



Annual Activity Report 2020

Annexes

Education, Audiovisual and Culture Executive
Agency

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ANNEX 1: Statement of the Director(s) in charge of Risk Management and Internal Control

For **the RMIC** in charge of risk management and internal control:

“I declare that in accordance with the Commission’s communication on the internal control framework¹, I have reported my advice and recommendations on the overall state of internal control in the DG/Executive Agency to the Director-General/Executive Director.

I hereby certify that the information provided in Section 2 of the present Annual Activity Report and in its annexes is, to the best of my knowledge, accurate and complete.”

Date 31/3/2021

(signature)

Marco Carnaccini

For **the Head of Department A** taking responsibility for the completeness and reliability of management reporting on results and on the achievement of objectives:

“I hereby certify that the information provided in Section 1 of the present Annual Activity Report and in its annexes is, to the best of my knowledge, accurate and complete.”

Date 31/3/2021

(signature)

Sophie Beernaerts

For **the Head of Department B** taking responsibility for the completeness and reliability of management reporting on results and on the achievement of objectives:

“I hereby certify that the information provided in Section 1 of the present Annual Activity Report and in its annexes is, to the best of my knowledge, accurate and complete.”

Date 31/3/2021

(signature)

Alessandra Luchetti

¹ C(2017)2373 of 19.04.2017.

ANNEX 2: Performance tables

Erasmus+

Specific objective 1.1: To improve the level of key competences and skills, in particular through increased opportunities for learning mobility and strengthened cooperation with the world of work in education and training with particular regard to the relevance of these key competences and skills for the labour market and their contribution to a cohesive society		Parent DG: EAC	
		Related to spending programme Erasmus+ (Education and Training)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results²
1 Call for proposals to be published	Publication on time	100%	100%
1 Call for tenders to be published	Publication on time	100%	100%
3 Evaluation sessions	Time to Grant	100% within 9 months	100% except 97% for Knowledge Alliance
Grant agreements	Number of grant agreements to be signed ³	57	51
Contracts	Number of contracts to be signed ⁴	2	2
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results ⁵	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected	<2%

² Sources: Funding & tender opportunities Portal (date of publication of the calls), BO reports from local financial system PEGASUS II (call reference, number of grants, TTG), Unit B4 – Legal sector (complaints), ARES (feedback to the parent DGs).

³ According to Agency's estimations, same for all S.O for Erasmus+, EU AID Volunteers, ESC and Intra-Africa.

⁴ According to Agency's estimations, same for all S.O for Erasmus+, EU AID Volunteers, ESC and Intra-Africa.

⁵ Internal reviews, Article 22 and Ombudsman cases, same for all S.O in all programmes.

		applicants	
	Reporting and Feedback to the parent DGs ⁶⁷	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants/Contracts
EACEA/33/2019	Centres of Vocational Excellence	1	7
EAC/A02/2019	Knowledge Alliances	1	32
EAC/A02/2019	Sector Skills Alliances	1	12
EACEA/2019/03	eTwinning Central Support Service including the School Education Gateway	9	2

Comment: For the Knowledge Alliances call, all the grant agreements were signed before the 9 month target (25 November 2020), except for one which was sent by the Agency on 4 November 2020 and which, due to administrative reasons on the beneficiary's side, was only returned to the Agency on 1 December 2020, which is also the date on which the grant agreement was countersigned.

⁶ According to the Memorandum of Understanding between the Agency and its Parent DGs
Ref. Ares(2015)1333575 - 26/03/2015, same for all S.O in all programmes

⁷ For Centres of Vocational Excellence and Sector Skill Alliances, feedback is given to the participating DG, DG EMPL, therefore contributing to the Skills Agenda

Specific objective 1.2: To foster quality improvements, innovation excellence and internationalisation, in particular through enhanced transnational cooperation at the level of institutions/organisations between education and training providers and other stakeholders

Parent DG: EAC

Related to spending programme Erasmus+ (Education and Training)

Main outputs in 2020:

Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a) ⁸	Publication on time	100%	n/a
6 Evaluation sessions	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	157	153 and on-going
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EAC/A02/2019	European Universities	1	24
EAC/A02/2019	Joint Master Degrees	1	46

⁸ The Erasmus+ Call for Proposals 2020 was published by DG EAC on 5 November 2019 (EAC/A02/2019), same for S.O 1.4, 1.6, 2.4, 3.1, 3.2, 3.3

EACEA/30/2019 (via invitation)	TALIS	1	26
EACEA/04/2020 (via invitation)	PIAAC	1	20
EACEA/44/2019 & EACEA/16/2020 (via invitation)	Civil Society Cooperation: Education and Training	2	19 and on-going
EACEA/06/2020 (via invitation)	The International Association for the Evaluation Achievement (IEA) - International Computer and Information Literacy Study (ICILS)	1	18

Comment: The TALIS and PIAAC calls were managed in the eGrants environment (pilot actions). The 2020 Erasmus+ Annual Work Programme was amended in early August 2020 in order (among other changes) to introduce a fourth extension of the Civil Society Cooperation Framework Partnerships (Education) committed in 2018. Consequently, Framework Partnership Agreements were amended, and an additional call and selection have been carried out.

Specific objective 1.3 To promote at policy level, in particular through enhanced policy cooperation, the dissemination of good practices and better use of Union transparency and recognition tools in education and training: the emergence of a European area of skills and qualifications, policy reforms at national level for the modernisation of education and training systems in a lifelong perspective, and digital learning

Parent DG: EAC

Related to spending programme Erasmus+ (Education and Training)

Main outputs in 2020:

Output	Indicator	Target	Latest known results
2 Calls for proposals to be published	Publication on time	100%	100%
6 Evaluation sessions	Time to Grant	100% within 9 months	100% except for Social inclusion: 57%
Grant agreements	Number of grant agreements to be signed	106	120 and on-going

Charters of Higher Education	Number of Charters	6 000	4 834
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs ⁹	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100% on open calls n/a restricted calls
Call	Action	No. Evaluation sessions	Grants
EACEA/03/2020 (accreditations)	Erasmus Charter for Higher Education (ECHE)	1	4 834
EACEA/36/2019 (restricted)	National units for the Eurydice network	1	41
EACEA/38/2019	European policy experimentation	2	On-going
EACEA/34/2019	Social inclusion in the field of education and training	1	51
EACEA/40/2019 (restricted)	National Academic Recognition Centres	1	15
EHEA/09/2019 (from previous year)	Initiative to support the implementation of	1	13

⁹ For European Policy Experimentation and Social inclusion, feedback is given to the participating DG, DG EMPL, therefore contributing to the Skills Agenda

	European Higher Education Area (EHEA) reforms		
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<p>Specific objective 1.4: To support the Union's external action, including its development objectives, through targeted capacity-building in partner countries, cooperation between Union and partner-country institutions or other stakeholders and the promotion of mobility, and to enhance the international dimension of activities in education and training by increasing the attractiveness of European higher education institutions</p>		<p>Parent DG: EAC Related to spending programme Erasmus+ (Education and Training)</p>	
<p>Main Outputs in 2020:</p>			
Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a)	Publication on time	100%	n/a
1 Evaluation session	Time to Grant	100% withing 9 months	0%
Grant agreements	Number of grant agreements to be signed	182	164
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%

Call	Action		Grants
EAC/A02/2019	Capacity Building Higher Education		164

Comment: The “Time-to-Inform” was respected, despite (1) the heavy selection procedure for the CBHE action (including Consultation of the EU Delegations), (2) the impact of the Agency’s reorganisation, and (3) the COVID-19 crisis. However, the “Time-to-Grant” was not respected due to a higher number (164) of the CBHE contracts. The signature of the grant agreements proved – as in previous years – to be a complex and troublesome task due to the action’s technical and administrative provisions. The high number of project partners with missing PICs (especially from Partner Countries) and technical issues with IT tools (Pegasus II) slowed down the process. The commitments for all 164 grant agreements were done before the end of 2020, and at the end of 2020 only eight grant agreement signatures were missing (including three projects with three Palestinian coordinators, which are dealt with on a higher political level).

Specific objective 1.5: To improve the teaching and learning of languages and promote the Union's broad linguistic diversity and intercultural awareness		Parent DG: EAC	
		Related to spending programme Erasmus+ (Education and Training)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for tenders to be published	Publication on time	100%	Not published ¹⁰
1 Evaluation session	Time to Grant	100% within 9 months (N/A)	n/a
Contracts	Number of contracts to be signed	1	n/a
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	n/a
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	

¹⁰ With the revision of the 2020 Erasmus+ AWP, it was decided that the call would be published under the 2021 Erasmus+ AWP. It is now clear that the 2021 AWP will not be published before March 2021. As of today, the OLS call has still not been launched.

	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality (N/A)	n/a
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Specific objective 1.6: To promote excellence in teaching and research activities in European integration through the Jean Monnet activities worldwide		Parent DG: EAC	
		Related to spending programme Erasmus+ (Jean Monnet)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a)	Publication on time	100%	n/a
2 Evaluation sessions	Time to Grant	100% within 9 months	91% (average) for Action 1, 100% for Action 2
Grant agreements	Number of grant agreements to be signed	338	369
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100% on open calls n/a restricted calls

Call	Action	No. Evaluation sessions	Grants
EAC/A02/2019 (Action 1)	Jean Monnet Modules, Chairs and Centres of Excellence	1	240
	Policy debate with academic world		113
	Jean Monnet Support to Associations		9
EACEA/42/2019 (restricted) Action 2	Operating grants to support specified institutions	1	7

Comment: For the Jean Monnet open call the Time-to-Inform was below 6 months, and the Time-to-Grant target of 9 months was achieved by 89% of the contracts (which were both Grant Decisions and Grant Agreements with worldwide beneficiaries). A total of 360 contracts (plus 2 from the reserve list) were signed in 2020, representing a 30% increase compared to 2019.

Specific objective 2.1: To improve the level of key competences and skills, in particular through increased opportunities for learning mobility and strengthened cooperation with the world of work in the field of youth including for young people with fewer opportunities, those active in youth work or youth organisations and youth leaders with particular regard to participation in democratic life in Europe and the labour market, active citizenship, intercultural dialogue, social inclusion and solidarity

Parent DG: EAC

Related to spending programme Erasmus+ (Youth)

Main outputs in 2020:

Output	Indicator	Target	Latest known results
1 Call for proposal to be published	Publication on time	100%	May instead of April
1 Evaluation session	Time to Grant	100% within 9 months	On-going
Grant agreements	Number of grant agreements to be signed	13	On-going
Contracts	Number of contracts to be signed	1	1

Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	n/a selection on-going
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	n/a selection on-going n/a for restricted calls
Call	Action	No. Evaluation sessions	Grants/Contracts
EACEA/10/2020	European Youth Together	1	On-going
EACEA/51/2019 (restricted)	European Youth Forum	1	1
EACEA/2014/09	Erasmus+ volunteering insurance	n/a	1

Comment: A global commitment was made for the European Youth Together call EACEA/10/2020. The contracts will be committed early 2021.

Specific objective 2.2: To foster quality improvements, innovation excellence and internationalisation, in particular through enhanced transnational cooperation at the level of institutions/organisations between organisations in the youth field and other stakeholders		Parent DG: EAC Related to spending programme Erasmus+ (Youth)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposal to be published	Publication on time	100%	100%

2 Evaluation sessions	Time to Grant	100% within 9 months	100% except for Civil society cooperation in the field of youth (FPA) EACEA/43/2019: 99%
Grant agreements	Number of grant agreements to be signed	88	157
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	n/a to restricted calls
Call	Action	No. Evaluation sessions	Grants
EACEA/50/2019 (restricted)	Eurodesk Brussels-link	1	1
EACEA/43/2019 and EACEA/13/2020	Civil society cooperation in the field of youth	2	72 and 70
EACEA/45/2019	Civil society cooperation in the field of youth	1	14

Comment: The 2020 Erasmus+ Annual Work Programme was amended in early August 2020 in order (among other changes) to introduce a fourth extension of the Civil Society Co-operation Youth Framework Partnerships committed in 2018. The invitation and selection were done at the end 2020 with an overall commitment. The individual commitments will be done before end April 2021.

The Time-to-Grant exceeded 9 months for Civil Society Co-operation Youth. One agreement (out of 72 Framework Partnerships) was signed only in mid-September. The problem was caused by a change of the legal representative of the organisation and a delay in the

update of the Belgian register (Moniteur Belge) and was also related to COVID-19 issues in Belgium).

Specific objective 2.3: To promote at policy level, in particular through enhanced policy cooperation, the dissemination of good practices and better use of Union transparency and recognition tools in the field of youth: evidence-based youth policy, as well as the recognition of non-formal and informal learning, with a view to complementing policy reforms at local, regional and national level			
Parent DG: EAC			
Related to spending programme Erasmus+ (Youth)			
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
Calls for proposal to be published (n/a)	Publication on time	100%	n/a
1 Evaluation session	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	41	37
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	n/a to restricted calls
Call	Action	No. Evaluation sessions	Grants
EACEA/37/2019 (restricted)	Support to better knowledge in youth policy	1	37

Specific objective 2.4: To support the Union's external action, including its development objectives, through targeted capacity-building in partner countries, cooperation between Union and partner-country institutions or other stakeholders and the promotion of mobility, and to enhance the international dimension of activities in the field of youth in particular as regards the role of youth workers and support structures for young people.

Parent DG: EAC

Related to spending programme Erasmus+ (Youth)

Main outputs in 2020:

Output	Indicator	Target	Latest known results
Calls for proposal to be published (n/a)	Publication on time	100%	n/a
1 Evaluation session	Time to Grant	100% within 9 months	29%
Grant agreements	Number of grant agreements to be signed	115	112
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EAC/A02/2019	Capacity Building Youth	1	112

Comment: An additional budget of EUR 3 million for the Capacity Building Youth, Western Balkan window (on top of the available EUR 3 million) in the form of an overall commitment from the previous year. This additional amount was used in an overall commitment. The individual commitments will be done in 2021, most likely as part of the scheduled 2021 CBY call.

The Time-to-Grant exceeded 9 months: 68.75% (73 out of 112 agreements) were signed after 4 November which was the 9-month deadline. The issues causing this delay were the same this year as in previous years but were aggravated by COVID-19 pandemic. The grant award decision was signed at the end of July, but the first agreements were only signed mid-October. This is because the beneficiary needed to fulfil the formal criteria for being able to sign the agreement, i.e., to have a PIC (coordinator plus all partners) and to provide documents for the financial capacity check, as well as other administrative corrections. Ample experience shows that this takes a long time even if both REA services and EACEA are active in following this up.

Specific objective 3.1: To tackle cross-border threats to the integrity of sport, such as doping, match-fixing and violence, as well as all kinds of intolerance and discrimination		Parent DG: EAC	
		Related to spending programme Erasmus+ (Sport)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a)	Publication on time	100%	n/a
1 Evaluation session	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	20	16
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee	100%

		report on the overall selection process and quality	
Specific objective 3.2: To support good governance in sport and dual careers of athletes		Parent DG: EAC Related to spending programme Erasmus+ (Sport)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a)	Publication on time	100%	n/a
1 Evaluation session	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	40	39
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Specific objective 3.3: To promote voluntary activities in sport, together with social inclusion, equal opportunities and health-enhancing physical activity through increased participation in, and equal access to sport		Parent DG: EAC Related to spending programme Erasmus+ (Sport)	
Main outputs in 2020:			

Output	Indicator	Target	Latest known results
Calls for proposals to be published (n/a)	Publication on time	100%	n/a
1 Call for tenders published	Publication on time	100%	Not published
2 Evaluation sessions	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	286	296
Contracts	Number of contracts to be signed	1	n/a
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100% n/a for restricted call
Call	Action	No. Evaluation sessions	Grants
EAC/A02/2019	Small collaborative partnerships, Collaborative partnerships, Not-for-profit European sport events	1	315
EACEA/48/2019	Dialogue with stakeholders	1	34

Creative Europe

CULTURE sub-programme

Specific objective 4.1: To support the capacity of the European cultural and creative sectors to operate transnationally and internationally		Parent DG: EAC	
		Related to spending programme Creative Europe (Culture)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
2 Calls for proposals to be published	Publication on time	100%	100%
2 Evaluation sessions	Time to Grant	100% within 9 months	100% except for EACEA/32/2019: 5.5%
120 Grant agreements to be signed ¹¹	Implementation rate in accordance with WPI	100%	>100%
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EACEA/32/2019	Support to Cooperation projects	1	115

¹¹ In accordance with the Work Programme of Creative Europe for all S.O, same for Europe for Citizens.

EACEA/39/2019	Support to Cooperation projects – Western Balkans	1	13
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Comment: In response to the COVID-19 outbreak the deadlines for the EACEA/39/2019 calls were extended twice to allow more time for potential applicants to apply. The number of final selected projects is lower than initially planned in the Annual Work Programme as the higher-ranking proposals requested higher EU grants. The Time-to-Grant for call EACEA/32/2019 exceeded the 9 month target due to technical issues and the general lockdown in Europe that delayed responses from beneficiaries. While the Annual Work Plan estimated around 100 selected projects over both categories (smaller and larger scale projects), the actual number of selected projects is 15% higher. This trend has recurred over several years and the increase is mainly due to the increase in the budget allocated to the scheme over recent years.

Specific objective 4.2: To promote the transnational circulation of cultural and creative works and operators and reach new audiences in Europe and beyond, with a particular focus on children, young people with disabilities and under-represented groups		Parent DG: EAC	
		Related to spending programme Creative Europe (Culture)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposals to be published	Publication on time	100%	100%
5 Evaluation sessions	Time to Grant	100% within 9 months	100% except for EACEA/41/2019 deadline 1: 93%
103 Grant agreements to be signed	Implementation rate in accordance with WPI	100%	>100%
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%

	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EACEA/08/2020 (restricted) and EACEA/05/2020	Support to Literary translation projects	1	74
EACEA/41/2019 (via invitation)	Support to Networks	2	28
EACEA/2/2020 (via invitation)	Support to Platforms	1	15

Comment: In response to the COVID-19 outbreak the deadline for the calls were extended. The call Support to Literary translation projects was among the selected pilot actions managed in the eGrants environment. An additional budget of EUR 1 million was allocated to the call to support publishers, and notably small publishers in the difficult circumstances of the pandemic. The Time-to-Grant for call EACEA/41/2019 exceeded the 9 month target due to technical issues and the general lockdown in Europe that delayed responses from beneficiaries.

Cross-sectoral strand

Specific objective 4.3: To support transnational policy cooperation in order to foster policy development, innovation, creativity, audience building and new business models		Parent DG: EAC	
		Related to spending programme Creative Europe (Culture, MEDIA)	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposals to be published	Publication on time	100%	100%
2 Evaluation sessions	Time to Grant	100% within 9 months	100%
47 Grant agreements to be signed	Implementation rate in accordance with WPI	100%	>100%

Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EACEA/31/2019 (restricted)	Support to Creative Europe Desks	1	41
EACEA/28/2019	Bridging culture and audiovisual content through digital	1	8

Comment: The call EACEA/28/2019 was among the selected pilot actions managed in the eGrants environment. According to the 2020 Work Programme, the budget available for the call for proposals EACEA/28/2019 was EUR 1 715 000. To cover the high number of applications submitted, additional funds were transferred by DG CNECT and consequently the total amount recommended for funding was EUR 3 176 333.97.

MEDIA sub-programme

Specific objective 1.5: A modern, open and pluralistic society building on Europe's cultural diversity, creativity and respect of creator's rights and its values in particular democracy, freedom of expression and tolerance **Parent DG: CNECT**
 Related to spending programme Creative Europe (MEDIA)

Main outputs in 2020:

Output	Indicator	Target	Latest known results
12 Calls for proposals to be published	Publication on time	100%	100%

19 Evaluation sessions	Time to Grant	100% within 9 months	100% except for: <ul style="list-style-type: none"> • Slate Funding: 68% • TV-DDL1: 50% • previous call Distribution: 22.60%
869 Grant agreements to be signed	Implementation rate in accordance with WPI	100%	100% tbc (828 and on-going)
6 Contracts to be signed	Implementation rate in accordance with WPI	100%	<100%
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants/Contracts
EACEA/16/2019 (via invitation)	Support to Training	1	47
EACEA/17/2019 and EACEA/18/2019	Support to the Development of Single Project and Slate Funding	3	213
EACEA/19/2019	Support for Development of European Video Games	1	32
EACEA/20/2019	Support to Television Programming of Audiovisual European Works	2	46
EACEA/29/2019	Support to international co-production funds	1	1

EACEA/21/2019	Support for the Distribution of non-national films - The Cinema Selective Scheme	2	22
EACEA/27/2018 (from previous year)	Support for the Distribution of non-national films - Distribution and Sales Agent Automatic Scheme	1	242
EACEA/29/2018 (from previous year)		1	33
EACEA/22/2019		2	On-going
EACEA/23/2019 (open) and EACEA/11/2020 (via invitation)	Promotion of European audiovisual works online	2	47
EACEA/24/2019	Cinema Networks	1	1
EACEA/25/2019	Film Education	1	5
EACEA/26/2019	Support to Festivals	2	88
EACEA/27/2019	Support to Market Access	1	51
FWC N° 2017-01-01 signed on 11 August 2017	Stands	4	4

Comment: In response to the COVID-19 outbreak the deadlines for the calls EACEA/23/2019 and EACEA/26/2019 (deadline 2) were extended.

The Time-to-Grant for calls EACEA/27/2018, EACEA/18/2019 and EACEA/20/2019 exceeded the 9 month target due to technical issues and the general lockdown in Europe that delayed responses from beneficiaries.

Europe for Citizens

Specific objective 3.1 (as taken from the SP of DG HOME but managed since 2020 by DH JUST) :Enhance citizens' understanding of the Union, its history and diversity, foster European citizenship and improve conditions for civic and democratic participation at Union level			
Parent DG: JUST			
Related to spending programme Europe for Citizens			
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposals to be published	Publication on time	100%	100%
9 Evaluation sessions	Time to Grant	100% within 9 months	100% except for European Remembrance projects: 91%
455 Grant agreements to be signed	Implementation rate in accordance with WPI	100%	<100%
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants
EACEA/52/2019	European Remembrance projects	1	54
	Town Twinning	2	231

	Networks of Towns	2	41
	Civil Society Projects	1	33
EACEA/33/2017 (via invitation)	Structural support for think tanks, organisations at European level (framework partnerships)	2	30
EACEA/31/2017 (via invitation)	Information structures in Member States and participating countries	1	28

Comment: The contracting process is still ongoing for the second deadline of the calls for Town Twinning, Networks of Towns and Civil Society Projects.

EU Aid Volunteers

Specific objective 1.2: People and communities at risk of disasters are resilient		Parent DG: ECHO	
		Related to spending programme EU Aid Volunteers initiative	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposals to be published	Publication on time	100%	May instead of March
1 Evaluation session	Time to Grant	100% within 9 months	n/a
Grant agreements	Number of grant agreements to be signed	12	n/a
Contracts	Number of contracts to be signed	1	1
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	n/a
	% of complaints that lead to a change of decision	Max 2% of complaints sent by rejected applicants	
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by	n/a

		an evaluation committee report on the overall selection process and quality	
Training programme and training of 1,200 candidate volunteers	% of candidate volunteers assessed as "fit for deployment"	90%	4.5% ¹²
Call	Action	No. Evaluation sessions	Grants/Contracts
EACEA/09/2020	Deployment of EU Aid Volunteers, including optional apprenticeship placements and management of online volunteering opportunities	1	Cancelled
EACEA/50/2015 (certifications)	Certification mechanism for sending and hosting organisations	18	88 certifications and on-going
EACEA/2019/06	Training Programme and Training of Candidate Volunteers	4	1
Direct service contract 2014-3552	Insurance	n/a	On-going

Comment: the **Deployment call** EACEA/09/2020 was cancelled in December at the instruction of ECHO (see Ares(2020)7878192 of 22/12/2021). The call was cancelled before the Grant Award Decision was signed. Applicants were subsequently informed by letter. The reasons which led to such a decision are linked to the magnitude and duration of the impact which could not be anticipated at the date of publication of the call. The safety and security of volunteers is an essential requirement of the EU Aid Volunteers initiative. Major and mandatory elements of the initiative can no longer be implemented in line with the programme's legal base. In particular, the preparatory face-to-face training had to be first postponed and then cancelled as from spring 2020 due to the travel restrictions and the need for social distancing. Most currently running deployment projects funded under previous selections had to be interrupted and most volunteers were repatriated to their home countries. As a result, an implementation of the EU Aid Volunteers initiative deployment call for proposals 2020 will not be possible in compliance with the requirements of the Regulation and within a reasonable timeframe.

