES					
2 EU CONTRIBUTION	100 460 000	154 696 200	177 010 000	169 715 000	9,71%
- Of which Administrative (Title 1 and Title 2)	1 386 407 (2)	1 569 130 (3)	2 010 000	1 677 397 (5)	6,90%
- Of which Operational (Title 3)	99 073 593 (1)	153 127 070 (4)	175 000 000	168 037 603	9,74%
- Of which funding of European schools Type 2					
3 THIRD COUNTRIES CONTRIBUTION (incl. EEA/EFTA and candidate countries)	3 404 814	4 208 191	4 832 373	4 625 030	9,91%
- Of which EEA/EFTA (excl. Switzerland)	3 404 814	4 208 191	4 832 373	4 625 030 (6)	9,91%
- Of which candidate countries					
4 OTHER CONTRIBUTIONS	3 602 000	3 603 048	3 290 000	3 290 000	-8,69%
5 ADMINISTRATIVE OPERATIONS					
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT					
7 CORRECTION OF BUDGETARY IMBALANCES					
8 INTERESTS GENERATED	27 393				
9 UNUSED APPROPRIATIONS FROM					

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
PREVIOUS YEARS					
TOTAL	107 494 207	162 507 439	185 132 373	177 630 030	9,31%

(1) committed on 09.04.07.32

(2) 600 000 legacy; 786 407 H2020 (Legacy: EUR 2 050 000 has been frontloaded in 2013 in CA for running costs linked to completion of Artemis and ENIAC tasks. Of this amount EUR 600 000 was used in 2014, EUR 600 000 was used in 2015, EUR 550 000 is used in 2016 and EUR 300 000 is planned to be used in 2017).

(3) 550 000 legacy; 1 019 130 H2020

(4) committed on 09.04.07.32

(5) 300 000 legacy; 1 377 397 H2020

(6) calculated on 1 377 397 + 168 037 603 at 2.73% (EFTA rate for H2020)

3.2 Financial contributions from EU budget and the other partners and in kind contributions

Not applicable

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast (4)	
Title 1 - Staff expenditure	3 200 000	3 100 000	3 200 000	3 200 000	3,23%
Salaries & allowances	3 000 000	2 885 000	2 980 000	2 980 000	3,29%
- Of which establishment plan posts	2 460 000	2 760 000	2 850 000	2 850 000	3,26%
- Of which external personnel	540 000	125 000	130 000	130 000	4,00%
Expenditure relating to Staff recruitment	30 000	35 000	30 000	30 000	-14,29%
Mission expenses	135 000	140 000	150 000	150 000	7,14%
Socio-medical infrastructure	35 000	40 000	40 000	40 000	0,00%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 980 000	2 100 000	2 100 000	2 100 000	0,00%
Rental of buildings and associated costs	550 000	560 000	580 000	580 000	3,57%
Information, communication technology and data processing	130 000	165 000	140 000	140 000	-15,15%
Movable property and associated costs	14 400	30 000	30 000	30 000	0,00%
Current administrative expenditure	25 000	30 000	30 000	30 000	0,00%
Postage / Telecommunications	15 000	40 000	40 000	40 000	0,00%
Meeting expenses (1)	686 600 (1)	700 000	650 000	650 000	-7,14%
Running costs in connection with operational activities (2)	20 000	40 000	40 000	40 000	0,00%
Information and publishing	450 000	450 000	450 000	450 000	0,00%
Studies					
Other infrastructure and operating	89 000	85 000	140 000	140 000	64,71%

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast (4)	
expenditure (3)					
Title 3 - Operational expenditure	102 454 814	157 307 439	175 000 000	172 625 030	9,74%
TOTAL	107 634 814	162 507 439	180 300 000	177 925 030	9,49%

(1) covers evaluations and review

(2) covers innovation

(3) covers audit

(4) the difference between revenues (EUR 177 630 030) and expenditures (EUR 177 925 030) will be compensated by contribution from industry.

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	2 766 001	3 100 000	3 200 000	3 200 000	3,23%
Salaries & allowances	2 559 637	2 885 000	2 980 000	2 980 000	3,29%
- Of which establishment plan posts	2 445 217	2 760 000	2 850 000	2 850 000	3,26%
- Of which external personnel	114 420	125 000	130 000	130 000	4,00%
Expenditure relating to Staff recruitment	34 615	35 000	30 000	30 000	-14,29%
Mission expenses	136 175	140 000	150 000	150 000	7,14%
Socio-medical infrastructure	35 574	40 000	40 000	40 000	0,00%
Training					
External Services					
Receptions, events and representation					
Social welfare					
Other Staff related expenditure					
Title 2 - Infrastructure and operating expenditure	1 881 635	2 100 000	2 100 000	2 100 000	0,00%
Rental of buildings and associated costs	522 526	560 000	580 000	580 000	3,57%
Information, communication technology and data processing	133 484	165 000	140 000	140 000	-15,15%
Movable property and associated costs	3 944	30 000	30 000	30 000	0,00%
Current administrative expenditure	26 469	30 000	30 000	30 000	0,00%
Postage / Telecommunications	10 812	40 000	40 000	40 000	0,00%
Meeting expenses (1)	699 289	700 000	650 000	650 000	-7,14%
Running costs in connection with operational activities (3)	0	40 000	40 000	40 000	0,00%
Information and publishing	425 324	450 000	450 000	450 000	0,00%
Studies					
Other infrastructure and operating expenditure (2)	59 787	85 000	140 000	140 000	64,71%
Title 3 - Operational expenditure	147 354 652	238 869 130	282 510 000	282 248 648 (6)	18,16%
TOTAL	152 002 288	244 069 130	287 810 000	287 548 648	17,81%