¹² Training programme put on hold due to Covid-19 pandemic.

The **training cycles planned** from April onwards had to be cancelled due to the travel restrictions and the social distancing requirements. The expected number of volunteers to be trained in 2020 (1 200) has not been reached. Only 54 volunteers were able to follow the last training held in Pisa, Italy, at the end of February 2020. A new service contract for volunteer training was awarded in April. However, as DG ECHO decided to put the training on hold, the Agency had to go for a global commitment with an exception note.

It is expected that the online part of the training will start in spring 2021 and that the face-to-face training will follow later. Given the cancellation of the deployment call 2020 the needs for such training have been limited to 500 volunteers.

The Agency assisted in the **handover of the EUAV legacy from DG ECHO to DG EAC**. The transfer of responsibility finally became effective as of 1 January 2021.

Pan-Africa

Specific objective 1.2: Promote human development by supporting actions in the field of social protection, health, education and better access to decent jobs in order to ensure a solid foundation for partner countries' further development			
Associated DG: DEVCO			
		Related to spending programme DCI	
Main outputs in 2020:			
Output	Indicator	Target	Latest known results
1 Call for proposals to be published	Publication on time	100%	100%
1 Evaluation session	Time to Grant	100% within 9 months	100%
Grant agreements	Number of grant agreements to be signed	7	7
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the selection results	Max. 3% of rejected applicants	<3%
	% of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation	100%

		committee report on the overall selection process and quality	
Call	Action	No. Evaluation sessions	Grants
EACEA/07/2020	Intra-Africa Academic Mobility Scheme IV	1	7

European Solidarity Corps

Specific objective 6.1: To improve the level of key competences and skills, in particular through increased opportunities for learning mobility and strengthened cooperation with the world of work in the field of youth including for young people with fewer opportunities, those active in youth work or youth organisations and youth leaders with particular regard to participation in democratic life in Europe and the labour market, active citizenship, intercultural dialogue, social inclusion and solidarity

Parent DG: EAC

Related to spending programme
European Solidarity Corps

Main outputs in 2020:

Output	Indicator	Target	Latest known results
1 Call for proposal to be published	Publication on time	100%	n/a (call published by DG EAC)
1 Evaluation session	Time to Grant	100% within 9 months	100% and on-going
Grant agreements	Number of grant agreements to be signed	10	22
Contracts	Number of contracts to be signed	5	3
Quality assurance of the results of the calls	% of rejected applicants who submit a complaint concerning the	Max. 3% of rejected applicants	<3%

	selection results % of complaints that lead to a change of decision	Max. 2% of complaints sent by rejected applicants	<2%
	Reporting and Feedback to the parent DGs	100% of award decisions accompanied by an evaluation committee report on the overall selection process and quality	100%
Call	Action	No. Evaluation sessions	Grants/Contracts
EAC/A05/2018	Volunteering Teams in High Priority Areas	1	7
EAC/03/2019	Volunteering Teams in High Priority Areas	1	15
EAC/03/2018	General Online Training	n/a	2
Direct service contract	Insurance	n/a	1

Comment: EAC/A05/2018 was published in December 2018 with an amendment in July 2019 (Annual Work Plan 2019). The original deadline for this call was postponed from September 2019 to 24 January 2020. Although a dedicated information event was organised (and web-streamed) in November 2019, the call did not attract a satisfactory number of applications (8). The total budget earmarked for the co-financing of projects under this call was €1.2 million. The requested budget of the eligible proposals amounted to €514 000 and thus was far below the available amount. A reason for the low number of applications in this round could be that there were only 4 months between the last and this call deadline.

The General Online Training for around 200 000 volunteers registered under the European Solidarity Corps was launched end November 2020. There was a technical issue which slightly delayed the start.

Erasmus+

WPI	Objectif	SUB PROGRAMME	Budget Line	Heading 4	Action code	Round of Selection	Year of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	Time to Inform (max 6 months)	Time To Grant	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
1.20	2.4	EPPKA1	E & T	Yes	JMD-MOB-2020-1-1	1	1	47	EAC-A02-2019-JMD	13/02/2020	100	3,00%	46	47,42%	14/07/2020	CFP-EA	5,00	8,75	100%	176.892.400,00	176.892.400,00
1.27	2.3	EPPKA1	E & T	No	ECHE-FP-1-1	1	1	1.300	EACEA-03-2020-2	26/05/2020	708	5,37%	571	80,65%	11/12/2020	APEL-EA	6,90	na	na	na	na
1.27	2.3	EPPKA1	E & T	No	ECHE-LP-1-1	1	1	4.500	EACEA-03-2020-1	26/05/2020	4.326	1,46%	4.263	98,54%	11/12/2020	APEL-EA	6,90	na	na	na	na
								5.847			5.134	2,03%	4.880	95,05%	3	6,27		8,75	100%	176.892.400,00	176.892.400,00

2.11	2.10	EPPKA2	Youth	Yes	CBY-WB-2020-1-1	1	1	32	EAC-A02-2019-CBY	5/02/2020	127	0,79%	28	22,22%	31/07/2020	CFP-EA	5,92	10,16	54%	2.885.736,53	2.885.736,53
2.11	2.10	EPPKA2	Youth	No	CBY-ACPALA-2020-1-1	1	1	80	EAC-A02-2019-CBY	5/02/2020	321	1,25%	79	24,92%	31/07/2020	CFP-EA	5,92	10,59	32%	10.710.055,63	10.710.055,63
2.11	2.10	EPPKA2	Youth	No	CBY-SMED-2020-1-1	1	1	6	EAC-A02-2019-CBY	5/02/2020	16	12,50%	5	35,71%	31/07/2020	CFP-EA	5,92	10,32	0%	444.753,90	444.753,90
2.20	2.2	EPPKA2	E & T	No	EUR-UNIV-2020-1-1	1	1	24	EAC-A02-2019-1	26/02/2020	62	1,61%	24	38,71%	2/07/2020	CFP-EA	4,32	7,87	100%	118.781.711,14	118.781.711,14
2.21	2.2	EPPKA2	E & T	Yes	KA-2020-1-1	1	1	30	EAC-A02-2019-KA	26/02/2020	217	0,46%	32	14,81%	29/07/2020	CFP-EA	5,13	9,17	97%	31.074.678,00	31.074.678,00
2.22	2.1	EPPKA2	E & T	No	SSA-B-2020-1-1	1	1	6	EAC-A02-2019-SSA	26/02/2020	20	5,00%	6	31,58%	28/07/2020	CFP-EA	5,13	8,12	100%	23.714.504,00	23.714.504,00
2.22	2.1	EPPKA2	E & T	No	SSA-2020-1-1	1	1	6	EAC-A02-2019-SSA	26/02/2020	32	6,25%	6	20,00%	28/07/2020	CFP-EA	5,49	8,45	100%	5.826.036,00	5.826.036,00
2.40	2.4	EPPKA2	E & T	Yes	CBHE-JP-2020-1-1	1	1	164	EAC-A02-2019-CBHE	5/02/2020	939	1,06%	142	15,29%	29/07/2020	CFP-EA	5,82	10,80	0%	128.017.759,30	128.017.759,30
2.40	2.4	EPPKA2	E & T	Yes	CBHE-SP-2020-1-1	1	1	18	EAC-A02-2019-CBHE	5/02/2020	80	5,00%	22	28,95%	29/07/2020	CFP-EA	5,82	10,80	0%	19.648.313,00	19.648.313,00
								366			1.814	1,43%	344	18,53%	9	5,50		9,59	54%	341.103.547,50	341.103.547,50

WPI	Obj.	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
3.02	2.9	A.2	EPPKA3	Youth	No	YTH-WIKI-2020-1-1	37	EACEA-37-2019	3/12/2019	38	2,63%	37	100,00%	21/02/2020	SPE-EA	2,96	3,91	100%	1.129.704,00	1.129.704,00
3.17	2.3	A.2	EPPKA3	E & T	No	EURYDICE-2020-1-1	41	EACEA-36-2019	3/12/2019	41	0,00%	41	100,00%	14/02/2020	D-EA	2,40	3,78	100%	2.504.702,00	2.504.702,00
3.18	2.8	A.5	EPPKA3	Youth	No	EU-YTH-TOG-2020-1-1	15	EACEA-10-2020-1	28/07/2020	251	11,95%	na	na	na	CFP-EA	on-going	on-going	on-going	on-going	on-going
3.21	2.3	A.2	EPPKA3	E & T	No	POLICY-2020-1-1	25	EACEA-38-2019-1	21/04/2020	30	13,33%	na	na	na	CFP-EA	2,83	na	na	na	na
3.21	2.3	A.2	EPPKA3	E & T	No	POLICY-2020-1-2	11	EACEA-38-2019-1	24/09/2020	15	0,00%	na	na	na	CFP-EA	2,53	on-going	on-going	on-going	on-going
3.22	2.3	A.2	EPPKA3	E & T	Yes	IPI-SOC-IN-2020-1-1	51	EACEA-34-2019	25/02/2020	141	2,84%	51	37,23%	28/07/2020	CFP-EA	5,10	9,67	57%	21.923.729,00	21.923.729,00
3.45	2.9	A.5	EPPKA3	Youth	No	EDK_BXL-2020-1-1	1	EACEA-50-2019-1	28/11/2019	1	0,00%	1	100,00%	13/03/2020	CFP-EA	3,48	4,60	100%	600.000,00	600.000,00
3.52	2.3	A.1	EPPKA3	E & T	Yes	NARIC-2020-1-1	15	EACEA-40-2019	11/02/2020	18	0,00%	15	83,33%	29/06/2020	D-EA	4,67	8,32	100%	1.971.046,14	1.971.046,14
3.55	2.3	A.1	EPPKA3	E & T	No	EHEA-2020-1-1	10	EHEA09-2019	12/09/2019	17	0,00%	13	76,47%	21/01/2020	D-EA	4,60	9,00	100%	2.877.769,72	2.877.769,72
3.64	2.2	A.2	EPPKA3	E & T	No	VET-COVE-2020-1-1	7	EACEA-33-2019-1	20/02/2020	54	11,11%	7	14,58%	24/07/2020	CFP-EA	5,69	8,28	100%	27.269.571,00	27.269.571,00
3.69	2.2	A.2	EPPKA3	E & T	No	TALIS-2020-1-3	27	EACEA-30-2019	3/12/2019	27	0,00%	26	96,30%	24/03/2020	D-EA	4,18	7,59	100%	2.098.288,00	2.098.288,00
3.70	2.2	A.2	EPPKA3	E & T	No	PIAAC-2020-1-2	25	EACEA-04-2020	15/06/2020	20	0,00%	20	100,00%	13/10/2020	D-EA	4,14	6,25	100%	6.392.242,53	6.392.242,53
3.71	2.9	A.5	EPPKA3	Youth	No	EYF-2020-1-1	1	EACEA-51-2019-1	29/11/2019	1	0,00%	1	100,00%	13/03/2020	CFP-EA	3,45	4,57	100%	2.650.000,00	2.650.000,00
3.75	2.2	A.2	EPPKA3	E & T	Yes	CSC-EDU-2020-1-1	19	EACEA-44-2019-1	26/11/2019	19	0,00%	19	100,00%	2/03/2020	FPA-EA	3,25	4,93	100%	2.442.350,50	2.442.350,50
3.75	2.2	A.2	EPPKA3	E & T	Yes	CSC-EDU-2020-1-4	19	EACEA-16-2020	19/11/2020	19	0,00%	on-going	on-going	on-going	FPA-EA	on-going	on-going	on-going	on-going	on-going
3.76	2.8	A.5	EPPKA3	Youth	No	CSC-YOUTH-2020-1-3	72	EACEA-43-2019-1	19/11/2019	72	0,00%	72	100,00%	13/03/2020	CFP-EA	4,01	9,90	99%	3.495.515,20	3.495.515,20
3.76	2.8	A.5	EPPKA3	Youth	No	CSC-YOUTH-2020-1-4	70	EACEA-13-2020	19/11/2020	70	0,00%	70	100,00%	20/01/2021	CFP-EA	2,04	on-going	on-going	3.390.943,00	3.390.943,00
3.77	2.8	A.5	EPPKA3	Youth	No	CSC-YOUTH-2020-1-1	14	EACEA-45-2019-1	19/11/2019	60	0,00%	14	23,33%	19/03/2020	CFP-EA	4,01	6,94	100%	470.591,48	470.591,48
3.78	2.3	A.2	EPPKA3	E & T	No	ICILS-2020-1-1	18	EACEA-06-2020-1	15/09/2020	18	0,00%	18	100,00%	11/11/2020	MON-EA	2,33	3,19	100%	4.184.685,00	4.184.685,00
							478			912	4,93%	405	44,41%	11	3,63		6,50	100%	83.401.137,57	83.401.137,57

WPI	Obj.	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
4.10	2.6	A.1	EPPJMO	JM	Yes	CHAIR-2020-1-1	75	EAC-A02-2019-JMO	20/02/2020	164	1,22%	70	43,21%	13/08/2020	CFP-EA	5,85	9,83	94%	3.163.965,95	3.163.965,95
4.10	2.6	A.1	EPPJMO	JM	Yes	MODULE-2020-1-1	8	EAC-A02-2019-JMO	20/02/2020	787	0,89%	141	18,08%	13/08/2020	CFP-EA	5,85	10,09	89%	3.732.808,45	3.732.808,45
4.11	2.6	A.1	EPPJMO	JM	Yes	NETWORK-2020-1-1	5	EAC-A02-2019-JMO	20/02/2020	92	0,00%	17	18,48%	13/08/2020	CFP-EA	5,85	9,67	88%	5.081.734,45	5.081.734,45
4.11	2.6	A.1	EPPJMO	JM	Yes	PROJECT-2020-1-1	94	EAC-A02-2019-JMO	20/02/2020	307	1,30%	96	31,68%	13/08/2020	CFP-EA	5,85	9,70	82%	5.381.584,58	5.381.584,58
4.12	2.6	A.1	EPPJMO	JM	Yes	SUPPA-2020-1-1	2	EAC-A02-2019-JMO	20/02/2020	21	4,76%	9	45,00%	13/08/2020	CFP-EA	5,85	8,75	100%	427.503,00	427.503,00
4.22	2.6	A.1	EPPJMO	JM	No	JMSC3-AEL-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	2.724.114,00	2.724.114,00
4.25	2.6	A.1	EPPJMO	JM	No	JMSC6-CIFE-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	2.522.830,00	2.522.830,00
4.20	2.6	A.1	EPPJMO	JM	No	JMSC1-CoEB-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,95	100%	5.614.856,00	5.614.856,00
4.26	2.6	A.1	EPPJMO	JM	No	JMSC7-CoEN-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	4.538.591,00	4.538.591,00
4.21	2.6	A.1	EPPJMO	JM	No	JMSC2-EUI-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	17.624.695,00	17.624.695,00
4.23	2.6	A.1	EPPJMO	JM	No	JMSC4-IPA-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	1.038.751,00	1.038.751,00
4.24	2.6	A.1	EPPJMO	JM	No	JMSC5-SNA-2020-1-1	1	EACEA-42-2019-1	31/12/2019	1	0,00%	1	100,00%	25/03/2020	D-EA	3,78	3,91	100%	1.033.537,00	1.033.537,00
			EPPJMO				194			1.470	1,02%	369	25,10%	13		4,74	6,56	95,85%	55.729.915,57	55.729.915,57
5.09	2.13	A.3	SPO	Sport	No	SSCP-2020-1-1	160	EAC-A02-2019-SPO	21/04/2020	570	2,46%	180	32,37%	16/10/2020	CFP-EA	5,95	7,82	100%	10.028.681,00	10.028.681,00
5.10	2.13	A.3	SPO	Sport	No	SCP-2020-1-1	130	EAC-A02-2019-SPO	21/04/2020	496	3,02%	121	25,16%	16/10/2020	CFP-EA	5,95	7,92	100%	43.496.502,00	43.496.502,00
5.11	2.13	A.3	SPO	Sport	No	SNCESE-2020-1-1	10	EAC-A02-2019-SPO	21/04/2020	79	7,59%	14	19,18%	16/10/2020	CFP-EA	5,95	6,87	100%	5.397.998,83	5.397.998,83
5.14	2.13	A.3	SPO	Sport	No	DSW1-NS-1-1	34	EACEA-48-2019	18/12/2019	34	0,00%	34	100,00%	4/03/2020	D-EA	3,68	8,58	100%	6.330.358,42	6.330.789,50
			SPO				334			1.179	3,06%	349	29,60%	4		5,38	7,80	100%	65.253.540,25	65.253.971,33
Total général par Unité							7.219			10.509	2,14%	6.347	60,40%	40		5,10	7,84	90%	722.380.540,89	722.380.971,97
d'offres et organismes désignés							1.167			5.217	2,36%	1.283	24,59%	30		5,13	8,78	75%	657.352.020,58	657.352.020,58

Creative Europe

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	Round of Selection	Year Of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
2.14	4.1	B.1	CULT	Culture	No	COOP1-2020-1-1	1	1	85	EACEA-32-2019	27/11/2019	321	2,80%	93	29,81%	28/05/2020	CFP-EA	6,05	12,66	11%	17.359.091,00	17.359.091,00
2.14	4.1	B.1	CULT	Culture	No	COOP2-2020-1-1	1	1	15	EACEA-32-2019	27/11/2019	70	1,43%	22	31,88%	28/05/2020	CFP-EA	6,05	11,70	0%	33.472.669,00	33.472.669,00
2.15	4.2	B.1	CULT	Culture	No	LIT2-2020-1-3	1	3	14	EACEA-08-2020-1	28/05/2020	14	0,00%	14	100,00%	19/08/2020	FPA-EA	2,99	5,42	100%	1.121.672,60	1.121.672,60
2.15	4.2	B.1	CULT	Culture	No	LIT1-2020-1-1	1	1	60	EACEA-05-2020	28/05/2020	173	6,36%	60	34,68%	19/08/2020	CFP-EA	3,22	6,44	100%	3.485.668,91	3.485.668,91
2.16	4.1	B.1	CULT	Culture	No	NET-2020-1-4	1	4	14	EACEA-41-2019	7/11/2019	14	0,00%	14	100,00%	7/02/2020	FPA-EA	3,12	10,52	93%	3.250.102,53	3.251.430,53
2.16	4.1	B.1	CULT	Culture	No	NET-2020-2-4	2	4	14	EACEA-41-2019	28/05/2020	14	0,00%	14	100,00%	10/08/2020	FPA-EA	2,47	4,50	100%	3.473.302,74	3.473.302,74
2.17	4.1	B.1	CULT	Culture	No	PLAT-2020-1-4	1	4	15	EACEA-2-2020-1	25/05/2020	17	11,76%	15	100,00%	6/08/2020	FPA-EA	2,56	6,35	100%	7.499.510,00	7.499.510,00
2.21	4.4	B.1	CULT	Cross-sectoral	No	CEDESK-2020-1-3	1	3	41	EACEA-31-2019	20/11/2019	41	0,00%	41	100,00%	26/02/2020	FPA-EA	3,42	8,05	100%	5.371.108,20	5.371.108,20
N/A	N/A	B.1	CULT	Culture	Yes	COOP-WB-2020-1-1	1	1	20	EACEA-39-2019-1	28/04/2020	339	7,08%	13	4,13%	29/07/2020	CFP-EA	3,02	7,17	100%	4.935.563,00	4.935.563,00
			CULT						278			1.003	4,34%	286	28,51%	9		3,66	8,09	78%	79.968.687,98	79.970.015,98

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	Round of Selection	Year Of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
2.01	2.6	B.2	MED	MEDIA	No	TRAINING-2020-1-3	1	3	49	EACEA-16-2019	28/05/2020	49	2,04%	47	97,92%	1/10/2020	CFP-EA	4,14	6,38	100%	7.402.391,21	7.402.391,21
2.02	2.6	B.2	MED	MEDIA	No	DEVSPANI-2020-1-1	1	1	10	EACEA-17-2019-1	13/11/2019	37	8,11%	10	29,41%	6/05/2020	CFP-EA	5,79	7,66	100%	600.000,00	600.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSPANI-2020-2-1	2	1	10	EACEA-17-2019-1	12/05/2020	85	11,76%	13	17,33%	4/11/2020	CFP-EA	5,85	7,10	100%	780.000,00	780.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSPDOC-2020-1-1	1	1	22	EACEA-17-2019-1	13/11/2019	71	7,04%	18	27,27%	6/05/2020	CFP-EA	5,79	8,28	100%	450.000,00	450.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSPDOC-2020-2-1	2	1	22	EACEA-17-2019-1	12/05/2020	145	15,86%	22	18,03%	4/11/2020	CFP-EA	5,85	7,13	100%	550.000,00	550.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSPFIC-2020-1-1	1	1	38	EACEA-17-2019-1	13/11/2019	170	4,71%	39	24,07%	6/05/2020	CFP-EA	5,79	8,98	100%	1.790.000,00	1.790.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSPFIC-2020-2-1	2	1	38	EACEA-17-2019-1	12/05/2020	257	8,56%	39	16,60%	4/11/2020	CFP-EA	5,85	7,17	100%	1.730.000,00	1.730.000,00
2.02	2.6	B.2	MED	MEDIA	No	DEVSLATE-2020-1-1	1	1	71	EACEA-18-2019-1	4/02/2020	147	6,80%	72	52,55%	30/07/2020	CFP-EA	5,82	9,96	68%	12.597.925,00	12.597.925,00
2.03	2.6	B.2	MED	MEDIA	No	DEVVG-2020-1-1	1	1	32	EACEA-19-2019-1	12/02/2020	125	16,00%	32	30,48%	30/07/2020	CFP-EA	5,56	7,99	100%	3.754.638,00	3.754.638,00
2.04	2.6	B.2	MED	MEDIA	No	TV-2020-1-1	1	1	18	EACEA-20-2019-1	28/11/2019	47	21,28%	21	56,76%	28/05/2020	CFP-EA	6,02	12,23	50%	7.633.555,00	7.633.555,00
2.04	2.6	B.2	MED	MEDIA	No	TV-2020-2-1	2	1	50	EACEA-20-2019-1	14/05/2020	83	26,51%	25	40,98%	28/10/2020	CFP-EA	5,56	7,13	100%	8.305.397,00	8.305.397,00

Creative Europe

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	Round of Selection	Year Of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
2.04	2.6	B.2	MED	MEDIA	No	TV-2020-1-1	1	1	18	EACEA-20-2019-1	28/11/2019	47	21,28%	21	56,76%	28/05/2020	CFP-EA	6,02	12,23	50%	7.633.555,00	7.633.555,00
2.04	2.6	B.2	MED	MEDIA	No	TV-2020-2-1	2	1	50	EACEA-20-2019-1	14/05/2020	83	26,51%	25	40,98%	28/10/2020	CFP-EA	5,56	7,13	100%	8.305.397,00	8.305.397,00
2.05	2.6	B.2	MED	MEDIA	No	COPROD-2020-1-1	1	1	1	EACEA-29-2019	15/01/2020	2	0,00%	1	50,00%	7/05/2020	CFP-EA	3,72	5,95	100%	500.000,00	500.000,00
2.06	2.6	B.2	MED	MEDIA	No	DISTSEL-2020-1-1	1	1	12	EACEA-21-2019	10/12/2019	21	0,00%	12	57,14%	28/05/2020	CFP-EA	5,59	7,82	100%	4.786.291,50	4.786.291,50
2.06	2.6	B.2	MED	MEDIA	No	DISTSEL-2020-2-1	2	1	13	EACEA-21-2019	16/06/2020	17	0,00%	10	58,82%	23/10/2020	CFP-EA	4,24	5,95	100%	5.522.485,50	5.522.485,50
2.07	2.6	B.2	MED	MEDIA	No	DISTAUTOG-2019	1	1	300	EACEA-27-2018	5/09/2019	270 (in 2019)	2,22%	241	91,29%	6/03/2020	CFP-EA	6,02	11,73	22,69%	22.444.718,99	22.444.718,99
2.07	2.6	B.2	MED	MEDIA	No	DISTSAG-2019	1	1	40	EACEA-29-2018	7/11/2019	38 (in 2019)	2,63%	33	89,19%	4/05/2020	CFP-EA	5,98	8,88	100%	3.342.708,96	3.342.708,96
2.07	2.6	B.2	MED	MEDIA	No	DISTAUTOG-2020-1-1	1	1	300	EACEA-22-2019-1	8/09/2020	246	1,63%	on-going	on-going	on-going	CFP-EA	on-going	on-going	on-going	on-going	on-going
2.08	2.6	B.2	MED	MEDIA	No	ONLINE1-2020-1-1	1	1	13	EACEA-23-2019-1	5/05/2020	29	17,24%	12	50,00%	20/08/2020	CFP-EA	3,65	6,97	100%	3.653.610,52	3.653.610,52
2.08	2.6	B.2	MED	MEDIA	No	ONLINE2-2020-1-1	1	1	2	EACEA-23-2019-1	5/05/2020	1	0,00%	1	100,00%	20/08/2020	CFP-EA	3,65	7,20	100%	676.397,00	676.397,00
2.08	2.6	B.2	MED	MEDIA	No	ONLINE3-2020-1-1	1	1	22	EACEA-23-2019-1	5/05/2020	86	6,98%	33	41,25%	20/08/2020	CFP-EA	3,68	6,97	100%	6.069.195,12	6.069.195,12
2.08	2.6	B.2	MED	MEDIA	No	ONLINE4-2020-1-1	1	1	1	EACEA-11-2020	30/07/2020	1	0,00%	1	100,00%	22/10/2020	CFP-EA	3	3,91	100%	2.000.000,00	2.000.000,00
2.09	2.6	B.2	MED	MEDIA	No	CINNET-2020-1-1	1	1	1	EACEA-24-2019-1	15/07/2020	1	0,00%	1	100,00%	8/10/2020	CFP-EA	2,93	3,75	100%	15.900.000,00	15.900.000,00
2.10	2.6	B.2	MED	MEDIA	No	FILMEDU-2020-1-1	1	1	10	EACEA-25-2019	12/03/2020	24	4,17%	5	21,74%	11/08/2020	CFP-EA	5,19	8,02	100%	2.103.554,78	2.103.554,78
2.07	2.6	B.2	MED	MEDIA	No	DISTSAG-2020-1-1	1	1	40	EACEA-22-2019-2	29/10/2020	36	2,78%	on-going	on-going	on-going	CFP-EA	on-going	on-going	on-going	on-going	on-going
2.11	2.6	B.2	MED	MEDIA	No	FESTNET-2020-1-1	1	1	4	EACEA-26-2019-2	21/05/2020	18	11,11%	7	43,75%	19/10/2020	CFP-EA	5,00	6,71	100%	965.088,00	965.088,00
2.11	2.6	B.2	MED	MEDIA	No	FESTIVALS-2020-1-1	1	1	35	EACEA-26-2019-1	21/11/2019	95	16,84%	37	46,84%	29/04/2020	CFP-EA	5,29	7,50	100%	1.660.000,00	1.660.000,00
2.11	2.6	B.2	MED	MEDIA	No	FESTIVALS-2020-2-1	2	1	35	EACEA-26-2019-2	21/05/2020	103	21,36%	44	54,32%	19/10/2020	CFP-EA	5,00	6,71	100%	1.980.000,00	1.980.000,00
2.12	2.6	B.2	MED	MEDIA	No	MARKETACC-2020-1-1	1	1	50	EACEA-27-2019	6/02/2020	86	5,81%	51	62,96%	26/06/2020	CFP-EA	4,64	8,75	100%	7.584.352,99	7.584.352,99
4.06	2.6	B.2	MED	Cross-sectoral	No	INNOVLAB-2020-1-2	1	1	10	EACEA-28-2019	14/05/2020	112	3,57%	8	7,14%	17/11/2020	CFP-EA	6,21	7,13	100%	3.176.333,97	3.176.333,97
			MED						909			2.094	9,55%	835	39,88%	29		5,03	7,55	95,83%	127.958.643,54	127.958.643,54

									1.187			3.097	7,98%	1.121	36,20%	38		4,34	7,82	87,03%	207.927.331,52	207.928.659,52
						partenariats, appels d'offres et organism			1.429			2.997	8,41%	1.023	34,13%	33		4,97	9,10	83,00%	187.211.635,45	187.211.635,45

Europe for Citizens

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	Round of Selection	Year Of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
1.1	3.1	B.3	CITIZ	Europe for Citizen	Yes	REMEM-2020-1-1	1	1	45	EACEA-52-2019-1	4/02/2020	255	0,00%	54	21,18%	15/06/2020	CFP-EA	4,60	10,42	91%	4.912.620,00	4.912.620,00
1.2	3.1	B.3	CITIZ	Europe for Citizen	No	OGREM-2020-1-1	1	1	6	EACEA-33-2017	17/12/2019	6	0,00%	6	100,00%	28/02/2020	CFP-OP-EA	2,40	3,98	100%	1.291.900,00	1.291.900,00
2.1	3.1	B.3	CITIZ	Europe for Citizen	Yes	TT-2020-1-1	1	1	127	EACEA-52-2019-2	4/02/2020	285	0,00%	125	43,86%	24/07/2020	CFP-EA	5,72	8,78	100%	2.314.290,00	2.314.290,00
2.1	3.1	B.3	CITIZ	Europe for Citizen	Yes	TT-2020-2-1	2	1	153	EACEA-52-2019-2	1/09/2020	272	0,00%	106	on-going	14/12/2020	CFP-EA	4,14	on-going	on-going	on-going	on-going
2.2	3.1	B.3	CITIZ	Europe for Citizen	Yes	NT-2020-1-1	1	1	22	EACEA-52-2019-3	3/03/2020	147	0,00%	22	14,97%	9/07/2020	CFP-EA	4,37	6,38	100%	3.103.560,00	3.103.560,00
2.2	3.1	B.3	CITIZ	Europe for Citizen	Yes	NT-2020-2-1	2	1	14	EACEA-52-2019-3	1/09/2020	173	0,00%	19	on-going	14/12/2020	CFP-EA	3,52	on-going	on-going	on-going	on-going
2.3	3.1	B.3	CITIZ	Europe for Citizen	Yes	CIV-2020-1-1	1	1	33	EACEA-52-2019-4	1/09/2020	571	0,00%	33	5,78%	15/12/2020	CFP-EA	4,24	on-going	on-going	on-going	on-going
2.4	3.1	B.3	CITIZ	Europe for Citizen	No	OGCIV-2020-1-1	1	1	24	EACEA-33-2017	17/12/2019	24	0,00%	24	100,00%	28/02/2020	CFP-OP-EA	2,40	4,37	100%	5.518.277,40	5.518.277,40
3.1	3.1	B.3	CITIZ	Europe for Citizen	Yes	PEC-2020-1-1	1	1	35	EACEA-31-2017	17/12/2019	28	0,00%	28	100,00%	29/04/2020	SPE-EA	5,10	7,89	100%	916.644,65	916.644,65
			CITIZ						459			1.761		417	23,69%	9		4,05	6,97	99%	19.652.572,05	20.815.612,07

									459			1.761		270	15,33%	9		4,05	6,97	99%	19.652.572,05	20.815.612,07
						Total hors partenariats, appels d'offres et organismes désignés			459			1.761		270	15,33%	9		4,05	6,97	99%	19.652.572,05	20.815.612,07

Pan-African Programme

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action Code	Round of Selection	Year Of Activity	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
C(2018) 7378	9.0	A.3	PANAF	Intra-Afric	No	MOBAF-2020-1-1	1	1	7	EACEA-07-2020	19/05/2020	148	4,05%	7	4,93%	4/11/2020	CFP-EA	5,56	6,87	100,00%	9.677.375,00	9.677.375,00
			PANAF						7			148	4,05%	7	4,93%	1		5,56	6,87	100%	9.677.375,00	9.677.375,00
Total général par Unité									7			148	4,05%	7	4,93%	1		5,56	6,87	100%	9.677.375,00	9.677.375,00
Total hors partenariats, appels d'offres et organismes désignés												148	4,05%	7	4,93%	1		5,56	6,87	100%	9.677.375,00	9.677.375,00

EU Aid Volunteers

WPI	Objectif	Unit	SUB PROGRAMME	Budget Line	Heading 4	Action code	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Applications	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded	
3	1.2	A.5	EUAV	EU Aid Volunteers	No	CERT-2020-1-1	70	EACEA-50-2015	1/09/2020	120	10,00%	on-going	on-going	on-going	CFP-EA	on-going	na	na	na	na	na
			EUAV				70			120	10,00%	0				na	na	na	na	na	
Total général par Unité							70				120	10,00%	0			na	na	na	na	na	
Total hors partenariats, appels d'offres et organismes désignés							70				120	10,00%	0			na	na	na	na	na	

EU Aid Volunteers

WPI	Objectif	Unit	SUB PROG.	Budget Line	Heading 4	Action code	PLANNED INTERVENTIONS	Call Ref	Call Deadline	Number of Appl.	% Ineligible App	Number of selected Projects	% Selected Projects	Award Decision Date	Grant award decision mode	TTI	TTG	%grants signed within the target (max 9 months)	Total Actual Grants	Maximum Grant Awarded
3	1.2	A.5	EUAV	EU Aid Volunteer	No	CERT-2020-	70	EACEA-50-2015	#####	120	10,00%	88	73,33%	on-going	CFP-EA	on-going	na	na	na	na
			EUAV				70			120	10,00%	88	73,33%			na	na	na	na	
Total général par Unité							70				120	10,00%	88	73,33%			na	na	na	na
Total hors partenariats, appels d'offres et organismes désignés							70				120	10,00%	88	73,33%			na	na	na	na

ANNEX 3: Draft annual accounts and financial reports Operational Budget

Annex 3 Financial Reports - EACEA - Financial Year 2020

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 5 Bis: Off Balance Sheet

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

Table 11 : Negotiated Procedures

Table 12 : Summary of Procedures

Table 13 : Building Contracts

Table 14 : Contracts declared Secret

Table 15 : FPA duration exceeds 4 years

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2020 (in Mio €) for EACEA					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
Title 09 Communications networks, content and technology					
09	09 05	Creative Europe	127.51	126.73	99.39 %
Total Title 09			127.51	126.73	99.39 %
Title 15 Education and culture					
15	15 02	Erasmus+ programme	584.64	578.98	99.03 %
	15 04	Creative Europe Programme	79.76	78.66	98.62 %
	15 05	European Solidarity Corps	6.84	6.84	100.00 %
Total Title 15			671.24	664.47	98.99 %
Title 18 Migration and home affairs					
18	18 04	Fostering European citizenship	34.13	34.10	99.90 %
Total Title 18			34.13	34.10	99.90 %
Title 19 Foreign policy instruments					
19	19 05	Cooperation with third countries under the Partnership Instrument (PI)	0.07	0.00	0.00 %
Total Title 19			0.07	0.00	0.00 %
Title 21 International cooperation and development					
21	21 02	Development Cooperation Instrument (DCI)	64.06	62.98	98.30 %
	21 09	Completion of actions implemented under Industrialised Countries Instrument (ICI+) programme	0.00	0.00	0.00 %
Total Title 21			64.06	62.98	98.30 %
Title 22 Neighbourhood and enlargement negotiations					
22	22 02	Enlargement process and strategy	22.93	22.64	98.78 %
	22 04	European Neighbourhood Instrument (ENI)	47.10	45.02	95.59 %
Total Title 22			70.03	67.67	96.63 %
Title 23 Humanitarian aid and civil protection					
23	23 04	EU Aid Volunteers initiative	2.68	2.68	100.00 %
Total Title 23			2.68	2.68	100.00 %
Total DG EACEA			969.73	958.63	98.86 %

* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the

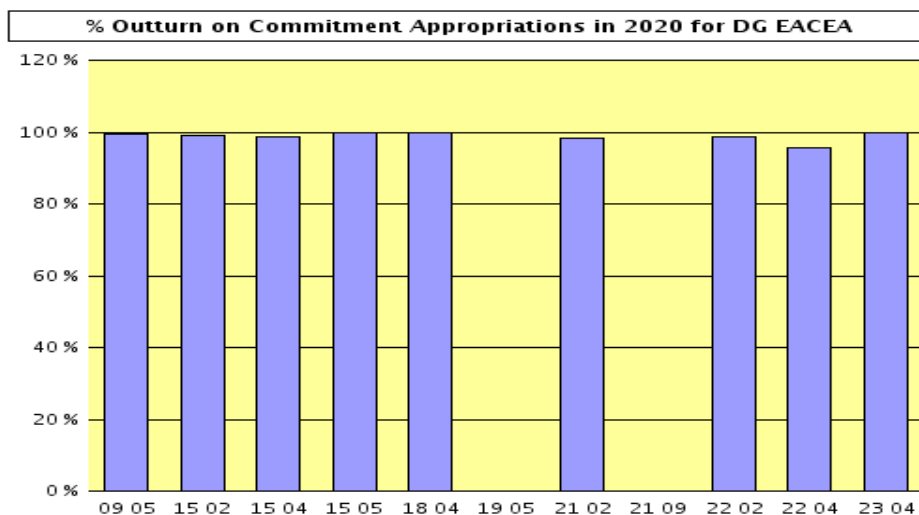


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS in 2020 (in Mio €) for EACEA					
			Payment appropriations authorised	Payments made	%
			1	2	3=2/1
Title 09 Communications networks, content and technology					
09	09 05	Creative Europe	104.13	100.96	96.96 %
Total Title 09			104.13	100.96	96.96%
Title 15 Education and culture					
15	15 02	Erasmus+ programme	468.26	459.14	98.05 %
	15 04	Creative Europe Programme	73.10	69.25	94.73 %
	15 05	European Solidarity Corps	6.26	6.26	100.00 %
Total Title 15			547.62	534.65	97.63%
Title 18 Migration and home affairs					
18	18 04	Fostering European citizenship	22.18	21.98	99.11 %
Total Title 18			22.18	21.98	99.11%
Title 19 Foreign policy instruments					
19	19 05	Cooperation with third countries under the Partnership Instrument (PI)	3.48	3.35	96.34 %
Total Title 19			3.48	3.35	96.34%
Title 21 International cooperation and development					
21	21 02	Development Cooperation Instrument (DCI)	94.41	82.70	87.60 %
	21 09	Completion of actions implemented under Industrialised Countries Instrument (IC+) programme	0.00	0.00	0.00 %
Total Title 21			94.41	82.70	87.60%
Title 22 Neighbourhood and enlargement negotiations					
22	22 02	Enlargement process and strategy	18.65	18.62	99.84 %
	22 04	European Neighbourhood Instrument (ENI)	51.73	49.27	95.25 %
Total Title 22			70.37	67.88	96.46%
Title 23 Humanitarian aid and civil protection					
23	23 04	EU Aid Volunteers initiative	3.37	2.91	86.50 %
Total Title 23			3.37	2.91	86.50%
Total DG EACEA			845.55	814.43	96.32 %

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

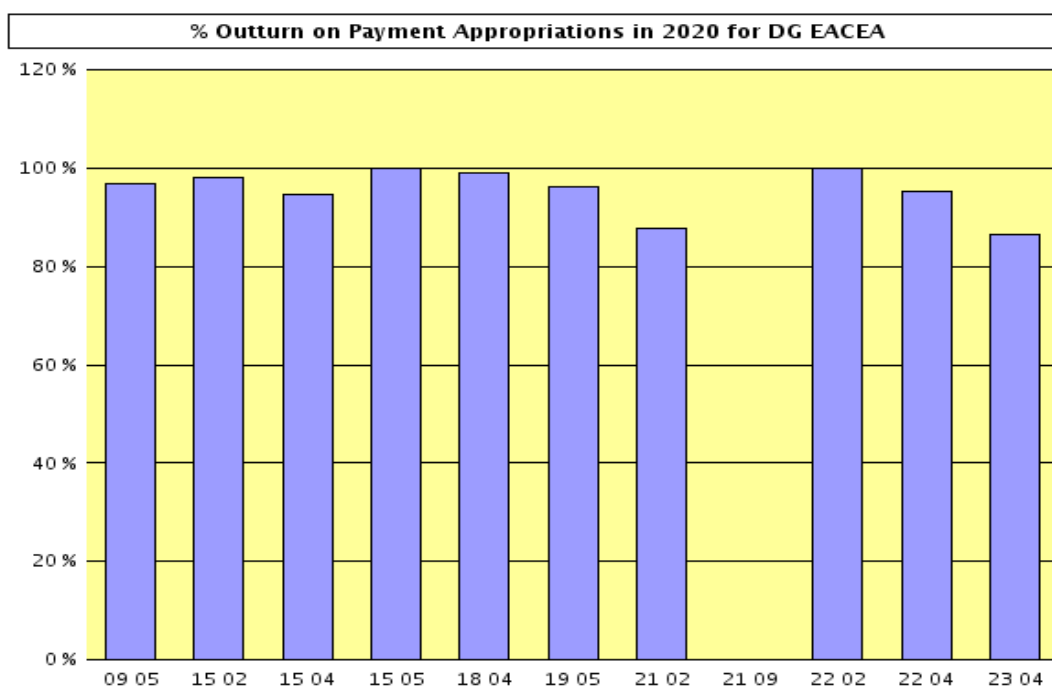


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
09	09 05	Creative Europe	126.73	51.89	74.84	59.06%	56.75	131.59	109.84
Total Title 09			126.73	51.89	74.84	59.06%	56.75	131.59	109.84

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
15	15 02	Erasmus+ programme	578.98	291.93	287.05	49.58%	389.75	676.79	571.71
	15 04	Creative Europe Programme	78.66	43.87	34.79	44.23%	50.18	84.96	77.75
	15 05	European Solidarity Corps	6.84	0.72	6.12	89.51%	2.47	8.59	9.05
Total Title 15			664.47	336.52	327.96	49.36%	442.39	770.35	658.51

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
18	18 04	Fostering European citizenship	34.10	11.24	22.86	67.03%	11.22	34.07	23.35
Total Title 18			34.10	11.24	22.86	67.03%	11.22	34.07	23.35

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
19	19 05	Cooperation with third countries under the Partnership Instrument (PI)	0.00	0.00	0.00	0.00%	7.87	7.87	11.24
Total Title 19			0.00	0.00	0.00	0.00%	7.87	7.87	11.24

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
21	21 02	Development Cooperation Instrument (DCI)	62.98	16.80	46.18	73.33%	141.14	187.32	207.70
	21 09	Completion of actions implemented under Industrialised Countries Instrument (ICI+) programme	0.00		0.00	0.00%	0.35	0.35	0.67
Total Title 21			62.98	16.80	46.18	73.33%	141.49	187.67	208.37

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
22	22 02	Enlargement process and strategy	22.64	2.48	20.17	89.07%	30.88	51.05	47.04
	22 04	European Neighbourhood Instrument (ENI)	45.02	9.45	35.58	79.02%	91.11	126.68	130.97
Total Title 22			67.67	11.92	55.75	82.38%	121.99	177.74	178.01

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
23	23 04	EU Aid Volunteers initiative	2.68	0.05	2.63	98.24%	16.49	19.13	27.12
Total Title 23			2.68	0.05	2.63	98.24%	16.49	19.13	27.12
Total for DG EACEA			958.6282776	428.41	530.2157481	55.31 %	798.1954542	1328.411202	1216.43636

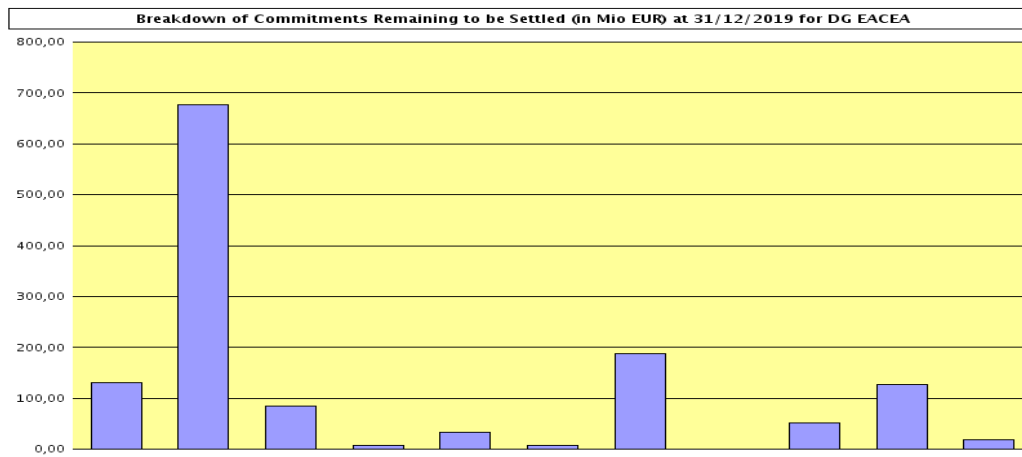


TABLE 4 : BALANCE SHEET for EACEA

BALANCE SHEET	2020	2019
A.I. NON CURRENT ASSETS	170,967,613.07	83,424,629.81
A.I.5. Non-Current Pre-Financing	170,967,613.07	83,424,629.81
A.II. CURRENT ASSETS	431,037,228.29	377,256,684.06
A.II.2. Current Pre-Financing	412,916,823.36	359,872,354.93
A.II.3. Curr Exch Receiv & Non-Ex Recoverables	18,120,404.93	17,384,329.13
A.II.6. Cash and Cash Equivalents	0.00	0.00
ASSETS	602,004,841.36	460,681,313.87
P.II. CURRENT LIABILITIES	-122,129,171.53	-122,192,037.49
P.II.4. Current Payables	-13,217,731.33	-12,122,875.46
P.II.5. Current Accrued Charges & Defrd Income	-108,911,440.20	-110,069,162.03
LIABILITIES	-122,129,171.53	-122,192,037.49
NET ASSETS (ASSETS less LIABILITIES)	479,875,669.83	338,489,276.38
P.III.2. Accumulated Surplus/Deficit	4,404,093,520.00	3,752,756,353.93
Non-allocated central (surplus)/deficit*	-4,883,969,189.83	-4,091,245,630.31
TOTAL DG EACEA	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE for EACEA

STATEMENT OF FINANCIAL PERFORMANCE	2020	2019
II.1 REVENUES	-2,029,248.32	-2,746,863.90
II.1.1. NON-EXCHANGE REVENUES	-2,149,248.32	-2,744,674.48
II.1.1.5. RECOVERY OF EXPENSES	-2,149,248.32	-2,744,674.48
II.1.2. EXCHANGE REVENUES	120,000.00	-2,189.42
II.1.2.1. FINANCIAL INCOME		-2,189.42
II.1.2.2. OTHER EXCHANGE REVENUE	120,000.00	0.00
II.2. EXPENSES	664,617,719.90	654,084,029.97
II.2. EXPENSES	664,617,719.90	654,084,029.97
II.2.10. OTHER EXPENSES	754,203.80	724,818.47
II.2.2. EXP IMPLM BY COMMISS&EX.AGENC. (DM)	663,836,686.13	653,346,230.17
II.2.8. FINANCE COSTS	26,829.97	12,981.33
STATEMENT OF FINANCIAL PERFORMANCE	662,588,471.58	651,337,166.07

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5bis : OFF BALANCE SHEET for EACEA

OFF BALANCE	2020	2019
OB.1. Contingent Assets	9,280,186.87	11,223,731.01
GR for pre-financing	9,280,186.87	11,223,731.01
OB.3. Other Significant Disclosures	-1,095,487,768.36	-1,095,487,768.36
OB.3.2. Comm against app. not yet consumed	-1,095,487,768.36	-1,095,487,768.36
OB.4. Balancing Accounts	1,086,207,581.49	1,084,264,037.35
OB.4. Balancing Accounts	1,086,207,581.49	1,084,264,037.35
OFF BALANCE	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 6: AVERAGE PAYMENT TIMES in 2020 for EACEA

Legal Times									
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)	Late Payments Amount	Percentage
30	3999	3836	95.92 %	9.753910323	163	4.08 %	41.7607362	17,367,126.38	3. %
60	2756	2373	86.10 %	34.78761062	383	13.90 %	100.9738903	25,617,691.29	8. %
90	20	20	100.00 %	33.3				0.00	0. %

Total Number of Payments	6775	6229	91.94 %		546	8.06 %		42,984,817.67	5. %
Average Net Payment Time	24.51852399			19.36635094			83.2967033		
Average Gross Payment Time	33.10066421			27.30663028			99.2014652		

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
0	40	1463	21.59 %	6775	144,735,646.65	17.77 %	814,373,464.02

Late Interest paid in 2020			
DG	GL Account	Description	Amount (Eur)
EACEA	65010000	Interest expense on late payment of charg	11,190.39
EACEA	65010100	Interest on late payment of charges New	15,639.58
			26,829.97

NB: Table 6 only contains payments relevant for the time statistics. Please consult its exact scope in the AAR Annex3 BO User Guide (https://myintracom.ec.europa.eu/budgweb/ENVabac/dwh/Pages/its-030-10-20_documentation.aspx).