(1) covers evaluations and reviews

(2) covers audit

(3) covers innovation

(6) this amount includes 150 000 000 for the continuation of the phasing out of FP7 and 132 248 648 for H2020 calls (128 734 204 without EFTA 2.73% for H2020)

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 43 million.

4 Justification of needs

Commission assessment

Human Resources

Staff number is stable as compared to 2016 levels.

Financial Resources

Appropriations to be granted result from the needs and available credits.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The number of TA remains unchanged compared to 2016.

4.1.2 Vacancy rate as of end 2015

The vacancy rate at the end of 2015 is 6.4 % (2 out of 31).

4.1.3 Standard abatement ('abatement forfaitaire') applied

4.1.4 Salary assumption for calculating salary line (% applied)

The estimation of the cost of human resources is based on the actual staff costs at ECSEL JU. The budget appropriations covering staff expenditure for year 2017 are based on the estimate (average Full Time Equivalents on a yearly basis) of 14 temporary agents and 16 contract agents and 1 SNE. Remuneration and social costs are estimated in accordance with the EU staff regulations and rules applicable, including pension rights. The reduction of direct staff costs is due to the mechanical effect of the absence of salaries indexation for years 2011-2014.

4.1.5 Correction coefficient used

4.1.6 Exchange rate used (if applicable)

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

Salaries and allowances: this appropriation is intended to cover the cost of remuneration of temporary and contractual staff in accordance with the Staff Regulations. Concerning the remuneration, detailed tables of staff costs per type of agent and per year have been established. Under this chapter are also covered the costs of the employer's social security contributions in accordance with the applicable Staff Regulations.

Expenditure relating to staff recruitment: this appropriation is intended to cover the recruitment costs for new staff as well as expenditure foreseen in the relevant provisions of the Staff Regulations, e.g. installation allowances for staff changing residence after taking up duties or when they cease definitively their duties and settle elsewhere and the daily subsistence allowances due to staff able to prove that they were obliged to change their place of residence after taking up duties.

Missions expenditure: this is intended to cover expenditure on transport, the payment of daily mission allowances and the ancillary or exceptional expenses incurred by the staff in the interest of the service in accordance with the Staff Regulations.

Socio-medical infrastructure: this appropriation is intended to cover the costs of the annual medical check-up of staff and associated analyses required, complementary health insurance and schooling allowances. Under this chapter are also covered costs for training of staff.

4.2.2 Title 2

Chapter 20 – Rental of buildings and associated costs: the JU is operating from 2011 in its final premises located in the White Atrium building, 60 avenue de la Toison d'Or in Brussels. This office location is shared with 4 other JUs in order to minimise maintenance costs and share a number of expenses, like security and safety of staff and installations, cleaning and maintenance. Common space shared by JUs in that location will be augmented from the end of year 2014 to offer more possibilities for meetings.

Information and communication technology: further to new purchases and applications taking place in years 2011 and 2014, the costs will decrease with mainly developments relating to the consolidation of tools for project management, with a view to secure and facilitate data exchange. This chapter also includes the helpdesk function which is externalised.

Movable Property: this chapter relates to purchase of furniture, office equipment and archiving facilities with the total costs gradually reduced.

Current Administrative expenditures: this chapter relates to legal costs, insurance and stationery, as well as financial costs (e.g. interest due in case of late payments).

Postage and Telecommunications: here are also included the costs for internet connexions and telecom equipment as needed (e.g. for replacement).

Formal and other meetings: this chapter finances meetings which are taking place outside of the JU's premises, with the ENIAC JU secretariat having to support/share the costs.

R&D support: this chapter contains the costs related to the evaluation, selection and review of projects, including the costs incurred for evaluators and reviewers. The increase for year 2015 is due to the fact that no call was to be launched under the initial budget 2014, and also because the number of reviews of projects launched under FP 7 will continue to increase in 2015.

Innovation: in accordance with its mandate, the ENIAC JU will continue to promote SMEs contribution, to support scientific guidance and to liaise with regional activities and worldwide initiatives. This decision is the direct consequence of the entry into force of the new programme H2020

Information and Communication: like in previous years, communication actions will be organised under five headings: Conferences, info days and workshops; Internal communication; Publication and acquisition of information (DB); Website developments and consolidation; and General public relations (PR) and publicity. Actions will be implemented in accordance with the communication plan and may be coordinated with Industry associations members of ECSEL under a service level agreement to be revised each year.

Evaluation and Audits: this provision is for external and internal audits needs, legal assistance and other costs. It will cover actions initiated under FP7 as well as under H2020.