TABLE 7 : SITUATION ON REVENUE AND INCOME in 2020 for EACEA

Chapter	Revenue and income recognized			Revenue and income cashed from			Outstanding balance	
	Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total		
	1	2	3=1+2	4	5	6=4+5		
52	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	0.00	206.69	206.69	0.00	0.00	0.00	206.69
60	CONTRIBUTIONS TO UNION PROGRAMMES	190,095.47	32,509.08	222,604.55	124,455.17	604.20	125,059.37	97,545.18
61	REPAYMENT OF MISCELLANEOUS EXPENDITURE	1,534,782.26	3,326,603.25	4,861,385.51	328,961.85	568,303.41	897,265.26	3,964,120.25
66	OTHER CONTRIBUTIONS AND REFUNDS	10,324,887.71	14,136,843.83	24,461,731.54	6,922,299.80	3,370,378.11	10,292,677.91	14,169,053.63
Total DG EACEA		12049765.44	17496162.85	29545928.29	7375716.82	3939285.72	11315002.54	18230925.75

TABLE 8 : RECOVERY OF PAYMENTS in 2020 for EACEA
(Number of Recovery Contexts and corresponding Transaction Amount)

INCOME BUDGET RECOVERY ORDERS ISSUED IN 2020	Irregularity		OLAF notified		Total undue payments recovered		Total transactions in recovery context(incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
Year of Origin (commitment)										
2007			1	747,699.68	1	747,699.68	1	747,699.68	100.00%	100.00%
2008	1	68,956.30			1	68,956.30	1	68,956.30	100.00%	100.00%
2010	1	38,304.10			1	38,304.10	1	38,304.10	100.00%	100.00%
2011							1	13,815.00		
2012	9	508,633.86			9	508,633.86	10	555,844.85	90.00%	91.51%
2013	13	234,045.55			13	234,045.55	14	264,895.55	92.86%	88.35%
2014	9	209,417.84	2	186,929.38	11	396,347.22	27	1,534,136.49	40.74%	25.84%
2015	28	317,182.44	1	68,600.74	29	385,783.18	96	4,453,301.75	30.21%	8.66%
2016	11	129,525.63			11	129,525.63	48	2,144,411.27	22.92%	6.04%
2017	8	135,409.96			8	135,409.96	91	1,438,528.28	8.79%	9.41%
2018	16	563,739.03			16	563,739.03	40	880,097.21	40.00%	64.05%
2019	18	684,771.63			18	684,771.63	47	1,077,166.93	38.30%	63.57%
No Link							1	9,341.00		
Sub-Total	114	2,889,986.34	4	1,003,229.80	118	3,893,216.14	378	13,226,498.41	31.22%	29.43%

EXPENSES BUDGET	Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context(incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES										
NON ELIGIBLE IN COST CLAIMS	690	8,433,717.56			690	8,433,717.56	695	9,169,629.65	99.28%	91.97%
CREDIT NOTES	1	41.04			1	41.04	1	41.04	100.00%	100.00%
Sub-Total	691	8,433,758.60			691	8,433,758.60	696	9,169,670.69	99.28%	91.97%

GRAND TOTAL	805	11,323,744.94	4	1,003,229.80	809	12,326,974.74	1074	22,396,169.10	75.33%	55.04%
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TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2020 for EACEA

	Number at 1/01/2020	Number at 31/12/2020	Evolution	Open Amount (Eur) at 1/01/2020	Open Amount (Eur) at 31/12/2020	Evolution
2001	2	2	0.00 %	20,833.32	20,833.32	0.00 %
2003	1	1	0.00 %	8,750.00	7,437.10	-15.00 %
2004	3	3	0.00 %	82,250.40	82,250.40	0.00 %
2005	1	1	0.00 %	55,000.00	55,000.00	0.00 %
2006	3	3	0.00 %	431,709.83	431,709.83	0.00 %
2007	3	3	0.00 %	133,992.50	133,628.96	-0.27 %
2008	12	4	-66.67 %	559,084.00	443,084.00	-20.75 %
2009	6	6	0.00 %	361,299.81	361,299.81	0.00 %
2010	8	8	0.00 %	1,062,147.67	1,062,147.67	0.00 %
2011	5	5	0.00 %	155,315.88	155,315.88	0.00 %
2012	9	8	-11.11 %	322,636.97	173,600.97	-46.19 %
2013	26	19	-26.92 %	485,890.32	417,882.92	-14.00 %
2014	28	16	-42.86 %	3,008,253.10	2,795,562.82	-7.07 %
2015	26	24	-7.69 %	1,947,218.17	1,788,430.62	-8.15 %
2016	17	16	-5.88 %	288,979.65	274,125.68	-5.14 %
2017	26	25	-3.85 %	2,018,518.98	1,917,875.51	-4.99 %
2018	25	20	-20.00 %	2,742,053.92	2,607,560.59	-4.90 %
2019	91	24	-73.63 %	4,036,022.18	1,026,129.96	-74.58 %
2020		80			4,719,650.47	
	292	268	-8.22 %	17,719,956.70	18,473,526.51	4.25 %

TABLE 10 :Recovery Order Waivers >= 60 000 € in 2020 for EACEA

	Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments
0	3233200005	3241202382	-148,536.00	Private Companies		
1	3233200050	3241512340	-130,900.00	Private Companies		
2	3233200053	3241703667	-100,643.47	Private Companies		
3	3233200161	3241402191	-77,210.70	Private Companies		

Total DG EACEA	-457,290.17
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Number of RO waivers	4
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There are 25 waivers below 60 000 € for a total amount of -296.913,63

TABLE 11 : Negotiated Procedures in 2020 for EACEA

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
Total		

TABLE 12 : Summary of Procedures in 2020 for EACEA

Internal Procedures > € 60,000

Procedure Legal base	Number of Procedures	Amount (€)
Open procedure (FR 164 (1)(a))	3	16,231,534.72
Total	3	16,231,534.72

TABLE 13 : BUILDING CONTRACTS in 2020 for EACEA

Legal Base	Procedure subject	Contract Number	Contractor Name	Contract Subject	Contracted Amount (€)

TABLE 14 : CONTRACTS DECLARED SECRET in 2020 for EACEA

Legal Base	LC Date	Contract Number	Contract Subject	Contracted Amount (€)

TABLE 15 : FPA duration exceeds 4 years - EACEA

None of your FPA (if any) exceeds 4 years

Operating Budget

Annex 3 Financial Reports - EACEA - Financial Year 2020

Table 1 : Commitments

Table 2 : Payments

Table 3 : Commitments to be settled

Table 4 : Balance Sheet

Table 5 : Statement of Financial Performance

Table 5 Bis: Off Balance Sheet

Table 6 : Average Payment Times

Table 7 : Income

Table 8 : Recovery of undue Payments

Table 9 : Ageing Balance of Recovery Orders

Table 10 : Waivers of Recovery Orders

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS IN 2020 (in Mio €) for EACEA					
			Commitment appropriations authorised	Commitments made	%
			1	2	3=2/1
Title 1 DEP DE PERSONNEL					
1	1 1	Rémunérations, indemnités et charges	34.38	34.29	99.77 %
	1 2	Développement professionnel et dépenses sociales	1.68	1.68	99.79 %
Total Title 1			36.06	35.97	99.77 %
Title 2 Infrastructure et dépenses de fonctionnement					
2	2 1	Dépenses d'immeubles	4.94	4.94	100.00 %
	2 2	Dépenses de technologie de l'info et communication	2.69	2.69	99.74 %
	2 3	Biens meubles et dépenses de fonctionnement couran	0.23	0.22	97.16 %
Total Title 2			7.86	7.85	99.83 %
Title 3 Dépenses de support aux programmes					
3	3 1	Dépenses liées à la gestion des programmes	6.18	6.14	99.36 %
Total Title 3			6.18	6.14	99.36 %
Total EACEA			50.09	49.96	99.73 %

* Commitment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous commitment appropriations for the

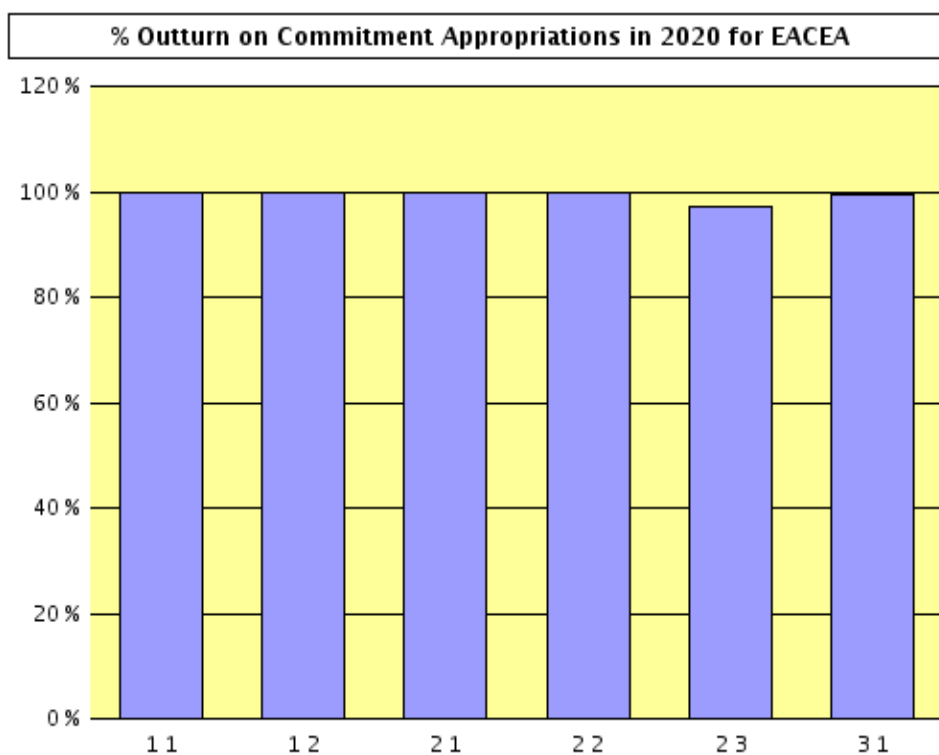


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS IN 2020 (in Mio €) for EACEA					
			Payment appropriations authorised	Payments made	%
			1	2	3=2/1
Title 1 DEP DE PERSONNEL					
1	1 1	Rémunérations, indemnités et charges	34.56	34.05	98.51 %
	1 2	Développement professionnel et dépenses sociales	2.02	1.63	80.77 %
Total Title 1			36.58	35.67	97.53%
Title 2 Infrastructure et dépenses de fonctionnement					
2	2 1	Dépenses d'immeubles	5.97	4.93	82.54 %
	2 2	Dépenses de technologie de l'info et communication	3.39	2.74	80.75 %
	2 3	Biens meubles et dépenses de fonctionnement couran	0.36	0.26	73.61 %
Total Title 2			9.72	7.93	81.59%
Title 3 Dépenses de support aux programmes					
3	3 1	Dépenses liées à la gestion des programmes	10.08	7.98	79.13 %
Total Title 3			10.08	7.98	79.13%
Total EACEA			56.38	51.58	91.49 %

* Payment appropriations authorised include, in addition to the budget voted by the legislative authority, appropriations carried over from the previous exercise, budget amendments as well as miscellaneous payment appropriations for the period (e.g. internal and external assigned revenue).

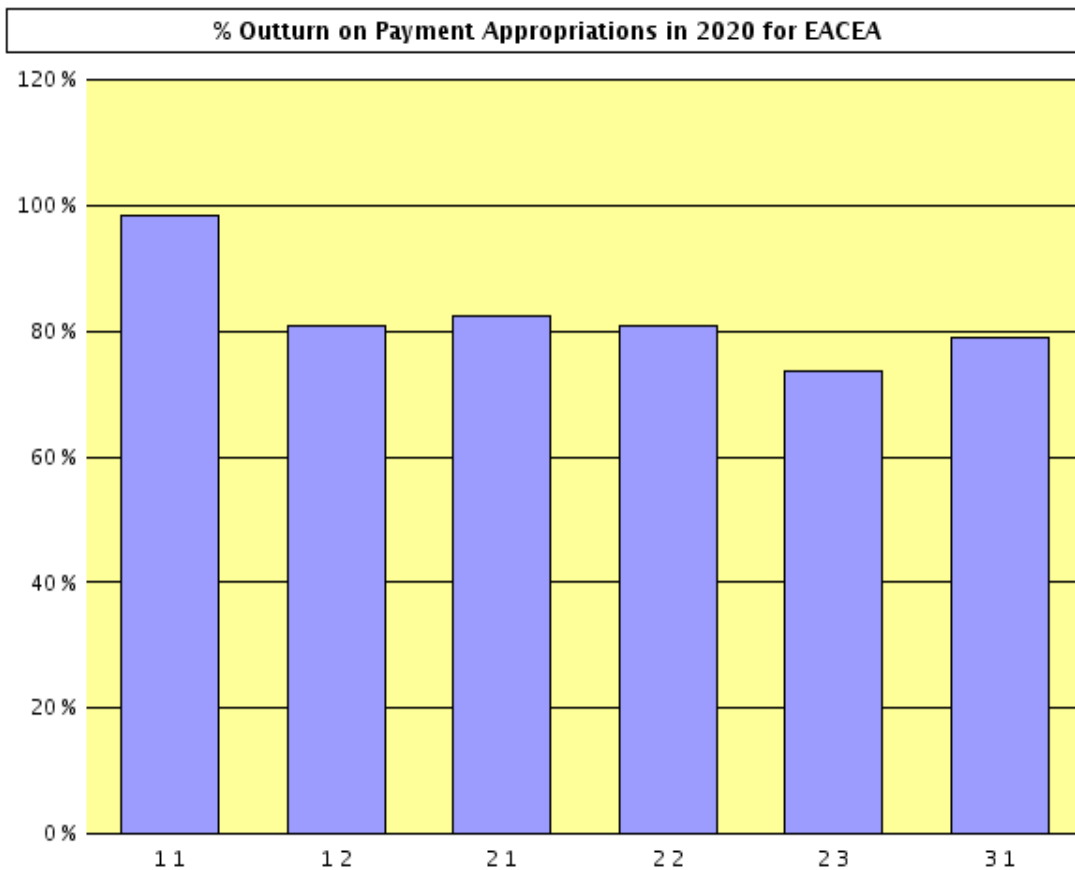


TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
1	1 1	Rémunérations, indemnités et charges	34.29	33.88	0.41	1.20%	0.00	0.41	0.19
	1 2	Développement professionnel et dépenses sociales	1.68	1.38	0.30	17.64%	0.00	0.30	0.34
Total Title 1			35.97	35.26	0.71	1.97%	0.00	0.71	0.52

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
2	2 1	Dépenses d'immeubles	4.94	3.99	0.95	19.32%	0.00	0.95	1.03
	2 2	Dépenses de technologie de l'info et communication	2.69	2.16	0.53	19.61%	0.00	0.53	0.70
	2 3	Biens meubles et dépenses de fonctionnement couran	0.22	0.17	0.05	23.03%	0.02	0.07	0.13
Total Title 2			7.85	6.31	1.53	19.52%	0.02	1.55	1.86

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA									
Chapter			Commitments to be settled				Commitments to be settled from financial years previous to 2019	Total of commitments to be settled at end of financial year 2020	Total of commitments to be settled at end of financial year 2019
			Commitments	Payments	RAL	% to be settled			
			1	2	3=1-2	4=1-2/1	5	6=3+5	7
3	3 1	Dépenses liées à la gestion des programmes	6.14	4.28	1.86	30.27%	0.01	1.86	3.90
Total Title 3			6.14	4.28	1.86	30.27%	0.01	1.86	3.90

Total :			49.96	45.86	4.10	8.20 %	0.03	4.12	6.28
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Breakdown of Commitments Remaining to be Settled (in Mio EUR) at 31/12/2019 for EACEA

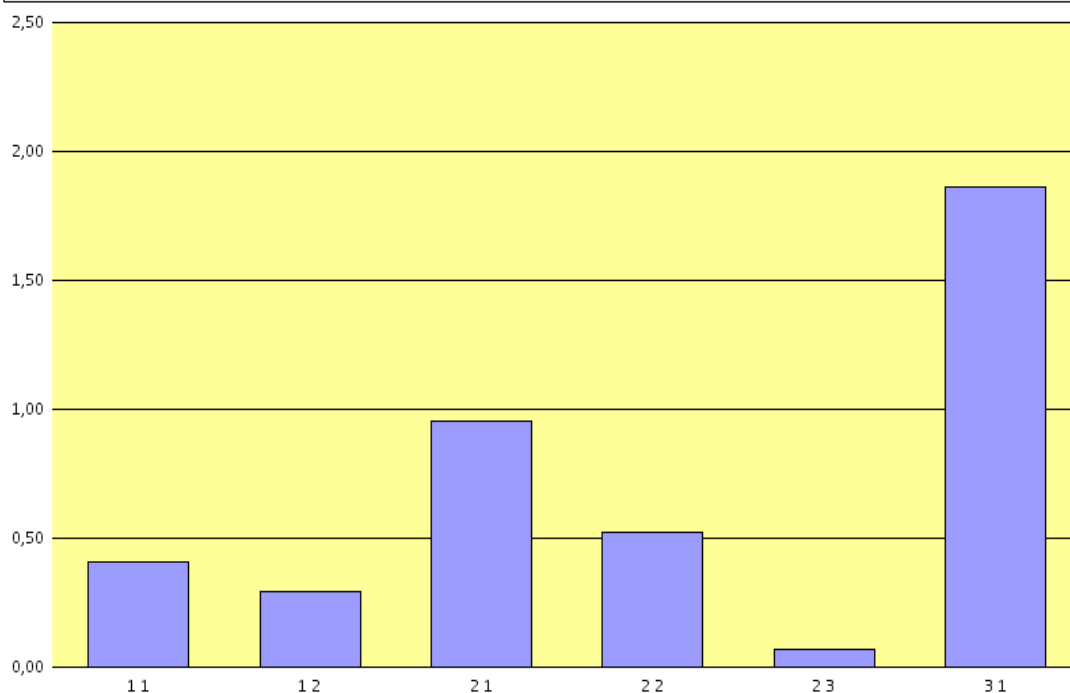


TABLE 4 : BALANCE SHEET for EACEA

BALANCE SHEET	2020	2019
A.I. NON CURRENT ASSETS	2,512,214.77	2,046,518.10
A.I.1. Intangible Assets	2,362,633.78	1,920,384.11
A.I.2. Property, Plant and Equipment	149,580.99	126,133.99
A.II. CURRENT ASSETS	5,035,009.74	7,284,521.03
A.II.2. Current Pre-Financing	0.00	0.00
A.II.3. Curr Exch Receiv & Non-Ex Recoverables	235,590.26	349,205.94
A.II.6. Cash and Cash Equivalents	4,799,419.48	6,935,315.09
ASSETS	7547224.51	9331039.13
P.II. CURRENT LIABILITIES	-4,598,762.87	-5,068,123.37
P.II.4. Current Payables	-759,879.12	-708,254.92
P.II.5. Current Accrued Charges & Defrd Income	-3,838,883.75	-4,359,868.45
LIABILITIES	-4598762.87	-5068123.37
NET ASSETS (ASSETS less LIABILITIES)	2948461.64	4,262,915.76
P.III.2. Accumulated Surplus/Deficit	-4,262,915.76	-3,850,382.55
Non-allocated central (surplus)/deficit*	1,314,454.12	-412,533.21
TOTAL	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5 : STATEMENT OF FINANCIAL PERFORMANCE for EACEA

STATEMENT OF FINANCIAL PERFORMANCE	2020	2019
II.1 REVENUES	-49,395,090.48	-50595623.15
II.1.1. NON-EXCHANGE REVENUES	-49,110,172.10	-50,312,394.17
II.1.1.6. OTHER NON-EXCHANGE REVENUES	-49,110,172.10	-50,312,394.17
II.1.2. EXCHANGE REVENUES	-284,918.38	-283,228.98
II.1.2.2. OTHER EXCHANGE REVENUE	-284,918.38	-283,228.98
II.2. EXPENSES	50,709,544.60	50183089.94
II.2. EXPENSES	50,709,544.60	50,183,089.94
II.2.10. OTHER EXPENSES	17,669,051.54	18,170,352.82
II.2.6. STAFF AND PENSION COSTS	33,040,493.06	32,012,736.67
II.2.8. FINANCE COSTS		0.45
STATEMENT OF FINANCIAL PERFORMANCE	1,314,454.12	-412,533.21

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 5bis : OFF BALANCE SHEET for EACEA

OFF BALANCE	2020	2019
OB.3. Other Significant Disclosures	-3,952,829.07	-5,357,102.50
OB.3.2. Comm against app. not yet consumed	-969,927.25	-2,418,975.62
OB.3.5. Operating lease commitments	-2,982,901.82	-2,938,126.88
OB.4. Balancing Accounts	3,952,829.07	5,357,102.50
OB.4. Balancing Accounts	3,952,829.07	5,357,102.50
OFF BALANCE	0.00	0.00

It should be noted that the balance sheet and statement of financial performance presented in Annex 3 to this Annual Activity Report, represent only the assets, liabilities, expenses and revenues that are under the control of this Directorate General. Significant amounts such as own resource revenues and cash held in Commission bank accounts are not included in this Directorate General's accounts since they are managed centrally by DG Budget, on whose balance sheet and statement of financial performance they appear. Furthermore, since the accumulated result of the Commission is not split amongst the various Directorates General, it can be seen that the balance sheet presented here is not in equilibrium. Additionally, the figures included in tables 4 and 5 are provisional since they are, at this date, still subject to audit by the Court of Auditors. It is thus possible that amounts included in these tables may have to be adjusted following this audit.

TABLE 6: AVERAGE PAYMENT TIMES FOR 2020 for EACEA

Legal Times									
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)	Late Payments Amount	Percentage
30	550	533	96.91 %	14.37523452	17	3.09 %	41.76470588	302,694.56	2. %
45	1	1	100.00 %	34				0.00	0. %
60	5	4	80.00 %	21	1	20.00 %	66	3,032.79	0. %

Total Number of Payments	556	538	96.76 %		18	3.24 %		305,727.35	2. %
Average Net Payment Time	15.38848921			14.46096654			43.11111111		
Average Gross Payment Time	15.95323741			15.04460967			43.11111111		

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
0	14	23	4.14 %	556	960,466.97	4.95 %	19,392,609.04

DG	GL Account	Description	Amount (Eur)

NB: Table 6 only contains payments relevant for the time statistics. Please consult its exact scope in the AAR Annex3 BO User Guide (https://myintracomm.ec.europa.eu/budgweb/EN/abac/dwh/Pages/its-030-10-20_documentation.aspx).