4.2.3 Title 3

Chapter 31: selected projects. These are exclusively the costs related to calls for proposals for R&D projects.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Brussels, White Atrium	
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	1570sqm (+ common space for Meetings shared with other JUs)	Premises shared with other Joint Undertakings
Annual rent (in EUR)	530 000	
Type and duration of rental contract		
Host country grant or support	Headquarters' agreement signed in 2012	
Present value of the building	N/A	

5.1.2 Building project in the planning phase

N/A

5.1.3 Building projects submitted to the European Parliament and the Council

N/A

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
The ENIAC JU and ARTEMIS JU are granted with the benefit of the provisions listed in the Protocol on the Privileges and Immunities of the European Communities, and have signed with Belgium an Headquarters agreement.	As stated in the article 8 of the Council Regulation setting up the ENIAC (72/2008 of 20/12/2007) and the Artemis JU(74/2008 of 20/12/2007), the Protocol on the Privileges and Immunities of the European Communities applies to the ENIAC JU and the ARTEMIS JU and their staff. On 2 February 2012, a Headquarters agreement has been signed with Belgium, in accordance with the provisions of Article 17 of Council Regulation setting up the ENIAC (72/2008 of 20/12/2007).	NA

5.3 Evaluation

As per Article 11 of the legal basis, by 30 June 2017 the Commission shall carry out, with the assistance of independent experts, an interim evaluation of the ECSEL Joint Undertaking.

4.2.1.8 European Joint Undertaking for ITER - Fusion for Energy (F4E) - F4E

1 Overview

1.1 Creation/modification (legal base)

Decision	Date	Mission/ Tasks / Functions
<p>Council Decision (Euratom) n° 198/2007 establishing the European Joint Undertaking for ITER and the Development of Fusion Energy and conferring advantages upon it (OJ L 90/58, 30.3.2007)</p> <p>Council Conclusions on ITER status and possible way forward (11902/10 RECH 255 ATO 32 BUDGET 45)</p>	27/03/2007	<p>The European Joint Undertaking for ITER and the Development of Fusion Energy (Fusion for Energy) is a Joint Undertaking created under the Euratom Treaty by a decision of the Council of Ministers of the European Union.</p> <p>Fusion for Energy is established for a period of 35 years from 19th April 2007 and is located in Barcelona, Spain.</p> <p>The tasks of the Joint Undertaking are as follows:</p> <p>In relation to ITER:</p> <ul style="list-style-type: none"> (a) to provide the contribution of the European Atomic Energy EU (Euratom) to the ITER International Fusion Energy Organisation; (b) to provide the contribution of Euratom to Broader Approach Activities with Japan for the rapid realisation of fusion energy; (c) to prepare and coordinate a programme of activities in preparation for the construction of a demonstration fusion reactor and related facilities including the International Fusion Materials Irradiation Facility (IFMIF). (d) to oversee preparation of the ITER project site; (e) to provide components, equipment, materials and other resources to the ITER Organisation; (f) to manage procurement arrangements vis-à-vis the ITER Organisation and, in particular, associated quality assurance procedures; (g) to prepare and coordinate Euratom participation in the scientific and technical exploitation of the ITER Project; (h) to coordinate scientific and technological research and development activities in support of Euratom's contribution to the ITER Organisation; (i) to provide Euratom's financial contribution to the ITER Organisation; (j) to arrange to make human resources available for the ITER Organisation; (k) to interface with the ITER Organisation and carry out any other activities in furtherance of the ITER Agreement; <p>In relation to the Broader Approach:</p> <ul style="list-style-type: none"> (l) to provide components, equipment, materials and other resources for Broader Approach Activities; (m) to prepare and coordinate Euratom's participation in the implementation of Broader Approach Activities; (n) to coordinate scientific and technological research and development activities; (o) to provide the Euratom financial contribution to Broader Approach Activities; (p) to arrange to make human resources available for Broader Approach Activities; (q) to carry out any other activities necessary for meeting Euratom obligations in furtherance of the Broader Approach Agreement with Japan. <p>In relation to DEMO:</p> <ul style="list-style-type: none"> (r) to prepare and coordinate a programme of research, development and design activities other than ITER and Broader Approach Activities, in preparation for the construction of a demonstration fusion reactor and related facilities, including the IFMIF; <p>Other:</p> <ul style="list-style-type: none"> (s) to carry out any other activities in furtherance of its overall objectives, including activities to raise public awareness of the Joint Undertaking and its mission.
<p>Council Decision (Euratom) n°791/2013 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the development of Fusion for Energy and conferring advantages upon it (OJ L 349/100,21.12.2013)</p>	13/12/2013	Mission/tasks/functions were not modified by the amending decision
<p>COUNCIL DECISION (EURATOM) 2015/224 of 10 February 2015 amending Decision 2007/198/Euratom establishing the European Joint Undertaking for ITER and the</p>	15/02/2015	

Development of Fusion Energy and conferring advantages upon it , (OJ, L 37/8, 15/02/2015		
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1.2 Seat