TABLE 7 : SITUATION ON REVENUE AND INCOME IN 2020 for EACEA

Chapter	Revenue and income recognized			Revenue and income cashed from			Outstanding balance
	Current year RO	Carried over RO	Total	Current Year RO	Carried over RO	Total	
	1	2	3=1+2	4	5	6=4+5	
1 1 Subvention:	50,094,513.87	0.00	50,094,513.87	50,094,513.87	0.00	50,094,513.87	0.00
2 3 Remboursement de dépenses diverses	43,387.90	3,030.01	46,417.91	42,597.57	3,030.01	45,627.58	790.33
Total EACEA	50,137,901.77	3,030.01	50,140,931.78	50,137,111.44	3,030.01	50,140,141.45	790.33

**TABLE 8 : RECOVERY OF PAYMENTS in for EACEA
(Number of Recovery Contexts and corresponding Transaction Amount)**

Year of Origin (commitment)	Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
Sub-Total						

EXPENSES BUDGET	Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES							1	6,993.76		
NON ELIGIBLE IN COST CLAIMS										
CREDIT NOTES							1	198,927.69		
Sub-Total							2	205,921.45		
GRAND TOTAL							2	205,921.45		

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2020 for EACEA

	Number at 1/01/2020	Number at 31/12/2020	Evolution	Open Amount (Eur) at 1/01/2020	Open Amount (Eur) at 31/12/2020	Evolution
2015	1	1	0.00 %	62.80	62.80	0.00 %
2019	2		-100.00 %	3,030.01		-100.00 %
2020		2			1,463.15	
	3	3	0.00 %	3,092.81	1,525.95	-50.66 %

TABLE 10 : Recovery Order Waivers >= 60 000 € in 2020 for EACEA

Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments

Total DG	-457,290.17
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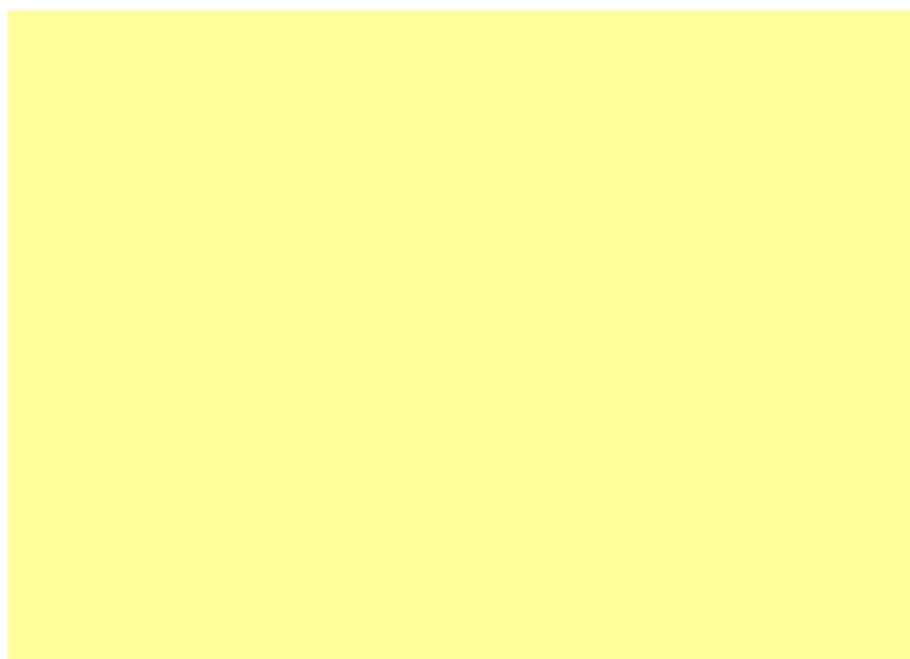
Number of RO waivers	4
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There are 25 waivers below 60 000 € for a total amount of -296.913,63

Annex 3 Financial Reports - for EDF - Financial Year 2020**Table 1 : Commitments****Table 2 : Payments****Table 3 : Commitments to be settled****Table 4 : Balance Sheet (n/a)****Table 5 : Statement of Financial Performance (n/a)****Table 5 Bis: Off Balance Sheet (n/a)****Table 6 : Average Payment Times****Table 7 : Income****Table 8 : Recovery of undue Payments****Table 9 : Ageing Balance of Recovery Orders****Table 10 : Waivers of Recovery Orders****Table 11 : Negotiated Procedures****Table 12 : Summary of Procedures****Table 13 : Building Contracts****Table 14 : Contracts declared Secret****Table 15 : FPA duration exceeds 4 years**

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS until 2020 (in Mio €) for EACEA					
EDF N°	Cumulative Commitment appropriations authorised	Commitment appropriations authorised in the year	Cumulative Commitments made	Commitments made in 2020	%
	1		2		3=2/1
11			54.33	12.62	
10			70.07	-0.48	
			124.40	12.14	

% Outturn on Commitment Appropriations in 2020 for FEDF



11

10

TABLE 1: OUTTURN ON COMMITMENT APPROPRIATIONS until 2020 (in Mio €) for EACEA							
EDF N°	Budget item		Cumulative Commitment appropriations authorised	Commitment appropriations authorised in the year	Cumulative Commitments made	Commitments made in 2020	%
			1		2		3=2/1
11	Cotonou	Intra-ACP allocations			54.33	12.62	
10	Cotonou	Implementation costs			2.27	-0.10	
10	Cotonou	Intra-ACP allocations			67.80	-0.37	
Total					124.40	12.14	

TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS until 2020 (in Mio €) for EACEA					
EDF N°	Cumulative Payments appropriations authorised	Payment appropriations authorised in the year	Cumulative Payments made	Payments made in 2020	%
	1		2		3=2/1
11			35.79	8.76	
10			66.41	0.53	
			102.20	9.29	

% Outturn on Payment Appropriations in for

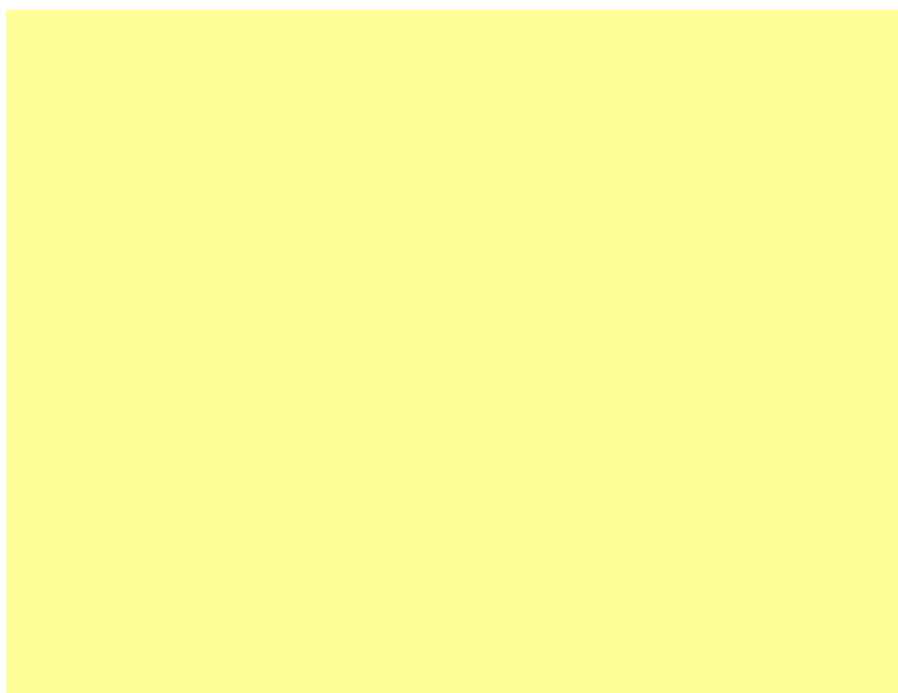


TABLE 2: OUTTURN ON PAYMENT APPROPRIATIONS until 2020 (in Mio €) for EACEA							
EDF N°	Agreement	Instrument	Cumulative Payments appropriations authorised	Payment appropriations authorised in the year	Cumulative Payments made	Payments made in 2020	%
			1		2		3=2/1
11	Cotonou	Intra-ACP allocations			35.79	8.76	
10	Cotonou	Implementation costs			2.60		
10	Cotonou	Intra-ACP allocations			63.82	0.53	
					102.20	9.29	

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA								
EDF N°	Commitments outstanding at the end of previous				Commitments of the current year			Total commitm. outstanding at the end of the year
	Commitm. carried forward from previous year	Decommitm./ Revaluations/ Cancellations	Payments	Commitm. outstanding at year-end	Commitm./ Decommitm./ Revaluations/ Cancellations	Payments	Commitm. outstanding at year-end	
	1	2	3	4=1+2-3	5	6	7=5-6	
11	14.69	0.00	5.71	8.98	12.62	3.06	9.56	18.54
10	4.66	-0.48	0.53	3.66				3.66
	19.35	-0.48	6.23	12.64	12.62	3.06	9.56	22.20

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €)								
EDF N°	Commitments	Contracted	Payments	%to be settled	to be settled at end 2020	to be settled at end 2019	RAL Evolution	
	1	2	3	4 = 3/1	5 = 1-3	6	5-6	(5-6)/5
11	54.33	54.33	35.79	65.87%	18.54	14.69	3.85	26.23%
10	70.07	68.98	66.41	94.78%	3.66	4.66	-1.00	-21.53%
	124.40	123.31	102.20	82.16%	22.20	19.35	2.85	114.72%

TABLE 3 : BREAKDOWN OF COMMITMENTS TO BE SETTLED AT 31/12/2020 (in Mio €) for EACEA										
EDF N°	Agreement	Instrument	Commitments	Contracted	Payments	%to be settled	to be settled at end 2020	to be settled at end 2019	RAL Evolution	
			1	2	3	4 = 3/1	5 = 1-3	6	5-6	(5-6)/5
11	Cotonou	Intra-ACP allocations	54.33	54.33	35.79	65.87%	18.54	14.69	3.85	26.23%
10	Cotonou	Implementation costs	2.27	2.27	2.60	114.30%	-0.33	-0.22	-0.10	47.19%
10	Cotonou	Intra-ACP allocations	67.80	66.71	63.82	94.12%	3.98	4.89	-0.90	-18.43%
			124.40	123.31	102.20	82.16%	22.20	19.35	2.85	114.72%

TABLE 6: AVERAGE PAYMENT TIMES in 2020 for EDF EACEA

Legal Times							
Maximum Payment Time (Days)	Total Number of Payments	Nbr of Payments within Time Limit	Percentage	Average Payment Times (Days)	Nbr of Late Payments	Percentage	Average Payment Times (Days)
30	40	36	90.00 %	17.22	4	10.00 %	45.25
60	50	48	96.00 %	25.79	2	4.00 %	61.00

Total Number of Payments	90	84	93.33 %		6	6.67 %	
Average Net Payment Time	24.01			22.12			50.50
Average Gross Payment Time	35.57			34.50			50.50

Suspensions							
Average Report Approval Suspension Days	Average Payment Suspension Days	Number of Suspended Payments	% of Total Number	Total Number of Payments	Amount of Suspended Payments	% of Total Amount	Total Paid Amount
21	69	15	16.67 %	90	1,921,850.26	20.69 %	9,289,956.31

TABLE 7 : SITUATION ON REVENUE AND INCOME in 2020 for FEDF

Revenue Type	RO created during 2020			RO created before 2020			Total		
	Issued	Cashed	Open amount	Open amount at the beginning of the year	Cashed	Open amount	Issued	Cashed	Open amount
	1	2	3 = 1 - 2	4	5	6 = 4 - 5	7 = 1 + 4	8 = 2+5	9 = 3 + 6
Interest on prefinanc	0.02	0.02	0.00	0.00		0.00	0.02	0.02	0.00
Manual liaison accou	1.64	1.64	0.00	0.00		0.00	1.64	1.64	0.00
Extraordinary gains	6.33	0.42	5.91	9.36	0.10	9.27	15.69	0.52	15.18
Contribution Confinar	9.02	9.02	0.00	0.62	0.62	-0.00	9.63	9.63	-0.00
Revenue Decreasing	111.42	65.34	46.08	38.95	14.10	24.85	150.37	79.43	70.94
Uncalled Capital	4,400.00	4,400.00	0.00	0.00		-0.00	4,400.00	4,400.00	-0.00
Bank Intere Secu Stabex				0.76		0.76		0.76	0.76
Bank Interest				2.49		2.49		2.49	2.49
Bank interests Congo to allocate				-0.02		-0.02		-0.02	-0.02
Bank interests Stabex to allocate				-0.76		-0.76		-0.76	-0.76
Bank Interests to allocate				-2.49		-2.49		-2.49	-2.49
Bk Intere Spe Congo Fund				0.02		0.02		0.02	0.02
Current Account-DG BUDG-SWIFT				0.00		0.00		0.00	0.00
Default Interest on Claims				-4.09		-4.09		-4.09	-4.09
Différences constatées lors de l'encaissement d'OR				-0.00		-0.00		-0.00	-0.00
EU flight contributions from other EU bodies				0.00		0.00		0.00	0.00
Interest EIB				0.00		0.00		0.00	0.00
Interest on late payments Contribution				1.78		1.78		1.78	1.78
Interest Recovery				2.31		2.31		2.31	2.31
Losses on realisation of trade debtors				-0.20		-0.20		-0.20	-0.20
Miscellaneous income				2.48		2.48		2.48	2.48
PF Not Migrated - Initial Upload				0.00		0.00		0.00	0.00
Recettes diverses à imputer				0.00		0.00		0.00	0.00
To allow regularisation of open items by DG DEVCO (Ares (2011) 754001				-2.45		-2.45		-2.45	-2.45
Virements à reimputer				-0.41		-0.41		-0.41	-0.41
Total DG FEDF	4,528.42	4,476.43	51.99	48.35	14.81	33.54	4,576.77	4,491.24	85.53

TABLE 7 : SITUATION ON REVENUE AND INCOME in 2020 for FEDF									
Revenue Type	RO created during 2020			RO created before 2020			Total		
	Issued	Cashed	Open amount	Open amount at the beginning of the year	Cashed	Open amount	Issued	Cashed	Open amount
	1	2	3 = 1 - 2	4	5	6 = 4 - 5	7 = 1 + 4	8 = 2+5	9 = 3 + 6
Extraordinary gains	6.33	0.42	5.91	9.36	0.10	9.27	15.69	0.52	15.18
Revenue Decreasing	111.42	65.34	46.08	38.95	14.10	24.85	150.37	79.43	70.94
Uncalled Capital - DE	1,090.73	905.51	185.22	0.00	0.00	0.00	1,090.73	905.51	185.22
Uncalled Capital - SI	11.90	9.88	2.02	0.00	0.00	0.00	11.90	9.88	2.02
Uncalled Capital - SP	420.42	349.03	71.39	0.00	0.00	0.00	420.42	349.03	71.39
Uncalled Capital - FIN	79.98	66.40	13.58	0.00	0.00	0.00	79.98	66.40	13.58
Uncalled Capital - LV	6.15	5.11	1.05	0.00	0.00	0.00	6.15	5.11	1.05
Uncalled Capital - CY	5.92	4.91	1.00	0.00	0.00	0.00	5.92	4.91	1.00
Interest on prefinanc	0.02	0.02	0.00	-0.00	-0.00	-0.00	0.02	0.02	-0.00
Interest EIB				0.00	0.00	0.00	0.00	0.00	0.00
Uncalled Capital - PT	63.43	52.66	10.77	0.00	0.00	0.00	63.43	52.66	10.77
Uncalled Capital - NL	253.17	210.18	42.99	0.00	0.00	0.00	253.17	210.18	42.99
Uncalled Capital - SK	19.94	16.55	3.39	0.00	0.00	0.00	19.94	16.55	3.39
Contribution cofinancement - IT				0.00	0.00	0.00	0.00	0.00	0.00
Uncalled Capital - SE	155.77	129.32	26.45	0.00	0.00	0.00	155.77	129.32	26.45
Uncalled Capital - FR	944.07	783.76	160.31	0.00	0.00	0.00	944.07	783.76	160.31
Uncalled Capital - LU	13.52	11.22	2.30	0.00	0.00	0.00	13.52	11.22	2.30
COF-Payable-Canada				0.00	0.00	0.00	0.00	0.00	0.00
Uncalled Capital - BE	-725.82	142.97	-868.79	0.00	0.00	0.00	-725.82	142.97	-868.79
Uncalled Capital - RC	38.06	31.60	6.46	0.00	0.00	0.00	38.06	31.60	6.46
Bank Interests to allocate				-2.49	-2.49	-2.49	-2.49	-2.49	-2.49
To allow regularisation of open items by DG DEVCO (Ares (2011) 754001				-2.45	-2.45	-2.45	-2.45	-2.45	-2.45
Uncalled Capital - BG	9.62	9.62	0.00	0.00	0.00	0.00	9.62	9.62	0.00
Uncalled Capital - AT	127.07	105.49	21.58	0.00	0.00	0.00	127.07	105.49	21.58
Virements à reputer				-0.41	-0.41	-0.41	-0.41	-0.41	-0.41
Uncalled Capital - DK	104.96	87.14	17.82	0.00	0.00	0.00	104.96	87.14	17.82
Uncalled Capital - IT	664.09	551.32	112.77	0.00	0.00	0.00	664.09	551.32	112.77
Uncalled Capital - EE	4.58	3.80	0.78	0.00	0.00	0.00	4.58	3.80	0.78
Uncalled Capital - LT	9.58	7.95	1.63	0.00	0.00	0.00	9.58	7.95	1.63
Uncalled Capital - IE	49.82	41.36	8.46	0.00	0.00	0.00	49.82	41.36	8.46
COF-Payable-LU				0.00	0.00	0.00	0.00	0.00	0.00
Uncalled Capital - PL	106.39	88.32	18.07	0.00	0.00	0.00	106.39	88.32	18.07
Bank interests Stabex to allocate				-0.76	-0.76	-0.76	-0.76	-0.76	-0.76
Uncalled Capital - MT	2.01	1.67	0.34	0.00	0.00	0.00	2.01	1.67	0.34
COF-Payable-SE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Default Interest on Claims				-4.09	-4.09	-4.09	-4.09	-4.09	-4.09
Contribution cofinancement - FR				0.00	0.00	0.00	0.00	0.00	0.00
Contribution cofinancement - NL				0.00	0.00	0.00	0.00	0.00	0.00
Contribution cofinancement - SE				0.00	0.00	0.00	0.00	0.00	0.00
Uncalled Capital - HU	32.57	27.04	5.53	0.00	0.00	0.00	32.57	27.04	5.53
Interest on late payments Contribution				1.78	1.78	1.78	1.78	1.78	1.78
Uncalled Capital - GR	79.89	66.32	13.57	0.00	0.00	0.00	79.89	66.32	13.57
Interest Recovery				2.31	2.31	2.31	2.31	2.31	2.31
COF-Payable-FIN				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-UK				0.00	0.00	0.00	0.00	0.00	0.00
Manual liaison accou	1.64	1.64	0.00	0.00	0.00	0.00	1.64	1.64	0.00
Uncalled Capital - CZ	42.26	35.09	7.18	0.00	0.00	0.00	42.26	35.09	7.18
COF-Payable-DK	1.07	1.07	0.00	0.00	0.00	0.00	1.07	1.07	0.00
Uncalled Capital - UK	777.97	645.86	132.11	0.00	0.00	0.00	777.97	645.86	132.11
COF-Payable-BE	0.30	0.30	0.00	0.62	0.62	0.00	0.92	0.92	0.00
Contribution cofinancement - BE				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-FR				0.00	0.00	0.00	0.00	0.00	0.00
Contribution cofinancement - ES				0.00	0.00	0.00	0.00	0.00	0.00
Bank Intere Secu Stabex				0.76	0.76	0.76	0.76	0.76	0.76
COF-Payable-CH				0.00	0.00	0.00	0.00	0.00	0.00
Losses on realisation of trade debtors				-0.20	-0.20	-0.20	-0.20	-0.20	-0.20
Uncalled Capital - CR	11.93	9.91	2.03	0.00	0.00	0.00	11.93	9.91	2.03
EU flight contributions from other EU bodies				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-PT				0.00	0.00	0.00	0.00	0.00	0.00
Miscellaneous income				2.48	2.48	2.48	2.48	2.48	2.48
COF-Payable-NL				0.00	0.00	0.00	0.00	0.00	0.00
Bk Intere Spe Congo Fund				0.02	0.02	0.02	0.02	0.02	0.02
Bank Interest				2.49	2.49	2.49	2.49	2.49	2.49
COF-Payable-CZ				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-DE	7.57	7.57	0.00	0.00	0.00	0.00	7.57	7.57	0.00
Bank interests Congo to allocate				-0.02	-0.02	-0.02	-0.02	-0.02	-0.02
Contribution cofinancement - DK				0.00	0.00	0.00	0.00	0.00	0.00
PF Not Migrated - Initial Upload				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-AT				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-IT				0.00	0.00	0.00	0.00	0.00	0.00
Recettes diverses à imputer				0.00	0.00	0.00	0.00	0.00	0.00
Contribution cofinancement - PL				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-RO	0.08	0.08	0.00	0.00	0.00	0.00	0.08	0.08	0.00
Co-financing Contribution Payable ST-USAID				0.00	0.00	0.00	0.00	0.00	0.00
Différences constatées lors de l'encaissement d'OR				-0.00	-0.00	-0.00	-0.00	-0.00	-0.00
Current Account-DG BUDG-SWIFT				0.00	0.00	0.00	0.00	0.00	0.00
COF-Payable-Australia				0.00	0.00	0.00	0.00	0.00	0.00
Co-financing Contribution Payable ST-EIB				0.00	0.00	0.00	0.00	0.00	0.00
Contribution cofinancement - DE				0.00	0.00	0.00	0.00	0.00	0.00
Total DG FEDF	4,528.42	4,476.43	51.99	48.35	14.81	33.54	4,576.77	4,491.24	85.53

**TABLE 8 : RECOVERY OF PAYMENTS in for EDF for EACEA
(Number of Recovery Contexts and corresponding Transaction Amount)**

Year of Origin (commitment)	Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	RO Amount	Nbr	RO Amount	Nbr	RO Amount
Sub-Total						

EXPENSES BUDGET	Irregularity		OLAF Notified		Total undue payments recovered		Total transactions in recovery context (incl. non-qualified)		% Qualified/Total RC	
	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount	Nbr	Amount
INCOME LINES IN INVOICES										
NON ELIGIBLE IN COST CLAIMS	8	692,273.43			8	692,273.43	9	1,606,629.57	88.89%	43.09%
CREDIT NOTES										
Sub-Total	8	692,273.43			8	692,273.43	9	1,606,629.57	88.89%	43.09%
GRAND TOTAL	8	692,273.43			8	692,273.43	9	1,606,629.57	88.89%	43.09%

TABLE 9: AGEING BALANCE OF RECOVERY ORDERS AT 31/12/2020 for EDF for EACEA

	Number at 01/01/202	Number at 31/12/2020	Evolution	Open Amount (Eur) at 01/01/202	Open Amount (Eur) at 31/12/2020	Evolution

TABLE 10 : Recovery Order Waivers >= 60 000 € in 2020 for EDF EACEA

	Waiver Central Key	Linked RO Central Key	RO Accepted Amount (Eur)	LE Account Group	Commission Decision	Comments

Total DG EACA

Number of RO waivers

TABLE 11 : Negotiated Procedures in 2020 for EDF EACEA

Negotiated Procedure Legal base	Number of Procedures	Amount (€)
Total		

TABLE 12 : Summary of Procedures in 2020 for EDF EACEA

Procedure Legal base	Number of Procedures	Amount (€)
Total		

TABLE 13 : BUILDING CONTRACTS in 2020 for EDF EACEA

Legal Base	Procedure subject	Contract Number	Direct or Specific?	Contractor Name	Contract Subject	Contracted Amount (€)

TABLE 14 : CONTRACTS DECLARED SECRET in 2020 for EDF EACEA

Legal Base	Direct or Specific?	LC Date	Contract Number	Contract Subject	Contracted Amount (€)

TABLE 15 : FPA duration exceeds 4 years for EDF EACEA

None of your FPA (if any) exceeds 4 years

ANNEX 4 : Financial Scorecard

Financial Management Area: BGUE

Executive Agency EACEA

The Annex 4 of each Commission service summarises the annual result of the standard financial indicators measurement. Annexed to the Annual Activity Report 2020, 6 standard financial indicators are presented below, each with its objective, category, definition, and result for the Commission service and for the EC as a whole (for benchmarking purposes)¹³:

- Commitment Appropriations (CA) Implementation
- CA Forecast Implementation
- Payment Appropriations (PA) Implementation
- PA Forecast Implementation
- Global Commitment Absorption
- Timely Payments

For each indicator, its value (in %) for the Commission service is compared to the common target (in %). The difference between the indicator's value and the target is colour coded as follows:

- 100 – >95% of the target: dark green
- 95 – >90% of the target: light green
- 90 – >85% of the target: yellow
- 85 – >80% of the target: light red
- 80 – 0% of the target: dark red

The Commission services are invited to provide commentary behind each indicator's result in the dedicated boxes below as this can help the reader to understand the Commission's service context. In cases when the indicator's value achieves 80% or less of the target, the comment becomes mandatory.