Barcelona, Spain

1.3 Budget line

32 05 01 01 : Construction, operation and exploitation of the ITER facilities — European Joint Undertaking for ITER — Fusion for Energy (F4E) — Support expenditure

32 05 01 02 : Construction, operation and exploitation of the ITER facilities – European Joint Undertaking for ITER — Fusion for Energy (F4E)

32 05 50 01 : Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (2014 to 2020)

32 05 50 02 : Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development (prior to 2014)

32 05 51 : Completion of the European Joint Undertaking for ITER — Fusion for Energy (F4E) (2007 to 2013)

1.4 Human Resources Overview

Human Resources	2015		2016	2017
	Authorised under the EU Budget	Actually filled as of 31/12/2015	Authorised under the EU Budget	Draft Budget Request
Establishment plan posts : AD	220	211	241	244
Establishment plan posts : AST	42	41	42	39
Establishment plan posts : AST/SC				
Total Establishment plan posts	262	252	283	283
Contract Agents	153	167	180	181
Seconded National Experts	7	2	4	3
TOTAL STAFF	422	421	467	467

1.5 Financial Resources Overview

1.5.1 Revenues Overview

REVENUES	2016	2017
	Revenues estimated by the agency	Budget Forecast
EU contribution	321 240 138	320 133 705
Other Revenue	134 600 000	245 860 000
TOTAL REVENUES	455 840 138	565 993 705

1.5.2 Expenditure Overview

Expenditure	2016		2017	
	Commitment appropriations	Payment appropriations	Commitment appropriations	Payment appropriations
Title 1 - Staff expenditure	40 807 000	40 807 000	41 790 000	41 790 000
Title 2 - Infrastructure and operating expenditure	6 693 000	6 693 000	6 810 000	6 810 000
Title 3 - Operational expenditure	408 340 138	570 125 892	517 393 705	500 020 708
TOTAL EXPENDITURE	455 840 138	617 625 892	565 993 705	548 620 708

2 Human Resources

2.1 Establishment plan posts

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16										
AD 15		1				1		1		1
AD 14				1	1		1		1	
AD 13	13	4	8	3	14	5	14	5	14	5
AD 12	17	8	9	2	16	8	17	13	17	13
AD 11	5	12	3	5	5	19	5	21	5	21
AD 10	3	30	4	25	3	27		25		25
AD 9	2	18	2	22	1	20		29		29
AD 8		20	1	24		34	1	40	1	40
AD 7		57	7	45		47		37		37
AD 6		30	2	47		40		33		33
AD 5			1				2		2	
AD TOTAL	40	180	37	174	40	201	40	204	40	204
AST 11	3				3		4		4	
AST 10	3		1		3		2		2	
AST 9	3		1		3		3		3	
AST 8	1		1		1		1		1	
AST 7	1		1		3		2	1	2	1
AST 6	2	2	3		1	3		5		5
AST 5	3	9	2	1	1	13		14		14
AST 4		14	2	12		11		7		7
AST 3		1	1	13						
AST 2			2							
AST 1			1							
AST TOTAL	16	26	15	26	15	27	12	27	12	27
AST/SC 6										
AST/SC 5										
AST/SC 4										
AST/SC 3										
AST/SC 2										
AST/SC 1										
AST/SC TOTAL										
TOTAL	56	206	52	200	55	228	52	231	52	231

Function group and grade	2015				2016		2017			
	Authorised under the EU Budget		Actually filled as of 31/12/2015		Authorised under the EU Budget		Request of the Agency		Draft Budget Request	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
GRAND TOTAL	262		252		283		283		283	

2.2 External personnel

2.2.1 Contract Agents

Contract agents	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
Function Group IV	79 (1)	98	106	107
Function Group III	50	49	50	50
Function Group II	24	20	24	24
Function Group I				
TOTAL	153	167	180	181

(1) In addition to 153 CA there are 3 additional CA FG IV coming from the conversion of 3 SNE and 24 CA FG IV.

2.2.2 Seconded National Experts

Seconded National Experts	Authorised 2015	Recruited as of 31/12/2015	2016 estimate	Draft Budget 2017 estimate
TOTAL	7 (1)	2	4	3

(1) authorised 2015 is 4

3 Financial Resources

3.1 Revenues

REVENUES	Revenues					VAR 2017/2016 (%)
	Executed Budget 2015	Budget 2016	Draft Budget 2017			
			Joint undertaking request	Budget forecast		
1 REVENUE FROM FEES AND CHARGES						
2 EU CONTRIBUTION	385 213 518	321 240 138	317 294 000	320 133 705	-0,34%	
- Of which Administrative (Title 1 and Title 2)	43 860 000	44 737 000	48 600 000	47 547 440	6,28%	
- Of which Operational (Title 3)	338 355 057	275 475 092	268 694 000	271 512 997	-1,44%	
- Of which recovery from previous years administrative	2 998 461	1 028 046		1 052 560	2,38%	
- Of which recovery from previous years operational (2)				20 708	100%	
3 THIRD PARTIES CONTRIBUTION	68 390 000	134 600 000	149 860 000	149 860 000	11,34%	
- Of which F4E Host State	64 000 000	130 000 000	145 000 000	145 000 000	11,54%	
- Of which Membership contributions	4 390 000	4 600 000	4 860 000	4 860 000	5,65%	
4 MISCELLANEOUS REVENUE	3 462 711					
5 ADMINISTRATIVE OPERATIONS						
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT						
7 CORRECTION OF BUDGETARY						

REVENUES	Revenues				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
IMBALANCES					
8 INTERESTS GENERATED	42 579				
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS			96 000 000 (1)	96 000 000	100%
TOTAL	457 108 808	455 840 138	563 154 000	565 993 705	24,16%

(1) the 96 million in commitment appropriations are being reinstated in 2017.