¹³ If the EC service did not perform any transaction in the area measured by the indicator or the information is not available in the central financial system, the indicator is not calculated (i.e. displayed as “-”) in this Annex.


Indicator	CA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of commitment appropriations.
Result	<p>Executive Agency EACEA achieved 100% compared to the EC result of 99%.</p> <p>The chart displays a scale from 0% to 100% in 20% increments. A green bar represents the EC result at 99%, and a red bar represents the EACEA result at 100%.</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Credit Accepted Com Amount (Eur) <p>Scope: Commitments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC)

Indicator	PA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of payment appropriations.
Result	<p>Executive Agency EACEA achieved 100% compared to the EC result of 99%.</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Credit Accepted Pay Amount (Eur) <p>Scope:</p> <p>Payments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC) - Payments stemming from C1, C5, E0 outstanding commitments on the non-staff budget positions that will be carried-forward as C8 to the next financial year

Indicator	CA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the commitment implementation with the commitment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved 100% compared to the EC result of 98%.</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B**,**</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Commitment Forecast Amount (Eur) <p style="margin-left: 40px;">**if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</p> <p style="margin-left: 40px;">**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Commitments on all relevant Fund Sources - Commitment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	PA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the payment implementation with the payment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved 97% compared to the EC result of 99%.</p> <p>The chart displays a scale from 0% to 100% in 20% increments. A green bar represents the EC result at 99%, and a red bar represents the EACEA result at 97%.</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B**,**</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Payment Forecast Amount (Eur) <p>*if Value A / Value B between 100 and 200% then the result indicator will be equal to $1 - (ABS(Value B - Value A) / Value B)$</p> <p>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Payments on all relevant Fund Sources - Payment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	<u>Global Commitment Absorption</u>
Indicator	<u>Timely Payments</u>
Objective Category	Ensure efficient use of already earmarked commitment appropriations (at LI level). Efficiency Controls / Timeliness
Result	Executive Agency EACEA achieved 97% compared to the EC result of 98% . 
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula:</p> <ul style="list-style-type: none"> - Value A: Com L1 Consumption amount (Eur) - Value B: Com L1 Initial amount (Eur) + Com L1 Complementary Amount (Eur) + (Com L1 Decommitment Amount (Eur) on all Fund Sources except for C8 and C9) <p>Scope:</p> <ul style="list-style-type: none"> - Com L1 with FDC ILC date from 01/01 to 31/12 of the current year - No movements to the Com L1 Consumption amount (Eur) after the FDC ILC date is taken into account (Generally decommitments of L2 which decrease the Com L1 consumption) <p>Remark: Due to technical limitation, the indicator does not take into account the Com L1 Consumption between the FDC ILC date and the FA FDI allowed as an exception in the external actions for Com L1 of type GF, i.e. with Financing Agreement, under the FR2018 Article 114.2. As a result, the actual Indicator score may be slightly higher than the one reported for DGs using the GF commitments.</p>

Objective	Ensure efficient processing of payments within the legal deadlines.
Result	<p>Executive Agency EACEA achieved 95% compared to the EC result of 99%.</p> 
Comment	<p>In 2020 The Agency was not able to counter the structurally low execution of the first months of the year due to the need to direct efforts towards other processes, namely the setting up of place digital circuits to deal with the lockdown and related enforced teleworking situation.</p>
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) in time <ul style="list-style-type: none"> o In Time: Payment Bank Value Date <= Payment legal deadline - Value B: Payment Accepted Amount (Eur) <p>Scope:</p> <ul style="list-style-type: none"> - Payments made in the current year - Payments valid for payment statistics (DWH Flag "Payment Time Status OK?" = "Y")

Financial Management Area: EACA

Executive Agency EACEA

The Annex 4 of each Commission service summarises the annual result of the standard financial indicators measurement. Annexed to the Annual Activity Report 2020, 6 standard financial indicators are presented below, each with its objective, category, definition, and result for the Commission service and for the EC as a whole (for benchmarking purposes)¹⁴:

- Commitment Appropriations (CA) Implementation
- CA Forecast Implementation
- Payment Appropriations (PA) Implementation
- PA Forecast Implementation
- Global Commitment Absorption
- Timely Payments

For each indicator, its value (in %) for the Commission service is compared to the common target (in %). The difference between the indicator's value and the target is colour coded as follows:

- 100 – >95% of the target: dark green
- 95 – >90% of the target: light green
- 90 – >85% of the target: yellow
- 85 – >80% of the target: light red
- 80 – 0% of the target: dark red

The Commission services are invited to provide commentary behind each indicator's result in the dedicated boxes below as this can help the reader to understand the Commission's service context. In cases when the indicator's value achieves 80% or less of the target, the comment becomes mandatory.

¹⁴ If the EC service did not perform any transaction in the area measured by the indicator or the information is not available in the central financial system, the indicator is not calculated (i.e. displayed as "--") in this Annex.

Indicator	CA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of commitment appropriations.
Result	<p>Executive Agency EACEA achieved 100% compared to the EC result of 100%.</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Credit Accepted Com Amount (Eur) <p>Scope: Commitments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC)

Indicator	PA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of payment appropriations.
Result	<p>Executive Agency EACEA achieved 91% compared to the EC result of 91%.</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (91%) 91%</p>
Comment	[An explanation behind the indicator result should be provided, e.g. the comment about the achievement itself, reference to the whole Commission performance (better or worse), reasons behind this achievement. The comment is mandatory for the 'Timely payments' indicator. For the rest of indicators the comment is mandatory only if the score is below the target]
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Credit Accepted Pay Amount (Eur) <p>Scope:</p> <p>Payments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC) - Payments stemming from C1, C5, E0 outstanding commitments on the non-staff budget positions that will be carried-forward as C8 to the next financial year

Indicator	CA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the commitment implementation with the commitment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> <p>0% 20% 40% 60% 80% 100%</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: $Value A / Value B^{*,**}$</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Commitment Forecast Amount (Eur) <p><i>*if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</i></p> <p><i>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</i></p> <p>Scope:</p> <ul style="list-style-type: none"> - Commitments on all relevant Fund Sources - Commitment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	PA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the payment implementation with the payment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (100%)</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: Value A / Value B**,**</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Payment Forecast Amount (Eur) <p>*if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</p> <p>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Payments on all relevant Fund Sources - Payment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	Global Commitment Absorption
Category	Efficiency Controls / Absorption
Objective	Ensure efficient use of already earmarked commitment appropriations (at L1 level).
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> <p>0% 20% 40% 60% 80% 100%</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula:</p> <ul style="list-style-type: none"> - Value A: Com L1 Consumption amount (Eur) - Value B: Com L1 Initial amount (Eur) + Com L1 Complementary Amount (Eur) + (Com L1 Decommitment Amount (Eur) on all Fund Sources except for C8 and C9) <p>Scope:</p> <ul style="list-style-type: none"> - Com L1 with FDC ILC date from 01/01 to 31/12 of the current year - No movements to the Com L1 Consumption amount (Eur) after the FDC ILC date is taken into account (Generally decommitments of L2 which decrease the Com L1 consumption) <p>Remark: Due to technical limitation, the indicator does not take into account the Com L1 Consumption between the FDC ILC date and the FA FDI allowed as an exception in the external actions for Com L1 of type GF, i.e. with Financing Agreement, under the FR2018 Article 114.2. As a result, the actual Indicator score may be slightly higher than the one reported for DGs using the GF commitments.</p>

Indicator	<u>Timely Payments</u>
Category	Efficiency Controls / Timeliness
Objective	Ensure efficient processing of payments within the legal deadlines.
Result	<p>Executive Agency EACEA achieved 98% compared to the EC result of 98%.</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (98%) 98%</p>
Comment	EACEA achieved the same result as the Commission. This happened thanks to close monitoring of payments on the administrative budget. The new treasury management system and the follow-up of transactions with DG BUDG also played a significant part in achieving a positive result.
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) in time <ul style="list-style-type: none"> o In Time: Payment Bank Value Date < = Payment legal deadline - Value B: Payment Accepted Amount (Eur) <p>Scope:</p> <ul style="list-style-type: none"> - Payments made in the current year - Payments valid for payment statistics (DWH Flag "Payment Time Status OK?" = "Y")

Financial Mangement Area: FEDF

Executive Agency EACEA

The Annex 4 of each Commission service summarises the annual result of the standard financial indicators measurement. Annexed to the Annual Activity Report 2020, 6 standard financial indicators are presented below, each with its objective, category, definition, and result for the Commission service and for the EC as a whole (for benchmarking purposes)¹⁵:


- Commitment Appropriations (CA) Implementation
- CA Forecast Implementation
- Payment Appropriations (PA) Implementation
- PA Forecast Implementation
- Global Commitment Absorption
- Timely Payments

For each indicator, its value (in %) for the Commission service is compared to the common target (in %). The difference between the indicator's value and the target is colour coded as follows:

- 100 – >95% of the target: dark green
- 95 – >90% of the target: light green
- 90 – >85% of the target: yellow
- 85 – >80% of the target: light red
- 80 – 0% of the target: dark red


The Commission services are invited to provide commentary behind each indicator's result in the dedicated boxes below as this can help the reader to understand the Commission's service context. In cases when the indicator's value achieves 80% or less of the target, the comment becomes mandatory.

¹⁵ If the EC service did not perform any transaction in the area measured by the indicator or the information is not available in the central financial system, the indicator is not calculated (i.e. displayed as “-”) in this Annex.

Indicator	CA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of commitment appropriations.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> 
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Credit Accepted Com Amount (Eur) <p>Scope: Commitments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC)

Indicator	PA Implementation
Category	Efficiency Controls / Budget
Objective	Ensure efficient use of payment appropriations.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> <p>0% 20% 40% 60% 80% 100%</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Credit Accepted Pay Amount (Eur) <p>Scope:</p> <p>Payments on all relevant Fund Sources, except for:</p> <ul style="list-style-type: none"> - Internal assigned revenue in first year (C4) - Internal assigned revenue from lettings and sale of buildings and lands (CL) - Repaid advances (structural funds) (C6) - External assigned revenue except for EFTA (FCA ,FRT, PO, RO, TCA, TF5, TFC) - Payments stemming from C1, C5, E0 outstanding commitments on the non-staff budget positions that will be carried-forward as C8 to the next financial year

Indicator	CA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the commitment implementation with the commitment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> <p>0% 20% 40% 60% 80% 100%</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: $Value A / Value B^{*,**}$</p> <ul style="list-style-type: none"> - Value A: Committed L1 Accepted Amount + Direct Committed L2 Accepted Amount (Eur) - Value B: Commitment Forecast Amount (Eur) <p><i>*if Value A / Value B between 100 and 200% then the result indicator will be equal to 1 - (ABS(Value B - Value A) / Value B)</i></p> <p><i>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</i></p> <p>Scope:</p> <ul style="list-style-type: none"> - Commitments on all relevant Fund Sources - Commitment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	PA Forecast Implementation
Category	Efficiency Controls / Budget
Objective	Ensure the cumulative alignment of the payment implementation with the payment forecast in a financial year.
Result	<p>Executive Agency EACEA achieved - compared to the EC result of -</p> 
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula: Value A / Value B*,**</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) - Value B: Payment Forecast Amount (Eur) <p>*if Value A / Value B between 100 and 200% then the result indicator will be equal to $1 - (ABS(Value B - Value A) / Value B)$</p> <p>**if Value A / Value B > 200 % then the result indicator will be equal to 0%</p> <p>Scope:</p> <ul style="list-style-type: none"> - Payments on all relevant Fund Sources - Payment Forecast Amount (Eur) from the most up to date forecast version (Initial Mar-Aug, Revised Sep-Dec)

Indicator	Global Commitment Absorption
Category	Efficiency Controls / Absorption
Objective	Ensure efficient use of already earmarked commitment appropriations (at L1 level).
Result	<p>Executive Agency EACEA achieved - compared to the EC result of 94%.</p>
Comment	The indicator is not applicable for Executive Agency EACEA in 2020 due to the lack of underlying transactions recorded by EACEA in 2020.
Definition	<p>Formula:</p> <ul style="list-style-type: none"> - Value A: Com L1 Consumption amount (Eur) - Value B: Com L1 Initial amount (Eur) + Com L1 Complementary Amount (Eur) + (Com L1 Decommitment Amount (Eur) on all Fund Sources except for C8 and C9) <p>Scope:</p> <ul style="list-style-type: none"> - Com L1 with FDC ILC date from 01/01 to 31/12 of the current year - No movements to the Com L1 Consumption amount (Eur) after the FDC ILC date is taken into account (Generally decommitments of L2 which decrease the Com L1 consumption) <p>Remark: Due to technical limitation, the indicator does not take into account the Com L1 Consumption between the FDC ILC date and the FA FDI allowed as an exception in the external actions for Com L1 of type GF, i.e. with Financing Agreement, under the FR2018 Article 114.2. As a result, the actual Indicator score may be slightly higher than the one reported for DGs using the GF commitments.</p>

Indicator	<u>Timely Payments</u>
Category	Efficiency Controls / Timeliness
Objective	Ensure efficient processing of payments within the legal deadlines.
Result	<p>Executive Agency EACEA achieved 92% compared to the EC result of 97%.</p> <p>0% 20% 40% 60% 80% 100%</p> <p>EC (97%) 92%</p>
Comment	In 2020 the Agency was not able to counter the structurally low execution of the first months of the year due to the need to direct effort towards other processes, namely the setting up of digital circuits to deal with the lockdown and the enforced teleworking situation.
Definition	<p>Formula: Value A / Value B</p> <ul style="list-style-type: none"> - Value A: Payment Accepted Amount (Eur) in time <ul style="list-style-type: none"> o In Time: Payment Bank Value Date < = Payment legal deadline - Value B: Payment Accepted Amount (Eur) <p>Scope:</p> <ul style="list-style-type: none"> - Payments made in the current year - Payments valid for payment statistics (DWH Flag "Payment Time Status OK?" = "Y")

ANNEX 5: Materiality criteria

The materiality criteria are judged in qualitative and quantitative terms. In qualitative terms, in assessing the significance of any weaknesses, the following factors are taken into account:

- The nature and scope of the weakness;
- The duration of the weakness;
- The existence of compensatory measures (mitigating controls which reduce the impact of the weakness);
- The existence of effective corrective actions to correct the weaknesses (action plans and financial corrections) which have had a measurable impact.

In quantitative terms, it is essential to quantify the potential impact in monetary terms or the amount considered at risk to make a judgement on the significance of a weakness. As regards the legality and regularity of the underlying transactions, the Agency uses the standard quantitative materiality threshold of 2% of the payments made of the relevant ABB (sub)activity.

However, as the Commission's 'standard' breakdown per ABB activities at 4-digit level are too broad (especially in the education area) and cover programmes/activities for which there are significant differences in the size and types of projects, the Agency assesses the materiality per programme managed by the Agency (AAB at 6-digit level). This concerns the following programmes: Lifelong Learning (LLP), Erasmus Mundus, Culture, Youth, Citizenship, MEDIA and Tempus for the programming period 2007-2013, and the Erasmus+, Creative Europe and Europe for Citizens programmes for 2014-2020.

The Agency relies on the "best estimate" of the error rate because in view of the different volumes and sizes of transactions (= grants) by programme, it would not be cost-effective to have a representative error rate from a fully statistically representative sample for each individual programme.

In 2012, the Agency calculated multi-annual, cumulative error rates for the first time in order to increase the representativeness of the figures and to be in line with the guidance issued by DG BUDG in November 2011. For the Annual Activity Report (AAR) 2012 the calculation covered 2 consecutive years, and subsequently the Agency calculated the error rates over a 3-year period for reporting in the AAR 2013, over a 4-year period for reporting in the AAR 2014, and so on.

In determining the need to make a potential reservation, the Agency follows the so-called "3+1 steps" approach; i.e. the four stages of analysis needed to come to a sound conclusion on whether to qualify the AOD's declaration with a reservation and, if so, to estimate its impact in monetary terms:

- Step 1: calculating the multi-annual best-estimated detected error rate in a random¹⁶ sample of transactions (i.e. values of closed grant projects);
- Step 2: estimating the **actual exposure for each programme as 'multi-annual / annual amount at risk'** to the value of the closed grant projects within the same period used for the calculation of error rate / during the reporting year, based on those error rates on final payment or recovery order;
- Step 3: assessing the **materiality**, by relating the **'multi-annual / annual amount at risk' for the activity considered to the payments made**, within the same period used for calculation of the error rate / during the reporting year, for the relevant programme managed by the Agency, for determining whether a reservation would be due;
- Step 4: if a **reservation** is entered, then assessing its relative impact on the AOD's overall assurance and declaration.

The four steps are further detailed hereafter.

1. A multi-annual, cumulative 'detected' and 'residual' error rate is calculated for each of the programmes managed by the Agency over the reference years.

In order to check the legality and regularity and sound financial management of EACEA's underlying transactions, EACEA engages an external audit firm to conduct ex-post controls (i.e. financial audits on-the-spot) on a 'random' selection of closed projects¹⁷. The project can be closed by either final payment and/or recovery order and in some cases a zero payment to clear the pre-financing.

The multi-annual error rate is calculated as follows for all audits finalised in the reference years.

Detected error (amount) = A-B

Detected error rate (%) = (A-B)/C

Where

A = the Agency-share of the eligible costs initially accepted after ex-ante controls, on the basis of which the final payment or recovery order was calculated and paid (and on the basis of which the pre-financing was cleared).

B = the Agency-share of eligible costs finally accepted after ex-post controls (audits).

C = the Agency-share of audited population, proportional to the EACEA co-financing rate of the audited project.

¹⁶ Random selection is done in the year N, by means of Monetary Unit Sampling (MUS), on all projects for which a final payment has been processed in year N-1.

¹⁷ Value of the closed projects includes pre-financing, interim/final payment and any recovery orders.

The co-financing rate is calculated as the amount paid by EACEA over the amount declared at project closure by the beneficiary.

In 2020 EACEA revised its methodology for calculating the error rate, so that the illustrated calculation of the DER is in line with the methodological requirements set out in the 2019 Annual Report by the European Court of Auditors (ECA), as well as with DG Budget Guidelines.

The 'residual' error rate is the estimation of the errors in the payments not audited and not corrected after audit. The application of the detected error rate to the non-audited and not cleaned part of the payments, plus the non-corrected errors in the audited part after corrections, is compared to the total audited and not audited population. The percentage identified with this process is a measure of the possible error still present in the population and not detected by the control system.

2. The financial impact of the cumulative errors in terms of 'multi-annual / annual amount at risk' is calculated as follows.

Even in case the detected and residual error rates are considered to be representative for the population of closed projects under each programme, it is necessary to establish a link between these control results and the actual payments made within the same period used for the calculation of the error rate / during the reporting year. Indeed, the audits have examined costs declared in support of projects closed in previous years.

The **multi-annual / annual amount at risk is the amount that may have been paid in excess to beneficiaries** assuming that the projects closed within the same period used for the calculation of the error rate / during the reporting year are affected by the same error rates as found above.

The multi-annual / annual **net amount at risk** is calculated by multiplying the 'detected' error rate by the value of the closed projects for which a final payment and/or recovery order was done (relevant expenditure or funding) within the same period used for the calculation of the error rate / during the reporting year, and taking into account the corrections made on the audited population.

As far as projects managed by the Agency are concerned, the "value of the closed project" paid in year x, or relevant expenditure, is equal to the amount of the final payment and/or recovery order done in year x plus the amount of the related pre-financing(s) paid during the same or previous year(s) and 'cleared' when the final payment or recovery order is done.

3. The multi-annual / annual materiality of the amount at risk is calculated by programme.

The multi-annual / annual materiality of the amount at risk is calculated by **comparing the amount at risk with the total amounts of payments** (ABB payments) made within the same period used for the calculation of the error rate / during the reporting year for each programme. For determining whether a reservation is due, the multi-annual materiality is the criterion, and a **reservation is issued if the materiality of the amount at risk exceeds 2% of the total annual payments for the concerned programme.**

4. The **impact of a reservation** on the overall declaration is calculated (if applicable) by assessing its 'weight' on the overall operational budget in terms of payments managed by the Agency in the reporting year

“Since 2019¹⁸, a 'de minimis' threshold for financial reservations has been introduced. Quantified AAR reservations related to residual error rates above the 2% materiality threshold are deemed not substantial for segments representing less than 5% of a DG's total payments and with a financial impact below EUR 5 million. In such cases, quantified reservations are no longer needed.”

¹⁸ Agreement of the Corporate Management Board of 30/4/2019.