(2) In 2015, the recovery of the 2013 operational surplus amounted to EUR 19,811,831 which was committed and paid by the Commission to F4E JU. In 2016, the recovery of the 2014 operational surplus amounts to EUR 27,260,845 to be committed and paid by the Commission to F4E. Since the commitments related to these appropriations were already made in previous years, they are only listed in this footnote for clarity reasons and not presented in revenue.

3.2 Financial contributions from EU budget and the other partners and in kind contributions

No in kind contribution except the premises hosting the Joint Undertaking provided by the Host Country (Spain). The office building used by F4E is free of charge. For the year 2015, this service in-kind amounts to EUR 3.0 million.

REVENUES	2015	2016	2017	2018
payment appropriations	Executed Budget	Revenues estimated by the agency	Request DB 2017 by JU	Request DB 2017
1 REVENUE FROM FEES AND CHARGES				
2. EU CONTRIBUTION	409,488,267.82	493,025,891.88	418,740,000.00	418,760,708.00
of which Administrative (Title 1 and Title 2)	43,754,912.00	44,737,000.00	48,600,000.00	47,547,440.00
of which Operational (Title 3)	342,923,056.00	420,000,000.00	370,140,000.00	370,140,000.00
of which recovery from previous years admin	2,998,461.14	1,028,046.01		1,052,560.00
of which recovery from previous years operational	19,811,838.68	27,260,845.87		20,708.00
3 THIRD PARTIES CONTRIBUTION	81,390,000.00	124,600,000.00	129,860,000.00	129,860,000.00
Of which ITER Host State contribution	77,000,000.00	120,000,000.00	125,000,000.00	125,000,000.00
Of which Membership contribution	4,390,000.00	4,600,000.00	4,860,000.00	4,860,000.00
4 Miscellaneous revenue	2,161,395.75			
5 ADMINISTRATIVE OPERATIONS				
6 REVENUES FROM SERVICES RENDERED AGAINST PAYMENT				
7 CORRECTION OF BUDGETARY IMBALANCES				
8 INTERESTS GENERATED	42,579.42			
9 UNUSED APPROPRIATIONS FROM PREVIOUS YEARS				
TOTAL REVENUES *	493,082,242.99	617,625,891.88	548,600,000.00	548,620,708.00

* For 2015, the difference between revenue and expenditure is mainly due to carry-over from the previous year.

3.3 Expenditure

3.3.1 Commitment appropriations

EXPENDITURE	Commitment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	38 867 535	40 807 000	41 790 000	41 790 000	2,41%
Salaries & allowances	32 906 825	35 200 000	36 200 000	36 200 000	2,84%
- Of which establishment plan posts	24 964 161	26 800 000	27 300 000	27 300 000	1,87%
- Of which external personnel	7 942 664	8 400 000	8 900 000	8 900 000	5,95%
Expenditure relating to Staff recruitment	734 369	760 000	720 000	720 000	-5,26%
Mission expenses	2 725 640	2 100 000	2 100 000	2 100 000	0,00%
Socio-medical infrastructure	336 400	482 200	500 000	500 000	3,69%
Training	700 727	817 000	850 000	850 000	4,04%
External Services					
Receptions, events and representation	5 000	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure	1 458 574	1 437 800	1 410 000	1 410 000	-1,93%
Title 2 - Infrastructure and operating expenditure	6 365 878	6 693 000	6 810 000	6 810 000	1,75%
Rental of buildings and associated costs	1 243 000	1 245 000	1 305 000	1 305 000	4,82%
Information, communication technology and data processing	2 802 093	2 816 000	2 859 000	2 859 000	1,53%
Movable property and associated costs	185 000	213 000	230 000	230 000	7,98%
Current administrative expenditure	1 118 823	1 272 000	1 354 000	1 354 000	6,45%
Postage / Telecommunications	350 080	355 000	387 000	387 000	9,01%
Meeting expenses	400 000	367 000	380 000	380 000	3,54%
Running costs in connection with operational activities					
Information and publishing	17 767	16 500	18 000	18 000	9,09%
Studies					
Other infrastructure and operating expenditure	249 115	408 500	277 000	277 000	-32,19%
Title 3 - Operational expenditure	422 601 393	408 340 138	514 554 000	517 393 705	26,71%
ITER construction including site preparation	324 008 441	255 632 138	317 598 000	320 437 705	25,35%
Technology for ITER	7 657 075	11 500 000	38 100 000	38 100 000	231,30%
Technology for Broader approach & DEMO	11 850 000	6 708 000	8 856 000	8 856 000	32,02%
Other Expenditure	2 948 949	4 500 000	5 000 000	5 000 000	11,11%
ITER construction - from ITER host state contribution	74 835 613	130 000 000	145 000 000	145 000 000	11,54%
Appropriation accruing from Third Parties to specific item of expenditure	1 301 315	p.m.	p.m.	p.m.	
TOTAL	467 834 806	455 840 138	563 154 000	565 993 705	24,16%

3.3.2 Payment appropriations

EXPENDITURE	Payment appropriations				
	Executed Budget 2015	Budget 2016	Draft Budget 2017		VAR 2017/2016 (%)
			Joint undertaking request	Budget forecast	
Title 1 - Staff expenditure	36 149 086	40 807 000	41 790 000	41 790 000	2,41%
Salaries & allowances	32 792 858	35 200 000	36 200 000	36 200 000	2,84%
- Of which establishment plan posts	24 964 160	26 800 000	27 300 000	27 300 000	1,87%
- Of which external personnel	7 828 698	8 400 000	8 900 000	8 900 000	5,95%
Expenditure relating to Staff recruitment	535 655	760 000	720 000	720 000	-5,26%
Mission expenses	1 877 363	2 100 000	2 100 000	2 100 000	0,00%
Socio-medical infrastructure	219 576	482 200	500 000	500 000	3,69%
Training	376 462	817 000	850 000	850 000	4,04%
External Services					
Receptions, events and representation	2 790	10 000	10 000	10 000	0,00%
Social welfare					
Other Staff related expenditure	344 382	1 437 800	1 410 000	1 410 000	-1,93%
Title 2 - Infrastructure and operating expenditure	3 177 080	6 693 000	6 810 000	6 810 000	1,75%
Rental of buildings and associated costs	417 093	1 245 000	1 305 000	1 305 000	4,82%
Information, communication technology and data processing	1 639 230	2 816 000	2 859 000	2 859 000	1,53%
Movable property and associated costs	64 038	213 000	230 000	230 000	7,98%
Current administrative expenditure	638 912	1 272 000	1 354 000	1 354 000	6,45%
Postage / Telecommunications	101 323	355 000	387 000	387 000	9,01%
Meeting expenses	172 420	367 000	380 000	380 000	3,54%
Running costs in connection with operational activities					
Information and publishing	2 355	16 500	18 000	18 000	9,09%
Studies					
Other infrastructure and operating expenditure	141 709	408 500	277 000	277 000	-32,19%
Title 3 - Operational expenditure	480 781 793	570 125 892	500 000 000	500 020 708	-12,30%
ITER construction including site preparation	357 654 898	419 925 892	329 300 000	329 300 000	-21,58%
Technology for ITER	5 342 317	17 000 000	28 000 000	28 000 000	64,71%
Technology for Broader approach & DEMO	5 715 260	9 200 000	12 700 000	12 700 000	38,04%
Other Expenditure	2 026 739	4 000 000	5 000 000	5 020 708	25,52%
ITER construction - from ITER host state contribution	110 042 579	120 000 000	125 000 000	125 000 000	4,17%
Appropriation accruing from Third Parties to specific item of expenditure	p.m.	p.m.	p.m.	p.m.	
TOTAL	520 107 959	617 625 892	548 600 000	548 620 708	-11,17%

3.4 Budget Outturn

First estimate of the 2015 surplus that remains within the joint undertaking: EUR 1 073 267.

4 Justification of needs

Commission assessment

Human Resources

The Commission takes note that the vacancy rate at the end of 2015 is below the 5.6% agreed in the context of the 21 additional temporary agent posts included in the 2016 budget. The Commission supports the JU's request for human resources in 2017. The total number of posts is equivalent to the authorised posts for 2016.

Financial Resources

The JU's total request for EU contribution is in line with the total contribution foreseen for 2017 in the multi-annual financial programming for ITER. The Commission supports the JU's request for EU contribution both to the administrative as well as to the operational activities of F4E, taking into account previous years' recoveries. Due to savings on the administrative ITER lines of EUR 1,766,138, the operational budget of F4E was increased by the equivalent amount. F4E's administrative budget (EUR 48,6 million) is by EUR 1.1 million higher than in 2016. This increase is mainly justified by the 21 additional temporary agents who are expected to be recruited in the course of 2016, thereby leading to higher costs in titles 1 and 2 in 2017.

4.1 Human Resources - Joint Undertaking Request

4.1.1 Number of staff requested

The total number of staff requested for 2017 remains the same as in 2016, however the following internal changes have been included in the request: 3 AST posts have been converted into 3 AD posts in order to provide the 3 staff members who undergo the exercise in 2016 with the possibility to be certified; 3 AD permanent posts have been converted into 3 AD temporary agent posts, in line with what has been done in the previous years (gradual conversion of vacant permanent posts into TA posts); 1 unused SNE post has been replaced with 1 CA post due to the difficulty in finding suitable candidates.