ANNEX 6: Relevant Control System(s) for budget implementation (RCSs)

Grants – direct management

Stage 1 – Programming, evaluation and selection of proposals

A - Preparation and publication of the calls for proposals¹⁹

Main control objectives: Ensuring that the Agency selects the proposals that contribute the most towards the achievement of the policy or programme objectives (effectiveness); compliance (legality and regularity); prevention of fraud (anti-fraud strategy).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>In the calls for proposals the eligibility, selection and award criteria are not adequate/clearly defined or irregular to ensure the smooth evaluation of the proposals and / or the smooth implementation of the selected projects.</p>	<ul style="list-style-type: none"> - Coordination with mirror units in parent DGs - Hierarchical validation within the authorising department (i.e. at Director's level) (1) Explicit allocation of responsibility to second level ex-ante verification in central financial unit (reflected in task assignment and/or function descriptions) (2) Centralised checklist-based verification 	<p>Control in place allows for sufficient confidence to avoid irregularity</p> <p>100% of the calls</p> <ul style="list-style-type: none"> - checked by AOSD including with a centralised check-list - validated ex-ante internally with a special focus on selection and award criteria - validated by parent DGs - and signed by AOD 	<p>Effectiveness</p> <ul style="list-style-type: none"> - Number of closed cases in relation to the definition of the eligibility, selection and award criteria which concludes that an error was made by the Agency (Art. 22, Ombudsman, Court cases) vs total number of closed cases in relation to the definition of the eligibility, selection and award criteria - Number of requests for review related to the definition of the eligibility, selection and award criteria which led to a modification of the decision for this reason vs total number of requests for review related to the definition of the eligibility, selection and award criteria - Number of control failures detected via non-compliance events and exception reports

¹⁹ According to the yearly Work Programme adopted and published by the parent DGs

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
			(evolution over the time) including the number of calls involved and financial impact vs number of calls checked Efficiency - % of planned calls successfully concluded on time - % of ineligible applications over total proposals submitted per call Economy - Estimation of cost of staff involved in the preparation and validation of the calls / amount of the calls

B - Selecting and awarding: Evaluation, ranking and selection of proposals

Main control objectives: Ensuring that the most promising projects for meeting the policy objectives are among (a good balance of) the proposals selected (effectiveness); compliance (legality and regularity); prevention of fraud (anti-fraud strategy).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
The evaluation, ranking and selection of proposals are not carried out in accordance with the established procedures, and or the calls for proposals.	Clear and complete evaluation procedure, fully revised, intensive training given to staff and EC colleagues Adequate assignment of staff	100% of eligible proposals are evaluated in compliance with the evaluation committee procedure and with FR all evaluation committee	Effectiveness - Number of closed cases related to evaluation procedure which concludes that an error was made by the Agency (Art. 22, Ombudsman, Court cases) vs total number of closed cases related to evaluation procedure - Number of requests for review related to evaluation

	<p>and appointment of expert evaluators</p> <p>Assessment by staff (e.g. project officers) and by independent experts (contractors). Close supervision and management of experts by EACEA</p> <p>Chair of the evaluation committee by AOSD monitoring and ensuring compliance with the evaluation committee guidelines</p> <p>Evaluation committee includes parent DGs representative</p> <p>Validation of award decision by central ex-ante unit Validation by the AOSD of ranked list of proposals and final consolidated report issued by the evaluation committee</p>	<p>members have followed the compulsory training and signed a declaration of non-conflict of interested</p> <ul style="list-style-type: none"> -100% assessment for technical expertise and independence of experts (e.g. conflicts of interests, nationality bias, ex-employer bias, collusion, rotation) - 100% of experts supervised by EACEA - 100% chaired by AOSD to ensure compliance <p>100% evaluation committee includes parent DGs representative</p> <ul style="list-style-type: none"> - 100% of the ranked lists are validated by AOSD - 100% of award decisions are validated by ex-ante and AO 	<p>procedure which led to a modification of the decision for this reason vs total Number of requests for review related to evaluation procedure</p> <ul style="list-style-type: none"> - % of proposals successfully challenged through the remedies vs total number of proposals received and vs number of complaints received - Number of control failures related to evaluation procedure, detected via non-compliance events and exceptions reports (evolution over the time) including the number of calls involved and amount at risk - amount of grants concerned by non-compliance event and/or exception report vs total amount of grants yearly committed by the Agency <p>Efficiency</p> <ul style="list-style-type: none"> - total annual cost of expert evaluation. - % expert cost over annual amount disbursed in grants. - Average Time to Inform - % of Time to Inform of applicants within the FR time limit <p>Economy</p> <ul style="list-style-type: none"> - estimation of cost of staff involved in the evaluation and selection of proposals, including costs linked to the appointment of experts and of the logistics of the evaluation (if any)/ total grant amount of eligible proposals. - estimation of cost of staff involved in the redress panel (including costs of external experts if any)/ total grant amount of eligible proposals
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	<p>Award decision signed by AO. In case the evaluation committee recommendations are not strictly followed it should be motivated in the award decision</p> <p>Internal remedies</p>	<p>100% of contested decisions are analysed by the Redress Committee</p>	
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Stage 2 - Contracting: Transformation of selected proposals into legally binding grant agreements

Main control objectives: Ensuring that the actions and funds allocation is optimal (best value for public money; effectiveness, economy, efficiency); compliance (legality and regularity); prevention of fraud (anti-fraud strategy).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
Inadequate provisions in Grant agreements / Grant decision	Strict use of corporate standard / corporate Grant agreement / decision	100% Grant agreement / decision checked ex ante at call level	Effectiveness amount of grants concerned by non-compliance event / exception report vs total amount of grants yearly

<p>Absence / delay in contractualisation due to:</p> <ul style="list-style-type: none"> - Late verification or rejection of financial capacity of beneficiary / co-beneficiary - Late validation of rejection of Participant Identification Code (PIC)'s beneficiary / co-beneficiary <p>Weak financial capacity of the beneficiary / co-beneficiary</p> <ul style="list-style-type: none"> -Financing project costs incurred before the contractualisation 	<p>Ex-ante validation of grant agreement / grant decision template per call</p> <p>Corporate verification and validation of financial capacity verification by REA</p> <ul style="list-style-type: none"> - use of bank guarantee or reduction of pre-financing payments - Declaration in AOSD report to RMIC 	<p>100% of beneficiary / co-beneficiary own a PIC</p> <p>The financial capacity of the beneficiary (except for natural persons in receipt of scholarships, public bodies or international organisations) is checked for any grants above €60,000</p> <ul style="list-style-type: none"> - Use of bank guarantee / reduction of pre-financing depending on the nature of the beneficiary and/or the total value of the grant. - 100% of instances are reported to RMIC 	<p>committed by the Agency</p> <ul style="list-style-type: none"> - % of exclusion from the granting process following financial capacity checks - % or number (trend) of bank guarantees requested - Court cases related to grant agreement. <p>Efficiency</p> <ul style="list-style-type: none"> - Average Time-to-grant. - % Time to Grant within the FR time limit <p>Economy</p> <ul style="list-style-type: none"> - estimation of cost of staff involved in the contracting process/ amount contracted
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Stage 3 - Monitoring the execution. This stage covers the monitoring the operational, financial and reporting aspects related to the project and grant agreement

Main control objectives: ensuring that the operational results (deliverables) from the projects are of good value and meet the objectives and conditions (effectiveness and efficiency); ensuring that the related financial operations comply with regulatory and contractual provisions (legality and regularity); prevention of fraud (anti-fraud strategy); ensuring appropriate accounting of the operations (reliability of reporting, safeguarding of assets and information).

Main risks	Mitigating controls	Coverage, frequency and	Cost-Effectiveness indicators (three E's)
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It may happen (again) that...		depth of controls	
<p>Main risks identified also relate to the reimbursement of ineligible costs, failure to detect irregularities or fraud, insufficient evidence of achievements, wrong amounts paid of incorrect entries into ABAC, late detection of underperformance and lost opportunities for corrective actions.</p>	<p>Communication and information actions are part of the preventive controls both internally and targeted towards beneficiaries (kick-off meetings for example)</p> <p>Project progress is monitored through regular communication with the beneficiaries and ad-hoc monitoring visits.</p> <p>Operational and financial checks are in accordance with the financial circuits.</p> <p>Operation authorisation by the AO at unit level.</p> <p>For high risk operations, reinforced monitoring.</p> <p>Recommended: consider an ex-ante verification on-the-spot – e.g. monitoring visit.</p> <p>Earmark projects for risk based ex-post audit.</p>	<p>100% of the projects are controlled, through value-adding checks.</p> <p>Riskier operations subject to more in-depth and/or on-site controls.</p> <p>The depth depends on risk criteria.</p> <p>High risk operations identified by risk criteria. Red flags: delayed interim deliverables, suspicion raised by staff, audit results, suspicion of plagiarism, requesting many amendments, or anti-fraud flagging, low quality of the audit certificate etc.</p>	<p>Effectiveness: financial amount of the cost items rejected.</p> <p>Efficiency Indicators: Time-to-payment and % of payments made on time</p> <p>Economy: estimation of cost of staff involved in the actual management of running projects.</p> <p>Benefits: Amount of recovery orders including penalties, liquidated damages, projects terminations and amount of the costs claimed by the beneficiary, but rejected by the project officers/ the total cost claimed</p>

	<p>If needed: application of suspension/interruption of payments, penalties or liquidated damages. Referring grant to OLAF. Grant termination Time for payment monitoring Recovery order procedure and monitoring.</p>	<p>Depth: depends on results of ex-ante controls.</p>	
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Stage 4 - Ex-Post controls

A - Audits and monitoring

Main control objectives: Measuring the effectiveness of ex-ante controls by ex-post controls; detect and correct any error or fraud remaining undetected after the implementation of ex-ante controls (legality and regularity; anti-fraud strategy); addressing systemic weaknesses in the ex-ante controls, based on the analysis of the findings (sound financial management); ensuring appropriate accounting of the recoveries to be made (reliability of reporting, safeguarding of assets and information)

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The ex-ante controls (as such) fail to prevent, detect and correct erroneous payments or attempted fraud.</p>	<p>An independent audit contractor not involved in operational or financial circuits, performing ex-post audits.</p> <p>A detailed multi-annual Ex-post control strategy with specific targets which is implemented through an annual audit plan.</p> <p>Identification of potential improvements on ex-ante controls via the ex-post controls.</p>	<p>Random sample: MUS random sample sufficiently large to draw valid management conclusions</p> <p>Risk-based sample: determined in accordance with the selected risk criteria, aimed to maximise return on audit (either higher amounts or expected error rate or pedagogical aim for recurrent beneficiaries etc.).</p>	<p>Effectiveness: Number of audits finalised in a year. Audit coverage. Best estimate error rate. Residual error rate below tolerable threshold.</p> <p>Efficiency: Residual error rate below tolerable threshold.</p> <p>Economy: estimation of annual cost of staff involved in the coordination and execution of the ex-post audit strategy + annual the costs paid to the external audit firms for the outsourced audits/ EACEA share of amount audited in the year</p> <p>Total annual cost of audits compared with benefits (total Recovery Orders issued after audits)</p> <p>Financial value of the errors, detected by ex-post controls, which have actually been corrected (offset or recovered)/total amount of detected errors (impact of recovery orders reduction in a year).</p>

B- Implementing results from ex-post audits/controls

Main control objectives: Ensuring that the (audit) results from the ex-post controls lead to effective recoveries (legality and regularity; anti-fraud strategy); Ensuring appropriate accounting of the recoveries made (reliability of reporting).

Main risks It may happen (again) that...	Mitigating controls	Coverage, frequency and depth of controls	Cost-Effectiveness indicators (three E's)
<p>The errors, irregularities and cases of fraud detected are not addressed or not addressed in time.</p>	<p>Systematic registration of audit results to be implemented and actual implementation. Financial and operational validation of recovery in accordance with financial circuits. Authorisation by AO at unit level. Notification to OLAF via the anti-fraud team when needed and regular follow-up of detected fraud.</p>	<p>Coverage: 100% of final audit results with a financial impact.</p> <p>Depth: consider 'extending' the findings of systemic errors into corrections of non-audited projects by the same beneficiary</p>	<p>Effectiveness: Number of risks based ex-post audits with findings closed in the year, over the total N° of risk-based audits closed in the year</p> <p>Efficiency Indicators: Delay for sending the pre-information letter (after the final report is received), delay for issuing the recovery order (after the pre-information letter is issued).</p> <p>Economy: estimation of annual cost of staff involved in the implementation of the audit results plus the annual costs paid to the external audit firms for the outsourced audits / EACEA share of amount audited in the year</p> <p>Financial value of the errors, detected by ex-post controls, which have actually been corrected/total amount of detected errors (impact of recovery orders reduction in a year)</p>

ANNEX 7: Specific annexes related to "Financial Management"

AAR Section 2.1.1 Control Results

The following paragraphs illustrate in detail the effectiveness, efficiency and economy of the controls in place at EACEA (by phase of the grant management cycle), supporting the positive conclusion included in AAR Section 2.1.1 on cost-effectiveness of controls.

1) Effectiveness = the control results and benefits

- **Legality and regularity of the transactions**

EACEA uses internal control processes to ensure the adequate management of the risks relating to the legality and regularity of the underlying transactions it is responsible for, taking into account the multiannual character of programmes and the nature of the payments concerned.

Control effectiveness as regards legality and regularity is presented below throughout the four stages of the grant management control system in place.

Stage 1: Evaluation and selection of proposals

The overall control objective is to ensure that only best-quality proposals meeting the policy objectives and priorities are selected. In order to meet this ultimate objective, the Agency has put in place a set of rules and procedures including equal treatment of all applications.

The benefits of this phase are: compliance with the rules, good quality and reliable evaluation process, selection of projects giving the highest added value for the EU. These benefits are non-quantifiable.

Stage 2: Contracting

The overall control objective of this stage is to translate each of the selected proposals into legally binding grant agreements or decisions in a way that ensures an optimal allocation of EU funds. As a result of the contracting process, the Agency concluded in 2020 grant agreements/decisions, amounting to more than EUR 958 million in commitments.

The benefits of the contracting exercise are clarity and legal security both for the beneficiaries and the Agency, for all the selected applications. These benefits are non-quantifiable.

Stage 3: Monitoring the execution

The overall control objective of this stage is to ensure that the projects are performing according to their schedule²⁰ and objectives and that payments or recoveries comply with the regulatory and contractual provisions. During the reporting period the Agency has improved coherence by implementing an overall monitoring strategy based on a risk analysis of all projects.

In terms of benefits of controls at this stage, the non-quantifiable benefits refer to the increased level of assurance for the Agency stemming from promptly identifying and addressing implementation risks, as well as for the beneficiaries, who receive assistance from Agency staff in facing difficulties related to project implementation. The quantifiable benefits correspond to the detection of ineligible costs when analysing the payment requests, which may lead to recovery orders.

Stage 4: Ex-post controls

In this stage, the main control objective regards the legality and regularity of the underlying transactions measured via the best management estimate of the materiality ("MAT") of the amount at risk, (resulting from the multiannual residual error rate for each programme) which should not exceed 2% (of what? Please specify) by the end of the programme implementation. The Agency relies on the best estimate of the error rate because it would be cost ineffective to establish an error rate from a fully statistically representative sample (cf. 95% confidence level/2% target error rate. For further details, please see Annex 4 of this AAR) due to the high volume of transactions by programme managed by EACEA each year.

²⁰ The execution of the projects is monitored through different tools, including ex-ante controls with focus on: (1) assessment of the progress achieved in the implementation of the project; (2) a plausibility check on the declared costs and (3) compliance with the legality and regularity requirements

Another important control objective is to provide prompt information to management regarding the trend of the detected error rate²¹, in order to take necessary corrective actions (if necessary), which is done concretely with a monthly reporting on the multiannual detected error rate for each programme²² and for each programming period.

It is worth mentioning that the programmes under 2007-2013 programming period are fully phasing out, with no payments executed this year, except for Culture (EUR 417 689, representing 0.05% of the total EACEA annual payments).

The AAR 2020 error rate for the current programming period (2014-2020) is calculated on the basis of 251²³ randomly audited projects. The multiannual detected error rate by programme and programming period²⁴ is presented in the table below²⁵:

Programming period 2014-2020	DER	RER	Reservation?
Erasmus+	0.57%	0.55%	No
Creative Europe	1.62%	1.58%	No
Europe for Citizens	0.96%	0.92%	No
EU Aid Volunteers (only two random audit results available with 0% error rate)	Not yet available	Not yet available	N/A
Solidarity Corps (no audit results yet available)	Not yet available	Not yet available	N/A

(DER= multi-annual detected error rate; RER= multi-annual residual error rate -see annex 4 for more details.)

²¹ Flash reports in case of problematic audits, monthly reporting including data on audits not yet finalised, specific follow-up on audits with an error rate above average or difficult to close (dashboard), are available for the management to put in place any necessary actions with no delays.

²² The new programmes EU Aid Volunteers and Solidarity Corps are not yet affected by the audit process because they did not generate enough final payments to be audited. Only two audits for EU aid Volunteers (with a 0% error rate) are available and no final audit reports for Solidarity Corps.

²³ In 2020 63 new random project audits have been closed, adding up to the cumulated 188 audits closed up until 2019.

²⁴ Based on the cumulated results of the last 7 years.

²⁵ The materiality of the amount at risk is for all the 2014-2020 programmes below 2%.

Concerning effectiveness, another relevant indicator monitored by the Agency is the **total closed risk-based audit projects with findings (recoveries)** over the total risk-based audit projects closed in the reporting year. This indicator was monitored in 2020 and is equal to **59% (vs 39% in 2019)**. The quality of the risk-based selection is improving and is closely followed up.

As illustrated, controls are in place for each grant management stage and cover the entirety of the Agency expenditures. Overall, they are effective thus ensuring that the error rate level and the materiality of the amount at risk remain below 2% for the new programming period (2014-2020), so that no reservations on programmes are granted for the current reporting year.

- **Fraud prevention, detection and correction**

EACEA has developed and implemented its own anti-fraud strategy since 2012 on the basis of the methodology provided by OLAF. It is updated every three years, most recently in 2018, and is regularly monitored and reported on to management. All necessary actions have been taken except trainings and analysis of the new risks related to the new MFF. The missing actions will be considered and strengthened in the anti-fraud strategy 2021-2023.

The Agency contributed to the Commission anti-fraud strategy and followed up on 100% of OLAF's *financial recommendations* “with sometimes additional measures (judicial proceedings or complementary audits”).

Thanks to the anti-fraud measures in place, the following results were achieved in 2020:

- Strengthened cooperation and exchanges with OLAF on horizontal matters, data collection and specific cases;
- Strengthened coordination with other DGs and Agencies through the FPDNet and FAIR network in view of harmonising and improving guidance, anti-fraud strategies and sharing good practices;
- Checks on double funding and plagiarism through IT tools and the experts made aware of this through the briefings;
- Production of newsletters on anti-fraud subjects and ad-hoc advice to the operational units;
- Completion of the EACEA Anti-Fraud Strategy action plan (2018-2020), in particular:
 - Identification of double financing cases and cooperation with parent DGs and OLAF through improved IT tools at the disposal of the operational units on the EACEA Anti-Fraud intranet page; strengthened collaboration with parent DGs and OLAF through the FAIR and FPDNetworks;
 - Staff awareness through a survey, the continuous follow-up of potential cases in collaboration with the teams and an anti-fraud corner in the Agency's weekly newsletter; update of the training material (no training took place in 2020 due to conter COVID-19 measures and reorganisation);
 - Projects officers/experts' awareness on sound financial management and double financing was reinforced through online briefings (selection process and kick off meetings) and use of dedicated IT tools;
 - The risks linked to COVID-19 were analysed and addressed at corporate level; the identification and analysis of new risks linked to the new MFF and use of new corporate tools could only partially be implemented. The new MFF was approved late and there was very limited experience in the Agency of the new IT tools (e-grants, e-procurements and e-experts).

On the basis of the available information, the Agency has reasonable assurance that the anti-fraud measures in place are effective overall. However, the following additional measures will be taken to adapt to the COVID-19 crisis:

- Update of the risk assessment of the new programmes;
- Development of a new strategy 2021-2023 focusing on fraud awareness, data collection, prevention, detection and implementation of corrective measures in line with the Commission’s anti-fraud strategy.

The usual measures of prevention and detection such as audits and enforced monitoring are still in place, although in the context of the COVID-19 crisis, monitoring visits had not taken place and “on the field” visits of auditors were often delayed.

Collaboration with OLAF in 2020

In 2020, OLAF decided to open an investigation in four new cases. In addition, EACEA transmitted two cases to the parent DG before transmission to OLAF around the end of 2020, and five cases are still under analysis/treatment. Among the cases transferred to OLAF in the previous years, three investigations are still on-going.

One new OLAF report relating to an investigation opened in 2017 was received and analysed in 2020; it will lead to a small recovery order following coordination with several DGs/agencies, to be issued in 2021.

A beneficiary that contested its recovery orders issued after an OLAF and an audit reports lost its appeal at the Court of Justice. The Court dismissed all the applicant’s pleas.

The Agency dealt with nine new OLAF requests for information, two of them related to the Erasmus+ programme managed by National Agencies. As a general approach, the Agency verifies whether in such cases the entities have other projects with the Agency with a view to closer follow-up and coordination with both OLAF and DG EAC. OLAF dismissed three 2020 cases without investigations, one of which was dismissed due to insufficient suspicions.

In conclusion, the number of investigations opened by OLAF in 2020 significantly increased compared to the previous years and closer cooperation took place for the management of the cases and the implementation of the recommendations as well as for contributions to documents and exchanges of practices through the Fraud Prevention Detection Network.

The most significant data on fraud prevention, detection and correction is summarised below.

Indicator	Results
Participation in anti-fraud training (staff)	none (COVID-19/reorganisation/workload). Replaced by newsletters and case by case support

Number of audits (one risk-based and two random) having led to the identification/confirmation of serious irregularities/fraud	3
Number of new entities submitted to monitoring measures as a result of suspicious of irregularities/fraud	9 entities with 10 projects
Total amounts paid in the past related to these entities and subject to reinforced monitoring measures	EUR 2,683,322
Number of grant agreements or participation of a co-beneficiary terminated for serious irregularities/fraud	0
Number and amount of recoveries issued for serious irregularities/fraud	EUR 1,003,029.80 (2 beneficiaries, 4 projects)
Number of new cases sent to OLAF	3
New registration in Early Detection and Exclusion database	0

2) Efficiency = the Time-to-... indicators and other efficiency indicators

Over 2020 the Agency improved efficiency in financial management through the implementation of new partially decentralised financial circuits, shifting from fully decentralised ones, as part of a new organisational structure which aimed to address the present and future challenges of the Agency. The new organisational structure is operational since 16 March 2020.

The efficiency of the controls implemented at each stage is assessed in the sections below, based on the most relevant indicators.

Stage 1: Selection and evaluation of proposals

In terms of speed in managing this specific phase, the Agency can show a stable **time to inform** its beneficiaries. In 2018 it was 4.7 months, in 2019 4.4 months and in 2020 4.8 months, so well below the reference threshold of six months, set by the Financial Regulation in force notwithstanding the difficult circumstances of the pandemic.

TTI (in months)	2018	2019	2020
Time to inform (TTI)-art 194,2 FR- maximum 6 months	4.7	4.4	4.8

The quality of the selection and evaluation phase is indirectly proved by the Agency's 100% success rate for judicial proceedings in general, as well as for those referring to selection and evaluation of proposals, closed since 2006; these letter proceedings represent a very tiny proportion of the evaluated applications.

Overview of judicial proceedings since 2006					
	Total since the start of the Agency	open cases until 31/12/2020	closed cases at 31/12/2020	Total of closed proceedings won by the Agency at 31/12/2020	Success rate for the Agency
Judicial Proceedings	32	8	24	24	100%
which concern the ex-ante phase	5	0	5	5	100%
In percentage of total applications evaluated					
Total judicial proceedings	0,015%				
which concern the ex-ante phase	0,003%				

Benefits of control in the selection and evaluation phase

The number of requests for review is one indicator in use for monitoring the quality of the proposal evaluation process. The indicator shows a stability in the number of requests for review (98 + 4 Art.22=102 requests for review) received in 2020 compared to 2019 and 2018. Furthermore, the low volume of requests for review compared to the applications received (1.1% of the applications) indicates that the selection process is functioning well.

Overview of the requests for review (Internal review and Article 22)					
	2016	2017	2018	2019	2020
Number of requests for review received	129	117	188	102	102
In % of total of proposals received	1,1%	0,9%	1,6%	1,0%	1,1%
Number of cases that led to a change of decision	3	4	3	3	0
<i>compared to the total number of requests for review received</i>	2,33%	3,42%	1,60%	2,94%	0%
<i>compared to the total number of applications received</i>	0,02%	0,03%	0,02%	0,03%	0%

In 2020, there were four new Article 22 (among the total 102) requests to review the legality of the actions of EACEA, all concerning Creative Europe – MEDIA (3) and Culture (1). The four complaints are related to the rejection decision of a grant proposal. At the time of the reporting, 3 cases (2 MEDIA and 1 Culture) are pending decision of the parent DGs, while for one case (MEDIA), DG CNECT confirmed the Agency's initial decision.

Stage 2: Contracting

According to the Financial Regulation, the Agency has 9 months after the call deadline to sign the selected grants (Article 194.2 of the FR). This deadline consists of two periods and two primary time limits to comply with: time to inform (TTI) and time to contract (TTC). The figures are as follows:

TTG	2018	2019	2020
Time to inform (TTI)-art 194.2 FR- maximum 6 months	4.7	4.4	4.8
Time to contract (TTC)-art 194.2 FR-max 3 months	2.3	2.1	2.4
Time to grant (TTG) (total of TTI and TTC) - max 9 months	7.0	6.5	7.1

In terms of speed in managing this specific phase, the Agency can show quite a stable **time to contract**, slightly increasing in 2020 compared to 2019.

As shown in the above table, the **TTG has slightly increased**²⁶ compared to last year, due to a slight increase both in TTI and the TTC. The indicator remains in line with the average of the last three years and within the requirements of article 194.2 of the Financial Regulation. Concerning the time to grant during 2020, the Agency touched a compliance rate of 96.8% (in 2019 it was equal to 96.01%).