4.1.2 Vacancy rate as of end 2015

At the end of 2015, 252 establishment plan posts have been occupied in Fusion for Energy, of which 243 staff members in place (52 permanent and 191 temporary posts) and 9 sent (and accepted) Temporary Agent (TA) offer letters. Regarding support staff, 167 Contract Agent posts were occupied of which 158 in place and 9 accepted offer letters. Finally, 2 secondment letters were sent to Seconded National Experts which are scheduled to start in 2016. The vacancy rate of establishment plan posts at the end of 2015 was therefore 3.82% (10/262). The total vacancy rate including long term and short term support staff was 5.20% (23/442), which is below the 5.60% agreed as a condition to receive 21 TA short term posts in 2016.

4.1.3 Standard abatement ('abatement forfaitaire') applied

N/A

4.1.4 Salary assumption for calculating salary line (% applied)

Based on the cost of staff from 2009 to 2015 the average annual cost for salaries without additional costs is estimated to be EUR 105 000 for the EU officials and Temporary Agents and EUR 50 000 for the Contractual Agents. The averages do not take into account indirect costs related to recruitment (selection, medical visit, removal, etc.) and other staff management expenditure.

4.1.5 Correction coefficient used

NA/ the average annual cost for salaries take into account the actual execution

4.1.6 Exchange rate used (if applicable)

N/A

4.2 Financial Resources - joint Undertaking Request

4.2.1 Title 1

The total administrative budget deemed necessary for 2017 is EUR 48.6 million. In 2015, the total administrative budget executed was EUR 45.25 million. For 2016 the forecast for administrative budget in expenditure is EUR 47.5 million. The actual increase of administrative expenditure requested for 2017 is about 2% compared to the budget 2016 (+ 7.4% compared to the execution of the administrative budget 2015), which reflects the increase of the establishment plan in 2015 and 2016. Within Title I the following increases are deemed necessary for 2017: a 2% increase for the cost of the establishment Plan posts, due to the recruitment forecast of 28 Temporary Agents in 2016 (21 of which will have a short-term contract); a 6% increase for the cost of external staff, based on the forecast of recruitment of 26 Contract Agents in 2016 (13 of which will have a short-term contract); a 4% increase to the Trainings (i.e. training and language courses, etc.) related to the increase in number of F4E staff members. As regards the budget allocation for mission expenses it is noted that the budget may increase during the year, due to the increase in the number of manufacturing contracts to be followed-up, in order to take into account the recommendations of F4E's Management Assessors, as endorsed by the Governing Board (i.e. F4E staff be more present at the manufacturing sites).

F4E confirms the respect of the total administrative expenditure level for the period 2014-2020 as defined in the financial statement accompanying the council decision (Council regulation (EU, Euratom no 1311/2013) laying down the multiannual financial framework for the years 2014-2020 (2 December 2013)) and confirms the understanding that the new short-term TA and CA positions shall be financed without increase of the current total administrative expenditure as defined at the time of the Council decision.

The gap between the actual expenditure in line with the programming and the administrative budget allocated to F4E from the EU general budget takes its origin in the elaboration of the 2014 draft budget: The budget request of F4E was normally decreased by the Outturn of the previous year (the cancelled amount F4E returned to the Commission for the budget of the previous year). This is the normal procedure. The error came the following year (i.e. 2014) when the net amount (outturn deducted) was taken as baseline, instead of the programming. It is now proposed to remedy to this error, propagated until the 2016 budget.

4.2.2 Title 2

Within Title II the following increases are deemed necessary: a 5% increase in the budget needs for buildings, utilities and maintenance directly linked to the increase in the number of F4E staff members; an 8% increase in the cost of office furniture, stationary, computers and printers; a 6% increase in the costs of the Service-Level Agreements and general service expenditures linked to the increase in F4E staff members; a 9% increase in the costs of telecommunication which correlates with the increase in the number of F4E staff members; a 4% increase in expenditure on formal meetings and meetings with external participants (e.g. review panels and progress meetings) due their increased number following the project evolution and its volume of work. At the same time, a decrease of budget needs for information and communication is expected due to short term framework contracts to be signed and implemented in 2016.

4.2.3 Title 3

ITER Project: The F4E set of detailed schedules provided to ITER IO at the end of September 2015 provide a list of activities and important budgetary commitments to be carried out in 2017. The major activities currently foreseen to be contracted are in the following areas: Vacuum Vessel: provisions for Vacuum Vessel contract follow-up; Blanket First Wall: contract for High Heat Flux Testing of the First Wall full scale-prototype; Divertor: contract for Divertor Cassette Assembly Prototype; Remote Handling: specific contracts for the Preliminary Design for Cask & Plug Remote Handling System, Divertor Remote Handling, Neutral Beam Remote Handling System and In Vessel Viewing System; Vacuum Pumping and Leak Detection: contracts for the Cryostat of Leak Detection and Localisation Components, for the final design and manufacturing of Cold Valve Boxes and Primary Leak Detection and Localisation; Cryoplant: procurement of LN2 Plant and Auxiliary Systems and additional activities and utilities, consumables, assistance for test and commissioning of Liquid Nitrogen Plant and Auxiliary Systems; Ion Cyclotron Heating and Current Drive: contract for the Fabrication & Assembly of Faraday Screen prototypes; Neutral Beam Heating and Current Drive: procurement of MITICA Beam Source and Beam Line Components and Neutral Beam Power Supplies, specific contracts for the MITICA CODAS and Diagnostics; cash contribution to the Back-to-Back agreement with Consorzio RFX for the Neutral Beam Test Facility (NBTF); Diagnostics: contracts for cables, clips and connectors for In-Vessel Components, Feedthroughs for Tokamak Services, Remote Handling Platforms for In-Vessel Discrete & HF sensors &

Divertor Toroidal, Outer-Vessel Coils, Captive Ex-Vessel Transmission Components; Test Blanket Modules: contract for the assembly of the whole manifold area of Test Blanket Modules Set, full size; Buildings and Civil Infrastructures: contracts for the Design & Construction of the Buildings 42, 43, 44, 45, 46, 47, 57, 58, 59 & 60, for the Design & Construction of Buildings 24, 34 & 37, for the Final Construction Works (Completion); Cash contribution: cash contribution to Japan for the signature of the Procurement Arrangement for the Power Supplier Neutral Beam for Cadarache site and cash contribution to ITER Organization (IO). The total cash contribution to IO foreseen in the decision of the ITER Council in November 2015 is estimated at about EUR 163.6 million. The achievement of the signature for the above listed activities will depend on the evolution of the ITER project. In some cases, preparatory work for these contracts has already started. Broader Approach: F4E commitments in 2017 focus on transportation to Japan and installation in Naka of European JT-60SA power supplies and on accelerator installation/commissioning in Rokkasho for IFMIF. For IFERC, the main new commitments concern Remote Experimentation Centre (REC) activities.

5 Other information

5.1 Buildings

5.1.1 Current building(s)

	Name, location and type of building	Other Comment
Information to be provided per building:	Torre DiagonalLitoralB3 - Barcelona	
Surface area (in square metres) 1. Of which office space 2. Of which non-office space	8 000 all office space	
Annual rent (in EUR)	0	
Type and duration of rental contract	NA	
Host country grant or support	Rent paid by Spain	
Present value of the building	EUR 3.0 million	

5.1.2 Building project in the planning phase

NA

5.1.3 Building projects submitted to the European Parliament and the Council

NA

5.2 Privileges and immunities

Joint undertaking privileges	Privileges granted to staff	
	Protocol of privileges and immunities / diplomatic status	Education / day care
Privileges provided by the Host State and concluded in the seat agreement: - VAT exemptions - Building free of charge	- Diplomatic status only for the Director, and the person appointed to replace him in his absence - The PPI applies to all staff - VAT reimbursements during the first year on goods and furniture. - Purchase of one motor vehicle without taxes. - Exemption of import tax registration for vehicles (if done through the Spanish Ministry of Foreign Affairs)	

5.3 Evaluation

When the Council discussed the status of ITER and possible ways forward on 12 July 2010, it asked, inter alia: “F4E to report at least once a year on (a) the progress achieved in implementing the cost containment and savings plan, (b) as well as the performance and management of the Agency and the ITER project, and (c) the fulfilment of the scheduled activities within its annual budget. The F4E Governing Board (GB) had to appoint an independent expert who will assess the ITER project progress on the basis of existing reports and will submit this opinion to the Governing Board and to the Competitiveness Council once a year”. Accordingly each year F4E reports to the Council on the status

of the ITER Project, the evolution of the Cost containment and savings plan, an overview of the performance and management of the organisation and ITER Project, the progress related to the fulfilment of scheduled activities and F4E's Response to the External Annual Assessment. The progress report covering the period from August 2014 to November 2015 was sent to the European Commission in December 2015 who transmitted it to the Council of the EU and Parliament in January 2016.

The fourth annual assessment continued as the third with three independent experts. The Governing Board discussed the assessment at its meeting on 1-2 December 2015 and welcomed the overall positive assessment, and in particular the actions taken in response of the previous year's assessment. The GB welcomed the creation of the new department in charge of cost control and project management as well as the appointment of deputies in some departments and units. In addition the GB: noted that the supervision of contracts has improved based on the number of days given to the assessors by F4E for visits by inspectors and F4E staff to contractor and recommended the further reinforcement of the monitoring capability at the Contractor's premises; noted the good cooperation with IO however acknowledged that communication still needs special attention from the management. A few good KPI's would be desirable here; noted the creation of Project Teams considered as an excellent element of the Action Plan but is seen to be too slow and their planned implementation unclear; noted the improvement of KPI's however pointed out the need of more early warning KPI's. The GB acknowledged the Schedule Performance Indicator to be an improvement against milestone counting however still considered it to be insufficient. While the assessment was very positive overall, F4E is preparing an action plan to address the recommendations for further improvement which will be presented to the GB in its next meeting of June 2016.

Aside from the annual assessment of F4E, three assessments have been conducted in the area of the ITER buildings. These were completed in the latter half of 2015 and a follow-up workshop took place on 18-19 January with two of the experts present to determine if the actions responded to their recommendations.

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