Stage 3: Monitoring

The two main efficiency indicators for this stage concern the level of execution of payment appropriations and their speed. Both indicators give indications on the efficiency in the monitoring.

Execution of operational payment appropriations

With regard to the operational budget, in 2020 the execution of commitment credits was EUR 958.62 million or 98.87% of the available budget. If compared to EACEA's 2020 Work Programme, the commitment budget execution against C1 and C5 appropriations is 100%, in line with the target (100%). The execution of payment credits was EUR 814.43 million, which represents 96.32% of the available budget. The execution of C1 and C5 appropriations reaches 100%, and it is above the target (99%).

Time-To-Pay (TTP)

A key indicator of the monitoring phase is 'Time-To-Pay' (TTP), which is defined as the amount accepted on time for payment (in percentage), within deadlines set by the Financial Regulation. Concerning the operational budget, the results indicate a level of compliance with the payment deadlines of **95% of all payments processed on time** (98% in 2019). For 2020 the Agency had set an ambitious target (100% compliance) which has not been

²⁶ In 2020 some running selections have already informed the applicants (TTI), but are still in the process of contractualisation, so without TTC. That is why the sum TTI + TTC does not add up to 7.2.

achieved. In 2020 The Agency was not able to counter the structurally low execution of the first months of the year, due to the effort required in other processes, namely to put in place digital circuits to face the lockdown and related forced teleworking. The average gross payment time for 2020 is equal to **27.3 days**²⁷ (28.8 days in 2019).

Another indicator signals EACEA’s increased efficiency in managing its core business: running projects increased by 15% compared to 2019 (up to 7 210 from 6 289) and this with a number of staff members substantially unchanged compared to 2019.

Stage 4: Ex-post controls

For this stage the main efficiency indicator is the value of corrections made by implementing audit results, by means of recovery orders and/or offsetting. In 2020, this value amounts to EUR 1.8 million, decreasing compared to 2019 figure (EUR 2.7 million). As shown in the table below, the number of audited projects closed in the reporting year is below the average of the last three years, decreasing by 13% compared to 2019 and this is the key explanation for the decreasing value of recovery orders. The decrease is mainly due to the delays in executing audit assignments during the COVID19 crisis, as well as delays in issuing the recovery orders (see the end of the paragraph on average time to issue recovery orders in 2020), this being the last step to close audits.

Year	2018	2019	2020
N audited projects closed in the year	198	168	147

The benefit per audited project is equal to EUR 12 415. The average cost of an audit is largely covered by the benefit, especially considering that one audit assignment may include more than one project.

Year	2018	2019	2020
N audited projects closed in the year	198	168	147
Total recoveries	2.752.389	2.681.921	1.824.992
Benefit per closed audited project	13.901	15.964	12.415

In addition to these quantifiable benefits, there are a number of non-quantifiable advantages linked to ex-post controls, which are equally important, such as the preventive and dissuasive effect of ex-post audits, especially with recurrent beneficiaries. Similarly, ex-post controls allow for the identification of risks and lesson learnt both related to beneficiaries and to potential weaknesses of ex ante controls.

The Agency monitors two additional efficiency indicators at year-end, relating to the **speed of issuing the pre-information letter**²⁸ and the **recovery order**²⁹. In 2020, the pre-information letters were sent on average **49 days** after receipt of the final audit report, 18 days more compared to last year’s figure (31 days in 2019, 42 days in 2018 and 46 in 2017). **The time for issuing a recover order** was in 2020 equal on average to **66 days**,

²⁷ Source: Annex 3, table 6 of AAR 2020.
²⁸ Average time between the final report reception date and the moment the pre-info letter was sent (in days)
²⁹ Average time between the day the pre-info letter is sent and the date when the RO is issued (in days)

both indicators are above the average value of the last three years. This general decrease in efficiency, related to the ex-post process final steps, can be explained with the urgency to process payments as a priority for the Agency, in order to counter the delays generated by the COVID-19 crisis in project implementation and execution.

Economy= the cost of controls³⁰

The main cost drivers and benefits of controls are described hereafter per each grant management stage and quantified in the final table at the end of this paragraph only with a distinction between ex ante and ex post controls.

Stage 1: Selection and evaluation of proposals

At this stage, the cost of control corresponds both to experts' costs (paid via the operational budget), staff and other costs (IT or translation costs for example) paid via the administrative budget.

in EUR	2018	2019	2020
Number of eligible proposals	11.619	9.499	9.283
Expert's costs	4.111.129	4.394.493	4.394.369
Expert's costs per proposal	354	463	473
Commitments made in EUR	728.633.425	930.021.552	958.620.000
Expert cost in % of commitments	0,6%	0,5%	0,5%

It is worth observing that the cost of **experts** has remained quite stable in the past three years, as well as the cost per eligible proposal. In 2020, EACEA managed calls for proposals for an amount of more than EUR 958 million³¹, so the cost of expert represents a tiny percentage of it (also stable over the last three years)³².

Stage 2: Contracting

The cost of this phase is mainly represented by **cost of staff** involved in the contractualisation, meaning in the preparation of the grant agreements signed for all selected applications. To keep these costs at an acceptable level, EACEA has introduced grant decisions for some actions (instead of grant agreements) entailing less administrative workload and has developed standardised models of contracts and decisions.

Stage 3: Monitoring

The main costs drivers of this phase are represented by staff costs, **on-site monitoring missions, meetings and IT specific costs** specifically related to systems for the

³⁰ As defined in the guidance of DG BUDG, in the calculation of costs of control the Agency has excluded costs linked to the Eurydice Unit and those linked to procurement costs, both representing functions outside the grant management control system. The Agency included in the calculation all the Atlas functions suggested in the Guidelines.

³¹ This figure does not include EDF.

³² the main benefit of experts work is the selection of the best quality proposals, ensuring successful projects' implementation.

management of grants. It is worth mentioning that in 2020 mission costs are remarkably low due to the difficulties of visiting beneficiaries on site during the COVID-19 pandemic.

The main non-quantifiable benefits of this stage are the assurance that the projects are running as intended, thus increasing the probability of success and the provision of appropriate feedback on policy development.

The most relevant quantifiable benefit is the financial impact of the ex-ante controls performed on the cost claims before proceeding to payment, allowing for rejection of non-eligible costs claimed. In 2020 this financial benefit is estimated equal to EUR 19.4 million (versus 22.6 million in 2019 and EUR 27.9 million in 2018).

Stage 4: ex-post controls

The total cost of ex-post controls includes the cost of internal resources (**staff**) who are involved in this stage, the cost of **outsourced ex-post audits and the mission costs** of the EACEA team. In 2020 the total costs of ex-post controls amounts to EUR 1.4 million (EUR 1.5 million in 2019) as shown in the table below. This is a slight decrease compared to 2019 and compared to the average value of the last three years. The benefit of ex-post controls (EUR 1.8 million in 2020), exceeding the total costs, is the amount of errors and irregularities prevented, detected and corrected by these controls.

EACEA 2020 Costs of Controls

Ex Ante Controls				Ex Post Controls				Total	
a		b	c	d		e	f	g	h=g/b
Cost details	Costs	Amount Managed	Ratio	Cost details	Costs	Amount Managed	Ratio	Total estimated costs of controls	Ratio
EACEA Staff costs	37.345.200	801.668.644	5.75%	EACEA Staff costs	562.000	50.712.039	2.71%	47.514.909	5,9%
Experts costs	4.394.369			External inputs (audits)	810.476				
grant management systems)	3.728.325			Audit Missions by EACEA	0				
Info days and meetings	663.572,93			Total costs of ex post controls	1.372.476				
Missions	10.965								
Total costs of ex ante controls	46.142.432								

Amount Managed for ex ante controls includes: payments on the operational budget 814 434 975 + EDF 9 289 956 –payments for procurement 22 056 287

Amount Managed for ex post controls includes: EACEA share of audited amount for audits closed in 2020, for both risk based and random audits pertaining to both programming periods

Details on Art 193.2 FR

The register of exceptions and non-compliance events (also called deviations register hereafter) is an important source of information (among others) to assess the presence and functioning of the internal control system. In 2020, the EACEA deviation register includes no cases of deviation from the principle of non-retroactivity of grants pursuant to Art 193.2 FR. This topic is considered of high importance by the Agency, especially since the IAS audit on Grant Management Phase I. Therefore, it is duly taken into account in the conclusions on the functioning of the internal control system and EACEA reinforced the close monitoring of the compliance with Art 193.2 FR both during the reporting period and at year’s end. In this respect, a follow-up on the declarations of the AOSDs is ongoing to verify some deviations from the principle of non-retroactivity declared in the AOSD reports of 2020.

ANNEX 8: Specific annexes related to "Assessment of the effectiveness of the internal control systems"

Not applicable.

ANNEX 9: Reporting – Human resources, digital transformation and information management and sound environmental management
Human Resources

Objective : The Executive Agency deploys effectively its resources in support of the delivery of the Commission's priorities and core business, has a competent and engaged workforce, which is driven by an effective and gender-balanced management and which can deploy its full potential within supportive and healthy working conditions.

		Latest known results
Baseline 2019: 23%	Target 2020: 30%	20%
Indicator 2 : Percentage of staff who feel that EACEA cares about their well-being		

³³ EACEA monitors the percentage of female representation in middle management. However, the target of 40% female representation in middle management by 2020 (average target at European Commission level) is not in the remit of EACEA as the parent DGs are in charge of the selection of middle managers who are seconded to the Agency.

Source of data: Commission staff survey (data provided by DG HR)			
Baseline: 47.1% - pulse survey 15	Target 2020: 45% ³⁴	NA	
Indicator 3: Staff engagement index			
Source of data: Commission staff survey (data provided by DG HR)			
Baseline: 67% - pulse surveys 13 and 14	Target 2020: 62% ³⁵	NA	
Main outputs in 2020:			
<i>Talent management</i>		Latest known results	
Output	Indicator	Target	
Ensure effective recruitment, in line with the establishment plan	Occupational rate	95%	95%
	Time to fill vacant posts from reserve lists Baseline 2018: 4 to 9 months depending on the type of selection	Maximum 4 months from the moment the HR unit is instructed by AECE decision to fill the vacancy until the offer is sent to the candidate	24 new colleagues entered service and 5 recruitments were launched
Launch of the managerial excellence programme	Level of implementation of the programme	80% implemented by Q4	Completed 100%
Increase of staff professionalization	Level of delivery of related training actions outlined in the L&D strategy	100% delivered by Q4	100%
Job shadowing	Level of satisfaction	70% of satisfied participants	97% Inter-EA

³⁴ The initial target of 45% for 2020 was proposed taking into account the 2018 baseline, which was 39%. However, the results of pulse survey 15 show more encouraging results, despite the challenging context created by the pandemic.

³⁵ Pulse surveys 13 and 14 show that staff engagement levels increased well above the set target of 62%, and reached 66%. This can be considered a remarkable achievement, especially taking into account the 2018 baseline of 59% and the fact that a large scale reorganisation kicked off on 16 March 2020, the very day when the confinement because of the covid-19 pandemic started.

Timely completion of the exercise of performance management	Appraisal reports completed on time	99% ³⁶	99%
<i>Staff engagement and well-being</i>			Latest known results
Follow-up of the Staff Satisfaction Survey 2018: implementation of the Action Plan	Status of implementation of the Action Plan	Completed	Completed
Activities promoting staff engagement and well-being	Level of satisfaction	70%	67%
Actions to enhance dialogue with staff (e.g. HR conversations Connecting EACEA, LTC ³⁷)	Level of satisfaction	70%	80%
<i>Organisational fitness and development</i>			Latest known results
Set up a new organisational structure	Level of finalisation	100% by Q2	Done
Finalisation of the new organisational structure in SYSPER	Level of completion	100% by Q2	Done
HR dashboard, complemented by additional statistics	Availability of relevant HR data on a quarterly basis	100%	Done
<i>Compliance with the regulatory framework</i>			Latest known results
Ensure that staff are aware of ethical principles	<ul style="list-style-type: none"> - Number of articles in the internal newsmail - Ethics reminders for all staff - Percentage of newcomers 	<p>1 every 2/3 months</p> <p>Communication from the Director within Q1</p>	<ul style="list-style-type: none"> - Four articles in the newsmail between May-June and regular “ad hoc” reminders from September to December

³⁶ Due to long-term absences, the target of 100% is not realistic.

³⁷ Lunch-time conferences.

	who attend the Ethics and Integrity mandatory training course	100% of newcomers	<ul style="list-style-type: none"> - Communication from the Director issued in May 2020 - 87% of newcomers (20 out of 23)
Ensure compliance of HR processes with the principles of data protection	Level of conformity with the applicable regulation, taking into account the intermediate compliance targets	100%	100% Agency internal

As far as female representation in middle management positions is concerned, 3 out of the Agency's 15 middle managers (20%) are women³⁸. However, it should be noted that the Agency is not in control of the whole process, as the parent DGs are responsible for appointing Heads of Unit. Nonetheless, the Agency will continue to work in close cooperation with the parent DGs and draw their attention to the importance of increasing female representation in middle management positions to ensure better balance.

In close cooperation with the internal communication function, staff engagement continued to be at the heart of HR activities.

In line with the staff survey development plan, dialogue with management and two-way communication were at the centre of many of the activities organised in 2020, including live online events and pulse surveys.

Furthermore, the "Connecting EACEA" initiative, which brings Agency staff a series of snapshot conferences, events and open discussions on topics related to Agency's work, continued in 2020. During the year, 11 events were organised on key topics such as e-Grants, the organisation of the new units, digital workplace, online tools and on the latest developments in the negotiations for the new long-term budget and the future of the Agency. They also offered a space for questions and clarifications and contributed to sharing knowledge on the work of the Agency and the bigger picture.

The "EACEA Empowered" initiative, which is intended to create a space for bottom-up initiatives to improve the working environment, also went forward. In 2020, the EACEA Empowered groups found new ways to continue their volunteering activities. These included

³⁸ Only 15 middle management posts were actually occupied on 31/12/2020. The ratio is calculated based on 15 posts and not 16, which is the total figure.

giving online mindfulness and yoga sessions, a solidarity call for sewing textile masks, and a Green advent calendar with environmentally friendly tips and practices from colleagues.

To face the challenges of remote working, several initiatives were organised, including regular tips from our internal coach on how to cope with isolation, and a dedicated HR conversation on mental well-being.

In support of HR, the Internal Communication function focused on creating a positive and inclusive environment, and on ensuring that all staff members had the information they needed to work effectively, while being systematically informed about what was happening at the Agency. Since 16 March 2020, special attention was given to information on the lockdown situation, guidance on working remotely and support for staff.

During the year, the Agency recruited 24 new colleagues in total, drawing from existing reserve lists, the inter-institutional job market for TA and CA, as well as detached officials. Five recruitments were launched. In spite of these efforts, due to the COVID-19 pandemic, recruitment took longer than planned. In particular, the mandatory medical check was kept on hold for several months, and recruitment procedures had to be adapted to remote working.

In 2020, staff professionalisation focused on the on-boarding to e-Grants and on digitalisation, with special attention on the proficient use of new tools such as Skype for Business and TEAMS and the management of online meetings. At the same time, 2020 marked the launch of the managerial excellence programme, dedicated to Heads of Sector and Deputy Heads of Unit.

Commitment to ethical values and compliance are the cornerstones of ONE EACEA. As such, the HR sector continued to invest in updating all HR processes in light of the new data protection regulation. During the year, the existing data protection notifications related to internal HR processes were updated, converted into records, and finalised³⁹. In addition, an “ethics package”, comprising a set of awareness-raising measures, was presented to the management and launched. All the set targets have been met, with the exception of newcomers’ attendance in the mandatory ethics training (87% instead of 100%).

Information Management

³⁹ 17 records related to internal HR process out of a total of 17. The records still to be finalised are inter-Agency ones and concern the selection of Confidential Counsellors and their operations, and administrative enquiries. It is to be noted that, the grouping of processing operations is a subject matter in constant evolution and the actual number of records may vary accordingly.

Objective : Information and knowledge in EACEA is shared and reusable by other Commission services. Important documents are registered, filed and retrievable			
Indicator 1 : Percentage of registered documents that are not filed⁴⁰ (ratio)			
Source of data: Hermes-Ares-Nomcom (HAN) ⁴¹ statistics			
Baseline 2019	Target		Latest known results
0.06%	0%		0.15%
Indicator 2 : Percentage of HAN files readable/accessible by all units in EACEA			Latest known results
Source of data: HAN statistics			
Baseline 2019	Target		
99.87%	99%		99.85%
Indicator 3 : Percentage of HAN files shared with other Commission services			Latest known results
Source of data: HAN statistics			
Baseline 2019	Target		
3.25%	4%		3.02%
Main outputs in 2020:			Latest known results
Output	Indicator	Target	
Implementation in ARES and NomCom of the new EACEA organisational structure	Level of implementation	100% by Q2	Completed
Shared knowledge among Agency staff on how to register, file and treat documents in a secure manner	Number of relevant awareness-raising measures (info visits to Units; individual coaching; Intranet and email updates, targeted messages, induction sessions for newcomers)	100% of staff reached	Done
Guidelines related to the functioning of EACEA's archive service and the	Time of completion	Q2	Partially

⁴⁰ Each registered document must be filed in at least one official file of the *Chef de file*, as required by the [e-Domec policy rules](#) (and by ICS 11 requirements). The indicator is to be measured via reporting tools available in Ares

⁴¹ Suite of tools designed to implement the [e-Domec policy rules](#)

closure/archive of EACEA's official file			implemented
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Throughout 2020, the DMO team continued to raise awareness on relevant topics via meetings (before systematic teleworking became the norm), the Intranet, through email updates and Skype. This included addressing units' individual needs in relation to procedures and creating news items on document security and markings.. In order to support the units in transferring files before the reorganisation, instructions on the closure of project-related files were published on the Intranet, ad hoc explanations were provided, and meetings with the units took place. The closure of the files exercise continued until the end of year but the physical archiving was put on hold because of the remote working arrangements due to COVID-19.

The DMO team created new files and headings at units' requests, provided helpdesk services, updated entities, profiles, workflow lists, and provided access to 3 414 files to the parent DGs, in particular DG EAC and DG CNECT. In this respect, it is worth noting that the Agency is slightly below (3.02%) the set annual target of 4% because files are shared on request from the parent DGs and in 2020 the number of requests decreased.

In terms of new developments, the DMO function introduced new features facilitating the daily work of staff. In particular, in cooperation with the EACEA IT team, the DMO introduced new automatised and more secure features in Pegasus and Ares, which enabled and facilitated deletion of documents which had been erroneously registered. The team also proposed to the horizontal units to establish workflow templates in Ares for documents that are electronically validated. This exercise is expected to be finalised in 2021.

Requests for access to documents were handled in a timely manner in cooperation with the operational units and the legal team, in full respect of Regulation (EC) 1049/2001 on public access to documents and data protection provisions. However, given that this year the related correspondence with applicants for access to documents was very intense, and taking into account that the team is composed of only 1.5 FTE, it proved very challenging to handle all requests within the deadlines prescribed by the Regulation.

External Communication

Objective: Citizens perceive that the EU is working to improve their lives and engage with the EU. They feel that their concerns are taken into consideration in European decision making and they know about their rights in the EU.

Indicator 1 : Percentage of EU citizens having a positive image of the EU	Latest known
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<p>Every Executive Agency should aim to contribute to it and, considering its area of work, explain how it aims at enhancing the positive image of the EU.</p> <p><i>Definition:</i> Eurobarometer measures the state of public opinion in the EU Member States. This global indicator is influenced by many factors, including the work of other EU institutions and national governments, as well as political and economic factors, not just the communication actions of the Commission. It is relevant as a proxy for the overall perception of the EU citizens. Positive visibility for the EU is the desirable corporate outcome of Commission communication, even if individual Executive Agency's actions may only make a small contribution.</p> <p>Source of data: Standard Eurobarometer (DG COMM budget)</p>		<p>results</p>	
Baseline: November 2019	Target: 2020	Latest known results - Summer 2020	
Total "Positive": 42.2% Neutral: 37 % Total "Negative": 19.4%	Positive image of the EU ≥ 50%	Positive 40% Neutral 40% Negative 19%	
<p>Main outputs in 2020:</p>			
Output	Indicator	Target	Latest known results
Ensure that information for applicants, potential applicants and beneficiaries is available and easy to find on the EACEA website	Timely publication of calls for proposals and related information during the transition period to the F&TP	100%	100%
Positive outward image of the European Commission, the Agency and of the programmes it manages	Satisfaction rate of participants at Agency organised events related to programme management	80%	89.7%
Effective communication on the transition to F&TP and eGrants	Implementation of the respective actions as mentioned in the communication strategy	100%	100% of actions possible to introduce due to the technical setup

EACEA hosts information visits for groups, either directly or in cooperation with the EU central visitors programme. The Agency welcomed 80 visitors in five visits between 1 January and 15 March 2020. After the confinement, one virtual visit was organised.

The Agency launched 14 new publications in 2020. Due to the confinement, many planned publications were postponed and the number of printed publications was reduced which led to a significantly decreased spending for publications.

As the launch of the calls for the new programmes on F&TP is delayed to spring 2021, guidance for potential beneficiaries on how to use the new platform will only be finalised when the homepage of F&TP is adapted to the new programming period.

Annual communication spending (based on estimated commitments):			
Baseline 2019:	Target 2020:	Total amount spent	Total of FTEs working on external communication
€80 000	€100 000 (estimated commitments)	€30 000	2.6

IT – On boarding to eGrants

Specific objective: In line with the defined IT Strategy, to provide a high quality and highly available ICT infrastructure, develop and maintain tools and services, adapted to the new programmes, in support of the daily operations and aligned with the business.			
Indicator	Baseline 2019	Target	Frequency of reporting
The delivery time for 90% of projects does not exceed by more than 5% the delivery time set in the planning agreed by the Steering Committees	100%	90%	Significant deviations are discussed promptly with the Director. The activity is the object of weekly, monthly and quarterly reports to the Director and is reported on twice a year to parent DGs.
At least 90% of the change requests and defects are resolved	73.96%	90%	
Electronic forms are available for all the actions (as long as the number of expected applications is above 200)	100%	100%	
Server availability (average over the year) above 99%	100%	99%	

In the context of migrating its grant management activities to eGrants, the Commission's corporate tool for the management of grants, EACEA has successfully implemented the

eight pilot calls⁴² published in 2019 (all agreements signed and prefinanced). This gave the experience to the Agency on both how the system works and how to bridge the preidentified gaps.

Moreover, the eGrants team has finalised all necessary requirements for the on-boarding of all actions - around 100 - under the new MFF in order to be ready.

In this respect, the following simplification/harmonisation measures have been taken:

- eForms: a unique eForm template with three possible funding schemes common to all the programmes managed by the Agency has been developed. A dynamic eform to capture all the KPIs has been specifically developed for the Agency and will be probably rolled out to the whole eGrant community.
- Financing Models:
 - o Simplified costs (Lump Sums and Unit Costs) have been created for nearly 70% of the actions that will be managed by the Agency for the new MFF.
 - o Actual costs (budget based) have been harmonised and simplified in one unique model.
- Model Grant Agreements (MGA) and Call document templates: the corporate documents have been adapted to cover the Agency's needs.

All the Calls for the new MFF have been encoded in eGrants to be ready for the publication once the Basic Acts are adopted.

The communication and training strategy has been rolled out and is an ongoing process.

ANNEX 10: Implementation through national or international public-sector bodies and bodies governed by private law with a public sector mission (if applicable)

Not applicable.

ANNEX 11: EAMR of the Union Delegations (if applicable)

Not applicable.

ANNEX 12: Decentralised agencies and/or EU Trust Funds (if applicable)

Not applicable.

⁴² For Erasmus+: EHEA reforms (Bologna); European Universities; TALIS; PIAAC; Dialogue with stakeholders in sport and the Erasmus Charter for Higher Education. For Creative Europe: Support to literary translation projects (Culture); o Bridging culture and audiovisual content through digital (MEDIA).